

# Advanced Programming

## Search and Complexity

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2021-02-05

(slides used with permission from Sara Stymne)

# Module 2

- ▶ Data structures, algorithms, complexity
- ▶ Activities:
  - ▶ 3 lectures
  - ▶ 1 lab package (instructions in Studium)
  - ▶ 1 lab session (we can add another as needed)
- ▶ Reading list in Studium

# Today

- ▶ Search
- ▶ Analysis of algorithms – complexity
- ▶ Hash tables (maybe)

# What is search?

- ▶ To find a specific value in a collection of values
- ▶ We will focus on finding values in a list of integers
- ▶ Return values:
  - ▶ If the value exists: the index where we find the value
  - ▶ If the value does not exist: -1

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  - ▶ If the value does not exist: -1 (cannot be used as an index)
- ▶ Other common formulation (e.g. in PS book)
  - ▶ If the value exists: True
  - ▶ If the value does not exist: False

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- ▶ How we do this depends on the list:
  - ▶ Unsorted
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- ▶ How we do this depends on the list:
  - ▶ Unsorted – Linear search
  - ▶ Sorted – Binary search



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- ▶ Solution: Loop through the list until we find the value, or until we have looked through the list without finding it

```
def sequentialSearch(alist, item):  
    pos = 0  
    while pos < len(alist):  
        if alist[pos] == item:  
            return pos  
        else:  
            pos = pos+1  
  
    return -1
```

# How long time does linear search take?

- ▶ Count the time in the number of comparisons made
- ▶ Based on the size of the list:  $n$
- ▶ How long time does the search take?
  - ▶ In the best case?
  - ▶ In the worst case?
  - ▶ On average?

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  - ▶ On average?
    - ▶  $n/2$  – If we're only looking for existing values
    - ▶ Also dependent on the distribution of values we're searching for

# Binary search

- ▶ If the list is sorted, we can take advantage of that for faster search



# Binary search

- ▶ If the list is sorted, we can take advantage of that for faster search
- ▶ Look in the middle first
  - ▶ If correct, return this index
  - ▶ If the value is smaller, search in lower half of list
  - ▶ If the value is larger, search in upper half of list
- ▶ Repeat!

## Binary search – iterative

```
def binarySearch(alist, item):  
    first = 0  
    last = len(alist)-1  
  
    while first<=last:  
        midpoint = (first + last)//2  
        if alist[midpoint] == item:  
            return midpoint  
        else:  
            if item < alist[midpoint]:  
                last = midpoint-1  
            else:  
                first = midpoint+1  
  
    return -1
```

# Recursion

- ▶ Recursive function – a function that calls itself
- ▶ A recursive function has two types of cases:
  - ▶ Base case – cases where the solution is trivial
  - ▶ Recursive cases – cases where the method calls itself

# Recursion

- ▶ Recursive function – a function that calls itself
- ▶ A recursive function has two types of cases:
  - ▶ Base case – cases where the solution is trivial
    - ▶ Binary search: -1 if the answer does not exist, the correct index if we find the value
  - ▶ Recursive cases – cases where the method calls itself
    - ▶ Binary search: search in one half by calling itself

## Binary search – recursive

```
def binarySearch(alist, item):  
    return binSearch(alist, item, 0, len(alist)-1)  
  
def binSearch(alist, item, first, last):  
    if last < first:  
        return -1  
    midpoint = (first + last)//2  
    if alist[midpoint] == item:  
        return midpoint  
    if item < alist[midpoint]:  
        return binSearch(alist, item, first, midpoint-1)  
    else:  
        return binSearch(alist, item, midpoint+1, last)
```

# How long time does binary search take?

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- ▶ Based on the size of the list:  $n$
- ▶ How long time does the search take?
  - ▶ In the best case:
  - ▶ In the worst case:
  - ▶ On average

# How long time does binary search take?

- ▶ Count the time in the number of comparisons made
- ▶ Based on the size of the list:  $n$
- ▶ How long time does the search take?
  - ▶ In the best case:
    - ▶ 1 – the value is in the middle of the array
  - ▶ In the worst case:
    - ▶  $\log n$  – the value does not exist
  - ▶ On average
    - ▶  $1 \leq t \leq \log n$

## Search – comparison

	Linear	Binary
Can be used	always	for sorted list
For linked list	yes	not a good fit
Time (worst)	$n$	$\log n$
Implementation	extremely easy	somewhat harder



# Search – alternatives

- ▶ Linear and binary search works well for lists
- ▶ There are other data structures that can facilitate search:
  - ▶ Binary search trees
  - ▶ Hash tables

# Algorithms and data structures

## ▶ Algorithm

- ▶ "a procedure for solving a mathematical problem ... in a finite number of steps that frequently involves repetition of an operation; broadly : a step-by-step procedure for solving a problem or accomplishing some end especially by a computer" (Merriam-Webster)
- ▶ A description of how to solve a problem

## ▶ Data structure

- ▶ A structure used for storing and manipulating data, for instance, array, linked list, hash table

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- ▶ A description of how to solve a problem

## ▶ Data structure

- ▶ A structure used for storing and manipulating data, for instance, array, linked list, hash table
- ▶ Which algorithms that are applicable for a problem is partly dependent on which data structures that is used

# Analysis of algorithms

- ▶ Important questions to ask with respect to a program or an algorithm
  - ▶ Does it always give a correct answer?
  - ▶ Does it always give an answer? (cannot get stuck in a loop, for instance)
  - ▶ How fast is it?
  - ▶ How much memory does it require?

# Analysis of algorithms

- ▶ Important questions to ask with respect to a program or an algorithm
  - ▶ Does it always give a correct answer?
  - ▶ Does it always give an answer? (cannot get stuck in a loop, for instance)
  - ▶ **How fast is it? – time complexity**
  - ▶ How much memory does it require?

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- ▶ How can we decide which algorithm is the fastest one for solving a specific problem?
- ▶ Measuring actual time is often impractical – different machines have different speed, for instance
- ▶ Use **asymptotic analysis** – the tendency over time



# Asymptotic analyses

- ▶ The analysis of the time for running an algorithm, when the size of the input grows
- ▶ Size?
  - ▶ List: number of elements
  - ▶ String: number of characters
  - ▶ General case: often number of bytes
  - ▶ NLP: often words per sentence/document
- ▶ We can discuss different cases
  - ▶ Worst
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  - ▶ Amortized analysis – the analysis of a series of operations, often better specified than average analysis

# Asymptotic analysis

- ▶ Basic requirements: An algorithm should work for input of an arbitrary size ( $n$ )
- ▶ Estimate the running time as a function of the size of the input  $T(n)$
- ▶ Ignore constant factors
- ▶ Focus on dominant factors with large input

# Asymptotic analysis

- ▶ Basic requirements: An algorithm should work for input of an arbitrary size ( $n$ )
- ▶ Estimate the running time as a function of the size of the input  $T(n)$
- ▶ Ignore constant factors
- ▶ Focus on dominant factors with large input
- ▶ The analysis should not be dependent on the machine/computer
- ▶ Powerful computers raise the speed by a constant

# Primitive operations

- ▶ A primitive operation is assumed to take constant time
  - ▶ Assignment, e.g.  $x = y$ ;
  - ▶ Arithmetic operations, e.g.  $x + 5$ ;
  - ▶ Comparisons, e.g.  $x < 5$ ;
  - ▶ Array indexation, e.g. `myArray[5]`;
  - ▶ Return statements, e.g. `return 5`;
  - ▶ ...
- ▶ Let  $T(n)$  be the number of primitive operations as a function of "the size of the input"

## Example – multiply the numbers in a list

```
def multiply(numbers):  
    res = 1  
    index = 0  
    while index < len(numbers):  
        res = res * numbers[index]  
        index = index + 1  
  
    return res
```

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```

$$T(n) = 7 * n + 3$$

# Big O

- ▶ O – Big O (or Ordo) is an upper bound for how the time for an algorithm grows

- ▶ Definition:

$T(n)$  is a non-negative function

$T(n) \in O(f(n))$  (i.e.  $T(n)$  belongs to the set  $O(f(n))$ )

if there are positive constants  $c$  and  $n_0$  such that

$T(n) \leq c * f(n)$  for  $n \geq n_0$

# Time Complexity – cases

- ▶ Time Complexity is for a given case:
  - ▶ Worst
  - ▶ Best
  - ▶ Average
- ▶ For multiplication in a list, it does not matter, but in other cases it often does
- ▶ Important to be clear about what you mean!

## Time Complexity linear search

- ▶ Worst case:  $O(n)$
- ▶ Best case:  $O(1)$  – 1 is used for anything that takes constant time

# Time Complexity linear search

- ▶ Worst case:  $O(n)$
- ▶ Best case:  $O(1)$  – 1 is used for anything that takes constant time
- ▶ Average case:  $O(n)$ 
  - ▶ Assume that average case takes the time  $T(n/2)$
  - ▶  $n/2 = n * 1/2$   
1/2 is a constant that we can ignore

# Time Complexity binary search

- ▶ Worst case:  $O(\log n)$
- ▶ Best case:  $O(1)$
- ▶ Average case:  $O(\log n)$

# Time Complexity – estimation

- ▶ When you give the time complexity, you ignore constants and terms of lower order
- ▶ Examples:
  - ▶  $T(5 + n) \in O(n)$
  - ▶  $T(5 + 10n) \in O(n)$
  - ▶  $T(500000 + 100000n) \in O(n)$

# Time Complexity – estimation

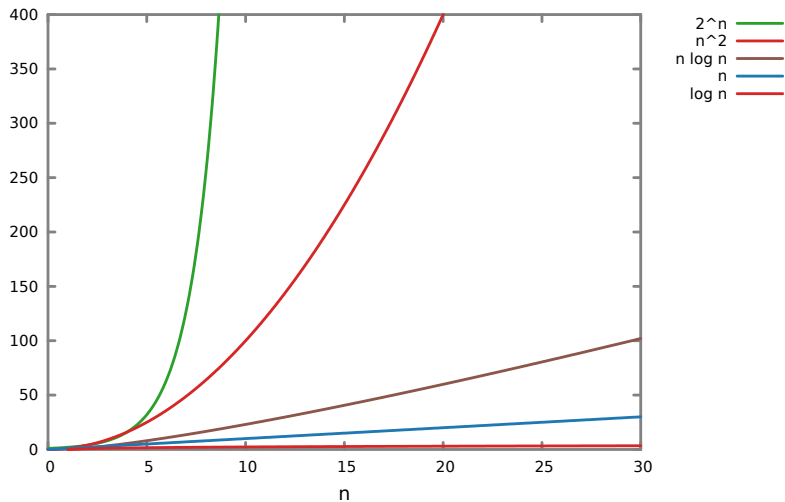
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  - ▶  $T(500000 + 100000n) \in O(n)$
  - ▶  $T(n^2 + n) \in O(n^2)$
  - ▶  $T(15n^2 + 5/8 * n) \in O(n^2)$
  - ▶  $T(n + \log n) \in O(n)$
  - ▶  $T(5n \log n) \in O(n \log n)$
  - ▶  $T(25n \log n + 800) \in O(n \log n)$



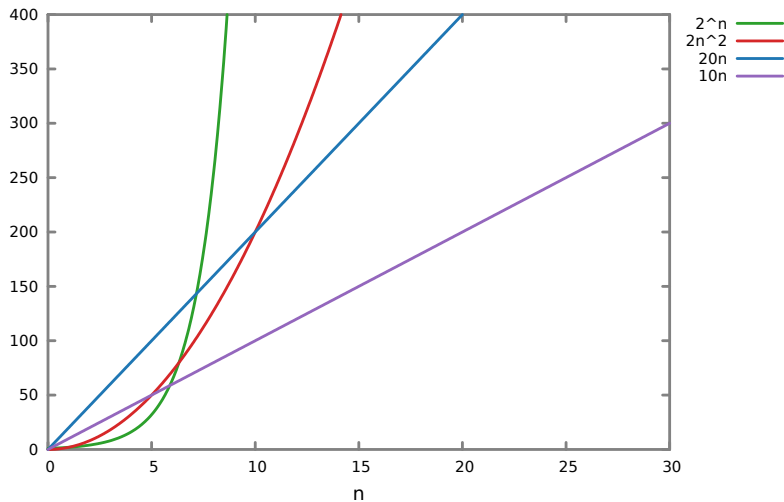
# Ordo classes

Ordo	Class
$O(1)$	Constant
$O(\log n)$	Logarithmic
$O(n)$	Linear
$O(n \log n)$	
$O(n^2)$	Quadratic
$O(n^3)$	Cubic
$O(n^x)$	Polynomial (for $x > 1$ )
$O(2^n)$	Exponential
$O(n!)$	Factorial

# Asymptotic size matters



# Asymptotic size matters despite constants



# Omega and Theta

- ▶  $O$  – upper bound
- ▶ There are other variants:
  - ▶  $\Omega$  – lower bound
  - ▶  $\Theta$  –  
 $T(n) \in \Theta(n)$  iff  $T \in O(n)$  and  $T \in \Omega(n)$

# Asymptotic analysis – discussion

- ▶ Asymptotic analysis is a good tool for discussing algorithms
- ▶ For large input, an algorithm with lower complexity is always better
- ▶ What "large" is might vary, though
- ▶ For very small input, an algorithm with higher complexity might do better
  - ▶ Example: for very small lists, linear search can be faster than binary search, since we do not have to calculate averages.
  - ▶ However, for so small lists, time often does not really matter

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  - ▶ Example: for very small lists, linear search can be faster than binary search, since we do not have to calculate averages.
  - ▶ However, for so small lists, time often does not really matter
- ▶ Memory complexity can be discussed in the same way as time complexity

# Analysis of algorithms – What do you need to know?

- ▶ Basic discussions of time complexity for the upper bound of a program/algorithm (Ordo)
- ▶ Especially for:
  - ▶ The search and sorting algorithms we discuss in the course
  - ▶ Common operations for the data structures we discuss in the course
- ▶ Based on simple code, be able to reason about the time complexity (like for the multiplication example)

# Maps

- ▶ Arrays / lists
  - ▶ Mapping från integers (0–n) to values



# Maps

- ▶ Arrays / lists
  - ▶ Mapping från integers (0–n) to values
- ▶ Hash tables
  - ▶ Mapping from one type to another
  - ▶ Python dictionaries is a hash table
  - ▶ Example: `freqList = {'and': 375, 'run': 27, 'missing': 2}`

# Hash Tables – terminology

- ▶ Mapping from a **key** to a **value**
- ▶ Examples:
  - ▶ Frequency word list
    - ▶ Key: word (string)
    - ▶ Value: frequency (integer)
  - ▶ Map from word form to lemma
    - ▶ Key: word form (string)
    - ▶ Value: lemma (string)
- ▶ Python allows dictionaries with mixed types:  
`dict = {'Name': 'Max', 'Age': 37}`

# Hash Tables – types

- ▶ Dictionary keys must be **immutable**
  - ▶ Mutable: values can be altered, e.g. list, dict, user-defined classes (unless explicitly made mutable)
  - ▶ Immutable: values cannot be altered: e.g. int, float, string, tuple
- ▶ Dictionary keys:
  - ▶ must be immutable!
  - ▶ must be unique!
- ▶ Dictionary values
  - ▶ Any type of object
  - ▶ Does not need to be unique

# Lab package 2

- ▶ Searching
  - ▶ Implement and try different methods for search (in lists, deque, hash table)
  - ▶ Time them, and compare to theoretical complexity
- ▶ Linked lists
  - ▶ Implement a linked list for a sorted word frequency list
- ▶ Sorting
  - ▶ Implement two sorting algorithms using a given API
  - ▶ Compare the theoretical complexity with the number of operations used in the different algorithms

# Coming up

- ▶ Lab session this afternoon
- ▶ Lecture tomorrow: data structures
- ▶ Next week:
  - ▶ Lecture: sorting
  - ▶ Lab session
- ▶ Own work on lab
- ▶ Soft deadline lab package 2: February 19