



Saving the Princess with a Reinforcement Learning Agent

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Introduction

This report explores the reinforcement learning processes and applies it to a challenging task that is playing Mario. Two main algorithms are experimented with Proximal Policy Optimization (PPO) and Deep Q-Networks (DQN) are compared in terms of their hyperparameters and performance. The temporal interfacing layer is implemented with Cnn and Mlp and comparison based on prior knowledge is made. The figures are visualized with Tensorboard and the results are discussed.

Keywords: PyTorch; NumPy; Reinforcement Learning; SuperMarioBros; PPO; DQN; Tensorboard;

1. Basic Questions

1. **Agent:** The agent is the entity that interacts with the environment. It tries to maximize the reward it can acquire. In our case, the agent is the Mario character. In supervised learning the agent can be the model that is trained with the data.
2. **Environment:** The environment is the world in which the agent lives. In other words, the system is the environment, it consist of problems or rewards. In our case, the environment is the Super Mario Bros game. In supervised learning the closest thing to the environment can be the data that is used to train the model.
3. **Reward:** The reward is the feedback that the agent receives from the environment. In each state the reward is calculated and the agent learns upon that reward trying to increase it. In our case, the reward is the score that Mario gets from the game. In supervised learning the reward can be the loss function that is used to train the model.
4. **Policy:** The policy is the series of mappings acting as a "strategy" that the agent uses to determine the next action. In our case, the policy is the strategy that Mario uses to determine the next action. In supervised learning policy does not exist.
5. **Exploration:** The exploration is the process of the agent trying to find the best policy. In our case, the exploration is the process of Mario trying to find the best strategy to get the highest score. In supervised learning

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the exploration can be the process of the model trying to find the best parameters to minimize the loss function.

6. **Exploitation:** The exploitation is the process of the agent using the best policy it found and not altering it but perfecting. In our case, the exploitation is the process of Mario tweaking the best strategy it has found to get the highest score but it does not search for a complete new strategy. In supervised learning a comparable term does not exist.

2. Benchmarking

2.1. PPO

The subjects of the experiments considering the PPO algorithm are the following. Each column represents hyperparameters of that algorithm. The hyperparameters are as follows:

Tag	Policy	Learning Rate	Preprocessing	Preprocessing
PPO_1	'CnnPolicy'	0.000001	4 Stack	Grayscale
PPO_2	'CnnPolicy'	0.0001	4 Stack	Grayscale
PPO_3	'CnnPolicy'	0.000001	8 Stack	Grayscale

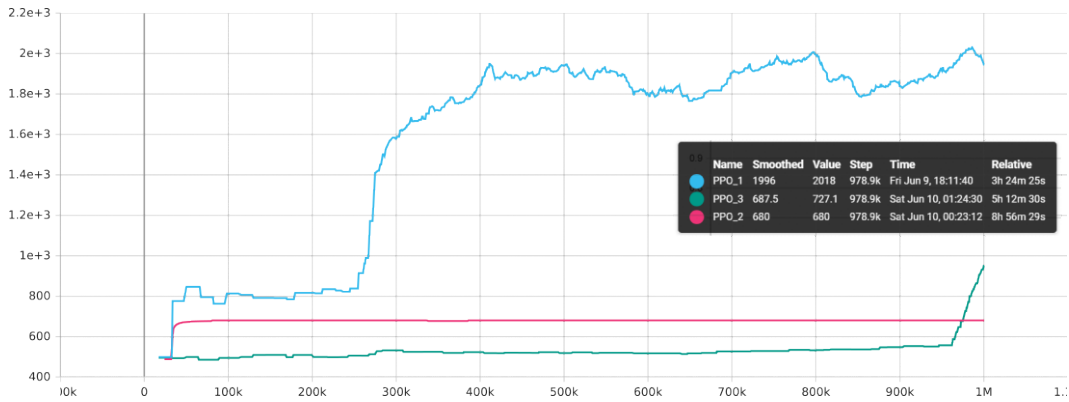


Fig. 1. r_ep_reward_mean vs timesteps (1M)

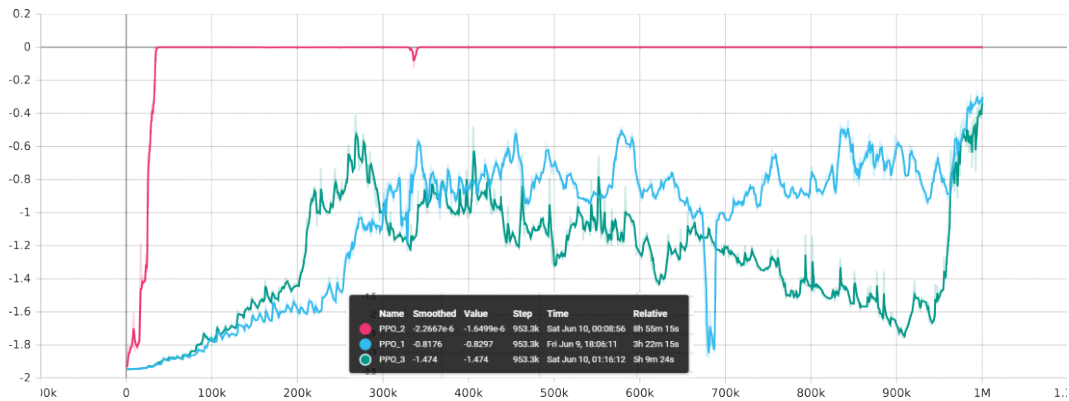


Fig. 2. entropy_loss vs timesteps (1M)

2.2. DQN

The subjects of the experiments considering the DQN algorithm are the following. Each column represents hyperparameters of that algorithm. The hyperparameters are as follows:

Tag	Policy	Batch Size	Preprocessing	Buffer Size
DQN_2	'CnnPolicy'	192	4 Stack	10000
DQN_4	'CnnPolicy'	192	4 Stack	20000
DQN_1	'CnnPolicy'	192	8 Stack	10000

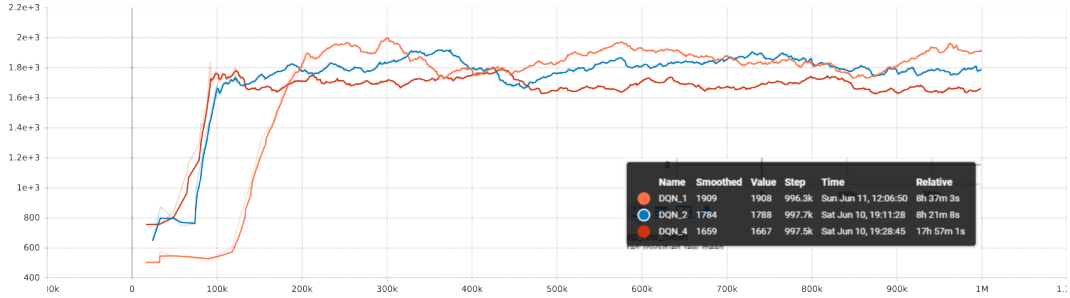


Fig. 3. r.ep.rew.mean vs timesteps (1M)

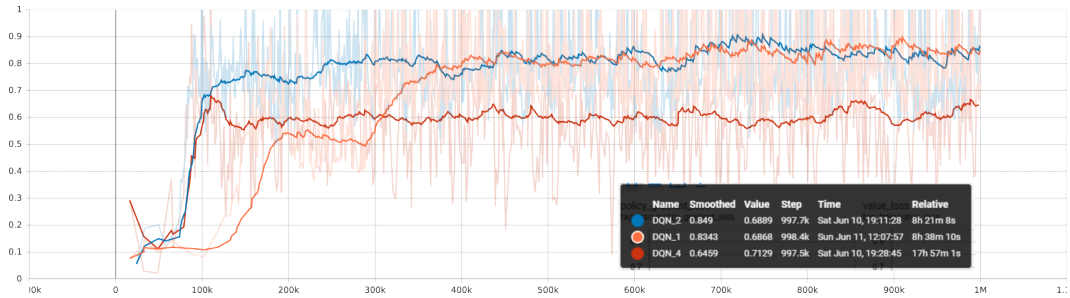


Fig. 4. loss vs timesteps (1M)

2.3. Comparison

The comparison of the two algorithms is shown in the following figures.

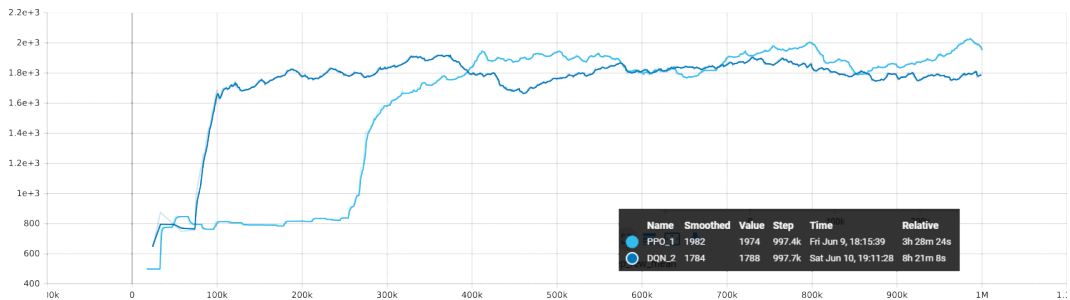


Fig. 5. r.ep.rew.mean vs timesteps (1M)

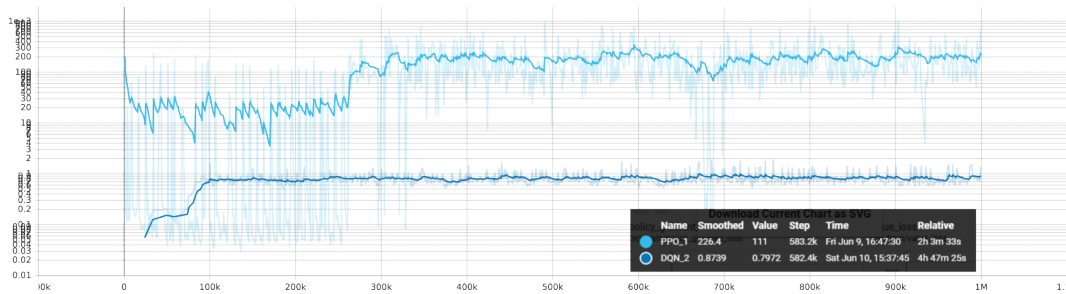


Fig. 6. loss vs timesteps (1M)

3. Discussions

1. Mario struggles to jump over the pipes up to the iteration number between 100000 and 200000. At around 200000 it can get points from blocks and killing enemies at maximum it could get 700 points. In this time period it did not explore much to get points from blocks nor it knows the question marked blocks.
2. DQN is shown to perform better faster than PPO. But on the end they both saturated around the same reward. When we compare the training time, PPO is trained faster than DQN. Then it is a matter of computation power on the training time.
3. Exploration and exploitation performance can be compared with the reward plot. The DQN exploits more hence it converges faster but the peak performance is limited. PPO explores more and it converges more slowly but the peak performance is higher than DQN.
4. In terms of generalization performance, both algorithms seem to be performing badly. The DQN is a little better than PPO. PPO likes to jump continuously in places that it is not familiar with. Again it is not easily observed but DQN is a little better than PPO in terms of both generalization and adaptation.
5. Only a couple of hyperparameters are compared. Starting with the PPO, default parameters worked best, increasing the learning rate made the performance worse. In terms of preprocessing stack size, increasing it to 8 made the training take longer hence its performance cannot be compared since it needed more training steps.

On the DQN case, in terms of reward buffer size, it does not make a difference. When the losses are compared in the buffer size experiment, the 20000 buffer size is better than the 10000 buffer size. The higher the buffer size, the more RAM required for the training process. In terms of stack size, a stack size of 8 has a better end-game performance but again it took more timesteps to train.

6. DQN consists of deep Q-networks and the training process is more computationally expensive than PPO. The training performance shows that although it is slower to train, it learned in less steps to play Mario. The practical comparison tells us that PPO is better for more "realtime" applications where DQN is better for more "offline" applications.
7. Comparison of 'MlpPolicy' and 'CnnPolicy' without making an experimentation is possible since it is known that for more complex temporal inputs such as complex game screens, the 'CnnPolicy' is better since it can understand the important aspects of the complex inputs such as enemies and different textured blocks. The 'MlpPolicy' is more suited to clean binary type inputs.

4. Performance

Watch @ <https://youtu.be/vjRhMvMw6Xc>