

# On Understanding Data Abstraction

...

# Revisited

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Dedicated to P. Wegner

Objects

????

Abstract Data Types

**Warnings!**

No “Objects Model the  
Real World”

**No Inheritance**

No State

No Subtyping!



# Interfaces as types

Not  
Essential

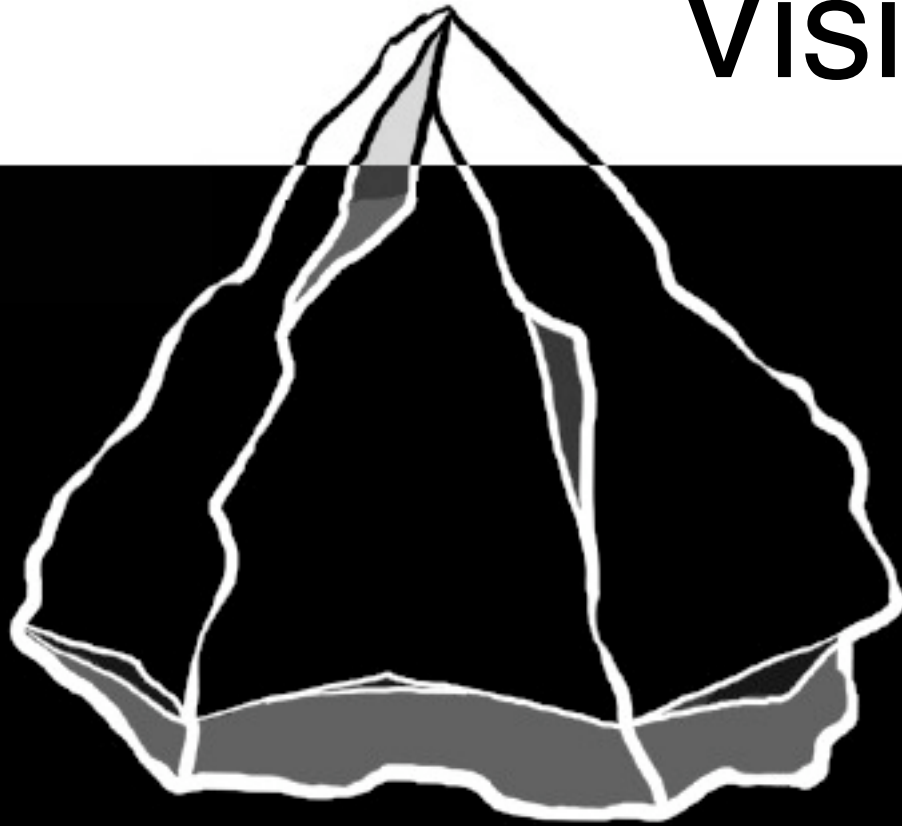
(very nice but not essential)

discuss  
inheritance  
later

**Abstraction**



Visible



Hidden

# Procedural Abstraction

```
bool f(int x) { ... }
```

# Procedural Abstraction

$\text{int} \rightarrow \text{bool}$



(one kind of)  
Type  
Abstraction

class Set<T>

(one kind of)  
Type  
Abstraction

$\forall T. \text{Set}[T]$

# Abstract Data Type

signature Set


empty : Set

insert : Set, Int  $\rightarrow$  Set

isEmpty : Set  $\rightarrow$  Bool

contains : Set, Int  $\rightarrow$  Bool

# Abstract Data Type

**Abstract** 

signature Set

empty : Set

insert : Set, Int  $\rightarrow$  Set

isEmpty : Set  $\rightarrow$  Bool

contains : Set, Int  $\rightarrow$  Bool

# Type + Operations

# ADT Implementation

```
abstype Set = List of Int
  empty      = []
  insert(s, n) = (n : s)
  isEmpty(s)  = (s == [])
  contains(s, n) = (n ∈ s)
```

# Using ADT values

Set x = empty

Set y = insert(x, 3)

Set z = insert(y, 5)

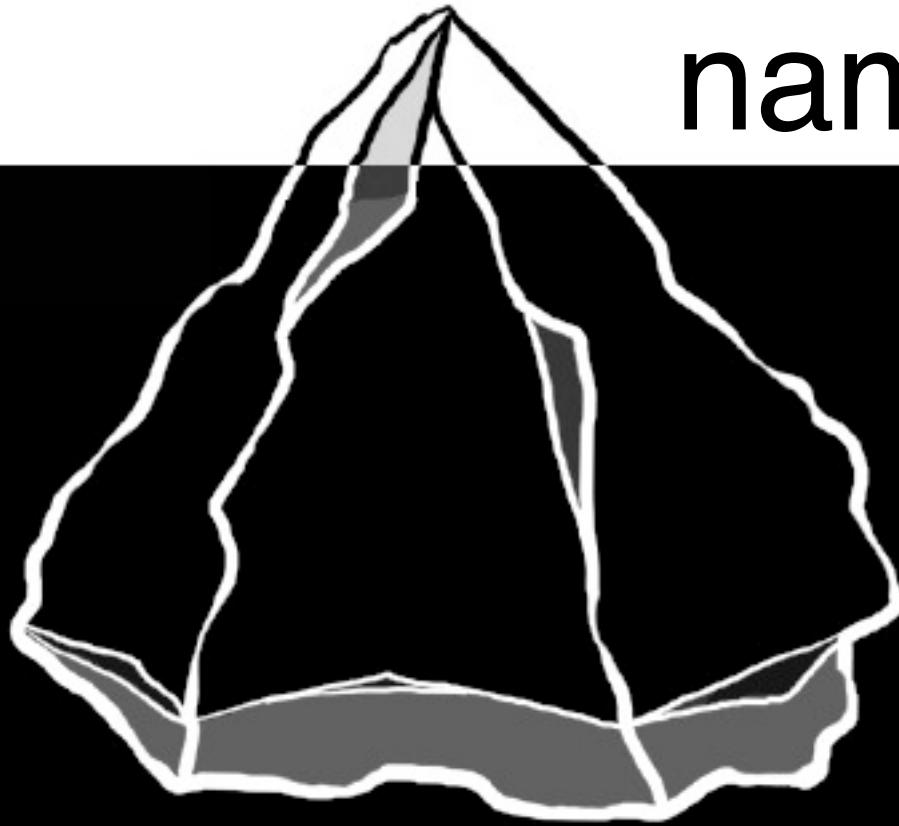
print( contains(z, 2) )

==> false





Visible  
name: Set



Hidden  
representation:  
List of Int

```
ISetModule =  $\exists$ Set.{  
    empty    : Set  
    insert   : Set, Int  $\rightarrow$  Set  
  
    isEmpty  : Set  $\rightarrow$  Bool  
    contains : Set, Int  $\rightarrow$  Bool  
}
```

Natural!

just like  
built-in types

# Mathematical Abstract Algebra

# Type Theory

## $\exists x.P$

(existential types)

Abstract Data Type

=

Data Abstraction

Right?



$$S = \{ 1, 3, 5, 7, 9 \}$$

Another way

$$P(n) = \text{even}(n) \ \& \ 1 \leq n \leq 9$$

$$S = \{ 1, 3, 5, 7, 9 \}$$

$$P(n) = \text{even}(n) \ \& \ 1 \leq n \leq 9$$

# Sets as characteristic functions

type Set =

Int  $\rightarrow$  Bool

Empty =

$\lambda n. \text{false}$

Insert(s, m) =

$\lambda n. (n=m) \vee s(n)$



# Using them is easy

```
Set x = Empty  
Set y = Insert(x, 3)  
Set z = Insert(y, 5)  
print( z(2) )  
==> false
```

So What?

Flexibility

set of all  
even numbers

Set ADT:  
Not Allowed!

or...  
break open ADT  
& change  
representation

set of  
even numbers  
as a  
function?

Even =

$\lambda n. (n \text{ rem } 2 = 0)$



# Even interoperates

```
Set x = Even  
Set y = Insert(x, 3)  
Set z = Insert(y, 5)  
print( z(2) )  
==> true
```

Sets-as-functions  
are  
objects!

No type abstraction

type Set = Int  $\rightarrow$  Bool

multiple  
methods?

sure...

```
interface Set {  
    contains: Int → Bool  
    isEmpty: Bool  
}
```

What about  
Empty and Insert?

(they are classes)

```
class Empty {  
    contains(n) { return false;}  
    isEmpty()   { return true;}  
}
```

```
class Insert(s, m) {  
    contains(n) { return (n=m)  
                ∨ s.contains(n) }  
    isEmpty() { return false }  
}
```



# Using Classes

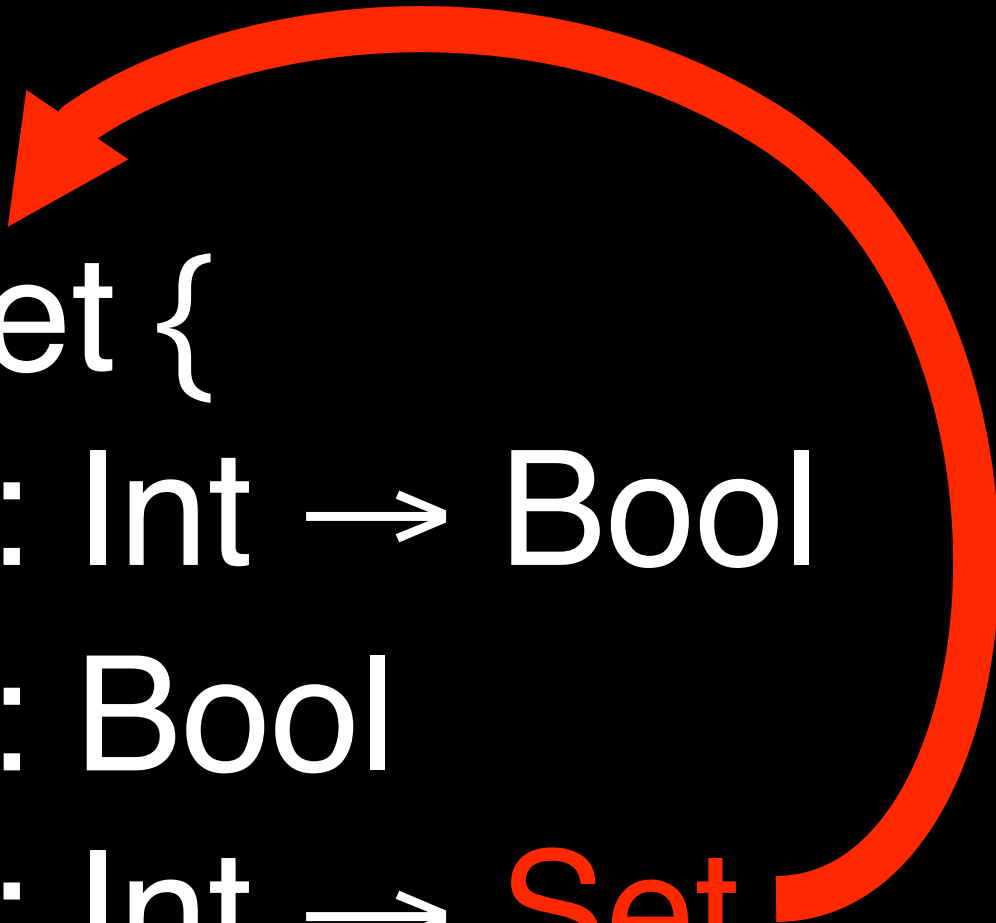
```
Set x = Empty()  
Set y = Insert(x, 3)  
Set z = Insert(y, 5)  
print( z.contains(2) )  
==> false
```

An object  
is the  
set of observations that  
can be  
made upon it

Including  
more methods

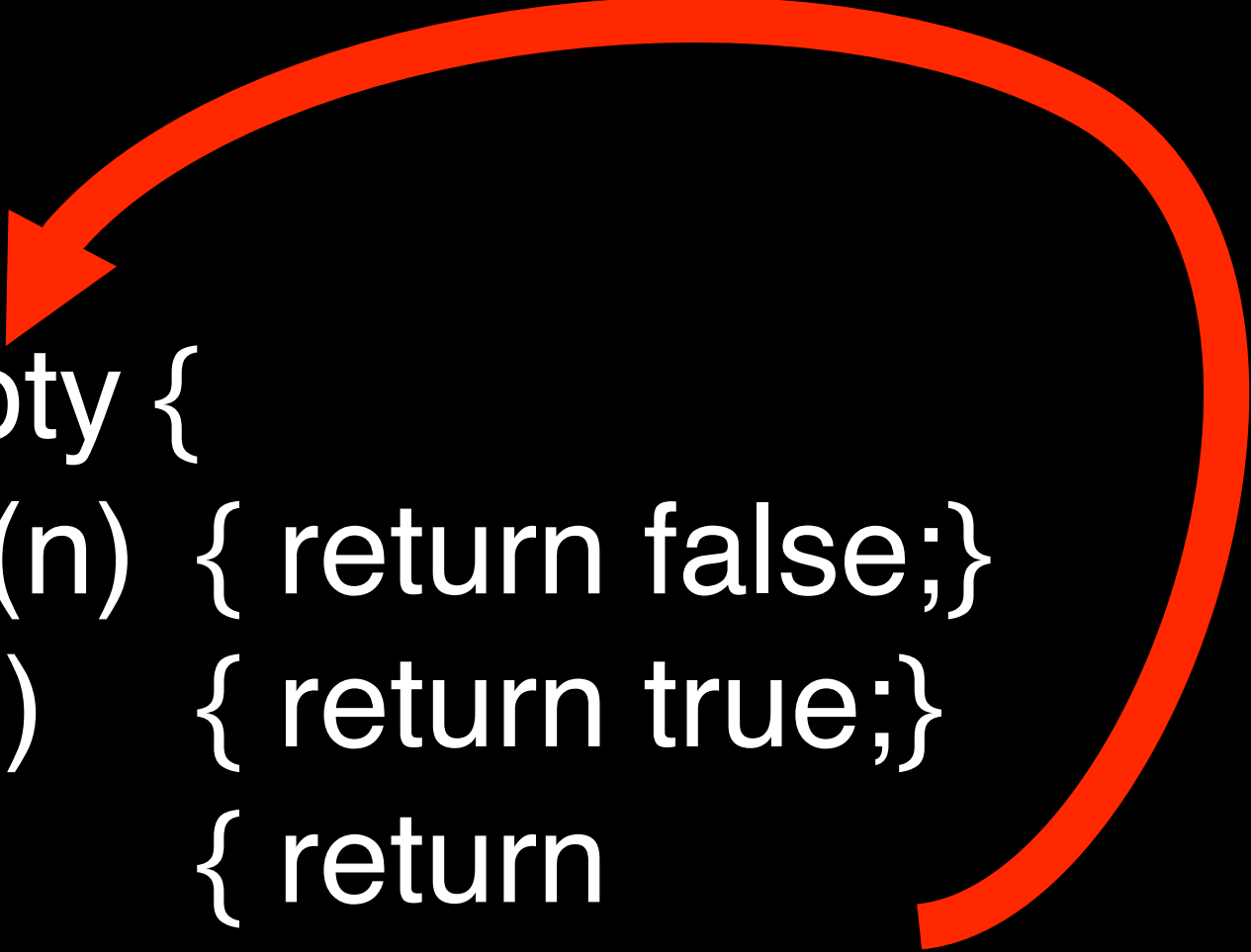
```
interface Set {  
    contains: Int → Bool  
    isEmpty: Bool  
    insert    : Int → Set  
}
```

```
interface Set {  
  contains : Int → Bool  
  isEmpty  : Bool  
  insert   : Int → Set  
}
```



Type  
Recursion

```
class Empty {  
    contains(n) { return false;}  
    isEmpty()   { return true;}  
    insert(n) { return  
                Insert(this, n);}  
}
```



```
class Empty {  
    contains(n) { return false;}  
    isEmpty()   { return true;}  
    insert(n)   { return  
                  Insert(this, n);}  
}
```

Value  
Recursion

# Using objects

```
Set x = Empty  
Set y = x.insert(3)  
Set z = y.insert(5)  
print( z.contains(2) )  
==> false
```



# Autognosis

Autognosis

(Self-knowledge)

# Autognosis

An object can access  
other objects only  
through public  
interfaces

operations  
on  
multiple objects?

union  
of  
two sets

```
class Union(a, b) {  
    contains(n) { a.contains(n)  
         $\vee$  b.contains(n); }  
    isEmpty() { a.isEmpty(n)  
         $\wedge$  b.isEmpty(n); }  
    ...  
}
```

```
interface Set {  
  contains: Int  $\rightarrow$  Bool  
  isEmpty: Bool  
  insert    : Int  $\rightarrow$  Set  
  union     : Set  $\rightarrow$  Set  
}
```

Complex Operation  
(binary)

intersection  
of  
two sets  
??



```
class Intersection(a, b) {  
    contains(n) { a.contains(n)  
        ^ b.contains(n); }  
}
```

```
isEmpty() { ? no way! ? }
```

```
...
```

```
}
```

Autognosis:  
Prevents some  
operations  
(complex ops)

Autognosis:  
Prevents some  
optimizations  
(complex ops)

Inspecting two  
representations &  
optimizing operations  
on them are easy  
with ADTs

Objects are  
fundamentally different  
from ADTs

## Object Interface (recursive types)

```
Set = {  
  isEmpty    : Bool  
  contains   : Int → Bool  
  insert     : Int → Set  
  union      : Set → Set  
}  
Empty : Set  
Insert : Set → Set  
Union  : Set → Set
```

## ADT (existential types)

```
SetImpl = ∃ Set . {  
  empty      : Set  
  isEmpty    : Set → Bool  
  contains   : Set, Int → Bool  
  insert     : Set, Int → Set  
  union      : Set, Set → Set  
}
```

# Operations/Observations

	s	
	Empty	Insert(s', m)
isEmpty(s)	true	false
contains(s, n)	false	$n=m \vee$ contains(s', n)
insert(s, n)	false	Insert(s, n)
union(s, s'')	isEmpty(s'')	Union(s, s'')

# ADT Organization

	s	
	Empty	Insert(s', m)
isEmpty(s)	true	false
contains(s, n)	false	$n=m \vee$ contains(s', n)
insert(s, n)	false	Insert(s, n)
union(s, s'')	isEmpty(s'')	Union(s, s'')



# 00 Organization

	s	
	Empty	Insert(s', m)
isEmpty(s)	true	false
contains(s, n)	false	$n=m \vee$ contains(s', n)
insert(s, n)	false	Insert(s, n)
union(s, s'')	isEmpty(s'')	Union(s, s'')

Objects are  
fundamental  
(too)

Mathematical  
functional  
representation  
of data

# Type Theory

## $\mu X.P$

(recursive types)

ADTs require  
a  
static type system

Objects work well  
with or without  
static typing

# “Binary” Operations?

Stack, Socket, Window,  
Service, DOM, Enterprise  
Data, ...

Objects are  
very  
higher-order  
(functions passed as data and  
returned as results)



**Verification**

ADTs: construction

Objects: observation

ADTs: induction

Objects: coinduction  
complicated by: callbacks,  
state

Objects are designed to  
be as difficult as  
possible to verify

# Simulation

One object can  
simulate another!  
(identity is bad)

Java

What is a type?

Declare variables

Classify values



Class as type

=> representation

Class as type

=> ADT

# Interfaces as type

=> behavior  
pure objects

Harmful!

```
instanceof Class  
(Class) exp  
Class x;
```

Object-Oriented  
subset of Java:  
class name used  
only after “new”

It's not an accident  
that "int" is an ADT  
in Java

Smalltalk

class True

if True: a if False: b

$\wedge a$

class False

if True: a if False: b

$\wedge b$



True =

$\lambda a . \lambda b .$

$a$

False =

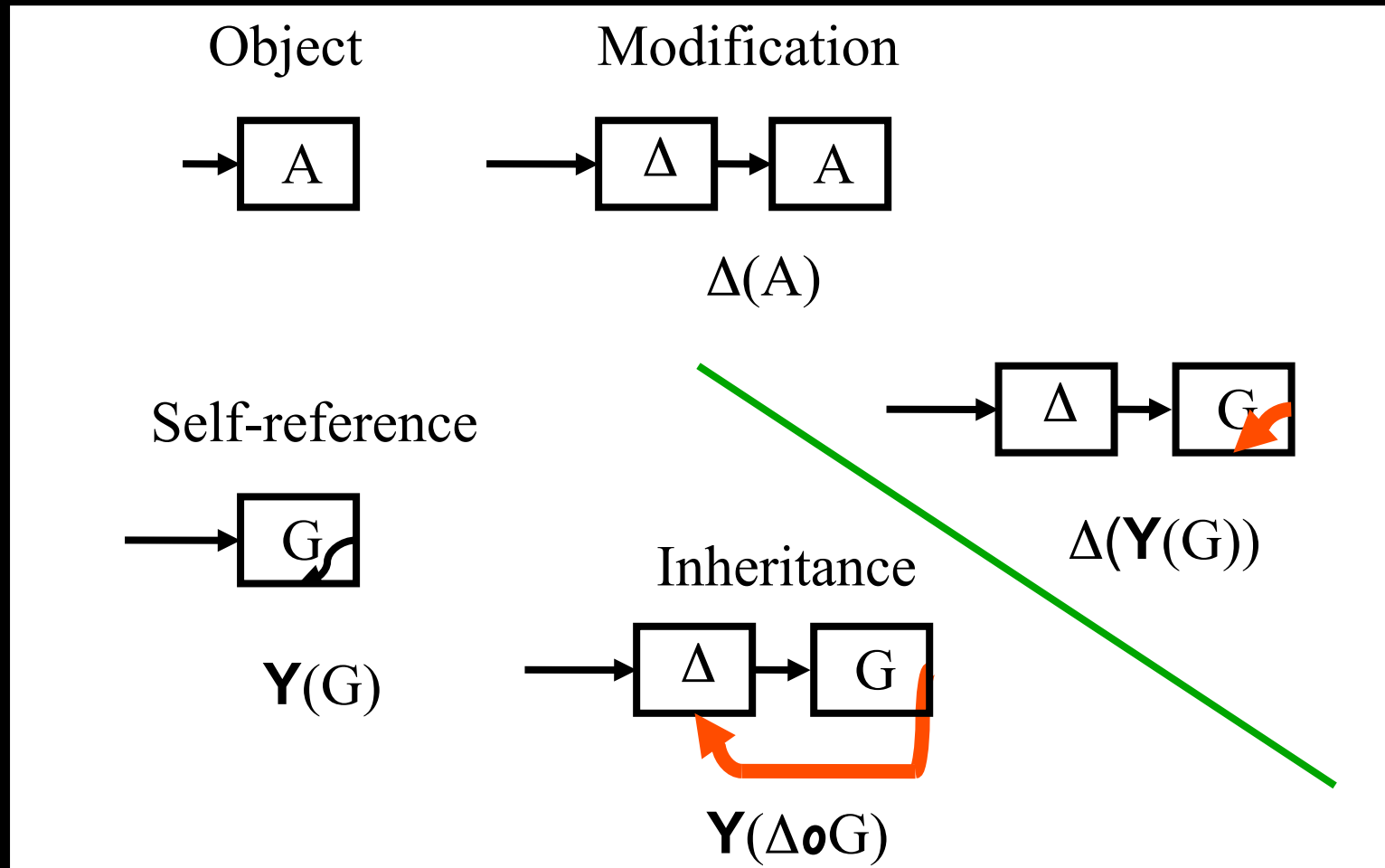
$\lambda a . \lambda b .$

$b$

# Inheritance

(in one slide)

# Inheritance



History

# User-defined types and procedural data structures as complementary approaches to data abstraction

by J. C. Reynolds

New Advances in  
Algorithmic Languages  
INRIA, 1975

## 1 User-Defined Types and Procedural Data Structures as Complementary Approaches to Data Abstraction

John C. Reynolds

### Abstract

User-defined types (or modes) and procedural (or functional) data structures are complementary methods for data abstraction, each providing a capability lacked by the other. With user-defined types, all information about the representation of a particular kind of data is centralized in a type definition and hidden from the rest of the program. With procedural data structures, each part of the program which creates data can specify its own representation, independently of any representations used elsewhere for the same kind of data. However, this decentralization of the description of data is achieved at the cost of prohibiting primitive operations from accessing the representations of more than one data item. The contrast between these approaches is illustrated by a simple example.

### Introduction

User-defined types and procedural data structures have both been proposed as methods for data abstraction, i.e., for limiting and segregating the portion of a program that depends upon the representation used for some kind of data. In this paper we suggest, by means of a simple example, that these methods are complementary, each providing a capability lacked by the other.

The idea of user-defined types has been developed by Morris [1, 2], Liskov and Zilles [3], Fischer and Fischer [4], and Wulf [5], and has its roots in earlier work by Hoare and Dahl [6]. In this approach, each particular conceptual kind of data is called a type, and for each type used in a program, the program is divided into two parts: a type definition and an "outer" or "abstract" program. The type definition specifies the representation to be used for the data type and a set of primitive operations (and perhaps constants), each defined in terms of the representation. The choice of representation is hidden from the outer program by requiring all manipulations of the data type in the outer program to be expressed in terms of the primitive operations. The heart of the matter is that any consistent change in the data representation can be effected by altering the type definition without changing the outer program.

Various notions of procedural (or functional) data structures have been developed by Reynolds [7], Landin [8], and Balzer [9]. In this approach, the abstract form of

First appeared in Stephen A. Schuman, editor, *New Directions in Algorithmic Languages*, 1975, IFIP Working Group 2.1 on Algol, INRIA, pages 157-168. Reprinted in David Gries, editor, *Programming Methodology, A Collection of Papers by Members of IFIP WG 2.3*, 1978, Springer-Verlag, pages 309-317. © Springer-Verlag, 8110: Permanent Dept., 175 Fifth Ave., 19 Flr., New York, NY 10010.

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# Abstract data types

~~User-defined types~~  
and  
~~procedural data structures~~ **objects**  
as  
complementary  
approaches to  
data abstraction

by J. C. Reynolds

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“[an object with two methods]  
is more a tour de force than a  
specimen of clear programming.”

- J. Reynolds

# Extensibility Problem (aka Expression Problem)

1975 Discovered by J. Reynolds  
1990 Elaborated by W. Cook  
1998 Renamed by P. Wadler  
2005 Solved by M. Odersky (?)  
2025 Widely understood (?)



Summary

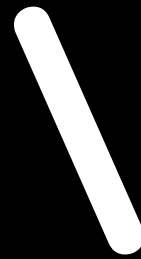
It is possible to do  
Object-Oriented  
programming in Java

Lambda-calculus  
was the first object-  
oriented  
language (1941)

# Data Abstraction



ADT



Objects