

# ON THE ADDITIVE STRUCTURE OF SIMPLE SEMIRING EXTENSIONS

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ABSTRACT. For  $\alpha \in \mathbb{C}$ , let  $\mathbb{N}_0[\alpha]$  be the subsemiring of  $\mathbb{C}$  obtained as a homomorphic image of the  $\alpha$ -evaluation map  $\mathbb{N}_0[x] \rightarrow \mathbb{C}$  defined as  $p(x) \mapsto p(\alpha)$  for each polynomial  $p(x) \in \mathbb{N}_0[x]$ . Fundamental arithmetic and atomic aspects of the additive structure of  $\mathbb{N}_0[\alpha]$  were first studied by the second author and Correa–Morris (2022). In this paper, we continue the investigation, now from the valuation-theoretic perspective.

Let  $\mathcal{V}$  denote the class consisting of all the semirings  $\mathbb{N}_0[\alpha]$  containing no additive irreducibles (these are precisely those having non-atomic additive structure). We show that for any algebraic number  $\alpha$  the additive monoid of  $\mathbb{N}_0[\alpha]$  is isomorphic to the direct product of finitely many isomorphic valuation monoids (i.e., monoids whose principal ideals form a chain under inclusion). Moreover, for any algebraic number  $\alpha \in (0, 1)$ , the semiring  $\mathbb{N}_0[\alpha]$  belongs to  $\mathcal{V}$  if and only if  $\alpha^{-1}$  is a Perron number having no other positive conjugates besides itself. In addition, we offer a description of the algebraic parameters  $\alpha$  for which the additive structure of  $\mathbb{N}_0[\alpha]$  is a valuation monoid. Finally, we argue that the subset of  $(0, 1)$  consisting of all algebraic parameters  $\alpha$  such that the additive structure of  $\mathbb{N}_0[\alpha]$  is a valuation monoid is dense in  $(0, 1)$ .

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## 1. INTRODUCTION

Let  $\mathbb{N}_0[x]$  be the semiring of all polynomials in an indeterminate  $x$  with nonnegative coefficients. The main purpose of this paper is to investigate, for complex parameters  $\alpha$ , the additive monoid

$$M_\alpha := \{p(\alpha) : p(x) \in \mathbb{N}_0[x]\}$$

arising as the additive structure of the subsemiring  $\mathbb{N}_0[\alpha]$  of  $\mathbb{C}$ . When  $\alpha$  is transcendental over the rationals,  $M_\alpha$  is isomorphic to the additive monoid of  $\mathbb{N}_0[x]$ , which is the free commutative monoid on a countable set. Therefore, we tacitly assume that  $\alpha$  is algebraic throughout this paper. We denote by  $\mathbb{A}$  the set of all algebraic numbers.

An additive commutative monoid is called atomic if every non-invertible element can be expressed as a finite sum of atoms (i.e., irreducible elements), which means that there are enough atoms in the monoid to create atomic decompositions of any non-invertible element. On the opposite end of the atomic spectrum, a monoid is called antimatter if it has no atoms at all—a term introduced by Coykendall, Dobbs, and Mullins [14] in the setting of integral domains. It is known that for each  $\alpha \in \mathbb{C}$  the monoid  $M_\alpha$  is either atomic or antimatter (see [13, Theorem 4.2]). Since the atomic case was the central focus of that earlier work, the present article concentrates on the complementary class of antimatter monoids.

This paper continues the program initiated in [13], where the arithmetic and factorization properties of the monoids  $M_\alpha$  were examined. Most of the results there relied on the assumption that  $M_\alpha$  is atomic, with special emphasis on the subclass of monoids  $M_\alpha$  satisfying the ascending chain condition on principal ideals. Here, we undertake a complementary analysis emphasizing those monoids having no atoms at all—that is, the antimatter monoids—and, within this class, we focus on the subclass of valuation monoids. We obtain characterizations of both the antimatter monoids and valuation monoids inside the class consisting of all monoids  $M_\alpha$ , and these characterizations are in terms of the minimal polynomial of  $\alpha$ .

The monoids  $M_\alpha$  have drawn increasing attention in recent years. These additive monoids seemed to be first considered in [21, Section 5], where the positive rational parameters  $q$  for which  $M_q$  is atomic were determined. The special setting where the parameter  $q$  of  $M_q$  is positive rational was studied deeper by Chapman et al. [10], where the authors focus on the study of the length sets of  $M_q$  and related factorization invariants, proving that the length set of any nonzero element  $r \in M_q$  is an arithmetic progression with common difference  $|\mathbf{n}(r) - \mathbf{d}(r)|$ . For the rational setting, further factorization invariants and arithmetic properties of  $M_q$  were carried out by Albizu-Campos et al. [5], who considered not only the monoids  $M_q$  but also the submonoids of  $M_q$  generated by all the powers  $q^n$  whose exponents  $n$  belong to a given numerical monoid. The existence of certain canonical representations inside the rational monoids  $M_q$  has been recently studied in [11] by Chapman et al.

The first general and systematic investigation of the additive monoids  $M_\alpha$ , where  $\alpha$  is taken to be any nonnegative real number, was carried out in [13] by Correa-Morris and the second author. In the

same paper, the authors establish several foundational results on the atomicity, factorization, and the structure of principal ideals of  $M_\alpha$ , putting special emphasis on the classical factorization properties considered by Anderson et al. in their landmark paper [6]. Motivated by [13], some other authors have recently made interesting contributions to the study of the arithmetic and atomic structure of the additive monoids  $M_\alpha$ . For instance, for the same class of monoids, Jiang, Li, and Zhu [24] have investigated the omega primality and the elasticity, while Ajran et al. [2] have investigated the system of length sets, the sets of Betti elements, and the catenary degree.

In Section 2, we introduce the relevant notation, common terminology, and the background needed to follow the rest of the paper. In Section 3, we briefly present an algebraic result that will allow us to restrict our attention to the algebraic parameters  $\alpha$  whose corresponding minimal polynomials cannot be obtained by composing a polynomial in  $\mathbb{N}_0[x]$  with any of the monomials  $x^n$  for  $n \geq 2$ . In Section 4, we further explore the conditions for  $M_\alpha$  to be antimatter. Recall that for positive algebraic  $\alpha$ , the monoid  $M_\alpha$  is precisely one of atomic or antimatter [13, Theorem 4.2]. As non-atomic monoids were not considered in that motivating paper, a significant portion of our paper is dedicated to this case. In Section 5, we focus on identifying the antimatter monoids that are valuation monoids, or products thereof. We provide two major results in this direction. First, we argue the existence of nontrivial valuation monoids  $M_\alpha$  of any given positive rank. We then find several exact characterizations for the class of valuation monoids both in terms of algebraic conditions on  $\alpha$  and other divisibility properties of  $M_\alpha$ , and we also present two examples illustrating the intricacies of the proof of this last result. Finally, in Section ??, we study the class of atomic monoids  $M_\alpha$  that do not satisfy the ACCP.

## 2. BACKGROUND

**General Notation.** As customary,  $\mathbb{Z}$ ,  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{A}$ , and  $\mathbb{C}$  will denote the set of integers, rational numbers, real numbers, algebraic complex numbers, and complex numbers, respectively. We let  $\mathbb{P}$ ,  $\mathbb{N}$ , and  $\mathbb{N}_0$  denote the set of rational primes, positive integers, and nonnegative integers, respectively. For  $a, b \in \mathbb{R}$  with  $a \leq b$ , we let  $\llbracket a, b \rrbracket$  denote the set of integers between  $a$  and  $b$ , i.e.,

$$\llbracket a, b \rrbracket := \{n \in \mathbb{Z} : a \leq n \leq b\}.$$

In addition, for a subset  $S$  of  $\mathbb{C}$  and an element  $r \in \mathbb{R}$ , we set

$$S_{\geq r} := \{s \in S \cap \mathbb{R} : s \geq r\} \quad \text{and} \quad S_{>r} := \{s \in S \cap \mathbb{R} : s > r\}.$$

For any commutative semiring  $S$ , we let  $S[x]$  denote the commutative semiring consisting of all the polynomials with coefficients in  $S$ . In particular,  $\mathbb{N}_0[x]$  consists of all polynomials with nonnegative integer coefficients. In the scope of this paper, we find it convenient to set

$$x\mathbb{N}_0[x] + c := \{xf(x) + c : f(x) \in \mathbb{N}_0[x]\}$$

for each  $c \in \mathbb{Z}$ . The set  $x\mathbb{N}_0[x] - 1$  will be especially important in the coming sections. For any  $f(x) \in x\mathbb{N}[x] - 1$  with root  $\alpha \in \mathbb{A}$ , we say that  $f(\alpha) + 1$  is an *antimatter decomposition* of  $\alpha$ .

**2.1. Commutative Monoids.** An additively written commutative semigroup  $S$  is called *cancellative* if for all  $a, b, c \in S$  the equality  $a + b = a + c$  implies that  $b = c$ . Although a monoid is usually defined to be a semigroup with an identity element, in the scope of this paper, the term *monoid* refers to a cancellative and commutative semigroup with an identity element. Let  $M$  be an additively written monoid. For any subsets  $A$  and  $B$  of  $M$ , we write

$$A + B := \{a + b : a \in A \text{ and } b \in B\},$$

and if  $A = \{a\}$  for some  $a \in M$  then we often write  $a + B$  instead of  $\{a\} + B$ . The *group of units* of  $M$  is the abelian group  $\mathcal{U}(M)$  consisting of all invertible elements of  $M$ . Two elements  $a, b \in M$

are called *associates* if  $a \in b + \mathcal{U}(M)$  (or, equivalently,  $b \in a + \mathcal{U}(M)$ ). The *reduced monoid* of  $M$ , denoted  $M_{\text{red}}$ , is the quotient  $M/\mathcal{U}(M)$ . We say that  $M$  is *reduced* if  $\mathcal{U}(M)$  is the trivial group, in which case, we can identify  $M$  with  $M_{\text{red}}$  via the natural homomorphism  $m \mapsto m + \mathcal{U}(M)$  (for all  $m \in M$ ).

A non-invertible element  $a \in M$  is called an *atom* if for all  $b, c \in M$ , the equality  $a = b + c$  implies that  $\mathcal{U}(M) \cap \{b, c\}$  is nonempty. We let  $\mathcal{A}(M)$  denote the set consisting of all the atoms of  $M$ . The notion of an antimatter monoid is essential within the scope of this paper.

**Definition 2.1** (Coykendall, Dobbs, and Mullins; [14]). A monoid is *antimatter* if its set of atoms is empty.

An element  $b \in M$  is called *atomic* if either  $b$  is invertible or  $b$  can be written as a sum of finitely many atoms of  $M$  (allowing repetitions). Following Cohn [12], we say that the monoid  $M$  is *atomic* if every element of  $M$  is atomic. We let  $Z(M)$  denote the free commutative monoid on the set  $\mathcal{A}(M_{\text{red}})$ , and let  $\pi: Z(M) \rightarrow M_{\text{red}}$  be the only monoid homomorphism fixing the subset  $\mathcal{A}(M_{\text{red}})$  of  $Z(M)$ . For every element  $a \in M$ , we set

$$Z(a) = \pi^{-1}(a + \mathcal{U}(M)).$$

Note that  $M$  is atomic if and only if  $Z(a)$  is nonempty for all  $a \in M$ . An element  $a \in M$  is called *factorial* provided that  $Z(a)$  is a singleton. If every element of  $M$  is factorial, then  $M$  is called a *unique factorization monoid* (UFM).

**2.2. Divisibility and the Valuation Property.** For  $a, b \in M$ , we say that  $b$  *divides*  $a$  in  $M$  and write  $b \mid_M a$  if there exists  $c \in M$  such that  $a = b + c$ . An element  $p \in M \setminus \mathcal{U}(M)$  is *primal* if whenever  $p \mid_M a + b$  for some  $a, b \in M$ , one can write  $p = a' + b'$  for some elements  $a', b' \in M$  such that  $a' \mid_M a$  and  $b' \mid_M b$ . Then we say that the monoid  $M$  is called a *pre-Schreier monoid* or *PS monoid* if every non-invertible element of  $M$  is primal. One can readily show that every UFM is a pre-Schreier monoid.

Let  $S$  be a nonempty subset of  $M$ . An element  $d \in M$  is called a *common divisor* of  $S$  if  $d \mid_M s$  for all  $s \in S$ . A common divisor  $g \in M$  of  $S$  is called a *greatest common divisor* (GCD) of  $S$  if any other common divisor of  $S$  divides  $g$  in  $M$ . We denote the set consisting of all GCDs of  $S$  by either  $\text{gcd}_M(S)$  or  $\text{gcd}(S)$ . Observe that any two GCDs of  $S$  in  $M$  are associates. Therefore, if the set consisting of all the GCDs of  $S$  is nonempty, then it must have the form  $g + \mathcal{U}(M)$  for some  $g \in M$ . If every nonempty finite subset of  $M$  has a GCD in  $M$ , then  $M$  is called a *GCD monoid*. It is well known and not difficult to verify that every UFM is a GCD monoid.

The primary property we investigate in this paper is the valuation property, and it can be defined in terms of divisibility in the following way.

**Definition 2.2.** A monoid  $M$  is a *valuation monoid* if for all  $a, b \in M$  either  $a \mid_M b$  or  $b \mid_M a$ .

Observe that every valuation monoid is a GCD monoid and, therefore, we obtain the following diagram of classes of monoids.

$$\text{Valuation} \quad \not\iff \quad \text{GCD} \quad \not\iff \quad \text{PS}$$

FIGURE 1. The (red) marked arrows emphasize that none of the shown implications is reversible.

Observe that in the additive monoid  $\mathbb{N}_0$ , the divisibility relation coincides with the standard order relation, whence  $\mathbb{N}_0$  is a valuation monoid. In Section 5, we provide sufficient conditions for a monoid  $M_\alpha$  to be a valuation monoid. Now we look at the class consisting of all monoids  $M_q$  induced by rational parameters  $q$ , and we verify that the three properties in Figure 1 are equivalent for monoids in such a class.

**Proposition 2.3.** *For any  $q \in \mathbb{Q}_{>0}$ , the following conditions are equivalent.*

- (a)  $q \in \mathbb{N} \cup \mathbb{N}^{-1}$ .
- (b)  $M_q$  is a valuation monoid.
- (c)  $M_q$  is a GCD monoid.
- (d)  $M_q$  is a pre-Schreier monoid.

*Proof.* (a)  $\Rightarrow$  (b): If  $q \in \mathbb{N}$ , then  $M_q = \mathbb{N}_0$ , which is clearly a valuation monoid. If  $q \in \mathbb{N}^{-1}$ , then  $q = \frac{1}{d}$  for some  $d \in \mathbb{N}_{\geq 2}$ , and so

$$M_q = \left\langle \frac{1}{d^k} : k \in \mathbb{N} \right\rangle = \left\{ \frac{n}{d^k} : n, k \in \mathbb{N}_0 \right\} = \mathbb{Z}\left[\frac{1}{d}\right]_{\geq 0},$$

As  $\mathbb{Z}\left[\frac{1}{d}\right]_{\geq 0}$  is the nonnegative cone of the additive abelian group  $\mathbb{Z}\left[\frac{1}{d}\right]$ , the divisibility relation in  $M_q$  coincides with the standard order relation. Hence we conclude that  $M_q$  is a valuation monoid.

(b)  $\Rightarrow$  (c)  $\Rightarrow$  (d): These two implications hold for general commutative monoids.

(d)  $\Rightarrow$  (a): Assume that the monoid  $M_q$  is a pre-Schreier monoid. If  $M_q$  is antimatter, then it follows from [21] that  $q = \frac{1}{d}$  for some  $d \in \mathbb{N}_{\geq 2}$ . Now assume that  $M_q$  is not antimatter. In this case,  $M_q$  must be atomic. As  $M_q$  is a pre-Schreier, every atom of  $M_q$  is also a primal element and so a prime element. Hence  $M_q$  is generated by primes, which means that it is a UFM. Now it follows from [21, Section 6] that either  $M_q = \mathbb{N}_0$  or

$$\mathcal{A}(M_q) = \{q^n : n \in \mathbb{N}_0\}.$$

However, notice that were  $q$  is an atom of  $M_q$ , then the element  $\mathsf{n}(q)$  would have at least two factorizations, namely,  $\mathsf{d}(q)$  copies of  $q$  or  $\mathsf{n}(q)$  copies of 1, which is not possible because  $M_q$  is a UFM. Hence  $M_q = \mathbb{N}_0$ , which implies that  $q \in \mathbb{N}_0$ .  $\square$

Let  $R$  be an integral domain. We let  $R^*$  and  $R^\times$  denote the multiplicative monoid of  $R$  and the group of units of  $R$ , respectively. It is clear that  $R^\times = \mathcal{U}(R^*)$ . We say that  $R$  is a *GCD domain* if the multiplicative monoid  $R^*$  is a GCD monoid. Assume now that  $R$  is a GCD domain. For a nonempty subset  $S$  of  $R$  not containing 0, we also refer to any GCD of  $S$  in  $R^*$  as a GCD of  $S$  in  $R$ .

**2.3. Polynomials.** Throughout this section, we let  $R$  be an integral domain. For  $c_0, \dots, c_d \in R$  such that  $c_d \neq 0$ , consider the polynomial

$$(2.1) \quad f(x) := \sum_{n=0}^d c_n x^n \in R[x].$$

For each  $i \in \llbracket 0, d \rrbracket$ , it is often convenient to denote the coefficient  $c_i$  by  $[x^i]f(x)$ . The *support* of the polynomial  $f(x)$  is the set of degrees of its nonzero terms:

$$\text{supp } f(x) := \{k \in \llbracket 0, d \rrbracket : c_k \neq 0\}.$$

Now assume that  $R$  is a GCD domain. The *content* of  $f(x)$  is the set  $c(f) := \gcd(c_0, \dots, c_d)$ . If  $c(f) = R^\times$ , then  $f(x)$  is called *primitive*. Gauss's lemma, which we use often throughout this paper, states that the product of primitive polynomials over a GCD domain is primitive. If  $r \in c(f)$ ,

then  $f(x)/r$  is called a *primitive part* of  $f(x)$ . When  $R = \mathbb{Q}$ , there exists unique  $r \in \mathbb{Q}_{>0}$  and  $p(x), q(x) \in \mathbb{N}_0[x]$  such that  $rf(x)$  is a primitive polynomial in  $\mathbb{Z}[x]$ ,  $rf(x) = p(x) - q(x)$ , and  $\text{supp } p(x)$  is disjoint from  $\text{supp } q(x)$ . In this case, we call  $(p(x), q(x))$  the *minimal pair* of  $f(x)$ .

We often denote the minimal polynomial of an algebraic number  $\alpha$  by  $m_\alpha(x) \in \mathbb{Q}[x]$ . The *degree* of  $\alpha$  is  $\deg m_\alpha(x)$  while the *conjugates* of  $\alpha$  are the roots of  $m_\alpha(x)$ . We denote the minimal pair of  $m_\alpha(x)$  by  $(p_\alpha(x), q_\alpha(x))$ , also calling the latter the *minimal pair* of  $\alpha$ . The *reciprocal polynomial* of  $f(x)$  is the polynomial of  $R[x]$  obtained by reversing the coefficients of  $f(x)$ , that is,  $\sum_{n=0}^d c_{d-n}x^n = x^d f(x^{-1})$ . For an algebraic number  $\alpha$ , let  $r_\alpha(x)$  denote the reciprocal polynomial of  $m_\alpha(x)$ :

$$(2.2) \quad r_\alpha(x) = x^d m_\alpha\left(\frac{1}{x}\right).$$

We conclude this subsection by recalling Descartes' rule of signs as it will be a helpful tool at our disposal throughout this paper. Assume now that  $R = \mathbb{R}$ , and let  $f(x)$  be defined as in (2.1). We say that  $f(x)$  has a *sign variation* at  $i \in \llbracket 1, d \rrbracket$  provided that  $c_i c_{i-1} < 0$ .

**Theorem 2.4** (Descartes' rule of signs). *The number of sign variations of a nonzero polynomial  $f(x) \in \mathbb{R}[x]$  has the same parity as and is at least the number of positive roots of  $f(x)$  (counting multiplicity).*

Let  $\text{Int}(\mathbb{Z})$  be the ring of integer-valued polynomials, which is the subring of  $\mathbb{Q}[x]$  consisting of all polynomials  $f(x) \in \mathbb{Q}[x]$  with  $f(\mathbb{Z}) \subseteq \mathbb{Z}$ . Note that  $\mathbb{Z}[x] \subseteq \text{Int}(\mathbb{Z}) \subseteq \mathbb{Q}[x]$ . In general, the inclusion  $\mathbb{Z}[x] \subseteq \text{Int}(\mathbb{Z})$  is strict: for instance,  $\binom{x}{2} \in \text{Int}(\mathbb{Z})$  even though it does not belong to  $\mathbb{Z}[x]$ . In addition, for every  $n \in \mathbb{N}_0$ ,

$$\binom{x}{n} := \frac{x(x-1)\cdots(x-(n-1))}{n!} \in \text{Int}(\mathbb{Z}),$$

where we assume the convention that  $\binom{x}{0} = 1$ . The ring  $\text{Int}(\mathbb{Z})$  is a free  $\mathbb{Z}$ -module with regular basis  $\{\binom{x}{n} : n \in \mathbb{N}_0\}$ . Indeed, if we set  $\Delta f(k) = f(k+1) - f(k)$ , then the Gregory-Newton formula allows us to write any polynomial  $f(x)$  of degree  $d$  in  $\text{Int}(\mathbb{Z})$  as a unique  $\mathbb{Z}$ -linear combination of the  $\binom{x}{n}$ 's as follows:

$$f(x) = \sum_{j=0}^d \Delta^j f(0) \binom{x}{j}.$$

**2.4. Linear Homogeneous Recurrence Relations.** Several of our proofs involve linear recurrence relations. Given a field  $F$ , a *linear homogeneous recurrence relation of degree  $k$*  in  $F$  is an equation in countably many variables  $(x_n)_{n \geq 0}$  that defines the  $n$ -th term of a sequence as a linear combination of the previous  $k$  terms as follows:

$$(2.3) \quad x_n = \sum_{j=1}^k c_j x_{n-j},$$

where  $c_1, \dots, c_k \in F$  and  $c_k \neq 0$ . A solution of (2.3) is a sequence  $(s_n)_{n \geq 0}$  with terms in  $F$  that satisfies (2.3). The *characteristic polynomial* of the recurrence relation in (2.3) is

$$p(x) = x^k - \sum_{j=1}^k c_j x^{k-j}.$$

It is well known that this type of recurrence relation can be solved explicitly in terms of the roots of their corresponding characteristic polynomials as follows.

**Theorem 2.5.** Let  $F$  be a field, and let  $p(x)$  be a polynomial in  $F[x]$  of degree  $d$  that splits as  $p(x) = \prod_{i=1}^r (x - \rho_i)^{e_i}$  in the splitting field  $K$  of  $p(x)$ . The set of solutions of the linear recurrence relation with characteristic polynomial  $p(x)$  is the  $d$ -dimensional vector space  $V$  over  $F$  with basis

$$\mathcal{B}_{p(x)} = \{(n^j \rho_i^n)_{n \geq 0} : i \in [1, r] \text{ and } j \in [0, e_i - 1]\}.$$

Thus, the vector space  $V$  consists of all sequences  $(s_n)_{n \geq 0}$  with terms in  $K$  for which there exist polynomials  $p_1(x), \dots, p_r(x) \in K[x]$  with  $\deg p_i(x) < e_i$  such that

$$s_n = p_1(n)\rho_1^n + p_2(n)\rho_2^n + \cdots + p_r(n)\rho_r^n$$

for every  $n \in \mathbb{N}$ .

### 3. ALGEBRAIC CONSIDERATIONS

In this first section of content, we discuss two algebraic aspects of the additive monoids  $M_\alpha$  which will simplify the sample space of the parameter  $\alpha$  from  $\mathbb{C}$  to the set consisting of all positive algebraic numbers whose minimal polynomials cannot be written as  $m(x^n)$  for any pair  $(n, g(x)) \in \mathbb{N}_{\geq 2} \times \mathbb{Q}[x]$ .

**3.1. Simplicity.** For any  $\alpha \in \mathbb{A}$ , one can show that the monoid  $M_\alpha$  is the product of finitely many copies of the monoid  $M_\beta$  for some  $\beta \in \mathbb{A}$  whose minimal polynomial is in some sense simpler than the minimal polynomial of  $\alpha$ . Let us formally define what do we mean by simplicity in this case.

**Definition 3.1.** We say that a nonconstant polynomial  $f(x) \in \mathbb{Q}[x]$  is *simple* if the only pair  $(n, g(x)) \in \mathbb{N} \times \mathbb{Q}[x]$  that satisfies the equality  $f(x) = g(x^n)$  is  $(1, f(x))$ .

That is, the GCD of the support of a simple polynomial must be 1 (in  $\mathbb{N}$ ). Not every irreducible polynomial in  $\mathbb{Q}[x]$  is simple, as we see in the next example.

**Example 3.2.** For instance, as an immediate application of Eisenstein's criterion, we obtain that the polynomial  $m(x) := x^d - q \in \mathbb{Q}[x]$  is irreducible for all pairs  $(d, q) \in \mathbb{N} \times \mathbb{Q}_{>0}$  such that the positive  $d^{\text{th}}$  root of  $q$  is not rational. Observe that  $m(x)$  is simple if and only if  $d = 1$ , whence  $x^d - p \in \mathbb{Q}[x]$  is a non-simple irreducible polynomial for any pair  $(d, p) \in \mathbb{Q}_{>1} \times \mathbb{P}$ . ■

The following lemma, which will be helpful in the proof of Theorem 5.3, shows that we can arbitrarily increase the length of our antimatter decomposition while keeping the simplicity condition.

**Lemma 3.3.** If  $\alpha \in \mathbb{A} \cap (0, 1)$  is a root of a simple polynomial in  $x\mathbb{N}_0[x] - 1$  then, for any given  $\ell \in \mathbb{N}$ , the parameter  $\alpha$  is also a root of a simple polynomial in  $x\mathbb{N}_0[x] - 1$  whose degree is at least  $\ell$ .

*Proof.* Take  $\alpha \in \mathbb{A} \cap (0, 1)$ , and let  $p(x)$  be a simple polynomial in  $x\mathbb{N}_0[x] - 1$  having  $\alpha$  as a root. The existence, for each  $\ell \in \mathbb{N}$ , of a simple polynomial of degree at least  $\ell$  in  $x\mathbb{N}_0[x] - 1$  having  $\alpha$  as a root reduces to proving the following claim.

**CLAIM.** There exists a sequence  $(p_n(x))_{n \geq 0}$  of simple polynomials in  $x\mathbb{N}_0[x] - 1$  that share  $\alpha$  as a common root and satisfy  $\deg p_n(x) = 2 \deg p_{n-1}(x)$  for every  $n \in \mathbb{N}$ .

**PROOF OF CLAIM.** We proceed by induction. For the base case set  $p_0(x) := p(x)$  and to argue the induction step, we assume that we have already produced simple polynomials  $p_0(x), p_1(x), \dots, p_n(x)$  in  $x\mathbb{N}_0[x] - 1$  with  $\alpha$  as a common root such that  $\deg p_i(x) = 2 \deg p_{i-1}(x)$  for every  $i \in [1, n]$ . Now consider the polynomial

$$p_{n+1}(x) := p_n(x)(1 + x^{\deg p_n(x)}),$$

which also has  $\alpha$  as a root and belongs to  $x\mathbb{N}_0[x] - 1$ . We only need to argue that 1 is the only positive common divisor of  $\text{supp } p_{n+1}(x)$ . Note that every element in  $\text{supp } p_n(x)$ , perhaps save for  $\deg p_n(x)$ , remains in  $\text{supp } p_{n+1}(x)$ . This, along with the fact that  $2 \deg p_n(x) \in \text{supp } p_{n+1}(x)$ , ensures that the

only potential common divisors of  $\text{supp } p_{n+1}(x)$  in  $\mathbb{N}$  are 1 and 2. Thus, we are done once we show that 2 is not a common divisor of  $\text{supp } p_n(x)$ .

Assume, towards a contradiction, that each integer in  $\text{supp } p_{n+1}(x)$  is even. As  $p_n(x)$  is not a monomial,  $\text{supp } p_n(x) \setminus \{\deg p_n(x)\}$  is a nonempty subset of  $\text{supp } p_{n+1}(x)$  and, as a consequence,  $\text{supp } p_n(x) \setminus \{\deg p_n(x)\} \subset 2\mathbb{N}_0$ . Thus, the fact that the only positive common divisor of  $\text{supp } p_n(x)$  is 1 guarantees that  $\deg p_n(x)$  is odd. As  $p_n(x)$  is simple and non-linear, it cannot be a binomial. Hence  $\text{supp } p_n(x) \setminus \{0, \deg p_n(x)\}$  is nonempty, and we can pick  $s \in \text{supp } p_n(x) \setminus \{0, \deg p_n(x)\}$ . As  $s$  is even,  $s + \deg p_n(x)$  must be an odd integer in  $\text{supp } p_{n+1}(x)$ , which is a contradiction.  $\square$

Next we prove that for any  $\alpha \in \mathbb{A}$ , the monoid  $M_\alpha$  is isomorphic to the direct product of finitely many copies of the monoid  $M_\rho$  for some  $\rho \in \mathbb{A}$  whose minimal polynomial is simple.

**Proposition 3.4.** *For  $\alpha \in \mathbb{A}$  with minimal polynomial  $m_\alpha(x) \in \mathbb{Q}[x]$ , if  $m_\alpha(x) = m(x^k)$  for some  $k \in \mathbb{N}$  and a simple polynomial  $m(x) \in \mathbb{Q}[x]$ , then the monoids  $M_\alpha$  and  $M_{\alpha^k}$  are isomorphic.*

*Proof.* Fix  $\alpha \in \mathbb{A}$  with minimal polynomial  $m_\alpha(x)$ , and assume that  $m_\alpha(x) = m(x^k)$  for a pair  $(k, m(x)) \in \mathbb{N} \times \mathbb{Q}[x]$  such that  $m(x)$  is simple. From the fact that  $m_\alpha(x)$  is an irreducible polynomial in  $\mathbb{Q}[x]$ , one obtains that  $m(x)$  is also an irreducible polynomial in  $\mathbb{Q}[x]$ . Therefore,  $m(x)$  is the minimal polynomial of  $\alpha^k$ . Before proceeding, it is convenient to argue the following.

CLAIM. Let  $A(x)$  and  $B(x)$  be polynomials in  $\mathbb{N}_0[x]$  not both constant, and let  $d$  be the maximum of the set  $\{\deg A(x), \deg B(x)\}$ . Write

$$A(x) = \sum_{n=0}^d a_n x^n \quad \text{and} \quad B(x) = \sum_{n=0}^d b_n x^n$$

for some coefficients  $a_0, \dots, a_d$  and  $b_0, \dots, b_d$  in  $\mathbb{N}_0$ . If  $d = qk+r$  for some  $q, r \in \mathbb{N}_0$  with  $r \in \llbracket 0, k-1 \rrbracket$ , then the following two conditions are equivalent:

- $A(\alpha) = B(\alpha)$ ;
- $\sum_{j=0}^q a_{jk+i} \alpha^{jk} = \sum_{j=0}^q b_{jk+i} \alpha^{jk}$  for every  $i \in \llbracket 0, k-1 \rrbracket$ .

PROOF OF CLAIM. We can assume, without loss of generality, that  $\deg A(x) \geq \deg B(x)$ , in which case,  $d = \deg A(x)$ . Then

$$A(\alpha) - B(\alpha) = \sum_{n=0}^d (a_n - b_n) \alpha^n = \sum_{j=0}^q \sum_{i=0}^{k-1} (a_{jk+i} - b_{jk+i}) \alpha^{jk+i} = \sum_{i=0}^{k-1} \left( \alpha^i \sum_{j=0}^q (a_{jk+i} - b_{jk+i}) \alpha^{jk} \right),$$

where  $a_{qk+i} = b_{qk+i} = 0$  for every index  $i \in \llbracket r+1, k-1 \rrbracket$ . From this, we can immediately infer that  $A(\alpha) = B(\alpha)$  if and only if  $\sum_{j=0}^q (a_{jk+i} - b_{jk+i}) \alpha^{jk} = 0$  for every  $i \in \llbracket 0, k-1 \rrbracket$ , which is equivalent to the second condition. This establishes the claim.

Let us now continue with the proof of the main statement by defining a function  $\psi: M_\alpha \rightarrow M_{\alpha^k}$  as follows: for any polynomial  $A(x) \in \mathbb{N}_0[x]$  having degree  $d \in \mathbb{N}_0$  and  $a_0, \dots, a_d \in \mathbb{N}_0$  such that

$$(3.1) \quad A(x) = \sum_{n=0}^d a_n x^n,$$

write  $d = qk+r$  for some  $q, r \in \mathbb{N}_0$  with  $r \in \llbracket 0, k-1 \rrbracket$ , and then set

$$(3.2) \quad \psi(A(\alpha)) := \left( \sum_{j=0}^q a_{jk} \alpha^{jk}, \alpha \sum_{j=0}^q a_{jk+1} \alpha^{jk}, \dots, \alpha^{k-1} \sum_{j=0}^q a_{jk+(k-1)} \alpha^{jk} \right)$$

so that  $a_{qk+i} := 0$  for every index  $i \in \llbracket r+1, k-1 \rrbracket$ . As an immediate consequence of the established claim, for any two given polynomials  $A(x)$  and  $B(x)$  in  $\mathbb{N}_0[x]$ , the equality  $A(\alpha) = B(\alpha)$  guarantees

that  $\psi(A(\alpha)) = \psi(B(\alpha))$ , whence  $\psi$  is a well-defined function. In addition, it is clear that  $\psi$  is a surjective monoid homomorphism. Finally, for any two polynomials  $A(x)$  and  $B(x)$  in  $\mathbb{N}_0[x]$ , the equality  $\psi(A(\alpha)) = \psi(B(\alpha))$  is precisely the second condition in the statement of the established claim, and so the equality  $A(\alpha) = B(\alpha)$  must hold. Hence  $\psi$  is a monoid isomorphism and, therefore,  $M_\alpha \cong M_\alpha^k$ .  $\square$

In light of [13, Example 3.3], for each positive rational  $q \in \mathbb{Q}$ , the monoid  $M_q$  is a valuation monoid if and only if  $q \in \mathbb{N} \cup \mathbb{N}^{-1}$ . Therefore, for each prime  $p \in \mathbb{P}$ ,

$$(3.3) \quad M_{1/p} = \mathbb{N}_0 \left[ \frac{1}{p} \right] = \left\{ \frac{n}{p^k} : k, n \in \mathbb{N}_0 \right\}$$

is a valuation monoid, and so a GCD monoid.

If the monoid  $M_q$  is a GCD monoid, then it is either a UFM or a valuation monoid. This is not the case for the class consisting of all monoids  $M_\alpha$  parameterized by non-rational algebraic  $\alpha$ . The following example not only illustrates this fact, but also shows, as a special case of Proposition 3.4, how to write certain rank- $d$  positive monoids as finite products of rank-one monoids.

**Example 3.5.** For  $d \in \mathbb{N}_{\geq 2}$ , we argue that there are infinitely many non-isomorphic rank- $d$  GCD monoids  $M_\alpha$  (with  $\alpha \in \mathbb{A}$ ) that are neither UFM nor valuation monoids. Note that, for each prime  $p \in \mathbb{P}$ , the polynomial

$$m_{d,p}(x) := x^d - \frac{1}{p}$$

is irreducible in  $\mathbb{Q}[x]$ , which follows as an immediate consequence of Eisenstein's criterion. Thus,  $m_{d,p}(x)$  is the minimal polynomial of the positive  $d^{\text{th}}$  root  $\rho_{d,p}$  of  $\frac{1}{p}$ . To ease notation we write  $M_{d,p}$  instead of  $M_{\rho_{d,p}}$ . Observe that the polynomial  $m_{d,p}(x)$  is not simple as  $m_{d,p}(x) = m(x^d)$ , where  $m(x) := x - \frac{1}{p} \in \mathbb{Q}[x]$ . The polynomial  $x^d - q \in \mathbb{Q}[x]$  is the minimal polynomial of  $\rho_{d,p}$ , while the polynomial  $x - q \in \mathbb{Q}[x]$  is simple. In light of Proposition 3.4, we obtain that

$$M_{d,p} \cong M_{1/p}^d = \mathbb{N}_0 \left[ \frac{1}{p} \right]^d,$$

and so  $M_{d,p}$  is isomorphic to the direct product of  $d$  copies of the valuation Puiseux monoid  $M_{1/p}$ . As the direct product of finitely many GCD monoids is again a GCD monoid,  $M_{d,p}$  remains a GCD monoid. Next, as  $1, \rho_{d,p}, \rho_{d,p}^2, \dots, \rho_{d,p}^{d-1}$  are linearly independent over  $\mathbb{Q}$ , none of these elements divide each other, so  $M_{d,p}$  is not a valuation monoid. Finally,  $M_{d,p}$  is not factorial as it is antimatter but not a group.  $\blacksquare$ .

In light of the relation between  $M_{d,p}$  and  $M_{1/p}$ , we employ the following notation. Given a polynomial  $f(x) \in \mathbb{Q}[x]$ , we refer to the unique simple polynomial  $g(x) \in \mathbb{Q}[x]$  such that  $f(x) = g(x^n)$  for some  $n \in \mathbb{N}$  as the *simplified polynomial* of  $f(x)$ . In addition, for each  $\alpha \in \mathbb{A}$ , we say that the monoid  $M_\alpha$  is *simple* if the minimal polynomial of  $\alpha$  is simple. Furthermore, the *simplified monoid* of  $M_\alpha$  is the monoid generated by a root of the simplified polynomial of  $m_\alpha(x)$ . It is often helpful to restrict our attention to simple  $M_\alpha$  as Proposition 3.4 shows that a monoid is isomorphic to a product consisting of copies of its simplified monoid.

**3.2. The Case of Abelian Groups.** We have already mentioned that the monoid  $M_\alpha$  is a UFM when  $\alpha$  is transcendental, so we can restrict our attention to parameters in the set  $\mathbb{A}$  consisting of all complex algebraic numbers. Moreover, as we wish to investigate divisibility, factorization, valuation, and ideal-theoretical properties of the monoids  $M_\alpha$ , one can further restrict to the set of algebraic numbers in the nonnegative ray  $\mathbb{R}_{\geq 0}$ . This is because  $M_\alpha$  is an abelian group for all complex algebraic numbers having no conjugates in  $\mathbb{R}_{\geq 0}$ . We conclude this section proving this fact.

**Theorem 3.6.** *For  $\alpha \in \mathbb{A}$ , the following conditions are equivalent.*

- (a)  $M_\alpha$  is an abelian group.
- (b)  $\alpha$  does not have any positive conjugate.

*Proof.* (a)  $\Rightarrow$  (b): Assume that  $M_\alpha$  is an abelian group. This, along with the fact that  $1 \in M_\alpha$ , ensures that  $-1 \in \mathbb{N}_0[\alpha]$ . Therefore,  $\alpha$  is a root of a polynomial whose coefficients are nonnegative rationals (indeed, nonnegative integers). Thus, it follows from Dubickas [16] that  $\alpha$  is not conjugate to any nonnegative real number.

(b)  $\Rightarrow$  (a): Assume now that  $\alpha$  does not have any nonnegative conjugates. Therefore, the minimal polynomial  $m_\alpha(x) \in \mathbb{Q}[x]$  of  $\alpha$  does not have any nonnegative real roots. As  $\lim_{x \rightarrow \infty} m_\alpha(x) = \infty$ , the fact that  $m_\alpha(x)$  does not have any positive roots implies that  $m_\alpha(r) > 0$  for all  $r \in \mathbb{R}_{\geq 0}$ . Now consider the homogeneous polynomial  $p(X, Y) = X^d + \sum_{n=0}^{d-1} c_n X^n Y^{d-n} \in \mathbb{Q}[X, Y]$ , where  $d$  is the degree of  $m(x)$ . Take  $(X_0, Y_0) \in \Delta_2 = \{(X, Y) \in \mathbb{R}_{\geq 0}^2 : X + Y = 1\}$ . If  $Y_0 = 0$ , then  $X_0 = 1$  and so  $p(X_0, Y_0) = X_0^d = 1$ . On the other hand, if  $Y_0 > 0$ , then  $\frac{X_0}{Y_0} > 0$  and so

$$p(X_0, Y_0) = Y_0^d \left( \left( \frac{X_0}{Y_0} \right)^d + \sum_{n=0}^{d-1} c_n \left( \frac{X_0}{Y_0} \right)^n \right) = Y_0^d m\left(\frac{X_0}{Y_0}\right) > 0.$$

Hence the polynomial  $p(X, Y)$  is positive on the 2-simplex  $\Delta_2$  and, in light of Theorem ??, we can take  $\ell \in \mathbb{N}$  large enough so that all the coefficients of the polynomial  $(X+Y)^\ell p(X, Y)$  are nonnegative. Now we can replace  $(X, Y)$  by  $(x, 1)$  on both sides of the equality

$$Y^d (X+Y)^\ell m_\alpha\left(\frac{X}{Y}\right) = (X+Y)^\ell p(X, Y)$$

to obtain that every coefficient of the polynomial  $f(x) := (x+1)^\ell m(x)$  is also nonnegative. Thus,  $\alpha$  is a root of a polynomial with nonnegative rational coefficients, and so we can conclude that none of the conjugates of  $\alpha$  (including itself) belongs to  $\mathbb{R}_{\geq 0}$ .  $\square$

#### 4. ANTIMATTERNESS

Fix  $\alpha \in \mathbb{A}$ . Some necessary conditions for  $M_\alpha$  to be antimatter are provided in [13, Proposition 4.5]. Here, we provide a full characterization of when  $M_\alpha$  is antimatter, which is a more delicate matter. Ultimately, this characterization will allow us to describe the algebraic parameters  $\alpha$  for which  $M_\alpha$  is a valuation monoid.

When  $M_\alpha$  is atomic, the fact that the factorization monoid  $Z(M_\alpha)$  is a free commutative monoid on either the set  $\{\alpha^n : n \in \mathbb{N}_0\}$  or the set  $\{\alpha^n : n \in [\![0, k]\!]\}$  for some  $k \in \mathbb{N}_0$  allows us to identify each factorization in  $Z(M_\alpha)$  with a polynomial in  $\mathbb{N}_0[x]$ . This was first observed in [13, Remark 4.3], and from now on we shall use this identification throughout this paper without explicit mention.

**4.1. Necessary Conditions.** We begin by showing that antimatterness entails  $m_\alpha(x)$  having a positive root that is small relative to its other roots. Specifically, we measure this magnitude by the standard Euclidean norm.

**Proposition 4.1.** *For  $\alpha \in \mathbb{A}_{>0}$ , let  $M_\alpha$  be antimatter. Then the following statements hold.*

- (1)  $\alpha$  is the only positive root of  $m_\alpha(x)$ .
- (2) Each complex root of  $m_\alpha(x)$  is at least  $\alpha$  in norm.

*Proof.* (1) By [13, Theorem 4.2],  $M_\alpha$  is antimatter if and only if 1 is not an atom. As a result, there exists  $f(x) \in x\mathbb{N}_0[x] - 1$  having  $\alpha$  as a root, which represents our antimatter decomposition. In particular,  $f(x) + 1$  can be identified with a factorization of 1 whose terms consist only of nonconstant powers of  $x$ . Since  $f(x)$  has precisely one variation in sign, Descartes' Rule of Signs ensures that it has one positive root. In addition, the rule asserts that  $\alpha$  has multiplicity one, i.e., it is a simple root. As each root of  $m_\alpha(x)$  is also one of  $f(x)$ , this also holds for  $m_\alpha(x)$ .

(2) Let us now consider the negative reciprocal polynomial  $g(x)$  of  $f(x)$ , i.e.,  $g(x) := x^{\deg f(x)} f(x^{-1})$ . Take  $c_0, c_1, \dots, c_{d-1} \in \mathbb{N}_0$  such that

$$g(x) = x^d - \sum_{i=0}^{d-1} c_i x^i.$$

If  $\gamma \in \mathbb{C}$  with  $|\gamma| > \alpha^{-1}$ , then  $g(|\gamma|) > 0$ . Since

$$|\gamma^n| > \sum_{i=0}^{d-1} c_i |\gamma|^i \geq \left| \sum_{i=0}^{d-1} c_i \gamma^i \right|,$$

$\gamma$  cannot be a root of  $g(x)$ . Hence all roots of  $g(x)$ , and hence of  $r_\alpha(x)$ , are at most  $\alpha^{-1}$  in norm. Reciprocating yields that all roots of  $m_\alpha(x)$  are at least  $\alpha$  in norm. Of course, this becomes strict when  $M_\alpha$  is simple.  $\square$

We show a second condition regarding the minimal polynomial that is necessary for  $M_\alpha$  to be antimatter. Given  $\alpha \in \mathbb{A}$  with minimal polynomial  $m_\alpha(x)$ , recall that  $c_\alpha$  is the unique positive integer such that  $c_\alpha m_\alpha(x)$  is a primitive integer polynomial. In particular, we set

$$w_\alpha(x) := c_\alpha m_\alpha(x) \in \mathbb{Z}[x].$$

This results in another requirement for the antimatter property, which may also be seen as an easily verifiable necessary condition for the monoid  $M_\alpha$  to be antimatter based on the polynomial  $w_\alpha(x)$ .

**Proposition 4.2.** *For each  $\alpha \in \mathbb{A}$ , if  $M_\alpha$  is antimatter then  $w_\alpha(0) = -1$  (equivalently,  $\alpha^{-1}$  is an algebraic integer).*

*Proof.* Since  $1 \notin \mathcal{A}(M_\alpha)$ , [13, Theorem 4.2] guarantees a nonzero polynomial  $g(x) \in x\mathbb{N}_0[x] - 1$  having  $\alpha$  as a root. Hence  $g(x)$  is a multiple of the minimal polynomial of  $\alpha$ , so we may write  $g(x) = q(x)m_\alpha(x)$  for some polynomial  $q(x) \in \mathbb{Q}[x]$ . Thus,  $g(x) = q(x)w_\alpha(x)/c_\alpha$ , and, as  $g(x) \in \mathbb{Z}[x]$ , it follows from Gauss's lemma that the content of  $q(x)$  is  $c_\alpha$ . Further, we can write  $g(x) = Q(x)w_\alpha(x)$ , where  $Q(x) := q(x)/c_\alpha$  is a primitive integer polynomial. Hence  $w_\alpha(0) \mid g(0) = -1$ , which implies that  $w_\alpha(0) \in \{-1, 1\}$ .

However,  $w_\alpha(0) = 1$  would imply that the last term is positive, forcing the number of sign changes to be even—both the leading coefficient and the constant would be positive, so every sign change from positive to negative would be paired with one in the opposite direction. By Descartes' Rule of Signs,  $m_\alpha(x)$  would then have an even number of positive roots, which contradicts the uniqueness of  $\alpha$  as a positive root. Hence,  $w_\alpha(0) = -1$ .  $\square$

This replicates the case of  $q \in \mathbb{Q}_{>0}$  for which  $M_q$  is antimatter if and only if  $q^{-1}$  is an integer. Combining the two propositions, we remark that a nearly equivalent description of the conditions placed on  $M_\alpha$  being antimatter is as follows. We begin with the definition of a Perron number, first introduced in the context of Perron-Frobenius theory of nonnegative matrices and their spectral properties [25]. This connection is central in symbolic dynamics, and our later proofs use the same tools as proofs in that area.

**4.2. Characterizations.** Our next goal is to characterize when  $M_\alpha$  is antimatter. Toward this end, it is convenient to recall what is a Perron number.

**Definition 4.3.** A *Perron number* is a real algebraic integer greater than 1 whose algebraic conjugates are each strictly less than  $\alpha$  in norm.

It turns out that  $\alpha^{-1}$  being a Perron number is intricately connected to  $M_\alpha$  being antimatter. In particular, the connection results from the fact that the conjugates of  $\alpha$  are the inverses of the conjugates of  $\alpha^{-1}$ . Perron numbers do not fit the bill entirely as they must be strictly greater in norm than their conjugates, while Proposition 4.1 does not have a strict inequality. On the other hand, when  $M_\alpha$  is simple, it is not possible for there to be multiple roots of the same maximal modulus by [8, Theorem]. Further restricting  $\alpha$  to lie on  $(0, 1)$ , this becomes an exact characterization. Moreover, considering the simplified monoid of  $M_\alpha$  is reasonable as the property of being antimatter is preserved under products, as in Proposition 3.4.

To finish our characterization of the antimatter monoids, we exhibit the following proposition. Its purpose is to construct  $h(x) \in \mathbb{Z}[x]$  so that  $1 + h(x)w_\alpha(x) \in x\mathbb{N}_0[x]$ . Substituting  $x = \alpha$  would allow us to drop the second term as it evaluates to zero, then implying that  $1 = f(\alpha)$  for some  $f(\alpha) \in x\mathbb{N}_0[x]$ . The right-hand side involves at least two noninvertible elements and thus represents a nontrivial factorization of 1. Hence, this proposition will demonstrate that the given conditions are sufficient for  $M_\alpha$  to be antimatter.

We first show that  $w_\alpha(x)$  has a multiple with one sign change, and then that yields a multiple in  $x\mathbb{N}_0[x] - 1$ . The outline of the proof is similar to that of [23, Theorem 5(iii)], but ours is less involved (though less general) and stays purely in the realm of linear algebra. Further, it foreshadows a later proof we use for the valuation case.

**Proposition 4.4.** For  $\alpha \in \mathbb{A} \cap (0, 1)$ , if  $\alpha^{-1}$  is a Perron number with no positive conjugate aside from itself, then there exists a polynomial  $h(x) \in \mathbb{Z}[x]$  such that  $h(x)w_\alpha(x) \in x\mathbb{N}_0[x] - 1$  and is also simple.

*Proof.* The conditions on  $\alpha^{-1}$  are precisely those specified in [23, Theorem 5(i)], and they guarantee that for all sufficiently large  $N \in \mathbb{N}$ , multiplying  $(x+1)^N$  by the reciprocal polynomial of  $w_\alpha(x)$  yields a polynomial with precisely one sign change. Fix some large enough  $N \in \mathbb{N}$ , and then let  $r(x)$  denote the resulting polynomial and  $u(x)$  the reciprocal polynomial of  $r(x)$ . Then set

$$d := \deg m_\alpha(x) \quad \text{and} \quad D := \deg r(x) = \deg u(x) = d + N.$$

Let  $(a_n)_{n \geq 0}$  be a sequence of integers, and let us find an index  $k \in \mathbb{N}$  such

$$h(x) = a_0 + a_1x + \cdots + a_kx^k = \sum_{i=0}^k a_i x^i.$$

We begin by choosing  $a_0$  through  $a_{D-1}$  so that the coefficient of  $f(x)$  at each of  $1, x, \dots, x^{d-1}$  are zero. This can be done directly; since  $u(0) = -1$ , one may add or subtract copies of  $x^i u(x)$  as needed in order to zero the coefficient of  $x^i$ . For instance,  $a_0 = 1$  in order to set the constant term of  $f(x)$  equal to 0. Moreover, no terms of higher degree in  $h(x)$  would affect previous coefficients, meaning a direct algorithm suffices.

As  $\deg r(x) = D$ , we can take  $c_0, c_1, \dots, c_D \in \mathbb{Z}$  such that  $r(x) = \sum_{i=0}^D c_i x^i$ , and then let the coefficients  $a_D$  and onward satisfy

$$\sum_{i=0}^D c_{D-i} a_{n-i} = 0$$

for  $n \geq D$ . Of course,  $r(x)$  remains monic, so  $c_D = -1$ . Hence  $a_n = \sum_{i=1}^D c_{D-i}a_{n-i}$ , meaning each term in the sequence is an integer. Observe also that the coefficients of this linear recurrence are chosen so that taking  $h(x) = h_n(x)$  would produce some  $f(x)$  for which every term with exponent at most  $n$  would have a coefficient equal to zero. It may be that at some truncation, not all coefficients of  $f(x)$  are nonnegative, but we shall show that they eventually are.

Using our background on such recurrences, we now find an explicit formula for  $a_n$ . Let  $r_1, r_2, \dots, r_d, -1$  be the  $d+1$  distinct roots to  $r(x)$ , where the last root  $-1$  has multiplicity  $N$ . Therefore, our explicit formula is given by  $a_n = B_1r_1^n + B_2r_2^n + \dots + B_d r_d^n + C(n)(-1)^n$ , where  $\deg C(n) < N$ . Then we can use the fact that the binomial coefficients form an infinite basis for the integer-valued polynomials to write

$$C(x) = C_0 \binom{-x}{0} - C_1 \binom{-x}{1} + C_2 \binom{-x}{2} - \dots + (-1)^{N-1} C_{N-1} \binom{-x}{N-1} \in \mathbb{Q}[x],$$

the rationale for which will soon be made clear.

Without loss of generality, let us set  $r_1 := \alpha^{-1}$ . As the magnitude of  $r_1$  strictly exceeds the magnitude of any other root,  $a_n$  will be dominated by  $B_1\alpha^{-n} = B_1r_1^n$  for large  $n$  so long as  $B_1 \neq 0$ . Hence, proving that later terms are all positive amounts to showing that  $B_1 \in \mathbb{R}_{>0}$ . Using linear algebra, we may find an exact value for  $B_1$  in terms of the other roots. First, by applying our recurrence in the backward direction, we obtain the equations

$$\begin{aligned} a_0 &= 1 = B_1 + B_2 + \dots + B_d + C_0, \\ a_{-1} &= 0 = \frac{B_1}{r_1} + \frac{B_2}{r_2} + \dots + \frac{B_d}{r_d} - C_0 + C_1, \\ a_{-2} &= 0 = \frac{B_1}{r_1^2} + \frac{B_2}{r_2^2} + \dots + \frac{B_d}{r_d^2} + C_0 - 2C_1 + C_2, \\ a_{-3} &= 0 = \frac{B_1}{r_1^3} + \frac{B_2}{r_2^3} + \dots + \frac{B_d}{r_d^3} - C_0 + 3C_1 - 3C_2 + C_3, \\ &\vdots \\ a_{-D-1} &= 0 = \frac{B_1}{r_1^{D-1}} + \frac{B_2}{r_2^{D-1}} + \dots + \frac{B_d}{r_d^{D-1}} + \sum_{n=0}^{N-1} \binom{D-n}{n} (-1)^{-(D-1-n)} C_n, \end{aligned}$$

where for uniformity we use  $r_1$  instead of  $\alpha^{-1}$ . In matrix form, our coefficients correspond to

$$\mathbf{D} = \begin{bmatrix} 1 & 1 & \cdots & 1 & 0 & 0 & \cdots & 0 \\ r_1^{-1} & r_2^{-1} & \cdots & r_d^{-1} & -1 & 1 & \cdots & 0 \\ r_1^{-2} & r_2^{-2} & \cdots & r_d^{-2} & 1 & -2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ r_1^{-(D-1)} & r_2^{-(D-1)} & \cdots & r_d^{-(D-1)} & (-1)^{-(D-1)} & (D-1)(-1)^{-(D-2)} & \binom{D-2}{2}(-1)^{-(D-3)} & \cdots & \binom{D-N+1}{N-1}(-1)^{-(D-N)} \end{bmatrix},$$

which yields

$$\mathbf{D} [B_1 \ B_2 \ \cdots \ B_n \ C_0 \ C_1 \ C_2 \ \cdots \ C_{N-1}]^\top = [1 \ 0 \ \cdots \ 0]^\top.$$

It follows from Cramer's rule that  $B_1 = \det \mathbf{N}/\det \mathbf{D}$ , where  $\mathbf{N}$  is the matrix that results from substituting the column vector on the right-hand side of the above equation into the leftmost column of  $\mathbf{D}$ . Therefore

$$\det \mathbf{D} = \prod_{1 \leq i < j \leq d} (r_j^{-1} - r_i^{-1}) \cdot \prod_{j=1}^d (1 - r_j^{-1})^N.$$

As  $\det \mathbf{N}$  is simply  $\det \mathbf{D}$ , after taking limits as  $r_1 \rightarrow \infty$  on both sides of the previous identity, we see that

$$\det \mathbf{N} = \prod_{2 \leq i < j \leq d} (r_j^{-1} - r_i^{-1}) \cdot \prod_{j=2}^d r_j^{-1} \cdot \prod_{i=2}^d (1 - r_i^{-1})^N,$$

while we may rewrite  $\det \mathbf{D}$  in a similar form, namely

$$\det \mathbf{D} = \prod_{2 \leq i < j \leq d} (r_j^{-1} - r_i^{-1}) \cdot \prod_{j=2}^d (r_j^{-1} - r_1^{-1}) \cdot \prod_{i=2}^d (1 - r_i^{-1})^N \cdot (1 - r_1^{-1})^N,$$

so their ratio becomes

$$\frac{\det \mathbf{N}}{\det \mathbf{D}} = \frac{\prod_{j=2}^d r_j^{-1}}{\prod_{j=2}^d (r_j^{-1} - r_1^{-1}) \cdot (1 - r_1^{-1})^N}.$$

As  $r_1^{-1} = \alpha < 1$ , then  $(1 - r_1^{-1})^N$  is positive, and it suffices to focus on the remaining piece. In addition,

$$\frac{\prod_{j=2}^d r_j^{-1}}{\prod_{j=2}^d (r_j^{-1} - r_1^{-1})} = \prod_{j=2}^d (1 - r_j/r_1)^{-1}.$$

If  $r_j$  is negative, then  $1 - r_j/r_1$  is positive. Meanwhile, for the remaining complex conjugate pairs, observe that as  $r_1$  is real, then  $1 - r_j/r_1 = 1 - \bar{r}_j/r_1$ . Hence, the contribution from that pair is the norm of a nonzero complex number and is also positive. We conclude  $B_1 \in \mathbb{R}_{>0}$ .

As the roots are being exponentiated, the value of  $a_n$  will be dominated by  $B_1 r_1^n$  for sufficiently large  $n$  because  $r_1 = \alpha^{-1}$  is the strictly largest root by norm. We are now in the position to show that the coefficients of terms in  $f(x)$  are all nonnegative, which would complete the proof. It is only past this point that we make use of the fact that  $r(x)$  has precisely one sign change.

Recall that  $h_k(x)$  is the truncation that includes only terms with exponents at most  $k$ . Letting  $b_n$  denote the coefficient of  $x^n$  in  $f(x)$ , we must prove that  $b_n \geq 0$  when  $n = k+i$  for any  $i \in \llbracket 1, d \rrbracket$ , as all other coefficients are zero. In particular, we will actually show that  $b_n > 0$  for those  $d$  values of  $n$ , in order to demonstrate that  $f(x)$  is simple. The cases for  $i = 1$  and  $i > 1$  will be treated distinctly. In either case, however, we will demonstrate that there exists some large enough  $k$  for which the relevant coefficient is positive, and by choosing  $k$  larger than each of those bounds, we will have satisfied the criteria.

First,  $b_{k+1} = a_{k+1}$  by definition. Moreover, there must exist an index  $k \in \mathbb{N}$  large enough for which  $a_{k+1}$  is positive. It is clear that  $b_n = \sum_{j=0}^{d-i} c_j a_{k-d+i+j}$  for every  $n \in \mathbb{N}$ . Now take  $p \in \llbracket 1, d-1 \rrbracket$  satisfying  $c_j \geq 0$  for  $j < p$  and  $c_j \leq 0$  for  $j \geq p$  so as to encode the position of the singular sign change in some sense. There may be multiple potential  $p$  if there is a gap in the support of  $r(x)$ , in which case any such  $p$  would suffice. Clearly, for  $i > d-p$ , we will be summing only nonnegative terms, as  $j \leq d-i < d-(d-p) = p$ , so each coefficient  $c_j \geq 0$ —moreover, we may choose  $k \in \mathbb{N}$  sufficiently large so that  $a_{k-d+i+j} > 0$ .

We can restrict our attention to  $i \leq d - p$ , whence  $b_n \geq \sum_{j=0}^{d-1} c_j a_{k-d+i+j}$  because the new sum incorporates only nonnegative terms. In addition,

$$\sum_{j=0}^{d-1} c_j a_{k-d+i+j} = \sum_{j=0}^d c_j a_{k-d+i+j} - c_d a_{k+i}.$$

The second term on the right-hand side is positive, while the first term on the right-hand side appears similar to an evaluation of  $r(x)$ . In particular, as  $a_n \approx B_1 r_1^n$  in a way that will be made rigorous later, then

$$\sum_{j=0}^d c_j a_{k-d+i+j} \approx \sum_{j=0}^d c_j B_1 r_1^{k-d+i+j} = B_1 r_1^{j-d+i} \sum_{j=0}^d c_j r_1^j = B_1 r_1^{j-d+i} r(r_1) = 0.$$

Hence, for sufficiently large  $k \in \mathbb{N}$ , the summation nears 0 while the other term of  $-c_d a_{k+i}$  grows without bound, so  $b_n$  does as well. We prove this more carefully by considering the deviations between terms and their asymptotic approximations. Suppose the inequality

$$1 - x < \frac{a_{k-\ell}}{B_1 r_1^{k-\ell}} < 1 + x$$

holds for each  $\ell \in \llbracket 0, d-1 \rrbracket$ . Then, the absolute value of the summation is bounded above by  $(d+1)a_{k+i} \max_{0 \leq j \leq d} c_j (1 - (1-x)^d)$ , while the other term is  $-c_d a_{k+i}$ . As  $(d+1) \max_{0 \leq j \leq d} c_j$ , and  $-c_d$  are positive constants,  $-c_d a_{k+i}$  must have the larger magnitude for sufficiently small values of  $x$ , which is certainly attainable by simply increasing  $k$ . In fact, as each of these terms will be positive, our new polynomial will actually be simple. For instance,  $b_{k+1}$  and  $b_{k+2}$  will both be nonzero, which implies that the greatest common divisor of the support must be 1. Hence we have found such a simple  $f(x)$  satisfying the desired conditions.  $\square$

Now we just need to put together Propositions 4.1, 6.1, and 4.4 to obtain the main result of this section, which are the following two characterizations of the simple antimatter monoids  $M_\alpha$  in terms of the algebraic parameter  $\alpha$ .

**Theorem 4.5.** *For any  $\alpha \in \mathbb{A} \cap (0, 1)$  with minimal polynomial  $m_\alpha(x)$ , the following conditions are equivalent.*

- (a)  $M_\alpha$  is a simple antimatter monoid.
- (b)  $\alpha^{-1}$  is a Perron number and has no positive conjugate aside from itself.
- (c)  $\alpha^{-1}$  is a Perron number and  $m_\alpha(x)$  has a simple multiple  $p(x) \in x\mathbb{N}_0[x] - 1$ .

*Proof.* (a)  $\Rightarrow$  (b): This implication follows as a result of combining Propositions 4.1 and 6.1.

(b)  $\Rightarrow$  (c): This one follows from Proposition 4.4.

(c)  $\Rightarrow$  (a): Only simple polynomials can have Perron numbers as roots, which makes  $r_\alpha(x)$  and, equivalently,  $m_\alpha(x)$  simple. Furthermore,  $p(x)$  acts as an antimatter decomposition of 1, showing that 1 is not an atom. This is sufficient to show that  $M_\alpha$  has no atoms by [13, Theorem 4.2].  $\square$

Furthermore, this easily extends to the case where  $M_\alpha$  is not simple.

**Corollary 4.6.** *For any  $\alpha \in \mathbb{A}_{>0}$ , the monoid  $M_\alpha$  is antimatter if and only if the simplified polynomial of  $r_\alpha(x)$  has a Perron number and  $\alpha$  has no positive conjugate aside from itself.*

## 5. THE VALUATION PROPERTY

The primary purpose of this section is to study which monoids  $M_\alpha$  are valuation monoids. As every valuation monoid is either antimatter or has its reduced monoid isomorphic to  $\mathbb{N}_0$ , it suffices to restrict our attention to the monoids  $M_\alpha$  that are antimatter, which are precisely the monoids  $M_\alpha$  that are not atomic.

**5.1. Valuation Monoids from the Fibonacci Sequence.** The proof of Proposition 4.4, the explanation of the following example, and our later proof of Theorem 5.3 that establishes an exact characterization of the valuation monoids all rely on homogeneous linear recurrence relations. However, the proof we present below is especially interesting as the recurrence used is a generalized Fibonacci sequence. Further, it involves Pisot numbers, which are a subclass of Perron numbers. Although the below example follows directly from Theorem 5.3, its relation to Fibonacci numbers makes it interesting and worth exploring.

**Proposition 5.1.** *For any  $d \in \mathbb{N}$ , there exists  $\alpha \in \mathbb{A}$  such that  $M_\alpha$  is an antimatter valuation monoid of rank  $d$  that is not a group.*

*Proof.* When  $d = 1$ , then setting  $\alpha = \frac{1}{n}$  for any  $n \in \mathbb{N}$  yields a valuation monoid; moreover, when  $n \geq 2$ , the corresponding monoid is antimatter. Hence we focus on the case where  $d \geq 2$ . Consider the polynomial  $f(x) := -1 + \sum_{i=1}^d x^i \in \mathbb{Z}[x]$ , which has precisely one sign change. Let  $\alpha$  be the unique positive root guaranteed by Descartes' Rule of Signs. It follows from [9, Theorem 2] that  $x^d - \sum_{i=0}^{d-1} x^i \in \mathbb{Q}[x]$  is irreducible, meaning  $f(x)$  is the minimal polynomial of  $\alpha$ . Further, the paper provides that its root  $\alpha^{-1} > 1$  is a Pisot-Vijayaraghavan number (which we refer to as a Pisot number), i.e.,  $\alpha^{-1}$  is a Perron number with the further restriction that all of its conjugates are less than 1 in norm. For each  $n \in \mathbb{N}_0$ , after multiplying the equality  $1 = \sum_{i=1}^d \alpha^i$  by  $\alpha^n$ , we obtain that

$$(5.1) \quad \alpha^n = \sum_{i=1}^d \alpha^{n+i}.$$

Consider the positive monoid  $M_\alpha$ , which has rank  $d$ . The element 1 is not an atom of  $M_\alpha$  because  $1 = \sum_{i=1}^d \alpha^i$ , whence the monoid  $M_\alpha$  is antimatter by virtue of [13, Theorem 4.2].

To argue that  $M_\alpha$  is a valuation monoid, fix  $w, w' \in M_\alpha$ , and let us prove that the principal ideals  $w + M_\alpha$  and  $w' + M_\alpha$  are comparable under set inclusion. First, notice that for any  $\sum_{i=0}^k a_i \alpha^i \in M_\alpha$  with coefficients  $a_0, \dots, a_k \in \mathbb{N}_0$  and a given  $\ell \in \mathbb{N}$  with  $\ell \geq k$ , repeated applications of (5.1) allow us to write  $\sum_{i=0}^k a_i \alpha^i = \sum_{i=\ell}^{\ell+d-1} b_i \alpha^i$  for some  $b_\ell, b_{\ell+1}, \dots, b_{\ell+d-1}$ . Therefore, for each sufficiently large  $r \in \mathbb{N}_0$ , we can take coefficients  $c_0, \dots, c_{d-1}$  and  $c'_0, \dots, c'_{d-1} \in \mathbb{N}_0$  so that

$$(5.2) \quad w = \sum_{i=0}^{d-1} c_i \alpha^{r+i} \quad \text{and} \quad w' = \sum_{i=0}^{d-1} c'_i \alpha^{r+i}.$$

Fix such a sufficiently large  $r$  and set  $G_i := c_i - c'_i$  for every index  $i \in \llbracket 0, d-1 \rrbracket$ . We split the rest of the proof into the following cases, taking into account the convention that 0 has the same sign as both positive and negative numbers.

CASE 1: the nonzero elements of  $\{G_0, \dots, G_{d-1}\}$  are all of the same sign. If  $\min\{G_0, \dots, G_{d-1}\} \geq 0$ , then  $w - w' \in M_\alpha$ . We can similarly deduce that  $w' - w \in M_\alpha$  when  $\max\{G_0, \dots, G_{d-1}\} \leq 0$ .

CASE 2: not all  $G_0, \dots, G_{d-1} \in \mathbb{Z}$  have the same sign, where again we exclude 0 from consideration. Suppose for the sake of contradiction that for each  $s \in \mathbb{N}_0$ , after writing  $w - w'$  entirely in terms of the powers of  $\alpha$  from  $\alpha^{s+r}$  to  $\alpha^{s+r+d-1}$ , not all of the  $d$  coefficients are of the same sign. Let us argue the following claim.

CLAIM. For infinitely many  $s$ , the coefficient of the  $\alpha^{s+r}$  term and the coefficient of the  $\alpha^{s+r+d-1}$  term have opposite signs.

PROOF OF CLAIM. Suppose, towards a contradiction, that there exists some  $a \in \mathbb{N}_0$  for which every index  $s \in [a, a+d-1]$  satisfies the condition that the coefficient of the first term  $\alpha^{s+r}$  and the coefficient of the last term  $\alpha^{s+r+d-1}$  have the same sign. Since the coefficient of  $\alpha^{s+r}$  equals the coefficient of  $\alpha^{s+r+d}$  from one value of  $s$  to the next after applications of (5.1), and adding two numbers of the same sign preserves the sign, we know that for each  $s \in [a, a+d-1]$ , the coefficients of the terms  $\alpha^{a+r+d-1}, \dots, \alpha^{s+r+d-1}$  will have the same sign. Thus, when  $s = a+d-1$ , all  $d$  coefficients will have the same sign, which is a contradiction.  $\square$

Let  $(F_n)_{n \geq -d}$  denote the Fibonacci sequence of order  $d$ , which is defined as follows:  $F_n := 0$  for every  $n \in [-d, -3]$ ,  $F_{-2} := -1$ ,  $F_{-1} := 1$ , and

$$F_n := \sum_{k=n-d}^{n-1} F_k$$

for every  $n \in \mathbb{N}_0$ . Thus,  $F_n = 0$  for every  $n \in [0, d-2]$  while  $F_{d-1} = 1$ . We can rewrite  $w - w'$  using the terms of the sequence  $(F_n)_{n \geq -d}$  as follows:

$$(5.3) \quad w - w' = \sum_{i=0}^{d-1} G_i \alpha^{r+i} = \sum_{i=0}^{d-1} \sum_{j=0}^{d-1} \delta_{i,j} G_j \alpha^{r+i} = \sum_{i=0}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_k G_j \alpha^{r+i},$$

with the last equality due to  $[i-j-1, d-j-2]$  only containing  $-2$  when  $i-j \leq -1$ , and containing  $-1$  when  $i-j \leq 0$ , the only two possible  $k$  in that interval for which  $F_k$  is nonzero.

Note that

$$\begin{aligned} \sum_{i=0}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_{s+k} G_j \alpha^{s+r+i} &= \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_{s+k} G_j \alpha^{s+r} + \sum_{i=1}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_{s+k} G_j \alpha^{s+r+i} \\ &= \sum_{i=1}^{d-1} \sum_{j=0}^{d-1} F_{s+d-j-1} G_j \alpha^{s+r+i} + \sum_{i=1}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_{s+k} G_j \alpha^{s+r+i} \\ &= \sum_{i=1}^{d-1} \sum_{j=0}^{d-1} \left( F_{s+d-j-1} + \sum_{k=i-j-1}^{d-j-2} F_{s+k} \right) G_j \alpha^{s+r+i} + \sum_{j=0}^{d-1} F_{s+d-j-1} G_j \alpha^{s+r+d} \\ &= \sum_{i=1}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-1} F_{s+k} G_j \alpha^{s+r+i} + \sum_{j=0}^{d-1} \sum_{k=d-j-1}^{d-j-1} F_{s+k} G_j \alpha^{s+r+d} \\ &= \sum_{i=1}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-1} F_{s+k} G_j \alpha^{s+r+i} = \sum_{i=0}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_{s+k+1} G_j \alpha^{s+r+i+1}. \end{aligned}$$

As a consequence, for every  $s \geq 0$ , we conclude that

$$w - w' = \sum_{i=0}^{d-1} \sum_{j=0}^{d-1} \sum_{k=i-j-1}^{d-j-2} F_{s+k} G_j \alpha^{s+r+i}.$$

By the claim, the coefficients of the  $\alpha^{s+r}$  and  $\alpha^{s+r+d-1}$  terms have opposite signs for infinitely many indices  $s \in \mathbb{N}_0$ . Suppose without loss of generality that the coefficient of  $\alpha^{s+r}$  is positive and the

coefficient of  $\alpha^{s+r+d-1}$  is negative for infinitely many  $s \geq 0$ . Thus,

$$\sum_{j=0}^{d-1} \sum_{k=-j-1}^{d-j-2} F_{s+k} G_j = \sum_{j=0}^{d-1} F_{s+d-j-1} G_j > 0,$$

and

$$\sum_{j=0}^{d-1} \sum_{k=d-j-2}^{d-j-2} F_{s+k} G_j = \sum_{j=0}^{d-1} F_{s+d-j-2} G_j < 0.$$

Because not all  $G_i$  for  $i \in \llbracket 0, d-1 \rrbracket$  have the same sign, suppose  $G_\ell$  is positive for some  $\ell \in \llbracket 0, d-1 \rrbracket$ . The above two inequalities can be rearranged as follows:

$$-\sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \frac{F_{s+d-j-1}}{F_{s+d-\ell-1}} G_j < G_\ell < -\sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \frac{F_{s+d-j-2}}{F_{s+d-\ell-2}} G_j,$$

and we set  $L_s$  and  $R_s$  to be the left and right bounds, respectively. By [26, Equation 2], the formula for each value in the Fibonacci sequence of order  $d$  is

$$F_n = \sum_{i=1}^d \frac{1}{\prod_{j \neq i} (\phi_i - \phi_j)} \phi_i^n,$$

where  $\phi_i$  for  $i \in \llbracket 1, d \rrbracket$  are the roots of  $x^d - \sum_{i=0}^{d-1} x^i$ . Without loss of generality, take  $\phi_1 := \alpha^{-1}$ , one of the roots of this polynomial. Then, for each  $n \geq 0$ , the equality  $F_n = C\phi_1^n + E_n$  holds, where

$$C := \frac{1}{\prod_{j \neq 1} (\phi_1 - \phi_j)} \quad \text{and} \quad E_n := \sum_{i=2}^d \frac{1}{\prod_{j \neq i} (\phi_i - \phi_j)} \phi_i^n.$$

For  $i \in \llbracket 2, d \rrbracket$ , we know that  $|\phi_i| < 1$  since  $\phi_1$  is a Pisot number, so the sequence  $(E_n)_{n \geq 0}$  is strictly decreasing. Further,  $|E_n| \leq D\rho^n$  for some fixed  $D > 0$  and  $\rho := \max\{|\phi_i| : i \in \llbracket 2, d \rrbracket\} \in (0, 1)$ . After setting  $\mu := \max(\llbracket 0, d-1 \rrbracket \setminus \{\ell\})$ , we see that

$$\begin{aligned} R_s &= -\sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \frac{\left( \frac{C}{\alpha^{s+d-j-2}} \right) + E_{s+d-j-2}}{\left( \frac{C}{\alpha^{s+d-\ell-2}} \right) + E_{s+d-\ell-2}} G_j = -\sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} \frac{C + E_{s+d-j-2}\alpha^{s+d-j-2}}{C + E_{s+d-\ell-2}\alpha^{s+d-\ell-2}} G_j \\ &\leq -\sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} \frac{C + E_{s+d-\mu-2}\alpha^{s+d-\mu-2}}{C + E_{s+d-\ell-2}\alpha^{s+d-\ell-2}} G_j = -\sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} \frac{1 + \gamma_{s+d-\mu-2}}{1 + \gamma_{s+d-\ell-2}} G_j, \end{aligned}$$

where  $\gamma_n := E_n \alpha^n / C$ . We can bound this value as follows:

$$|\gamma_n| = \frac{|E_n| \alpha^n}{|C|} \leq \frac{D}{|C|} (\rho \alpha)^n = D' \sigma^n,$$

where  $D' := D/|C| > 0$  is a constant and  $\sigma := \rho \alpha$ . Note that  $\sigma \in (0, 1)$  when  $\alpha < 1$ . Thus, there exists  $b \in \mathbb{N}$  such that  $|\gamma_n| < \frac{1}{2}$  for all  $n \geq b$ . For these values of  $n$ ,

$$\frac{1}{1 + \gamma_n} = \sum_{i=0}^{\infty} (-\gamma_n)^i = 1 + \theta_n,$$

where  $\theta_n := \sum_{i=1}^{\infty} (-\gamma_n)^i$ , and this is bounded as

$$|\theta_n| = \left| \sum_{i=1}^{\infty} (-\gamma_n)^i \right| \leq |\gamma_n| \sum_{i=0}^{\infty} |\gamma_n|^i = |\gamma_n| \frac{1}{1 - |\gamma_n|} \leq 2|\gamma_n|.$$

Therefore, for each  $s \in \mathbb{N}_0$  with  $s \geq b + 1$ , we obtain that  $s + d - \ell - 2 \geq s - 1 \geq b$ , whence

$$\begin{aligned} R_s &\leq - \sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} \frac{1 + \gamma_{s+d-\mu-2}}{1 + \gamma_{s+d-\ell-2}} G_j \\ &= - \sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} G_j (1 + \gamma_{s+d-\mu-2}) (1 + \theta_{s+d-\ell-2}) \\ &= \delta_s - \sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} G_j, \end{aligned}$$

where  $\delta_s := -A(\gamma_{s+d-\mu-2} + \theta_{s+d-\ell-2}(1 + \gamma_{s+d-\mu-2}))$ . Now set  $A := \sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} G_j$  and observe that

$$\begin{aligned} |\delta_s| &= |A| |\gamma_{s+d-\mu-2} + \theta_{s+d-\ell-2}(1 + \gamma_{s+d-\mu-2})| \\ &\leq |A| (|\gamma_{s+d-\mu-2}| + |\theta_{s+d-\ell-2}| (1 + |\gamma_{s+d-\mu-2}|)) \\ &\leq |A| (|\gamma_{s+d-\mu-2}| + 2|\gamma_{s+d-\ell-2}| (1 + |\gamma_{s+d-\mu-2}|)) \\ &\leq |A| (D' \sigma^{s+d-\mu-2} + 2D' \sigma^{s+d-\ell-2} (1 + |\gamma_{s+d-\mu-2}|)) \\ &= |A| \sigma^s (D' \sigma^{d-\mu-2} + 2D' \sigma^{d-\ell-2} (1 + |\gamma_{s+d-\mu-2}|)) \\ &\leq |A| \sigma^s (D' \sigma^{d-\mu-2} + 2D' \sigma^{d-\ell-2} (1 + |\gamma_{d-\mu-2}|)), \end{aligned}$$

with the last line due to the sequence  $(\gamma_n)_{n \geq 0}$  being strictly decreasing. As a consequence, after setting  $P := |A|(D' \sigma^{d-\mu-2} + 2D' \sigma^{d-\ell-2} (1 + |\gamma_{d-\mu-2}|)) > 0$ , the inequality  $|\delta_s| \leq P \sigma^s$  holds for all sufficiently large  $s \in \mathbb{N}_0$ . Similarly, we can set  $Q := |A|(D' \sigma^{d-\mu-1} + 2D' \sigma^{d-\ell-1} (1 + |\gamma_{d-\mu-1}|)) > 0$  and argue that

$$L_s = \varepsilon - \sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} G_j$$

for some  $|\varepsilon_s| \leq Q \sigma^s$  that holds for all sufficiently large  $s \in \mathbb{N}_0$ . Since  $L_s < G_\ell < R_s$  for infinitely many  $s$  and  $|R_s - L_s| = |\delta_s - \varepsilon_s| \leq (P + Q) \sigma^s < 1$  for all sufficiently large  $s \in \mathbb{N}_0$  and approaches 0, and given that  $G_\ell$  is an integer,

$$G_\ell = - \sum_{\substack{0 \leq j \leq d-1 \\ j \neq \ell}} \alpha^{j-\ell} G_j.$$

Therefore,  $\sum_{j=0}^{d-1} G_j \alpha^j = 0$ , so  $\alpha$  is the root of a polynomial in  $\mathbb{Z}[x]$  with degree  $d - 1$ . However, this contradicts that the minimal polynomial of  $\alpha$  has degree  $d$ , from which we deduce that either  $w - w' \in M_\alpha$  or  $w' - w \in M_\alpha$ . Hence we conclude that  $M_\alpha$  is a valuation monoid of rank  $d$ .  $\square$

For instance, the case of  $d = 2$  yields the reciprocal of the golden ratio.

**5.2. A Sufficient Condition.** In a similar vein to the above proposition, we employ our understanding of the antimatter condition on  $M_\alpha$  to show some simple conditions for  $M_\alpha$  to be a valuation monoid. We begin with the following lemma.

**Lemma 5.2.** *Let  $(a_j)_{j \geq 1}$  satisfy a homogeneous linear recurrence governed by the characteristic polynomial  $p(x) \in \mathbb{Q}[x]$ . If  $\gamma$  is a root of  $p(x)$ , then for any degree  $d \in \mathbb{N}_0$ , the coefficients of the  $x^d$  terms attached to conjugates of  $\gamma$  in the closed form of  $a_j$  are themselves conjugates.*

*Proof.* Take the explicit form of our sequence to be

$$a_j = \sum_{i=1}^r \lambda_i(j) \gamma_i^j,$$

where  $\gamma_1, \gamma_2, \dots, \gamma_r \in \mathbb{C}$  are the distinct roots of  $p(x)$  and  $\lambda_i(x) \in \mathbb{C}[x]$  is the polynomial coefficient to  $\gamma_i$  having degree less than the multiplicity of  $\gamma_i$  in  $p(x)$ . Let  $S$  be the set of all roots of  $p(x)$  and the various coefficients in  $\lambda_i(x)$ . All roots are clearly algebraic, but the same holds for the coefficients, as they can theoretically be solved for through Cramer's rule, which would only involve algebraic numbers and operations under which the set of algebraic numbers is closed.

Let  $L/\mathbb{Q}$  be a Galois field extension containing all roots and coefficients, which may be found simply as the Galois closure of  $\mathbb{Q}(S)$ . Consider an arbitrary  $\sigma \in \text{Gal}(L/\mathbb{Q})$ . For a given  $a_j \in \mathbb{Q}$ , we see that

$$\sigma(a_j) = \sum_{i=1}^r \sigma(\lambda_i(j)) \sigma(\gamma_i)^j.$$

Clearly,  $\sigma(a_j) = a_j$  by our assumption that  $a_j \in \mathbb{Q}$ . Subtracting  $\sigma(a_j)$  from  $a_j$  gives

$$\sum_{i=1}^r \lambda_i(j) \gamma_i^j - \sum_{i=1}^r \sigma(\lambda_i(j)) \gamma_{\tau(i)}^j = 0.$$

For simplicity, suppose that  $\tau: [\![1, r]\!] \rightarrow [\![1, r]\!]$  is defined so that  $i \xrightarrow{\tau} j$  if  $\gamma_i \xrightarrow{\sigma} \gamma_j$ . We can therefore combine terms by applying  $\tau^{-1}$  on the indices of the latter sum, which preserves our expression as  $\tau$  is a bijection, to obtain

$$\sum_{i=1}^r (\lambda_i(j) - \sigma(\lambda_{\tau^{-1}(i)}(j))) \gamma_i^j = 0.$$

Let  $d_i(x) \in \mathbb{C}[x]$  denote the difference  $\lambda_i(x) - \sigma(\lambda_{\tau^{-1}(i)}(x))$ , in which case

$$a_j - \sigma(a_j) = \sum_{i=1}^r d_i(j) \gamma_i^j$$

is a sequence of zeroes. However, any sequence has a canonical general form in terms of exponentials multiplied by polynomials, and since  $d_i(x)$  as the zero polynomial would cause  $(a_j - \sigma(a_j))_{j \in \mathbb{N}_0}$  to be a sequence of zeroes as is the case, then by uniqueness it must be that  $d_i(x) = 0$  for each  $i \in [\![1, r]\!]$ . That is, as polynomials,  $\lambda_i(x) = \sigma(\lambda_{\tau^{-1}(i)}(x))$  for each  $i$ . The coefficients at each degree of  $\lambda_i(x)$  and  $\lambda_{\tau^{-1}(i)}(x)$  must then be conjugates as equality of the polynomials holds separately along each degree. Since  $L$  is normal and contains the splitting field of  $q(x)$ , then  $\text{Gal}(L/\mathbb{Q})$  acts transitively on the set of conjugates of  $\gamma$ . That is, for any conjugate  $\gamma'$  of  $\gamma$ , there exists  $\sigma \in \text{Gal}(L/\mathbb{Q})$  that maps  $\gamma$  to  $\gamma'$ . This establishes that for any conjugate of  $\gamma$ , the coefficients at the same degree are themselves conjugates.  $\square$

As with the alternative proof that we presented in the antimatter case, we consider a recurrence relation that, given some element in  $\mathbb{Z}[x]$ , finds an equivalent one in  $\mathbb{N}_0[x]$ . The above characterization is necessary to establish the asymptotic behavior of the recurrence. However, one more lemma stands in our way. The recurrence is not applicable if the number of initial terms is greater than the order of our recurrence.

**Theorem 5.3.** *If  $\alpha \in \mathbb{A}_{>0}$  is a root of a simple polynomial in  $x\mathbb{N}_0[x] - 1$ , then  $M_\alpha$  is a valuation monoid.*

*Proof.* Assume that  $\alpha \in \mathbb{A}_{>0}$  is a root of a simple polynomial  $n(x) \in x\mathbb{N}_0[x] - 1$ . Consider the polynomial  $p(x) = r_{d-1}x^{d-1} + r_{d-2}x^{d-2} + \cdots + r_1x + r_0 \in \mathbb{Z}[x]$  for some  $d \in \mathbb{N}$ , and observe that  $p(\alpha)$  is the general form for the difference between any two elements in  $M_\alpha$ . As the case of  $p(\alpha) = 0$  is clear, it suffices to show that  $p(\alpha) \in M_\alpha$  whenever  $p(\alpha) > 0$ . First, Lemma 3.3 already supplies that  $\deg n(x) \geq d$ . Thus, we can further assume that  $\deg n(x) = d$  simply by padding  $p(x)$  with coefficients of zero in case  $d < \deg n(x)$ . Hence, we may write  $n(x) = c_0x^d + c_1x^{d-1} + \cdots + c_{d-1}x - 1$  for some coefficients  $c_0, \dots, c_{d-1} \in \mathbb{N}_0$  with  $c_0 \neq 0$  as having the same degree  $d$ .

The rest of the proof consists of manipulating  $p(x)$  into a polynomial in  $\mathbb{N}_0[x]$  while not changing its value when evaluated at  $\alpha$ , similar to that in our proof of Proposition 4.4. Let  $N$  be a large positive integer to be determined. We will exhibit a polynomial  $g_N(x) \in \mathbb{Z}[x]$  such that  $p(x) + n(x)g_N(x) := P_N(x) \in \mathbb{N}_0[x]$ , which will show that  $p(\alpha) = P_N(\alpha)$  is indeed an element of  $M_\alpha$ .

Define

$$F(x) = x^d - \sum_{i=0}^{d-1} c_i x^i,$$

and let  $\beta = \alpha^{-1}$  be the positive root  $F(x)$ , where from Theorem 4.5 it must be a Perron number. Set the coefficients of  $g_N(x)$  to be determined as  $g_N(x) := a_0 + a_1x + a_2x^2 + \cdots + a_Nx^N$  through the two recurrences below. For each  $n \in \llbracket 0, d-1 \rrbracket$ , we define

$$(5.4) \quad a_j := r_n + c_{d-1}a_{j-1} + \cdots + c_{d-j}a_0,$$

while for each  $j \in \llbracket d, N \rrbracket$ , we set

$$(5.5) \quad a_j := c_{d-1}a_{j-1} + c_{d-2}a_{j-2} + \cdots + c_0a_{j-d}.$$

Observe that the sequence  $(a_j)_{j=0}^N$  satisfies the linear homogeneous recurrence described in (5.4) whose initial values  $a_0, a_1, \dots, a_{d-1}$  are determined by  $r_i$  and  $c_i$  as in (5.5). The characteristic polynomial of this recursion is precisely  $F(x)$ . Now suppose that the distinct roots of  $F(x)$  are  $\beta, \gamma_1, \dots, \gamma_s \in \mathbb{C}$  for  $s \in \mathbb{N}$ , in which case it follows that

$$a_j = \lambda\beta^j + \lambda_1(j)\gamma_1^j + \lambda_2(j)\gamma_2^j + \cdots + \lambda_s(j)\gamma_s^j$$

for some complex polynomials  $\lambda_i(x) \in \mathbb{C}[x]$  each having degree less than the multiplicity of  $\gamma_i$ . The reason that  $\beta^j$  has only a constant for its coefficient is that  $\beta$  has multiplicity 1 by Descartes' Rule of Signs.

Let us first consider the case of  $\lambda \in \mathbb{R} \setminus \{0\}$ . Note first the inequality  $|\gamma_i| < \beta$  for each  $i \in \llbracket 1, s \rrbracket$  according to Proposition 4.1. Hence, as  $\lambda \neq 0$ , then  $a_j \sim \lambda\beta^j$ . Therefore, for sufficiently large  $N$ , the coefficients  $a_{N-d}, a_{N-d+1}, \dots, a_N$  are either all positive or all negative depending on the sign of  $\lambda$ . As each  $c_i$  is a nonnegative integer, that implies that the coefficients of  $x^{N+1}, x^{N+2}, \dots, x^{N+d}$  in  $P_N(x)$  are all of the same sign as well. In addition, for  $j \in \llbracket 0, d-1 \rrbracket$ , the coefficient of  $x^j$  in  $P_N(x)$  is

$$[x^j]P_N(x) = r_n + c_{d-1}a_{j-1} + \cdots + c_{d-j}a_0 - a_j = 0.$$

In a similar manner, we can see that the coefficient of  $x^j$  in  $P_N(x)$  for  $j \in \llbracket d, N \rrbracket$  is the following:

$$[x^j]P_N(x) = c_{d-1}a_{j-1} + c_{d-2}a_{j-2} + \cdots + c_0a_{j-d} - a_j = 0.$$

Both of these equalities are by design. Hence, the support of  $P_N(x)$  is contained within  $\llbracket N+1, N+d \rrbracket$ , meaning that either  $P_N(x)$  or its negative lives in  $\mathbb{N}_0[x]$ . Of course, if  $P_N(x)$  has only non-positive terms, then  $p(\alpha) = P_N(\alpha) \leq 0$ , a contradiction. This leaves only the possibility of nonnegative terms. Hence, regardless of its sign, showing that  $\lambda \in \mathbb{R} \setminus \{0\}$  will suffice.

Meanwhile, it is easy to find a contradiction for  $\lambda \notin \mathbb{R}$ . Again,  $a_j$  approaches  $\lambda\beta^j$  asymptotically by the dominance of  $\beta$ . If  $\lambda$  is not a real number,  $a_j$  would not be real for arbitrarily large  $j$ , though of course it is as we have an integer recurrence. Therefore, the only other case is when  $\lambda = 0$ . We proceed to argue that this leaves  $p(\alpha) = 0$ , which contradicts our hypothesis. Lemma 5.2, along with the fact that the orbit of 0 under the action of any Galois group consists only of itself, guarantees that the coefficients of  $\lambda_k$  for each  $k$  such that  $\gamma_k^{-1}$  is a root of  $m_\alpha(x)$  (again having a multiplicity of 1) are all zero. Hence, letting  $n(x) = m_\alpha(x)f(x)$  for some  $f(x) \in \mathbb{Z}[x]$ , then  $(a_j)_{j \geq 1}$  actually satisfies a recurrence governed solely by the reciprocal polynomial of  $f(x)$ . The quotient  $f(x)$  has integer coefficients as a result of Gauss's lemma.

Going back to our equation  $p(x) + (f(x)m_\alpha(x))g_N(x) = P_N(x)$  from before, we see that  $p(x)$  occupies the terms of small degree and  $P_N(x)$  those of large degree (when  $N$  is large). Specifically, the support lies inside the union  $\llbracket 0, d-1 \rrbracket \cup \llbracket N+1, N+d \rrbracket$ . However, as  $g_N(x)$  has coefficients that already satisfy the recurrence given by the reciprocal polynomial of  $f(x)$ , the product  $f(x)g_N(x)$  itself has only terms of small degree and large degree. After letting  $d'$  be the degree of  $m_\alpha(x)$ , the support contained in  $\llbracket 0, d-d'-1 \rrbracket \cup \llbracket N+1, N+d-d' \rrbracket$ . In fact, we will explicitly decompose  $f(x)g_N(x) := b(x) + c(x)$ , where  $b(x)$  consists of the bottom terms, i.e.,  $\text{supp } b(x) \subseteq \llbracket 0, d-d'-1 \rrbracket$ , and  $c(x)$  consists of the terms of high degree, i.e.,  $\text{supp } c(x) \subseteq \llbracket N+1, N+d-d' \rrbracket$ . Consider now multiplying the product  $f(x)g_N(x)$  by  $m_\alpha(x)$ , which becomes

$$f(x)g_N(x)m_\alpha(x) = b(x)m_\alpha(x) + c(x)m_\alpha(x).$$

Clearly, the  $b(x)m_\alpha(x)$  terms have degrees in  $\llbracket 0, d-1 \rrbracket$  while the  $c(x)m_\alpha(x)$  terms have degrees in  $\llbracket N+1, N+d \rrbracket$ . For sufficiently large  $N$ , these two sets are disjoint, meaning that there must be exact correspondence. Specifically,  $b(x)m_\alpha(x) = -p(x)$  (and  $c(x)m_\alpha(x) = P_N(x)$ ). This shows that  $p(x)$  is a multiple of  $m_\alpha(x)$ , whence  $p(\alpha) = 0$ .

Hence, regardless of  $\lambda$ , we have found that  $p(\alpha) \in M_\alpha$ . □

We will show two examples illustrating the above argument, the first one not involving double roots and where  $p(\alpha) \neq 0$ .

**Example 5.4.** Set  $m_\alpha(x) = x^3 + 3x^2 + 2x - 1$  be the minimal polynomial of its unique positive root  $\alpha$ . Since  $m_\alpha(x)$  is already a simple polynomial and lies in  $x\mathbb{N}_0[x] - 1$ , the conditions of Theorem 5.3 apply with  $n(x) = m_\alpha(x)$ . Let us show that  $4\alpha \mid_{M_\alpha} 1 + 3\alpha^2$ , which corresponds to  $p(x) = 1 - 4x + 3x^2$ . As  $p(\alpha) \approx 0.017453$ , we will show that  $p(\alpha) \in M_\alpha$ . As  $\deg n(x) = 3 > 2 = \deg p(x)$ , our current  $n(x)$  suffices. In particular,  $d = 3$  and  $(c_0, c_1, c_2) = (1, 3, 2)$ . Furthermore,  $(r_2, r_1, r_0) = (3, -4, 1)$ . We now hope to find some  $g_N(x)$  such that  $p(x) + n(x)g_N(x) = P_N(x) \in \mathbb{N}_0[x]$ . The coefficients of  $g_N(x)$  satisfy the recurrence as above, with the initial terms chosen so that the early coefficients of  $P_N(x)$  are zero. For instance,  $a_0 = 1$  as that is the unique value for which  $p(x) + a_0n(x)$  has no constant term. Indeed,  $p(x) + n(x) = -2x + 6x^2 + x^3$ , which is why  $a_1 = -2$ . The first several values of  $a_j$  are listed.

$j$	$a_j$
0	1
1	-2
2	2
3	-1
4	2
5	3
6	11
7	33

As can be seen, the terms initially oscillate for small  $j$ , but gradually become positive and grow without bound. In particular, we have the closed form  $a_j = \lambda\beta^j + \lambda_1\gamma_1^j + \lambda_2\gamma_2^j$ , where  $\beta$ ,  $\gamma_1$ , and  $\gamma_2$  are the roots and are approximately

$$\begin{aligned}\beta &\approx 3.07959562349144, \\ \gamma_1 &\approx -0.539797811745719 + 0.182582254557443i, \\ \gamma_2 &\approx -0.539797811745719 - 0.182582254557443i.\end{aligned}$$

Meanwhile, the coefficients are approximately

$$\begin{aligned}\lambda &\approx 0.0126035453089533, \\ \lambda_1 &\approx 0.493698227345523 + 4.12367396014509i, \\ \lambda_2 &\approx 0.493698227345523 - 4.12367396014509i,\end{aligned}$$

which satisfy the irreducible polynomial  $23m_\lambda(x) = 23x^3 - 23x^2 + 397x - 5$ . As they share a minimal polynomial, then  $\lambda, \lambda_1, \lambda_2$  are conjugates, providing evidence for Lemma 5.2. However, this is not necessary in finding an  $N$  and  $P_N(x) \in \mathbb{N}_0[x]$ . We see that if  $N = 4$  and  $g(x) = 1 - 2x + 2x^2 - x^3 + 2x^4$ , then

$$p(x) + n(x)g(x) = 3x^5 + 5x^6 + 2x^7 = P_N(x).$$

Because all the coefficients are nonnegative, one obtains that  $P_N(\alpha) \in M_\alpha$ . Therefore,  $p(\alpha) = P_n(\alpha)$  is an element of  $M_\alpha$ , and  $4\alpha + (3\alpha^5 + 5\alpha^6 + 2\alpha^7) = 1 + 3\alpha^2$ . Hence  $4\alpha |_{M_\alpha} 1 + 3\alpha^2$ . ■

Our second example illustrates the case where  $\lambda = 0$ , for which we prove  $p(\alpha) = 0$ . It will also demonstrate our argument about doubling the degree.

**Example 5.5.** Consider  $\alpha \in \mathbb{A}$  satisfying the minimal polynomial  $m_\alpha(x) = x^3 - x^2 + 2x - 1$ . Although  $m_\alpha(x)$  is not yet in the desired form, one can readily see that

$$(x+1)m_\alpha(x) = x^4 + x^2 + x - 1 \in x\mathbb{N}_0[x].$$

Thus, the conditions of Theorem 5.3 apply. Let us show that  $1 + \alpha |_{M_\alpha} 4\alpha^2 + 2\alpha^4 + \alpha^5$ , which corresponds to  $p(x) = -1 - x + 4x^2 + 2x^4 + x^5$ . In this case,  $p(x) = m_\alpha(x)(x^2 + 3x + 1)$ , so  $p(\alpha) = 0$  and the two sides are the same. We will illustrate how this plays out in the above proof. The polynomial we found earlier,  $x^4 + x^2 + x - 1$ , does not yet have a degree greater than that of  $p(x)$ , so we will need to double its degree at least once. Notice that

$$(x^4 + x^2 + x - 1)(x^4 + 1) = x^8 + x^6 + x^5 + x^2 + x - 1,$$

which clearly remains simple. As the degree of this new polynomial is greater than that of  $p(x)$ , we may take  $n(x) = x^8 + x^6 + x^5 + x^2 + x - 1$  and  $d = 8$ . Performing the recurrence produces some selected terms as follows.

$j$	$a_j$	$j$	$a_j$
0	-1	88	-1
1	-2	89	-2
2	1	90	1
3	-1	91	-1
4	2	92	2
5	1	93	1
6	0	94	0
7	0	95	0
8	-1	96	-1
9	-2	97	-2

Even after nearly 100 terms, it seems that the terms are not growing without bound as desired, indicating that  $\lambda = 0$ . Moreover, they appear to repeat, which casts doubt as to whether they will ever become completely positive. Furthermore, when  $N = 100$ ,

$$P_N(x) = x^{101} - x^{102} - x^{103} - x^{104} - x^{105} + 3x^{106} - x^{107} + 2x^{108},$$

and the prevalence of negative terms suggests that the recurrence is unlikely ever to yield a polynomial in  $\mathbb{N}_0[x]$ . Of course, even though this particular sequence is periodic, this is not in general true. Hence the easiest solution would be for  $p(\alpha) = 0$ , and that is precisely what we will proceed to demonstrate systematically. First, we compute the coefficients, and, indeed, we find that the general form is

$$\begin{aligned} a_j = & \left( \left( -\frac{1}{8} + \frac{\sqrt{2}}{4} \right) i - \left( \frac{3}{8} + \frac{\sqrt{2}}{8} \right) \right) \left( \frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2} i \right)^j \\ & + \left( \left( \frac{1}{8} - \frac{\sqrt{2}}{4} \right) i - \left( \frac{3}{8} + \frac{\sqrt{2}}{8} \right) \right) \left( \frac{\sqrt{2}}{2} - \frac{\sqrt{2}}{2} i \right)^j \\ & + \left( \left( \frac{1}{8} + \frac{\sqrt{2}}{4} \right) i - \left( \frac{3}{8} - \frac{\sqrt{2}}{8} \right) \right) \left( -\frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2} i \right)^j \\ & + \left( \left( -\frac{1}{8} - \frac{\sqrt{2}}{4} \right) i - \left( \frac{3}{8} - \frac{\sqrt{2}}{8} \right) \right) \left( -\frac{\sqrt{2}}{2} - \frac{\sqrt{2}}{2} i \right)^j \\ & + \left( \frac{1}{2} \right) (-1)^j, \end{aligned}$$

and as we have roots of unity, there will indeed be periodicity (in particular, as the least common multiple of the orders is 8, then the period is 8 as seen in the table). We will then note that none of the roots with nonzero coefficients are roots to  $r_\alpha(x)$ . In fact, as soon as we observe that  $\lambda$ , the coefficient of  $\beta$ , is equal to zero, we are guaranteed that all other coefficients of conjugates of  $\beta$  are zero by Lemma 5.2. This implies that  $g_N(x)$  actually satisfies a restricted recurrence of

$$\frac{n(x)}{m_\alpha(x)} = (x+1)(x^4+1) = x^5 + x^4 + x + 1.$$

We denote the quotient by  $f(x)$ , and observe that  $f(x)$  is an integer polynomial, which is guaranteed by Gauss's lemma. Indeed, for some large  $N$  such as  $N = 100$ , we obtain that

$$g_N(x)f(x) = 2x^{105} + x^{104} - x^{102} - x^{101} - x^2 - 3x - 1.$$

As expected, we see both high terms and low terms, and nothing in between. Here we would decompose  $b(x) = -x^2 - 3x - 1$  and  $c(x) = 2x^{105} + x^{104} - x^{102} - x^{101}$ , where  $\text{supp } b(x) \in \llbracket 0, 8 - 3 - 1 \rrbracket$  and  $\text{supp } c(x) \in \llbracket 100 + 1, 100 + 8 - 3 \rrbracket$ . Indeed,  $-b(x) = x^2 + 3x + 1$  is recognizable from above, and we do see that  $-b(x)m_\alpha(x) = p(x)$ . This follows systematically from the fact that  $p(x)$  occupies the small terms and  $P_N(x)$  the large ones. Therefore,  $p(\alpha) = 0$ , as desired.  $\blacksquare$

The two examples illustrate the two main cases of the proof being quite intricate and essential. In particular, it naturally yields the following results.

**Theorem 5.6.** *For any  $\alpha \in \mathbb{A} \cap (0, 1)$ , the following conditions are equivalent.*

- (1a)  $M_\alpha$  is a simple antimatter monoid.
- (1b)  $\alpha^{-1}$  is a Perron number with no positive conjugate.
- (1c) There exists a simple polynomial  $n(x) \in x\mathbb{N}_0[x] - 1$  satisfying  $n(\alpha) = 0$ .
- (1d)  $M_\alpha$  is a valuation monoid.
- (1e)  $M_\alpha$  is a simple GCD monoid.

*For any algebraic  $\alpha \in (0, 1)$ , the following conditions are also equivalent.*

- (2a)  $M_\alpha$  is an antimatter monoid.
- (2b) The simplified polynomial of  $r_\alpha(x)$  has a root that is a Perron number and  $\alpha$  has no positive conjugate aside from itself.
- (2c) There exists a polynomial  $n(x) \in x\mathbb{N}_0[x] - 1$  satisfying  $n(\alpha) = 0$ .
- (2d)  $M_\alpha$  is the product of valuation monoids. In particular, if  $n = \gcd \text{supp } m_\alpha(x)$ , then  $M_{\alpha^d}$  is a valuation monoid and  $M_\alpha \cong M_{\alpha^d}^d$ .
- (2e)  $M_\alpha$  is a GCD monoid.

The first set of equivalences mimics Theorem 4.5, while the second Corollary 4.6.

*Proof.* The equivalence of (1a), (1b), and (1c), as well as of (2a), (2b), and (2c), is by Theorem 4.5. Meanwhile, (1c) implies (1d) by Theorem 5.3, which itself implies (1a) from the fact that nontrivial products (products where neither element is a group) are never valuation, which forces  $M_\alpha$  to be simple, as well as  $\alpha \in (0, 1)$ , which ensures  $M_\alpha$  is antimatter. A similar set of results shows that (2a), (2b), (2c), and (2d) are equivalent.

Finally, to show our results about GCD monoids, observe that an atomic GCD monoid is necessarily a UFM. However, the factorial  $M_\alpha$  were characterized in [13, Theorem 5.4]; in particular, for algebraic  $\alpha$ ,  $M_\alpha$  cannot be factorial when  $0 < \alpha < 1$ . As a result, the given restrictions on  $\alpha$  demonstrate that (2e) implies (2a), and likewise for (1e) and (1a). That being said, it is routine to show that every valuation monoid is a GCD monoid, which shows (1d) implies (1e). Likewise, (2d) implies (2e) because the product of GCD monoids is a GCD monoid.  $\square$

**5.3. The Valuation Set.** Given the exact characterization of both the antimatter and valuation  $M_\alpha$ , it is natural to turn our attention to the class of antimatter or valuation monoids as a whole. Our first result follows from the trivial fact that when  $\alpha$  has no positive conjugate,  $M_\alpha$  is an abelian group and, hence, both antimatter and valuation. We remark that, as each transcendental number generates an atomic monoid with infinitely many atoms, the set of antimatter or valuation monoids generated in this way is at most countable.

**Proposition 5.7.** *The set of  $\alpha \in \mathbb{C}$  such that the monoid  $M_\alpha$  is a valuation (alternatively, antimatter) is dense in the complex plane.*

*Proof.* Let  $S$  be the set consisting of all Gaussian rationals that are not nonnegative real numbers,  $S = (\mathbb{Q} + i\mathbb{Q}) \setminus \mathbb{R}_{\geq 0}$ . As the rank of each  $\alpha \in S$  is either one or two, the algebraic conjugates of  $\alpha$  are either negative (when  $\alpha \in \mathbb{Q}_{<0}$ ) or nonreal (when  $\alpha \in \mathbb{C} \setminus \mathbb{R}$ ). In either case,  $\alpha$  has no positive conjugates. Therefore,  $M_\alpha$  is an abelian group for all  $\alpha \in S$  in light of [16]. As  $S$  is dense in  $\mathbb{C}$ , the fact that every abelian group is, in a trivial way, both a valuation monoid and an antimatter monoid concludes our proof.  $\square$

We may generalize to density in terms of minimal polynomials as opposed to in the complex plane. In particular, the literature contains several results about the distribution of polynomials with no real roots. This gives a lower bound for the measure of polynomials with no positive root, which itself yields the trivial examples of valuation and antimatter monoids. For  $d, s \in \mathbb{N}$ , we let  $V_d^*(s)$  denote the set consisting of all vectors  $(c_0, c_1, \dots, c_d) \in \mathbb{Z}^{d+1}$  such that  $c_dx^d + \dots + c_1x + c_0 \in \mathbb{Z}[x]$  is a degree- $d$  polynomial with exactly  $2s$  nonreal roots (counting multiplicity). To later compute our limiting density, we begin with a parameter  $B \in \mathbb{R}_{\geq 1}$ , which represents either a bound on the coefficients or on the roots—making the problem more tractable. First, let  $\mathcal{D}_d^*(s, B)$  denote the subset of  $V_d^*(s)$  with height bounded by  $B$ , i.e.,  $\mathcal{D}_d^*(s, B) = V_d^*(s) \cap \llbracket -B, B \rrbracket^{d+1}$  where each coefficient has absolute value at most  $B$ . Meanwhile,  $\mathcal{N}_d^*(s, B)$  denotes those polynomials each of whose roots is of distance at most  $B$  from the origin. If we further restrict to monic polynomials, we drop the asterisk, giving  $\mathcal{D}_d(s, B)$  and  $\mathcal{N}_d(s, B)$ .

A natural way to define the density, then, is to take the ratio

$$D_d^* = \lim_{B \rightarrow \infty} \frac{|\mathcal{D}_d^*(\lfloor d/2 \rfloor, B)|}{|\mathcal{D}_d^*(0, B)| + |\mathcal{D}_d^*(1, B)| + \dots + |\mathcal{D}_d^*(\lfloor d/2 \rfloor, B)|}$$

or the alternatives  $D_d$ ,  $N_d^*$ ,  $N_d$  defined without the star or by replacing  $\mathcal{D}$  with  $\mathcal{N}$ , each of which counts the proportion of polynomials satisfying the given polynomial restraints that also satisfy the constraint on the number of roots, namely having at most one real root. In particular, when  $d$  is even, then  $\lfloor d/2 \rfloor = d/2$ , so each polynomial represented in the numerator will have no real roots. When  $d$  is odd, there will be one real root, but negating the coefficients pairs each polynomial with a positive root with one with a negative root.

**Proposition 5.8.** *The following statements hold.*

- (1)  $D_d = 0$  when  $d$  is even.
- (2)  $D_d^* > 0$  for all  $d$ . Further, when  $d$  is odd,  $D_{d+1}^* = D_d$ .
- (3)  $N_d > 0$  for all  $d$ . Further, when  $d$  is even,  $N_d$  is asymptotic to  $c_d d^{-3/8}$  for some  $c_d > 0$ .

*Proof.* (1) follows from [17, Theorem 1.1], while (2) follows from [7, Theorem 2.1] and [17, Theorem 1.2]. Meanwhile, (3) follows from combining [4, Corollary 3.2] with [3, Theorem 6.1].  $\square$

Therefore, the limiting density of the class of antimatter and valuation monoids is nonzero for a variety of definitions of limiting density. As these results readily follow from what is already known, we restrict our discussion to nontrivial  $M_\alpha$  by taking  $\alpha \in (0, 1)$ . Then we set

$$V := \{ \alpha \in (0, 1) : M_\alpha \text{ is a valuation monoid} \},$$

and we prove a variety of results about the size and density of  $V$  even in this nontrivial case.

**Theorem 5.9.** *For each  $d \in \mathbb{N}$ , there exist infinitely many pairwise non-isomorphic valuation monoids  $M_\alpha$  having rank  $d$ .*

*Proof.* For  $d = 1$ , simply taking  $\alpha^{-1} \in \mathbb{N}$  gives an infinite number of valuation monoids. For  $d > 1$ , let  $\alpha^{-1}$  be the unique positive root to  $x^d - ax - 1$ , where  $a \in \mathbb{N} \setminus \{2\}$ . By [27, Theorems 1 and 2],  $x^d - ax - 1$  is irreducible in each of those cases, making it the minimal polynomial of  $\alpha^{-1}$ . We may easily verify from Theorem 5.3 that each case yields a valuation monoid; in particular, Descartes' rule of signs guarantees that  $\alpha^{-1}$  has no positive conjugate. Further,  $\alpha^{-1}$  is an algebraic integer and at least each of its conjugates by norm through an argument analogous to the one presented in the proof of Proposition 4.1. In fact,  $m_{\alpha^{-1}}(x)$  being simple means  $\alpha^{-1}$  is a Perron number by [8]. Moreover, varying  $a$  again yields infinitely many valuation monoids.  $\square$

Next we prove that  $V$  is dense in the real interval  $(0, 1)$ .

**Theorem 5.10.** *The set  $V$  is dense in  $(0, 1)$ .*

*Proof.* For each pair  $(d, n) \in \mathbb{N} \times \mathbb{N}_{\geq 2}$ , we set  $P_{d,n}(x) := x^d - \frac{1}{n} \in \mathbb{Z}[x]$  and note that  $P_{d,n}(x)$  has only one positive root, namely,  $\sqrt[d]{1/n}$ . It turns out that the set consisting of all such roots is dense in  $(0, 1)$ .

CLAIM. The set  $\left\{ \sqrt[d]{\frac{1}{n}} : n, d \in \mathbb{N} \right\}$  is dense in  $(0, 1)$ .

PROOF OF CLAIM. Intuitively, as  $d$  grows larger, the maximum difference between the  $d^{\text{th}}$  roots of consecutive unit fractions tends to 0. In particular, suppose  $a \in (0, 1)$  and  $\varepsilon > 0$ . Take  $d$  large enough so that

$$1 - \sqrt[d]{\frac{1}{2}} < \varepsilon.$$

The distance between the  $d^{\text{th}}$  roots of  $\frac{1}{n}$  and  $\frac{1}{n+1}$  is maximized when  $n = 1$ , so the distance between the  $d^{\text{th}}$  roots of any two consecutive unit fractions is less than  $\varepsilon$ . Thus, the minimum value of  $|a - \sqrt[d]{1/n}|$  across positive integers  $n$  is less than  $\varepsilon$  because the roots range from arbitrarily close to 1 when  $d = 1$  and arbitrarily close to 0 when  $d$  is large.  $\square$

While the choice of parameters  $\sqrt[d]{1/n}$  produces antimatter but not necessarily valuation monoids (see Example 3.5), a slight modification in our choice of parameters will yield valuation monoids. Consider, for each pair  $(d, n) \in \mathbb{N} \times \mathbb{N}_{\geq 2}$ , the polynomial

$$Q_{d,n}(x) := (n-1)x^d + x^{d-1} - 1 \in \mathbb{Z}[x],$$

and notice that  $Q_{d,n}$  has a unique positive root, which exhibits a close similarity to the minimal polynomial  $x^d - \frac{1}{n}$  of  $\sqrt[d]{1/n}$ . For each triple  $(d, n, k) \in \mathbb{N}^2 \times \mathbb{N}_{\geq 2}$ , we let  $\alpha_{k,n,d}$  denote the unique positive root of  $Q_{kd,n^k}(x)$  and we will check that  $\lim_{k \rightarrow \infty} \alpha_{d,n,k} = \sqrt[d]{1/n}$ . We proceed to argue that

$$\lim_{k \rightarrow \infty} \alpha_{d,n,k} = \sqrt[kd]{\frac{1}{n^k}} = \sqrt[d]{\frac{1}{n}}.$$

It suffices simply to bound the difference of their inverses. First, notice that after evaluating the reciprocal polynomial  $x^{kd} - x - (n^k - 1)$  at  $\sqrt[d]{n}$  yields the negative value  $1 - \sqrt[d]{n}$ . Hence  $\sqrt[d]{n} < \alpha_{d,n,k}^{-1}$  by the Intermediate Value Theorem. However, evaluating instead at  $\sqrt[d]{n}(1 + \frac{1}{kd})$  yields, by a truncation of the binomial theorem after the first two terms, a value greater than

$$n - \left( \sqrt[d]{n} + \frac{\sqrt[d]{n}}{kd} \right) + 1.$$

For sufficiently large  $k$ , this value is positive, meaning that  $\alpha_{d,n,k}^{-1} < \sqrt[d]{n}(1 + \frac{1}{kd})$ , again following from the Intermediate Value Theorem. For large  $k$ , the two bounds are arbitrarily close together. Thus, the inverse of  $\alpha_{d,n,k}$  approaches the inverse of our target. At no point is either the limit or  $\alpha_{d,n,k}$  equal to zero, meaning we can reciprocate and extract that  $\alpha_{d,n,k}$  approaches  $\sqrt[d]{1/n}$  as  $k \rightarrow \infty$ .

We proceed to argue that, for each triple  $(d, n, k) \in \mathbb{N}^2 \times \mathbb{N}_{\geq 2}$ , the monoid  $M_{\alpha_{d,n,k}}$  has the valuation property. To do this, first observe that  $\alpha_{d,n,k}^{-1}$  is a root of the polynomial  $x^{kd} - x - (n^k - 1)$ , which makes it an algebraic integer. As its minimal polynomial has one sign change,  $\alpha_{d,n,k}^{-1}$  has no positive conjugates aside from itself. Moreover, being simple with only its leading coefficient positive,  $\alpha_{d,n,k}^{-1}$  is in fact a Perron number by an analogue to Proposition 4.1. Thus,  $M_{\alpha_{d,n,k}}$  is a valuation monoid. Hence

$$A := \{\alpha_{d,n,k} : (d, n, k) \in \mathbb{N}^2 \times \mathbb{N}\} \subseteq V.$$

On the other hand, the fact that  $\lim_{k \rightarrow \infty} \alpha_{d,n,k} = \sqrt[d]{1/n}$  for all fixed pair  $(d, n) \in \mathbb{N} \times \mathbb{N}_{\geq 2}$ ) guarantees that the set  $\{\sqrt[d]{1/n} : (d, n) \in \mathbb{N} \times \mathbb{N}_{\geq 2}\}$  is contained in the closure of  $A$ . Thus, by virtue of our established claim,  $V$  must be dense in the interval  $(0, 1)$ .  $\square$

We continue with some results about the structural properties of  $V$ . Observe that  $V^{-1}$ , the set of  $\alpha^{-1}$  for  $\alpha \in V$ , is contained within the Perron numbers (Proposition 4.1), which is closed under addition and multiplication [25, Proposition 1]. However,  $V^{-1}$  is closed under neither as shown by the following two examples, and this results from the fact that the property of having no distinct positive conjugates is very rarely preserved under either operation. In particular, in our discussion below, we only need to check whether the sum or product has positive algebraic conjugates distinct from itself.

**Example 5.11.** One may easily verify that  $\alpha = \sqrt{2} - 1$ , with minimal polynomial  $m_\alpha(x) = x^2 + 2x - 1$ , is in  $V$ . However,  $\alpha^2 = 3 - 2\sqrt{2} \notin V$  as  $3 + 2\sqrt{2}$  is a distinct positive conjugate.  $\blacksquare$

Meanwhile, a counterexample that  $V^{-1}$  is not closed under addition is more involved. In fact, although we were able to square  $\alpha$  earlier, dividing  $\alpha$  by two (the equivalent in the additive case) will not affect the valuation property.

**Example 5.12.** Let  $\beta$  be defined as the reciprocal of  $\alpha$  in the above example (with minimal polynomial  $m_\beta(x) = x^2 - 2x - 1$ ) and consider the golden ratio  $\varphi = (1 + \sqrt{5})/2$  satisfying  $m_\varphi(x) = x^2 - x - 1$ . To show that  $V^{-1}$  is not closed, it suffices to show that  $\beta + \varphi$  has a positive conjugate distinct from itself. In particular, using the resultant, we find that the minimal polynomial of  $\alpha + \beta$  is  $x^4 - 6x^3 + 7x^2 + 6x - 9$ . While  $\alpha + \beta$  remains a Perron number, this polynomial has two positive roots, which means that  $\alpha + \beta$  has a positive algebraic conjugate aside from itself, precluding  $M_{(\alpha+\beta)^{-1}}$  from being valuation.  $\blacksquare$

Hence we proceed by finding several sufficient and several necessary conditions about when it is possible to multiply two elements in  $V$  or add two inverses in  $V^{-1}$ .

**Theorem 5.13.** For  $\alpha, \beta \in \mathbb{A}$ , let  $M_\alpha$  and  $M_\beta$  be valuation monoids. Then the following statements hold.

- (1) If  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}(\beta)$  are linearly disjoint over  $\mathbb{Q}$  (meaning whenever a finite subset  $S \subset \mathbb{Q}(\alpha)$  is linearly independent over  $\mathbb{Q}$ , then it is also linearly independent over  $\mathbb{Q}(\beta)$ ), then  $M_{\alpha\beta}$  has the valuation property only if at most one of  $\alpha$  or  $\beta$  has a negative conjugate and at most one has a purely imaginary conjugate. Further, this becomes exact so long as if  $\gamma$  and  $\delta$  are nonreal non-imaginary algebraic conjugates of  $\alpha$  and  $\beta$ , respectively, then  $\gamma/\delta \notin \mathbb{R}^+$ .
- (2) If in fact the splitting fields (the smallest field containing each root to the respective polynomial) of  $m_\alpha(x)$  and  $m_\beta(x)$  are linearly disjoint, then  $M_{\alpha\beta}$  has the valuation property if and only if at most one of  $\alpha$  or  $\beta$  has a negative conjugate and at most one has a purely imaginary conjugate.

*Proof.* (1) Observe that linear disjointness ensures that every product  $\gamma\delta$  is an algebraic conjugate of  $\alpha\beta$ , where  $\gamma$  is conjugate to  $\alpha$  and  $\delta$  to  $\beta$ . Hence, if  $\alpha$  and  $\beta$  both have negative conjugates, then the product of these negative conjugates yields a positive conjugate of  $\alpha\beta$ . Further, algebraic conjugates  $\gamma$  of  $\alpha$  and  $\delta$  of  $\beta$  have the same argument only if they are real, so  $\gamma\delta \in \mathbb{R}^+$  for nonreal  $\gamma$  and  $\delta$  yields a contradiction, namely that  $\gamma$  has the same argument as  $\bar{\delta}$ .

(2) This condition is stronger than (1), so to prove the equivalence it suffices to show that if  $\gamma$  is a nonreal conjugate of  $\alpha$  and  $\delta$  likewise of  $\beta$ , then  $\gamma\delta \notin \mathbb{R}^+$  as the real case has been dealt with. In particular, if  $\gamma\delta \in \mathbb{R}^+$ , then  $\gamma\delta \in \mathbb{R}$  implies  $\gamma\delta = \bar{\gamma}\bar{\delta}$ , or  $\gamma/\bar{\gamma} = \bar{\delta}/\delta$ . However,  $\gamma/\bar{\gamma} \in L_\alpha$  while  $\bar{\delta}/\delta \in L_\beta$ , where  $L_\alpha$  and  $L_\beta$  denote the splitting fields of  $m_\alpha(x)$  and  $m_\beta(x)$ , respectively. By linear disjointness, the only common subfield of  $L_\alpha$  and  $L_\beta$  is  $\mathbb{Q}$  itself, which means both  $\gamma/\bar{\gamma}$  (as well as  $\bar{\delta}/\delta$ ) is rational. This is clearly a contradiction if  $\gamma$  is non-real. In particular, if  $\gamma$  is not purely imaginary, the ratio is not real, which means it cannot be rational.  $\square$

**Example 5.14.** As a quick example, observe that when  $\alpha = \frac{1}{n}$ , the splitting field of  $m_\alpha(x)$  is  $\mathbb{Q}(\frac{1}{n}) = \mathbb{Q}$  for any  $n \in \mathbb{N}$ . Hence,  $\mathbb{Q}(\frac{1}{n})$  and  $K$  are trivially linearly disjoint over  $\mathbb{Q}$  for any field  $K$ , making it quick to show that whenever  $\beta \in V$ , so is  $\beta/n$ .  $\blacksquare$

*Remark 5.15.* Fix  $\alpha, \beta \in \mathbb{A}$ , and observe that linear disjointness of  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}(\beta)$  is equivalent to the following equality:

$$[\mathbb{Q}(\alpha)\mathbb{Q}(\beta) : \mathbb{Q}] = [\mathbb{Q}(\alpha) : \mathbb{Q}] \cdot [\mathbb{Q}(\beta) : \mathbb{Q}],$$

where  $\mathbb{Q}(\alpha)\mathbb{Q}(\beta)$  is the compositum field. As a consequence, if  $\deg m_\alpha(x)$  and  $\deg m_\beta(x)$  are coprime, then linear disjointness follows from the fact that  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}(\beta)$  are both subfields of  $\mathbb{Q}(\alpha)\mathbb{Q}(\beta)$ , which implies that

$$[\mathbb{Q}(\alpha) : \mathbb{Q}] = \deg m_\alpha(x) \quad \text{and} \quad [\mathbb{Q}(\beta) : \mathbb{Q}] = \deg m_\beta(x),$$

which equal the degrees of the minimal polynomials, are both factors of  $[\mathbb{Q}(\alpha)\mathbb{Q}(\beta) : \mathbb{Q}]$ . Hence this gives a rather simple test for showing that a given product  $\alpha\beta$  does not generate a valuation monoid—simply counting negative and imaginary roots may sometimes be enough to preclude  $M_{\alpha\beta}$  from being valuation.

On the other hand, this idea of coprime degrees is interesting as, aside from  $f(x) = x$ , an irreducible polynomial with odd degree cannot have a purely imaginary nonzero root. In particular, for  $\xi \in i\mathbb{R}$ , both  $m_\xi(x)$  and  $-m_\xi(-x)$  are monic irreducible polynomials of the same degree. Moreover,  $\xi$  is a root to each as  $\bar{\xi} = -\xi$ , so the two polynomials must be the same. Hence,  $m_\xi(x)$  is an odd polynomial, meaning it must be a multiple of  $x$ . Being irreducible,  $m_\xi(x) = x$ , which implies  $x = 0$ .

In the case where the degrees of  $m_\alpha(x)$  and  $m_\beta(x)$  are not coprime, the problem becomes much more difficult. In particular, interactions between  $\alpha$  and  $\beta$  may mean that not all products of conjugates of  $\alpha$  and  $\beta$  become conjugates of  $\alpha\beta$ , which makes it difficult to make universal statements.

Let us now move to addition. Specifically, given valuation  $M_\alpha$  and  $M_\beta$ , we are interested in the circumstances under which  $M_{(\alpha^{-1}+\beta^{-1})^{-1}}$  has the valuation property. For instance, this holds when  $\alpha$  and  $\beta$  are unit fractions, but we can establish a more general proposition with  $\alpha \in V$ .

**Proposition 5.16.** *For  $\alpha \in V$ , let  $\gamma$  be the negative conjugate of  $\alpha^{-1}$  closest to the origin. Then  $(\alpha^{-1} + \beta^{-1})^{-1} \in V$  for any  $\beta^{-1} \in V \cap \llbracket 1, -\gamma \rrbracket$ .*

*Proof.* The Perron numbers are closed under addition, so it suffices to show that  $\alpha^{-1} + \beta^{-1}$  has no positive conjugates. In particular, since the conjugates of  $\alpha^{-1} + \beta^{-1}$  are simply  $\beta^{-1}$  added to a conjugate of  $\alpha^{-1}$ , then the inequality  $\beta^{-1} < -\gamma$  ensures that no conjugates become positive.  $\square$

We prove one last result showing that the subset of  $V$  with a given bounded degree is discrete inside the interval  $(0, 1)$ .

**Proposition 5.17.** *The subset of  $V$  with a given bounded degree is discrete in  $(0, 1)$ .*

*Proof.* This follows from [25, Proposition 3], which tells us that the Perron numbers with degree at most some fixed value are discrete in  $[1, \infty)$ . Further,  $0 \notin V$  by definition, so even though  $V$  accumulates at 0, it remains discrete.  $\square$

It would be interesting to study the distribution of  $V$  under a given bound on the degree. While of course they do cluster near 0, we might ask how quickly the proportion falls off away from 0.

## 6. ATOMICITY

In this section, we focus on the monoids  $M_\alpha$  that are atomic, exploring their connection to ascending chains of principal ideals and their set of factorial elements.

**6.1. Ascending Chains of Principal Ideals.** Atomicity and ascending chains of ideals of the monoids  $M_\alpha$ . We mainly focus on the diagram of atomic classes first considered by the second author and Li in [22]:

$$\text{ACCP} \xrightleftharpoons[\text{red}]{} \text{Almost ACCP} \xrightleftharpoons[\text{red}]{} \text{Atomicity}.$$

FIGURE 2. Counterexamples validating the red-marked arrows, which have been given before in the literature, can also be found inside the class of monoids  $M_\alpha$ , as we illustrate later on.

Given  $\alpha \in \mathbb{A}$  with minimal polynomial  $m_\alpha(x)$ , recall that  $c_\alpha$  is the unique positive integer such that  $c_\alpha m_\alpha(x)$  is a primitive integer polynomial. In particular, we set

$$w_\alpha(x) := c_\alpha m_\alpha(x) \in \mathbb{Z}[x].$$

Sufficient conditions for the atomicity of  $M_\alpha$  were given in [13, Section 4]. Here is another simple sufficient condition, which is based on the polynomial  $w_\alpha(x)$ .

**Proposition 6.1.** *For any  $\alpha \in \mathbb{A}$  with  $w_\alpha(0) \neq -1$ , the monoid  $M_\alpha$  is atomic.*

*Proof.* Assume, towards a contradiction, that there exists  $\alpha \in \mathbb{A}$  with  $w_\alpha(0) \neq -1$  such that the monoid  $M_\alpha$  is not atomic. Then it follows from [13, Theorem 4.2] that  $1 \notin \mathcal{A}(M_\alpha)$ , and so there exists a nonzero polynomial  $g(x) \in x\mathbb{N}_0[x] - 1$  having  $\alpha$  as a root. Hence  $g(x)$  is a multiple of the minimal polynomial of  $\alpha$ , so we may write  $g(x) = q(x)m_\alpha(x)$  for some polynomial  $q(x) \in \mathbb{Q}[x]$ . Thus,  $g(x) = q(x)w_\alpha(x)/c_\alpha$  and, as  $g(x) \in \mathbb{Z}[x]$ , it follows from Gauss's lemma that the content of  $q(x)$  is  $c_\alpha$ . Further, we can write  $g(x) = Q(x)w_\alpha(x)$ , where  $Q(x) := q(x)/c_\alpha$  is a primitive integer polynomial. Hence  $w_\alpha(0) \mid g(0) = -1$ , which implies that  $w_\alpha(0) \in \{-1, 1\}$ .

However,  $w_\alpha(0) = 1$  would imply that the last term is positive, forcing the number of sign changes to be even. In particular, both the leading coefficient and the constant would be positive, so every sign change from positive to negative would be paired with one in the opposite direction. By Descartes' Rule of Signs,  $m_\alpha(x)$  then has an even number of positive roots (counting multiplicity), which contradicts the uniqueness of  $\alpha$  as a positive root. Hence  $w_\alpha(0) = -1$ .  $\square$

We next establish a sufficient condition for a monoid  $M_\alpha$  to contain a non-stabilizing ascending chain of principal ideals.

**Proposition 6.2.** *For  $\alpha \in \mathbb{A}$  with minimal polynomial  $m_\alpha(x)$ , if  $f(x), g(x), h(x), \ell(x) \in \mathbb{N}_0[x]$  satisfy*

$$\ell(x)m_\alpha(x) = f(x)(g(x) - 1) + h(x),$$

*then the element  $f(\alpha)$  does not satisfy the ACCP in  $M_\alpha$ , whence  $M_\alpha$  does not satisfy the ACCP.*

*Proof.* Suppose that such polynomials  $f(x), g(x), h(x)$ , and  $\ell(x)$  exist. Now, for each  $n \in \mathbb{N}_0$ , set  $a_n(\alpha) := f(\alpha)g(\alpha)^n$ . Since the polynomials  $f(x)$  and  $g(x)$  have nonnegative coefficients,  $a_n(\alpha) \in M_\alpha$  for every  $n \in \mathbb{N}_0$ . Therefore,  $(a_n(\alpha) + M_\alpha)_{n \geq 0}$  is a sequence of principal ideals in  $M_\alpha$ , which starts at  $a_0(\alpha) = f(\alpha)$ . Furthermore, for each  $n \in \mathbb{N}_0$ ,

$$\begin{aligned} a_n(\alpha) - a_{n+1}(\alpha) &= f(\alpha)g(\alpha)^n(1 - g(\alpha)) \\ &= g(\alpha)^n(h(\alpha) - \ell(\alpha)m_\alpha(\alpha)) \\ &= h(\alpha)g(\alpha)^n \in M_\alpha, \end{aligned}$$

which implies that  $(a_n(\alpha) + M_\alpha)_{n \geq 0}$  is an ascending chain of principal ideals of  $M_\alpha$ . Moreover, as the monoid  $M_\alpha$  is reduced and  $h(\alpha)g(\alpha)^n \neq 0$  for every  $n \in \mathbb{N}_0$ , the sequence  $(a_n(\alpha) + M_\alpha)_{n \geq 0}$  is a non-stabilizing ascending chain of principal ideals of  $M_\alpha$  starting at  $f(\alpha)$ . Thus, neither the element  $f(\alpha)$  nor the monoid  $M_\alpha$  satisfies the ACCP.  $\square$

As an application of Proposition 6.2, we can obtain examples of monoids  $M_\alpha$  that are atomic but do not satisfy the ACCP. We proceed to illustrate this observation.

**Example 6.3.** Consider the monic polynomial  $m(x) := x^3 + 2x^2 - x - 1 \in \mathbb{Q}[x]$ . From the equalities  $m(0) = -1$  and  $m(1) = 1$ , we deduce that  $m(x)$  has a root  $\alpha$  in the interval  $(0, 1)$ . Since  $m(x)$  does not have any integer roots, it follows from Gauss's lemma that  $m(x)$  is irreducible in  $\mathbb{Q}[x]$ , and so it is the minimal polynomial of  $\alpha$ . It follows now from Descartes' Rule of Signs that  $m(x)$  has either one or three positive roots, so the fact that  $m(x)$  has a negative root (because  $m(-1) = 1$  and  $m(0) = -1$ ) ensures that  $\alpha$  is the only positive root of  $m(x)$ . We proceed to argue that the monoid  $M_\alpha$  is an atomic monoid not satisfying the ACCP.

Assume, towards a contradiction, that  $M_\alpha$  is not atomic. Then  $1 \notin \mathcal{A}(M_\alpha)$  by [13, Theorem 4.2], and so we can take  $c_1, \dots, c_n \in \mathbb{N}_0$  with  $c_n \neq 0$  such that  $1 = \sum_{i=1}^n c_i \alpha^i$ . Therefore the polynomial  $g(x) := -1 + \sum_{i=1}^n c_i x^i \in \mathbb{Z}[x]$  has  $\alpha$  as a root. Observe that  $\alpha$  is the only positive root of  $g(x)$  because the derivative of  $g(x)$  is positive in the real line. As  $m(x)$  is the minimal polynomial of  $\alpha$ , we can write  $g(x) = f(x)m(x)$  for some polynomial  $f(x) \in \mathbb{Q}[x]$ . As  $m(x)$  is monic, the leading coefficient of  $f(x)$  is  $c_n$ , which is negative. On the other hand, the constant coefficient of  $f(x)$  is 1, which is positive. Hence  $f(x)$  has an odd number of positive roots by Descartes' Rule of Signs, which implies that  $g(x)$  has an even number of positive roots (counting multiplicities) because  $m(x)$  has exactly one positive root. However, this contradicts the fact that  $g(x)$  has exactly one positive root. Hence  $M_\alpha$  must be atomic, as claimed.

Finally, we use Lemma 6.2 to argue that  $M_\alpha$  does not satisfy the ACCP. Set  $f(x) := 1 + x$ ,  $g(x) := x^2$ ,  $h(x) := x^2$ , and  $\ell(x) := 1$  and observe that

$$m(x) = x^3 + 2x^2 - x - 1 = (1 + x)(x^2 - 1) + x^2 = f(x)(g(x) - 1) + h(x).$$

Thus, the element  $f(\alpha) = 1 + \alpha$  does not satisfy the ACCP in  $M_\alpha$ . Hence we conclude that  $M_\alpha$  is atomic but does not satisfy the ACCP.

We proceed to construct an atomic monoid  $M_\alpha$  where almost every element does not satisfy the ACCP. The constructed monoid will facilitate the proof of Theorem 7.3 given in the next section.

**Example 6.4.** Consider the polynomial  $m(x) := x^3 + 2x^2 + x - 2$ . As  $m(0) = -2$ , the fact that  $m(x)$  is strictly increasing as a function on  $[0, \infty)$  guarantees that  $m(x)$  has only one positive root, which we denote by  $\alpha$ . Further,  $m(x)$  has no integer roots, implying it must be irreducible in  $\mathbb{Q}[x]$  by Gauss's lemma. Therefore it follows from Proposition 6.1 that  $M_\alpha$  is atomic. We claim that the element  $2\alpha^k$  does not satisfy the ACCP for any  $k \in \mathbb{N}_0$ . Indeed, after setting  $f(x) := 2x^k$ ,  $g(x) := x^2$  and  $h(x) := x^{k+3} + x^{k+1}$ , we see that

$$\frac{f(x)}{2}m(x) = x^k(x^3 + 2x^2 + x - 2) = 2x^k(x^2 - 1) + (x^{k+3} + x^{k+1}) = f(x)(g(x) - 1) + h(x).$$

As  $\frac{f(x)}{2} = x^k \in \mathbb{N}_0[x]$ , it follows from Proposition 6.2 that the element  $f(\alpha) = 2\alpha^k$  does not satisfy the ACCP in  $M_\alpha$ . Hence  $M_\alpha$  is an atomic monoid that does not satisfy the ACCP. ■

**6.2. Quasi-ACCP and Almost ACCP.** Recall that an additive monoid  $M$  satisfies the quasi-ACCP provided that for every nonempty finite subset  $S$  of  $M$ , there exists a common divisor  $d \in M$  of  $S$  such that  $s - d$  satisfies the ACCP for some  $s \in S$ . Let us take a look at an example.

**Example 6.5.** First, we show that  $M_q$  satisfies the quasi-ACCP for all  $q \in \mathbb{Q}_{>0}$ . To do so, fix  $q \in \mathbb{Q}_{>0}$  and consider the following cases.

CASE 1:  $M_q$  is not atomic. In this case,  $q = \frac{1}{d}$  for some  $d \in \mathbb{N}$  with  $d \geq 2$  [21, Section 6]. Hence  $M_q$  is the valuation monoid  $\mathbb{Z}[\frac{1}{d}]_{\geq 0}$ , and so it satisfies the quasi-ACCP.

CASE 2:  $M_q$  is atomic. If  $q \geq 1$ , then it follows from [20, Theorem 5.6] that  $M_q$  is an FFM and, therefore, it must satisfy the quasi-ACCP. The subcase corresponding to  $q \in (0, 1)$  was discussed in [22, Example 3.8].

Thus, for any  $q \in \mathbb{Q}_{>0}$ , the monoid  $M_q$  is strongly atomic if and only if it satisfies the almost ACCP. ■

We conclude this section with a necessary condition for a non-ACCP monoid  $M_\alpha$  to satisfy the quasi-ACCP.

**Proposition 6.6.** *For  $\alpha \in \mathbb{A}$ , assume that the monoid  $M_\alpha$  does not satisfy the ACCP. If  $M_\alpha$  satisfies the quasi-ACCP, then the minimal polynomial of  $\alpha$  is simple.*

*Proof.* Fix  $\alpha \in \mathbb{A}$  such that  $M_\alpha$  does not satisfy the ACCP, and assume that  $M_\alpha$  satisfies the quasi-ACCP. Suppose, by way of contradiction, that the minimal polynomial  $m_\alpha(x) \in \mathbb{Q}[x]$  of  $\alpha$  is not simple. We then write  $m_\alpha(x) = m(x^n)$  for  $n \in \mathbb{N}_{\geq 2}$  and a simple polynomial  $m(x) \in \mathbb{Q}[x]$ . It follows from Proposition 3.4 that the monoid  $M_\alpha$  is isomorphic to the direct product  $M$  of  $n$  copies of  $M_{\alpha^n}$ . Because  $M$  does not satisfy the ACCP, the fact that  $M$  contains a divisor-closed submonoid isomorphic to  $M_{\alpha^n}$  ensures that  $M_\alpha$  does not satisfy the ACCP. Let  $\beta$  be an element of  $M_{\alpha^n}$  that does not satisfy the ACCP and, for each  $k \in \llbracket 1, n \rrbracket$ , set  $\sigma_k := \iota_k(\beta)$ , where  $\iota_k: M_{\alpha^n} \rightarrow M$  is the canonical embedding of  $M_{\alpha^n}$  into the  $k$ -th component of  $M$ . For each  $k \in \llbracket 1, n \rrbracket$ , the fact that  $\iota_k(M_{\alpha^n})$  is a divisor-closed submonoid of  $M$  ensures that  $\sigma_k$  does not satisfy the ACCP in  $M$ . This, along with the fact that  $\{\sigma_k : k \in \llbracket 1, n \rrbracket\}$  is a finite nonempty subset of  $M$  whose only common divisor is zero, implies that  $M$  does not satisfy the quasi-ACCP. However, this contradicts that  $M_\alpha$  satisfies the quasi-ACCP. □

**Corollary 6.7.** *For any  $d \in \mathbb{N}_{\geq 2}$  and  $M_\alpha$  satisfying the almost ACCP but not the ACCP,  $M_{\sqrt[d]{\alpha}}$  is strongly atomic but does not satisfy the almost ACCP.*

*Proof.* Since  $M_\alpha$  satisfies the almost ACCP, it must be strongly atomic. Since strongly atomic is equivalent to atomic and 2-MCD, and products preserve both of these properties,  $M_{\sqrt[d]{\alpha}} \cong M_\alpha^d$  must also be strongly atomic. However,  $M_{\sqrt[d]{\alpha}}$  does not satisfy the ACCP and thus cannot satisfy the almost ACCP by Proposition 6.6. □

Let us take a look at an application of Corollary 6.7.

**Example 6.8.** Fix  $q \in (0, 1) \setminus \mathbb{N}^{-1}$ . It was shown in [22, Example 3.8] that the monoid  $M_q$  satisfies the almost ACCP but not the ACCP. Therefore it follows from Corollary 6.7 that, for each  $n \in \mathbb{N}_{\geq 2}$ , the monoid  $M_{\sqrt[q]{q}}$  is strongly atomic but does not satisfy the almost ACCP. Moreover, when  $\mathbf{n}(q)$  is squarefree,  $x^d - q$  is irreducible by Eisenstein's Criterion, so there exist infinitely many strongly atomic but not almost ACCP monoids for each rank at least 2.

**6.3. Factoriality.** We proceed to consider the set of factorial elements inside the monoids  $M_\alpha$ . We start by the following lemma.

**Lemma 6.9.** *For  $\alpha \in \mathbb{A} \cap (0, 1)$  with  $w_\alpha(x) = -c_0 + \sum_{i=1}^d c_i x^i \in -\mathbb{N}_{\geq 2} + x\mathbb{N}_0[x]$  assume that  $\max\{c_1, \dots, c_d\} \geq 2$ . Then for any polynomial  $P(x) \in \mathbb{N}_0[x]$  with all nonzero coefficients equal to 1, the element  $P(\alpha)$  is factorial in  $M_\alpha$ .*

*Proof.* Let  $P(x) \in \mathbb{N}_0[x]$  be a polynomial with all nonzero coefficients equal to 1. It follows from Proposition 6.1 that  $M_\alpha$  is atomic. In addition, the inequality  $\alpha < 1$  guarantees that  $\mathcal{A}(M_\alpha) = \{\alpha^n : n \in \mathbb{N}_0\}$ . Assume, for the sake of a contradiction, that  $P(\alpha) = Q(\alpha)$  for some polynomial  $Q(x) \in \mathbb{N}_0[x]$  with  $Q(x) \neq P(x)$ , and further assume that  $Q(0) - P(0) \geq 0$ . As  $\alpha$  is a root of  $Q(x) - P(x)$ , we can take a polynomial  $q(x) \in \mathbb{Q}[x]$  such that  $Q(x) - P(x) = q(x)m_\alpha(x)$ . After applying Gauss's lemma to this equality, we are assured a nonzero polynomial

$$R(x) := \sum_{i=0}^e r_i x^i \in \mathbb{Z}[x]$$

such that  $Q(x) - P(x) = w_\alpha(x)R(x)$ . Observe that  $-c_0 R(0) = Q(0) - P(0) \geq 0$ , and so  $R(0) \leq 0$ . It is convenient to split the rest of the proof into two cases.

CASE 1.  $r_i \leq 0$  for every  $i \in \llbracket 0, e \rrbracket$ . In this case, the leading coefficient  $r_e$  of  $R(x)$  must be negative. By assumption, there exists an index  $j \in \llbracket 1, d \rrbracket$  with  $c_j \geq 2$ . Thus,  $[x^{j+e}]w_\alpha(x)R(x)$  is given by only nonnegative terms, whence it is bounded above by  $r_e c_j \leq -2$ . However, this contradicts that every coefficient of the polynomial  $Q(x) - P(x)$  is at least  $-1$ .

CASE 2.  $r_j > 0$  for some  $j \in \llbracket 0, e \rrbracket$ . Set  $\ell := \min\{j : r_j > 0\}$ . To see that the inequality  $[x^\ell]w_\alpha(x)R(x) \leq r_\ell c_0$  holds, it suffices to notice that the rest of the coefficients involve contributions from  $R(x)$  whose degrees are strictly less than  $\ell$  and hence have non-positive coefficients. However, this contradicts that every coefficient of  $Q(x) - P(x)$  is at least  $-1$ , which concludes the proof.  $\square$

It turns out that we can find a canonical decomposition for elements in the monoid  $M_\alpha$  provided that the minimal polynomial of  $\alpha$  has only one positive monomial term.

**Proposition 6.10.** *Let  $\alpha$  be a degree- $d$  positive algebraic number with  $p_\alpha(x) = m_d x^d$ . Then each  $\beta \in M_\alpha$  can be written uniquely as follows:*

$$(6.1) \quad \beta = \sum_{n \in \mathbb{N}_0} b_n \alpha^n,$$

where  $(b_n)_{n \geq 0}$  is a finite-supported sequence with  $b_n < m_d$  for every  $n \geq d$ .

*Proof.* First, we prove the existence of the sum decomposition in (6.1). To do so, consider the following set consisting of polynomials in  $\mathbb{N}_0[x]$ :

$$B := \{f(x) \in \mathbb{N}_0[x] : \beta = f(\alpha)\}.$$

Since  $\{\alpha^n : n \in \mathbb{N}_0\}$  is a generating set of  $M_\alpha$ , the set  $B$  is nonempty. For each polynomial  $f(x) = \sum_{n \in \mathbb{N}_0} b_n x^n$  in  $B$  define

$$k(f) := \max\{-1, n \in \mathbb{N}_0 : b_n \geq m_d\} \in \mathbb{Z}_{\geq -1}.$$

Among all polynomials in  $B$ , assume that we have taken  $f(x) = \sum_{n \in \mathbb{N}_0} b_n x^n$  such that  $k(f) = \min\{k(g) : g \in B\}$ , and set  $k := k(f)$ . Observe that if  $k(f) < d$ , then  $\sum_{n \in \mathbb{N}_0} b_n \alpha^n$  is the sum decomposition of  $\beta$  we are looking for, and we are done. Therefore, we assume that  $k \geq d$ . Since  $b_k \geq m_d$ , we can write  $b_k = c'_k m_d + r_k$  for some  $c'_k \in \mathbb{N}$  and  $r_k \in \llbracket 0, m_d - 1 \rrbracket$ . Then

$$\begin{aligned} b_k x^k &= (b_k - c'_k m_d)x^k + c'_k m_d x^k \\ &= r_k x^k + c'_k x^{k-d}(w_\alpha(x) + q_\alpha(x)) \\ &= c'_k x^{k-d} w_\alpha(x) + (r_k x^k + c'_k x^{k-d} q_\alpha(x)), \end{aligned}$$

where the second equality holds because  $p_\alpha(x) = m_d x^d$ . Thus,  $b_k x^k \equiv r_k x^k + c'_k x^{k-d} q_\alpha(x) \pmod{w_\alpha(x)}$  and so,  $f'(x) := f(x) - b_k x^k + (r_k x^k + c'_k x^{k-d} q_\alpha(x))$  is another polynomial in  $B$ . Since  $\deg q_\alpha(x) < d$ , we see that  $\deg c'_k x^{k-d} q_\alpha(x) < k$ , which implies that the term of degree  $\ell$  of  $f'(x)$  is  $b_\ell x^\ell$  for every  $\ell > k$ . This, along with the fact that the degree- $k$  term of  $f'(x)$  is  $r_k x^k$ , ensures that  $k(f') < k$ , which contradicts the minimality of  $k$ . Hence  $k(f) < d$ , which implies that  $b_i < m_d$  for every index  $i$  with  $i \geq d$ . Finally, observe that

$$\sum_{n \in \mathbb{N}_0} b_n \alpha^n = f(\alpha) = \beta$$

because  $f(x) \in B$ . Hence  $\sum_{n \in \mathbb{N}_0} b_n \alpha^n$  is the desired sum decomposition of  $\beta$ .

Let us argue the uniqueness of such a sum decomposition. Let  $(b_n)_{n \geq 0}$  and  $(c_n)_{n \geq 0}$  be two finite-supported sequences of nonnegative integers such that  $\sum_{n \in \mathbb{N}_0} b_n \alpha^n = \sum_{n \in \mathbb{N}_0} c_n \alpha^n = \beta$  and  $b_n, c_n \in \llbracket 0, m_d - 1 \rrbracket$  for every  $n \in \mathbb{N}$  with  $n \geq d$ .

Define  $T := \{n \in \mathbb{N}_{\geq d} : b_n \neq c_n\}$ . Note that  $T$  is empty if and only if  $(b_n)_{n \geq 0}$  and  $(c_n)_{n \geq 0}$  correspond to the same factorization, as  $\sum_{n=0}^{d-1} b_n \alpha^n = \sum_{n=0}^{d-1} c_n \alpha^n$  if and only if  $(b_n)_{n=0}^{d-1} = (c_n)_{n=0}^{d-1}$  since  $d$  is the degree of the minimal polynomial of  $\alpha$ . Suppose for the sake of contradiction that  $T$  is nonempty, and let  $m := \max T$ , so  $\sum_{n=0}^m b_n \alpha^n = \sum_{n=0}^m c_n \alpha^n$ . Because  $\sum_{n=0}^m (b_n - c_n) \alpha^n = 0$ , we have  $w_\alpha(x) a(x) = \sum_{n=0}^m (b_n - c_n) x^n$  for some  $a(x) \in \mathbb{Z}[x]$ . Therefore, the leading coefficient of  $w_\alpha(x)$ , which is  $m_d$ , must divide  $b_m - c_m \in \llbracket 0, m_d - 1 \rrbracket$ , which forces  $b_m = c_m$ , a contradiction. Therefore,  $T$  is empty, so  $(b_n)_{n \geq 0} = (c_n)_{n \geq 0}$ .  $\square$

For certain algebraic parameter  $\alpha$  we can fully characterize the factorial elements of  $M_\alpha$  as follows.

**Lemma 6.11.** *Let  $\alpha$  have  $w_\alpha(x) = m_d x^d - \sum_{i=0}^{d-1} q_i x^i$  with  $q_i \in \mathbb{N}_0$  for all  $i \in \llbracket 0, d-1 \rrbracket$  and  $m_d \leq q_{d-1}$ , and suppose  $M_\alpha$  is atomic. Then the following conditions are equivalent for each  $b \in M_\alpha$ .*

- (a)  $|\mathbb{Z}(b)| = 1$ .
- (b)  $b = \sum_{i=0}^m c_i \alpha^i$  for nonnegative integers  $c_i$  satisfying  $q_i > c_i$  for some  $i \in \llbracket 0, d-1 \rrbracket$  and  $c_i \in \llbracket 0, m_d - 1 \rrbracket$  for all  $i \in \llbracket d, m \rrbracket$ .

*Proof.* (a)  $\Rightarrow$  (b): Suppose that  $|\mathbb{Z}(b)| = 1$ . By Proposition 6.10, we can write  $b = \sum_{i=0}^m c_i \alpha^i$  for some  $c_0, c_1, \dots, c_m \in \mathbb{N}_0$  such that  $c_i \in \llbracket 0, m_d - 1 \rrbracket$  for all  $i \in \llbracket d, m \rrbracket$ . We have  $q_i > c_i$  for some  $i \in \llbracket 0, d-1 \rrbracket$  as otherwise

$$b = \sum_{i=0}^{d-1} (c_i - q_i) \alpha^i + (m_d + c_d) \alpha^d + \sum_{i=d+1}^m c_i \alpha^i$$

is a different factorization.

(b)  $\Rightarrow$  (a): Write  $b = \sum_{i=0}^m b_i \alpha^i$  for nonnegative integers  $b_i$  satisfying  $q_i > b_i$  for some  $i \in \llbracket 0, d-1 \rrbracket$  and  $b_i \in \llbracket 0, m_d - 1 \rrbracket$  for all  $i \in \llbracket d, m \rrbracket$ , so  $z := \sum_{i=0}^m b_i \alpha^i$  is a factorization of  $b$ . Suppose for the sake of contradiction that  $|\mathbb{Z}(b)| \geq 2$ , and let  $z' := \sum_{i=0}^m c_i \alpha^i$  be a distinct factorization from  $z$ . Due to uniqueness from Lemma 6.10, we obtain  $c_n \geq m_d$  for some  $n \in \llbracket d, m \rrbracket$ . Set  $j := \max\{n \in \llbracket d, m \rrbracket : c_n \geq m_d\}$ , and write  $c_j = k_j m_d + r_j$  for some  $k_j \in \mathbb{N}$  and  $r_j \in \llbracket 0, m_d - 1 \rrbracket$ . Since  $m_d \alpha^d = \sum_{i=0}^{d-1} q_i \alpha^i$ , we can note

$$\begin{aligned} \sum_{i=0}^d c_{j-d+i} \alpha^{j-d+i} &= \sum_{i=0}^{d-1} c_{j-d+i} \alpha^{j-d+i} + k_j m_d \alpha^d \alpha^{j-d} + r_j \alpha^j \\ &= \sum_{i=0}^{d-1} c_{j-d+i} \alpha^{j-d+i} + k_j \sum_{i=0}^{d-1} q_i \alpha^{j-d+i} + r_j \alpha^j, \end{aligned}$$

so after replacing  $\sum_{i=0}^d c_{j-d+i} \alpha^{j-d+i}$  by

$$\sum_{i=0}^{d-1} (c_{j-d+i} + k_j q_i) \alpha^{j-d+i} + r_j \alpha^j$$

in  $z'$ , we obtain a factorization  $z_1 := \sum_{i=0}^m c'_i \alpha^i$  of  $b$  satisfying  $\max\{-1, n \in \llbracket d, m \rrbracket : c'_n \geq m_d\} < j$  and  $q_i \leq c'_{j-d+i}$  for all  $i \in \llbracket 0, d-1 \rrbracket$  since  $k_j \geq 1$ . After repeating this replacement process  $j-d$  more times, which is possible since  $m_d \leq q_{d-1} \leq c'_{j-1}$ , we obtain the factorizations  $z_1, z_2, \dots, z_{j-d+1}$  of  $b$  such that for each  $n \in \llbracket 1, j-d+1 \rrbracket$ ,

$$z_n = \sum_{i=0}^m c_{n,i} \alpha^i$$

for coefficients  $c_{n,i} \in \mathbb{N}_0$  for all  $i \in \llbracket 0, m \rrbracket$  with  $c_{n,i} < m_d$  for all  $i \in \llbracket j-n+1, m \rrbracket$  and  $q_i \leq c_{n,j-d+1-n+i}$  for all  $i \in \llbracket 0, d-1 \rrbracket$ . In particular,  $z_{j-d+1} = \sum_{i=0}^m c_{j-d+1,i} \alpha^i$ , where  $c_{j-d+1,i} < m_d$  for all  $i \in \llbracket d, m \rrbracket$  and  $q_i \leq c_{j-d+1,i}$  for all  $i \in \llbracket 0, d-1 \rrbracket$ . Thus it follows from uniqueness from Lemma 6.10 that  $z = z_{j-d+1}$  and so  $q_i \leq c_{j-d+1,i} = b_i$  for all  $i \in \llbracket 0, d-1 \rrbracket$ , contradicting that  $q_i > b_i$  for some  $i \in \llbracket 0, d-1 \rrbracket$ . Therefore,  $|\mathbb{Z}(b)| = 1$ .  $\square$

## 7. LENGTH SETS AND ELASTICITY

In this final section, we take a look at the system of length sets of certain subclasses consisting of monoids  $M_\alpha$ .

**7.1. Almost Antimatter Monoids.** We proceed to study the monoids  $M_\alpha$  whose parameters  $\alpha$  has minimal polynomial with a multiple  $P(x) \in x\mathbb{N}_0[x] - n$  (for some  $n \in \mathbb{N}$ ) such that  $|\text{supp } P(x)| \geq 3$ . It is clear that when  $\alpha$  is positive and almost antimatter, it has no positive conjugate aside from itself. In this case,  $\alpha < 1$  and  $\alpha > 1$  give quite distinct results.

**Proposition 7.1.** *For  $\alpha \in \mathbb{A} \cap (0, 1)$  with  $m_\alpha(x) \in x\mathbb{N}_0 - n$ , the monoid  $M_\alpha$  does not satisfy the ACCP.*

*Proof.* Since  $\alpha < 1$ , the minimal polynomial  $m_\alpha(x)$  satisfies  $m_\alpha(1) > 0$ . Let  $m_\alpha(x) = xf(x) - n$  for some  $f(x) \in \mathbb{N}_0[x]$ . For each positive integer  $k$ , consider the polynomial

$$F_k(x) = (xf(x))^k - n^k = (xf(x) - n)((xf(x))^{k-1} + (xf(x))^{k-2}n + \cdots + n^{k-1})$$

which is a multiple of  $m_\alpha(x)$ , and let  $d = \deg m_\alpha(x) = \deg f(x) + 1$ .

CLAIM. For sufficiently large integers  $k$ , there exists  $i \in \text{supp } F_k(x)$  with  $[x^i](F_k(x)) \geq n^k$ .

PROOF OF CLAIM. Observe that  $\text{supp } F_k(x) = \llbracket k, kd \rrbracket \cup \{0\} = \{kd, kd - 1, \dots, k + 1, k, 0\}$  for each  $k \in \mathbb{N}$ . In particular, the nonnegative coefficients of the terms  $x^j$  for  $k \leq j \leq kd$  sum to  $f(1)^k \geq (n+1)^k$ . Then there must exist  $i$  with  $[x^i]F_k(x) \geq \frac{(n+1)^k}{k(d-1)+1}$  by the pigeonhole principle. For sufficiently large integers  $k$ , this quantity is at least  $n^k$ , as needed.

Now observe that we can write

$$F_k(x) = n^k (x^i - 1) + h(x)$$

for some polynomial  $h(x) \in \mathbb{N}_0[x]$ . Since  $F_k(x)$  is a multiple of  $m_\alpha(x)$ , by Lemma 6.2, the element  $n^k$  does not satisfy the ACCP, so neither does  $M_\alpha$ .  $\square$

On the other hand, if  $\alpha > 1$  is almost antimatter, then we can show that  $M_\alpha$  is densely elastic.

**Proposition 7.2.** *For  $\alpha \in \mathbb{A}_{>1}$ , if  $M_\alpha$  is almost antimatter, then  $M_\alpha$  is densely elastic.*

*Proof.* Observe that  $M_\alpha$  is an FF monoid. Let  $\beta \in M_\alpha$  be a nonzero element, and let  $\ell$  and  $L$  denote the lengths of the shortest and longest factorizations of  $\beta$ , respectively. We will exhibit an element  $\beta'$  such that  $\max L(\beta') = L + 1$  and  $\min L(\beta') = \ell + 1$ .

Set  $d = \deg m_\alpha(x)$ . Suppose that  $\beta = f(\alpha)$  and  $\beta = F(\alpha)$  are two factorizations of  $\beta$  (so  $f(x), F(x) \in \mathbb{N}_0[x]$ ) with  $f(1) = \ell$  and  $F(1) = L$ .

CLAIM. Let  $g(x) \in \mathbb{N}_0[x]$  be any polynomial. For sufficiently large positive integers  $n$ , there does not exist a polynomial  $h(x) \in \mathbb{N}_0[x]$  with degree at most  $n - 1$  and  $q(x) \in \mathbb{Z}[x]$  with  $x^n + g(x) = h(x) + q(x)m_\alpha(x)$ .

PROOF OF CLAIM. Let  $d = \deg m_\alpha(x)$  and  $d' = \deg g(x)$ . Take  $m_\alpha(x) = c_d x^d + c_{d-1} x^{d-1} + \dots + c_1 x - c_0$  for nonnegative integers  $c_0, \dots, c_d$ , and as  $\deg q(x)m_\alpha(x) = n$ , we have  $q(x) = a_{n-d} x^{n-d} + a_{n-d-1} x^{n-d-1} + \dots + a_0$  for integers  $a_0, \dots, a_{n-d}$ . Set  $C = c_1 + c_2 + \dots + c_{d-1}$ , and it follows from the inequality  $m_\alpha(1) < 0$  that  $C < c_0$ . Observe further that  $q(x)$  must be monic.

Let  $\max(d', d) < i < n$  be any positive integer. Then  $[x^i](q(x)m_\alpha(x)) = [x^i](x^n - h(x) + g(x)) < 0$ . Expanding this coefficient, we have

$$c_d a_{i-d} + c_{d-1} a_{i-d+1} + \dots + c_1 a_{i-1} < c_0 a_i.$$

It follows that

$$\begin{aligned} a_i &> \frac{c_d a_{i-d} + c_{d-1} a_{i-d+1} + \dots + c_1 a_{i-1}}{c_0} \\ &\geq \frac{(c_d + c_{d-1} + \dots + c_1) \min(a_{i-d}, a_{i-d+1}, \dots, a_{i-1})}{c_0} \\ &= \frac{C}{c_0} \cdot \min(a_{i-d}, a_{i-d+1}, \dots, a_{i-1}). \end{aligned}$$

(Here, we write  $a_j = 0$  if  $j < 0$ .) Now, consider a sequence  $\{i_k\}$  of indices with  $i_1 > n - 2d$  and  $i_{k+1}$  as the index in  $\{i_k - 1, i_k - 2, \dots, i_k - d\}$  such that  $a_{i_{k+1}}$  is minimal among the possible choices, so that  $i_{k+1} \geq i_k - d$  for each  $k$  such that  $i_k > \max(d', d)$  by definition.

Let  $N$  be the minimum positive integer such that  $i_N \leq \max(d, d')$ ; then  $N \geq \frac{n - \max(d, d')}{d} - 2$ . By our previous work, we have  $a_{i_k} > \frac{C}{c_0} \cdot a_{i_{k+1}}$  for every  $k$ . In particular,

$$a_{i_1} > \left( \frac{C}{c_0} \right)^{N-1} \cdot a_{i_N} > -1$$

by observing  $0 < \frac{C}{c_0} < 1$  and taking  $N$  sufficiently large. (If  $a_{i_N} > 0$ , there is nothing to prove.) In particular, the coefficients  $a_{n-d-1}, a_{n-d-2}, \dots, a_{n-2d+1}$  of  $q(x)$  are all nonnegative.

Finally, let  $1 \leq r \leq d-1$  be a positive integer in the support of  $m_\alpha(x)$ . The coefficient of  $[x^{r+n-d}]$  in  $q(x)m_\alpha(x)$  should be non-positive, i.e.,

$$c_d a_{r+n-2d} + c_{d-1} a_{r+n-2d+1} + \cdots + c_1 a_{r+n-d-1} - c_0 a_{r+n-d} \leq 0.$$

Observe that each term of the form  $c_j a_{r+n-d-j}$  satisfies one of the following.

- If  $j < r$ , then  $r+n-d-j > n-d$ , so  $a_{r+n-d-j} = 0 = c_j a_{r+n-d-j}$ .
- If  $j = r$ , then  $c_r a_{n-d} = c_r > 0$  as  $q$  is monic.
- If  $j > r$ , we have  $n-2d+1 \leq r+n-d-j \leq n-d-1$  since  $j \leq d \leq d+r-1$ , so  $a_{r+n-d-j} c_j$  is the product of two nonnegative integers, thus nonnegative.

So in fact the sum on the left side is strictly positive. This is a contradiction, which completes the proof.

Since  $\beta$  has finitely many factorizations, there exists a positive integer  $N$  such that for each  $n > N$ , the claim holds for all factorizations  $g(\alpha) = \beta$ . So any factorization of  $\beta' = \beta + \alpha^{N+1}$  must be of the form  $g(\alpha) + \alpha^{N+1}$ ; otherwise there is a polynomial  $h(x) \in \mathbb{N}_0[x]$  with  $\deg h \leq N$  such that  $g(\alpha) + \alpha^{N+1} = h(\alpha)$ , which contradicts the claim.

Therefore,  $\max L(\beta') = L + 1$  and  $\min L(\beta') = \ell + 1$ , as needed.  $\square$

Finally, we observe how the above tools allow us to identify a subclass of atomic monoids  $M_\alpha$  whose systems of sets of lengths consist of arithmetic progressions.

**7.2. The System of Length Sets.** Next we turn our attention to the arithmetic of lengths of the monoids  $M_\alpha$  (for any  $\alpha \in \mathbb{A}$ ), and we prove that for any  $d \in \mathbb{N}_{\geq 2}$  we can choose  $\alpha \in \mathbb{A}$  such that  $M_\alpha$  is an atomic monoid that does not satisfy the ACCP whose length sets are arithmetic progressions with difference  $d$ .

**Theorem 7.3.** *For any  $d \in \mathbb{N}$  with  $d \geq 2$ , there exists  $\alpha \in \mathbb{A}$  such that  $M_\alpha$  is an atomic monoid that does not satisfy the ACCP with*

$$\mathcal{L}(M_\alpha) = \{\ell + d\mathbb{N}_0, \{n\} : (\ell, n) \in \mathbb{N}_{\geq 2} \times \mathbb{N}_0\}.$$

*Proof.* Consider the polynomial  $m(x) := x^3 + 2x^2 + (d-1)x - 2$ . By the rational root theorem, the only possible positive rational roots of  $m(x)$  are 1 and  $\frac{1}{2}$ , and neither is a root when  $d \geq 2$  is a positive integer. Let  $\alpha$  be the positive root to  $m(x)$ ; it follows that  $\alpha$  is irrational. Then  $M_\alpha$  is clearly atomic, and since

$$x^k (x^3 + 2x^2 + (d-1)x - 2) = 2x^k(x^2 - 1) + x^{k+3} + (d-1)x^{k+1}$$

for each  $k$ , the monoid  $M_\alpha$  does not satisfy the ACCP. Since  $M_\alpha$  is atomic, the inequality  $\alpha < 1$  guarantees that  $\mathcal{A}(M_\alpha) = \{\alpha^n : n \in \mathbb{N}_0\}$ .

We proceed to prove that every element of  $M_\alpha$  that is not factorial has set of lengths  $\ell + d\mathbb{N}_0$  for some  $\ell \in \mathbb{N}_{\geq 2}$ , and so that the set of lengths of every element of  $M_\alpha$  is an arithmetic progression with common difference  $d$ . To do so, fix a non-factorial element  $\beta \in M_\alpha$ , which must exist because  $M_\alpha$  does not satisfy the ACCP. Set  $\ell := \min L(\beta)$  and take a polynomial  $F(x) \in \mathbb{N}_0[x]$  such that  $F(\alpha)$  is a factorization of  $\beta$  having length  $\ell$ , i.e.,  $F(1) = \ell$ . We will prove that  $L(\beta) = \ell + d\mathbb{N}_0$ .

To show the inclusion  $L(\beta) \subseteq \ell + d\mathbb{N}_0$ , take a length  $\ell_1 \in L(\beta)$ , and let  $G(\alpha)$  be a factorization of  $\beta$  in  $M_\alpha$  with length  $\ell_1$  for some polynomial  $G(x) \in \mathbb{N}_0[x]$ . Since  $F(\alpha)$  and  $G(\alpha)$  are both factorizations of  $\beta$ , the polynomial  $F(x) - G(x)$  has  $\alpha$  as a root, and so we can write  $F(x) = G(x) + m(x)r(x)$  for some polynomial  $r(x) \in \mathbb{Z}[x]$ . Therefore,  $\ell - \ell_1 = F(1) - G(1) = m(1)r(1) \in d\mathbb{Z}$ , and so  $\ell_1 \in \ell + d\mathbb{N}_0$ .

We proceed to argue the inclusion  $\ell + d\mathbb{N}_0 \subseteq L(\beta)$ . Since  $\ell \in L(\beta)$ , it suffices to fix an arbitrary factorization  $H(\alpha)$  of  $\beta$ , where  $H(x) \in \mathbb{N}_0[x]$ , and find another factorization of  $\beta$  with length  $H(1) + d$ .

Given that  $\alpha \in (0, 1)$  and the rest of the hypotheses of Lemma 6.9 hold, every polynomial  $P(x) \in \mathbb{N}_0[x]$  with all its coefficients in  $\{0, 1\}$  yields an element  $P(\alpha) \in M_\alpha$  that is factorial. Hence the fact that  $H(\alpha)$  is a factorization of the non-factorial element  $\beta$  in  $M_\alpha$  implies that  $[x^k]H(x) \geq 2$  for some  $k \in \mathbb{N}_0$ . Thus, we can write  $H(x) = J(x) + 2x^k$  for some  $J(x) \in \mathbb{N}_0[x]$ . Consider  $H'(x) := J(x) + ((d-1)x^{k+1} + 2x^{k+2} + x^{k+3}) \in \mathbb{N}_0[x]$ , and observe that

$$H'(\alpha) = J(\alpha) + \alpha^k((d-1)\alpha + 2\alpha^2 + \alpha^3) = J(\alpha) + 2\alpha^k = H(\alpha).$$

Then  $H'(\alpha)$  is also a factorization of  $\beta$ . Moreover,  $H'(\alpha)$  has length  $H(1) + d$  because  $H'(1) = J(1) + (d+2) = H(1) + d$ . Hence the inclusion  $\ell + d\mathbb{N}_0 \subseteq \mathbf{L}(\beta)$  also holds.

As we have proved that the set of lengths of every element of  $M_\alpha$  that is not factorial has the form  $\ell + d\mathbb{N}_0$  for some  $\ell \in \mathbb{N}_{\geq 2}$ , we have completely described the system of sets of lengths of  $M_\alpha$ :

$$\mathcal{L}(M_\alpha) = \{\ell + d\mathbb{N}, \{n\} : (\ell, n) \in \mathbb{N}_{\geq 2} \times \mathbb{N}_0\}.$$

□

**7.3.  $k$ -Furcus-ness.** We say that an atomic monoid  $M$  is  $k$ -furcus for some  $k \in \mathbb{N}$  provided that the set of lengths of any element of  $M$  intersects the discrete interval  $\llbracket 0, k \rrbracket$ . The  $k$ -furcus-ness of the monoids  $M_\alpha$  was first considered in [2], where it was proved that  $M_\alpha$  is not  $k$ -furcus for any  $\alpha \in \mathbb{R}_{>0}$ . We proceed to generalize this result.

**Proposition 7.4.** *For any  $\alpha \in \mathbb{C}$ , the following conditions are equivalent.*

- (a)  $M_\alpha$  is  $k$ -furcus for all  $k \in \mathbb{N}_0$ .
- (b)  $M_\alpha$  is  $k$ -furcus for some  $k \in \mathbb{N}_0$ .
- (c)  $\alpha$  has no nonnegative real conjugates (or  $M_\alpha$  is a group).

*Proof.* (a)  $\Rightarrow$  (b): This follows immediately.

(b)  $\Rightarrow$  (c): If  $M_\alpha$  is  $k$ -furcus for some  $k \in \mathbb{N}_0$ , then  $\alpha$  cannot have any positive conjugate  $\beta$  as, otherwise,  $M_\alpha \cong M_\beta$ , contradicting that by [2, Proposition 3.4] the monoid  $M_\beta$  is not  $k$ -furcus for any  $k \in \mathbb{N}_0$ . Then  $\alpha$  does not have any nonnegative real conjugates.

(c)  $\Rightarrow$  (a): If  $\alpha$  has no nonnegative real conjugates, then it follows from Proposition 3.6 that  $M_\alpha$  is a group, and so  $M_\alpha$  is 0-furcus or, equivalently,  $k$ -furcus for every  $k \in \mathbb{N}_0$ . □

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