

Report on Fast Forward Neural Network

by Ruijie Rao

Library Import

```
In [40]: import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
from PIL import Image
from numpy.random import RandomState
```

Data import

```
In [41]: with open("downgesture_train.list", "r") as file:
train_dir = file.read().splitlines()
with open("downgesture_test.list", "r") as file:
test_dir = file.read().splitlines()
```

```
In [42]: def convert_pgm(file_dir:str):
result = np.array(Image.open(file_dir)).flatten()*(1/255)
return result
```

```
In [43]: X_train = np.array([convert_pgm(file_dir) for file_dir in train_dir])
X_test = np.array([convert_pgm(file_dir) for file_dir in test_dir])
```

```
In [44]: y_train = np.array([int("down" in file_dir) for file_dir in train_dir])
y_test = np.array([int("down" in file_dir) for file_dir in test_dir])
```

Data Prep

```
In [45]: X_train = np.c_[np.ones((X_train.shape[0],1)),X_train[:, :]]
X_test = np.c_[np.ones((X_test.shape[0],1)),X_test[:, :]]
rs = RandomState(0)
```

```
In [46]: y_train
```

```
Out[46]: array([1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1,
1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1,
1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0,
0, 0, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0,
0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1,
1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0])
```

Neural Network - Perceptron Learning

Goal: Use Multiple Perceptrons to accomplish more complex classifications.

1. Dynamic Programming for efficiently computing the gradient.
 - Storing the outputs of subproblems and avoid re-calculating.
2. Stochastic Gradient Descent

Iterations:

1. Compute $x_j^{(l)}$ for all $1 \leq l \leq L, 1 \leq j \leq d^{(l)}$ in the forward direction:

$$x_j^{(l)} = \theta\left(\sum_{i=0}^{d^{l-1}} w_{ij}^{(l)} x_i^{(l-1)}\right)$$

2. Compute $\delta_i^{(l)}$ for all $1 \leq l \leq L, 1 \leq i \leq d^{(l)}$ in the reverse direction:

$$\delta_i^{(l-1)} = \theta(x_i^{(l-1)})(1 - \theta(x_i^{(l-1)}))\left(\sum_{j=0}^{d^{l-1}} w_{ij}^{(l)} \delta_j^{(l)}\right)$$

Base case:

$$\delta_i^{(L)} = 2(\theta(x_i^{(L)}) - y)\theta(x_i^{(L-1)})(1 - \theta(x_i^{(L-1)}))$$

3. For each $w_{ij}^{(l)}$:

$$w_{ij}^{(l)} = w_{ij}^{(l)} - \eta \delta_j^{(l)} x_i^{(l-1)}$$

Model Script

Initialization

Will be using 1 hidden layer with a number of neurons equals to the mean of input and output neuron numbers.

```
In [8]: def gen_d_list(Ni, No, Nn, Nh):
        d_list = [Nn for l in range(Nh)]
        d_list.insert(0, Ni)
        d_list.append(No)
        return d_list

        def init_w(d_list):
            w_list = [rs.rand(d_list[i+1], d_list[i]) for i in range(len(d_list)-1)]
            w_list.insert(0, None)
            return w_list
```

Loss Function

Going to use Mean Square Error as the Loss Function

```
In [9]: def MSE(X, y, w):# X is NxN, w is 1xD, y is Nx1
        rss = np.sum(np.square(y-h(X, w)))
        mse = rss/len(X)
        return mse
```

Gradient of Loss Function

Loss Function: $g = \frac{1}{N} \sum_{i=1}^N (h(x^{(i)}) - y^{(i)})^2$

Where its partial derivative with respect to $j^{th} w$: $\frac{dg}{dw_j} = \frac{2}{N} \sum_{i=1}^N (h(x^{(i)}) - y^{(i)}) x_j^{(i)}$

```
In [10]: def dMSE(X, y, w):
          m = len(X)
          d = len(X[0])
          dw = np.array([(2/m)*np.sum((h(X,w)-y)*X[:,j]) for j in range(d)])
          return dw
```

Iteration Updates

```
In [18]: class Neural_Network:
          def __init__(self, X, y, Nh=1, Nn=5):
              def gen_d_list(Ni, No, Nn, Nh):
                  d_list = [Nn for l in range(Nh)]
                  d_list.insert(0, Ni)
                  d_list.append(No)
                  return d_list

              def init_w(d_list):
                  w_list = [(self.rs.rand(d_list[i+1], d_list[i])*0.02)-0.01 for i in range
                              len(d_list)-1)]
                  w_list.insert(0, None)
                  return w_list

              self.theta = lambda x: 1/(1+np.exp(-x))
              self.m = X.shape[0]
              self.rs = RandomState(2022)
              self.L = Nh+1
              self.d = X.shape[1]
              Ni = self.d # Number of Neurons in input layer
              No = 1 # Number of Neurons in output layer
              self.d_list = gen_d_list(Ni, No, Nn, Nh)
              self.X = X
              self.y = y

          def dsigmoid(self, x):
              return self.theta(x)*(1-self.theta(x))

          def update_x(self, x_in): # Compute all x in forward direction
              x_list = [x_in.reshape(1, self.d)]
              for l in range(1, self.L+1):
                  z = x_list[l-1]@self.w_list[l].T # each x array should be 1xdi, w should
                  hx = self.theta(z)
                  x_list.append(hx)
              return x_list # output list of 1xdj

          def update_delta(self, y_in, x_list): # Compute all delta in backward direction
              delta_list = [0 for i in x_list]
              delta_list[-1] = 2*(x_list[-1]-y_in)*self.dsigmoid(x_list[-1])
              for l in reversed(range(1, self.L+1)):
                  delta = self.dsigmoid(x_list[l-1])*(delta_list[l]@self.w_list[l]) # delta
                  delta_list[l-1] = delta
              return delta_list # output list of 1xdi

          def update_w(self, x_list, delta_list, alpha): # Update w
              for l in range(1, self.L+1):
                  w = self.w_list[l] # djxdj
                  x = x_list[l-1] # 1xdi
                  delta = delta_list[l] # 1xdj
```

```

        w = w-alpha*(delta.T*x)
        self.w_list[1] = w

def predict(self,X):
    hx = X
    for l in range(1,self.L+1):
        z = hx@self.w_list[l].T # X should be mxdi, w should be dxdi, result mxd
        hx = self.theta(z)
    pred = np.array([0+int(p>=0.5) for p in hx])
    return pred

def loss(self,X,y):
    loss = np.sum(np.square(y-self.predict(X)))/self.m
    return loss

def plot_loss(self,loss_list):
    ax = plt.gca()
    ax.set_title('Loss')
    ax.plot(np.arange(len(loss_list)),loss_list)
    plt.show()

def fit(self,alpha,epoch=1000):
    self.w_list = init_w(self.d_list)
    self.loss_list = []
    for e in range(epoch):
        for i in range(self.m):
            random_p = self.rs.randint(0,self.m-1)
            x_in = self.X[random_p] # random x point
            y_in = self.y[random_p]
            x_list = self.update_x(x_in)
            delta_list = self.update_delta(y_in,x_list)
            self.update_w(x_list,delta_list,alpha)
            current_loss = self.loss(self.X,self.y)
            if e%50==0:
                #print(self.predict())
                print(f"Epoch: {e+1}, Loss: {current_loss}")
            self.loss_list.append(current_loss)
        self.plot_loss(self.loss_list)
    return self.w_list

```

Test

```
In [19]: my_NN = Neural_Network(X_train,y_train,Nh=1,Nn=100)
```

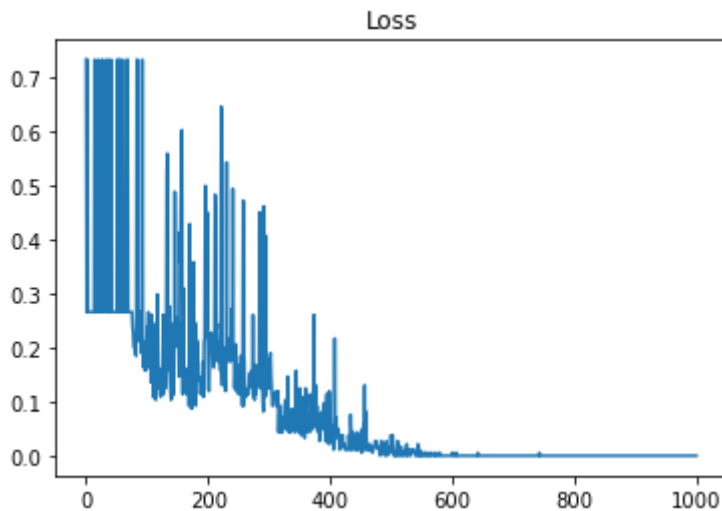
```
In [40]: w = my_NN.fit(alpha=1e-1,epoch=1000)
```

```

Epoch:1, Loss:0.7336956521739131
Epoch:51, Loss:0.266304347826087
Epoch:101, Loss:0.2391304347826087
Epoch:151, Loss:0.25
Epoch:201, Loss:0.125
Epoch:251, Loss:0.11413043478260869
Epoch:301, Loss:0.125
Epoch:351, Loss:0.125
Epoch:401, Loss:0.07065217391304347
Epoch:451, Loss:0.016304347826086956
Epoch:501, Loss:0.021739130434782608
Epoch:551, Loss:0.0
Epoch:601, Loss:0.005434782608695652
Epoch:651, Loss:0.0
Epoch:701, Loss:0.0

```

Epoch:751, Loss:0.0
Epoch:801, Loss:0.0
Epoch:851, Loss:0.0
Epoch:901, Loss:0.0
Epoch:951, Loss:0.0



```
In [41]: my_NN.loss(X_test,y_test)
```

```
Out[41]: 0.05434782608695652
```

```
In [44]: pred = my_NN.predict(X_test)
sum(y_test==pred)/len(y_test)
```

```
Out[44]: 0.8795180722891566
```

Data Structure, Challenges, Optimizations

Data Structure

X data is an array shaped of (m,d), where m is the number of PGM images, d is the number of pixels in each image. PGM images are read by PIL library and converted into numpy array. Then, the values are computed and changed into a range of (0,1) which is greyscale. Finally, a column of 1 is added to the data.

Y data is an array of 0 or 1 describing the image is down gesture or not.

W list is a list of weights arrays, which are of different shapes. The first layer of the list is None type, because layer 0 is not computed.

Challenges

At first, I was copying the NN algorithm from last homework directly. However, the results were all wrong and I tried to look for what is going wrong. Soon, I figured out that the formula of update delta need to change since theta has changed from tanh to sigmoid in this problem.

Optimizations

Pocket Algorithm

By butting the best solution in the pocket during stochastic GD.In this assignment, the best weight of the last epoch will be used at the start of the next epoch,so that accuracy during the fit will not fluctuate that much.

Moreover, I have changed the loss calculation to calculating test set instead of training set, which should influence the pocket algorithm.

```
In [215... def pocket(w,w_best,acc,acc_best):  
    if acc>acc_best:  
        w_best = w  
        acc_best = acc  
    return w_best, acc_best
```

```
In [29]: my_NN_pocket = Neural_Network_Pocket(X_train,y_train,Nh=1,Nn=100)
```

```
In [30]: my_NN_pocket.fit(alpha=1e-1,epoch=1000)
```

Epoch:1, Loss:0.10326086956521739

Epoch:51, Loss:0.10326086956521739

Epoch:101, Loss:0.07608695652173914

C:\Users\ruiji\AppData\Local\Temp\ipykernel_17252\2999349955.py:13: RuntimeWarning: overflow encountered in exp

```
self.theta = lambda x: 1/(1+np.exp(-x))
```

Epoch:151, Loss:0.05434782608695652

Epoch:201, Loss:0.043478260869565216

Epoch:251, Loss:0.043478260869565216

Epoch:301, Loss:0.043478260869565216

Epoch:351, Loss:0.03804347826086957

Epoch:401, Loss:0.021739130434782608

Epoch:451, Loss:0.021739130434782608

Epoch:501, Loss:0.021739130434782608

Epoch:551, Loss:0.021739130434782608

Epoch:601, Loss:0.021739130434782608

Epoch:651, Loss:0.021739130434782608

Epoch:701, Loss:0.021739130434782608

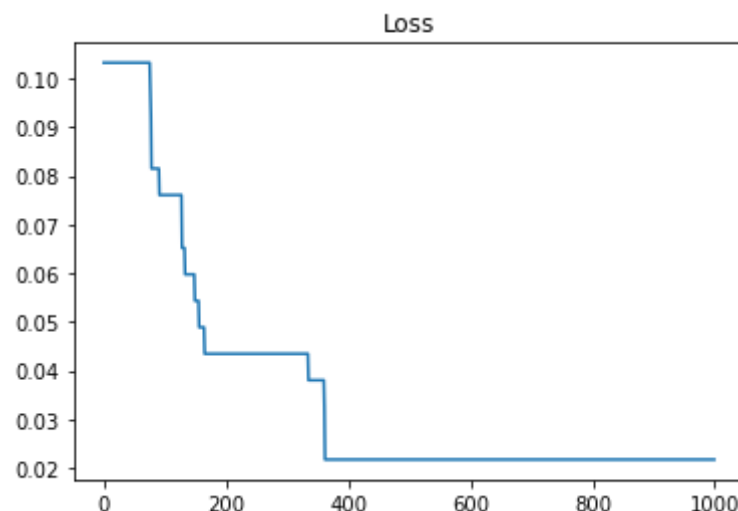
Epoch:751, Loss:0.021739130434782608

Epoch:801, Loss:0.021739130434782608

Epoch:851, Loss:0.021739130434782608

Epoch:901, Loss:0.021739130434782608

Epoch:951, Loss:0.021739130434782608



```
Out[30]: [None,
array([[ -3.93429413,  0.22188065, -0.25597181, ..., -0.16694019,
        -0.19681044,  0.39793082],
 [ 5.01085751,  0.17530102, -0.22583496, ..., -0.53740654,
        -0.82081462, -0.35975643],
 [ 2.10201816, -0.2873033 ,  0.39469028, ..., -0.13227369,
        0.37409951,  0.28554382],
 ...,
 [-5.12528733, -0.4836181 , -0.12285077, ..., -0.56322968,
        -0.25528401,  0.43731052],
 [-3.20086322,  0.04773226, -0.04309797, ..., -0.26798285,
        -0.32439349, -0.46770454],
 [ 4.22818687, -0.19570363, -0.08724987, ..., -0.31207436,
        -0.29198809, -0.29799917]])],
array([[ 2.2609612 ,  1.8584726 ,  1.52759858, -1.15612649,  1.63114621,
        1.78153097,  1.6819914 ,  2.3790865 ,  1.74397238,  1.78813971,
        2.20273842,  0.13972361, -1.55220971, -2.61854517,  0.36970859,
        2.14784874, -3.55663536, -3.95391637,  1.52128951, -0.89413009,
        2.12809623,  1.88349883,  1.97634323,  1.31338364,  1.68355532,
        3.36928578, -0.47540685,  2.02649673,  3.35514577,  2.45559907,
        2.65488266, -7.06980863, -3.97083699,  2.8480033 , -6.43112856,
        1.8278666 , -0.2882298 ,  1.8380488 ,  0.35009807, -5.01457966,
        3.56964021, -3.02412067,  0.25823574,  0.62983676,  2.88839583,
        1.38002384,  2.00145728,  1.42275561,  1.57741092,  2.27733777,
        1.56955291,  1.77893799,  1.78762041,  5.39155509,  4.77513748,
        2.51728867, -1.98192734,  1.87973615,  2.31862207,  3.25615614,
        1.3302287 ,  0.38661685,  1.86952565, -0.47132689,  1.94501216,
        1.72699007,  1.93996482,  3.40293881, -0.72694248, -2.41154206,
        2.2008919 ,  4.49725921, -3.31355845,  2.34529595, -1.7604633 ,
        2.48860381,  7.96878242,  1.94478109, -0.9667606 ,  1.51358944,
        2.30626737, -2.48128781,  2.20470441,  4.44174359,  1.86373887,
        2.03196113,  1.58584339,  2.93622402,  1.38395592,  1.9014176 ,
        1.67359967,  0.41653579, -1.55247383,  1.92884979, -3.44747575,
        2.72082979,  2.00996614, -6.17503834, -5.26045323,  1.58406051]]])]
```

```
In [31]: pred = my_NN_pocket.predict(X_test)
sum(y_test==pred)/len(y_test)
```

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```
self.theta = lambda x: 1/(1+np.exp(-x))
```

```
Out[31]: 0.9036144578313253
```

Accuracy has raised and loss fluctuation has stabilized.

Heavyball/Momentum

Heavyball algorithm works by increasing the momentum when GD is moving twds the right direction, and decreasing the momentum on the other hand.

$$p_k = \delta_j^{(l)} x_i^{(l-1)}$$

$$w_{ij}^{(l)} = w_{ij}^{(l)} - \eta p_k + \beta p_{k-1}$$

```
In [34]: my_NN_hb = Neural_Network_HeavyBall(X_train, y_train, Nh=1, Nn=100)
```

```
In [36]: my_NN_hb.fit(alpha=1e-1, beta=0.4, epoch=1000)
```

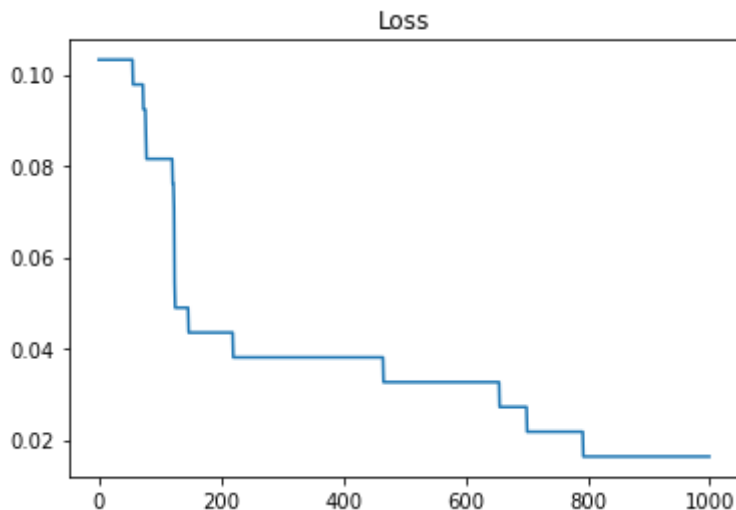
Epoch:1, Loss:0.10326086956521739

Epoch:51, Loss:0.10326086956521739
Epoch:101, Loss:0.08152173913043478

C:\Users\ruiji\AppData\Local\Temp\ipykernel_17252\949553836.py:13: RuntimeWarning: overflow encountered in exp

```
self.theta = lambda x: 1/(1+np.exp(-x))
```

Epoch:151, Loss:0.043478260869565216
Epoch:201, Loss:0.043478260869565216
Epoch:251, Loss:0.03804347826086957
Epoch:301, Loss:0.03804347826086957
Epoch:351, Loss:0.03804347826086957
Epoch:401, Loss:0.03804347826086957
Epoch:451, Loss:0.03804347826086957
Epoch:501, Loss:0.03260869565217391
Epoch:551, Loss:0.03260869565217391
Epoch:601, Loss:0.03260869565217391
Epoch:651, Loss:0.03260869565217391
Epoch:701, Loss:0.02717391304347826
Epoch:751, Loss:0.021739130434782608
Epoch:801, Loss:0.016304347826086956
Epoch:851, Loss:0.016304347826086956
Epoch:901, Loss:0.016304347826086956
Epoch:951, Loss:0.016304347826086956



Out[36]: [None,
array([[0.86718058, 0.29529779, 0.86228808, ..., 0.1567525 ,
 0.25631394, -0.04701554],
 [-0.49487336, 0.02912094, -0.21592381, ..., 0.39948672,
 1.02029142, 0.48245466],
 [2.30648691, 0.04753675, -0.08333212, ..., 0.95806218,
 0.37942808, 0.46786333],
 ...,
 [-3.95507897, -0.18705484, 0.21006979, ..., -0.66926504,
 -0.29793114, 0.05657624],
 [-3.77231724, -0.48678279, -0.48567117, ..., -0.43607202,
 -0.2993626 , 0.05876564],
 [1.08920794, 0.66957683, -0.20146079, ..., 0.96833632,
 0.90545593, 1.68873277]])
array([[6.41589882e-01, -5.42687092e-01, 2.09751630e+00,
 -9.66293842e-01, 2.62468899e+00, 6.36161030e-01,
 3.83344546e+00, -2.80636730e+00, 1.23241455e-01,
 2.48025569e-01, 6.02157138e-02, 3.25409235e+00,
 -4.63949350e+00, 8.67081282e-01, 1.76931888e+00,
 2.88242172e-01, 6.90842421e-01, -1.16981863e-01,
 3.31896181e-01, -2.54502818e-01, 1.14426358e+00,
 -3.06680292e+00, -1.15001910e-01, -4.54431588e-01,
 -5.78318060e+00, 2.03045184e+00, 5.05221987e-01,
 2.17787855e+00, -1.19672685e+00, 2.07153762e+00,
 3.16005712e+00, 2.06609737e+00, 1.32772335e+00,


```

2.60803051e+00, 3.86957013e-01, -1.66978419e+00,
-4.97545376e-02, 1.16816870e+00, 1.93358714e+00,
8.08081376e-02, 2.62514623e+00, 4.21428154e+00,
-6.98320259e-01, 2.55313127e-01, -8.57871580e-01,
-1.92065176e+00, 2.42768835e+00, -1.62621994e+00,
4.27721554e-02, -4.90977426e-01, -4.29911441e+00,
8.71214679e-01, 2.98806437e+00, 1.31958285e+00,
-3.10945520e+00, 2.06932936e+00, 1.25759677e+00,
1.77208628e+00, -4.89000217e+00, 8.86823541e-01,
3.02388718e+00, -9.27242213e-02, -1.05073752e+01,
-1.04154499e-02, -4.72998650e-01, -6.34947231e+00,
4.63587336e+00, -3.80163634e+00, 5.40698069e-01,
6.56090464e-02, 5.63157877e-01, 1.54554817e+00,
6.73977849e-01, 2.42974688e+00, 2.20118640e+00,
3.63215374e+00, 5.05645968e+00, 1.45828714e+00,
1.81311268e+00, 2.20552395e+00, -2.39645180e+00,
6.61941753e-01, -4.39505119e+00, 1.23270999e-01,
2.04217471e+00, -9.91139272e-01, -5.30974858e-01,
-7.57394916e-01, 3.56995105e+00, 1.84545525e+00,
-2.39491311e+00, 9.69998013e-01, 1.83883613e-01,
2.38687618e+00, 1.99463795e+00, 2.54830437e-02,
1.64546870e+00, -2.48032408e+00, -5.16413160e+00,
3.14975931e+00]]])

```

```

In [37]: pred = my_NN_hb.predict(X_test)
          sum(y_test==pred)/len(y_test)

```

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```

          self.theta = lambda x: 1/(1+np.exp(-x))
Out[37]: 0.9397590361445783

```

Accuracy has been raised again.