# Can Symmetric Cryptography Be Liberated from the Von Neumann Style?

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In defiance of Hinchliffe's rule, this article sets out to demonstrate that its title can be answered by the word "yes". We show that modern symmetric ciphers can be modeled through a small set of algebraic structures (Boolean algebra, Naperian and circulant functors). We reveal that these ciphers exhibit some interesting compositional structure at the type-level. This enables systematic code transformation, known in the cryptographic folklore as "bitslicing" and "fixslicing". Our work rests on a Coq development providing the specification of two ciphers, Skinny and Gift, deriving a bitsliced and fixsliced implementation for each and proving their correctness with respect to their specifications.

### 1 Introduction

Anyone who has ever read a cryptography paper knows this for a fact: cryptographers are at the top of the academic thought chain. In the archetypal symmetric cryptography paper, there is a "Section 2" scene in which cryptographers are bravely waving their most potent finite field. In a latter "Section 4" scene, the very same cryptographers are seen extolling the virtues of some nifty ARM Cortex assembly instruction, which takes center stage in their hand-crafted, manually optimized implementation. No wonder we jealously stash them away in "zone à régime restrictive"! The rumor goes that, behind these padlocked doors, they have developed a set of domain-specific techniques to deliver high-throughput software implementation of symmetric ciphers. This has been slowly gnawing at compiler writers, whose job security is now threatened [1, 2]. Luckily for us, every now and then, someone inadvertently let the genies out of their Klein bottle and we get to read about the subtle art of "bitslicing" [3] and "fixslicing" [4, 5].

The present work aims at casting these two notions in the language of functional programming. We shall see that bitslicing corresponds to a run-off-the-mill data representation change (in effect, a matrix transposition). But this is only half of the work: our ability to systematically transform the code operating on such representation rests on suitably polymorphic definitions: parametricity at its best! Fixslicing, on the other hand, will be rationalized through equational reasoning over purely functional terms. Identifying commuting expressions is of key importance there: programming with algebraic structures (rather than untamed arrays of bits) will be instrumental!

In the long run, our ambition is to offer cryptographers the conceptual apparatus to better illuminate their "Section 4" scene. Each and every symmetric cryptosystem should not have

to exhibit a bitsliced or fixsliced implementation: by just *specifying* the cryptosystem in the right framework (abstract yet suitably operational), one should get the guarantee that the design can be bitsliced and fixsliced. In fact, one would boldly claim that a compiler could automatically apply these transformations and produce optimized code, starting from an high-level description of the cipher. This is already the case for bitslicing [6], adding support for fixslicing is next on the list.

As intimidating as cryptographers are, the essence of modern symmetric cryptographic primitives can in fact be distilled in a few paragraph. A primitive can be understood as a purely functional programs. It takes two inputs: the "cleartext" and a list of "round subkeys" (which are derived from a single key through a non-performance critical process called "key schedule" that we shall ignore here). The output is the "ciphertext". The cleartext, the ciphertext and each individual round subkeys are processed as "blocks" of binary data. The block size is of the order of a hundred bits (typically, 64, 128 or 256 bits).

Looking at the process of turning the cleartext into a ciphertext, one realizes that cryptographers have been programming in the State monad all along: ciphers are described as operating on a "state", initialized to be the cleartext and read off at the end as the ciphertext. This state is updated by a "round" function that is repeated a given number of times (of the order of ten iterations). The exact number of iterations is a trade-off between latency (less iterations means faster computation) and security (more iterations makes for more costly cryptanalysis effort). The round function itself is built compositionally from 3 components: a key mixing layer (making the encryption process reversible), a confusion layer (smoothing local correlations among the inputs) and a diffusion layer (spreading correlations across the whole output block).

To avoid side-channel attack (for example, based on timing [7]) over software implementations, this pipeline is implemented as a purely combinational circuit. In particular, we are forbidden from performing control-flow operations on secret data, leaving only statically-bounded loops. We are also forbidden from performing memory access based on the secret data, leaving only register spilling.

Following the NSA involvement in the design of the DES confusion layer [8], cryptographers have been advocating for "nothing-up-my-sleeve" designs (which does not make magic tricks impossible, just harder [9]). For example, the confusion layer of the AES standard is based on the usual multiplicative inverse over the finite field induced by an innocent-looking polynomial. To support such design, the state modern ciphers operate on is taken to represent a certain matrix (oftentimes, a 2D or 3D array of bits): the cipher is not specified over a flat sequence of individual bits but over a n-dimensional array of bits.

Moreover, ciphers are now, by and large, designed to be run efficiently on software. The era of hardware-based cryptography is long gone, even for embedded platforms [10]. To achieve high-throughput, ciphers are typically designed so as to admit a bitsliced implementation. Bitslicing enables a form of parallel execution within machine words, relying sometimes explicitly on the availability of vectorized instructions [11, 12]. We thus gain access to a form of CPU-level "scale out" to larger machine words, in particular through single-instruction multiple-data (SIMD) instructions. Doubling the size of machine words yields nearly twice the throughput, without any effort. Evidence tends to suggest that cryptographers obtain bitsliced designs through sheer intellectual might. It is in fact the sole purpose of the traditional "Section 4" scene to exhibit a bitsliced witness in all the gory details.

What would it take to turn this art form into an engineering principle? To answer, we make the following contributions:

- we identify the algebraic structure necessary to model modern symmetric cryptographic primitives and, in particular, their state (Section 2). Doing so, we delineate a mathematical language of software circuits, rooted in the categorical notion of functor to account for data containers;
- we give a formal account of bitslicing as a data representation change supported by

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suitably polymorphic definitions (Section 3). As expected when it comes to switching between data representations, parametricity plays a key role to justify the equivalence of the resulting programs ;

• we give a formal account of fixslicing as a whole-program transformation (Section 4). We show how a fixsliced implementation can be obtained through equational reasoning. To support such reasoning, we crucially rely on the algebraic structure set forth in Section 2.

We believe that there is also a broader take-away for an audience of French functional programmers. The present work surfs on the wake of the Squiggol school of programming [13]. Because our government-approved programming language does not yet support ad-hoc polymorphism [14], the French community may be missing out on some interesting programming patterns. The present article can thus be read as a case-study in "functor-oriented programming" [15], where the notion of functor comes from category theory (recalled in Section 2 and unrelated to the notion used in ML module systems). In effect, we forbid ourselves from programming over a concrete data structure: instead, we rely on (categorical) functors, peppered with some more structure, so as to 1. unlock algebraic manipulation during compilation and 2. fully exploit parametricity for reasoning.

The present work is but the beginning of our research program. We shall focus *exclusively* on the semantics aspects, only briefly touching upon implementation aspects (which remain the raison d'être of the project!). Our experience designing the Usuba [6] programming language and implementing its compiler gives us some confidence that a syntax can indeed be tailored to dress up this semantics.

We nonetheless could not resist the temptation of developing the semantics in the Coq theorem prover, as executable programs. After all, we are interested in combinational circuits: if there has ever been a time where we do not have to worry about termination, this is it! So we have implemented cryptographic primitive in pure Gallina, following the lead of some of our colleagues who went even further, down to deeply-embedded assembly code [16]. Besides the ability to prove the correctness and, even more usefully, test our code, this also enables us to easily prototype a fixslicing compiler, using Coq's autorewrite tactics to emulate a transformation-based simplification engine [17] (as part of a fictional optimizing compiler).

This article grew out of the Bachelor's research project of the first author and the Master thesis of the second author. The former worked on the specification, bitslicing and fixslicing of the Skinny cipher [18, 5]. The latter worked on the specification, bitslicing and fixslicing of the Gift cipher [19, 4]. Both ciphers are provided in the accompanying source code<sup>1</sup>. For clarity, we shall focus exclusively on Skinny as our running example here.

Note that none of the programs below were easy to write in the first place: it took weeks of careful study to weed out the essential complexity from the accidental mismanagement of array indices. If the code seem somewhat trivial, one should bear in mind that this simplicity was hard-won. All the more reason to, some other day, design a programming language, so as to automatically inhabit this semantics, and write its compiler, so as to automatically optimize code following our theorems.

# 2 Functional specification

In this section, we intend to give a specification for the SKINNY cipher, with an eye towards implementation. We shall therefore aim for a rather operational description, to give a sense of the computational cost of the cipher, without premature concern for implementation performance just yet.

<sup>1</sup>https://github.com/pedagand/bitfix

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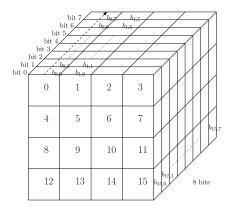


Figure 1. Skinny state matrix

As often in functional programming, it helps tremendously to first lay out the types our program will have to deal with. In our case, the focal point is the *state* of the cipher, which is specified as a 3-dimensional matrix (Figure 1). We model each dimension in turn through a dedicated type constructor:

- Rows.T: Type → Type is a data container representing 4 rows of data (horizontal dimension),
- Cols.T: Type → Type is a data container representing 4 columns of data (vertical dimension), and
- Slice8.T: Type → Type is a data container representing 8 slices of data (depth dimension).

The cube drawn in Figure 1 could just be modeled as Rows.T (Cols.T (Slice8.T bool)), Slice8.T (Rows.T (Cols.T bool)), or any other composition of these 3 type constructors. They are all isomorphic to a sequence of 128 bits. We shall wait until Section 2.4 to settle on the most natural representation for our specification effort. We revisit this choice in Section 3 when we are concerned with producing a memory-efficient representation.

For all intents and purposes, these type constructors shall remain abstract throughout this article: we interact with them solely through their algebraic interface. The first of which is the categorical notion of functor:

```
\label{eq:class_functor} \begin{split} &\text{Class Functor } F := \\ & \big\{ \; \text{map:} \; \forall \; A \; B, \; (A \to \; B) \to \; F \; A \to \; F \; B \; \big\}. \end{split}
```

To the disciples of Reynolds and Girard, this signature has come to mean "parametric data container": how would you go about asserting that the type constructor Rows.T is not doing something non-trivial with the type it is provided as an argument? In other words, how to be sure that a Rows.T bool behaves "similarly" as a Rows.T nat? One could try and argue that Coq does not allow pattern matching on types but it is rather unsavory to involve the design of the whole programming language into this argument. Instead, we require Rows.T to offer a map operation: this constructively witnesses the fact that a Rows.T A is (functionally) related to a Rows.T B, as long as we can (functionally) relate A and B. Put otherwise, we ask that Rows.T, and similarly Cols.T and Slice8.T, come equipped with their free theorems [20, 21].

Having modeled the core data types, we now turn to modeling the cipher as a purely functional program. To stay clear from the temptation of array mismanagement, we disallow index arithmetic altogether: no indexing an array-like structure by i+1 or, even worse, j-i in this paper!

#### 2.1 Key mixing

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Much like  $\lambda$  in functional programming, the exclusive-or  $\oplus$  features prominently in the cryptographic liturgy. In particular, it is instrumental to mix the derived keys during ciphertext computation. For genericity, we model this layer as an operation defined over any Boolean algebra [22]:

```
178     Variable B: Type.
179     Context '(Boolean B).
180
181     Definition add_round_key_ (constkey: B)(s: B): B :=
182     xor s constkey.
```

The type bool is an obvious instance of a Boolean algebra. However, any commutative applicative [23] functor F applied to a Boolean algebra also yields a Boolean algebra (dispatching the Boolean operations pointwise to the underlying elements). For the sake of completeness, we recall that an applicative functor offers the following operations:

```
Class Applicative \{F\} '(Functor F) := \{ \text{ pure: } \forall \text{ A, A} \rightarrow \text{ F A}  ; \text{app: } \forall \text{ A B, F } (\text{A} \rightarrow \text{B}) \rightarrow \text{ F A} \rightarrow \text{ F B} \}.
```

#### 2.2 Diffusion

The role of the diffusion layer is to divert individual bits across the whole structure. Inevitably, this calls for a notion of indexing. Naperian functors [24] identify a type Ix of indices as the logarithm of an exponential type:

```
Class Naperian \{F\} '(Functor F) Ix := \{ lookup: \forall A, F A \rightarrow Ix \rightarrow A ; init: \forall A, (Ix \rightarrow A) \rightarrow F A \}.
```

through the fact that lookup and init form a bijection. This is also known as a representable functor in categorical circles [25].

Following our earlier discussion, we ask for Rows.T (respectively, Cols.T) to be a Naperian functor indexed by a set of 4 elements. Similarly, Slice8.T must be a Naperian functor indexed by 8 elements. We define

```
Inductive Ix := R0 \mid R1 \mid R2 \mid R3.
```

as the logarithm of Rows.T,

```
Inductive Ix := C0 \mid C1 \mid C2 \mid C3.
```

as the logarithm of Cols.T, and

```
      207
      Inductive Ix :=

      208
      | S0 | S1 | S2 | S3

      209
      | S4 | S5 | S6 | S7.
```

as the logarithm of Slice8.T.

Note that we have a notion of indexing but no arithmetic: we remain in line with our objectives. Note also that being Naperian implies being a commutative applicative functor. As a corollary, we get that any combination of Rows.T, Cols.T and Slice8.t applied to bool yields a Boolean algebra, over which we can therefore write combinational circuits.

Applying init to the identity function, we generically compute the container of indices:

Variable Ix: Type.

Variable  $F: Type \rightarrow Type$ .

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```
Context '{Naperian F Ix}.
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            Definition indices: F Ix := init (fun ix \Rightarrow ix).
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             We can witness the fact that Rows.T contains at least as many elements as Cols.T through
          the following construction:
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            Definition reindex_R (i: Rows.Ix): Cols.Ix :=
224
             match i with
225
226
              Rows.R0 \Rightarrow Cols.C0
              Rows.R1 \Rightarrow Cols.C1
227
              Rows.R2 \Rightarrow Cols.C2
228
              Rows.R3 \Rightarrow Cols.C3
229
             end.
230
231
            Definition indices_C: Rows.T Cols.Ix :=
232
233
             map reindex_R (indices Rows.Ix).
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            Note that, since they actually have the same number of elements, we could also go the other
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          way around, defining an inhabitant of Cols.T Rows.Ix. We do not need this construction for
          SKINNY but it is necessary for GIFT, which proceeds by transposition of a 4 × 4 matrix.
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            The first step of the diffusion process consists in applying a right rotation over each
          individual column. However, we do not have enough structure to identify a "right" or "left"
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          direction over our containers. To do so, we introduce the (regretfully ad-hoc<sup>2</sup>) notion of
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          circulant functor
241
          Class Circulant {F} '(Functor F) :=
242
            { circulant: \forall A, FA \rightarrow F(FA)
243
            ; anticirculant: \forall A, F A \rightarrow F (F A) }.
244
```

taking an F-vector to an  $F \times F$  circulant matrix (performing a right-rotation of F A at each step) and  $F \times F$  anticirculant matrix (performing a left-rotation of F A at each step).

More usefully for our purposes, we can derive generic left and right rotation operators for any circulant functor T Naperian over a type Ix:

```
Definition ror {A} (ix: Ix)(xs: F A): F A :=
  lookup (circulant xs) ix.
Definition rol {A} (ix: Ix)(xs: F A): F A :=
  lookup (anticirculant xs) ix.
```

After suitable generalization, this leads us to the following model for the shiftrows\_operation, depicted in Figure 2a:

<sup>&</sup>lt;sup>2</sup>We were hoping to be able to piggy-back on the notion of foldable [26] and Naperian functor to identify directions. Intuitively, fold denotes a unique left-to-right traversal order. However, we could only turn this into a rotation if we asked for a decidable equality over the logarithm of the functor. We are not sure yet whether we want to make such a commitment.

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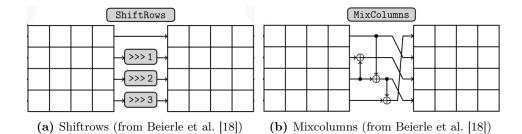


Figure 2. Skinny diffusion layer

which represents the first half of the diffusion layer. The second half corresponds to a combinational circuit psi\_

```
Variable B: Type.
263
            Context '(Boolean B).
264
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266
            Definition psi_ (s: Rows.T B): Rows.T B :=
              let r0 := lookup s Rows.R0 in
267
              let r1 := lookup s Rows.R1 in
268
              let r2 := lookup s Rows.R2 in
269
270
              let r3 := lookup s Rows.R3 in
271
              let r0' := r0 in
              let r1' := xor r2 r1 in
272
              let r2' := xor r0 r2 in
273
              let r3' := xor r2' r3 in
274
              init (fun r \Rightarrow match r with
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276
                           | Rows.R0 \Rightarrow r0
                            \texttt{Rows.R1} \Rightarrow \texttt{r1}'
277
                            Rows.R2 \Rightarrow r2
                            Rows.R3 \Rightarrow r3
279
                           end).
280
```

which exercises the Naperian structure of Rows.T together with the underlying Boolean algebra. This is followed by a right rotation of rows. Altogether, this defines the mixcolumns\_operation, depicted in Figure 2b:

```
Definition mixcolumns_ (rs: Rows.T B): Rows.T B :=
ror Rows.R1 (psi_ rs).
```

#### 2.3 Confusion

The confusion layer builds upon two permutations over Slice8.T. Once again, this is merely exploiting the Naperian structure of Slice8.T: for any Naperian functor F indexed by Ix, there exists a generic permutation operator perm:  $\forall$  A,  $(Ix \rightarrow Ix) \rightarrow$  F A  $\rightarrow$  F A. This leads to the following definitions:

```
Variable B: Type.

Definition bperm (xs: Slice8.T B): Slice8.T B := perm (fun s ⇒ match s with | Slice8.S7 ⇒ Slice8.S2 | Slice8.S6 ⇒ Slice8.S1 | Slice8.S5 ⇒ Slice8.S7 | Slice8.S4 ⇒ Slice8.S6
```

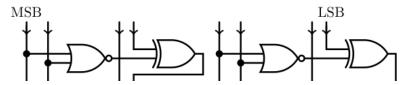


Figure 3. Substitution box S8 (from Beierle et al. [18])

```
Slice8.S3 \Rightarrow Slice8.S4 \mid Slice8.S2 \Rightarrow Slice8.S0
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                           Slice8.S1 \Rightarrow Slice8.S3 \mid Slice8.S0 \Rightarrow Slice8.S5
                          end) xs.
301
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303
            Definition bperm_out (xs: Slice8.T B): Slice8.T B :=
              perm (fun s \Rightarrow match s with
304
                           Slice8.S0 \Rightarrow Slice8.S0 \mid Slice8.S1 \Rightarrow Slice8.S2
305
                            Slice8.S2 \Rightarrow Slice8.S1 \mid Slice8.S3 \Rightarrow Slice8.S3
306
                            Slice8.S4 \Rightarrow Slice8.S4 \mid Slice8.S5 \Rightarrow Slice8.S5
307
                           | Slice8.S6 \Rightarrow Slice8.S6 | Slice8.S7 \Rightarrow Slice8.S7
308
                          end) xs.
309
             At the heart of this layer stands the substitution box s8 (Figure 3), which is just another
311
          combinational circuit:
312
            Context '(Boolean B).
313
314
            Definition gate x y z := xor x (not (or y z)).
315
316
317
            Definition s8 (xs: Slice8.T B): Slice8.T B :=
              let b0 := lookup xs Slice8.S0 in
318
              let b2 := lookup xs Slice8.S2 in
319
320
              let b4 := lookup xs Slice8.S4 in
              let b6 := lookup xs Slice8.S6 in
321
              let b7 := lookup xs Slice8.S7 in
322
323
              let b0' := gate b0 b2 b2 in
324
              let b4' := gate b4 b6 b7 in
325
              init (fun i \Rightarrow match i with
326
                           Slice8.S0 \Rightarrow b0'
327
328
                           Slice8.S4 \Rightarrow b4'
                          | i \Rightarrow lookup xs i
329
                          end).
330
331
             These building blocks are chained together to form the confusion layer, subcells_:
332
            Definition subcells_ (xs: Slice8.T B): Slice8.T B :=
333
              let xs := bperm (s8 xs) in
334
              let xs := bperm (s8 xs) in
335
              let xs := bperm (s8 xs) in
336
              bperm_out (s8 xs).
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#### 2.4 Making the rounds

A round of Skinny is obtained by composing the following operations in this particular order:

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1. subcells_: \forall {B: Type}, Boolean B \rightarrow Slice8.T B \rightarrow Slice8.T B 

2. add_round_key_: \forall {B: Type}, Boolean B \rightarrow B \rightarrow B \rightarrow B 

3. shiftrows_: \forall {A: Type}, Rows.T (Cols.T A \rightarrow Cols.T A) 

4. mixcolumns_: \forall {B: Type}, Boolean B \rightarrow Rows.T B \rightarrow Rows.T B
```

Each of these operations will have to be lifted up to proceed over the entire state of the cipher. We shall therefore settle on the most convenient composition order for the type constructors Rows.T, Cols.T and Slice8.T.

The solution can be read off from the type constraints induced by individual elements and their composition. First, the type of shiftrows\_ dictates that we compose Rows.T followed by Cols.T. Then, we observe that subcells\_ can be grounded to bool without disturbance. This leads to following composition order:

```
Definition cube A := Rows.T (Cols.T (Slice8.T A)).

Definition state := cube bool.
```

Consequently, our hands are tied when it comes to lifting up individual components:

```
356    Definition round (s: state)(constkey: state): state :=
357    let s := map subcells_ s in
358    let s := add_round_key_ constkey s in
359    let s := app shiftrows_ s in
360    mixcolumns_ s.
```

In particular, we have that subcells\_ is iterated pointwise across rows and columns, add\_round\_key\_ handles the entire cube as the support for a Boolean algebra, shiftrows\_ applicatively applies its column transformation over individual rows and mixcolumns handles horizontal faces of the cube as Boolean algebras.

The overall primitive is nothing but the iteration of a single round over the list of round subkeys, produced by an offline key schedule procedure:

```
Definition skinny (constkeys: list state)(s: state): state := fold_left round constkeys s.
```

## 3 Bitslicing

If we were to adopt state as our actual representation, the memory usage of the resulting program would be quite poor. It would take at least 128 bytes to store that many bits (assuming that booleans are stored in the smallest addressable memory size, a byte). This would be a terrible waste of memory bandwidth and register usage.

We must therefore look toward adopting a *packed* representation, grouping individual booleans into a single machine word. Doing so would improve memory density. However, we have to be very careful that we can still efficiently compute over this packed representation. As it turns out, for Skinny and Gift, we will not achieve this just now: we will have to wait until Section 4, to have our cake and eat it too (using the *same* data representation).

Bitslicing is the cryptographer's jargon for such a representation change. In the case of SKINNY, we observe that only shiftrows\_ imposes a strict composition order of Rows.T followed by Cols.T. Aside from that, we can commute Slice8.T out of the composition. We would thus have the type Rows.T (Cols.T bool) representing 16 bits and fitting snugly in

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a 32 bits machine word. The overall composition Slice8.T (Rows.T (Cols.T bool)) would take 8 registers in total, imposing a very reasonable amount of register pressure on the CPU. In effect, to return back to the jargon of compiler designers, we propose to turn a structure-of-arrays (SoA) into an array-of-structures (AoS), only we are dealing with bit-level quantities here.

Storing only 16 bits in a 32 bits word (on ARM Cortex M) remains sub-optimal. We can further increase register usage and instantly double throughput by processing two blocks at the same time. To this end, we introduce a new Naperian functor, Double.T, indexed by the two elements set Fst and Snd. This leads to

```
Definition reg32 A := Rows.T (Cols.T (Double.T A)).

Definition cube2 A := Slice8.T (reg32 A).

Definition state := cube2 bool.
```

which denote our intent to manipulate objects of type reg32 as if they were stored in a single machine word. In the present work, this observation will remain at the state of wishful thinking. One can think of the code in this section (as well as the fixsliced code, in the next section) as playing, themselves, the role of specifications to lower-level refinements. Our previous work on Usuba suggests that we will be able to deliver on this promise in the future. Further, remark that the state now corresponds to two intermingled blocks but as we shall process them in lock-step throughout the cipher, this does not make much difference.

Given two Naperian functors F and G, there exists a generic notion of reindexing [27]:

```
Variables A FIx GIx: Type. Variable F G: Type \rightarrow Type. Context '{Naperian F FIx} '{Naperian G GIx}. Definition reindex (fgs: F (G A)): G (F A) := init (fun j \Rightarrow init (fun i \Rightarrow lookup (lookup fgs i) j)).
```

Operationally, reindexing effects a change of the iteration order of the composed data container: we go from supporting iteration over G within an external iteration over F to an iteration over F within an external iteration over G.

Using such reindexing, we can specify the transposition process relating two input blocks (following the specification) and their bitsliced counterpart:

The correctness of a candidate bitsliced implementation, dubbed Bitslice.skinny, amounts to the following:

**Theorem 1** (Correctness of bitslicing). For any list of pairs of round subkeys (of type list (Spec.state \* Spec.state)) and for any pair of input blocks (of type list Spec.state individually), we have that a single execution of Bitslice.skinny produces the same output (after transposition) as two runs of the specification Spec.skinny:

```
\begin{array}{ll} \textbf{430} & \textit{let constkeys0} := \textit{List.map fst constkeys in} \\ \textbf{431} & \textit{let constkeys1} := \textit{List.map snd constkeys in} \end{array}
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\begin{array}{lll} 432 & to\_bitslice \\ 433 & (Spec.skinny\ constkeys0\ s0) \\ 434 & (Spec.skinny\ constkeys1\ s1) \\ 435 & = Bitslice.skinny \\ 436 & (List.map\ (fun\ '(x,\ y) \Rightarrow\ to\_bitslice\ x\ y)\ constkeys) \\ 437 & (to\_bitslice\ s0\ s1). \end{array}
```

In practice, it is useful to generalize this statement and, instead, state that Spec.skinny and Bitslice.skinny must preserve the following relation

```
Definition R {A} (s0: Spec.cube A)(s1: Spec.cube A)(s: Bitslice.cube2 A): Prop := to_bitslice s0 s1 = s.
```

from their input to their outputs.

The relational invariant will then naturally and compositionally percolate through the various layers of the ciphers. We can then read off the bitsliced code from the bitsliced types and relational invariant. A single round of the cipher becomes:

```
Definition round (s: state)(constkey: state): state :=
let s := subcells_ s in
let s := add_round_key_ constkey s in
let s := map (F := Slice8.T) (app shiftrows_) s in
map mixcolumns_ s.
```

Considering (very carefully!) the instances of subcells\_, add\_round\_key\_ and psi\_ (which is part of mixcolumns\_), we check that these can be efficiently implemented over machine words: they only involve boolean operations, applied bit-wise over reg32.

Unfortunately, shiftrows\_ and Rows.ror would entail some very fiddly and computationally costly bit twiddling. These amount to performing bit-level permutations within a machine word. This is a frequent pain point when bitslicing the diffusion layer of ciphers: it is also true in the case of GIFT and AES, for instance. In the next section, we present this one weird trick to get permutations to nearly vanish.

### 4 Fixslicing

Studying the Gift cipher, Adomnical et al. [4] noticed that the permutation layer can be decomposed into, first, a transformation that can be efficiently implemented over machine words and, second, a permutation that commutes with the other layers (confusion and key mixing). Similarly, the diffusion layer of Skinny can be decomposed as follows

```
Proposition 1. \forall \{B\} \ (Boolean B) \ (rs: Rows.T \ (Cols.T B)),

mixcolumns\_ (app shiftrows\_ rs) = phi\_ (sigma\_ rs).
```

where phi\_ is a permutation with inverse inv\_phi\_ that captures all the costly bit-twiddling operations

```
468     Definition phi_ (rs: Rows.T (Cols.T A)): Rows.T (Cols.T A) :=
469     ror Rows.R1 (app shiftrows_ rs).
470

471     Definition inv_phi_ (rs: Rows.T (Cols.T A)): Rows.T (Cols.T A) :=
472     let rs := rol Rows.R1 rs in
473     app (map rol indices_C) rs.
```

while, in turn, sigma\_ admits an efficient implementation on machine words

```
Definition sigma_ (s: Rows.T (Cols.T B)): Rows.T (Cols.T B) :=
476
             let r0 := lookup s Rows.R0 in
477
             let r1 := lookup s Rows.R1 in
478
             let r2 := lookup s Rows.R2 in
479
             let r3 := lookup s Rows.R3 in
480
             let r0' := r0 in
481
482
             let r1' := xor (ror Cols.C1 r2) r1 in
             let r2' := xor (ror Cols.C2 r0) r2 in
483
             let r3' := xor (ror Cols.C3 r2') r3 in
484
             init (fun r \Rightarrow match r with
485
                         | \text{Rows.RO} \Rightarrow \text{rO}'
486
487
                          Rows.R1 \Rightarrow r1'
                          Rows.R2 \Rightarrow r2'
488
                          Rows.R3 \Rightarrow r3'
489
490
                         end).
491
492
            Indeed, aside from the logical operations, the rotations are in fact almost free thanks to
          the Arm Cortex barrel shifter.<sup>3</sup>
493
            Being able to postpone phi_ would do us no good if we were nonetheless forced to run
494
          it anyway. The second, crucial observation is that, after only 4 steps, phi_ is almost an
495
496
          identity.
          Proposition 2. For any state rs: Rows. T (Cols. T A), we have:
497
             tau_{-}(iter 4 phi_{-} rs) = rs.
498
          where tau_ is defined as
499
500
           	extit{Definition tau}_{-} (rs: Rows.T (Cols.T A)): Rows.T (Cols.T A) :=
             map (ror Cols.C2) rs.
501
502
          which can be implemented reasonably efficiently.
503
            In typical mode of operation, Skinny will perform between 32 and 56 rounds. Being able
504
          to accumulate phi_ across chunks of 4 iterations, we can effectively make them disappear,
505
506
          leaving only a tau_ step every four iterations.
            We verify that phi_ commutes with subcells_:
507
508
          Proposition 3. \forall \{B\} \{Boolean B\} (s: Slice8.T (Rows.T (Cols.T B))),
509
             subcells\_(map \ phi\_s) = map \ phi\_(subcells\_s).
510
            This is intuitively obvious since subcells_ proceeds pointwise over rows and columns,
511
          hence changing their respective position does not influence the outcome.
512
            Similarly and for the same reason, phi_ commutes with add_round_key:
513
          Proposition 4. \forall B \ (Boolean B) \ (s \ constkey: Rows.T \ (Cols.T B)),
514
             add_round_key_ (phi_s) constkey
             = phi_ (add_round_key_ s (inv_phi_ constkey)).
516
```

<sup>&</sup>lt;sup>3</sup>By this remark, we hope to have established our credentials as budding cryptographers.

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Note that we have to transform the input key before hand, so as to keep both blocks aligned. In particular, this means that we do not have to correct the first round subkey, we will have to apply inv\_phi to the second round subkey, iter 2 inv\_phi to the third round subkey and, finally, iter 3 inv\_phi to the fourth round subkey. The fifth round subkey starts back in sync, etc.

The crux of the matter is the interaction between phi\_ and the diffusion layer. We observe that putting a state phi\_ s into the diffusion layer first yield a transformation relativized to a machine word followed by the emission of two phi\_ steps out of the layer:

```
Corollary 1. \forall B '(Boolean B) (s: Rows.T (Cols.T B)),
mixcolumns_ (app shiftrows_ (phi_ s))
= phi_ (phi_ (inv_phi_ (sigma_ (phi_ s)))).
```

This means that, in turn, the subsequent diffusion layer will have to absorb two phi\_ steps. We thus generalize our statement for any number of phi\_ steps, the previous statement corresponding to the case n = 1:

```
Definition mixcolumns_mod i (s: Rows.T (Cols.T B)): Rows.T (Cols.T B) := iter i inv_phi_ (sigma_ (iter i phi_ s)).
```

Ultimately, there are only 4 relativized diffusion layers, namely mixcolumns\_mod 0, mixcolumns\_mod 1, mixcolumns\_mod 2 and mixcolumns\_mod 3 and they correspond to the diffusion layers absorbing that many phi\_ steps:

```
Proposition 5. \forall B '(Boolean B) n (s: Rows.T (Cols.T B)),

mixcolumns_ (app shiftrows_ (iter n phi_ s))

= iter (1 + n) phi_ (mixcolumns_mod n s).
```

Given 4 (suitably generated) round subkeys, we merely have to chain 4 rounds with the corresponding diffusion layer, ending with tau\_ to re-synchronize back to identity

```
Definition round_mod (s: state) constkeys :=
let '(constkey0, constkey1, constkey2, constkey3) :=
   constkeys in
let s := round (map (mixcolumns_mod 0)) s constkey0 in
let s := round (map (mixcolumns_mod 1)) s constkey1 in
let s := round (map (mixcolumns_mod 2)) s constkey2 in
let s := round (map (mixcolumns_mod 3)) s constkey3 in
map tau_ s.
```

The correctness statement is unsurprising, modulo some light bureaucracy to ensure that round subkeys are in the right format

```
Theorem 2. ∀ f_constkeys s,
let constkeys := flatten_constkeys f_constkeys in
Bitslice.skinny constkeys s
= Fixslice.skinny f_constkeys s.
```

The meat of the proof consists in, as for bitslicing, generalizing this statement to a relational one. With fixslicing, there are in fact 4 relations, depending on the number of phi\_steps accumulated. We move from one to the next every time we go through a diffusion layer, resetting from the last to the first every 4 steps.

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The definitions of mixcolumn\_mod is somewhat disappointing: we would be hard-pressed to make any precise claim about the potentiality of an efficient implementation. The current form is symbolically useful, as it allows us to easily prove the correctness of fixslicing. However, it remains a mathematical specification, not a piece of software. In effect, we are now looking for a closed expression in the language of Boolean algebras (xor, etc.), Naperian operations (init, lookup) and circulant operations (more precisely, ror and rol).

One solution<sup>4</sup>, which consists in using Coq as a term rewriting engine, is to postulate the existence of such an explicit form, let us call it mixcolumns\_mod\_explicit. We then claim that this definition ought to be equivalent to its specification, mixcolumns\_mod for  $n \in \{0, 1, 2, 3\}$ . Making sure that the operations of Boolean algebra, Naperian and circulant functors are opaque,  $\beta$  reduction yields a term in our target language. However, it suffers from significant redundancy: by working over the term algebra, we have not quotiented out the equational theory. To do so, we orient the equational theory in a rewrite database and apply the autosubst tactics to obtain simplified forms. Similarly, we retrieve convenient let forms thanks to the set (ident := term) tactics. We are then left with reading off the definition of mixcolumns\_mod\_explicit from the proof goal.

#### 5 Conclusion

We have thus completed our journey toward a rationalized treatment of bitslicing and fixslicing in their quintessential form, taking the SKINNY cipher as our running example. We have similarly treated the GIFT cipher, whose design is in fact at the origin of the fixslicing technique [4]. GIFT works over the type Rows.T (Cols.T (Slice4.T bool)) where Slice4.T denotes a depth of 4 slices. In bitsliced form, we once again extract out the Slice4.T functor and Double. T the amount of data processed per run. Fixslicing is conceptually easier to understand as the diffusion layer is defined as the combination of an in-register transformation followed by a 90-degree rotation of the matrix of rows and columns. It simply cancels out after 4 steps.

Of note, the propositions that lead to the bitslicing and fixslicing correctness theorems hide a somewhat surprising secret: all the proofs were obtained by vm\_compute; congruence. Having defined Rows.T, Cols.T, Slice4.T and Slice8.T as records with primitive projections, all the identities boil down to the specification, bitsliced forms and fixsliced forms having the same  $\beta$ -normal  $\eta$ -long form through the equivalence relation!

As part of future work, we intend to extend our formalization to bridge the gap to machine word. Once again, we expect parametricity to kick in: we currently have an implementation defined over reg32 (and its supporting operations) which ought to be equivalent to an implementation defined over the type of 32 bits machine words (and its supporting operations). We also wish to pursue the automated generation of fixsliced code, eventually implementing a proper simplification engine. In the process, we ought to develop methods to check for commutativity of the diffusion layer. A litmus test to this project will be our ability to deliver a fixsliced implementation of AES: mark our machine words!

<sup>&</sup>lt;sup>4</sup>Needless to say, in reality, we first went looking for 4 direct, simplified implementations, inspired by Adomnicai et al. [4]. Then we showed that these implementations were equivalent to their respective specification. Only later did we use Coq to understand how these solutions could have been derived from first principles.

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