

---

MODULE *crosslink2*

---

EXTENDS *TLC*, *Naturals*, *Sequences*, *utils*

CONSTANTS *BcNodes*, *BftNodes*, *CrossLink2Nodes*

CONSTANTS *Sigma*, *L*

VARIABLES *bc\_chains*, *bft\_chains*, *crosslink2\_chains*

INSTANCE *definitions*

---

*Init*  $\triangleq$

$\wedge bc\_chains = [i \in 1 \dots BcNodes \mapsto \langle BcGenesisBlock \rangle]$   
 $\wedge bft\_chains = [i \in 1 \dots BftNodes \mapsto \langle BftGenesisBlock \rangle]$   
 $\wedge crosslink2\_chains = [i \in 1 \dots CrossLink2Nodes \mapsto CrossLink2GenesisBlock]$

*Next*  $\triangleq$

$\vee \exists n \in 1 \dots BcNodes :$   
 $\wedge bc\_chains' = [bc\_chains \text{ EXCEPT } ![n] = Append($   
 $\quad bc\_chains[ChooseBestBcChain], [$   
 $\quad \quad context\_bft \mapsto ChooseContextBft,$   
 $\quad \quad hash \mapsto ChooseBestBcTip + 1])]$   
 $\wedge \text{UNCHANGED } \langle bft\_chains, crosslink2\_chains \rangle$   
 $\vee \exists m \in 1 \dots BftNodes :$   
 $\wedge bft\_chains' = [bft\_chains \text{ EXCEPT } ![m] = Append($   
 $\quad bft\_chains[ChooseBestBftChain], [$   
 $\quad \quad headers\_bc \mapsto PruneLasts(ChooseBcView, Sigma),$   
 $\quad \quad hash \mapsto ChooseBestBftTip + 1)])]$   
 $\wedge \text{UNCHANGED } \langle bc\_chains, crosslink2\_chains \rangle$   
 $\vee \exists c \in 1 \dots CrossLink2Nodes :$   
 $\wedge crosslink2\_chains' = [crosslink2\_chains \text{ EXCEPT } ![c] = [$   
 $\quad fin \mapsto PruneFirsts(bc\_chains[ChooseBestBcChain], Sigma)]]$   
 $\wedge \text{UNCHANGED } \langle bc\_chains, bft\_chains \rangle$

*Spec*  $\triangleq Init \wedge \Box [Next]_{\langle bc\_chains, bft\_chains, crosslink2\_chains \rangle}$

---

Type checking

*BcChainsTypeCheck*  $\triangleq bc\_chains \in Seq(Seq([context\_bft : Nat, hash : Nat]))$   
*BftChainsTypeCheck*  $\triangleq bft\_chains \in$   
 $Seq(Seq([headers\_bc : Seq([context\_bft : Nat, hash : Nat]), hash : Nat]))$   
*CrossLink2ChainsTypeCheck*  $\triangleq crosslink2\_chains \in$   
 $Seq([fin : Seq([context\_bft : Nat, hash : Nat])])$

---

Lemma: Linear Prefix

If  $A \preceq_{\star} C$  and  $B \preceq_{\star} C$  then  $A \underline{\star}_{\star} B$ .

$BcLinearPrefix \triangleq$

$\forall i \in 1 \dots BcNodes :$

$\forall k \in 2 \dots Len(bc\_chains[i]) : bc\_chains[i][k].hash \geq bc\_chains[i][k-1].hash$

$BftLinearPrefix \triangleq$

$\forall i \in 1 \dots BftNodes :$

$\forall k \in 2 \dots Len(bft\_chains[i]) : bft\_chains[i][k].hash \geq bft\_chains[i][k-1].hash$

Definition: Agreement on a view

An execution of  $\Pi$  has Agreement on the view  $V : Node \times Time \rightarrow \star chain$  iff for all times  $t, u$  and all  $\Pi$  nodes  $i, j$  (potentially the same) such that  $i$  is honest at time  $t$  and  $j$  is honest at time  $u$ , we have  $V_i^t \underline{\star}_{\star} V_j^u$ .

$BcViewAgreement \triangleq$

$\forall i, j \in 1 \dots BcNodes :$

$\vee IsPrefix(bc\_chains[i], bc\_chains[j])$

$\vee IsPrefix(bc\_chains[j], bc\_chains[i])$

$BftViewAgreement \triangleq$

$\forall i, j \in 1 \dots BftNodes :$

$\vee IsPrefix(bft\_chains[i], bft\_chains[j])$

$\vee IsPrefix(bft\_chains[j], bft\_chains[i])$

Definition: Computable efficiently function

$\star bftlastfinal : \star bftblock \rightarrow \star bftblock \cup \{\perp\}$

$BftLastFinal(n) \triangleq bft\_chains[n]$

Definition: Final agreement

An execution of  $\Pi_{\star bft}$  has Final Agreement iff for all  $bftvalid$  blocks  $C$  in honest view at time  $t$  and  $C'$  in honest view at time  $t'$ , we have  $bftlastfinal(C) \underline{\star}_{bft} \star bftlastfinal(C')$ .

$BftFinalAgreement \triangleq$

$\forall i, j \in 1 \dots BftNodes :$

$\vee IsPrefix(BftLastFinal(i), BftLastFinal(j))$

$\vee IsPrefix(BftLastFinal(j), BftLastFinal(i))$

Definition: Prefix Consistency

An execution of  $\Pi_{\star bc}$  has Prefix Consistency at confirmation depth  $\sigma$ , iff for all times  $t \leq u$  and all nodes  $i, j$  (potentially the same) such that  $i$  is honest at time  $t$  and  $j$  is honest at time  $u$ , we have that  $ch_i^t \upharpoonright_{\star bc}^{\sigma} \preceq_{\star bc} ch_j^u$ .

$BcPrefixConsistency \triangleq$

$\forall i, j \in 1 \dots BcNodes :$

$IsPrefix(PruneFirsts(bc\_chains[i], Sigma), bc\_chains[j])$

Definition: Prefix Agreement

An execution of  $\Pi_{*bc}$  has Prefix Agreement at confirmation depth  $\sigma$  iff it has Agreement on the view  $(i, t) \mapsto ch_i^t \upharpoonright_{*bc}^\sigma$ .

$$\begin{aligned} BcPrefixAgreement &\triangleq \\ \forall i \in 1 \dots BcNodes : \\ &IsPrefix(PruneFirsts(bc\_chains[i], Sigma), bc\_chains[i]) \end{aligned}$$

Definition: \*-linear

A function  $S : I \rightarrow *block$  is \*-linear iff for every  $t, u \in I$  where  $t \leq u$  we have  $S(t) \preceq_* S(u)$

$$BcLinear(T, U) \triangleq IsPrefix(T, U)$$

Definition: Local finalization linearity

Node  $i$  has Local finalization linearity up to time  $t$  iff the time series of  $*bc$ -blocks  $fin_i^{r \leq t}$  is  $*bc$ -linear.

$$\begin{aligned} LocalFinalizationLinearity &\triangleq \square[ \\ \forall i \in 1 \dots CrossLink2Nodes : \\ &BcLinear(crosslink2\_chains[i].fin, crosslink2\_chains'[i].fin)]_{crosslink2\_chains} \end{aligned}$$

Lemma: Local fin-depth

In any execution of Crosslink 2, for any node  $i$  that is honest at time  $t$ , there exists a time  $r \leq t$  such that  $fin_i \preceq ch_i^r \upharpoonright_{*bc}^\sigma$

$$\begin{aligned} LocalFinDepth &\triangleq \\ \forall i \in 1 \dots CrossLink2Nodes : \\ &IsPrefix(crosslink2\_chains[i].fin, bc\_chains[ChooseBestBcChain]) \end{aligned}$$

Definition: Assured Finality

An execution of Crosslink 2 has Assured Finality iff for all times  $t, u$  and all nodes  $i, j$  (potentially the same) such that  $i$  is honest at time  $t$  and  $j$  is honest at time  $u$ , we have  $fin_i^t \not\prec_{*bc}^u fin_j^u$ .

$$\begin{aligned} AssuredFinality &\triangleq \\ \forall i, j \in 1 \dots CrossLink2Nodes : \\ &\vee IsPrefix(crosslink2\_chains[i].fin, crosslink2\_chains[j].fin) \\ &\vee IsPrefix(crosslink2\_chains[j].fin, crosslink2\_chains[i].fin) \end{aligned}$$