

Chennai Mathematical Institute

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# Asymptotic Convexity in Mean Curvature Flow

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21 April 2023

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- A hypersurface  $\mathcal{M} \subset \mathbb{R}^{n+1}$  is said to be uniformly convex if second fundamental form  $A$  is strictly positive. So the principal curvatures  $\kappa_1, \dots, \kappa_n$  are strictly positive.
- A hypersurface  $\mathcal{M} \subset \mathbb{R}^{n+1}$  is said to be mean convex if the mean curvature satisfies  $H = \text{tr}(A) = \kappa_1 + \dots + \kappa_n > 0$ .

- Recall the formula of  $k$ -th elementary symmetric polynomial.

## Definition

For any  $k = 1, \dots, n$ , the  **$k$ -th elementary symmetric polynomial**  $S_k : \mathbb{R}^n \rightarrow \mathbb{R}$  is defined by

$$S_k(\lambda) = \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \lambda_{i_1} \lambda_{i_2} \cdots \lambda_{i_k}$$

where  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{R}^n$ . We adapt the convention that  $S_0 \equiv 1$  and  $S_k \equiv 0$  for  $k > n$ .

- Associated to each  $k$  we can also define the domain of positivity of first  $k$  elementary symmetric polynomials  $\Gamma_k$  given by

$$\Gamma_k = \{\lambda \in \mathbb{R}^n : S_1(\lambda) > 0, \dots, S_k(\lambda) > 0\}.$$

- Notice that  $\Gamma_k$  are cones in Euclidean space and have the inclusion property

$$\Gamma_n \subset \Gamma_{n-1} \subset \dots \subset \Gamma_1.$$

- In this formulation, a hypersurface is mean convex if the vector  $(\kappa_1, \dots, \kappa_n) \in \Gamma_1$ .

### Lemma

Let  $A = \{x \in \mathbb{R}^n : x_1 > 0, \dots, x_n > 0\}$  denote the positive cone. The sets  $\Gamma_k$  coincide with the connected component of the domain  $\{\lambda \in \mathbb{R}^{n+1} : S_k(\lambda) > 0\}$  containing the positive cone  $A$ . Further, the cone  $\Gamma_n$  coincides with the positive cone  $A$ .

Hence,

$$\kappa_1 > 0, \dots, \kappa_n > 0 \iff (\kappa_1, \dots, \kappa_n) \in \Gamma_n$$

so uniform convexity is equivalent to  $(\kappa_1, \dots, \kappa_n) \in \Gamma_n$ .

## Theorem

Let  $X : M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a smooth solution of the mean curvature flow with  $n \geq 2$  such that  $X(M^n, 0) = \mathcal{M}_0$  is compact and of positive mean curvature. Then, for any  $\eta > 0$  there exists a constant  $C_\eta > 0$  depending only on  $n, \eta$  and  $\mathcal{M}_0$  such that

$$S_k \geq -\eta H^k - C_{\eta,k} \quad (1.1)$$

on  $\mathcal{M}_t$  for any  $t \in [0, T)$ .

This means that the negative part of  $S_k$  cannot grow faster than  $H^k$ .

Heuristically we want to do the following. Given the inequality

$$S_k \geq -\eta H^k - C_{\eta,k} \quad (2.1)$$

we can divide the equation by  $H^k$  to obtain,

$$\frac{S_k}{H^k} \geq -\eta - \frac{C_{\eta,k}}{H^k}.$$

Now as  $t \rightarrow T, H^k \rightarrow \infty$  which implies

$$\frac{S_k}{H^k} \geq -2\eta$$

for  $t$  sufficiently close to  $T$ . We will do this rigorously after proving the main theorem.



We will restrict ourselves to the case  $k = 2$ . The higher estimates can be done similarly using a different function.

### Goal

We want to prove that for any given  $\eta > 0$ , there exists a positive constant  $C_\eta$  such that

$$S_2 \geq -\eta H^2 - C_\eta.$$

Let  $\sigma \in (0, 2)$ . Consider a function  $g_{\sigma, \eta} : \mathcal{M} \times [0, T) \rightarrow \mathbb{R}$  defined as

$$g_{\sigma, \eta} = \left( \frac{|A|^2}{H^2} - (1 + \eta) \right) H^\sigma = \frac{|A|^2 - (1 + \eta)H^2}{H^{2-\sigma}}.$$

If we expand  $|A|^2 = \sum \kappa_i^2$  and  $H^2 = \sum \kappa_i^2 + 2S_2$ , the function  $g_{\sigma, \eta}$  can be written as

$$g_{\sigma, \eta} = \frac{-2S_2 - \eta H^2}{H^{2-\sigma}}.$$

We want a uniform bound on  $g_{\sigma,\eta}$ . Suppose  $g_{\sigma,\eta} \leq K$ . Then

$$\begin{aligned}\frac{|A|^2 - (1 + \eta)H^2}{H^{2-\sigma}} &\leq K \\ |A|^2 - (1 + \eta)H^2 &\leq KH^{2-\sigma} \\ -2S_2 - \eta H^2 &\leq KH^{2-\sigma} \\ -2S_2 &\leq KH^{2-\sigma} + \eta H^2.\end{aligned}$$

Now by Young's inequality

$$KH^{2-\sigma} \leq \eta H^2 + C_1$$

which after substitution gives the desired result.

In order to get a uniform bound, we first calculate the time evolution of  $g_{\sigma,\eta}$  in hopes of some application of the maximum principle.

## Lemma

The evolution equation of  $g_{\sigma,\eta}$  is given by

$$\begin{aligned} \frac{\partial g_{\sigma,\eta}}{\partial t} = & \Delta g_{\sigma,\eta} + 2 \frac{(1-\sigma)}{H} \langle \nabla H, \nabla g_{\sigma,\eta} \rangle - \frac{\sigma(1-\sigma)}{H^2} g_{\sigma,\eta} |\nabla H|^2 \\ & - \frac{2}{H^4-\sigma} |\nabla A \cdot H - \nabla H \otimes A|^2 + \sigma |A|^2 g_{\sigma,\eta}. \end{aligned} \quad (2.2)$$

We can't directly apply the maximum principle here because of the presence of the last term  $\sigma |A|^2 g_{\sigma,\eta}$ . However, when  $\sigma = 0$ , we can conclude that

$$\frac{|A|^2}{H^2} \leq c_0(\mathcal{M}_0).$$

Together with algebraic identity  $H^2 \leq n|A|^2$ , this implies that

$$\frac{1}{n}H^2 \leq |A|^2 \leq c_0 H^2.$$

Hence, for mean-convex hypersurfaces the blow-up rate of  $|A|^2$  and  $H^2$  is the same.

This also implies that

$$g_{\sigma,\eta} = \left( \frac{|A|^2}{H^2} - (1 + \eta) \right) H^\sigma \leq c_0 H^\sigma.$$

After a failed attempt at maximum principle, our next hope is to get an integral estimate of  $g_{\sigma,\eta}$ .

Let  $(g_{\sigma,\eta})_+ = \max\{(g_{\sigma,\eta})_+, 0\}$  be the positive part of  $(g_{\sigma,\eta})$ .

### $L^p$ estimates

For any  $\eta \in (0, 1)$  there exists constants  $a$  and  $b$  such that the  $L^p(\mathcal{M})$  norm of  $(g_{\sigma,\eta})_+$  is a non-decreasing function of  $t$  if the following holds

$$p \geq a, \quad \sigma \leq (bp)^{-\frac{1}{2}}.$$

The time derivative of integral of  $g_+^p$  satisfies the inequality :

### Lemma

There exists constant  $c_2, c_3$  such that

$$\begin{aligned} \frac{d}{dt} \int_{\mathcal{M}_t} g_+^p d\mu \leq & -\frac{p(p-1)}{2} \int_{\mathcal{M}_t} g_+^{p-2} |\nabla g|^2 d\mu - \frac{p}{c_3} \int_{\mathcal{M}_t} \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu \\ & - p \int_{\mathcal{M}_t} \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu + p\sigma \int_{\mathcal{M}_t} |A|^2 g_+^p d\mu \end{aligned} \quad (3.1)$$

for any  $p \geq c_2$ .

Again there is one bad term but we will see that it can be absorbed.



**Proof.** Differentiating with respect to time and using the evolution equation for  $p \geq 2$

$$\begin{aligned}
\frac{d}{dt} \int_{\mathcal{M}_t} g_+^p d\mu &= \int \left( p g_+^{p-1} \partial_t g - H^2 g_+^p \right) d\mu \\
&\leq \int p g_+^{p-1} \left( \Delta g + 2 \frac{(1-\sigma)}{H} \langle \nabla H, \nabla g \rangle - \sigma(1-\sigma) \frac{|\nabla H|^2}{H^2} \right) d\mu \\
&\quad - \int p g_+^{p-1} \left( \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 \right) d\mu + \int p \sigma |A|^2 g_+^p d\mu
\end{aligned} \tag{3.2}$$

where we are ignoring  $-H^2 g_+^p$  term.

For the term with  $g_+^{p-1} \Delta g$ , we can use integration by parts to get

$$\begin{aligned}
\int p g_+^{p-1} \Delta g d\mu &= -p \int \langle \nabla g_+^{p-1}, \nabla g \rangle d\mu \\
&= -p(p-1) \int g_+^{p-2} |\nabla g|^2 d\mu
\end{aligned} \tag{3.3}$$

For the next term we need the following lemma :

### Lemma

If  $(x, t)$  is such that  $g(x, t) = g_+(x, t) \geq 0$ , so  $(1 + \eta)H^2 \leq |A|^2 \leq c_0H^2$ . Then

$$|\nabla A \cdot H - \nabla H \otimes A|^2 \geq \frac{\eta^2}{4n(n-1)^2c_0}H^2|\nabla H|^2.$$

From which we can write for any  $c_1 \geq 4n(n-1)^2c_0\eta^{-2}$  or  $\frac{1}{c_1} \leq \frac{\eta^2}{4n(n-1)^2c_0}$ ,

$$\begin{aligned} \frac{g_+^{p-1}}{H^{4-\sigma}}|\nabla A \cdot H - \nabla H \otimes A|^2 &\geq \frac{g_+^{p-1}}{c_1H^{2-\sigma}}|\nabla H|^2 \\ &\geq \frac{g_+^{p-1}}{2c_1H^{2-\sigma}}|\nabla H|^2 + \frac{1}{2c_0c_1} \frac{g_+^p}{H^2}|\nabla H|^2 \end{aligned} \quad (3.4)$$

For the inner product term, let  $p \geq \max 2, 1 + 4c_0c_1$  and use Cauchy-Schwarz inequality to get,

$$\begin{aligned}
2(1 - \sigma)p \frac{g_+^{p-1}}{H} \langle \nabla H, \nabla g \rangle &\leq 2p \frac{g_+^{p-1}}{H} |\nabla H| |\nabla g| \\
&\leq p \left( \frac{g_+^p |\nabla H|^2}{2c_0c_1H^2} + 2c_0c_1g_+^{p-2} |\nabla g|^2 \right) \\
&\leq p \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 - p \frac{g_+^{p-1}}{2c_1H^{2-\sigma}} |\nabla H|^2 \\
&\quad + \frac{p(p-1)}{2} g_+^{p-2} |\nabla g|^2
\end{aligned} \tag{3.5}$$

Substituting this

$$\begin{aligned}
\frac{d}{dt} \int_{\mathcal{M}} g_+^p d\mu &\leq -p(p-1) \int g_+^{p-2} |\nabla g|^2 d\mu + p \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \\
&\quad + \frac{p(p-1)}{2} \int g_+^{p-2} |\nabla g|^2 d\mu - \frac{p}{c_3} \int \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu \\
&\quad - 2p \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu + p\sigma \int |A|^2 g_+^p d\mu.
\end{aligned}$$

where  $c_3 = \frac{1}{2c_1}$ .

We can estimate the positive term in the previous time derivative as follows

## Lemma

There exists a constant  $c_4$  such that

$$\begin{aligned} \frac{1}{c_4} \int |A|^2 g_+^p d\mu &\leq \left(p + \frac{p}{\beta}\right) \int g_+^{p-2} |\nabla g|^2 + (1 + \beta p) \int \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu \\ &\quad + \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \end{aligned}$$

for any  $\beta > 0, p > 2$ .

Sketch of proof:

$$\begin{aligned}\Delta g &= \frac{\Delta|A|^2 - 2|\nabla A|^2}{H^{2-\sigma}} + \frac{2}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 \\ &\quad + \left( (\sigma - 2) \frac{g}{H} - 2(1 + \eta) H^{\sigma-1} \right) \Delta H - 4(\sigma - 1) \frac{|A|^2}{H^{4-\sigma}} |\nabla H|^2 \\ &\quad + \sigma(\sigma - 1) \frac{g}{H^2} |\nabla H|^2 + \frac{2(\sigma - 1)}{H^{3-\sigma}} \langle \nabla|A|^2, \nabla H \rangle.\end{aligned}$$

Now we want to use Simon's identity

$$\Delta|A|^2 = 2 \langle h_{ij}, \nabla_i \nabla_j H \rangle + 2|\nabla A|^2 + 2Z$$

and  $Z = H \operatorname{tr}(A^3) - |A|^4$ .

Multiplying by  $g_+^p H^{-\sigma}$  and using Green's identity

$$\begin{aligned}
 -2 \int \frac{g_+^p Z}{H^2} d\mu &= p \int \frac{1}{H^\sigma} g_+^{p-1} |\nabla g|^2 d\mu - 2p \int \frac{g_+^{p-1}}{H^2} \langle h_{ij}, \nabla_i g \nabla_j H \rangle d\mu \\
 &\quad + 4 \int \frac{g_+^p}{H^3} \langle h_{ij}, \nabla_i H \nabla_j H \rangle d\mu + 2 \int \frac{g_+^p}{H^4} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \\
 &\quad + p \int \left( (2 - \sigma) \frac{g_+^p}{H^{1+\sigma}} + 2(1 + \eta) \frac{g_+^{p-1}}{H} \right) \langle \nabla g, \nabla H \rangle d\mu \\
 &\quad - 2 \int \left( \frac{g_+^{p+1}}{H^{2+\sigma}} + (2 + \eta) \frac{g_+^p}{H^2} \right) |\nabla H|^2 d\mu
 \end{aligned} \tag{3.6}$$

From Huisken's lemma  $-2Z \geq \eta H^2 |A|^2$  and using utilizing  $g \leq c_0 H^\sigma$  (and  $|A| \leq c_0 H$ ) with Cauchy-Schwarz inequality,

$$\begin{aligned} \eta \int g_+^p |A|^2 d\mu &\leq c_0 p \int g_+^{p-2} |\nabla g|^2 d\mu + 4p(c_0 + 1) \int \frac{g_+^{p-1}}{H} |\nabla g| |\nabla H| d\mu \\ &\quad + 4c_0^2 \int \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 d\mu + 2c_0 \int \frac{g_+^{p-1}}{H^{4-\sigma}} |\nabla A \cdot H - \nabla H \otimes A|^2 d\mu \end{aligned} \quad (3.7)$$

Also, for any  $\beta > 0$ ,

$$\begin{aligned} 2 \frac{g_+^{p-1}}{H} |\nabla H| |\nabla g| &\leq \frac{g_+^{p-2}}{\beta} |\nabla g|^2 + \beta \frac{g_+^p}{H^2} |\nabla H|^2 \\ &= \frac{g_+^{p-2}}{\beta} |\nabla g|^2 + c_0 \beta \frac{g_+^{p-1}}{H^{2-\sigma}} |\nabla H|^2 \end{aligned} \quad (3.8)$$



The results so far:

## Proposition

For any  $\eta \in (0, 1)$  there exists constants  $c_5, c_6$  such that the  $L^p(\mathcal{M})$  norm of  $(g_{\sigma, \eta})_+$  is non-decreasing function of  $t$  if the following holds

$$p \geq c_5, \quad \sigma \leq (c_6 p)^{-\frac{1}{2}}.$$

So we have  $L^p$  estimates of  $g_{\sigma, \eta}$  provided  $\sigma$  is sufficiently small; specifically in the order of  $p^{-\frac{1}{2}}$ .

## Stampacchia lemma

Let  $\psi : [k_0, \infty) \rightarrow \mathbb{R}$  be a non-negative, non-increasing function which satisfies

$$\psi(h) \leq \frac{C}{(h-k)^\alpha} \psi(k)^\beta \text{ for all } h > k > k_0 \quad (4.1)$$

for some constants  $C > 0$ ,  $\alpha > 0$  and  $\beta > 1$ . Then

$$\psi(k_0 + d) = 0, \quad (4.2)$$

where  $d^\alpha = C\psi(k_0)^{\beta-1} 2^{\frac{\alpha\beta}{\beta-1}}$ .

**Proof of main theorem:** We want to prove a uniform estimate on  $(g_{\sigma,\eta})_+$  using Stampacchia iteration. Let  $k \geq k_0$ , where

$$k_0 = \sup_{\sigma \in [0,1]} \sup_{\mathcal{M}_0} g_{\sigma,\eta}$$

Define  $v = (g_{\sigma,\eta} - k)_+^{\frac{p}{2}}$  and  $A(k, t) = \{x \in \mathcal{M}_t : v(x, t) > 0\}$ . The function we will be applying Stampacchia lemma is

$$\psi(k) = \int_0^T \int_{A(k,t)} d\mu dt.$$

Differentiating  $v^2$  with respect to time we get for  $p$  large enough

$$\begin{aligned} \frac{d}{dt} \int_{\mathcal{M}_t} v^2 d\mu + \int_{\mathcal{M}_t} |\nabla v|^2 d\mu &\leq \sigma p \int_{\mathcal{M}_t} |A|^2 v^2 d\mu \\ &\leq c_0 \sigma p \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu \end{aligned}$$

Also from the Michael-Simon result, we have a Sobolev-type inequality given by

$$\left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} \leq C(n) \int_{\mathcal{M}_t} |\nabla v|^2 d\mu + C(n) \left( \int_{A(k,t)} H^n d\mu \right)^{\frac{2}{n}} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} \quad (4.3)$$

where  $q = \frac{n}{n-2}$  if  $n > 2$  and an arbitrary number greater than 1 if  $n = 2$ .

We can estimate the  $H^n$  factor in the integral on  $A(k, t)$  using the previous proposition and the equality

$$\begin{aligned} \int_{\mathcal{M}_t} H^n g_{\sigma, \eta}^p d\mu &= \int_{\mathcal{M}_t} H^n \left( \frac{|A|^2 - (1 + \eta)H^2}{H^2} \right)^p H^{p\sigma} d\mu \\ &= \int_{\mathcal{M}_t} g_{\sigma', \eta}^p d\mu \end{aligned}$$

where  $\sigma' = \sigma + \frac{n}{p}$ . Let

$$p \geq \max\{c_5, 4n^2 c_6\} \quad \text{and} \quad \sigma \leq (4c_6 p)^{-\frac{1}{2}}$$

so that

$$\sigma' = \sigma + \frac{n}{p} \leq \frac{1}{2\sqrt{c_6 p}} + \frac{1}{\sqrt{p}} \frac{n}{\sqrt{p}} \leq \frac{1}{\sqrt{c_6 p}}.$$

From the previous result,

$$\begin{aligned}
 \left( \int_{A(k,t)} H^n d\mu \right)^{\frac{2}{n}} &\leq \left( \int_{A(k,t)} H^n \left( \frac{g_{\sigma,\eta}^p}{k^p} \right) d\mu \right)^{\frac{2}{n}} \\
 &= k^{-\frac{2p}{n}} \left( \int_{A(k,t)} g_{\sigma',\eta}^p d\mu \right)^{\frac{2}{n}} \\
 &\leq k^{-\frac{2p}{n}} \left( \int_{\mathcal{M}_t} (g_{\sigma',\eta})_+^p d\mu \right)^{\frac{2}{n}} \\
 &\leq k^{-\frac{2p}{n}} \left( \int_{\mathcal{M}_0} (g_{\sigma',\eta})_+^p d\mu \right)^{\frac{2}{n}} \\
 &\leq \left( \frac{|\mathcal{M}_0| k_0}{k} \right)^{\frac{2p}{n}}
 \end{aligned}$$

We can fix  $k_1 > k_0$  such that for any  $k \geq k_1$  the term  $\int_{A(k,t)} H^n d\mu$  in Michael-Simon inequality is less than  $\frac{1}{2C(n)}$ . For such  $k$ , eliminating the gradient term,

$$\frac{d}{dt} \int_{\mathcal{M}_t} v^2 d\mu + \frac{1}{2C(n)} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} \leq c_0 \sigma p \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu. \quad (4.4)$$

Let  $t_0 \in [0, T]$  be the time when  $\sup_{t \in [0, T]} \int_{\mathcal{M}_t} v^2 d\mu$  is attained (we let  $t_0 = T$  if it is not attained in the interior). Integrating from 0 to  $t_0$ ,

$$\int_{\mathcal{M}_{t_0}} v^2 d\mu + \frac{1}{2C(n)} \int_0^{t_0} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq c_0 \sigma p \int_0^{t_0} \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt \quad (4.5)$$

Now integrating this from  $t_0$  to  $T - \epsilon$ ,

$$\int_{\mathcal{M}_{T-\epsilon}} v^2 d\mu - \int_{\mathcal{M}_{t_0}} v^2 d\mu + \frac{1}{2C(n)} \int_{t_0}^{T-\epsilon} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq c_0 \sigma p \int_{t_0}^{T-\epsilon} \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt. \quad (4.6)$$

Throwing away  $\int_{\mathcal{M}_{T-\epsilon}} v^2 d\mu$  term and adding to half of this to the previous inequality,

$$\frac{1}{2} \int_{\mathcal{M}_{t_0}} v^2 d\mu + \frac{1}{4C(n)} \int_0^{T-\epsilon} \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq c_0 \sigma p \int_0^{T-\epsilon} \int_{A(k,t)} H^2 g_{\sigma, \eta}^p d\mu dt$$



This is same as

$$\sup_{[0,T)} \int_{\mathcal{M}_t} v^2 d\mu + \int_0^T \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q}} dt \leq 2 \max\{1, 2C(n)\} c_0 \sigma p \int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt. \quad (4.7)$$

This finishes step 1.

Recall the interpolation inequality for  $L^p$  spaces for any  $f \in L^q \cap L^r$ ,

$$\|f\|_{q_0} \leq \|f\|_q^\alpha \|f\|_r^{1-\alpha}$$

where  $\frac{1}{q_0} = \frac{\alpha}{q} + \frac{1-\alpha}{r}$  and  $1 < q_0 < q$ . Setting  $r = 1$ ,  $\alpha = \frac{1}{q_0}$  and  $f = v^2$  we get

$$\left( \int_{\mathcal{M}_t} v^{2q_0} d\mu \right)^{\frac{1}{q_0}} \leq \left( \int_{\mathcal{M}_t} v^{2q} d\mu \right)^{\frac{1}{q_0 q}} \left( \int_{\mathcal{M}_t} v^2 d\mu \right)^{1 - \frac{1}{q_0}}. \quad (4.8)$$

Integrating this in time and using Young's inequality,

$$\begin{aligned}
 \left( \int_0^T \int_{A(k,t)} v^{2q_0} d\mu dt \right)^{\frac{1}{q_0}} &\leq \left( \sup_{[0,T]} \int_{A(k,t)} v^2 d\mu \right)^{1 - \frac{1}{q_0}} \left( \int_0^T \left( \int_{A(k,t)} v^{2q} d\mu \right)^{\frac{1}{q}} dt \right)^{\frac{1}{q_0}} \\
 &\leq \frac{\sup_{[0,T]} \int_{A(k,t)} v^2 d\mu}{\frac{q_0}{q_0-1}} + \frac{\int_0^T \left( \int_{A(k,t)} v^{2q} d\mu \right)^{\frac{1}{q}} dt}{q_0} \\
 &\leq \sup_{[0,T]} \int_{A(k,t)} v^2 d\mu + \int_0^T \left( \int_{A(k,t)} v^{2q} d\mu \right)^{\frac{1}{q}} dt \\
 &\leq c_8 \sigma p \int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt
 \end{aligned}$$

where  $c_8 = 2 \max\{1, 2C(n)\}c_0$ .

Set  $\psi(k) = \int_0^T \int_{A(k,t)} d\mu dt$ . We will obtain bounds on  $\psi$  which along with the Stampacchia lemma will imply a uniform bound of  $g_{\sigma,\eta}$ . Now Hölder inequality yields,

$$\int_0^T \int_{A(k,t)} v^2 d\mu dt \leq \left( \int_0^T \int_{A(k,t)} 1 d\mu dt \right)^{1-\frac{1}{q_0}} \left( \int_0^T \int_{A(k,t)} v^{2q_0} d\mu dt \right)^{\frac{1}{q_0}} \quad (4.9)$$

$$\leq c_8 \sigma p \psi(k)^{1-\frac{1}{q_0}} \int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt \quad (4.10)$$

Let  $r > 1$  which will be chosen later. Applying Hölder again on the right side with weights  $r$  and  $\frac{r}{r-1}$ ,

$$\begin{aligned} \int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt &\leq \left( \int_0^T \int_{A(k,t)} d\mu dt \right)^{1-\frac{1}{r}} \left( \int_0^T \int_{A(k,t)} H^{2r} g_{\sigma,\eta}^{pr} d\mu dt \right)^{\frac{1}{r}} \\ &= \psi(k)^{1-\frac{1}{r}} \left( \int_0^T \int_{A(k,t)} g_{\sigma'',\eta}^{pr} d\mu dt \right)^{\frac{1}{r}} \end{aligned}$$

where  $\sigma'' = \sigma + \frac{2}{r}$ .

For  $r$  large enough and  $p, \sigma^{-1}$  small enough there exists a constant  $c_9 > 0$  independent of time such that

$$\int_0^T \int_{A(k,t)} H^2 g_{\sigma,\eta}^p d\mu dt \leq c_9^{\frac{1}{r}} \psi(k)^{1-\frac{1}{r}}. \quad (4.11)$$

Combining the two for all  $h > k \geq k_1$ , we have

$$\begin{aligned} (h-k)^p \psi(h) &= \int_0^T \int_{A(h,t)} (h-k)^p d\mu dt \\ &\leq \int_0^T \int_{A(k,t)} v^2 d\mu dt \\ &\leq c_8 \sigma p c_9^{\frac{1}{r}} \psi(k)^{2-\frac{1}{r}-\frac{1}{q_0}}. \end{aligned}$$

Let  $\gamma = 2 - \frac{1}{r} - \frac{1}{q_0}$  and  $c_{10} = c_8 c_9^{\frac{1}{r}}$ . Fix  $r > \frac{q_0}{q_0-1}$  (so  $\gamma > 1$ ) and  $p$  large enough,  $\sigma$  small enough such that  $\sigma p < 1$  then gives

$$\psi(h) \leq \frac{c_{10}}{(h-k)^p} \psi(k)^\gamma \quad (4.12)$$

Stampacchia lemma now implies  $\psi(k) = 0$  for all  $k \geq k_1 + d$  where  $d^p = c_{10} 2^{\frac{\gamma p}{\gamma-1} + 1} \psi(k_1)^{\gamma-1}$ . Hence,

$$g_{\sigma, \eta} \leq k_1 + d \leq K \doteq k_1 + c_{10} 2^{\frac{\gamma p}{\gamma-1} + 1} (|\mathcal{M}_0|T)^{\gamma-1}$$

or

$$|A|^2 - (1 + \eta)H^2 \leq KH^{2-\sigma}.$$

By Young's inequality there exists a constant  $C_\eta$  such that,

$$|A|^2 - H^2 \leq \eta H^2 + KH^{2-\sigma} \leq 2\eta H^2 + 2C_\eta.$$

Notice that  $|A|^2 - H^2 = -\sum_{i \neq j} \kappa_i \kappa_j = -2S_2$  which implies the desired estimate. This completes the proof.

- The norm of the second fundamental form satisfies the evolution equation

$$\begin{aligned}\partial_t |A|^2 &= \Delta |A|^2 + -2|\nabla A|^2 + 2|A|^4 \\ &\leq \Delta |A|^2 + 2|A|^4.\end{aligned}$$

- If we consider the times when  $|A|^2$  achieves the maximum in the previous equation we can derive

$$\max_{\mathcal{M}_t} |A|^2 \geq \frac{1}{2(T-t)}.$$

- If there exists a constant  $C > 1$  such that we have the upper bound

$$\max_{\mathcal{M}_t} |A| \leq \frac{C}{\sqrt{2(T-t)}}$$

we say that the flow is developing at time  $T$  a **type I singularity**.



- If such a constant does not exist, we say the flow is developing a **type II singularity**. In this case

$$\limsup_{t \rightarrow T} \max_{\mathcal{M}_t} |A| \sqrt{T - t} = \infty$$

- Type I singularities are better understood. In fact the limiting hypersurface of type I singularity after rescaling satisfy the equation

$$H = \langle X, \nu \rangle .$$

- Huisken classified compact hypersurfaces which limits of type I singularity. If  $M^n$ ,  $n \geq 2$ , is compact with non-negative mean curvature  $H$  and satisfies the equation  $H = \langle X, \nu \rangle$ , then  $M^n$  is a sphere of radius  $\sqrt{n}$ .

- We will focus on type II singularities and their asymptotic convexity.
- Suppose a maximal solution  $X : M \times [0, T) \rightarrow \mathbb{R}^{n+1}$  develops a type II singularity. Choose a sequence of points  $\{(x_m, t_m)\}$  in spacetime as follows. For each integer  $m \geq 1$ , let  $t_m \in [0, T - \frac{1}{m}]$ ,  $x_m \in M$  such that

$$H^2(x_m, t_m) \left( T - \frac{1}{m} - t_m \right) = \sup_{(x,t) \in M \times [0, T - \frac{1}{m}]} H^2(x, t) \left( T - \frac{1}{m} - t \right) \quad (5.1)$$

Set  $L_m = H(x_m, t_m)$ ,  $\alpha_m = -L_m^2 t_m$  and  $\omega_m = L_m^2 (T - \frac{1}{m} - t_m)$ .

$$H^2(x_m, t_m) \left( T - \frac{1}{m} - t_m \right) = \sup_{(x,t) \in M \times [0, T - \frac{1}{m}]} H^2(x, t) \left( T - \frac{1}{m} - t \right)$$

Set  $L_m = H(x_m, t_m)$ ,  $\alpha_m = -L_m^2 t_m$  and  $\omega_m = L_m^2 (T - \frac{1}{m} - t_m)$ .

## Lemma

For singularities of type II, the following holds as  $m \rightarrow \infty$ ,

$$t_m \rightarrow T, \quad L_m \rightarrow \infty, \quad \alpha_m \rightarrow -\infty, \text{ and } \omega_m \rightarrow \infty.$$

Now we will rescale the hypersurfaces to analyze the limiting behavior. For each  $m \geq 1$ , define a family of immersions by

$$X_m(x, t) = L_m(X(x, L_m^{-2}t + t_m) - X(x_m, t_m)) \text{ for } t \in [\alpha_m, \omega_m].$$

Let  $A_m$  and  $H_m$  denote the fundamental form of the rescaled immersions. Then by the definition of  $L_m$  and  $X_m$  we have

$$X_m(x_k, 0) = 0 \quad \text{and} \quad H_m(x_m, 0) = 1.$$

Further, observe that

$$H_m^2(x, t) = L_m^{-2}H^2(x, L_m^{-2}t + t_m) \leq \frac{T - \frac{1}{m} - t_m}{T - \frac{1}{m} - t_m - L_m^{-2}t} = \frac{\omega_m}{\omega_m - t}.$$

From the previous lemma  $\omega_m \rightarrow \infty$ , so for any  $\epsilon > 0$  and  $\bar{\omega}$ , there exists a  $m_0$  such that

$$\max_{x \in M} H_m(x, t) \leq 1 + \epsilon$$

for any  $m \geq m_0$  and  $t \in [\alpha_{m_0}, \bar{\omega}]$ . This curvature bound implies analogous bounds on the second fundamental form as well as its covariant derivatives. Further,

$$(S_k)_m = L_m^{-k} S_k \geq -\eta L_m^{-k} H^k - L_m^{-k} C_{\eta,k}$$

or

$$\begin{aligned} (S_k)_m &\geq -\eta H_m^k - L_m^{-k} C_{\eta,k} \\ &\geq -\eta(1 + \epsilon)^k - L_m^{-k} C_{\eta,k} \end{aligned}$$

which can be made arbitrarily small in the limit  $m \rightarrow \infty$ .

Invoking Arzela-Ascoli theorem there exists a subsequence of  $X_m$  converging uniformly on compact subsets of  $\mathbb{R}^{n+1} \times \mathbb{R}$  to a limiting solution  $X_\infty$  of the mean curvature flow. This proves the asymptotic convexity of the flow in the following sense.

## Theorem

Let  $X : M \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be a smooth maximal solution of the mean curvature flow with  $X(\cdot, 0) = \mathcal{M}_0$  compact and of positive mean curvature. Further, assume that the flow develops a singularity of type II. Then there exists a sequence of rescaled flow  $X_k(\cdot, t)$  converging smoothly on every compact set to a mean curvature flow  $X_\infty(\cdot, t)$  which is defined for  $t \in (-\infty, \infty)$ . Also, the limit hypersurface  $X_\infty$  is convex (not necessarily uniformly convex) for each  $t \in (-\infty, \infty)$  and satisfies  $0 < H_\infty \leq 1$  everywhere with equality at least at one point.