



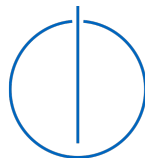
DEPARTMENT OF INFORMATICS

TECHNISCHE UNIVERSITÄT MÜNCHEN

Master's Thesis in Informatics

**Development of a Recommender System
that addresses the diversity principle to
improve user satisfaction.**

Ozan Pekmezci





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**Entwicklung eines Empfehlungsdienst unter
Berücksichtigung der
Benutzerzufriedenheit zur Diversität der
Empfehlungen.**

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Submission Date:	15.05.2019

I confirm that this master's thesis in informatics is my own work and I have documented all sources and material used.

Munich, 15.05.2019

Ozan Pekmezci

Acknowledgments

Abstract

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1 Introduction

Recommender Systems (RSs) are software tools and techniques that provide suggestions for items that are most likely of interest to a particular user [RRS15].

Suggestions and items depend on the field that recommender system is applied. For example, for the topic of news article recommenders, the aim will most likely be suggesting news to the readers. In the field of job recommenders though, these suggestions can be bidirectional. Meaning that, job postings can be suggested to applicants or resumes can be recommended to the human resources team of a company.

This chapter of the thesis will focus on explaining the basic terminology of recommender systems so that readers who are new to the topic can understand the rest easily.

1.1 Background of Classical Recommender Systems

Although the history of the recommender systems go back to mid-1990s [Par+12], the real boom happened after e-commerce services became mainstream [1]. Since there were too many items to choose from for users, such service was needed. Users of websites were becoming overloaded with the information and the developers of recommender systems had aim of reducing the information to be only relevant to users.

This section contains brief information about classical recommender systems.

1.1.1 Why Recommender Systems?

As mentioned in the last paragraph, recommender systems have the general function of suggesting items to users. However, why do recommender systems get developed? What kind of benefit do they have for both companies and users?

First of all, recommender systems increase the number of items sold [RRS15]. Also, most recommenders suggest personalized results, which means that users will see content that fits their desires. This will also increase users buying more items.

Recommenders also increase the coverage of items that user see. Coverage denotes number of recommended unique items divided by the number of all items. Therefore, users can interact with items that they wouldn't even see without recommenders, that improves chances of buying more items.

Another important point is definitely increasing the user satisfaction. This function of recommenders is the foundation of the thesis at hand. Unfortunately, most of the researchers don't take into account that the user satisfaction does not solely depend on simple evaluation metrics like accuracy, precision or recall but it can also depend on privacy, data security, diversity, serendipity, labeling, and presentation [Bee+16]. When recommender systems take those factors into account, they clearly increase user satisfaction. [Gerekirse iki madde daha var]

1.1.2 Functions

To achieve the goals in the previous subsection, recommender systems need to fulfill some functions. Common functions include but not limited to [RRS15]:

[kopi - peyst]

- Find Some Good Items: Recommend to a user some items as a ranked list along with predictions of how much the user would like them (e.g., on a scale of one-to-five stars). This is the main recommendation task that many commercial systems address (see, for instance, Chap. 11). Some systems do not show the predicted rating.
- Find all good items: Recommend all the items that can satisfy some user needs. In such cases it is insufficient to just find some good items. This is especially true when the number of items is relatively small or when the RS is mission-critical, such as in medical or financial applications. In these situations, in addition to the benefit derived from carefully examining all the possibilities, the user may also benefit from the RS ranking of these items or from additional explanations that the RS generates.
- Recommend a sequence: Instead of focusing on the generation of a single recommendation, the idea is to recommend a sequence of items that is pleasing as a whole. Typical examples include recommending a TV series, a book on RSs after having recommended a book on data mining, or a compilation of musical tracks
- Recommend a bundle: Suggest a group of items that fits well together. For instance, a travel plan may be composed of various attractions, destinations, and accommodation services that are located in a delimited area. From the point of view of the user, these various alternatives can be considered and selected as a single travel destination

[kopi - peyst]

1.1.3 Applications

[kopi - peyst]

- Entertainment—recommendations for movies, music, games, and IPTV.
- Content—personalized newspapers, recommendation for documents, recommendations of webpages, e-learning applications, and e-mail filters.
- E-commerce—recommendations of products to buy such as books, cameras, PCs etc. for consumers.
- Services—recommendations of travel services, recommendation of experts for consultation, recommendation of houses to rent, or matchmaking services.
- Social—recommendation of people in social networks, and recommendations of content social media content such as tweets, Facebook feeds, LinkedIn updates, and others.

1.1.4 Objects

Data used by typical recommender systems refer to three types of objects [RRS15]: users, items and interactions.

[kopi - peyst] Items Items are the objects that are recommended. Items may be characterized by their complexity and their value or utility. The value of an item may be positive if the item is useful to the user, or negative if the item is not appropriate and the user made the wrong decision when selecting it. We note that when a user is acquiring an item, one will always incur in a cost which includes the cognitive cost of searching for the item and the real monetary cost eventually paid for the item.

Users Users of an RS, as mentioned above, may have very diverse goals and characteristics. In order to personalize the recommendations and the human- computer interaction, RSs exploit a range of information about the users. This information can be structured in various ways, and again, the selection of what information to model depends on the recommendation technique.

Transactions We generically refer to a transaction as a recorded interaction between a user and the RS. Transactions are log-like data that store important information generated during the human-computer interaction and which are useful for the recommendation generation algorithm that the system is using. For instance, a transaction log may contain a reference to the item selected by the user and a description of the context (e.g., the user goal/query) for that particular recommendation. If available, that transaction may also include explicit feedback that the user has provided, such as the rating for the selected item. Implicit, explicit

[kopi - peyst]

1.1.5 Types

[gelistir, buyut]

- Collaborative-Filtering: Recommendations based on how other users rated items. Similar users are identified and the items that they rated well are recommended. Has cold-start, sparsity and scalability issues. First research paper released in the mid-1990s, still used widely [8].
- Content-based filtering: The requirements for this approach are features and the ratings of the items by users. A classifier for users' 'profile' is built and similar items are recommended based on it. It has the problem of recommending only very similar results that the user already is aware of. It was first mentioned on an academic paper on 1998 [8].
- Knowledge-based filtering: This approach also requires features of the items and explicit description of what the user needs or wants. Then, items that match those needs are recommended. The advantage of this approach is the fact that the system doesn't need data from different users. Unfortunately, the suggestion ability is rather static [4]. Research about this topic was first released on 1999.
- Hybrid Recommender Systems: Since all of the methods have some drawbacks, applications have shifted to combining more than one of the previous approaches. Hybrid recommender systems are still widely used by big companies like Amazon [1], Spotify [2] and Netflix [3].

1.1.6 Evaluation Metrics

Evaluation metrics are bla

Offline Evaluation

- Accuracy
- Precision
- Recall

Online Evaluation

Off-line experiments can measure the quality of the chosen algorithm in fulfilling its recommendation task. However, such evaluation cannot provide any insight about the user satisfaction, acceptance or experience with the system. The algorithms might be very accurate in solving the core recommendation problem, i.e., predicting user ratings, but for some other reason the system may not be accepted by users, for example, because the performance of the system was not as expected. Therefore, a user-centric evaluation is also required. It can be performed online after the system has been launched, or as a focused user study. During on-line evaluation, real users interact with the system without being aware of the full nature of the experiment running in the background. It is possible to run various versions of the algorithms on different groups of users for comparison and analysis of the system logs in order to enhance system performance. In addition, most of the algorithms include parameters, such as weight thresholds, the number of neighbors, etc., requiring constant adjustment and calibration.

[kopi - peyst]

More information in research part

1.1.7 User Satisfaction

[proposaldan]

- The recommendation performance is mainly evaluated in terms of accuracy (i.e. difference between true and predicted rating).
- This might not be a desired goal of the talent/project recommendations (e.g. simply recommending a 'top-N' list of talents that best match the requirements might result in similar talents whose skills only vary in a small extent).
- Besides the desired diversity, there might be other properties necessary for adequate recommendations (e.g. privacy, data security, diversity, serendipity, labeling, and presentation, and group recommendation).

1.2 Interest in Recommender Systems

In recent years, the interest in recommender systems has dramatically increased, as the following facts indicate: 1. Recommender systems play an important role in highly-rated Internet sites such as Amazon.com, YouTube, Netflix, Spotify, LinkedIn, Facebook, Tripadvisor, Last.fm, and IMDb. Moreover many media companies are now developing and deploying RSs as part of the services they provide to their subscribers. For example, Netflix, the online provider of on-demand streaming media, awarded a million dollar prize to the team that first succeeded in substantially improving the

performance of its recommender system [31]. 2. There are conferences and workshops dedicated specifically to the field, namely the Association of Computing Machinery's (ACM) Conference Series on Recommender Systems (RecSys), established in 2007. This conference stands as the premier annual event in recommender technology research and applications. In addition, sessions dedicated to RSs are frequently included in more traditional conferences in the area of databases, information systems and adaptive systems. Additional noteworthy conferences within this scope include: ACM's Special Interest Group on Information Retrieval (SIGIR); User Modeling, Adaptation and Personalization (UMAP); Intelligent User Interfaces (IUI); World Wide Web (WWW); and ACM's Special Interest Group on Management Of Data (SIGMOD). 3. At institutions of higher education around the world, undergraduate and graduate courses are now dedicated entirely to RSs, tutorials on RSs are very popular at computer science conferences, and a book introducing RSs techniques has been published as well [27]. Springer is publishing several books on specific topics in recommender systems in its series: Springer Briefs in Electrical and Computer Engineering. A large, new collection of articles dedicated to recommender systems applications to software engineering has also recently been published [46]. 4. There have been several special issues in academic journals which cover research and developments in the RSs field. Among the journals that have dedicated issues to RSs are: AI Communications (2008); IEEE Intelligent Systems (2007); International Journal of Electronic Commerce (2006); International Journal of Computer Science and Applications (2006); ACM Transactions on Computer Human Interaction (2005); ACM Transactions on Information Systems (2004); User Modeling and User-Adapted Interaction (2014, 2012); ACM Transactions on Interactive Intelligent Systems (2013); and ACM Transactions on Intelligent Systems and Technology (2015). [RRS15]

1.3 Motivation

- Thus, the aim of this thesis is to investigate how these properties transfer to the talent/project matching (i.e. are they necessary or not) and how they can be algorithmically achieved.

[Also explain job recommender if we do that]

1.4 Solution

How I solve the problem

2 Review of Literature and Research

This chapter of the thesis aims to create a theoretical background of the topics that were demonstrated later in this thesis.

2.1 Types of Recommender Systems

Item recommendation approaches can be divided in two main categories: personalized and non-personalized. Among the personalized approaches are content-based and collaborative filtering methods, as well as hybrid techniques combining these two types of methods. The general principle of content-based methods is to identify the common characteristics of items that have received a favorable rating from a user, and then suggest to this user new items that share these characteristics. Recommender systems based only on content generally suffer from the problems of limited content analysis and over-specialization [SM95]. Limited content analysis occurs when the system has a limited amount of information on its users or the content of its items. This means the content of an item is often insufficient to determine its quality. Over-specialization, on the other hand, is the process of recommending similar items to other items that the user rated positively. Because of this, the system may fail to recommend items that are different but still interesting to the user [DK11].

Rather than looking for content information, collaborative filtering approaches use the rating information of other users and items in the system. The main idea is that the rating of a target user for a new item is similar to that of another user. Similarly, the target user probably evaluates two elements in a similar way, if other users have given similar ratings to these two elements. Collaborative approaches overcome some of the limitations of content-based methods. With collaborative filtering, the recommender system can still suggest items to users, even though the system doesn't know about the new user or item. Furthermore, collaborative recommendations are based on the ratings of items as evaluated by peers, instead of relying on content. Finally, unlike content-based systems, collaborative filtering ones can recommend items with very different content, as long as other users have already shown interest for these different items [DK11].

Finally, to overcome certain limitations of content-based and collaborative filtering methods, hybrid recommendation approaches combine characteristics of both types

of methods. Content-based and collaborative filtering methods can be combined in various ways, for instance, by merging their individual predictions into a single, more robust prediction, or by adding content information into a collaborative filtering model. Several studies have shown hybrid recommendation approaches to provide more accurate recommendations than pure content-based or collaborative methods, especially when few ratings are available, which is called the cold-start problem [DK11].

2.2 Types of input in recommender systems

Recommender systems can receive different types of input. The straightforward one would be the explicit feedback that represents the actual ratings that users give to the items. This could be a star rating or a thumbs up/down action. The second is the implicit feedback, which is harder to collect. It represents clicks, browsing history, mouse movements and other ways of input that the users don't send explicitly.

2.3 Collaborative Filtering

Collaborative filtering recommender system methods produce user specific recommendations of items based on patterns of ratings or hiring data without need for content information about either items or users [DK11].

Collorative filtering recommenders need the interactions between users and items. Using these information, these recommenders can establish recommendations with two different approaches; the neighborhood approach and latent factor models. Neighborhood methods focus on relationships between items and users and can function by checking the similarity between users or items. Latent factor models, such as matrix factorization transfer the user-item matrix into a different space. By doing this operation, it is possible to fill the gaps in the matrix, which correspond to the predictions.

[TODO maybe matrix image]

Since we haven't used collaborative filtering in the implementation part of this thesis, we keep this section short. Readers who are interested in this topic are advised to check the resources [KB15] and [WWY15].

2.4 Content-Based Filtering

Content-based recommender systems employ features of both items and users to build item and user profiles that recommend items that are similar to the other items that the target user liked in the past. The basic process of producing content-based

recommendations consists in matching up the attributes of the target user profile, in which preferences and interests are stored, with the attributes of the items. After this process, we acquire a relevancy score that is an indicator for user-item relevancy. Generally, attributes that describe an item are features that are extracted from the item description. The content extracted from metadata is often too short and not sufficient to correctly define the user interests, that's why textual features are also added [De +15].

2.4.1 Overview of Content-Based Recommender Systems

This section discloses an overview and the process of building a general content-based recommender system and the relevant techniques [De +15].

The high level architecture of a content-based recommender system is shown in 2.1. The recommendation process is performed in three steps, each of which is handled by a separate component:

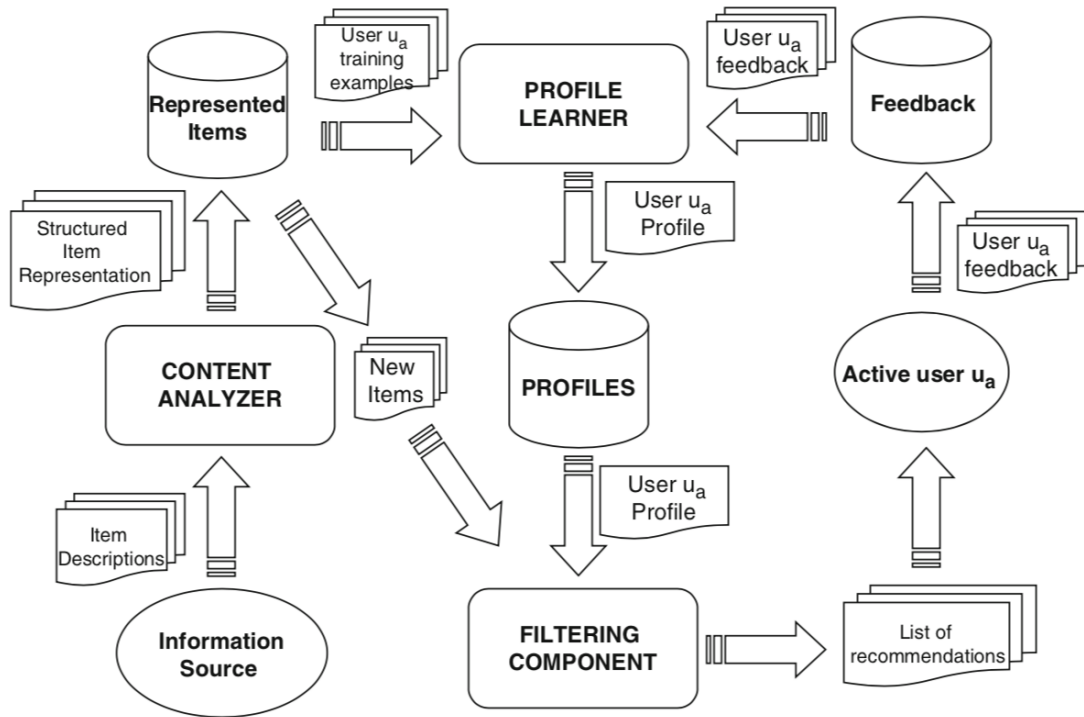


Figure 2.1: High level architecture of a content based recommender [De +15].

- **CONTENT ANALYZER**—The first part in the architecture is responsible for scraping or getting the data from a source and saving them in a structured representation as items. If the data is a view data on a website, a scraper is needed to download and save them. However, if the desired input is metadata, then more advanced methods are needed to conduct an analysis. The output of this step is the input for the *profile learner*.
- **PROFILE LEARNER**—The profile learner module gets the learning data and trains the model or creates the user profiles, which generalizes from the learning data. Using the generalization strategy, the profile learner creates a profile that improves on user's positive and negative interactions with the items. For the case of this thesis, we both create profiles for users and items(talents). The profile learner also takes the advantage on enhancing the models with the human feedback after running on production mode.
- **FILTERING COMPONENT**—The last part of the architecture is the filtering component. It takes the user and item profiles, runs an operation, which generates a relevancy score for each user-item pair. Lastly, the component returns a recommendation list. Generating the relevancy score is a different process for different kind of models.

All of these components are crucial to make a functioning content-based recommender system. As the system constantly waits for new items, the feedback process is critical and the retraining should happen regularly.

Advantages and Drawbacks of Content-Based Filtering

The advantages of content-based filtering against collaborative filtering are listed below [De +15]:

- **USER INDEPENDENCE**—Content-based recommenders only need user profile for the relevant user. However, collaborative filtering recommenders require user profiles of all the other users so that it can suggest items based on nearest neighbors principle.
- **TRANSPARENCY**—Since the user profiles contain clear feature vectors, it is easy to directly understand why an item is recommended to a user by looking at their feature vectors. Conversely, collaborative systems are black boxes since the only explanation for an item recommendation is that unknown users with similar tastes rated that item positively.

- **NEW ITEM**—When a new item is added to the database, content-based recommendation systems can directly inject it to the system, because the feature vector of the new item is known. However, collaborative recommenders should wait until a substantial number of users rate this new item, before the new item is recommended to anyone.

Nonetheless, content-based systems have drawbacks compared to collaborative filtering [De +15]:

- **LIMITED CONTENT ANALYSIS**—To run content-based recommenders, feature vectors for items and users are created. However, the process of limiting a content to feature vector loses information or some misunderstandings can happen. For example, a popular machine learning library has two names: *scikit-learn* and *sklearn* or a popular frontend library is sometimes written as *Vue* or *Vue.js* or another popular programming language is called *Python*, so is an animal and a TV show *Monty Python*. Therefore, it is sometimes hard to create a *perfect* feature vector of a content.
- **OVER-SPECIALIZATION** — Since the the content-based recommenders suggest items based on the past behaviour, they recommend items that are expected by the user. This drawback is also called lack of serendipity problem, which highlights the tendency of the content-based systems to produce recommendations with a limited degree of novelty. If a recruiter has only engaged with people who know Python, the recommender will also suggest people who know Python. A *perfect* content-based technique would rarely find anything novel, limiting the range of applications for which it would be useful.
- **NEW USER**—Although, it is easy to add new items to content-based recommender systems, it is not as easy to generate meaningful predictions for a new user. New users need to rate many items, before we can recommend some items to them. This means that the users need some history first, so that the content-based recommenders work.

[Maybe summary]

2.5 Knowledge-Based Recommender Systems

Knowledge-based recommenders are the third most popular type of recommender systems [Bur00]. In this type of recommender, users are explicitly asked to enter criteria for what kind of items they want to see. These information are compared with the

items and a recommendation list is returned. More information can be found in the resources [Bur00] and [Fel+15].

2.6 Recommender System Evaluation Properties

When recommender systems are evaluated, the relevant metrics should be chosen according to the needs. Some of the properties consist of trade-offs, accuracy declines when the diversity and other properties increase, or other properties can be directly proportional. The developers of the recommender systems should evaluate important properties using offline and online evaluation [SG11].

Although offline evaluation may be enough for properties like accuracy, user studies and online evaluation are required to draw reliable conclusions on properties like diversity. Such an online experiment/user study uses a recommendation method with a tunable parameter that affects the property being considered. Test subjects should be presented with the list of recommendations that are affected by diverse values for tunable parameters. whether the user noticed the change in the property shouldn't be measured, but whether the change in property has increased their satisfaction. Like other user studies, it is advantageous, when the participants don't know about the aim of the experiment. We can only measure properties like diversity with user study or online evaluation, because we need user response that gets affected by this parameter [SG11].

When the developers conduct experiments to select the relevant properties and evaluate their performance, the most suitable recommenders can be selected.

2.6.1 Notation

[kopi peyst kitap first chapters] [8.3.2 de daha detayli anlatiyor prediction accuracy konusunu sayfalarca, bunu onlarla birlestir ya da bunu sal belki de]

In order to give a formal definition of the item recommendation task, we introduce the following notation. The set of users in the recommender system will be denoted by U , and the set of items by I . Moreover, we denote by R the set of ratings recorded in the system, and write S the set of possible values for a rating (e.g., $S = [1..5]$ or $S = \text{like; dislike}$). Also, we suppose that no more than one rating can be made by any user $u \in U$ for a particular item $i \in I$ and write r_{ui} this rating. To identify the subset of users that have rated an item i , we use the notation U_i . Likewise, I_u represents the subset of items that have been rated by a user u . Finally, the items that have been rated by two users u and v , i.e. $I_u \cap I_v$, is an important concept in our presentation, and we use I_{uv} to denote this concept. In a similar fashion, U_{ij} is used to denote the set of users that have rated both items i and j .

Two of the most important problems associated with recommender systems are the rating prediction and top-N recommendation problems. The first problem is to predict the rating that a user u will give his or her unrated item i . When ratings are available, this task is most often defined as a regression or (multi-class) classification problem where the goal is to learn a function $f : U \times I \rightarrow S$ that predicts the rating $f(u, i)$ of a user u for a new item i . Accuracy is commonly used to evaluate the performance of the recommendation method. Typically, the ratings R are divided into a training set R_{train} used to learn f , and a test set R_{test} used to evaluate the prediction accuracy. Two popular measures of accuracy are the Mean Absolute Error (MAE):

$$MAE(f) = \frac{1}{|\mathcal{R}_{test}|} \sum_{r_{ui} \in \mathcal{R}_{test}} |f(u, i) - r_{ui}|$$

and the Root Mean Squared Error (RMSE):

$$RMSE(f) = \sqrt{\frac{1}{|\mathcal{R}_{test}|} \sum_{r_{ui} \in \mathcal{R}_{test}} (f(u, i) - r_{ui})^2}$$

When ratings are not available, for instance, if only the list of items purchased by each user is known, measuring the rating prediction accuracy is not possible. In such cases, the problem of finding the best item is usually transformed into the task of recommending to an active user u_a a list $L(u_a)$ containing N items likely to interest him or her [15, 59]. The quality of such method can be evaluated by splitting the items of I into a set I_{train} , used to learn L , and a test set I_{test} . Let $T(u) \in I_u \cap I_{test}$ be the subset of test items that a user u found relevant. If the user responses are binary, these can be the items that u has rated positively. Otherwise, if only a list of purchased or accessed items is given for each user u , then these items can be used as $T(u)$. The performance of the method is then computed using the measures of precision and recall:

$$Precision(L) = \frac{1}{|u|} \sum_{u \in \mathcal{U}} |L(u) \cap T(u)| / |L(u)|$$

$$Recall(L) = \frac{1}{|u|} \sum_{u \in \mathcal{U}} |L(u) \cap T(u)| / |T(u)|$$

A drawback of this task is that all items of a recommendation list $L.u/$ are considered equally interesting to user u . An alternative setting, described in [15], consists in learning a function L that maps each user u to a list $L.u/$ where items are ordered by their “interestingness” to u . If the test set is built by randomly selecting, for each user u , a single item i_u of I_u , the performance of L can be evaluated with the Average Reciprocal Hit-Rank (ARHR):

$$\text{ARHR}(L) = \frac{1}{|\mathcal{U}|} \sum_{u \in \mathcal{U}} \frac{1}{\text{rank}(i_u, L(u))}$$

where $\text{rank}(i_u ; L(u))$ is the rank of item i_u in $L(u)$. A more extensive description of evaluation measures for recommender systems can be found in Chap. 8 of this book.

Coverage

As the prediction accuracy of a recommender system, especially in collaborative filtering systems, in many cases grows with the amount of data, some algorithms may provide recommendations with high quality, but only for a small portion of the items where they have huge amounts of data. This is often referred to as the long tail or heavy tail problem, where the vast majority of the items were selected or rated only by a handful of users, yet the total amount of evidence over these unpopular items is much more than the evidence over the few popular items. The term coverage can refer to several distinct properties of the system that we discuss below.

[8.3.3 birkac sayfa var]

Confidence

[8.3.4]

Trust

While confidence is the system trust in its ratings (Chap.20), in trust we refer here to the user's trust in the system recommendation.⁴ For example, it may be beneficial for the system to recommend a few items that the user already knows and likes. This way, even though the user gains no value from this recommendation, she observes that the system provides reasonable recommendations, which may increase her trust in the system recommendations for unknown items. Another common way of enhancing trust in the system is to explain the recommendations that the system provides (Chap. 10). Trust in the system is also called the credibility of the system.

If we do not restrict ourselves to a single method of gaining trust, such as the one suggested above, the obvious method for evaluating user trust is by asking users whether the system recommendations are reasonable in a user study [5, 14, 26, 57]. In an online test one could associate the number of recommendations that were followed with the trust in the recommender, assuming that higher trust in the recommender would lead to more recommendations being used. Alternatively, we could also assume that trust in the system is correlated with repeated users, as users who trust the system will return to it when performing future tasks. However, such measurements may

not separate well other factors of user satisfaction, and may not be accurate. It is unclear how to measure trust in an offline experiment, because trust is built through an interaction between the system and a user.

Novelty

[8.3.6]

Serendipity

[8.3.7]

Diversity

Diversity is generally defined as the opposite of similarity (Chap. 26). In some cases suggesting a set of similar items may not be as useful for the user, because it may take longer to explore the range of items. Consider for example a recommendation for a vacation [68], where the system should recommend vacation packages. Presenting a list with five recommendations, all for the same location, varying only on the choice of hotel, or the selection of attraction, may not be as useful as suggesting five different locations. The user can view the various recommended locations and request more details on a subset of the locations that are appropriate to her.

The most explored method for measuring diversity uses item-item similarity, typically based on item content, as in Sect.8.3.7. Then, we could measure the diversity of a list based on the sum, average, min, or max distance between item pairs, or measure the value of adding each item to the recommendation list as the new item's diversity from the items already in the list [8, 80]. The item-item similarity measurement used in evaluation can be different from the similarity measurement used by the algorithm that computes the recommendation lists. For example, we can use for evaluation a costly metric that produces more accurate results than fast approximate methods that are more suitable for online computations.

As diversity may come at the expense of other properties, such as accuracy [78], we can compute curves to evaluate the decrease in accuracy vs. the increase in diversity.

Example 8.4. In a book recommendation application, we are interested in presenting the user with a diverse set of recommendations, with minimal impact to accuracy. We use $d_b; B/$ from Example 8.3 as the distance metric. Given candidate recommenders, each with a tunable parameter that controls the diversity of the recommendations, we train each algorithm over a range of values for the diversity parameters. For each trained model, we now compute a precision score, and a diversity score as follows; we take each recommendation list that an algorithm produces, and compute the distance

of each item from the rest of the list, averaging the result to obtain a diversity score. We now plot the precision-diversity curves of the recommenders in a graph, and select the algorithm with the dominating curve.

In recommenders that assist in information search, we can assume that more diverse recommendations will result in shorter search interactions [68]. We could use this in an online experiment measuring interaction sequence length as a proxy for diversification. As is always the case in online testing, shorter sessions may be due to other factors of the system, and to validate this claim it is useful to experiment with different diversity thresholds using the same prediction engine before comparing different recommenders.

Utility

Many e-commerce websites employ a recommender system in order to improve their revenue by, e.g., enhancing cross-sell. In such cases the recommendation engine can be judged by the revenue that it generates for the website [66]. In general, we can define various types of utility functions that the recommender tries to optimize. For such recommenders, measuring the utility, or the expected utility of the recommendations may be more significant than measuring the accuracy of recommendations. It is also possible to view many of the other properties, such as diversity or serendipity, as different types of utility functions, over single items or over lists. In this chapter, however, we define utility as the value that either the system or the user gains from a recommendation.

Utility can be measured cleanly from the perspective of the recommendation engine or the recommender system owner. Care must be taken, though, when measuring the utility that the user receives from the recommendations. First, user utilities or preferences are difficult to capture and model, and considerable research has focused on this problem [9, 25, 59]. Second, it is unclear how to aggregate user utilities across users for computing a score for a recommender. For example, it is tempting to use money as a utility thus selecting a recommender that minimizes user cost. However, under the diminishing returns assumption [69], the same amount of money does not have the same utility for people with different income levels. Therefore, the average cost per purchase, for example, is not a reasonable aggregation across users.

In an application where users rate items, it is also possible to use the ratings as a utility measurement [10]. For example, in movie ratings, where a five star movie is considered an excellent movie, we can assume that a recommending a five star movie has a higher utility for the user than recommending a movie that the user will rate with four stars. As users may interpret ratings differently, user ratings should be normalized before aggregating across users.

While we typically only assign positive utilities to successful recommendations, we

can also assign negative utilities to unsuccessful recommendations. For example, if some recommended item offends the user, then we should punish the system for recommending it by assigning a negative utility. We can also add a cost to each recommendation, perhaps based on the position of the recommended item in the list, and subtract it from the utility of the item.

For any utility function, the standard evaluation of the recommender is to compute the expected utility of a recommendation. In the case where the recommender is trying to predict only a single item, such as when we evaluate the system on time-based splits and try to predict only the next item in the sequence, the value of a correct recommendation should simply be the utility of the item. In the task where the recommender predicts n items we can use the sum of the utilities of the correct recommendations in the list. When negative utilities for failed recommendations are used, then the sum is over all recommendations, successful or failed. We can also integrate utilities into ranking measurements, as discussed in Sect. 8.3.2.3. Finally, we can normalize the resulting score using the maximal possible utility given the optimal recommendation list.

Evaluating utility in user studies and online is easy in the case of recommender utility. If the utility we optimize for is the revenue of the website, measuring the change in revenue between users of various recommenders is simple. When we try to optimize user utilities the online evaluation becomes harder, because users typically find it challenging to assign utilities to outcomes. In many cases, however, users can say whether they prefer one outcome to another. Therefore, we can try to elicit the user preferences [31] in order to rank the candidate methods.

Risk

8.3.10

Robustness

8.3.11

Privacy

8.3.12

Adaptivity

8.3.13

Scalability

8.3.14

2.6.2 Summary

In this chapter we discussed how recommendation algorithms could be evaluated in order to select the best algorithm from a set of candidates. This is an important step in the research attempt to find better algorithms, as well as in application design where a designer chooses an existing algorithm for their application. As such, many evaluation metrics have been used for algorithm selection in the past.

We describe the concerns that need to be addressed when designing offline and online experiments and user studies. We outline a few important measurements that one must take in addition to the score that the metric provides, as well as other considerations that should be taken into account when designing experiments for recommendation algorithms.

We specify a set of properties that are sometimes discussed as important for the recommender system. For each such property we suggest an experiment that can be used to rank recommenders with regards to that property. For less explored properties, we restrict ourselves to generic descriptions that could be applied to various manifestations of that property. Specific procedures that can be practically implemented can then be developed for the specific property manifestation based on our generic guidelines.

[If needed chapters 9 and 10 of handbook]

2.7 Novelty and Diversity

2.7.1 Introduction

[kopi peyst. handbook chapter 26] Accurately predicting the users' interests was the main direct or implicit drive of the recommender systems field in roughly the first decade and a half of the field's development. A wider perspective towards recommendation utility, including but beyond prediction accuracy, started to appear in the literature by the beginning of the 2000s [36, 70], taking views that began to realize the importance of novelty and diversity, among other properties, in the added value of recommendation [53, 90]. This realization grew progressively, reaching an upswing of activity by the turn of the past decade [1, 3, 20, 39, 75]. Today we might say that novelty and diversity are becoming an increasingly frequent part of evaluation practice. They are being included increasingly often among the reported

effectiveness metrics of new recommendation approaches, and are explicitly targeted by algorithmic innovations time and again. And it seems difficult to conceive progress in the recommender systems field without considering these dimensions and further developing our understanding thereof. Even though dealing with novelty and diversity remains an active area of research and development, considerable progress has been achieved in these years in terms of the development of enhancement techniques, evaluation metrics, methodologies, and theory, and we deem the area is therefore ripe for a broad overview as we undertake in this chapter.

In this chapter we analyze the different motivations, notions and perspectives under which novelty and diversity can be understood and defined (Sect.26.2). We revise the evaluation procedures and metrics which have been developed in this area (Sect. 26.3), as well as the algorithms and solutions to enhance novelty and/or diversity (Sect. 26.4). We analyze the relationship with the recent and prolific stream of work on diversity in Information Retrieval, as a confluent area with recommender systems, and discuss a unifying framework that aims to provide a common basis as comprehensive as possible to explain and interrelate different novelty and diversity perspectives (Sect. 26.5). We show some empirical results that illustrate the behavior of metrics and algorithms (Sect. 26.6), and close the chapter with a summary and discussion of the progress and perspectives in this area, and directions for future research (Sect. 26.7).

2.7.2 Novelty and Diversity in Recommender Systems

Novelty can be generally understood as the difference between present and past experience, whereas diversity relates to the internal differences within parts of an experience. The difference between the two concepts is subtle and close connections can in fact be established, depending on the point of view one may take, as we shall discuss. The general notions of novelty and diversity can be particularized in different ways. For instance, if a music streaming service recommends us a song we have never heard before, we would say this recommendation brings some novelty. Yet if the song is, say, a very canonical music type by some very well known singer, the involved novelty is considerably less than we would get if the author and style of the music were also original for us. We might also consider that the song is even more novel if, for instance, few of our friends know about it. On the other hand, a music recommendation is diverse if it includes songs of different styles rather than different songs of very similar styles, regardless of whether the songs are original or not for us. Novelty and diversity are thus to some extent complementary dimensions, though we shall seek and discuss in this chapter the relationships between them. The motivations for enhancing the novelty and diversity of recommendations are manifold, as are the different angles one may take when seeking these qualities. This is also the case in other fields outside

information systems, where novelty and diversity are recurrent topics as well, and considerable efforts have been devoted to casting clear definitions, equivalences and distinctions. We therefore start this chapter by overviewing the reasons for and the possible meanings of novelty and diversity in recommender systems, with a brief glance at related perspectives in other disciplines.

Why Novelty and Diversity in Recommendation

Bringing novelty and diversity into play as target properties of the desired outcome means taking a wider perspective on the recommendation problem concerned with final actual recommendation utility, rather than a single quality side such as accuracy [53]. Novelty and diversity are not the only dimensions of recommendation utility one should consider aside from accuracy (see e.g. Chap. 8 for a comprehensive survey), but they are fundamental ones. The motivations for enhancing novelty and diversity in recommendations are themselves diverse, and can be founded in the system, user and business perspectives.

From the system point of view, user actions as implicit evidence of user needs involve a great extent of uncertainty as to what the actual user preferences really are. User clicks and purchases are certainly driven by user interests, but identifying what exactly in an item attracted the user, and generalizing to other items, involves considerable ambiguity. On top of that, system observations are a very limited sample of user activity, whereby recommendation algorithms operate on significantly incomplete knowledge. Furthermore, user interests are complex, highly dynamic, context-dependent, heterogeneous and even contradictory. Predicting the user needs is therefore an inherently difficult task, unavoidably subject to a non-negligible error rate. Diversity can be a good strategy to cope with this uncertainty and optimize the chances that at least some item pleases the user, by widening the range of possible item types and characteristics at which recommendations aim, rather than bet for a too narrow and risky interpretation of user actions. For instance, a user who has rated the movie “Rango” with the highest value may like it because—in addition to more specific virtues—it is a cartoon, a western, or because it is a comedy. Given the uncertainty about which of the three characteristics may account for the user preference, recommending a movie of each genre generally pays off more than recommending, say three cartoons, as far as three hits do not necessarily bring three times the gain of one hit—e.g. the user might rent just one recommended movie anyway—whereas the loss involved in zero hits is considerably worse than achieving a single hit. From this viewpoint we might say that diversity is not necessarily an opposing goal to accuracy, but in fact a strategy to optimize the gain drawn from accuracy in matching true user needs in an uncertain environment.

On the other hand, from the user perspective, novelty and diversity are generally desirable per se, as a direct source of user satisfaction. Consumer behaviorists have long studied the natural variety-seeking drive in human behavior [51]. The explanation of this drive is commonly divided into direct and derived motivations. The former refer to the inherent satisfaction obtained from “novelty, unexpectedness, change and complexity” [50], and a genuine “desire for the unfamiliar, for alternation among the familiar, and for information” [64], linking to the existence of an ideal level of stimulation, dependent on the individual. Satiation and decreased satisfaction results from the repeated consumption of a product or product characteristic in a decreasing marginal value pattern [25]. As preferences towards discovered products are developed, consumer behavior converges towards a balance between alternating choices and favoring preferred products [16]. Derived motivations include the existence of multiple needs in people, multiple situations, or changes in people’s tastes [51]. Some authors also explain diversity-seeking as a strategy to cope with the uncertainty about one’s own future preference when one will actually consume the choices [44], as e.g. when we choose books and music for a trip. Moreover, novel and diverse recommendations enrich the user experience over time, helping expand the user’s horizon. It is in fact often the case that we approach a recommender system with the explicit intent of discovering something new, developing new interests, and learning. The potential problems of the lack of diversity which may result from too much personalization has recently come to the spotlight with the well-known debate on the so-called filter bubble [60]. This controversy adds to the motivation for reconciling personalization with a healthy degree of diversity.

Diversity and novelty also find motivation in the underlying businesses in which recommendation technologies are deployed. Customer satisfaction indirectly benefits the business in the form of increased activity, revenues, and customer loyalty. Beyond this, product diversification is a well-known strategy to mitigate risk and expand businesses [49]. Moreover, selling in the long tail is a strategy to draw profit from market niches by selling less of more and getting higher profit margins on cheaper products [9].

All the above general considerations can be of course superseded by particular characteristics of the specific domain, the situation, and the goal of the recommendations, for some of which novelty and diversity are indeed not always needed. For instance, getting a list of similar products (e.g. photo cameras) to one we are currently inspecting may help us refine our choice among a large set of very similar options. Recommendations can serve as a navigational aid in this type of situation. In other domains, it makes sense to consume the same or very similar items again and again, such as grocery shopping, clothes, etc. The added value of recommendation is probably more limited in such scenarios though, where other kinds of tools may solve our needs

(catalog browsers, shopping list assistants, search engines, etc.), and even in these cases we may appreciate some degree of variation in the mix every now and then.

Defining Novelty and Diversity

Novelty and diversity are different though related notions, and one finds a rich variety of angles and perspectives on these concepts in the recommender system literature, as well as other fields such as sociology, economy, or ecology. As pointed out at the beginning of this section, novelty generally refers, broadly, to the difference between present and past experience, whereas diversity relates to the internal differences within parts of an experience. Diversity generally applies to a set of items or “pieces”, and has to do with how different the items or pieces are with respect to each other. Variants have been defined by considering different pieces and sets of items. In the basic case, diversity is assessed in the set of items recommended to each user separately (and typically averaged over all users afterwards) [90]. But global diversity across sets of sets of items has also been considered, such as the recommendations delivered to all users [3, 4, 89], recommendations by different systems to the same user [11], or recommendations to a user by the same system over time [46].

The novelty of a set of items can be generally defined as a set function (average, minimum, maximum) on the novelty of the items it contains. We may therefore consider novelty as primarily a property of individual items. The novelty of a piece of information generally refers to how different it is with respect to “what has been previously seen” or experienced. This is related to novelty in that when a set is diverse, each item is “novel” with respect to the rest of the set. Moreover, a system that promotes novel results tends to generate global diversity over time in the user experience; and also enhances the global “diversity of sales” from the system perspective. Multiple variants of novelty arise by considering the fact that novelty is relative to a context of experience, as we shall discuss.

Different nuances have been considered in the concept of novelty. A simple definition of novelty can consist of the (binary) absence of an item in the context of reference (prior experience). We may use adjectives such as unknown or unseen for this notion of identity-based novelty [75]. Long tail notions of novelty are elaborations of this concept, as they are defined in terms of the number of users who would specifically know an item [20, 61, 89]. But we may also consider how different or similar an unseen item is with respect to known items, generally— but not necessarily—on a graded scale. Adjectives such as unexpected, surprising and unfamiliar have been used to refer to this variant of novelty. Unfamiliarity and identity novelty can be related by trivially defining similarity as equality, i.e. two items are “similar” if and only if they are the same item. Finally, the notion of serendipity is used to mean novelty plus a positive

emotional response—in other words, an item is serendipitous if it is novel—unknown or unfamiliar—and relevant [57, 88].

The present chapter is concerned with the diversity and novelty involved in recommendations, but one might also study the diversity (in tastes, behavior, demographics, etc.) of the end-user population, or the product stock, the sellers, or in general the environment in which recommenders operate. While some works in the field have addressed the diversity in user behavior [31, 72], we will mostly focus on those aspects a recommender system has a direct hold on, namely the properties of its own output.

Diversity in Other Fields

Diversity is a recurrent theme in several fields, such as sociology, psychology, economy, ecology, genetics or telecommunications. One can establish connections and analogies from some—though not all—of them to recommender systems, and some equivalences in certain metrics, as we will discuss.

Diversity is a common keyword in sociology referring to cultural, ethnic or demographic diversity [47]. Analogies to recommender system settings would apply to the user population, which is mainly a given to the system, and therefore not within our main focus here. In economy, diversity is extensively studied in relation to different issues such as the players in a market (diversity vs. oligopolies), the number of different industries in which a firm operates, the variety of products commercialized by a firm, or investment diversity as a means to mitigate the risk involved in the volatility of investment value [49]. Of all such concepts, product and portfolio diversity most closely relate to recommendation, as mentioned in Sect. 26.2.1, as a general risk-mitigating principle and/or business growth strategy.

Behaviorist psychology has also paid extensive attention to the human drive for novelty and diversity [51]. Such studies, especially the ones focusing on consumer behavior, provide formal support to the intuition that recommender system users may prefer to find some degree of variety and surprise in the recommendations they receive, as discussed in Sect. 26.2.1.

An extensive strand of literature is devoted to diversity in ecology as well, where researchers have worked to considerable depth on formalizing the problem, defining and comparing a wide array of diversity metrics, such as the number of species (richness), Gini-Simpson and related indices, or entropy [62]. Such developments connect to aggregate recommendation diversity perspectives that deal with sets of recommendations as a whole, as we shall discuss in Sects. 26.2.3 and 26.5.3.3.

Finally, the issue of diversity has also attracted a great deal of attention in the Information Retrieval (IR) field. A solid body of theory, metrics, evaluation methodologies and algorithms has been developed in this scope in the last decade [6, 17, 21, 22, 24, 67, 84],

including a dedicated search diversity task in four consecutive TREC editions starting in 2009 [23]. Search and recommendation are different problems, but have much in common: both tasks are about ranking a set of items to maximize the satisfaction of a user need, which may or may not have been expressed explicitly. It has in fact been found that the diversity theories and techniques in IR and recommender systems can be connected [77, 78], as we will discuss in Sect. 26.5.4. Given these connections, and the significant developments on diversity in IR, we find it relevant to include an overview of this work here, as we will do in Sects. 26.3 (metrics) and 26.4 (algorithms).

2.7.3 Novelty and Diversity Evaluation

The definitions discussed in the previous sections can only get a full, precise and practical meaning when one has given a specific definition of the metrics and methodologies by which novelty and diversity are to be measured and evaluated. We review next the approaches and metrics that have been developed to assess novelty and diversity, after which we will turn to the methods and algorithms proposed in the field to enhance them.

Notation

As is common in the literature, we will use the symbols i and j to denote items, u and v for users, I and U for the set of all items and users respectively. By I_u and U_i we shall denote, respectively, the set of all items u has interacted with, and the set of users who have interacted with i . In general we shall take the case where the interaction consists of rating assignment (i.e. at most one time per user-item pair), except where the distinction between single and multiple interaction makes a relevant difference (namely Sect. 26.5.2.1). We denote ratings assigned by users to items as $r(u, i)$, and use the notation $r(u, i) = \emptyset$ to indicate missing ratings, as in [5]. We shall use R to denote a recommendation to some user, and R_u whenever we wish or need to explicitly indicate the target user u to whom R is delivered—in other words, R will be a shorthand for R_u . By default, the definition of a metric will be given on a single recommendation for a specific target user. For notational simplicity, we omit as understood that the metric should be averaged over all users. Certain global metrics (such as aggregate diversity, defined in Sect. 26.3.5) are the exception to this rule: they directly take in the recommendations to all users in their definition, and they therefore do not require averaging. In some cases where a metric is the average of a certain named function (e.g. IUF for inverse user frequency, SI for self-information) on the items it contains, we will compose the name of the metric by prepending an “M” for “mean” (e.g. MIUF, MSI) in order to distinguish it from the item-level function.

Average Intra-List Distance

Perhaps the most frequently considered diversity metric and the first to be proposed in the area is the so-called average intra-list distance—or just intra-list diversity, ILD (e.g. [70, 85, 90]). The intra-list diversity of a set of recommended items is defined as the average pairwise distance of the items in the set:

$$\text{ILD} = \frac{1}{|R|(|R| - 1)} \sum_{i \in R} \sum_{j \in R} d(i, j)$$

The computation of ILD requires defining a distance measure $d(i, j)$, which is thus a configurable element of the metric. Given the profuse work on the development of similarity functions in the recommender systems field, it is common, handy and sensible to define the distance as the complement of well-understood similarity measures, but nothing prevents the consideration of other particular options. The distance between items is generally a function of item features [90], though the distance in terms of interaction patterns by users has also been considered sometimes [79].

The ILD scheme in the context of recommendation was first suggested, as far as we are aware of, by Smyth and McClave [70], and has been used in numerous subsequent works (e.g. [75, 79, 85, 90]). Some authors have defined this dimension by its equivalent complement intra-list similarity ILS [90], which has the same relation to ILD as the distance function has to similarity, e.g. $\text{ILD} = 1 - \text{ILS}$ if $d = 1 - \text{sim}$.

Global Long-Tail Novelty

[26.3.3]

User-Specific Unexpectedness

[26.3.4]

Inter-Recommendation Diversity Metrics

[26.3.5]

Specific Methodologies

As an alternative to the definition of special-purpose metrics, some authors have evaluated the novelty or diversity of recommendations by accuracy metrics on a diversity-oriented experimental design. For instance, Hurley and Zhang [39] evaluate the diversity of a system by its ability to produce accurate recommendations of difficult

items, “difficult” meaning unusual or infrequent for a user’s typical observed habits. Specifically, a data splitting procedure is set up by which the test ratings are selected among a ratio of the top most different items rated by each user, “different” being measured as the average distance of the item to all other items in the user profile. The precision of recommendations in such a setting thus reflects the ability of the system to produce good recommendations made up of novel items. A similar idea is to select the test ratings among cold, non-popular long tail items. For instance, Zhou et al. [89] evaluate accuracy on the set of items with less than a given number of ratings. Shani and Gunawardana also discuss this idea in Chap. 8.

Diversity vs. Novelty vs. Serendipity

[26.3.7]

2.7.4 Novelty and Diversity Enhancement Approaches

Methods to enhance the novelty and diversity of recommendations are reviewed in this section. It is noteworthy that research in this area has accelerated over the last number of years. The work can be categorized into methods that re-rank an initial list to enhance the diversity/novelty of the top items; methods based on clustering; hybrid or fusion methods; and methods that consider diversity in the context of optimization of learning to rank objectives.

Result Diversification/Re-ranking

[26.4.1, onemli]

Using Clustering for Diversification

A method proposed in [86] clusters the items in an active user’s profile, in order to group similar items together. Then, rather than recommend a set of items that are similar to the entire user profile, each cluster is treated separately and a set of items most similar to the items in each cluster is retrieved.

A different approach is presented in [14], where the candidate set is again clustered. The goal now is to identify and recommend a set of representative items, one for each cluster, so that the average distance of each item to its representative is minimized.

A nearest-neighbor algorithm is proposed in [48] that uses multi-dimensional clustering to cluster items in an attribute space and select clusters of items as candidates to recommend to the active user. This method is shown to improve aggregate diversity.

A graph-based recommendation approach is described in [69] where the recommendation problem is formulated as a cost flow problem over a graph whose nodes are the users and items of the recommendation. Weights in the graph are computed by a biclustering of the user-item matrix using non-negative matrix factorization. This method can be tuned to increase the diversity of the resulting set, or increase the probability of recommending long-tail items.

Fusion-Based Methods

Since the early days of recommender systems, researchers have been aware that no single recommendation algorithm will work best in all scenarios. Hybrid systems have been studied to offset the strengths of one algorithm against the weaknesses of another (see [68] for example). It may be expected that the combined outputs of multiple recommendation algorithms that have different selection mechanisms, may also exhibit greater diversity than a single algorithm. For example, in [66, 79], recommendation is treated as a multi-objective optimization problem. The outputs of multiple recommendation algorithms that differ in their levels of accuracy, diversity and novelty are ensemble using evolutionary algorithms. As another example, in a music recommendation system called Auralist [88], a basic item-based recommender system is combined with two additional algorithms, in order to promote serendipity (see section below).

Learning to Rank with Diversity

In the last few years, there is an increasing interest in learning to rank algorithms for recommender systems. These algorithms directly optimize an objective related to the ranking rather than forming the ranking in a post-processing step from a set of predicted ratings. To date, most such techniques do not take into account the dependencies between the items in the ranking. However, a small number of works have appeared in the literature that optimize a combined objective of ranking accuracy and set diversity. In [71], the concept of diversity is integrated into a matrix factorization model, in order to directly recommend item sets that are both relevant and diversified. A matrix factorization model is again used in [40] to optimize a ranking objective that is explicitly modified to account for the diversity of the ranking.

Other Approaches

A nearest neighbor algorithm called usage-context based collaborative filtering (UCBCF) is presented in [58], which differs from standard item-based CF in the calculation of item-item similarities. Rather than the standard item representation as a vector of

user ratings, an item profile is represented as a vector of the k other items with which the item significantly co-occurs in user profiles. UCBCF is shown to obtain greater aggregate diversity than standard kNN and Matrix Factorization algorithms. A system described in [8] maps items into a utility space and maps a user's preferences to a preferred utility vector. In order to make a diverse recommendation, the utility space is split into m layers in increasing distance from the preferred utility and non-dominated items are chosen from each layer so as to maximize one dimension of the utility vector.

The works discussed so far have considered diversity in terms of the dissimilarity of items in a single recommendation set, or, in the case of aggregate diversity, the coverage of items in a batch of recommendations. Another approach is to consider diversity in the context of the behavior of the system over time. Temporal diversity (Eq.(26.7) in Sect.26.3.4) is investigated by Lathia et al. [46] in a number of standard CF algorithms, and methods for increasing diversity through re-ranking or hybrid fusion are discussed. In a related vein, Mourao et al. [56] explore the "oblivion problem", that is, the possibility that in a dynamic system, items can be forgotten over time in such a way that they recover some degree of the original novelty value they had when they were discovered.

User Studies

It is one thing to develop algorithms to diversify top k lists, but what impact do these algorithms have on user satisfaction? A number of user studies have explored the impact of diversification on users. Topic diversification is evaluated in [90] by carrying out a user survey to assess user satisfaction with a diversified recommendation. In the case of their item-based algorithm, they find that satisfaction peaks around a relevance/diversity determined by $\lambda = 0.6$ in Eq. (26.9) suggesting that users like a certain degree of diversification in their lists.

While much of the work in diversifying top k lists does not consider the ordering of items in the recommended list, provided an overall relevance is attained, Ge et al. [32, 33] look at how this ordering affects the user's perception of diversity. In a user study, they experiment with placing diverse items—ones with low similarity to the other items in the list—either in a block or dispersed throughout the list and found that blocking the items in the middle of the list reduces perceived diversity.

The work of Hu and Pu [37] addresses user-interface issues related to augmenting users' perception of diversity. In a user study that tracks eye movements, they find that an organizational interface where items are grouped into categories is better than a list interface in supporting perception of diversity. In [19], 250 users are surveyed and presented with 5 recommendation approaches, with varying degrees of diversity. They find that users perceive diversity and that it improves their satisfaction but that diverse

recommendations may require additional explanations to users who cannot link them back to their preferences.

Diversification Approaches in Information Retrieval

[26.4.8, eger kullanirsan]

2.7.5 Unified View

As the overview through this chapter shows, a wide variety of metrics and perspectives have been developed around the same concepts under different variants and angles. It is natural to wonder whether it is possible to relate them together under a common ground or theory, establishing equivalences, and identifying fundamental differences. We summarize next a formal foundation for defining, explaining, relating and generalizing many different state of the art metrics, and defining new ones. We also examine the connections between diversity as researched and developed in the Information Retrieval field, and the corresponding work in recommender systems. [26.5, information retrieval'daki diverisity yi recommendation diversity'y bagliyor, kullanirsan kullan]

2.7.6 Empirical Metric Comparison

[26.6, Kendileri deney yapmislar ve sonuclari metriclere gore karsilastirmislar, kullanilabilir en azindan tablo]

2.7.7 Conclusion

The consensus is clear in the community on the importance of novelty and diversity as fundamental qualities of recommendations, and it seems difficult to make progress in the field without considering these dimensions. Considerable progress has been achieved in the area in defining novelty and diversity from several points of view, devising methodologies and metrics to evaluate them, and developing different methods to enhance them. This chapter aims to provide a wide overview on the work so far, as well as a unifying perspective linking them together as developments from a few basic common root principles.

It is our perception that work in this area is far from being finished. There is still room for further understanding the role of novelty and diversity, as well as theoretical, methodological and algorithmic developments around them. For instance, modeling feature-based novelty in probabilistic terms in order to unify discovery and familiarity models would be an interesting line for future work.

Aspects such as the time dimension, along which items may recover part of their novelty value [43, 56], or the variability among users regarding their degree of novelty-seeking trend, are examples of issues that require further research. Last but not least, user studies would bring considerable light as to whether the described metrics match the actual user perception, as well as the precise extent and conditions in which users appreciate novelty and diversity versus accuracy and other potential dimensions of recommendation effectiveness.

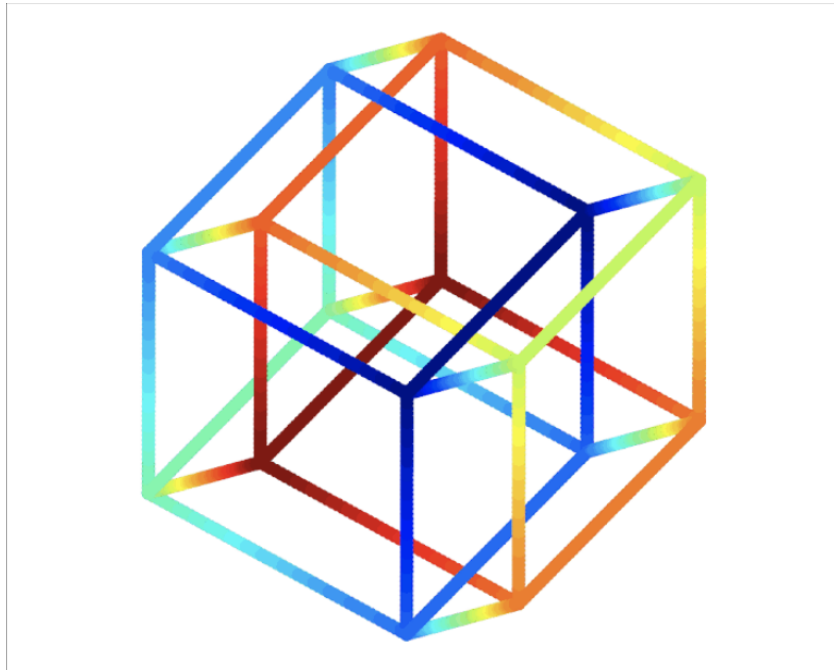


Figure 2.2: 2D representation of a 4D cube. The colors indicate the depth in fourth dimension [LV07].

[TODO: burke2002hybrid -> tablolar]

3 Implementation

This chapter explains different solutions for the problem at hand. These solutions include applications using different datasets and different methods.

As we mentioned in the Introduction part [See section 1.3], the implementation of this thesis focuses on recommending the best talents to projects. While serving this aim, we use different datasets and different methods.

The methods used can be categorized as individual and group recommenders. Individual recommenders have the aim of suggesting only one person to a project. As oppose to that, group recommenders combine multiple subprojects as a super project and recommend various talents to this super project. Another differentiation of these recommenders is the type of learning algorithms. Both group recommenders and the individual recommenders are implemented via supervised and unsupervised learning approaches. The supervised learning approach trains neural networks with the help of ground-truth labels. On the other hand, the unsupervised approach employs training just with the feature vectors [SA13]. Detailed information about these methods can be found in the upcoming sections.

3.1 Datasets

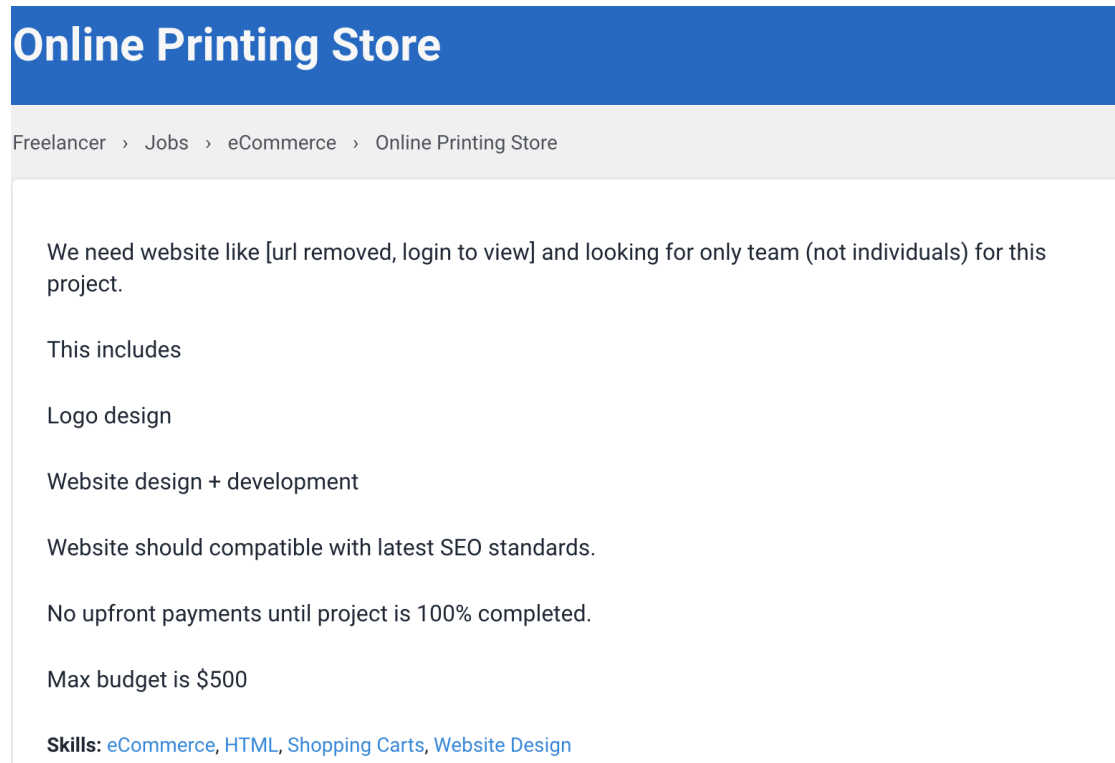
The author of the thesis received two separate but similar datasets before starting the thesis. Both of the datasets contain information about projects and people. The company dataset [See subsection 3.1.2] is the internal database of the company Motius and includes skill vectors of 795 people and 375 roles. These roles come together and form projects, which is not the case in freelancer dataset. In freelancer dataset, we have projects as opposed to positions in the company dataset. However, we treat the projects in the freelancer data and the roles in the company data the same. The reason for that is that we want to combine both data and we also want to compare them.

A huge difference is a fact that the Freelancer dataset is much bigger and detailed compared to the company dataset. The freelancer dataset contains 30606 roles that are comparable to the positions in the company dataset. It has 32922 unique talents and 463536 bids by talents to the projects that represent the project-talent pairs.

Another significant contrast between the two datasets is the distribution of their positive and negative labels. Freelancer data carries approximately 14-15 applicants per

projects, and only one of the applicants get selected as the person to implement the project. Differently, the company dataset includes multiple talents that advance to the next steps of the interviews. Therefore, we marked all of these talents that got invited with a positive label, and we marked the rest with negative tags. We will give detailed information about both datasets in the upcoming subsections.

3.1.1 Freelancer Dataset



The screenshot shows a project listing on the Freelancer website. At the top is a blue header with the title 'Online Printing Store'. Below this is a breadcrumb trail: 'Freelancer > Jobs > eCommerce > Online Printing Store'. The main content area contains the following text:

We need website like [url removed, login to view] and looking for only team (not individuals) for this project.

This includes

- Logo design
- Website design + development

Website should compatible with latest SEO standards.

No upfront payments until project is 100% completed.

Max budget is \$500

Skills: [eCommerce](#), [HTML](#), [Shopping Carts](#), [Website Design](#)

Figure 3.1: An example project from the Freelancer Website

As you can see in the figure 3.1, a typical freelancer project posting consists of a title, the description and the relevant skills. For simplicity, the thesis at hand only concentrates on the skills and doesn't take the project description into account. This would be topic of another paper/thesis, as it would require natural language processing and other techniques [BKL09].

The figure 3.2 shows the bidders of the same project as above. The first one of the bidders has won the bidding race, which is decided by the creator of the project. The bidders include information such as a motivation text, the demanded monetary amount,

3 Implementation

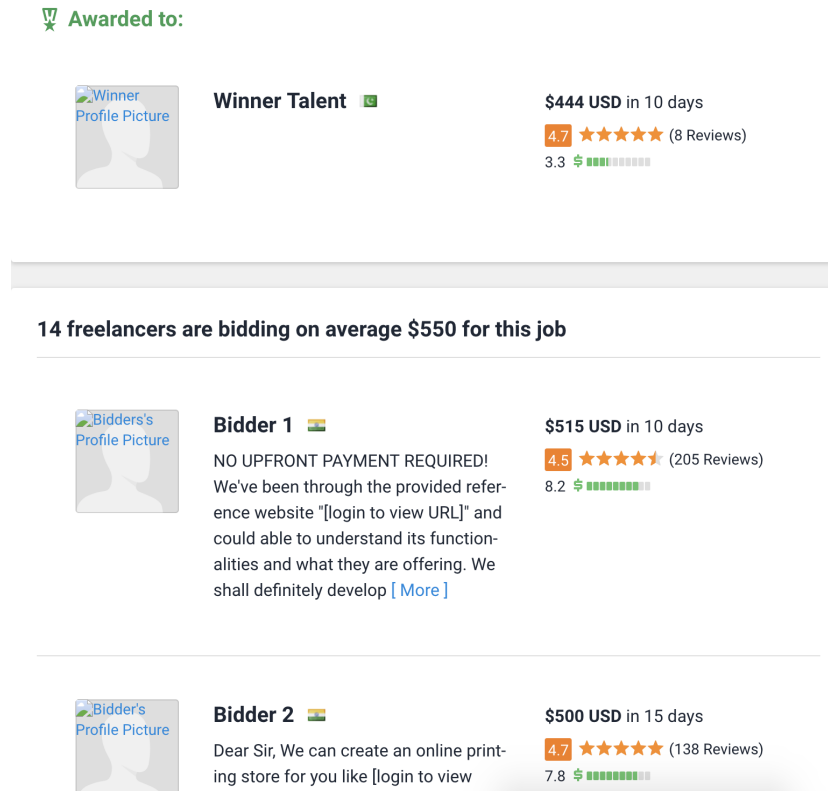


Figure 3.2: The winner and other bidders to the same project

their star rating until the time of bidding, amount of reviews they received and their total earnings until that date. In this thesis, we only consider the money they demand, their star rating and the number of reviews. For the sake of simplicity, we don't use the motivation text.

Each bidder lists their skills on their profile page, and the employers may check their profiles before hiring talents. The figure depicts the top skills of an arbitrary talent, which are listed in descending order. The number near each skill shows how many related projects the talent completed. That's why the amounts can range from one to more than hundreds.

The dataset encloses 941 unique skills, which are both technical and non-technical. However, the author of the thesis chose to limit these skills to 780, since some of them weren't used in notable amounts and the data can be expressed without using those skills. [TODO see dimensionality reduction add to research]

This dataset was scraped from freelancer.com by Philip Offtermatt, who also took part in this very project.

My Top Skills	
PHP	17
Website Design	13
HTML	13
Graphic Design	11
Javascript	3
Mobile App Development	2
WordPress	2
CSS	2
Script Install	1
Web Scraping	1

Figure 3.3: The list of tops skills by a talent on Freelancer web page

3.1.2 Company Dataset

The company dataset at hand is acquired from the sponsor of this thesis, which is Motius GmbH. This dataset exported from their internal database and contains information of 795 people and 375 roles. Each role includes the required skills for them, and each person has their skills listed. One difference to the freelancer dataset would be that the talents also include skills that are associated with their original skill set. In theory, this is called association rules, and the skills that are mostly used together are considered to have a correlation score of 1. Because of these correlations, each talent has many skills listed, some of them highly correlated and others are not correlated at all.

The amount of unique skills in the company data equals to 1768. Nonetheless, more than 85% of the skills are used rarely, so the author reduced the unique set of skills to 202. Both of the datasets combined, 923 unique skills were given at least five times. A problem we have with the datasets is the naming. Since both Freelancer and Motius have used different names for skills, there are exists only a set of 59 common skills. Therefore, training both datasets together doesn't improve model like it's expected[TODO: evaluation neural network 10 000 data training, etc.].

When all of freelancer and company dataset are put together in their raw form, the matrix that contains all talent and project data reaches the size of 8 GB. Such a significant memory usage created a big problem for the author of the thesis. Since we only had available physical memory of 16 GB, working with an 8 GB matrix wasn't possible. When an operation like normalization is being done, the library *Pandas* applies many copying operations, which doubles the memory usage and crashes. That's why the author employed embeddings as a dimensionality reduction mechanism[See 3.3.2].

3.2 Unsupervised Individual Recommender

3.2.1 Recommendation by Similarity

As it was mentioned before, the unsupervised learning techniques focus on learning without the use of labels. Therefore, in the context of this thesis, we find similarities between projects and talents by using their feature vectors.

$$\cos(x, y) = \frac{(x \bullet y)}{\|x\| \|y\|} \quad (3.1)$$

The similarity measure we use for this part of the thesis is the cosine similarity [Ama+11]. The formula for the cosine similarity is shown above. The inputs x and y in the equation can correspond to a project-talent or a talent-talent pair. The types of input data are document vectors of an n -dimensional space, and the formula calculates the similarity as the cosine of the angle between two vectors. The recipe first calculates the dot product of the vectors and then divides it by the multiplication of the normal vectors.

To get the most important talents for the project, we calculate the cosine similarity between a selected project and every other talent. Then the algorithm sorts the people by similarity and returns *top n* bidders.

3.2.2 Recommendation by Popularity

Another unsupervised recommendation mechanism that is used as a baseline is the popularity recommender. The popularity recommender is hard to beat algorithm, that increases user satisfaction. [TODO add pages 394 and 395 to research section(from book)]. The logic comes from the fact that the *items* that are in demand approved by many *people*. That's why it's likely that selecting these items will increase user satisfaction [AB15].

For this specific project, the popular items that are in demand are the people that finished the maximum amount of projects at Freelancer or Motius. Although the results

won't be personal, recommending the same successful talents is a helpful strategy to acquire proven talents. The proof of this approach is shown in subsection 4.1.3.

3.2.3 Hybrid Recommendation

As a last submethod of unsupervised individual recommenders, we can name the hybrid recommender. Hybrid methods are also called as *ensemble learning* methods. This technique combines the results from multiple processes and outputs a new result [BCJ15]. For our use case, the author implemented different versions that combine the similarity recommender and the popularity recommender. We can merge both of the recommenders by adding or multiplying the results. It is also possible to give different weights to these sources.

3.3 Supervised Individual Recommender

Supervised learning means creating a model that learns with the help of labels. In our project, the author conceptualized labels as 0 or 1. 1 is for the case of the person got accepted for the project at freelancer.com or Motius. 0 is for the case that the person got rejected. The models try to predict if the talent should be hired for the project or not(1 or 0).

For this task, we use two different versions; one version that takes all the skills as-is, the other one creates embeddings[TODO: embeddings in research chapter]. Both of the methods employ neural networks[TODO: neural networks in the research chapter].

3.3.1 Using Sparse Input

	.net	2d animation	360- degree video	3d animation	3d design	3d model maker	3d modelling	3d printing	3d rendering	3ds max	...	xero	xml	xmpp	xslt	yii	youtube	zbrush	zen cart	zend
user_url																				
Talent 1	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0
Talent 2	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0
Talent 3	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0
Talent 4	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0
Talent 5	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0
Talent 6	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0
Talent 7	0	0	0	0	0	0	0	0	0	0	...	0	1	0	0	0	0	0	0	0
Talent 8	0	0	0	1	0	0	1	0	1	0	...	0	0	0	0	0	0	0	0	0
Talent 9	0	0	0	1	0	0	1	0	1	1	...	0	0	0	0	0	0	0	0	0
Talent 10	0	0	0	0	0	0	0	0	0	0	...	0	0	0	0	0	0	0	0	0

10 rows × 780 columns

Figure 3.4: The talent skill matrix from freelancer.com

The first option that comes to mind is using the data as it is and training the model with them. The format of the talent data is shown in figure 3.4 and the projects skills matrix also have the same form with project names as keys.

$$z = (x - u)/s \quad (3.2)$$

According to experts [SS97], it is crucial to normalize input data before training neural networks. It has two significant benefits; it reduces the estimation errors, and it cuts down the training time. That's why we normalize all of the inputs using *StandardScaler* module of *scikit-learn*. It uses equation 3.2 to scale the data. In the equation, u is the mean and s is the standard deviation.

As it was mentioned before [See section 3.1], the freelancer.com dataset accommodates some extra information like experience level, star rating, number of reviews and hourly rate. These extra information of 10 example talents are shown in the figure 3.5. Since this information doesn't exist in Motius dataset, this subsection focuses only on the implementation with freelancer datafset. The extra information that is mentioned is also scaled and input into the neural network.

After normalizing data, we prepare the matrix that is fed into the neural network row by row. For each bid in the freelancer data, we create a vector of length 1565. Seven hundred eighty of these values correspond to talent skills, the next 780 correspond to project skills, 4 of them are the extra information that is mentioned above and the last of them is for the outcome. The outcome is 1 for the case that the person received the project and 0 for the example that the person didn't receive the project.

	experience_level	star_rating	number_of_reviews	hourly_rate
bidder_url				
Talent 1	5	4.8	385	12
Talent 2	17	4.9	162	25
Talent 3	17	5.0	5	15
Talent 4	6	4.9	116	30
Talent 5	6	0.0	0	2
Talent 6	6	0.0	0	3
Talent 7	6	5.0	24	40
Talent 8	6	5.0	2	5
Talent 9	6	5.0	16	20
Talent 10	3	5.0	67	20

Figure 3.5: The talent extra information matrix from freelancer.com

As one would expect, the model tries to guess if the person should be employed or not. Out of the 321225 data points in total, we use 60% for training, 20% for test and the rest for validation. We split the data into those sets randomly using *train_test_split* function of *scikit-learn*. An important parameter not to miss is *stratify*; since our dataset has 6% positive and 94% negative samples, we need to make sure that this ratio also remains in the sets. Not using this feature could result in the model always predicting the same negative results. Doing that means that the model would learn the same result, no matter what [SP15].

After splitting the data, we can start with training. There also exists some important features that we need to use; these optional features all have different objectives, but they all serve to improve the results. These features are all supported by the packages *Keras* and *TensorFlow*, which are open-source neural network libraries. Keras is an abstraction layer for TensorFlow that lets the users train neural networks with a minimal number of lines [Cho18]. While fitting the model with training data, Keras gives the option to add callbacks. The callbacks that we adopt are *EarlyStopping*, *ModelCheckpoint*, *ReduceLROnPlateau* and *TensorBoard*. As the name suggests, early stopping [TODO: add neural networks to research part; also validation loss, overfitting, graph vs] serves to prevent overfitting. In our case, it compares the validation loss of current batch with the previous one. If the validation loss doesn't drop for ten times, the training stops. The next callback model checkpoint is used complementary to early stopping. Model checkpoint saves the model weights of the batch with the minimum validation loss. After the training is complete, we load those model weights that achieved the best accuracy. ReduceLROnPlateau reduces learning rate when the validation loss has stopped improving. Lastly, TensorBoard is a visualization tool for TensorFlow. It produces model visions and graphs that show the evolution of the accuracy, loss and learning rate.

When we are training the model, we should also set the training weights for both labels manually. The dataset encompasses 6% positive and 94% negative samples, so we need to penalize the errors according to this rate. After training, the class weights are ignored and not used in testing/predicting.

The figure 3.6 depicts the model that is used to predict if a talent should be employed or not. The direction of the graph starts at the bottom of the image and goes up. Like it was mentioned before, the model expects three feature vectors. These vectors are defined as the skill vectors of the project, the skill vector of the talent and extra information of the talent(e.g., hourly rate, total experience).

For each of the inputs, a dense layer exists with the number of neurons equal to the number of features. Making this decision means that project and talent layers contain 780 neurons and profile information layer contains four neurons. For these layers, we use *relu* activation, *l1* regularization with the value *0.0001* and we initialize the

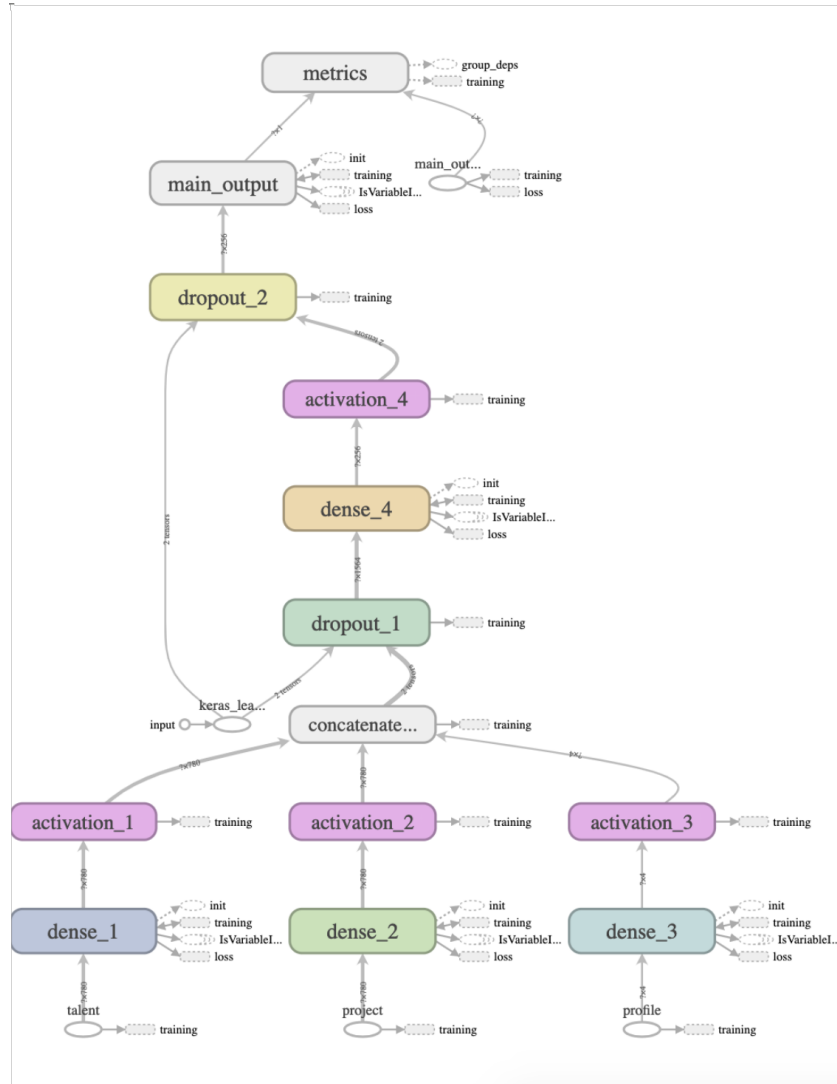


Figure 3.6: The graph that explains the sparse input model

weights with *he normal*. Detailed knowledge about activation, regularization, and weight initialization can be found in the chapter 2[TODO: add activation, regularization, and weight init to research chapter].

After the activation functions, all layers get concatenated horizontally. Concatenation layer is followed by a dropout layer with half of the neurons are disabled randomly[TODO: add dropout to neural networks section of chapter 2]. Next one in the model is a dense layer with 256 nodes, which possesses the same activation

function, regularization and weight initialization methods as the previous dense layers. The model accommodates the last dropout layer and ends with the main output. The output is only a one node layer and involves a *sigmoid* activation function that squeezes the output value to be between 0 and 1[TODO: research -> nn -> activation functions -> sigmoid]. The weight initializer of the last layer is *glorot uniform*. [TODO: research -> nn -> weight initializers -> glorot uniform].

$$C(w, b) \equiv \frac{1}{2n} \sum_x \|y(x) - a\|^2 \quad (3.3)$$

Each neural network has the aim of minimizing its cost function [GBC16]. The cost function that we chose is *mean squared error*[See Equation 3.3]. This example of the cost function is used mostly for regression tasks and calculates the mean squared difference of the actual value and the predicted output value. The metric we use is accuracy, and more information about it can be found in chapter 4.

3.3.2 Using Embeddings

High-dimensional spaces and distributions prove to be unexpected and completely differ from low-dimensional spaces. The empty space phenomenon and other ones are examples of the *curse of dimensionality*. With the help of embeddings layers, we can represent high-dimensional data in low-dimensions [LV07].

Although deep neural networks can avoid the curse of dimensionality [Pog+17], we still need to use embeddings layers for spatial reasons. In the previous sections, we mentioned that there are 780 unique skills for freelancer data and 923 skills if we also add Motius data. Having all of this data means that the data has 923 dimensions and we know that the information is sparse, most of the data matrices consist of zeroes so that we can reduce the dimensionality.

[TODO explain embeddings in research. also explain embeddings math. instead]

Preprocessing

For both Freelancer and Motius data, we know the skill levels of projects and talents for various skills. Instead of having some positive and hundreds of zero skill values for each project/talent, we can set a skill threshold. This threshold implies skills above or equals to the limit are positive, and the rest are zero. The idea is converting the talent-skill and project-skill matrices so that, each talent/skill holds a list of skills they know/require. However, another constraint that needs to be addressed is the maximum length of the padded skill matrix, because neural networks require a fixed input shape. Therefore, the talent/project maximum amount of positive skills is determined. For

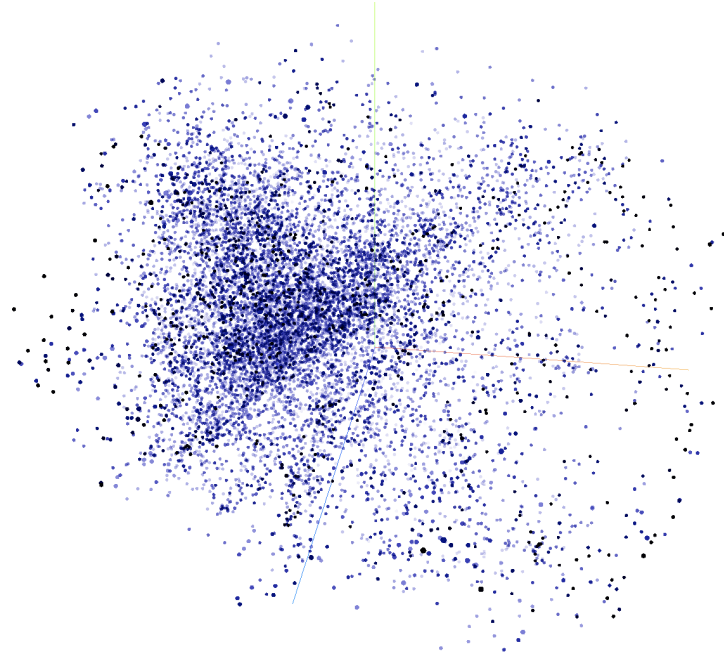


Figure 3.7: 3D version of the embedding space that is created for projects of this thesis

Freelancer data, this is 18, which means all skills vectors are padded with zeroes to have the length of 18.

In the case of Motius data, the topic is more complicated. The subsection 3.1.2 explained how the correlation mechanism of the company data works. To describe it briefly, Motius stores user skills and other skills that are correlated for each user. Including this data affects that there exist many skills for each user, but most of these skill levels are low. Here the highest skill level would be 2, and the smallest would be 0.

The effect of different threshold values on Motius data is shown on Figure 3.8. Without any threshold, there is a couple of Motius projects with the maximum skill length of 21. Projects don't specify skill levels, so these are taken as they are. That's why we also wanted to have a similar maximum length for Motius talents. When there is no threshold, there are 780 Motius talents with at least one skill value, but the maximum skill vector length is 132. We wouldn't want to implement this version because the maximum range of 132 will create millions of zeroes in the dataset, which we tried to avoid in the first place. Setting the threshold to a high value (like 1 or more) is also not optimal since it limits the maximum skill vector length to 9 and number of Motius talents to 269. Having such a high value would decrease the amount of information we have significantly because the Freelancer data also has a maximum

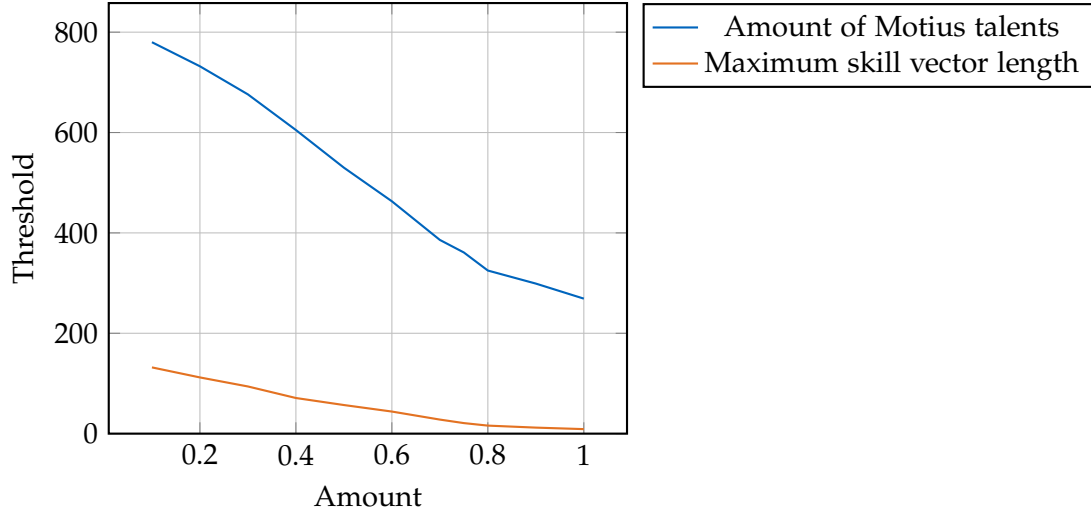


Figure 3.8: Effect of threshold selection on talents and maximum skill vector length

length of 18. Therefore, the optimum threshold value we reached is 0.75. Doing this limits the number of Motius talents to 361 and limits the maximum skill vector length to 21, just like the project with the most skills.

Figure 3.9 depicts the training data with full skill matrix. The columns with the numbers in range 0 to 20 are the indices of the talent skills and the columns with the names 21 to 41 are project skills indices. The version of the image is the one with the Motius and Freelancer data combined. In the variant with only Freelancer data, we have skill vector lengths of 18 and the extra information of talents included.

	project_url	bidder_url	outcome	0	1	2	3	4	5	6	...	32	33	34	35	36	37	38	39	40	41
0	a.i. & software engineer	Talent 1	0.0	69.0	0.0	0.0	0.0	0.0	0.0	0.0	...	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
1	a.i. & software engineer	Talent 2	0.0	577.0	778.0	0.0	0.0	0.0	0.0	0.0	...	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
2	a.i. & software engineer	Talent 3	0.0	350.0	396.0	487.0	0.0	0.0	0.0	0.0	...	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
3	a.i. & software engineer	Talent 4	0.0	69.0	0.0	0.0	0.0	0.0	0.0	0.0	...	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
4	a.i. & software engineer	Talent 5	0.0	222.0	460.0	776.0	0.0	0.0	0.0	0.0	...	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Figure 3.9: Training data that contains padded embedding skill vectors

Simpler Architecture for Company Dataset

The Freelancer dataset has a huge advantage over Motius data, which is the extra information that we know about the applicants. When we use both datasets together to train a model, we can limit the inputs to talent and project skills.

Figure 7.1 illustrates the simplified version of the *Python* code that constructs the model to predict the hiring result. The *features* parameter of the model building function corresponds to the length of the padded skill vector and the next parameter *dimensions* represents the total amount of unique skills. Embedding layers in Keras expect the arguments *input dimension*, *output dimension*, *input length* and the optional flag *mask zero*. As embeddings only accept positive integers, input dimension should be the size of the vocabulary, which is the number of total skills in the recommender system. The value of the output dimension can be decided by the developer and explains the size of the desired output dimension. Although no scientific document states an ideal output dimension, the trial-and-error method showed that the best result is achieved with the fourth root of the number of dimensions. The additions of one in multiple places in the code are due to using the mask zero operation. Zeros in rows get filtered out, which increases the performance and speeds up the training process. The cost function that we use is *binary_crossentropy* because we want to optimize the process of hiring or not hiring talent to the project. [TODO: research -> cost functions -> binary_crossentropy]. Lastly, *sigmoid* activation function squeezes the output value to be between 0 and 1[TODO: research -> nn -> activation functions -> sigmoid].

3.4 Unsupervised Group Recommender

In this section, we explain the process of recommending multiple talents to supergroups. The methods that are used for this part are derivations of the ones that are used in individual recommenders. Therefore, the basic concepts that are employed before also apply here.

To perform group recommendations, project-role or project-project information are needed. The website [freelancer.com](https://www.freelancer.com) shows other projects from the same supervisor, which can be combined. Then these projects will form a super project and projects can be treated as roles of a more significant project. For Motius data, we already possess this information as project-role data. Roles of Motius correspond to the projects in the Freelancer data. In terms of simplicity and shortness, we only take Freelancer dataset with groups of size five into account.

3.4.1 Baseline

The basic approach to unsupervised group recommendations would be calculating the cosine similarity between each project and talents. Then pick the best talents and listing them. However, the results won't be diverse, and we can pick talents that have similar skills to each other. We want to avoid that [TODO add different evaluations to both

theory and their results to the evaluation part!] and have diverse recommendations for each project.

3.4.2 Diverse

Because of the reasons above, we want to create the recommendation list in a diverse way from the beginning. The topic of diversity is already explained before[TODO: research -> diversity enhancement] and the pseudocode to enhance diversity is shown below.

```

 $R \leftarrow \emptyset$ 
while  $|R| < k$  :
     $i^* \leftarrow \arg \max_{i \in C-R} g(R \cup \{i\}, \lambda)$ 
     $R \leftarrow R \cup \{i^*\}$ 
end while
return  $R$ 

```

(3.4)

In the algorithm above, we first create an empty recommendation list R and set a recommendation length k . In our example, we only consider the groups with project amount of 5, so k is five as well. After that, we find the optimal candidate that hasn't been selected yet, is relevant to the project at hand and is also diverse to the other selected candidates. Finding the optimal candidate can be tuned with the help of Equation 3.5. The λ parameter in the equation can be optimized to value the relevancy or diversity more; λ of 1 means to only consider variety, 0 factors to consider relevancy and 0.5 gives the balanced result. When we receive the optimal candidate from the equation, we add them to the recommendation list and iterate until we have enough talents for the whole group.

$$g(R, \lambda) = (1 - \lambda) \frac{1}{|R|} \sum_{i \in R} f_{rel}(i) + \lambda div(R) \quad (3.5)$$

When we compare the diversity of the baseline approach to the diverse group recommendation, it is obvious that the diversity of talents recommended has increased. The evaluation algorithm for diversity and other relevant measures can be found in chapter 4. [TODO group-rec evaluation]

3.5 Supervised Group Recommender

The previous section was about performing group recommendations with unsupervised learning. This section will do the same job using a supervised learning model that we used in section 3.3.

Equation 3.5 includes a f_{rel} , which is a relevancy score and a diversity rate that can be computed via cosine similarity, neural networks or other methods. In contrast to the unsupervised method, we calculate the relevancy score using the neural network that we used in section 3.3.

What we do in the individual supervised learning part is, training all parameters jointly, which is called end-to-end learning. This ideal was also the first aim for supervised group recommender approach. However, the data for such knowledge doesn't exist. To apply it, we would need data of hiring decisions for the groups not just projects. Since we don't have such information, we would have to generate it with a separate algorithm. In the end, it wouldn't bring much, because the model would learn the data generation algorithm and wouldn't have an effect on the real-life hiring prediction.

Due to the reason above, step by step learning process practiced. The first step of the process is training the model to optimize the individual hiring of talents. Then, we predict the relevancy score for each project-talent pair. For diversity score, we use the cosine similarity between the talents. After set those functions, the algorithm 3.4 is applied.

In the end, this method increases diversity according to the evaluation methods that are listed in chapter 4.

3.6 Group Recommendation using clustering

Clustering is the process of dividing data into different groups. To perform different multi-project recommendations, we can pick talents from clusters. Therefore, we can be sure that they are dissimilar.

Since k-means clustering can suffer from the curse of dimensionality [SEK04] [TODO research: the curse of dim, clustering ve k-means, PCA ekle], To prevent it, it is logical to reduce the dimensionality first. The choice of the author to reduce dimensionality is *Principal Component Analysis*.

The central idea of principal component analysis (PCA) is to reduce the dimensionality of a data set consisting of a large number of interrelated variables, while retaining as much as possible of the variation present in the data set. This idea is achieved by transforming to a new set of variables, the principal components (PCs), which are uncorrelated, and which are ordered so that the first few retain most of the variation present in all of the original variables [Jol11].

To determine the number of PCs, we can check the explained variance ratio for the different amount of PCs. Figure 3.10 shows how much variance information we lose if we set the number of components to a specific value. Here, it makes sense to note that

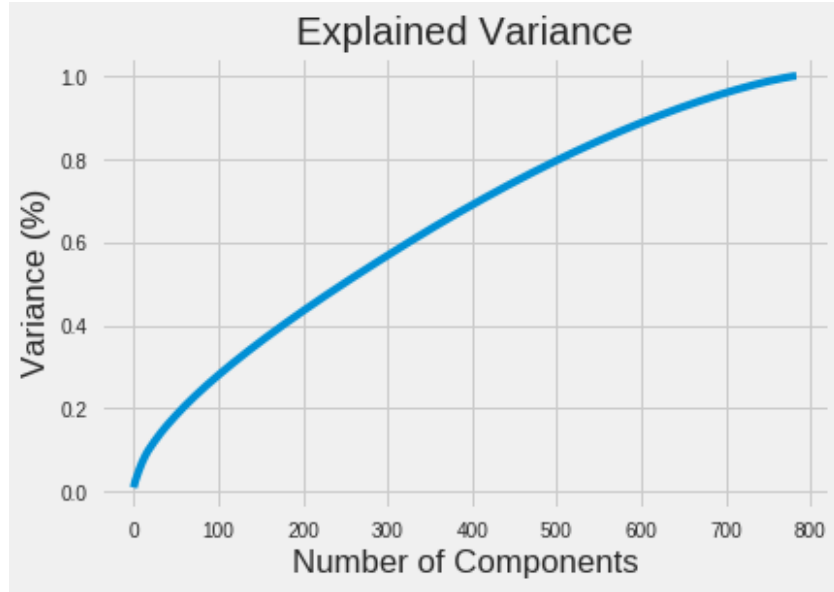


Figure 3.10: Explained variance ratio for difference number of PCs is shown.

a higher number will affect the clustering model negatively and a lower number won't be able to capture everything in the dataset. The author of the thesis experimented with different values.

$$a(i) = \frac{1}{|C_i| - 1} \sum_{j \in C_i, i \neq j} d(i, j) \quad (3.6)$$

$$b(i) = \min_{i \neq j} \frac{1}{|C_j|} \sum_{j \in C_j} d(i, j) \quad (3.7)$$

$$s(i) = \frac{b(i) - a(i)}{\max\{a(i), b(i)\}} \quad (3.8)$$

K-means clustering requires a k value that determines the number of clusters the model is going to create. The ideal number of clusters can be verified by calculating the silhouette scores for a different number of clusters. The figure 3.11 shows silhouette scores for different cluster amounts. Silhouette score calculates how similar a data point to its cluster compared to other clusters [Rou87]. For this task, we use the equation in the equations 3.6, 3.7, 3.8. In the equations, different distance metrics can be employed. The choice of the author is euclidian distance[TODO: distance metrics research]. The equation 3.6 calculates mean intra-cluster distance for each sample and the next 3.7 computes mean nearest-cluster distance for each sample, which means the distance

between a sample and the nearest cluster that the sample is not a part of. The last function 3.8 converts the results of the first two equations into silhouette coefficients. The mean of all silhouette coefficients from every sample gives the silhouette score for that k value. Higher silhouette scores suggest that the samples well matched to its cluster and poorly matched to neighboring clusters. In the example of 3.11, it makes sense to select a value like 30. To visualize results, we project the centers of the clusters on a 2D space[See 3.12]. The X-axis of the graph is the maximum value in each cluster center coordinate, and the Y-axis of the graph is the maximum value in each cluster center coordinate.

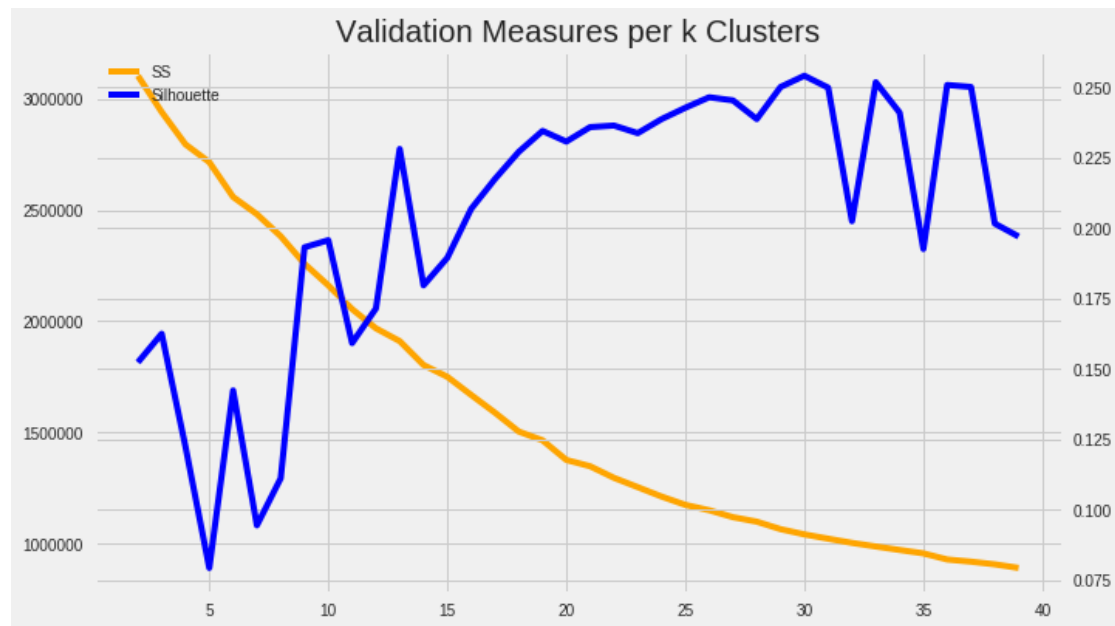


Figure 3.11: Silhouette scores of many different k values of k-means

Next, we benefit from another function of the PCA; *inverse_transform*. Inverse transform takes the cluster centers as an input and converts them to full talent values. This conversion promises that we treat each cluster center like talent and transform their values to skill values and extra information. In end, we possess an average skill vector for every cluster [See figure 3.13]. The figure contains some part of the skill vectors of the first three average talents. For example the cluster(segment) 0 in the figure has exceptional *Adobe Illustrator* skills. Segment 1, on the other hand, is an all-rounder. Lastly, segment 3 is a *c#* developer. It must be noted these values are calculated after standard scaling[TODO research -> standard scaling].

After we exercise clustering, we can start with the group recommendation process.

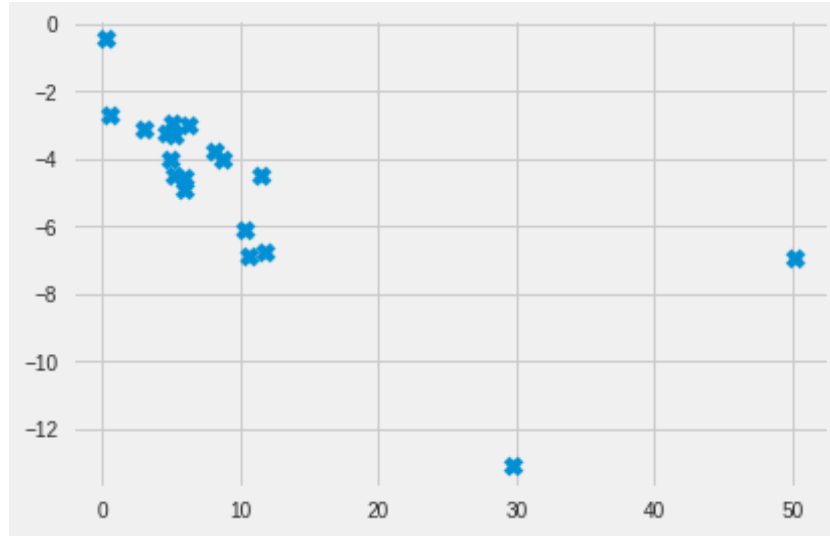


Figure 3.12: Centers of clusters that are projected on a 2D space

The recommendation can be operated both supervised[See 3.5] or unsupervised[See figure 3.4]. The same principles apply, and we calculate the relevancy score with cosine similarity or neural networks. In contrast to the other methods, the algorithm computes the relevancy score of the project and average cluster skills [See figure 3.13]. This way, we determine the ideal cluster for the project. When a project got recommended a talent from a specific cluster, that cluster is excluded from the next projects in the group. Therefore, diversity in a group is guaranteed. After the selection of the optimal cluster, the best candidate in that cluster is chosen via neural networks or cosine similarity.

	.NET	2D Animation	360-degree video	3D Animation	3D Design	3D Model Maker	3D Modelling	3D Printing	3D Rendering	3ds Max	...	iPhone	jQuery / Prototype	node.js	phpMyAdmin
Segment 0	-0.212465	-0.004102	-0.019304	-0.095342	0.063749	-0.031560	-0.123809	-0.053663	-0.089939	-0.127223	...	-0.225476	-0.279852	-0.131371	-0.027035
Segment 1	-0.081847	-0.055546	-0.015600	-0.196805	-0.166759	-0.046560	-0.244780	-0.051745	-0.240299	-0.162773	...	-0.077620	0.500239	0.035455	0.019867
Segment 2	2.467993	-0.061241	-0.038406	-0.222762	-0.197445	-0.049275	-0.256107	-0.066796	-0.251231	-0.177760	...	-0.119369	0.142341	-0.028433	0.005529

Figure 3.13: Examples of some centers of clusters that are projected on a 2D space

3.7 Dashboard to show data and enter Feedback

3.7.1 General Dashboard

Another big part of the thesis is the dashboard that was built for various purposes; these purposes are showing individual unsupervised, supervised and hybrid recommendations for Motius and Freelancer datasets, showing group recommendations using unsupervised, supervised and hybrid methods and allowing to enter feedback, that has direct and indirect effects on the results.

The dashboard adopts the front-end that is programmed with *Vue.js* and a back-end that employs *Flask*[TODO: research -> frontend, backend, docker]. *Vue.js* is a front-end development framework that can be programmed with JavaScript. *Flask* is a back-end development framework that can be called with Python. The reason to use *Vue.js* is because of subjective reasons; it a reactive, modern framework that is easy to develop [You18]. *Flask* is, on the other hand, is chosen, because it is a popular light-weight Python framework [Gri18]. Since the rest of the machine learning training/prediction was done on Python, the author seized the opportunity to reuse/adapt the same codebase.

Docker is a container virtualization technology, which like a very lightweight virtual machine. Adding Docker to our software stack gives the advantage of portability. This is important for various reasons; first of all the operating system choice of the author is *MacOS* but most of the servers run different flavors of *Linux*. All of the different operating systems have different installation methods, different pre-installed libraries, and different dependencies. Docker solves this problem by standardizing the building and running operations of virtual machines. This way, the author was able to run everything on own computer and can be sure that it will also run perfectly on the servers of Motius if they choose to implement the solution on their internal system. [And15].

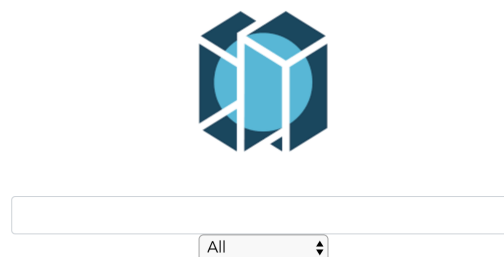


Figure 3.14: Main screen of the dashboard

The main screen of the dashboard is shown in figure 3.14 and it contains a search box and a dropdown. When users type anything on the search box, the front-end sends a *get request*[TODO: research -> get, post requests]. As the back-end receives the request, it checks the database for the text that is given by the user. Then, the back-end returns relevant projects as a list.

The dropdown on that screen has three options; *All*, *Motius* and *Group*. The *all* mode searches the input text in all projects database that includes Freelancer and Motius projects. As the name suggests, *Motius* mode only returns the company projects, and the *group* mode returns group numbers, which are a combination of various projects[See figure 3.15].

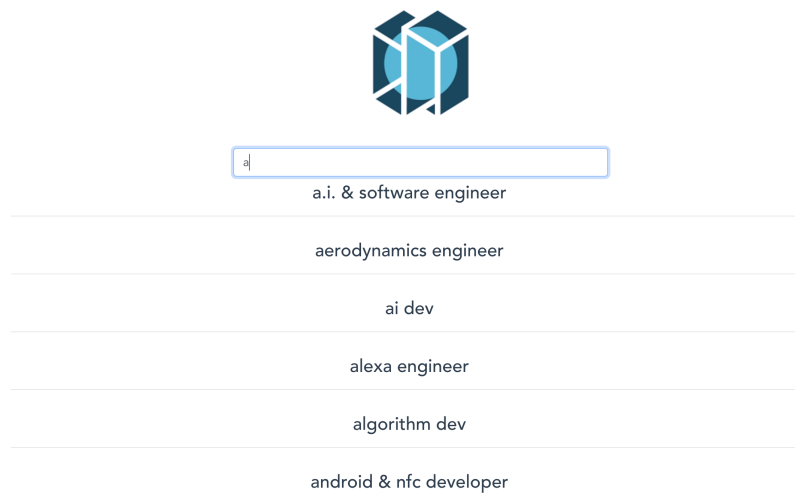


Figure 3.15: A snippet from the list of all projects that start with the letter *a*

3.7.2 Individual Recommendations

When users click on any of the projects that are listed in figure 3.15, they receive recommendations with respective scores. For the case of individual recommenders, these scores can be from the neural network model, cosine similarity or hybrid.

The back-end of these different recommenders is all explained in the previous subsections. The figure 3.16 shows individual recommendations for an artificial intelligence project. There is a dropdown that has choices such as *neural networks*, *cosine similarity* and *hybrid*. Baseline neural networks give individual recommendations that come from the machine learning model. Cosine similarity method checks the angle between talent and project vectors. Lastly, hybrid mode combines neural networks and unsupervised

similarity to come up with new predictions.

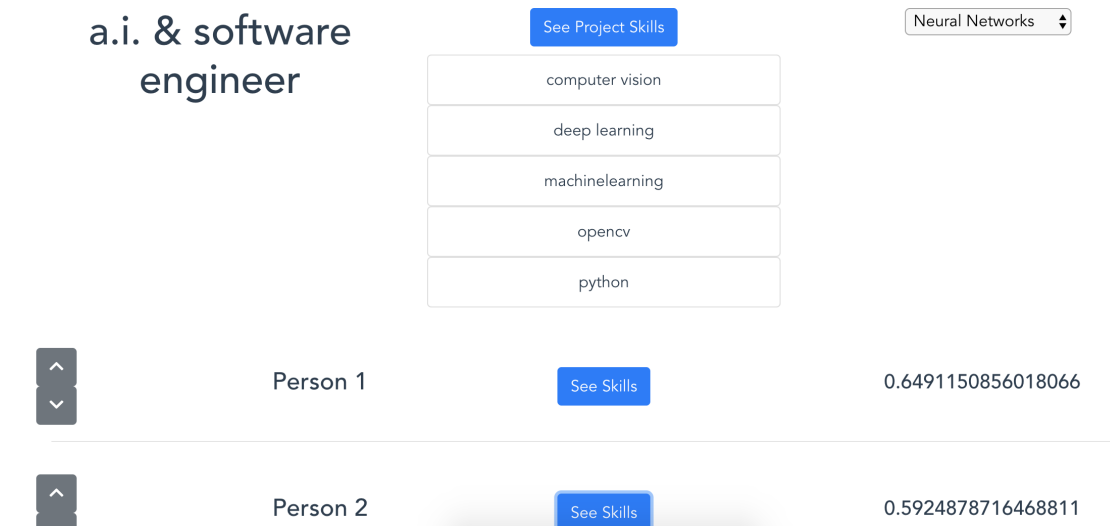


Figure 3.16: A screenshot from the list of all recommendations from neural networks for the project *a.i. & software engineer*

Figure 3.16 shows the results for the project *a.i. & software engineer* on the dashboard that is built by the author. Names of the people that were recommended are anonymized, but the rest of the data are real-life. The example project at hand requires the skills *computer vision*, *deep learning*, *machinelearning*, *opencv* and *python*. Although the skills of the person one is not shown (because that person retains 21 skills, which are too long for the figure), person 1 is not the talent with the most overlapping skills. Instead, person 1 is a talent that was recommended the most by the Motius internal recommender. Next, person 2 knows no skills that the project requires. This lack of knowledge aligns with the fact that it is hard to conclude the neural networks and they are mostly referred to as *black-boxes* [BCR97] [ref TODO evaluation].

In contrast to the figure 3.16, figure 3.17 demonstrates the adequate talents for the same project as before. However, people that are listed are different. As it was explained in 3.2.3, hybrid practice multiplies the results of from the neural networks and skill vector similarity. This way, the results that are recorded, always have some common skills. In the example, these skills are *computer vision* and *machine learning*.

3.7.3 Group Recommendations

The dashboard also can perform group recommendations. For the case of group recommenders, the scores can be from baseline neural networks, baseline cosine

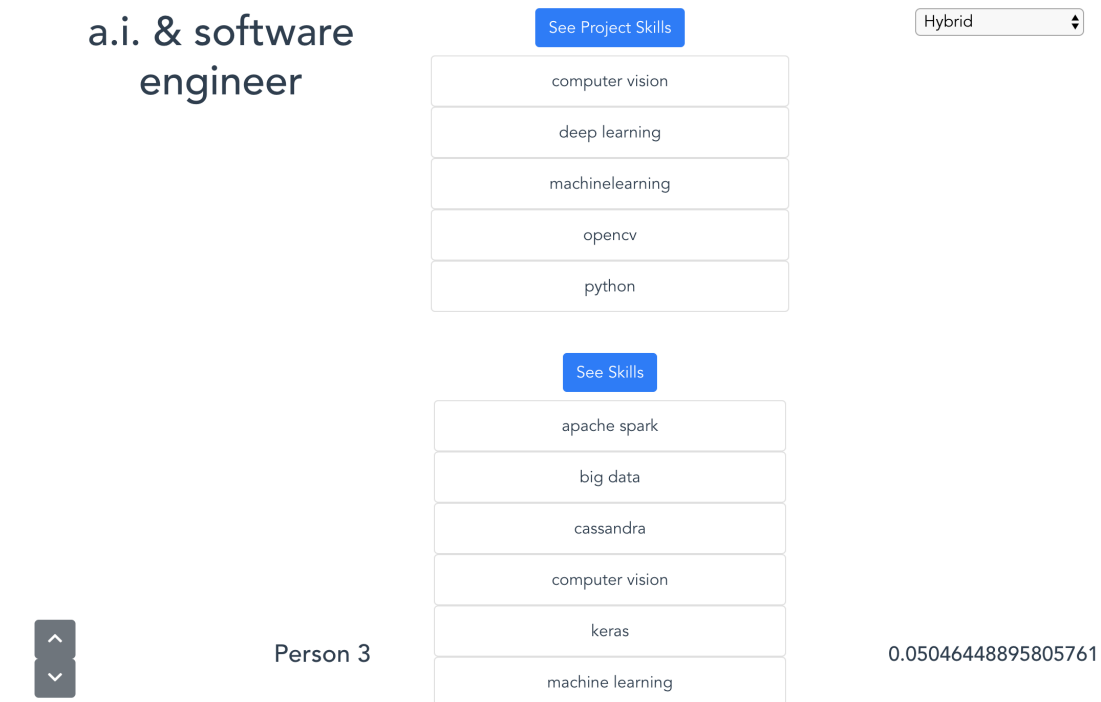


Figure 3.17: A screenshot from the list of all recommendations from neural networks for the project *a.i. & software engineer*

similarity, diverse neural networks or diverse cosine similarity. [TODO: in evaluation-> show screenshots of diverse nn, nn, constant 0 and constant 1 for comparison.]

Baseline cosine similarity, reveal the list of best talents for each project using skill vector similarity and baseline neural networks do the same with neural networks. Diverse cosine similarity and diverse neural networks operate, but they include the likeness of talents for each project to other talents in other projects in the same group.

Figure 3.18 shows the recommendation results for a real-life group with anonymized names. On the top-right part of the figure, a dropdown can be seen. This dropdown reads *Diverse Similarity*. Other options in the dropdown are *neural networks*, *similarity* and *diverse neural networks*. Meaning of these options is already explained in this section. This selection option makes sure that the chosen talents for each project have similar skills and diverse to each other. Between the group name and recommendation mode, a slider is located. This slider determines the constant for the diversity enhancement formula [See 3.5]. This diversity constant can be tuned to value the relevancy or diversity more; a value of 1 means to only consider diversity, 0 means to only consider relevancy and 0.5 gives the balanced result. There are also options to check project and

talent skills. Last but not least, the dashboard provides the option to rate all talents positively or negatively. This part of the thesis is explained in the next section [See section 3.8].

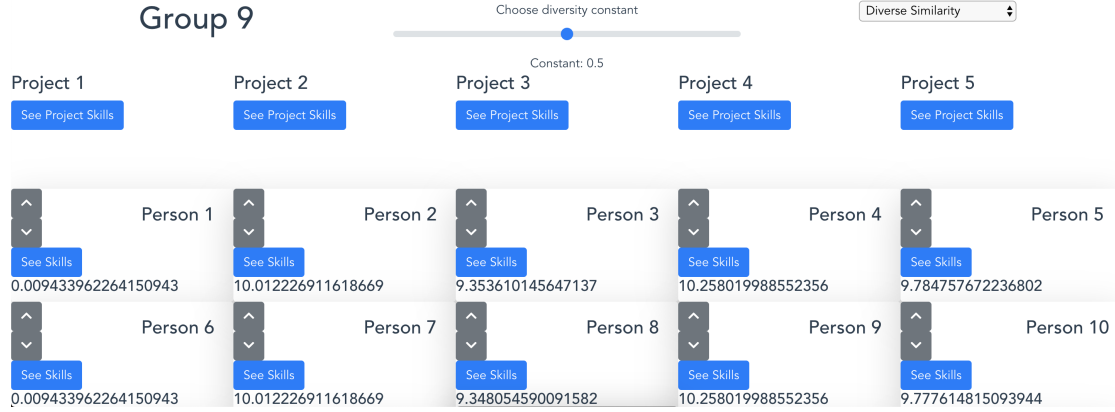


Figure 3.18: A screenshot from the list of all recommendations from diverse cosine similarity for the group 9

3.8 Improvement of Recommendations via Feedback Learning

Readers of this thesis may wonder what do the up and down arrows on the figures 3.18, 3.17 and 3.16 mean; these arrows correspond to the feedback learning. Recruiters or Human Resources employees that use the dashboard may send positive or negative feedback to every recommendation.

The feedback that are provided by real people may have direct or indirect effects. To understand the process, it may make sense to check the figure 3.19 first. Feedback data is given to the model as a separate input, and this input is followed by a dense layer with one node with *tanh* activation function. Tanh activation is chosen, because the bias can be negative or positive. [TODO research: tanh]. The output of the bias activation functions is *added* to the result that comes from project-talent information. In this context, adding means actually the mathematical addition operation [See 7.2]. Due to this addition, the outcome from the human feedback has a direct effect, even without retraining.

The default value for any talent bias is 0, and they may be increased up to 1 with positive feedbacks and decrease to -1 with negative feedbacks. These values are added to the result that comes from the dense layer, which gets data from talent and project skills. Since human feedback is stored in the database immediately, they can directly

be used and have a direct effect on the total results.

Feedback learning also has an indirect effect; entering feedback changes the labels of previous training data. This change signifies that positive feedback changes the label of a result to 1(positive) and negative feedback changes it to a 0(negative). That's why, when the developer retrains the model with the new data, it also modifies how the model learns and may also have an impact on other projects.

3.9 Summary

This chapter analyzed the practical portion of this thesis exhaustively. We explained how we programmed different recommenders with diverse approaches. We also revealed the dashboard that glues everything together and the proposal to improve recommendations with the help of feedback learning.

The next chapter focuses on the evaluation of the results that were programmed in the scope of this section.

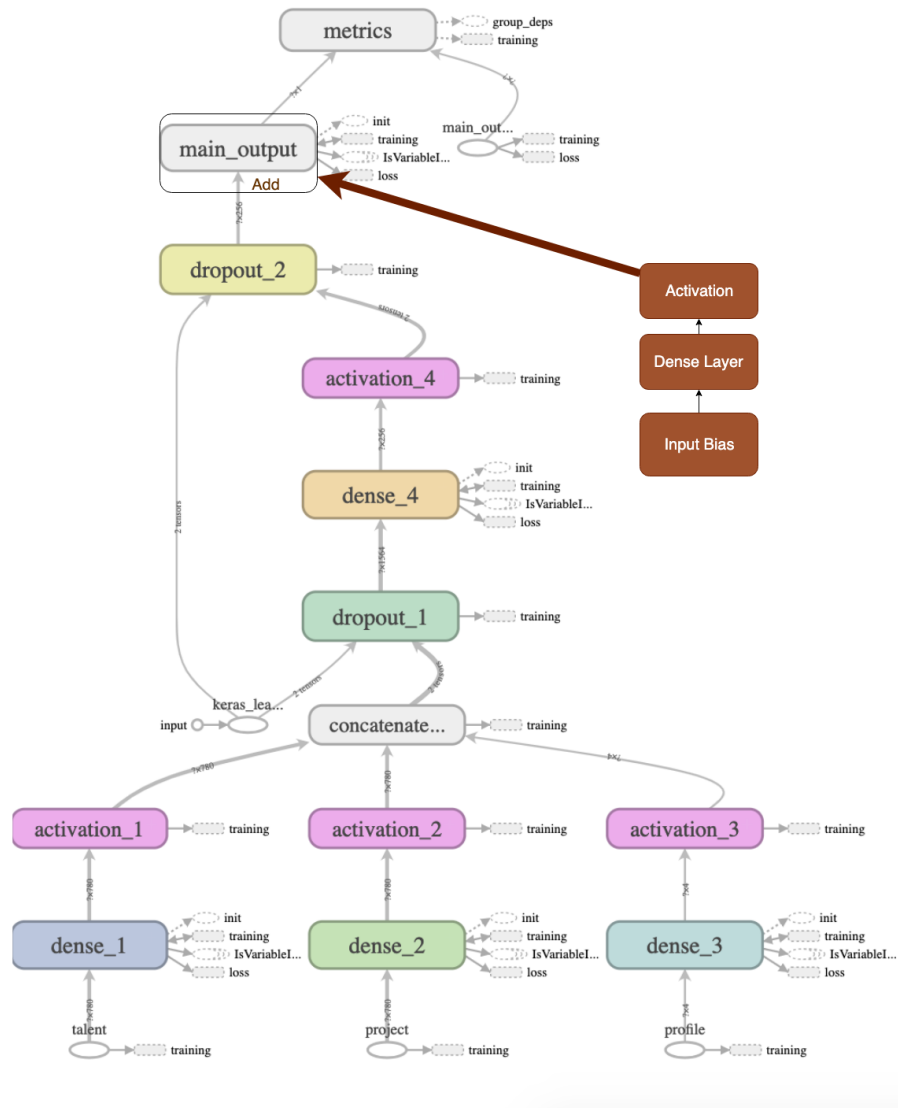


Figure 3.19: Neural networks model with the addition of feedback loop bias

4 Evaluation

This chapter focuses on assessing the results of the recommender systems that were created in scope of the chapter 3. Recommendation systems have a variety of properties that may affect user experience, such as accuracy, robustness, scalability, and so forth [SG11]. In this thesis, we mostly focus on characteristics such as accuracy, diversity and others that are related to these two.

In the first years of recommender systems, the developers of recommendation systems only focused on accuracy. However, this has started to change and now we know that accuracy is not always equals user satisfaction. Before starting this thesis, we analyzed what properties are important to improve user satisfaction in job recommender systems. The research led us to the diversity as a metric [CHV15].

When we recommend a talent to a project, the only important evaluation properties are accuracy of the first item in the list, accuracy of top n items in the list and the overall list value. We can find out the first two via evaluation algorithms. However, the overall list value can only be acquired from human observers.

When we recommend multiple talents to a group of projects, we have the hypothesis that the extra evaluation metric diversity is also important to increase the user satisfaction. That's why, we need evaluation results for diversity, accuracy and user satisfaction, so that prove or disprove the hypothesis.

While we are working on our hypothesis, we use all three types of experiments; offline, online and user studies [SG11]. Offline evaluation serves the purpose of calculating the accuracy and diversity. This type of experiments don't involve human feedback and are calculated via algorithms. When we aim for more advanced metrics, like user satisfaction, we have to rely on other types of experiments like user studies; we ask show users the results and ask their opinion about overall list value. Lastly, we also employ online experiments by allowing them to interact with the system and reranking the talents using the feedback loop [See section 4.5].

According to the research [SG11], there are some guidelines that we need to follow to conduct a successful experiment; a *hypothesis*, *controlling variables* and *generalization power*. Our hypothesis is that the diversity increases user satisfaction and serves as an important factor near accuracy. The controlling variables are the factors that stay the same during different experiments. We need those variables to make sure that different results are comparable. In our case, the trained dataset is the same. Also,

when we conduct user studies, we ask about the results of the same groups and projects. This way, the user study results are directly comparable. The last guideline is the generalization power; to make sure that our model generalizes well, we combine and adapt *freelancer.com* and Motius datasets together. That's why, the generalization power for different job recommender datasets is secured.

4.1 Unsupervised Individual Recommendation

As it was explained in the section 3.2, unsupervised individual recommendation addresses proposal of single talents to single projects using different methods. What these methods have in common is that, they all ignore labels of data and only consider features. The first approach is recommendation via feature similarity, the second one suggests the most popular candidates and the last one is the combination of first two.

When we calculate the accuracy for these methods, we use performance measures such as *precision* and *recall* [BOH15]. Precision refers to the percentage of your results which are relevant and recall refers to the percentage of total relevant results correctly classified by your algorithm [DG06].

$$recall \equiv sensitivity = \frac{\#truepositives}{\#truepositives + \#falsenegatives} \quad (4.1)$$

$$precision = \frac{\#truepositives}{\#truepositives + \#falsepositives} \quad (4.2)$$

The above equations show how we calculated recall and precision. True positives in our case explain selected and relevant talents. False positives are selected but irrelevant talents. Lastly, false negatives are not selected but relevant talents.

To be more specific, true positive is the case, when the first person in recommendation list is the person that won the project. False positives disclose all non-awarded bidders that are first in list and false negatives demonstrate all bidders that are not first in the list but won the project in real life.

When we start to calculate results, we see that precision and recall always have the same results for the freelancer dataset. For each project, the number of true positives are either one or zero. The number of false negatives or false positives are also one or zero for each project. If the prediction was correct, the number of true positives are one. In this case, both the number of false negatives and false positives are zero.

The takeaway from the previous paragraph does not hold true for every dataset. For Motius case, there are more than one talent that got positive feedback for each project. That's the number of true positives can vary from zero to the number of talents that received invitation. Next, the number of false negatives can have values from zero to

the number of people that were accepted. Last of all, the number of false positives also range from zero to number of people with positive feedback for each project. Therefore, it is safe to say that those values vary for each project.

In the next subsections, we demonstrate the evaluation results for various approaches.

4.1.1 Existing Company Recommender

The sponsor of this thesis, Motius, runs an internal recommendation system to suggest talents to roles. The internal mechanism that submits recommendations has stored the logs of the past recommendations in an internal database. This database has included all important details, such as the name of the roles, projects, talents, recommendation strength and if the person got an invitation to the next stage. We used the logs to conduct an offline evaluation.

Offline Evaluation

First of all, the logs contained 140914 recommendations for 375 roles. There are only 961 positive labels, which means the people who got accepted on the recommendation list. Therefore, we can conclude that there are 2-3 accepted talents for every role. We can also confirm that the most of the logs consist of talents that got rejected.

As part of the evaluation, we checked the rate of an accepted talent being the first in the recommendation list and being in the top 5 of the recommendation list, so that the results are directly comparable with the recommender systems that we implemented. The first talents in the list only get accepted in 7% of the roles and the accepted talents were only a part of 21% of the projects. Lastly, the average rank of accepted people was 161.

4.1.2 Recommendation by Similarity

Subsection 3.2.1 revealed the implementation details of the approach recommendation by feature similarity. Now, we show the evaluation results.

Offline Evaluation

For this type of recommender, we used the same evaluation metric for different purposes. First of all, we assessed different flavors of implementation mechanisms to select the best one. Secondly, we calculate, final accuracy score for each individual unsupervised recommender, so that the recommenders are comparable.

As figure 4.1 depicts top 5 accuracy from different flavors of similarity recommendation systems. Top 5 accuracy explains the case that the recommender suggests a bidder

Table 4.1: A table that shows results of different implementation settings of recommendation by similarity.

A	B	C	D
0.28	0.36	0.31	0.35

list and the correct result is searched in top 5 elements of this lists, which contains bidders with a descending recommendation strength.

The depths of freelancer.com dataset are already defined in 3.1.1. To sum it up, each project lists their required skills. These required skill don't have any any value, so they are used as zero or one. Each talent accommodates a skill vector, which encloses the number of projects that the person finished. For example, if an example talent 1 participated in 10 projects that only required *Python* and 2 projects that only required *JavaScript*, this person would have a 10 for Python, a 2 for JavaScript and zero for rest of the skills.

When we do talk about recommendation by similarity, it suggests that each row is normalized first, so that the greatest values correspond to one the smallest zero. Then the cosine similarity of the feature vector of the picked project and the feature vector of all talents are calculated. This cosine similarity is used as the recommendation strength of each talent for a particular project. Then, we sort these values in a descending order.

A In this first setting, we just use the plain mode like it was told in the previous paragraph. This means normalizing rows and calculating the cosine similarity. The accuracy we reached is 28%.

B After carefully checking and debugging the setting A, we found out that there are many cases of winners with no skill vector. This indicates that, there are many projects that hired talents with zero experience according to the freelancer.com dataset. Since, this method picks talent just by looking at their features, it is impossible to predict the winners for those projects. That's why we removed the talents that have no skill vector present. Doing this increased the accuracy to 36%.

C When the developer of this thesis checked the data, it seemed like some further improvements could be made. An idea was normalizing columns additional to the rows. Normalizing rows was what we were doing in other setups, which is scaling for each talent. Normalizing columns means setting the highest value for each skill to one and the lowest value to zero. Doing this has the advantage that the talents,

who finished a lot of projects with particular skills won't lose that advantage against others. However, this method decreases the accuracy to 31%. This implicates that when the project owners choose talents, the information of how many projects the talents completed with relevant skills doesn't play a big role. However, it's hard to draw conclusions, since every employer is different.

D To test the suspicion that we have grown, we start losing some information on purpose. This version changes the talent skills to zeroes and ones just like the project feature vectors. If a person has completed any project that involved the relevant skill, that is a one. If this person didn't work with a skill before, that value becomes zero. In the end, we reach 35% accuracy, which is not the best among others but also not the worst, considering we slimmed down the data and lost a lot of information about talent skills.

In the end, we decide to use the last version. Because that version has the smallest dataframe size, which is beneficial according to what we explained before [See subsection 3.1.2]. Also the accuracy is the second best among others and its structure is similar to what we programmed in subsection 3.3.2.

When to try to guess the project winners with the chosen version, we reach the accuracy of 0.27. When we check if the winner is in the top 5 of the score list, then the accuracy is 0.35, like we mentioned before.

Lastly, it must be noted that during preprocessing, we remove the projects that has less than 5 bidders. Therefore, the minimum number of applicants is 5. We continue with the online evaluation of this recommender.

Online Evaluation

[TODO]

4.1.3 Recommendation by Popularity

Popularity recommender is a recommender that is easy to explain: it checks the profile information of every bidder from a specific project. Then it sorts them by their experience level and recommends people that have the most experience.

Offline Evaluation

Offline evaluation of this method is straightforward. Like we did before, we check if the winner is in the top one and top 5 in the recommendation list that holds the top bidders with descending recommendation score. Top one accuracy is 0.06 and

top 5 accuracy is 0.45. This shows that the popularity recommender is worse than the similarity recommender at guessing if it is given just one chance. However, it runs better than the similarity recommender if it is given 5 chances.

Online Evaluation

4.1.4 Hybrid Recommendation

According to [Bur02], hybridization is a valid technique to combine several recommendation methods to produce a single recommendation. There are different methods to achieve this, but we combine weighted scores of both of other recommenders. In our version, we have decided to add results from both recommender with some weights. The reason for that is to be able to predict results for as many projects as possible. The 4.2 depicts for how many projects is it possible to predict the results. The slimmed down version that we developed to recommend by similarity, can't predict for all projects, because a big percent of the winners don't have a skill vector. When we combine both results, we also increase our coverage, since we get to use the results from two different recommenders.

Table 4.2: A table that shows the amount of projects that we can generate predictions.

Similarity	Popularity	Hybrid
4987	20433	14152

Offline Evaluation

Since we decided for a weighted addition of two recommenders, we also have the flexibility to decide for the weights. We tried different weights for similarity and popularity recommenders and calculated the accuracy for these different settings.

Figures 4.1 and 4.2 depict the results of what happens with different similarity weights. Although, the figures only show the similarity weights, popularity weights can also be calculated by subtracting the similarity weight from one. The figure 4.1 displays top 1 and top 5 accuracy for different weights. When the similarity weight is zero, top 1 accuracy is the lowest and top 5 accuracy is highest. When the similarity weight is one, both accuracies stay in the middle. The figure 4.2 show the amount of projects that is possible to predict results with the recommender at hand. That graph includes a clear function that is inversely proportional to the similarity weight. The highest project coverage can be seen on highest popularity weight and the lowest project coverage can be seen on the highest similarity weight.

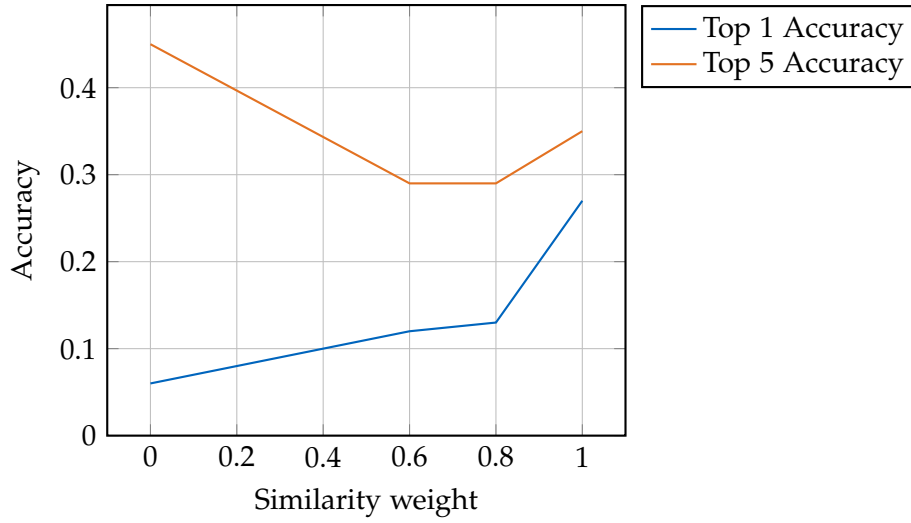


Figure 4.1: Effect of weight selection on hybrid recommenders to the accuracy

It is clear that there is no obvious best weight choice, since we both want to increase project coverage and accuracies. We chose the similarity weight 0.6 and popularity weight 0.4 to increase these results.

Online Evaluation

4.2 Supervised Individual Recommender

The details of supervised individual recommender is already clarified in the section 3.3. This type of recommender suggests individual talents to individual projects using neural networks. There are two different settings that we apply supervised individual recommender; using sparse input and using embeddings.

4.2.1 Using Sparse Input

Since the sparse data is too big for computers to handle, this one only contains offline evaluation results. The performance of this subtype of recommender is measured for different amount of layers, different cost functions, other activation functions and various optimizations. In the end, it wouldn't make sense to visualize all of the distinct results. However, we must note the maximum accuracy that is measured with the test set is 79%. Here the accuracy doesn't reveal top 1 or top 5 prediction that we used before. This prediction with the test set demonstrates that the model is able to predict

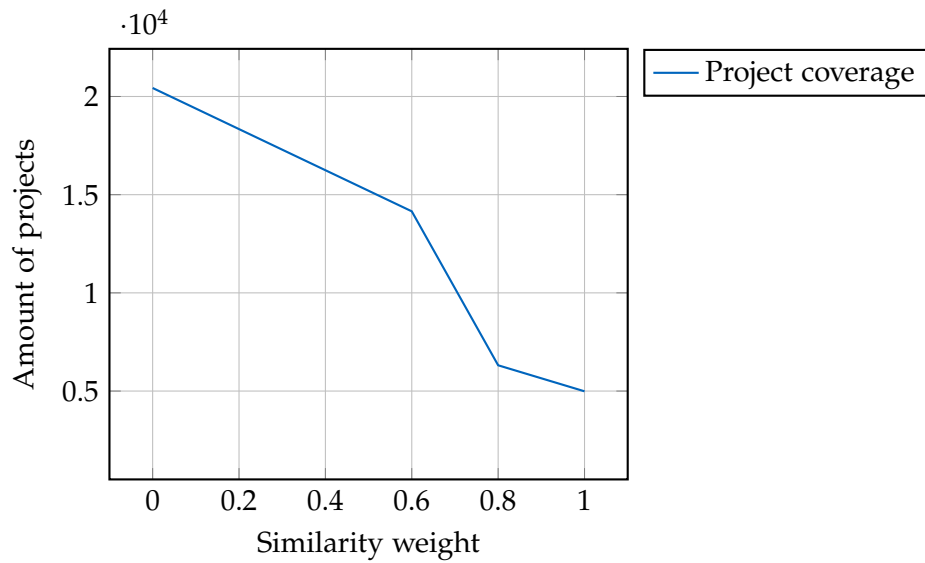


Figure 4.2: Effect of weight selection on the amount of projects that we can generate predictions.

the right results for 79% of the talent-project pairs. The neural network generates a value between 0 and 1 for each pair and values that are below 0.5 interpreted as the person should be rejected and above 0.5 gets treated as the talent should be hired. That's why the model successfully predicts 79% of those pairs.

Predicting the winners of projects is another story. Through different parameters and settings, the most that we have reached is 21% for the first-place accuracy and 59% for the top 5 accuracy. It is fair to note that these maximum values are reached with the help of extra profile information like experience level on top of skills [TODO: if more text needed add evaluation information from SparseFreelancerBias.ipynb].

4.2.2 Using Embeddings

To be able to use embeddings, we reduce the dimensionality of the data first. This makes the dataframe smaller in size but it also decreases the performance, since some information is lost during the reduction.

This version is the actual approach that is used in the dashboard. That's why we gained evaluation results for both offline and online cases.

Offline Evaluation

The maximum accuracy that is reached to predict the test set results is 72%, which is lower than the sparse version. When the top 1 and top 5 talents for each project is calculated, the best that is achieved are 18.5% and 56% respectively.

This is calculated with the extra profile information included. When we don't add them, the biggest value for guessing the winner is 9%. [TODO: if more info needed, Embedding notebooks]

Online Evaluation

4.3 Unsupervised Group Recommender

This is another type of recommender that we implemented in the dashboard is the unsupervised group recommender and contains different modes such as baseline and diverse.

4.3.1 Baseline Recommender

The baseline unsupervised group recommender recommends a group of talents by maximizing the relevancy of project-talent pairs. However, this method doesn't check the relation of talents that are chosen.

Offline Evaluation

There are many evaluation methods that count under offline evaluation. Since they are employed first time in this thesis, we explain them in a detailed way.

Accuracy The first detail that we check is the top 1 and top 5 accuracy, like we did before. This didn't change from results of the section 4.1.2. Which means that the top 1 accuracy is around 20% and top 5 is around 30%.

Diversity There are many evaluation mechanism to measure diversity and the first equations were coined in the beginning of 2000's [SM01].

$$ILD = \frac{1}{|R|(|R| - 1)} \sum_{i \in R} \sum_{j \in R} d(i, j)$$

The above *inter-list diversity* is the first to calculate. This mechanism calculates the diversity inside a recommendation list. As a diversity measure, we use the cosine

distance, which is calculated as $1 - \text{CosineSimilarity}$, which is used many times in this thesis. With various sizes of groups from the freelancer.com dataset, we calculated the average *ILD* of 40% with this baseline suggestion engine.

Unexpedtedness Unexpectedness tells us how unforeseen the data is to the recruiters. In the formula below \mathcal{J}_u is a symbol for set of talents that the recruiter has interacted with. When we know about this past, we can compare the talents with the people in the set. We want to maximize the distance between already seen and not yet seen talents. The result that we reach in baseliner is 0.61.

$$Unexp = \frac{1}{|R| |\mathcal{J}_u|} \sum_{i \in R} \sum_{j \in \mathcal{J}_u} d(i, j)$$

$$\text{where } \mathcal{J}_u \stackrel{\text{def}}{=} \{i \in \mathcal{J} | r(u, i) \neq \emptyset\}$$

[TODO: if not enogh: unexp, Gini, shannonggroup-rec.ipynb]

Online Evaluation

4.3.2 Diverse Recommender

Diverse unsupervised group recommender optimizes the relationship of the chosen talents in a group on top of maximizing the relevancy of project-talent pairs. Both project-talent and talent-talent relationships are optimized via cosine similarity. We continue with the evaluation results.

The way this type of recommender functions is already explained in the implementation chapter[See section 3.4.2]. We can sum it up using the formulas:

$$R_{opt}(\lambda) = \arg \max g(R, \lambda)$$

$$g(R, \lambda) = (1 - \lambda) \frac{1}{|R|} \sum_{i \in R} f_{rel}(i) + \lambda div(R)$$

In the above equations, the variable λ can be tuned according to our needs. A value closer to zero means, the recruiters want to see more relevant scores and a value closer to one shows more diverse results.

Offline Evaluation

When we evaluate a diverse recommender, we need to show variations of results for different λ values. Because, every small or big modification of this value will have an effect on the accuracy, diversity or overall list value.

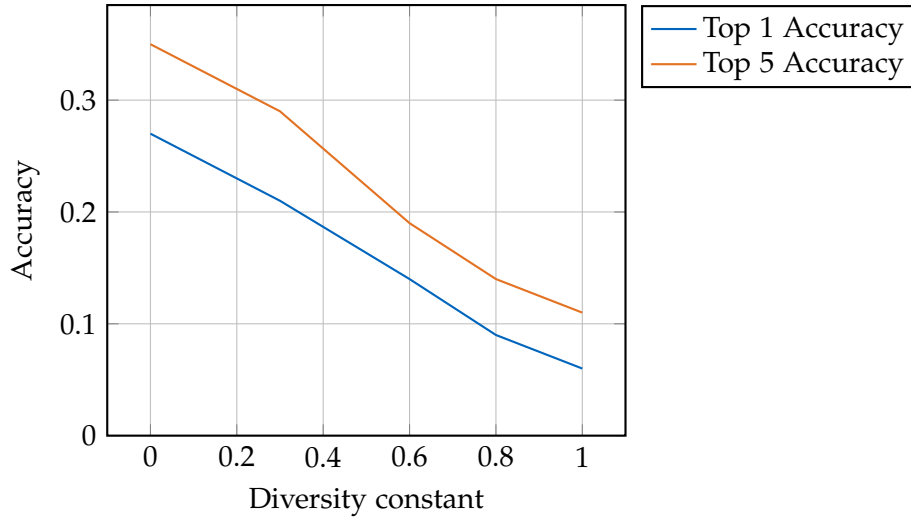


Figure 4.3: Effect of diversity constant on unsupervised group recommender to the accuracy

Accuracy The figure 4.3 depicts the impact of diversity constant change to the accuracy, which are calculated on freelancer.com dataset.

Diversity For the diversity, we use the same equation that we used in the paragraph 4.3.1. However, a major change is that we have to calculate the inter-list-diversity for different λ values.

The figure 4.4 portrays, how changing the diversity constant affects the actual diversity of the recommendation lists that are generated by the recommendation engine.

Unexpectedness We use the same formula, which was explained in the paragraph 4.3.1. Again, we calculate the values separately for different diversity rates and drawn on the figure 4.5. It is obvious that the diverse recommender didn't increase the unexpectedness as it improved the diversity.

Online Evaluation

4.4 Supervised Group Recommender

The supervised group recommender takes advantage of neural networks to ascertain the relevancy of talents to the project and may use cosine similarity to ensure the variance of

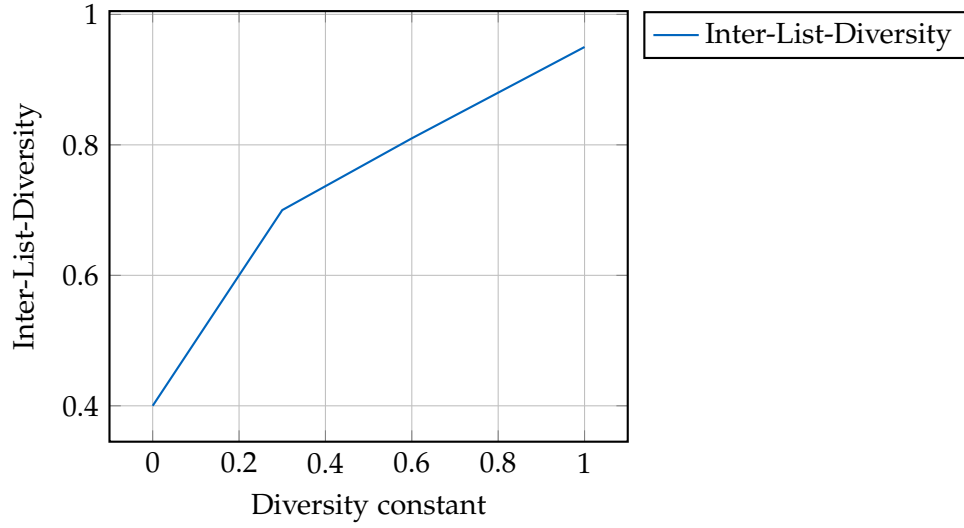


Figure 4.4: Effect of diversity constant on unsupervised group recommender to the diversity

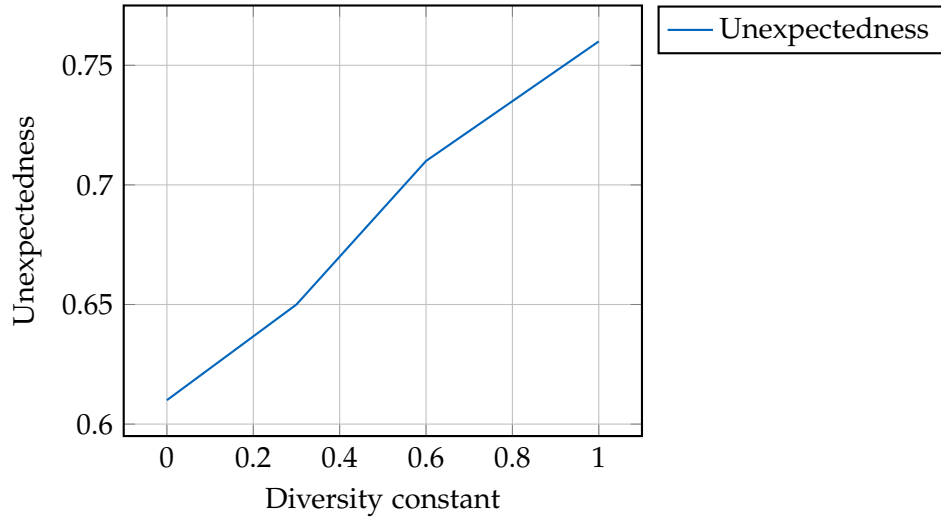


Figure 4.5: Effect of diversity constant on unsupervised group recommender to the unexpectedness

talent recommendation lists. It has two modes: baseline and diverse recommendations.

4.4.1 Baseline Recommender

The baseline supervised group recommender maximizes the relevancy of each project-talent pair for a given group. It doesn't aim to optimize the diversity of talents.

Offline Evaluation

Again, we run algorithms to determine the accuracy, diversity and unexpectedness of the current recommender.

Accuracy When the top 1 and top 5 talents for each project of groups is calculated, the best that is achieved are 18% and 56% respectively.

Diversity We calculate the diversity according to the formula in 4.3.1; with various sizes of groups from the freelancer.com dataset, we calculated the average *ILD* of 44% with this baseline recommendation engine.

Unexpectedness The unexpectedness that is generated by this recommender was spelled out in the paragraph 4.3.1. When the average unexpectedness for all projects are measured, the value that we see is 65.5%.

Online Evaluation

4.4.2 Diverse Recommender

With the help diverse supervised group recommender, we combine the forces of two different operations to boost the project-talent relevancy and also control the variance between selected talents.

Offline Evaluation

In the following paragraphs, we demonstrate the evaluation results using different mechanisms and for distinct diversity values.

Accuracy The figure 4.6 depicts the impact of diversity constant change to the accuracy, which are calculated on freelancer.com dataset.

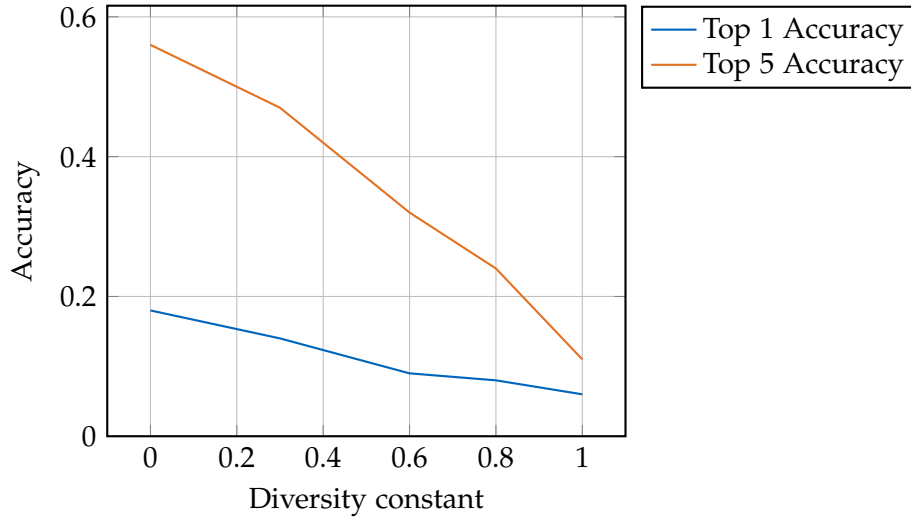


Figure 4.6: Effect of diversity constant on supervised group recommender to the accuracy

Diversity To calculate diversity, we use the same equation that we used in the paragraph 4.3.1 with different diversity constants. The figure 4.7 shows the diversity in recommendation lists under the effect of diversity constant.

Unexpectedness We use the same formula, which was explained in the paragraph 4.3.1 and the values are calculated separately for different diversity rates that are drawn on the figure 4.8.

Online Evaluation

4.5 Feedback Loop

Improving the outcomes via a feedback loop is an essential part of this thesis. Like it was told before [See 3.8], the loop has a direct and an indirect effect. When the recruiters that use the dashboard, post feedback to talents, the recommendation list is instantly changed accordingly. This is called the direct effect. Posting feedback, also modifies the existing dataset that is used to train the model. Sending a positive feedback modifies the datapoint to a positive label, which is one. Also, sending a negative feedback modifies the datapoint to a negative label, which is zero. After that, the model will produce *better* results, when we retrain with the modified data.

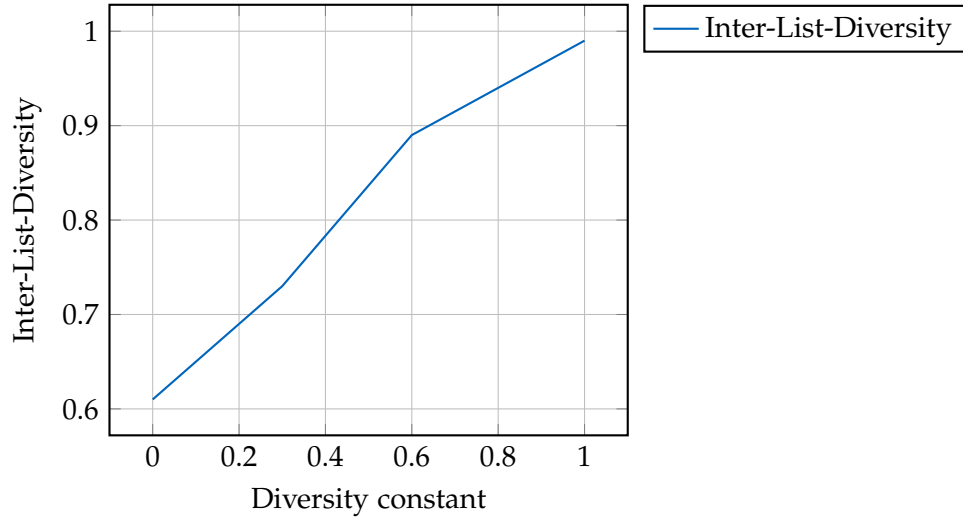


Figure 4.7: Effect of diversity constant on supervised group recommender to the diversity

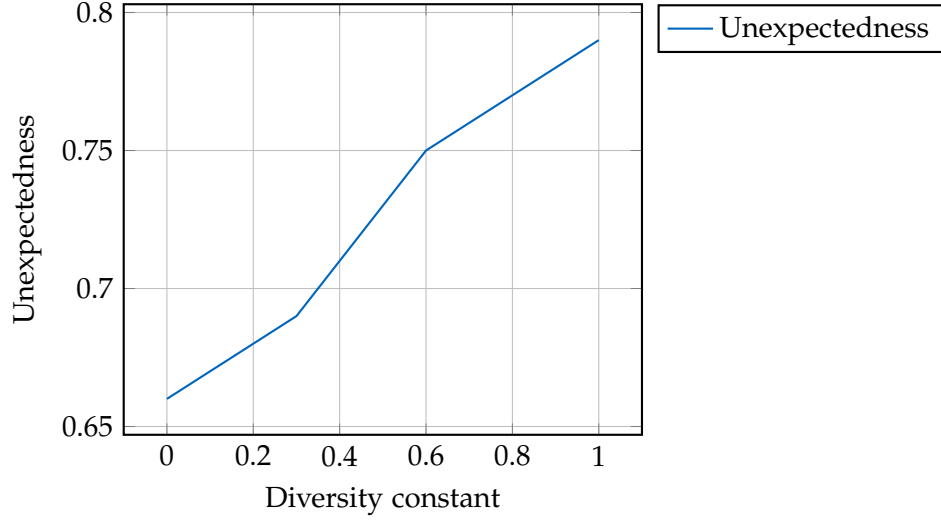


Figure 4.8: Effect of diversity constant on supervised group recommender to the unexpectedness

This section focuses on evaluation of this loop. It not feasible to conduct offline evaluation for the feedback loop, because the model that generates predictions tries to maximize the accuracy already. We already have a model and we want to improve it to satisfy the needs of recruiters. A logical evaluation method for the feedback loop would be a combination of online evaluation and user studies.

4.5.1 Online Evaluation and User Study

In this round of evaluation, we had eight participants that recruited talents before. The functional dashboard is given to each of the participants and the author of this thesis clearly gave instruction how the recommenders, the dashboard and the feedback loop work. Then, we asked the participants to choose some groups and arrange the loops according to their ideal scenario.

Participants gave more than 200 feedbacks in total. Considering the fact that the training data consists of more 350.000 data points, the amount of feedbacks are low. From the criteria that the recruiters stated, the author continued to add more feedbacks, making them 3500 in total. After this, the model is retrained with the modified data. Last of all, the results are shown again the recruiters and their opinions are asked again.

[TODO comparison of before and after]

4.6 Summary

5 Discussion

5.1 Problems about datasets

5.1.1 Problems about Motius dataset

Another funny thing in Motius data: pos: android dev. 2 x lorenzo: 1 rejected 1 accepted

To sum up:

Only a small portion of Motius and Freelancer features overlap Since Motius data doesn't have many data points, those unique features stay untrained Motius recommender produces duplicates Many people were selected although they don't meet skill requirements(also on freelancer) There are some people with many skills entered(for example Lorenzo, over 20) and there are many people that has only 1-2 skills in system Selected people are not selected because their skills fit better than the others.

5.1.2 Problems about Freelancer dataset

Problem: For example: the user <https://www.freelancer.com/u/crystalbernardo> has 0 experience, 0 skills, was not the cheapest she got the project <https://www.freelancer.com/projects/academic-writing/long-term-academic-writer-needed-11688789/>.

5.2 Problems about recommender type

Recommender systems based only on content generally suffer from the problems of limited content analysis and overspecialization [SM95]

5.3 Reasons to use hybrid recommender

Several studies have shown hybrid recommendation approaches to provide more accurate recommendations than pure content-based or collaborative methods, especially when few ratings are available [AT05].

5.4 Comparison of Individual Recommenders

5.5 Comparison of Group Recommenders

5.6 Conclusion

bla

bla

bla

bla

6 Conclusion

6.1 Introduction

Recommender Systems (RSs) are software tools and techniques that provide suggestions for items that are most likely of interest to a particular user [RRS15].

Suggestions and items depend on the field that recommender system is applied. For example, for the topic of news article recommenders, the aim will most likely be suggesting news to the readers. In the field of job recommenders though, these suggestions can be bidirectional. Meaning that, job postings can be suggested to applicants or resumes can be recommended to the human resources team of a company.

See Table 6.1, Figure 6.1, Figure 6.2, Figure 6.3.

Table 6.1: An example for a simple table.

A	B	C	D
1	2	1	2
2	3	2	3

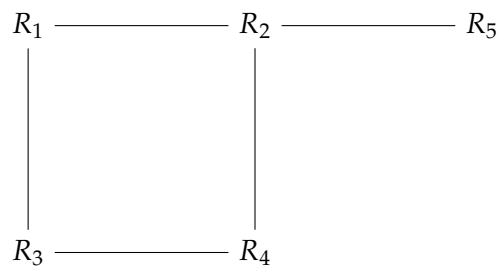


Figure 6.1: An example for a simple drawing.

6.2 Conclusion

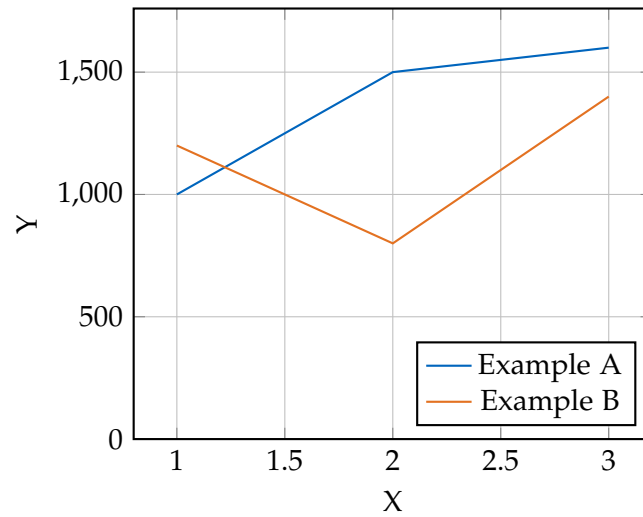


Figure 6.2: An example for a simple plot.

```
SELECT * FROM tbl WHERE tbl.str = "str"
```

Figure 6.3: An example for a source code listing.

7 Appendix

```
def nn_embedding(features, dimensions):
    talent = Input(shape = (features,))
    project = Input(shape = (features,))
    output_dim = int(dimensions ** 0.25) + 1

    talent_embedding = Embedding(dimensions + 1, output_dim,
    input_length=features, mask_zero=True )(talent)
    project_embedding = Embedding(dimensions+ 1, output_dim,
    input_length=features, mask_zero=True)(project)

    # these are required because of mask zero
    talent_embedding = Lambda(lambda x: x,
    output_shape=lambda s:s)(talent_embedding)
    project_embedding = Lambda(lambda x: x,
    output_shape=lambda s:s)(project_embedding)

    merged = Concatenate()([talent_embedding, project_embedding])
    merged = Flatten()(merged)
    merged = Dropout(0.5)(merged)
    main_output = Dense(1, activation='sigmoid')(merged)

    model = Model(inputs=[talent, project], outputs=[main_output])
    model.compile(optimizer='adam', loss='binary_crossentropy',
    metrics=["accuracy"])

    return model
```

Figure 7.1: The code of the model for both Motius and Freelancer data

```
bias = Input(name = 'bias', shape = (1,))
talent_bias = Dense(1, activation = 'linear')(bias)
talent_bias = Activation("tanh")(talent_bias)
main_output = Add()([output, talent_bias])
```

Figure 7.2: Simplified version of the extra code for enabling feedback

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