Multilingual Complex Named Entity Recognition via Data Augmentation

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Background - (Complex) NER

Named Entity Recognition (NER)

 Foundational task in LT – Information Extraction, spell-checkers, dialogue systems, search, etc. heavily rely on NER

Complex, Ambigious, Emerging Entities:

- Low-context settings
- Any linguistic form
- Complex Entites could be complex noun phrases from creative works (e.g. movies, literature) "Eternal Sunshine of the Spotless Mind", an infinitive "To Sleep with Anger", or even gerunds "The Coming of Sin", etc.
- Ambiguous Entities difficult to recognize due to context, e.g., "Frozen" (movie), "Among Us" (video game), "It's Always Sunny in Philadelphia" (TV show), etc.
- Emerging Entites perpetually created



Background - Data Augmentation

Process of altering original data to create new data instances. Consolidate new + synthetic data to bolster resources that will be used

Much recent attention on sentence-level and sentence-pair NLP tasks, e.g., MT, NLI, text classification

- Manipulation: Word Replacement, Random Deletion, Swap Word Position
- Artificial Creation: Generative models (variational auto encoders) or Back-Translation

Data augmentation for NER is comparatively less studied:

- Predictions at token level determine if it is a mention and which entity type the mention has
- Transforming tokens may change labels



Augmentation Techniques

Entitiy Types:

- PER (Person)
- LOC (Location)
- GRP (Group)
- CORP (Corporation)
- PROD (Product)
- CW (Creative Work)

| Original | all | songs | written | by | m.o.d. | , | unless | otherwise | stated | |
|--------------|----------|-----------------|--------------|--------------|--------------------------|--------------------|-------------|-----------|-------------|--------|
| label | o | O | O | O | B-GRP | O | O | O | O | |
| LwTR | a | songs | written | by | england | , | drowned | otherwise | contested | |
| label | О | O | O | O | B-GRP | O | O | O | O | |
| SR | all | song | written— | was | m.o.d. | | if | otherwise | said | |
| label | O | O | O | O | B-GRP | O | O | O | O | |
| MD | all | a com on a | written | by | es | tunis | | unless | otherwise | etotod |
| MR | an | songs | WITHEII | Uy | CS | tums | • | umcss | Outer wise | stated |
| label | O | O | O | O | B-GRP | | o O | O | O | O |
| | | o Č | | _ | | | O unless | _ | _ | _ |
| label | o | o Č | O | ó | B-GRP m.o.d. | I-GRP | | O | _ | _ |
| label SiS | O all | o songs O | O written | o by O | B-GRP m.o.d. B-GRP | I-GRP otherwise | unless O | O | o , o | _ |

- Label-wise Token Replacement randomly replace token with another token of the same label type
- Synonym Replacement randomly replace token with a synonym of itself via fastText word embedding
- Mention Replacement randomly replace an entire named entity with another entity of the same label type
- Shuffle Within Segments divide sentence into segments of the same label, randomly shuffle segments
- * All perform all 4 techniques on a single dataset
- * Combined with 4 copies of the original dataset, perform the 4 techniques and append each to the original, yielding a final dataset 5x as long as the original



| 네덜란드의 | 축구 | 선수 | 사리 | 판 | 페이넨달 | - |
|-------|----|----|-------|-------|-------|---|
| 0 | О | О | B-PER | I-PER | I-PER | О |
| 네덜란드의 | 은 | 선수 | 사리 | 가와쿠보 | 페이넨달 | - |
| 0 | o | o | B-PER | I-PER | I-PER | O |

| 它 | 以 | 其 | 大 | 西 | 洋 | 鮭 | 钓 | 鱼 | 0 |
|---|-----|---|--------|----------|---------------|---------------|--------------|---|---|
| 0 | 0 | 0 | B-PROD | I-PROD | I-PROD | I-PROD | 0 | 0 | 0 |
| | IXI | | -1- | = | 3. 7 % | 4 Τ 4+ | <i>t</i> 5-1 | Д | |
| 匕 | 以 | 而 | 小 | 判 | 湿 | 紅鮭 | 钓上 | 里 | 0 |

Korean LwTR

Bangla MR

তিনি মার্কো মারুলি লেখকের সহযোগী ছিলেন বলেও জানা যায়।

O B-PER I-PER O O O O O O

তিনি ব্রুস মাসলিন লেখকের সহযোগী ছিলেন বলেও জানা যায়।

O B-PER I-PER O O O O O O

Spanish SiS

| ese | mismo | año | también | se | publicó | amused | to | death | 3 | el | nuevo | trabajo | en | solitario | de | roger | waters | - |
|-----|-------|-----|---------|----|---------|--------|--------|-------|----|---------|-----------|---------|-------|-----------|----|-------|--------|---|
| 0 | 0 | 0 | 0 | o | O | B-CW | I-CW | I-CW | 0 | O | 0 | 0 | 0 | 0 | 0 | B-PER | I-PER | О |
| ese | mismo | año | también | se | publicó | to | amused | death | de | trabajo | solitario | el | nuevo | , | en | roger | waters | - |
| 0 | 0 | o | 0 | o | 0 | B-CW | I-CW | I-CW | 0 | О | 0 | 0 | o | О | 0 | B-PER | I-PER | О |



Chinese SR

Environmental Setup

SemEval 2022 Task 11 Dataset (Wikipedia & Microsoft Orcas):

| | Train | Dev. | Test |
|---------------|--------|------|-------|
| Each Language | 13,770 | 800 | 1,530 |

Entitiy Types:

- PER (Person)
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XLM-RoBERTa:

- Multilingual Transformer-based masked language model
 - Excludes linear chain CRF
 - Masked language modeling (MLM); randomly masks % of input sentence's words before feeding into the model for predictions on masked words
- Prominent model used for NER
- Trained on 2.5TB of filtered CommonCrawl data

fastText word embedding (trained on Wikipedia)



Environmental Setup

Group 1: Korean & Turkish

- Synthetic languages; high use of inflection or agglutination to specify syntactic relationship between a sentence's words. Very flexible sentence structure

Group 2: Bangla, Hindi & Farsi

- Common SOV order, Indo-Iranian, BN/HI especially geographically close

Group 3: German, Russian, Dutch, Spanish & English

- Geographically close European languages with shared SVO* grammar, 3 of which are Germanic **Chinese** used as a test lanugage due to its uniqueness in relation to the 10 other languages

*German and Dutch are SVO in conventional typology and SOV in generative grammar



Initial Results

| | Epochs = 2 , $lr = 0.0001$, batch size = 64 , dropout = 0.1 | | | | | | | | | | | |
|----------|--|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--|
| | ко | TR | ZH | BN | HI | FA | DE | RU | NL | ES | EN | |
| original | 0.646 | 0.668 | 0.638 | 0.528 | 0.548 | 0.593 | 0.716 | 0.631 | 0.683 | 0.654 | 0.696 | |
| LwTR | 0.495 | 0.556 | 0.390 | 0.390 | 0.381 | 0.487 | 0.551 | 0.418 | 0.572 | 0.531 | 0.540 | |
| MR | 0.617 | 0.675 | 0.638 | 0.426 | 0.548 | 0.642 | 0.723 | 0.632 | 0.700 | 0.709 | 0.709 | |
| SR | 0.585 | 0.231 | 0.431 | 0.498 | 0.407 | 0.582 | 0.475 | 0.507 | 0.662 | 0.602 | 0.629 | |
| SiS | 0.537 | 0.564 | 0.365 | 0.379 | 0.404 | 0.543 | 0.557 | 0.422 | 0.580 | 0.516 | 0.532 | |
| All | 0.384 | 0.442 | 0.184 | 0.178 | 0.415 | 0.351 | 0.424 | 0.301 | 0.465 | 0.396 | 0.414 | |

Table 3: Evaluation results of micro F1 scores across all six named entity labels. All models are trained on a single language indicated by their corresponding language code. Bold language codes indicate beginning of language groupings (1. **Korean/Turkish**, 2. **Bangla/Hindi/Farsi**, 3. **German**, Russian, Dutch, Spanish, English). Chinese (ZH) is stand-alone. Bold indicates best performing method for a language in the Table.

Mention Replacement (MR) best performing augmentation method for 10/11 languages

- In the 10/11 languages, MR +10.67% > 2nd best method
- Better than original data: 7/11
- As good as original: 2/11
- Worse than original: 2/11 (Korean and Bangla)

Tally of worst augmentation: LwTR (5), SiS (4), SR (2)

Most gained from MR: Spanish (ES): +5.4%, Farsi (FA): +4.9%

Best augmentation for Bangal (BN) < Original data

 Surprisingly best performing augmentation was Synonym Repalcement (SR); word embeddings for lowresource languages aren't always reliable



Combined Augmentation & Cross-Lingual Results

| | Epochs = 2 , $lr = 0.0001$, batch size = 64 , dropout = 0.1 | | | | | | | | | | |
|-------------|--|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Language | KO | TR | ZH | BN | HI | FA | DE | RU | NL | ES | EN |
| Original | 0.646 | 0.668 | 0.638 | 0.528 | 0.548 | 0.593 | 0.716 | 0.631 | 0.683 | 0.654 | 0.696 |
| Combined | 0.735 | 0.745 | 0.589 | 0.428 | 0.643 | 0.420 | 0.726 | 0.712 | 0.715 | 0.717 | 0.724 |
| Group Train | 0.679 | 0.683 | N/A | 0.614 | 0.606 | 0.626 | 0.739 | 0.753 | 0.734 | 0.747 | 0.752 |

Table 4: Combined indicates the model training on a single language's original data plus each of its augmented datasets. KO's Combined score of 0.735 is the micro F1 score across 6 NER labels when trained on the Original KO data + LwTR KO data + MR KO data + SR KO data + SiS KO data. Group Train indicates the result from training on original training data from each of a group's languages. KO's Group Train score of 0.679 is the micro F1 score across 6 NER labels when trained on KO and TR's original data, as KO and TR are in the same group - this group training logic of course applies for the BN/HI/FA, and DE/RU/NL/ES/EN groups. Chinese (ZH) is excluded as it was not placed in a language group. Bold indicates best performing method for a language in the Table.

While Groups 1 & 3 consistently prefer one method over the other, their worse performing method still outperformed both their previous single best method and original data in all languages.

 Suggests Group 1 benefits most with larger amounts of its own data, while Group 3 can find improvements with less data via cross-lingual training

Chinese performed worse than previous MR

Bangla now surpasses original data score with cross-lingual training from Group 2 languages HI & FA No clear consistency in Group 2; comparatively less insight than Group 1 & 3.

Hindi: Cross-lingual training still outperformed all scores from previous experiments



Script Based Results

| Epochs = 2 , | Epochs = 2 , $lr = 0.0001$, batch size = 64 , dropout = 0.1 | | | | | | | | | |
|---------------------|--|--------|-------|---------|---------|--|--|--|--|--|
| Latin Script Lang. | Turkish | German | Dutch | Spanish | English | | | | | |
| individual original | 0.668 | 0.716 | 0.683 | 0.654 | 0.696 | | | | | |
| all latin original | 0.760 | 0.740 | 0.761 | 0.751 | 0.750 | | | | | |
| euro latin original | N/A | 0.756 | 0.773 | 0.766 | 0.763 | | | | | |
| all latin mr | 0.780 | 0.778 | 0.770 | 0.770 | 0.771 | | | | | |
| euro latin mr | N/A | 0.797 | 0.782 | 0.801 | 0.797 | | | | | |

Table 5: We reiterate the languages' original results from the previous page in the first row of results for ease of comparison. The 'all latin original' and 'all latin mr' were trained on all 5 languages' original and MR datasets, respectively. The 'euro latin original' and 'euro latin mr' were trained on just the European languages' original and MR datasets, respectively (i.e., excluding Turkish). Bold indicates best performing method for a language in the Table.

Performances increases as you move down the rows for each language

Spanish reaches best performance among all experiments so far when cross-lingually trained with Latin orthography-based European languages

Second pattern:

• "all latin mr" > "all latin original" & "euro latin mr" > "euro latin original" for European languages

Similarly, Turkish MR evaluations > original

Euro Script Based Results had less *compiled* data than the previous Combined model, implying larger amounts of augmented data can be outperformed



Spanish Label Analysis

Juxtapose original, MR, and Best ("euro latin mr") label results

Best outperforms original across the board, while MR does so with the exception of the CORP (Corporation) label

MR performs worse than original for CORP by ~2.6% in all metrics

 Contrasting Chinese breakdown which showcases instances of nonhegemonic metric outperformances (i.e., worse MR recall doesn't necessarily mean worse MR precision)

| Entity | Metric | Original | MR | Best |
|------------|--------|----------|-------|-------|
| PROD | P | 0.524 | 0.644 | 0.745 |
| | R | 0.457 | 0.645 | 0.719 |
| | F1 | 0.488 | 0.644 | 0.732 |
| GRP | P | 0.615 | 0.693 | 0.797 |
| | R | 0.551 | 0.649 | 0.758 |
| | F1 | 0.581 | 0.670 | 0.777 |
| CORP | P | 0.682 | 0.657 | 0.771 |
| | R | 0.661 | 0.633 | 0.758 |
| | F1 | 0.671 | 0.644 | 0.765 |
| CW | P | 0.547 | 0.589 | 0.715 |
| | R | 0.471 | 0.557 | 0.687 |
| | F1 | 0.506 | 0.573 | 0.700 |
| PER | P | 0.784 | 0.808 | 0.890 |
| | R | 0.822 | 0.860 | 0.911 |
| | F1 | 0.802 | 0.833 | 0.900 |
| LOC | P | 0.749 | 0.779 | 0.848 |
| | R | 0.735 | 0.771 | 0.847 |
| | F1 | 0.742 | 0.775 | 0.848 |
| Micro Avg. | P | 0.672 | 0.713 | 0.807 |
| | R | 0.636 | 0.706 | 0.795 |
| | F1 | 0.654 | 0.709 | 0.801 |
| MD | P | 0.762 | 0.837 | 0.878 |
| | R | 0.721 | 0.829 | 0.865 |
| | F1 | 0.741 | 0.833 | 0.872 |

Table 6: Breakdown of individual Spanish (ES) label results comparing the original training data, its best performance under a single data augmentation method - Mention Replacement (MR) - and its best overall data augmentation method - euro latin mr - as seen in Table 5. Bold indicates if a metric performs better than or as good as the original



Chinese Label Analysis

While Combined micro F1 5% < original:

Combined GRP (Group) was substantially better; +16% recall gain

GRP is worst performing entity in all experiments

Avg. Precision-recall difference for other entities is 2.52%, while GRP's is 27.6%

Combined's larger datasize could be deciding factor in recall performance

Precision for Chinese GRP can perform well with a leading augmentation method, recall plummets without bolstering data

| Entity | Metric | Original | LwTR | MR | SR | SiS | All | Combined |
|------------|--------|----------|--------|-------|--------|--------|---------|----------|
| PROD | P | 0.574 | 0.305 | 0.586 | 0.368 | 0.351 | 0.171 | 0.552 |
| | R | 0.534 | 0.282 | 0.530 | 0.291 | 0.313 | 0.134 | 0.514 |
| | F1 | 0.553 | 0.293 | 0.557 | 0.325 | 0.330 | 0.150 | 0.533 |
| GRP | P | 0.493 | 0.191 | 0.530 | 0.312 | 0.262 | 0.176 | 0.513 |
| | R | 0.217 | 0.035 | 0.225 | 0.0162 | 0.0733 | 0.00493 | 0.377 |
| | F1 | 0.301 | 0.0591 | 0.316 | 0.0308 | 0.115 | 0.00958 | 0.435 |
| CORP | P | 0.644 | 0.370 | 0.644 | 0.433 | 0.338 | 0.164 | 0.572 |
| | R | 0.619 | 0.349 | 0.604 | 0.381 | 0.339 | 0.149 | 0.557 |
| | F1 | 0.631 | 0.359 | 0.623 | 0.405 | 0.338 | 0.156 | 0.564 |
| CW | P | 0.525 | 0.239 | 0.531 | 0.303 | 0.297 | 0.113 | 0.513 |
| | R | 0.542 | 0.219 | 0.525 | 0.255 | 0.271 | 0.0703 | 0.483 |
| | F1 | 0.533 | 0.228 | 0.528 | 0.277 | 0.284 | 0.0868 | 0.498 |
| PER | P | 0.753 | 0.611 | 0.774 | 0.590 | 0.431 | 0.297 | 0.696 |
| | R | 0.769 | 0.610 | 0.777 | 0.642 | 0.448 | 0.291 | 0.708 |
| | F1 | 0.761 | 0.610 | 0.776 | 0.615 | 0.440 | 0.294 | 0.702 |
| LOC | P | 0.748 | 0.508 | 0.745 | 0.554 | 0.445 | 0.241 | 0.676 |
| | R | 0.776 | 0.559 | 0.777 | 0.594 | 0.459 | 0.263 | 0.694 |
| | F1 | 0.762 | 0.532 | 0.760 | 0.573 | 0.452 | 0.252 | 0.685 |
| Micro Avg. | P | 0.643 | 0.398 | 0.650 | 0.454 | 0.374 | 0.200 | 0.598 |
| | R | 0.633 | 0.383 | 0.627 | 0.411 | 0.356 | 0.171 | 0.580 |
| | F1 | 0.638 | 0.390 | 0.638 | 0.431 | 0.365 | 0.184 | 0.589 |
| MD | P | 0.736 | 0.468 | 0.744 | 0.552 | 0.439 | 0.248 | 0.661 |
| | R | 0.725 | 0.451 | 0.717 | 0.500 | 0.417 | 0.211 | 0.642 |
| | F1 | 0.730 | 0.459 | 0.730 | 0.525 | 0.428 | 0.228 | 0.652 |



