

Piecewise-Linear Motion Planning amidst Static, Moving, or Morphing Obstacles

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Abstract—We propose a novel method for planning shortest length piecewise-linear motions through complex environments punctured with static, moving, or even morphing obstacles. Using a moment optimization approach, we formulate a hierarchy of semidefinite programs that yield increasingly refined lower bounds converging monotonically to the optimal path length. Our global moment optimization approach natively handles continuous time constraints without any need for time discretization. For computational tractability, we derive an iterative motion planner which compares favorably with sampling-based and nonlinear optimization baselines.

Index Terms—Motion and Path Planning, Semidefinite Programming, Convex Optimizatoin

I. INTRODUCTION AND PROBLEM STATEMENT

How should robots – viewed as complex systems of articulated rigid bodies – move from a start to a goal configuration in an environment cluttered with static and dynamic obstacles? Even without considering dynamic feasibility of a desired motion, mechanical and sensor limitations, uncertainty and feedback, the purely geometric motion planning problem is known to be computationally hard [26] in its full generality.

A. The Optimal Motion Planning Problem

We follow a similar notation to that of [12] to describe the Optimal Motion Planning (OMP) problem. Let $\mathcal{X} = \mathbb{R}^n$ be the configuration space, where $n \in \mathbb{N}$. We are interested in finding the shortest path $\mathbf{x} : [0, T] \rightarrow \mathcal{X}$ (where T is a positive constant) that starts at a configuration $\mathbf{x}(0) = \mathbf{x}_0 \in \mathcal{X}$, ends at a configuration $\mathbf{x}(T) = \mathbf{x}_T \in \mathcal{X}$, and avoids a time-varying obstacle region $\mathcal{X}_{\text{obs}}(t) \subseteq \mathcal{X}$ at all times $t \in [0, T]$. Here, we assume that the obstacle-free space $\mathcal{X}_{\text{free}}(t) := \mathcal{X} \setminus \mathcal{X}_{\text{obs}}(t)$ is a *closed basic semialgebraic* set, i.e., that there exists a (multivariate, scalar-valued) polynomial function $g_k \in \mathbb{R}[t, \mathbf{x}]$ in variables t and \mathbf{x} such that, $\mathcal{X}_{\text{free}}(t) := \{\mathbf{x} \in \mathbb{R}^n \mid g_1(t, \mathbf{x}) \geq 0, \dots, g_k(t, \mathbf{x}) \geq 0\}$.

Our choice for working with polynomial functions to describe obstacles stems from two reasons. On the one hand, polynomial functions can uniformly approximate any continuous function over compact sets, and hence are powerful enough for modeling purposes. See figure 1 for an illustration of a time-varying set morphing a simple shape into a complex one over time, as described by a degree-7 polynomial. For more examples on the use of polynomial functions for the

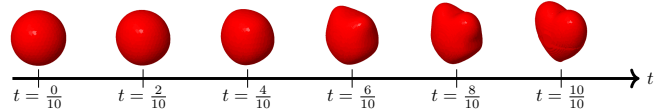


Fig. 1: Example of an time-varying obstacle described by the polynomial inequality $g(t, \mathbf{x}) < 0$, with $g(t, \mathbf{x}) := (1 - t)(x_1^2 + x_2^2 + x_3^2 + 1) + \frac{t}{320}(320x_1^2x_3^3 + 36x_2^2x_3^3 - 5(4x_1^2 + 9x_2^2 + 4x_3^2 - 4)^3)$. The shape of the obstacle changes from a sphere to a heart as time t goes from 0 to 1.

purposes of modeling 3D geometry, see [5], [7], [8], [24]. On the other hand, as we will see in section II, the discovery of recent connections between algebraic geometry and semidefinite programming has resulted in powerful tools that are designed specifically for tackling optimization problems described by polynomial data.

More formally, the OMP problem described by data

$$\mathcal{D} = (\mathbf{x}_0, \mathbf{x}_T, \{g_1, \dots, g_m\}), \quad (1)$$

where $\mathbf{x}_0, \mathbf{x}_T \in \mathbb{R}^n$ and $g_1, \dots, g_m \in \mathbb{R}[t, \mathbf{x}]$ is the minimization problem

$$\begin{aligned} \min_{\mathbf{x}: [0, T] \rightarrow \mathbb{R}^n} \quad & \int_0^T \|\dot{\mathbf{x}}(t)\| dt \\ \text{s.t.} \quad & \mathbf{x}(0) = \mathbf{x}_0, \mathbf{x}(T) = \mathbf{x}_T, \\ & g_k(t, \mathbf{x}(t)) \geq 0 \quad \forall t \in [0, T], \forall k \in [m], \end{aligned} \quad \text{OMP}(\mathcal{D})$$

where $\|\cdot\|$ denotes the ℓ_2 norm, and $[s]$ denotes the set $\{1, \dots, s\}$. The objective term $\int_0^T \|\dot{\mathbf{x}}(t)\| dt$ is the length of the path $\mathbf{x}(t)$. A path that satisfies the constraints of $\text{OMP}(\mathcal{D})$ is said to be *feasible*. A path that is feasible and has minimum length is said to be *optimal*.

B. Background on Motion Planning

We first set the stage for describing and motivating our approach in relation to the vast prior literature [18], [9], [20] on motion planning. Most obviously, $\text{OMP}(\mathcal{D})$ can be transcribed into a nonlinear optimization problem by using a parametric representation of the path together with time-discretization to construct a finite-dimensional optimization problem [28], [11], [30]. Because of its non-convexity, the effectiveness of such an approach depends on having a good initial guess and in general no guarantee can be provided that the process will not return a sub-optimal stationary point. Closely related is the body of work on virtual potential fields [13] where a vector field is designed to pull the robot towards the goal and push it away from obstacles. Unless a restricted class of *navigation functions* [27] generates the gradient flow, these methods are also susceptible to local minima. By contrast, sampling-based motion planners [19], [12], [10], pervasively used in robotics, are attractive since they can at-least offer a guarantee of probabilistic completeness,

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that is, as the planning time goes to infinity, the probability of finding a solution tends to one. Sampling-based planners rely on a collision checking primitive to construct a data structure, e.g., tree or a graph, that stores a sampling of obstacle-avoiding feasible motions of the robot. In their most common instantiations, sampling methods return feasible paths, not necessarily optimal [12], almost always also requiring post processing to reduce jerkiness.

Even in the time-independent, purely geometric path planning setting, the general problem of finding a feasible path, or correctly reporting that such a path does not exist, has been shown by Reif [26] to be PSPACE-hard. If $\mathcal{X}_{\text{free}}$ is semialgebraic, then its cylindrical cell decomposition [29] allows for a doubly-exponential (in the configuration space dimension n) solution to the motion planning problem. Canny's Roadmap [6] gives an improved single-exponential solution based on the notion of a *roadmap*, a network of one-dimensional curves preserving the connectivity of the free space that can be reached from any configuration. However, despite their completeness guarantee, these techniques are considered computationally impractical for all but simple or low-degree-of-freedom problems.

It should be no surprise that dynamic environments where obstacles can appear, disappear, move or morph only magnify the hardness of general motion planning [25], even when the obstacle motion is pre-specified as a function of time. Many planners can be adapted to this setting by simply defining the problem in a time-augmented state space. Then, the primary complication stems from the requirement that time must always increase along a path. An alternative is to decouple space and time planning by first finding a collision-free path in the absence of moving obstacles, and then determining a time scaling function. In any case, planners for time-varying problems may also become prone to failure simply due to discretization of time.

C. Statement of Contributions

In this paper, we focus on solving the optimal motion planning problem for piecewise-linear motions. At the outset, it should be noted that even with this restriction, the problem remains PSPACE-hard [31]. With this setting, our contributions are as follows. First, we introduce a new arsenal of algorithmic and complexity-characterization tools from polynomial optimization and semidefinite programming (SDP) to the motion planning literature. Specifically, for any optimal motion problem $\text{OMP}(\mathcal{D})$ described by data \mathcal{D} as in (1), and for any number of pieces s , we present a hierarchy of semidefinite programs $\text{SDP}(r, s; \mathcal{D})$ indexed by a scalar r . Every level of this hierarchy provides a lower bound $\rho(r, s; \mathcal{D})$ on the minimum length $\rho(s; \mathcal{D})$ attained by piecewise-linear paths that are feasible to $\text{OMP}(\mathcal{D})$ and have s pieces. Importantly, we provide the asymptotic guarantee that $\rho(r, s; \mathcal{D}) \rightarrow \rho(s; \mathcal{D})$ as $r \rightarrow \infty$. This notion of asymptotic completeness is analogous to probabilistic completeness in sampling-based methods, in the sense that in the limit of increasing computation, we are guaranteed to optimally solve the problem, or declare that no solution exists.

To remain computationally competitive with practical mo-

tion planners, we also derive a sequential SDP-based method called Moment Motion Planner (MMP). Unlike previously proposed planners for dynamic obstacle avoidance, MMP natively handles continuous-time constraints, does not require any discretization, and relies on semidefinite programs whose size scales polynomially in configuration space dimensionality.

On several benchmark problems involving static, moving and morphing obstacles in dimension 2, 3, and 4, MMP consistently outperforms RRT and nonlinear programming based baselines, while returning smoother paths in comparable solve time.

II. MOMENT-BASED APPROACH FOR TIME-VARYING OPTIMIZATION PROBLEMS

The last few decades have known the emergence of a powerful *moment-based approach* for solving optimization problems that are described by polynomial data [17]. One of the main challenges one faces when applying this moment approach to the motion planning problem $\text{OMP}(\mathcal{D})$ is the fact that solutions (and the constraints on these solutions) vary continuously with time. For clarity of presentation, we first ignore the complexities arising from this time dependence and present the basic ideas behind this approach. Then, we present a result from real algebraic geometry on sum of squares representations of univariate polynomial matrices that will allow us to impose time-varying constraints on time-varying solutions.

Let us recall some standard notation. For any vector $\alpha \in \mathbb{N}^n$, $|\alpha|$ denotes $\sum_{i=1}^n \alpha_i$. We denote by \mathbb{N}_d^n the set of vectors $\alpha \in \mathbb{N}^n$ that satisfy $|\alpha| \leq d$. We denote by $\mathbb{R}[\mathbf{y}]$ the set of (scalar valued) polynomial functions in the variables y_1, \dots, y_n . For $\alpha \in \mathbb{N}^n$, the monomial $y_1^{\alpha_1} \dots y_n^{\alpha_n}$ is denoted by \mathbf{y}^α , and the coefficient of a polynomial $p \in \mathbb{R}[\mathbf{y}]$ corresponding to the monomial \mathbf{y}^α is denoted by p_α . The degree of the monomial \mathbf{y}^α is $|\alpha|$, and the degree $\deg p$ of a polynomial $p \in \mathbb{R}[\mathbf{y}]$ is the maximum degree of its monomials. We denote by $\mathbb{R}_d[\mathbf{y}]$ the set of polynomials of degree smaller than or equal to d .

A. Moment Approach for Polynomial Optimization

A polynomial optimization problem is a problem of the form

$$\begin{aligned} p^* &= \min_{\mathbf{y} \in \mathbb{R}^n} p(\mathbf{y}) \\ \text{s.t. } & h_k(\mathbf{y}) = 0 \quad k \in [m_1], \\ & g_k(\mathbf{y}) \geq 0 \quad k \in [m_2], \end{aligned} \quad (\text{P})$$

where $p, h_1, \dots, h_{m_1}, g_1, \dots, g_{m_2} \in \mathbb{R}[\mathbf{y}]$. In general, problem (P) is nonconvex and is very challenging to solve. In fact it is NP-hard even when $m_1 = 0, m_2 = 0$, and p is a polynomial of degree four (see, e.g., [21]). An approach pioneered in [14] has been to replace the feasible set $K := \{\mathbf{y} \in \mathbb{R}^n \mid h_k(\mathbf{y}) = 0 \forall k \in [m_1], g_k(\mathbf{y}) \geq 0 \forall k \in [m_2]\}$ with the set $\mathcal{M}(K)_+$ of nonnegative Borel measures on K of total mass equal to one, leading to the optimization problem

$$\min_{\mu \in \mathcal{M}(K)_+} \int p(\mathbf{y}) d\mu. \quad (2)$$

It is not hard to see that the optimal value of problem (2) is equal to that of (P). Moreover, problem (2) has a linear objective function and a convex (infinite-dimensional) feasible

set.

We will now explain how to obtain a finite dimensional, convex relaxation of (2). The key idea is to view (2) not as an optimization problem over measures $\mu \in \mathcal{M}(K)_+$, but as an optimization problem over sequences of moments $\{\int \mathbf{y}^\alpha d\mu\}_{\alpha \in \mathbb{N}^n}$ of measures $\mu \in \mathcal{M}(K)_+$. This is possible because the objective function $\int p(\mathbf{y}) d\mu = \sum_{|\alpha| \leq \deg p} p_\alpha \int \mathbf{y}^\alpha d\mu$ of problem (2) depends on the measure μ only through its first few moments.

Before we move further with the explanation of the moment approach, we need to introduce some additional notation. For any integer $r \in \mathbb{N}$, we denote by $\mathcal{M}_{r,n}$ the set of truncated sequences of “pseudo-moments” in n variables, i.e., elements of the form $(\phi_\alpha)_{\alpha \in \mathbb{N}_r^n}$, where $\phi_\alpha \in \mathbb{R}$ for every $\alpha \in \mathbb{N}_r^n$. Note that any measure μ gives rise to an element of $\mathcal{M}_{r,n}$, namely, $(\int \mathbf{y}^\alpha d\mu)_{\alpha \in \mathbb{N}_r^n} \in \mathcal{M}_{r,n}$, but a general element of $\mathcal{M}_{r,n}$ might not come from a measure. For any $\phi \in \mathcal{M}_{r,n}$, we introduce the so-called *Riesz functional* $L_\phi : \mathbb{R}_r[\mathbf{y}] \rightarrow \mathbb{R}$ defined by

$$q \left(= \sum_{\alpha \in \mathbb{N}_r^n} q_\alpha \mathbf{x}^\alpha \right) \mapsto \sum_{\alpha \in \mathbb{N}_r^n} \phi_\alpha q_\alpha.$$

The functional L_ϕ is to “pseudo-moments” what the expectation operator is to genuine moments. For $\phi \in \mathcal{M}_{r,n}$ and $q \in \mathbb{R}_r[\mathbf{y}]$, we denote by $M_\phi(q)$ the *localization matrix* associated with q and ϕ , i.e., the matrix

$$M_\phi(q)_{\alpha,\beta} = L_\phi(\mathbf{y}^\alpha \mathbf{y}^\beta q(\mathbf{y})) \quad \forall \alpha, \beta \in \mathbb{N}_{\lfloor (r-\deg q)/2 \rfloor}^n,$$

whose rows and columns are labeled by elements of $\mathbb{N}_{\lfloor (r-\deg q)/2 \rfloor}^n$, where $\lfloor \cdot \rfloor$ is the floor function.

Now, for an integer r larger than the maximum of the degrees of the polynomials $p, h_1, \dots, h_{m_1}, g_1, \dots, g_{m_1}$, consider the *moment relaxation of order r* of problem (2) given by

$$\begin{aligned} \min_{\phi \in \mathcal{M}_{r,n}} \quad & L_\phi(p) \\ \text{s.t.} \quad & L_\phi(1) = 1; \quad M_\phi(1) \succeq 0 \\ & L_\phi(\mathbf{y}^\alpha h_k) = 0, \quad \forall \alpha \in \mathbb{N}_{r-d_k}^n, \quad \forall k \in [m_1], \\ & M_\phi(g_k) \succeq 0, \quad \forall k \in [m_2]. \end{aligned} \quad (3)$$

To see that problem (3) is indeed a relaxation of problem (2), take an arbitrary candidate measure $\mu \in \mathcal{M}(K)_+$ with corresponding objective value $v := \int p(\mathbf{y}) d\mu$ for problem (2), and let us extract from it the truncated sequence of moments $\phi := (\int \mathbf{y}^\alpha d\mu)_{|\alpha| \leq r} \in \mathcal{M}_{r,n}$ and show that ϕ is (i) feasible to problem (3) and (ii) has v as objective value. To show (i), note that $L_\phi(1) = \int d\mu = 1$, and that the matrix $M_\phi(1)$ is positive semidefinite because for all polynomials $q \in \mathbb{R}_r[\mathbf{y}]$, $\mathbf{q}^T M_\phi(1) \mathbf{q} = \int q^2(\mathbf{y}) d\mu \geq 0$, where \mathbf{q} is the vector of coefficients of the polynomial q . A similar reasoning shows that ϕ satisfies all of the remaining constraints of problem (3). To show (ii), simply observe that $L_\phi(p) = \int p(\mathbf{y}) d\mu = v$. The constraints $L_\phi(1) = 1$ and $M_\phi(1) \succeq 0$ do not depend on the data of the problem at hand. We refer to them as *moment consistency constraints*.

In general, it is not always possible to extract an optimal solution $\mathbf{y} \in \mathbb{R}^n$ of (P) from a “pseudo-moment” solution $\phi \in \mathcal{M}_{r,n}$ of (3). However, under some conditions that hold

generically (see, e.g., [22], [23]), there exists an order r for which the optimal value of (3) is equal to that of (P), and an optimal solution \mathbf{y} of (P) can be recovered from ϕ by a linear algebra routine. For more details related to extraction of solutions from moment relaxations, the interested reader is referred to [17].

For any $r \in \mathbb{N}$, problem (3) is an SDP that can be readily solved by off-the-shelf solvers such that MOSEK [2]. We remind the reader that an SDP is the problem of optimizing a linear function subject to linear matrix inequalities. SDPs can be solved to arbitrary accuracy in polynomial time. See [32] for a survey of the theory and applications of this subject.

Remark 1 (Notation for vector-valued variables): In the rest of the paper, we will often deal with variables that are vector valued. To lighten our notation, we use $\mathbb{R}[\mathbf{y}_1, \dots, \mathbf{y}_s]$ (resp. $\mathcal{M}_{r,n_1+\dots+n_s}$) to denote the set of polynomials (resp. truncated sequences of pseudo-moments) in all of the entries of the vector-valued variables $\mathbf{y}_1 \in \mathbb{R}^{n_1}, \dots, \mathbf{y}_s \in \mathbb{R}^{n_s}$. We also write $(\mathbf{y}_1, \dots, \mathbf{y}_s)^{(\alpha_1, \dots, \alpha_s)}$ to denote the monomial $\mathbf{y}_1^{\alpha_1} \dots \mathbf{y}_s^{\alpha_s}$, where for each $i \in [s]$, α_i is an integer vector of the same size as \mathbf{y}_i .

B. Extension to the time-varying setting.

In this paper, we are interested in a variation of problem (P) where the inequality constraints are time-varying, i.e., a variation where inequalities are of the form $g(t, \mathbf{y}) \geq 0 \quad \forall t \in [0, T]$, where $g \in \mathbb{R}[t, \mathbf{y}]$. Such a constraint can be viewed as a continuum of constraints $g_t(\mathbf{y}) \geq 0$ indexed by $t \in [0, T]$, where $g_t := g(t, \cdot) \in \mathbb{R}[\mathbf{y}]$. If we denote the univariate polynomial matrix $t \mapsto M_\phi(g_t)$ by $X(t)$, then the moment approach explained above leads to the constraint

$$X(t) \succeq 0 \quad \forall t \in [0, T]. \quad (4)$$

The observation that the coefficients of the polynomial matrix X depend linearly on the elements of ϕ combined with proposition 1 allows us to rewrite constraint (4) as a (nonvarying) semidefinite programming constraint on ϕ . This allows us to circumvent the need for time discretization.

In the statement of proposition below, S^m (resp. $\mathbb{R}_d^{m \times m}[t]$) denotes the set of symmetric matrices of size m whose entries are elements of \mathbb{R} (resp. $\mathbb{R}_d[t]$) for any positive integers m and d .

Proposition 1 ([4] Univariate matrix Positivstellensatz): Let m and d be positive integers. There exist two (explicit) linear maps $\lambda_1 : S^{\lfloor \frac{d}{2} + 1 \rfloor m} \rightarrow \mathbb{R}_d^{m \times m}[t]$ and $\lambda_2 : S^{\lfloor \frac{d}{2} + 1 \rfloor m} \rightarrow \mathbb{R}_d^{m \times m}[t]$ such that for $X \in \mathbb{R}_d^{m \times m}[t]$, $X(t) \succeq 0 \quad \forall t \in [0, T]$ if and only if there exist positive semidefinite matrices Q_1 and Q_2 of appropriate sizes that satisfy the equation $X = \lambda_1(Q_1) + \lambda_2(Q_2)$.

III. EXACT MOMENT OPTIMIZATION OVER PIECEWISE-LINEAR PATHS

A. Search for Piecewise-Linear Paths

We propose to approximate the shortest path of $\text{OMP}(\mathcal{D})$ by piecewise-linear paths with a fixed number of pieces. We choose to work with the family of piecewise linear functions for two reasons. First, they can uniformly approximate any path over the time interval $[0, T]$ as the number of pieces grows. Second, fixing a low number of pieces often leads to

simpler and smoother paths.

More concretely, we fix a regular subdivision $\{0, \frac{T}{s}, \frac{2T}{s}, \dots, T\}$ of the time interval $[0, T]$ of size s , and we parametrize our candidate trajectory $\mathbf{x}(t)$ as follows:

$$\mathbf{x}(t) = \mathbf{u}_i + t\mathbf{v}_i \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right), \quad \forall i \in [s], \quad (5)$$

where $\mathbf{u}_i, \mathbf{v}_i \in \mathbb{R}^n$ for $i = 1, \dots, s$. We rewrite the objective function and constraints of **OMP**(\mathcal{D}) in this setting directly in terms of $\mathbf{u} := (\mathbf{u}_1, \dots, \mathbf{u}_s)$ and $\mathbf{v} := (\mathbf{v}_1, \dots, \mathbf{v}_s)$. The objective function in **OMP**(\mathcal{D}) can be expressed as $\frac{T}{s} \sum_{i=1}^s \|\mathbf{v}_i\|$, and the obstacle-avoidance constraints become

$$g_k(t, \mathbf{u}_i + t\mathbf{v}_i) \geq 0 \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right), \quad \forall i \in [s], k \in [m].$$

To ensure continuity of the path $\mathbf{x}(t)$ at the grid point $i\frac{T}{s}$, for $i = 0, \dots, s$, we need to impose the additional constraint $h_i(\mathbf{u}, \mathbf{v}) = 0$ with $h_i(\mathbf{u}, \mathbf{v}) := \mathbf{u}_i + \frac{iT}{s}\mathbf{v}_i - (\mathbf{u}_{i+1} + \frac{iT}{s}\mathbf{v}_{i+1})$, and the convention that $\mathbf{u}_0 = \mathbf{x}_0$, $\mathbf{v}_0 = 0$, $\mathbf{u}_{s+1} = \mathbf{x}_T$, and $\mathbf{v}_{s+1} = 0$.

In conclusion, when specialized to piecewise-linear paths of type (5), problem **OMP**(\mathcal{D}) becomes

$$\begin{aligned} \rho(s; \mathcal{D}) = \min_{\mathbf{u}, \mathbf{v}} \quad & \frac{T}{s} \sum_{i=1}^s \|\mathbf{v}_i\| \\ \text{s.t.} \quad & h_i(\mathbf{u}, \mathbf{v}) = 0 \quad \forall i \in \{0, \dots, s+1\} \\ & g_k(t, \mathbf{u}_i + t\mathbf{v}_i) \geq 0 \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right), \\ & \quad k \in [m], i \in [s]. \end{aligned} \quad \text{LMP}(s; \mathcal{D})$$

LMP($s; \mathcal{D}$) is a nonlinear, nonconvex optimization problem in the variables (\mathbf{u}, \mathbf{v}) . The main difficulty comes from the global constraints

$$g_k(t, \mathbf{u}_i + t\mathbf{v}_i) \geq 0, \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right), \quad \forall i \in [s]. \quad (6)$$

B. A hierarchy of SDPs to find the best piecewise-linear path

For any optimal motion problem **OMP**(\mathcal{D}), and for any number of pieces s , we present a hierarchy of semidefinite programs **SDP**($r, s; \mathcal{D}$) indexed by a scalar r with the following properties: (i) at every level r , the optimal value of **SDP**($r, s; \mathcal{D}$) is a lower bound on that of **LMP**($s; \mathcal{D}$), (ii) under a compactness assumption, the the optimal value of **SDP**($r, s; \mathcal{D}$) converges monotonically to that of **LMP**($s; \mathcal{D}$), and (iii) under a compactness and uniqueness assumption, the optimal solution of **SDP**($r, s; \mathcal{D}$) converges to that of **LMP**($s; \mathcal{D}$).

As a preliminary step, for each piece $i \in [s]$, we introduce a scalar variable z_i that represents the length $\|\mathbf{v}_i\|$ of that piece. Mathematically, we impose the constraints

$$h_i^z(\mathbf{u}, \mathbf{v}, \mathbf{z}) = 0 \text{ and } z_i \geq 0 \quad i \in [s], \quad (7)$$

where $h_i^z(\mathbf{u}, \mathbf{v}, \mathbf{z}) = z_i^2 - \left(\frac{T}{s}\|\mathbf{v}_i\|\right)^2$ for $i \in [s]$. We introduce the auxiliary variable z_i in this seemingly complicated way (instead of simply taking $z_i = \|\mathbf{v}_i\|$) to make the functions appearing in the objective and constraints of **LMP**($s; \mathcal{D}$) polynomial functions.

We are now ready to follow the moment approach presented in section II. We fix a positive integer r , and we

construct the moment relaxation of order r of problem **LMP**($s; \mathcal{D}$). For that, we need to specify the decision variables, objective, and constraints. Our decision variable is a truncated sequence $\phi \in \mathcal{M}_r((2n+1) \times s)$ (that should be viewed as a sequence of “pseudo-moments” in variables $\mathbf{u} \in \mathbb{R}^{n \times s}$, $\mathbf{v} \in \mathbb{R}^{n \times s}$, and $\mathbf{z} \in \mathbb{R}^s$ up to degree r). Intuitively, ϕ represents a “pseudo-distribution” over candidate paths. Our objective function is $\sum_{i=1}^s L_\phi(z_i)$, and our constraints are the moment consistency constraints

$$L_\phi(1) = 1 \text{ and } M_\phi(1) \succeq 0, \quad (8)$$

the continuity constraints

$$L_\phi((\mathbf{u}, \mathbf{v}, \mathbf{z})^\alpha h_i(\mathbf{u}, \mathbf{v})) = 0 \quad (9)$$

for all $\alpha \in \mathbb{N}_{r-1}^{s(2n+1)}$ and $i \in \{0, \dots, s\}$, the obstacle avoidance constraints

$$M_\phi(g_k(t, \mathbf{u}_i + t\mathbf{v}_i)) \succeq 0 \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s}\right] \quad (10)$$

for all $k \in [m]$ and $i \in [s]$, and the constraints

$$L_\phi((\mathbf{u}, \mathbf{v}, \mathbf{z})^\alpha h_i^z(\mathbf{u}, \mathbf{v}, \mathbf{z})) = 0 \text{ and } M_\phi(z_i) \succeq 0 \quad (11)$$

coming from the definition of \mathbf{z} in (7) for all $\alpha \in \mathbb{N}_{r-2}^{s(2n+1)}$ and $i \in [s]$.

In conclusion, the moment relaxation of order r of problem **LMP**($s; \mathcal{D}$) is the SDP

$$\begin{aligned} \rho(r, s; \mathcal{D}) = \min_{\phi \in \mathcal{M}_{r, (2n+1) \times s}} \quad & \sum_{i=1}^s L_\phi(z_i) \\ \text{s.t.} \quad & \phi \text{ satisfies (8) to (11),} \end{aligned} \quad \text{SDP}(r, s; \mathcal{D})$$

We emphasize that the objective function of **SDP**($r, s; \mathcal{D}$) is linear, and that its constraints are valid SDP constraints. Indeed, constraints (8), (9) and (11) are (scalar or matrix) linear inequalities, while the time-varying inequalities in (10) translates to positive semidefinite constraints on the ϕ_α 's and some additional auxiliary variables in view of Proposition 1.

Theorems 1 and 2 below¹ present the main results of this section. They are related respectively to the optimal value and optimal solution of **SDP**($r, s; \mathcal{D}$).

Theorem 1: Consider the motion planning problem **OMP**(\mathcal{D}) given by data $\mathcal{D} = (\mathbf{x}_0, \mathbf{x}_T, \{g_1, \dots, g_m\})$. The sequence $\{\rho(r, s)\}_{r \in \mathbb{N}}$ of optimal values of **SDP**($r, s; \mathcal{D}$) is nondecreasing and is upper bounded by the optimal value $\rho(s; \mathcal{D})$ of **LMP**($s; \mathcal{D}$). (In particular, if $\rho(r, s; \mathcal{D}) = \infty$ for some $r \in \mathbb{N}$, then problem **LMP**($s; \mathcal{D}$) is infeasible.) Furthermore, if

$$g_m(t, \mathbf{x}) = R^2 - \|\mathbf{x}\|^2 \quad \forall \mathbf{x} \in \mathbb{R}^n, \forall t \in \mathbb{R} \text{ for some } R > 0, \quad (12)$$

then $\rho(r, s; \mathcal{D}) \rightarrow \rho(s; \mathcal{D})$ as $r \rightarrow \infty$.

Assumption (12) is needed for technical reasons but is not restrictive in practice. Indeed, in most motion planning problems, the configuration space is bounded, in which case we can append the polynomial $g(t, \mathbf{x}) := R^2 - \|\mathbf{x}\|^2$ to the list of polynomials in \mathcal{D} without loss of generality.

Theorem 2: Consider the motion planning problem **OMP**(\mathcal{D}) given by data $\mathcal{D} = (\mathbf{x}_0, \mathbf{x}_T, \{g_1, \dots, g_m\})$. Under assumption (12), for any $r \in \mathbb{N}$, the optimal value of **SDP**($r, s; \mathcal{D}$) is attained by some element $\phi^r \in$

¹The proofs of these results were omitted to conserve space. They can be found in [1]

$\mathcal{M}_r((2n+1) \times s)$. Furthermore, if $\text{LMP}(s; \mathcal{D})$ has a unique optimal solution

$$\mathbf{x}^*(t) := \mathbf{u}_i^* + t\mathbf{v}_i^*, t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s} \right] \quad i \in [s], \quad (13)$$

then $L_{\phi^r}(\mathbf{u}_i) \rightarrow \mathbf{u}_i^*$ and $L_{\phi^r}(\mathbf{v}_i) \rightarrow \mathbf{v}_i^*$ as $r \rightarrow \infty$ for $i \in [s]$.

C. Detecting optimality of a solution to $\text{SDP}(r, s; \mathcal{D})$

The results of Theorems 1 and 2 presented in the previous section are asymptotic. For a given number of pieces s and a given relaxation order r , the optimal value of $\text{SDP}(r, s; \mathcal{D})$ provides only a lower bound on that of $\text{LMP}(s; \mathcal{D})$. Recovering the shortest piecewise-linear path or its corresponding length requires taking r to infinity in general. The following proposition shows that, if some conditions that are easily checkable hold, we can get the same recovery guarantees for finite r .

Proposition 2: For integers s and n , if an optimal solution $\phi \in \mathcal{M}_{r, (2n+1) \times s}$ of $\text{SDP}(r, s; \mathcal{D})$ satisfies $L_\phi(\|\mathbf{u}_i\|^r) = \|L_\phi(\mathbf{u}_i)\|^r$, $L_\phi(\|\mathbf{v}_i\|^r) = \|L_\phi(\mathbf{v}_i)\|^r$, and $L_\phi(z_i^r) = L_\phi(z_i)^r$ for $i \in [s]$, then the piecewise-linear path

$$\mathbf{x}^*(t) := L_\phi(\mathbf{u}_i) + tL_\phi(\mathbf{v}_i) \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s} \right], \quad \forall i \in [s],$$

is optimal for $\text{LMP}(s; \mathcal{D})$.

Other than its obvious practical benefit, the result of Proposition 2 inspires the iterative approach we present in section IV.

Example 1: Consider the simple instance of $\text{OMP}(\mathcal{D})$ in dimension $n = 2$ given by data $\mathcal{D} = (\mathbf{x}_0, \mathbf{x}_T, \{g_1, \dots, g_5\})$, where $\mathbf{x}_0 = (0, -1)^T$, $\mathbf{x}_T = (0, 1)^T$, $g_1(t, \mathbf{x}) = 1 - x_1$, $g_3(t, \mathbf{x}) = 1 + x_1$, $g_3(t, \mathbf{x}) = 1 - x_2$, $g_4(t, \mathbf{x}) = 1 + x_2$, $g_5(t, \mathbf{x}) = (x_1 + \frac{1}{3})^2 + (x_2 - \frac{1}{5})^2 - t(x_1 + \frac{1}{3})^3 - (\frac{1}{2})^2$. We search for paths that are piecewise-linear of the form in (5) with $s = 2$ pieces. By computing the optimal values of $\text{SDP}(r, s; \mathcal{D})$ for $r \in \{3, 4, 5, 6\}$, we obtain the nondecreasing sequence of lower bounds

r	3	4	5	6
$\text{SDP}(r, s; \mathcal{D})$	0.75	1.81	2.09	2.14

on the length of any piecewise-linear path with 2 pieces that starts in \mathbf{x}_0 , ends at \mathbf{x}_T , and avoids the obstacles given by the polynomials $\{g_1, \dots, g_5\}$. In particular, no such path has length smaller than 2.14. We check numerically that for $r = 6$, the optimal solution ϕ of $\text{SDP}(r, s; \mathcal{D})$ returned by the solver satisfies the requirement of proposition 2, and we extract from ϕ the path whose length is 2.14.

D. A sparse version of the SDP hierarchy $\text{SDP}(r, s; \mathcal{D})$

In this section we briefly describe how one may reduce the size of the semidefinite programs $\text{SDP}(r, s; \mathcal{D})$ by exploiting an inherent sparsity of $\text{LMP}(s; \mathcal{D})$. If we partition the decision variables $(\mathbf{u}, \mathbf{v}, \mathbf{z})$ of $\text{LMP}(s; \mathcal{D})$ as $V_1 \cup \dots \cup V_s$, where for each $i \in [s]$, $V_i := \{\mathbf{u}_i, \mathbf{v}_i, z_i, \mathbf{u}_{i+1}, \mathbf{v}_{i+1}, z_{i+1}\}$, then each constraint that appear in (9), (10), or (11) involves only the variables of exactly one of the V_i 's. Furthermore, the family $\{V_1, \dots, V_s\}$ satisfies the *Running Intersection Property* (RIP), that is,

$$\forall i \in [s-1], \exists k \leq i, (V_1 \cup \dots \cup V_i) \cap V_{i+1} \subset V_k. \quad (\text{RIP})$$

Following [33], [15], we replace the single truncated sequence of “pseudo-moments” $\phi \in \mathcal{M}_{r, (2n+1) \times s}$ in all variables of V with s truncated sequences $\phi_1, \dots, \phi_s \in \mathcal{M}_{r, 2n+1}$, where for each $i \in [s]$, ϕ_i is a truncated sequence

of “pseudo-moments” in the variables of V_i . Intuitively, ϕ_i represents a “pseudo-distribution” from which the i -th piece of our candidate piecewise-linear path is sampled. Without entering into details beyond the scope of this paper we can prove that theorems 1 and 2 hold if $\text{SDP}(r, s; \mathcal{D})$ is replaced with the SDP

$$\begin{aligned} \rho'(r, s; \mathcal{D}) = \min_{\phi_i} \quad & \sum_{i=1}^s L_{\phi_i}(z_i) \\ \text{s.t.} \quad & L_{\phi_i}(1) = 1, \quad M_{\phi_i}(1) \succeq 0, \quad i \in [s-1] \\ & L_{\phi_i}((V_i, V_{i+1})^\alpha \tilde{h}_i(V_i, V_{i+1})) = 0 \quad \forall \alpha \in \mathbb{N}_{2r-1}^{4n}, \quad i \in [s], \\ & L_{\phi_i}((V_i, V_{i+1})^\alpha \tilde{h}_i^z(V_i)) = 0 \quad \forall \alpha \in \mathbb{N}_{2r-2}^{4n}, \quad i \in [s], \\ & M_{\phi_i}(g_k(t, \mathbf{u}_i + t\mathbf{v}_i)) \succeq 0 \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s} \right], \\ & \quad k \in [m]; \quad i \in [s-1], \end{aligned} \quad (\text{SparseSDP}(r, s; \mathcal{D}))$$

where for each $i \in \{0, \dots, s\}$, \tilde{h}_i is the polynomial function such that $\tilde{h}_i(V_i, V_{i+1}) = h_i(\mathbf{u}, \mathbf{v})$, and for each $i \in [s]$, \tilde{h}_i^z is the polynomial function such that $\tilde{h}_i^z(V_i) = h_i^z(\mathbf{u}, \mathbf{v}, \mathbf{z})$. The main feature of $\text{SparseSDP}(r, s; \mathcal{D})$ when compared to $\text{SDP}(r, s; \mathcal{D})$ is that its constraints involve localizing matrices with pseudo-moments on $2(2n+1)$ variables (instead of $s(2n+1)$ variables in $\text{SDP}(r, s; \mathcal{D})$). For more details about the use of sparsity in polynomial optimization problems, interested reader is referred to [16].

IV. MOMENT MOTION PLANNER: AN ITERATIVE OPTIMIZATION PROCEDURE OVER PIECEWISE-LINEAR PATHS

Algorithm 1 MMP: Moment Motion Planner

- 1: **Input:** Data $\mathcal{D} = (\{\mathbf{x}_0, \mathbf{x}_T, \{g_1, \dots, g_m\}\})$ order r of the moment relaxation, number of iterations N , trade-off constant $\lambda > 0$.
- 2: Initialize $\phi^{(0)} = (\phi_1^{(0)}, \dots, \phi_s^{(0)})$ randomly, where for each $i \in [s]$, $\phi_i^{(0)} \in \mathcal{M}_{r, 2n}$. In other words, for each $i \in [s]$ and $\alpha \in \mathbb{N}_r^n$, draw the scalar $\phi_{i, \alpha}^{(0)}$ from a random distribution, e.g., a standard normal distribution.
- 3: **for** $t = 1, \dots, N$ **do**
- 4: Let $\phi^{(t)}$ be a minimizer of (18) with $\bar{\phi} = \phi^{(t-1)}$ subject to (14), (15), and (16).
- 5: Return the piecewise-linear path defined by

$$\mathbf{x}^*(t) := L_{\phi_i^{(N)}}(\mathbf{u}_i) + tL_{\phi_i^{(N)}}(\mathbf{v}_i)$$
 for every $t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s} \right]$ and every $i \in [s]$.

As we have seen in the previous section, the optimal values $\rho(r, s)$ of the SDPs in the hierarchy $\text{SDP}(r, s; \mathcal{D})$ are nondecreasing lower bounds on the optimal value $\rho(s)$ of $\text{OMP}(\mathcal{D})$. As a downside, a feasible path cannot possibly be extracted from a solution of one of these SDPs (at order, say, r) unless r is large enough so that $\rho(r, s) = \rho(s)$. The order r needed for that to happen is in general prohibitively large.

To address this issue, we present in Algorithm 1 a practical motion planner, called MMP, that avoids the scalability issue of the above hierarchy when r increases. MMP is also based on a moment relaxation and is inspired from $\text{SDP}(r, s; \mathcal{D})$. However, it has two distinctive features when compared to $\text{SDP}(r, s; \mathcal{D})$: (i) it produces feasible paths already for low orders r (taking $r = 2$ produced good results in all of our benchmarks) and (ii) the optimal values produced by MMP are not necessarily lower bounds on $\rho(s)$. In other terms, MMP trades off some the theoretical guarantees of $\text{SDP}(r, s; \mathcal{D})$ for more efficiency.

More precisely, MMP is an iterative algorithm. At every iteration, we solve an SDP that is similar in spirit to $\text{SDP}(r, s; \mathcal{D})$ with a few key differences. First, we drastically decrease the number of decision variables. We completely discard the variable \mathbf{z} , and we take inspiration from the sparsity considerations reviewed in section III-D to partition the remaining variables (\mathbf{u}, \mathbf{v}) of $\text{LMP}(s; \mathcal{D})$ as $W_1 \cup \dots \cup W_s$, where for each $i \in [s]$, $W_i := \{\mathbf{u}_i, \mathbf{v}_i\}$. Then, we take as decision variables of our inner SDP s truncated sequences $\phi := (\phi_1, \dots, \phi_s)$, where for each $i \in [s]$, $\phi_i \in \mathcal{M}_{r, 2n}$ is a truncated sequence of “pseudo-moments” in variables W_i . Intuitively, each ϕ_i represents a “pseudo-distribution” from which the i -th piece of our candidate piecewise-linear path is sampled. Note that the family of sets $\{W_1, \dots, W_s\}$ does not satisfy the (RIP) property anymore. This is the main reason why MMP lacks some of the theoretical guarantees of the moment relaxation $\text{SDP}(r, s; \mathcal{D})$.

Then, we adapt the constraints of our inner SDP to our new choice of decision variables. In addition to the classical moment-consistency constraints

$$L_{\phi_i}(1) = 1 \text{ and } M_{\phi_i}(1) \succeq 0 \quad \forall i \in [s], \quad (14)$$

we impose the the continuity constraints

$$L_{\phi_i}((\mathbf{u}_i + \frac{iT}{s} \mathbf{v}_i)^\alpha) = L_{\phi_{i+1}}((\mathbf{u}_{i+1} + \frac{iT}{s} \mathbf{v}_{i+1})^\alpha) \quad \forall \alpha \in \mathbb{N}_{r-2}^{2n} \quad (15)$$

between endpoints of pieces i and $i+1$ for each $i \in [s]$, and the obstacle-avoidance constraints

$$M_{\phi_i}(g_k(t, \mathbf{u}_i + t\mathbf{v}_i)) \succeq 0 \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s} \right] \quad (16)$$

for each obstacle $k \in [m]$ and for each piece $i \in [s]$.

Finally, let us explain our choice of objective function. Motivated by Proposition 2, we would ideally like to take the objective function of our SDP to be $\sum_{i=1}^s \|L_{\phi_i}(\mathbf{v}_i)\| + \lambda J(\phi)$, where $\lambda > 0$ and

$$J(\phi) = \sum_{i=1}^s L_{\phi_i}(\|\mathbf{u}_i\|^r) - \|L_{\phi_i}(\mathbf{u}_i)\|^r + L_{\phi_i}(\|\mathbf{v}_i\|^r) - \|L_{\phi_i}(\mathbf{v}_i)\|^r \quad (17)$$

The intuition is that, if $J(\phi) = 0$ for some $\phi = (\phi_1, \dots, \phi_s)$ satisfying (15) and (16), then the path

$$\mathbf{x}^*(t) := L_{\phi_i}(\mathbf{u}_i) + t L_{\phi_i}(\mathbf{v}_i) \quad \forall t \in \left[\frac{(i-1)T}{s}, \frac{iT}{s} \right], \quad \forall i \in [s],$$

is feasible to $\text{OMP}(\mathcal{D})$. The constant λ controls the trade-off between minimizing the length of the path and enforcing that the path is feasible. The issue with objective function (17) is that the function J is nonconvex. As a workaround, we

n	Methods	Static Obstacles				Dynamic Obstacles			
		success rate	length	smoothness	solve time	success rate	length	smoothness	solve time
2	RRT	40%	3.73	0.06	0.02	50%	3.59	0.12	0.06
	NLP	0%	nan	nan	nan	20%	3.4	0.06	0.06
	MMP	60%	3.0	0.03	0.43	50%	2.85	0.03	0.43
3	RRT	50%	5.74	0.13	0.12	40%	4.82	0.1	0.23
	NLP	70%	3.44	0.05	0.12	60%	3.48	0.06	0.17
	MMP	100%	3.5	0.04	0.47	100%	3.55	0.04	0.47
4	RRT	60%	7.67	0.15	1.43	0%	nan	nan	nan
	NLP	80%	3.99	0.08	0.25	90%	4.34	0.1	0.2
	MMP	100%	4.11	0.05	0.55	100%	4.1	0.05	0.55

Fig. 2: Average success, smoothness, and solve-time comparison of RRT, NLP and MMP (proposed) methods over 10 static and dynamic motion planning problems.

replace J in (17) with its linearization around a reference point $\bar{\phi} = (\bar{\phi}_1, \dots, \bar{\phi}_s)$, leading to the objective function

$$\sum_{i=1}^s \|L_{\phi_i}(\mathbf{v}_i)\| + \lambda \bar{J}(\phi; \bar{\phi}), \quad (18)$$

where $\bar{J}(\phi; \bar{\phi})$ is given by

$$J(\bar{\phi}) + \sum_{i=1}^s L_{\phi_i}(\|\mathbf{u}_i\|^r) - (r-1)L_{\phi_i}(\mathbf{u}_i)\|L_{\bar{\phi}_i}(\mathbf{u}_i)\|^{r-1} + L_{\phi_i}(\|\mathbf{v}_i\|^r) - (r-1)L_{\phi_i}(\mathbf{v}_i)\|L_{\bar{\phi}_i}(\mathbf{v}_i)\|^{r-1}$$

In the t -th iteration of our iterative approach, we take the optimal solution $\phi^{(t-1)}$ obtained from solving the inner SDP at iteration $t-1$, and uses that as $\bar{\phi}$. The elements of $\phi^{(0)}$ are initialized from some random distribution such as the standard normal distribution.

V. NUMERICAL RESULTS: MMP VS NLP VS RRT

Animations of motion planning problems, code to reproduce numerical results of this section, and additional numerical experiments can be found in the *GitHub* repository [1].

Setup. In each dimension $n \in \{2, \dots, 4\}$, we generate 10 motion planning problems where the path is constrained to live in the unit box $B = [-1, 1]^n$ and must avoid 10 static or dynamic spherical obstacles. More precisely, each motion planning problem is given by data $\mathcal{D} = (\mathbf{x}_0, \mathbf{x}_T, \{g_1, \dots, g_m\})$, where $\mathbf{x}_0 = (-1, \dots, -1) \in \mathbb{R}^n$, $\mathbf{x}_T = (1, \dots, 1) \in \mathbb{R}^n$, $i \in [n]$, $g_i(\mathbf{x}) = 1 - x_i$, $g_{i+n}(t, \mathbf{x}) = 1 + x_i$ for $i \in [n]$, $g_{2n+k}(t, \mathbf{x}) = \|\mathbf{x} - (\mathbf{c}_k + t\mathbf{v}_k)\|^2 - (\frac{2}{10})^2$. The centers \mathbf{c}_k are sampled uniformly at random from B , the velocities \mathbf{v}_k are either identically zero in the static case, or sampled uniformly from B in the dynamic case.

Comparison. In figure 2, we compare our MMP solver (with $r = 2$, $N = 20$, and $\lambda = 0.1$) against a classical sampling-based technique (RRT) and basic nonlinear programming baseline (NLP) implemented with the help of the KNITRO.jl package [3]. MMP consistently achieves higher success rates, significantly shorter and smoother trajectories (the smoothness of a path $\mathbf{x}(t)$ is given by $\int_0^T \left(\dot{\mathbf{x}}(t) - \int_0^T \dot{\mathbf{x}}(s) ds \right)^2 dt$). The solve times are higher but remain highly practical.

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