

Set membership

with

two classical and quantum probes

Speaker: Jaikumar Radhakrishnan

ICTS Bengaluru

TIFR Mumbai

Joint work with ..., ..., ..., ...

Shyam Dhamapurkar

and

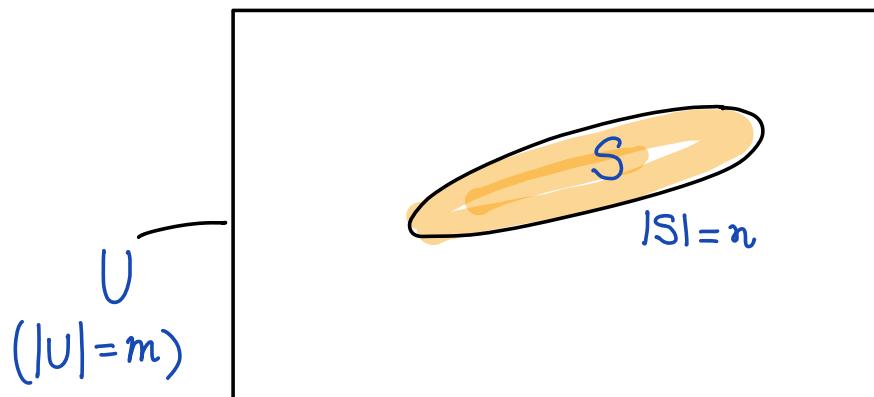
Shubham Pawar

IISc, 28 Oct 2022

# The Problem

$m$ : Size of the universe

$n$ : Size of the subset



Assume  $n \ll m$ .

Task: Represent  $S$  as a bit string  $b$ .

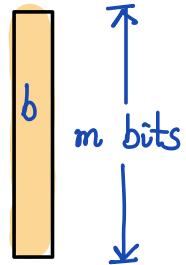
Then, given  $x \in [m]$ , determine if  $x \in S$ , by reading at most  $t$  bits of  $b$ .

Goal: Study the trade-off between  $|b|$  and  $t$ .

# Examples

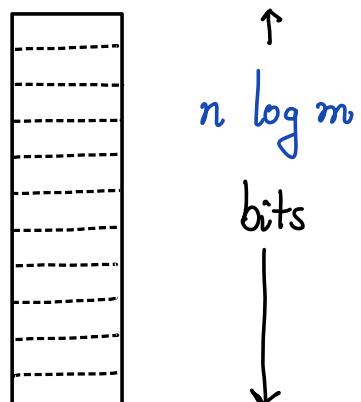
- Characteristic vector

Can answer queries with just one probe.  
( $n$  plays no role.)



- Sorted array

Can answer queries with  
 $(\log n) \times (\log m)$   
bit probes.



$\mathcal{S}(m, n, t)$  = minimum number of bits of storage in a scheme that can answer membership queries with  $t$  bit probes.

Space  
 # probes  
 $\mathcal{S}(m, n, t)$   
 universe size      |      set size

- Characteristic vector:

$$S(m, n, t=1) \leq m \quad \forall m, n$$

- Sorted table:

$$S(m, n, t=(\log m) \cdot (\log n)) = O(n \log m \log n)$$

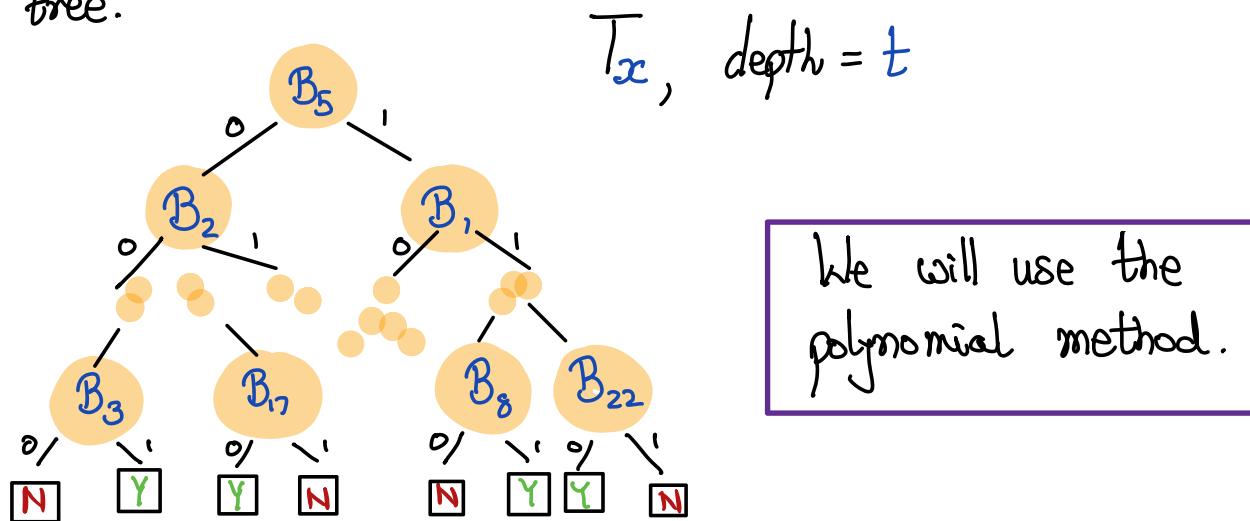
Question: Lower bounds? ... Upper bounds?

## LOWER BOUNDS

Theorem:  $S(m, n, t) \geq t^{\frac{1}{t}} m^{\frac{1}{t}} n^{1-\frac{1}{t}}$

Proof: Fix a scheme that performs the task using  $s$  bits of storage.  
We wish to show that  $s$  is large.

For each query of the form "Is  $x$  in  $S$ ?", there is a query tree.



Idea: Associate with each set  $S$  a polynomial of degree at most  $nt$  and show that these polynomials are linearly independent. So,

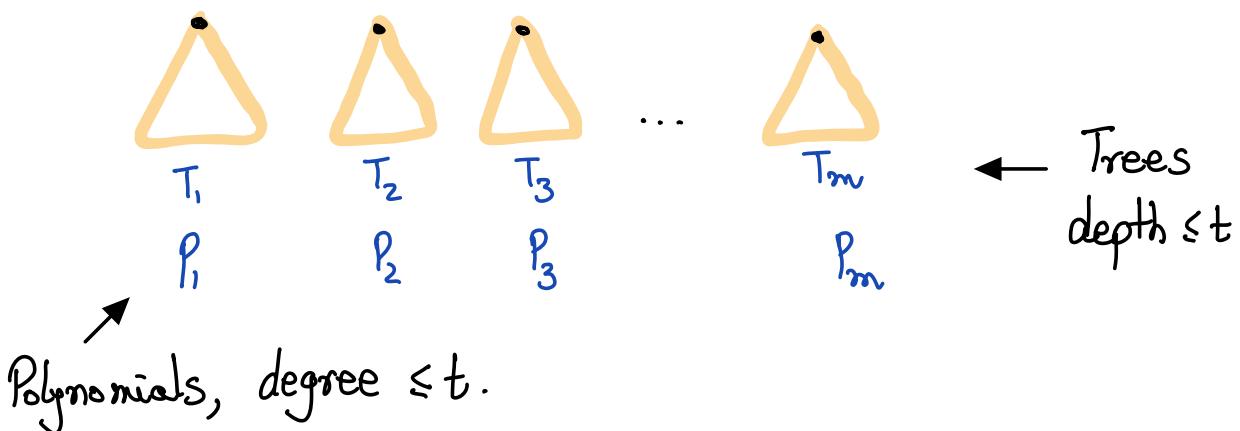
$$\binom{m}{n} \leq \binom{s+nt}{nt} \Rightarrow s \geq t^{\frac{1}{t}} m^{\frac{1}{t}} n^{1-\frac{1}{t}}$$

- Every path corresponds to a monomial of the form

$$B_5 (1-B_1) \dots B_{s2}$$

which evaluates to 1 iff the computation on the tree takes that path.

- For each  $x \in [m]$ , there is a polynomial  $P_x(B_1, \dots, B_s)$  such that  $P_x$  evaluates to 1 on  $(y_1, y_2, \dots, y_s) \in \{0, 1\}^s$  iff  $T_{x^c}$  returns  $\text{Y}$  when the memory is assigned  $(y_1, y_2, \dots, y_s)$ .



$$P_S(B_1, B_2, \dots, B_s) = \prod_{i \in S} P_i(B_1, B_2, \dots, B_s)$$

For each set  $S$ , we have a polynomial  $P_S(B_1, \dots, B_S)$  of degree at most  $nt$ .

CLAIM: These polynomials are linearly independent.

$$\sum_{|S|=n} \alpha_S P_S(B_1, B_2, \dots, B_S) \equiv 0 \Rightarrow \forall S \quad \alpha_S = 0$$

↑ substitute for  $B_1, \dots, B_S$   
 the contents of the memory  
 when  $S$  is stored.

$$\text{So, } \binom{m}{n} \leq \underbrace{\binom{S}{0} + \binom{S}{1} + \dots + \binom{S}{nt}}_{\text{Total number of monomials.}} \leq \binom{S+nt}{nt}.$$

For  $t$  a constant and  $n \leq m$ ,

$$S(m, n, t) \geq t^{\frac{1}{t}} m^{1-\frac{1}{t}} n^{\frac{1}{t}}.$$

Note that for  $t=1$ , no compression is possible.

How good is this lower bound for larger  $t$ ?

## Upper Bounds

Mohit Garg (PhD thesis, 2016)

$$3 \leq t \leq \frac{1}{10} \log \log n \quad (\text{odd})$$
$$n \leq m^{1-\epsilon}$$
$$S(m, n, t) = O\left(m^{\frac{2}{t+1}} n^{1-\frac{2}{t+1}} (\log m)^2\right)$$

What about  $t=2$ ?

$$t=2, \text{ non-adaptive} \quad S(m, n, t=2 \text{ non-adaptive}) = m \quad (n \geq 2)$$

$t=2$ , adaptive

Alon and Feige (2009)

$$S(m, n, 2) = O\left(mn \frac{\log(\log m / n)}{\log m}\right)$$

$$\text{So, } S(m, n, 2) = o(m) \text{ whenever } n = o(\log m).$$

No savings when  $n > \log m$ !

(Mohit Garg's thesis)

$$m^{1-\frac{1}{\lfloor n/4 \rfloor}} \leq S(m, n, 2) \leq m^{1-\frac{1}{4^{n+1}}}$$

No savings are possible if  $n \geq \log m$

Better savings for small values of  $n$ .

Several works have focussed on improving the upper bound for specific values of  $n$ .

$$S(m, n=3, t=2) = \Theta(m^{2/3})$$

[Baig + Kesh 2018, Kesh 2018]

$$S(m, n=4, t=2) = O(m^{5/6})$$

[Baig + Kesh + Sodan 2019]

$$S(m, n=5, t=2) = O(m^{5/6})$$

[ ]

Recent (with Shyam Dhamapukar and Shubham Paor  
 ICALP 2022 )

### Upper bound

$$S(m, n, t=2) = m^{1-\frac{1}{n}} \quad \text{for } n \equiv \pm 1 \pmod{3}$$

$$= m^{1-\frac{1}{(n-1)}} \quad \text{for } n \equiv 0 \pmod{3}$$

$$( S(m, n=8, 9, t=2) = O(m^{6/7}) )$$

### Lower bound

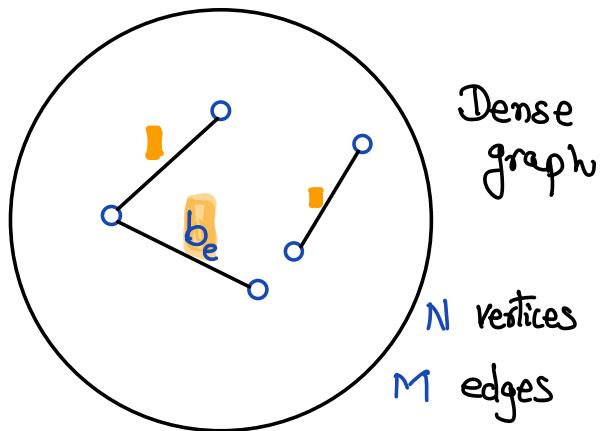
$$S(m, n, t=2) = \Omega\left(m^{1-\frac{2}{(n+3)}}\right).$$

## Upper bound

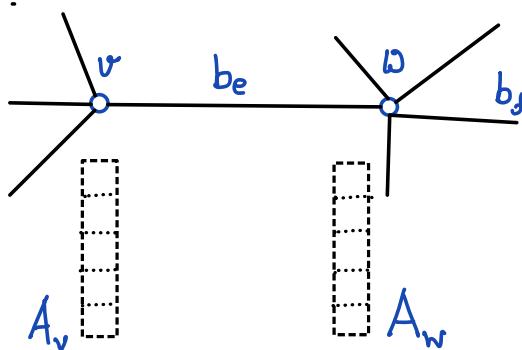
Based on dense graphs of high girth.

The idea

- Several elements  $x$  of the universe are assigned to the same edge  $e$ . They all read the bit  $b_e$  in their first probe.



- The bit  $b_e$  indicates where the answer to the query is stored.



- $m/M$  elements read the same bit  $b_e$ .
- Each array  $A_v$  has  $m/M$  bits.

$$\text{Total space} = M + N(m/M)$$

Key lemma: Suppose  $H$  is a graph with  $M$  edges, of which  $n$  are colored **GREEN** and  $M-n$  are colored **RED**. If  $n \leq \lfloor \frac{3g}{4} \rfloor$ , then the edges of  $H$  can be assigned directions such that if a **GREEN** edge points to a vertex  $v$ , then no other edge points to  $v$ .

The bound is tight.

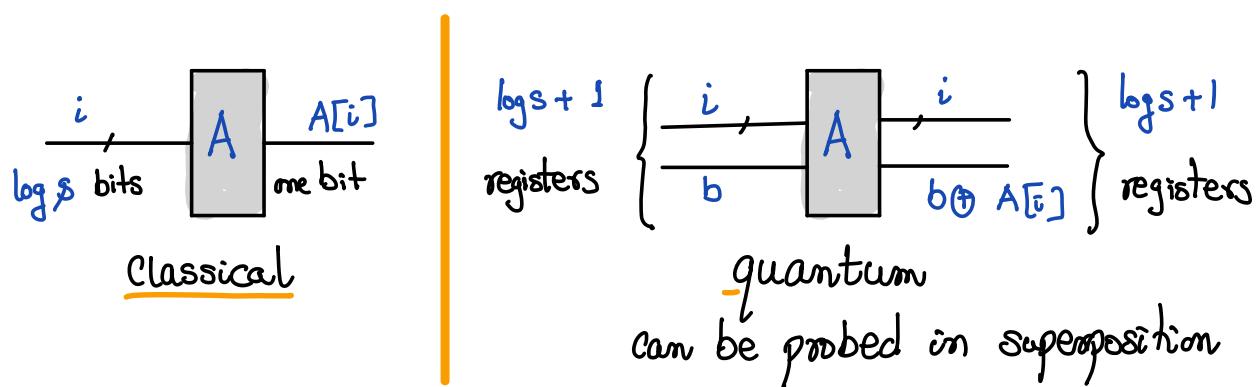
Annoying to prove!

If there are graphs with  $L$  vertices and  $L^{\frac{1+T(g)}{2}}$  edges with girth  $\geq g$ , then

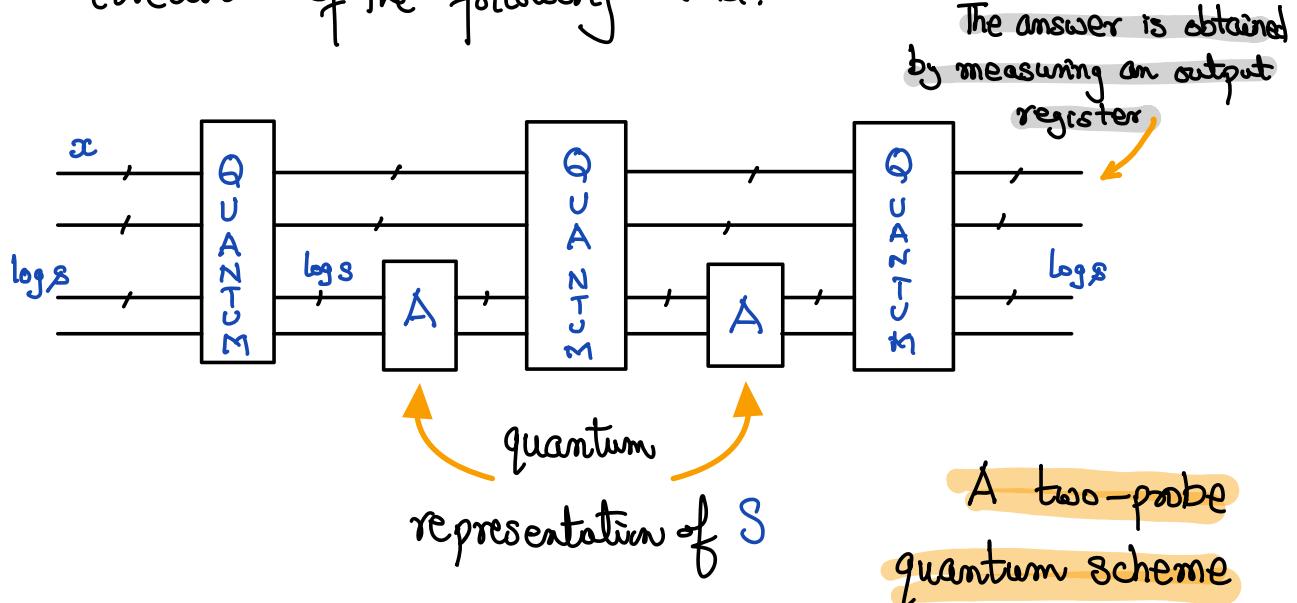
$$S(m, n, t=2) = O\left(m^{\frac{(1+T(\frac{4n}{3}))}{(1+2T(\frac{4n}{3}))}}\right)$$

## Quantum schemes

- As before we represent the set as a bit string.  
A bit array with  $\log s$  bits is made available as the following reversible "quantum hardware".



- A two-probe quantum scheme corresponds to circuit of the following kind.



$S^Q(m, n, t) = \min.$  size of the storage  $A$  so that all queries are answered correctly (with probability 1).

$$S^Q(m, n, t) = \Omega(m^{\frac{1}{t}} n^{1-\frac{1}{t}})$$

Today: The power of two quantum probes.

Fact: Quantum algorithms can compute the XOR of two bits  $A[i]$  and  $A[j]$  by making just one probe into  $A$ .

## Result

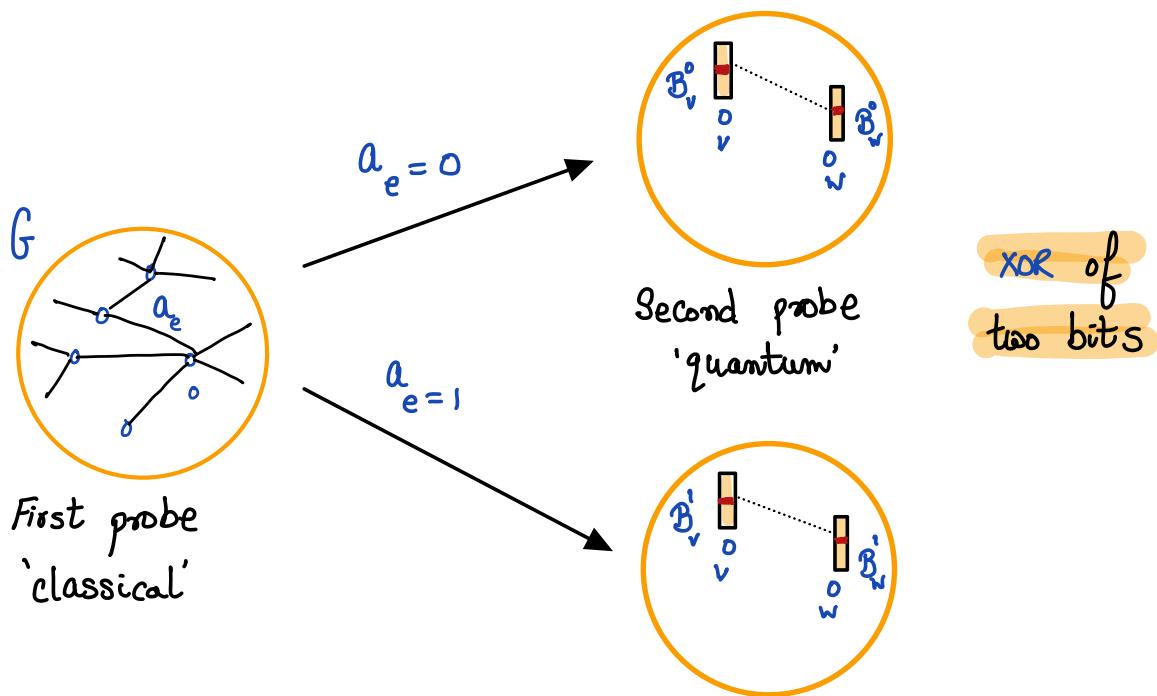
$$S^Q(m, n \leq m^{\frac{1}{8}}, t=2) = O(m^{7/8})$$

even for large sets

only the second probe needs XOR

significantly sublinear

IDEA: Similar to the classical scheme



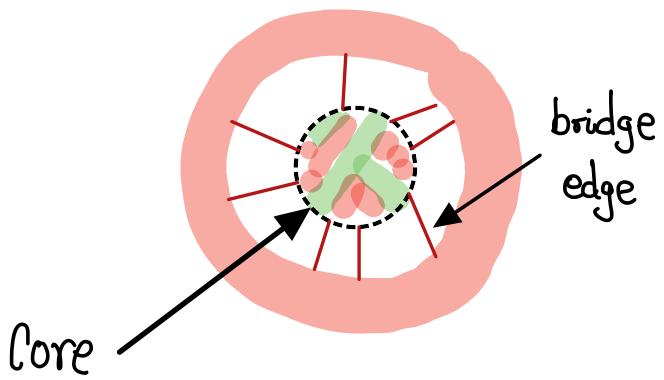
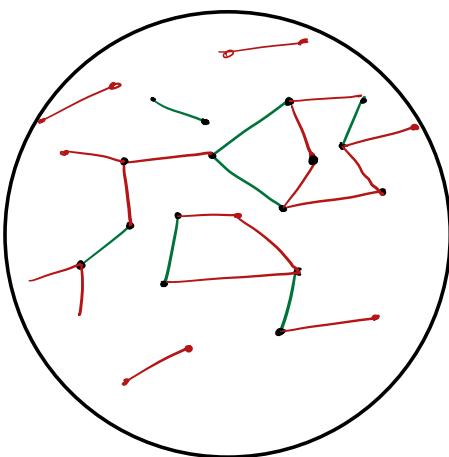
### Space used

- Suppose  $G$  has  $N$  vertices and  $M$  edges.
- Total space =  $M + 2N \left( \frac{m}{M} \right)$

Given a set  $S$ , how does assign set the bits ( $a_e$ ) and  $(B_v^0, B_v^1)$  so that all queries are answered correctly?

Idea:  $|S| = n$

- Call the edges where the elements in  $S$  make their first probe **GREEN**.  
Call the other edges **RED**.



- The **GREEN** edges can be gathered into a sparse core.
- Split the core into two forests.
- Add the bridge edges to one forest; add the rest to the other forest.
- Solve the two systems independently.

## Finding the core

- Start with the **green** edges in the core. If a vertex has two neighbours in the core add it to the core.
- If the graph is **locally sparse**, the process will stop.

## Splitting the graph into forests

Nash-Williams Theorem:  $H$ : an undirected graph

$$\forall \underset{(x \neq \emptyset)}{X \subseteq V} \quad \# \text{edges induced by } X \leq 2(|X|-1).$$

Then, the edges of  $H$  can be partitioned into two forests.

## Locally sparse graphs

$G$  is locally  $(k, \alpha)$ -locally sparse if every  $V' \subseteq V$  with at most  $k$  vertices induces at most  $\alpha k$  edges.

## Existence of locally sparse graphs

Lemma: For all large  $N$ , there is a  $(4N^{1/6}, 5/4)$ -locally sparse graph with  $N$  vertices and  $\Omega(N^{7/6})$  edges.

Pick each edge independently with prob.  $p = \frac{1}{50} N^{-5/6}$ .

$$\Pr[\text{Some } v \in V \text{ induces many edges}] \leq \sum_{l=4}^{4N^{1/6}} \binom{N}{l} \binom{l^2/2}{(5/4)l} p^{\binom{5}{4}l}$$
$$= o(1)$$

$$\mathbb{E}[\# \text{edges}] = p \binom{N}{2}$$

$$\text{whp } \# \text{edge} \geq p \binom{N}{2}/2 = \Omega(N^{7/6}).$$

Thus,  $\exists (4N^{1/6}, 5/4)$ -sparse graph with  $\Omega(N^{7/6})$  edges.

## SUMMARY

- A lower bound

For  $t$  a constant and  $n \leq m$ ,

$$S(m, n, t) \geq t m^{\frac{t}{m}} n^{\frac{t-1}{m}}.$$

We used the polynomial method.

- A classical upper bound

$$\begin{aligned} S(m, n, t=2) &= m^{1-\frac{1}{n}} \quad \text{for } n \equiv \pm 1 \pmod{3} \\ &= m^{1-\frac{1}{(n-1)}} \quad \text{for } n \equiv 0 \pmod{3} \end{aligned}$$

$$(S(m, n=8, 9, t=2) = O(m^{6/7}))$$

Using dense graphs of high girth.

- A quantum upper bound

$$S(m, n \leq m^{\frac{1}{8}}, t=2) = O(m^{7/8})$$

Using dense locally sparse graphs, Nash-Williams theorem

## Questions

- o What is  $\mathcal{S}(m, n, t)$ ?  
 $t$  a constant,  $m \geq n \geq 1$
- o What is  $\mathcal{S}^{\oplus}(m, n, t)$ ?
- o Could even better schemes for  $t=2$  be defined using XOR queries?
- o We know  $\Omega(m^{\frac{2}{3}}) \leq \mathcal{S}(m, 2, 2) \leq O(m^{\frac{2}{3}})$ .  
Can we get tight bounds?

Please see:

10.4230/LIPIcs.ICALP.2022.52

Thanks!