

Computer Science 112

Data Structures

Lecture 17:

Heaps

Review of recursion

While Sakai is down

- slides, java, etc. at
<http://www.cs.rutgers.edu/~lou/112/s15>

Review: Hash Tables

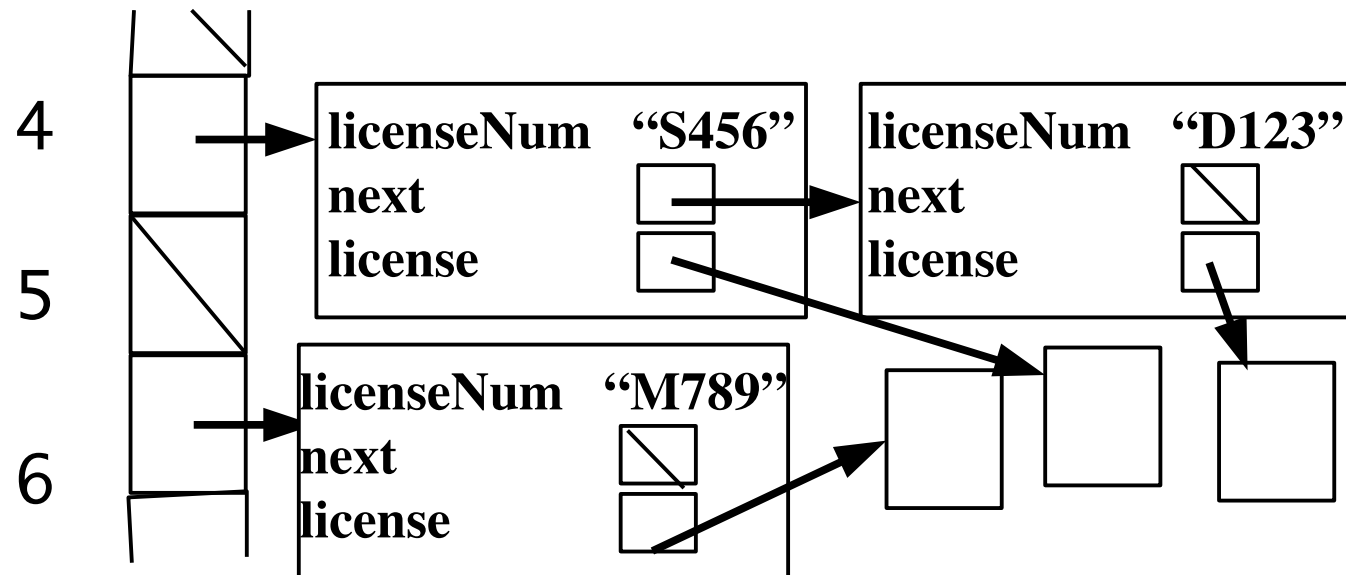
- **implement a mapping keys \rightarrow values**
- **hash(key) \rightarrow index into array of linked listst, every index equally likely**

Review: Hash Tables

- **Implement a mapping from keys to values**
- **Operations:**
 - **get(key): return value stored for given key**
 - **put(key, value): add / change value for given key**
 - **remove(key): remove any value stored for key**
- **All in average $O(1)$**

Hash Function

- A hash function turns a key into an array index
 - equal keys → same hash value
 - every hash value should be equally likely
- Hash value is an index into an array of linked lists



Get(key)

index = hash(key)

search list at table[index] for key

return value from object found

If no object found for key, return null

- **$O(L)$ where L is list length**

put(key, value)

index = hash(key)

search list at table[index] for key

if found, change value

else insert key, value in list

- **$O(L)$ where L is list length**

remove(key)

index = hash(key)

search list at table[index] for key

if found, delete object from list

- **$O(L)$ where L is list length**

Load Factor

- **Two meanings for “load factor”**
 - **current fullness of table:**
number of entries / size of table
 - **threshold:**
when current load factor > threshold
rehash into larger array
- **Average L = load factor**
- **We keep load factor < threshold, so**
 $O(L) = O(1)$

Built-in Hashing in Java

- **The class `java.util.HashMap<K, V>`**
 - **Note: generic with two class parameters:**
 - **K: class of keys**
 - **V: class of values**
 - **E.g. `NetID => Student`**
`java.util.HashMap<NetID, Student>`
 - **See JDK API**
 - **See `Driver.java`, `UseDriverMap.java`**

New: Priority Queues

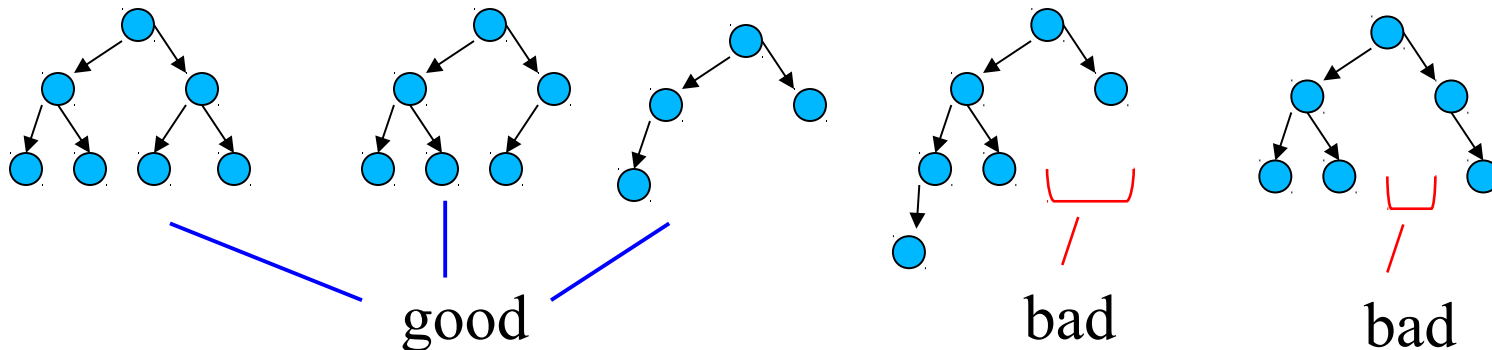
- **Each data item has a priority**
- **Add items to queue in any order**
- **Remove items in priority order**
 - **add A:5, B:3, C:6**
 - **remove C**
 - **add D:8**
 - **remove D, remove A**

Implement as an array

- **Unsorted array:**
 - **Insert one item $O(1)$**
 - **Remove one item $O(n)$**
- **Sorted array:**
 - **Insert one item: $O(n)$**
 - **Remove one item: $O(1)$**

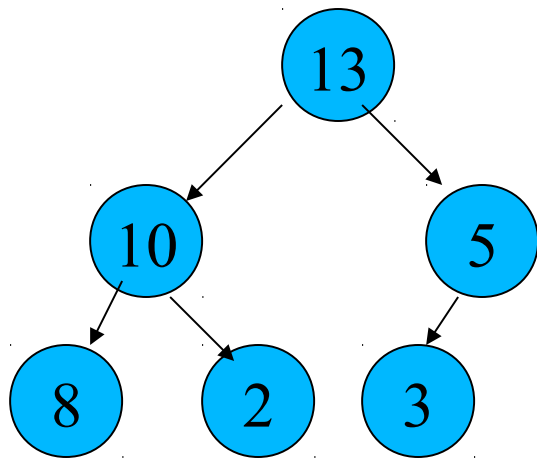
Heap

- A heap is a way to implement a priority queue with $O(\log n)$ complexity
- A heap is a complete binary tree
 - all levels except maybe the last are full
 - last level filled from left to right

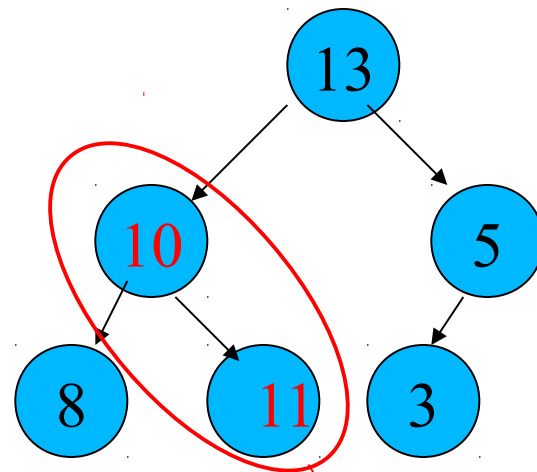


Heap

- **The number at a node is greater than the number at any descendant**



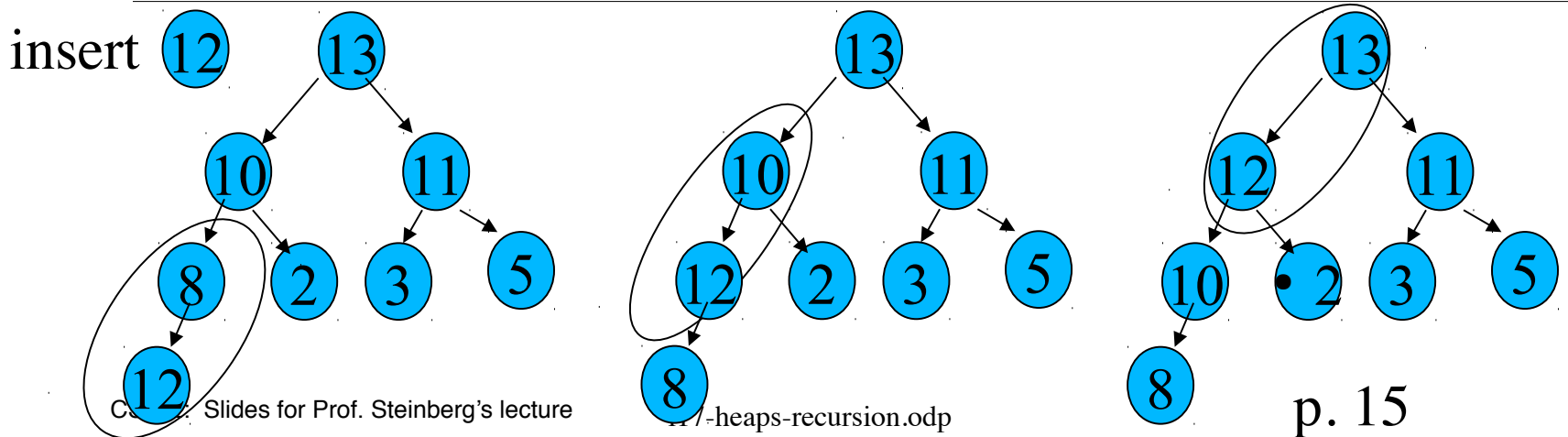
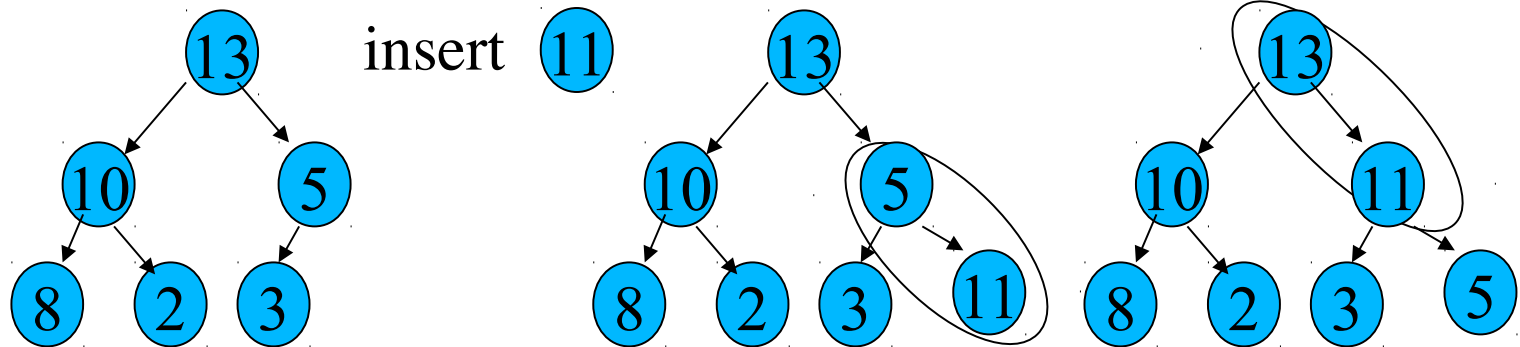
good



bad

Heap Insert

- Add node at end of last level
- Move up restoring order (*filter up*)

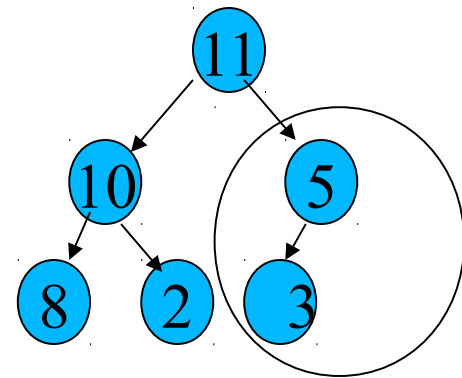
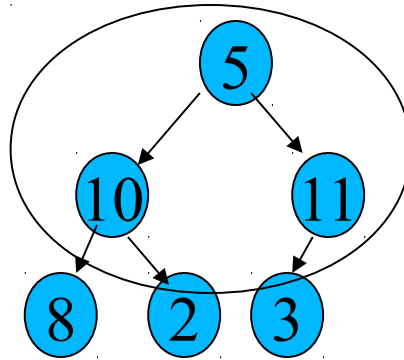
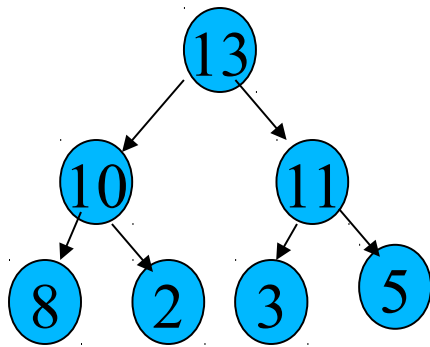


Big O of insertion

- **$O(H)$ where H is height of while tree
= $O(\log(n))$ where n is number of nodes**

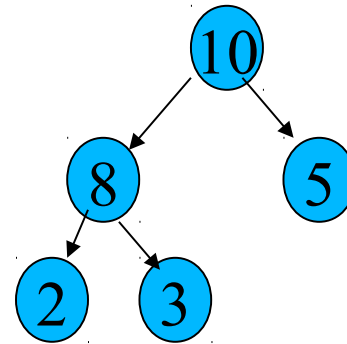
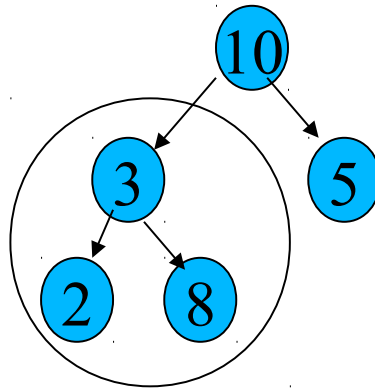
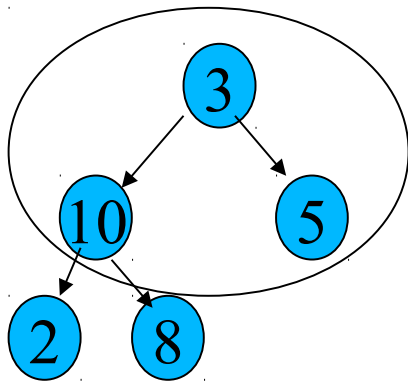
Heap Deletion

- **Copy out data at root**
- **Delete last node on last row & put data in root**
- **Move down restoring order (*filter down*)**



Heap Deletion

- **Compare current node and two children**
 - if current node largest, stop
 - if left child is largest swap current and left
 - similar if right child is largest

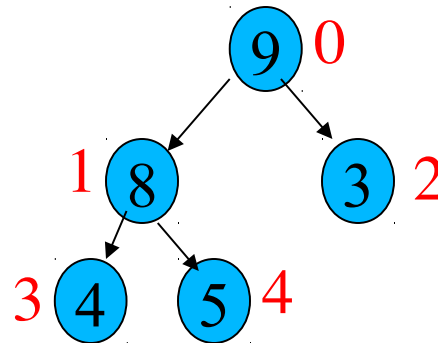


Big O of deletion

- **$O(H)$ where H is height of whole tree
= $O(\log(n))$ where n is number of nodes**

Heap Representation

- **Store heap in an array**
 - For node at index j , children are at $2j+1$ and $2j+2$
 - Root at index **0**

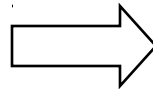
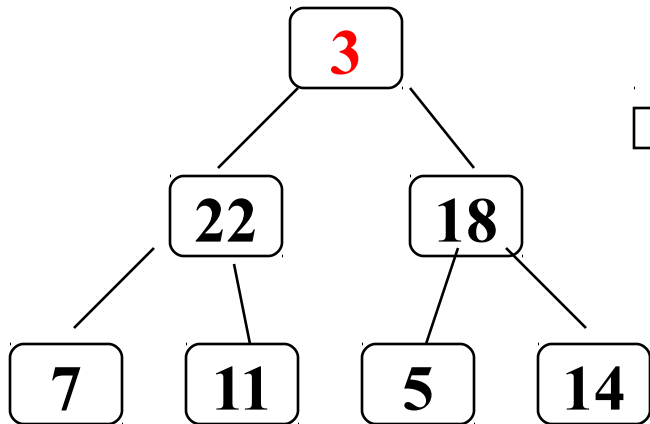
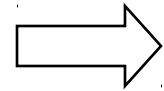
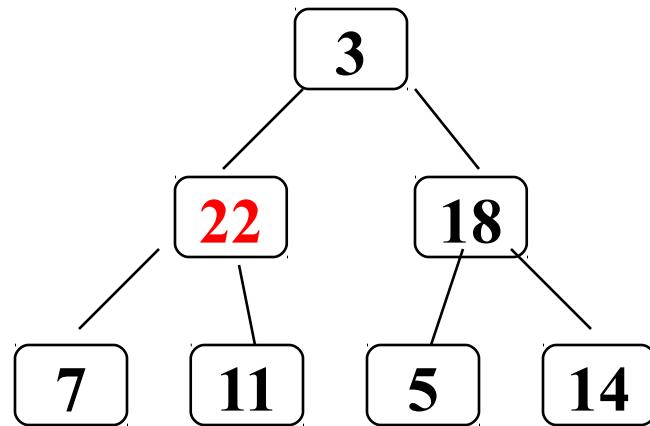
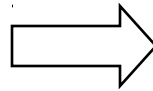
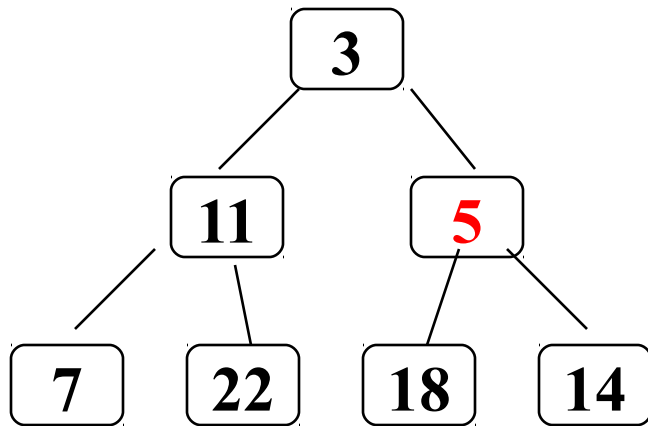


0	1	2	3	4
9	8	3	4	5

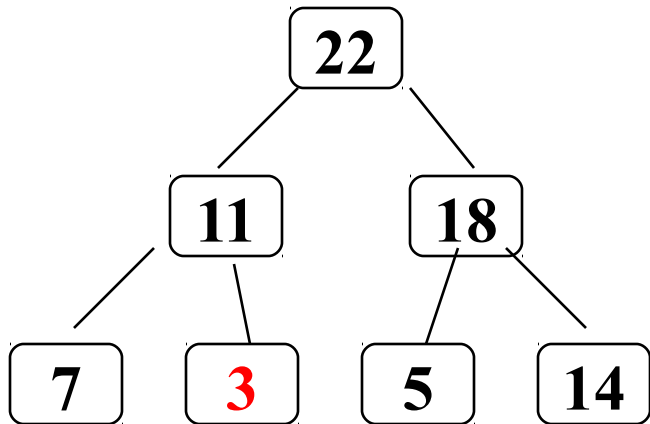
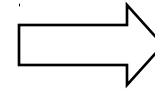
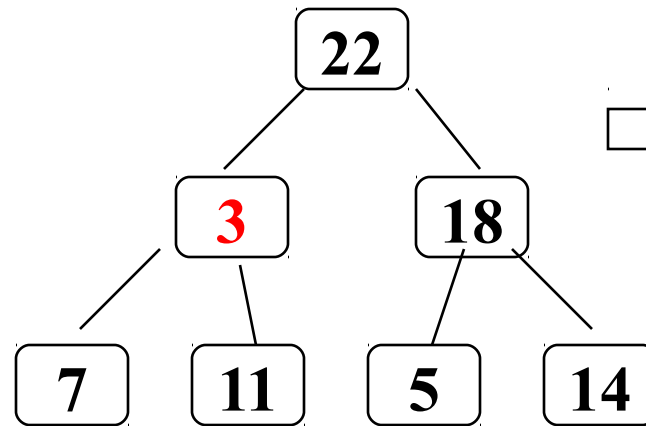
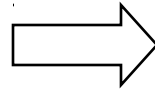
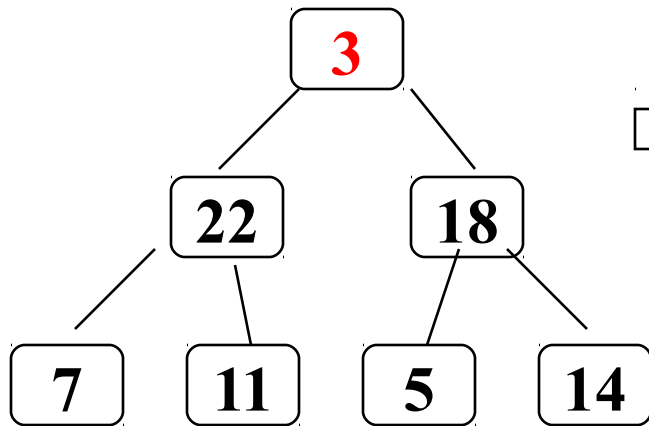
Building a Heap from an Array

- **Go from last non-leaf to index 0**
 - **At each node, do filter-down**

Building a Heap from an Array



Building a Heap from an Array



Building a Heap from an Array

- Work at a node is $O(h)$ where h is height of subtree rooted at that node
- In a complete binary tree, majority of nodes close to bottom, so adds up to $O(n)$

Review: Recursion

- **Recursion is a way of looking at a problem**
- **EG problem: print a pattern like**

*

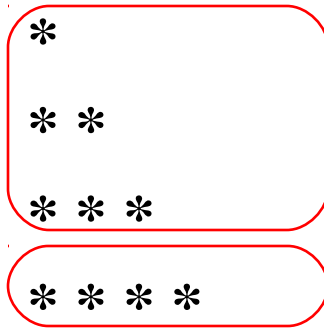
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* * *

* * * *

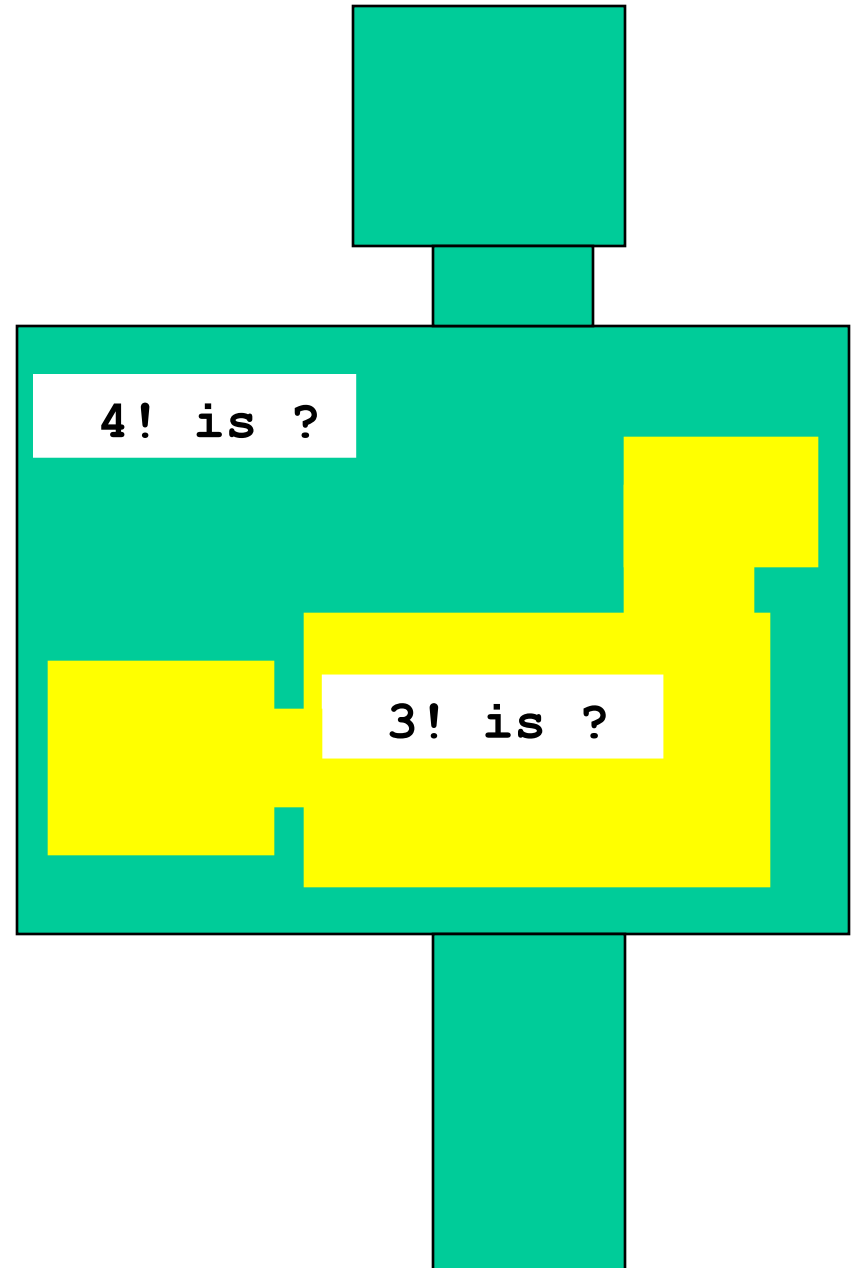
Recursion

- **Recursive view**



- **A size 4 triangle is**
 - **A size 3 triangle, followed by**
 - **A line of length 4**

- **Seeing recursion**
 - look at a problem as if it were “pregnant”:
 - inside it is a smaller version of the same problem



Recursive Definitions in Math

Factorial in math

$$1! = 1$$

← Base case

$$n! = n * (n-1)!$$

← Recursive case

Recursive Definitions in Java

Factorial in Java

```
public static int factorial(int n){  
    if (n == 1){          // base case  
        return 1;  
    } else {              // recursive case  
        return *factorial(n-1);  
    }  
}
```

Palindromes

- A string is a palindrome if
 - first and last characters are the same, and

racecar

- rest of string without first and last is a palindrome

aceca

- or if its length is 0 or 1

e

See Palindrome.java

Integer Powers

How many multiplies does it take to calculate 3^8 ?

$$3 * 3 = 9$$

$$3 * 3 = 9$$

$$9 * 3 = 27$$

$$9 * 9 = 81$$

$$27 * 3 = 81$$

$$81 * 81 = 6561$$

...

$$2187 * 3 = 6561$$

$$3 *_{\text{S}}$$

$$7 *_{\text{S}}$$

Integer Powers

$x^y =$

1 **(y = 0)**

x **(y = 1)**

$(x^{y/2})^2$ **(y even)**

$(x^{\lfloor y/2 \rfloor})^2 * x$ **(y odd)**

See Power.java

How Does Recursion Work?

```
static void triangle(int n){  
    if (n==1){  
        printNStars(1);  
    } else {  
        triangle(n-1);  
        printNStars(n);  
    }  
}
```

Method Call

When method A calls method B

- A's invocation record is put on hold
 - a new invocation record is created for B
 - B runs from beginning to end using this new invocation record
 - the new invocation record is destroyed
- A's old invocation record is reactivated
- A continues running from where it left off

Method Call

When method A calls method ~~B~~ A

- A's invocation record is put on hold
 - a new invocation record is created for ~~B~~ A
 - ~~B~~ A runs from beginning to end using this new invocation record
 - the new invocation record is destroyed
- A's old invocation record is reactivated
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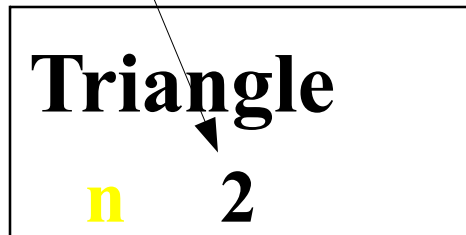
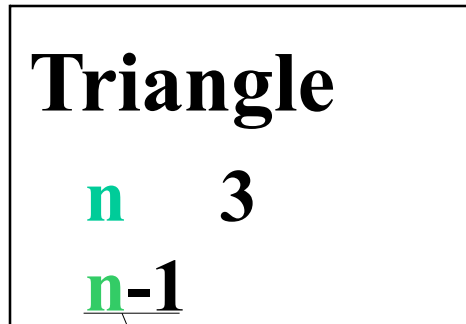
How Does Recursion Work?

Triangle

n 3

```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
    printNStars(n);}
```

How Does Recursion Work?



```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
        printNStars(n);}
```

```
static void triangle(n ){  
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```

How Does Recursion Work?

Triangle
n 3

Triangle
n 2
n-1

Triangle
n 1

```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
        printNStars(n);}
```

```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
        printNStars(n);}
```

```
static void triangle(n ){  
    if ...  
        printNStars(1)
```

How Does Recursion Work?

Triangle

n 3

Triangle

n 2

Triangle

n 1

```
static void triangle(n) {
```

```
    if ...
```

```
        triangle(n-1)
```

*

```
        printNStars(n);}
```

```
static void triangle(n) {
```

```
    if ...
```

```
        triangle(n-1)
```

```
        printNStars(n);}
```

```
static void triangle(n) {
```

```
    if ...
```

```
        printNStars(1)
```

How Does Recursion Work?

Triangle

n 3

Triangle

n 2

Triangle

n 1

```
static void triangle(n) {
```

```
    if ...
```

```
        triangle(n-1)
```

*

```
        printNStars(n);}
```

```
static void triangle(n) {
```

```
    if ...
```

```
        triangle(n-1)
```

```
        printNStars(n);}
```

```
static void triangle(n) {
```

```
    if ...
```

```
        printNStars(1)
```


How Does Recursion Work?

Triangle

n 3

Triangle

n 2

```
static void triangle(n ){
```

```
    if ...
```

```
        triangle(n-1)
```

*

```
        printNStars(n);}
```

```
static void triangle(n ){
```

```
    if ...
```

```
        triangle(n-1)
```

```
        printNStars(n);}
```

How Does Recursion Work?

Triangle

n 3

Triangle

n 2

```
static void triangle(n ){
```

```
    if ...
```

```
        triangle(n-1)
```

```
        *
```

```
        printNStars(n);}
```

```
        **
```

```
static void triangle(n ){
```

```
    if ...
```

```
        triangle(n-1)
```

```
        printNStars(n);}
```

How Does Recursion Work?

Triangle

n 3

Triangle

n 2

```
static void triangle(n) {
```

```
    if ...
```

```
        triangle(n-1)
```

```
    *
```

```
        printNStars(n);}
```

```
    **
```

```
static void triangle(n) {
```

```
    if ...
```

```
        triangle(n-1)
```

```
        printNStars(n);}
```

How Does Recursion Work?

Triangle

n 3

```
static void triangle(n ){  
    if ...  
        triangle(n-1)          *  
        printNStars(n);}      **
```

How Does Recursion Work?

Triangle

n 3

```
static void triangle(n ){  
    if ...  
        triangle(n-1)          *  
        printNStars(n);}      **  
                                ***
```

How Does Recursion Work?

*

**

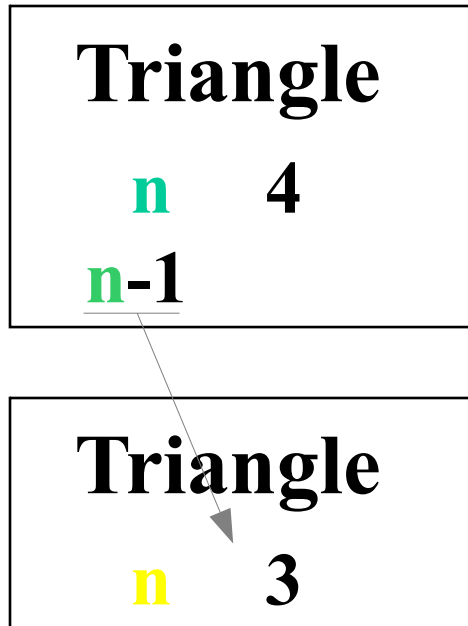
How Does Recursion Work?

Triangle

n 4

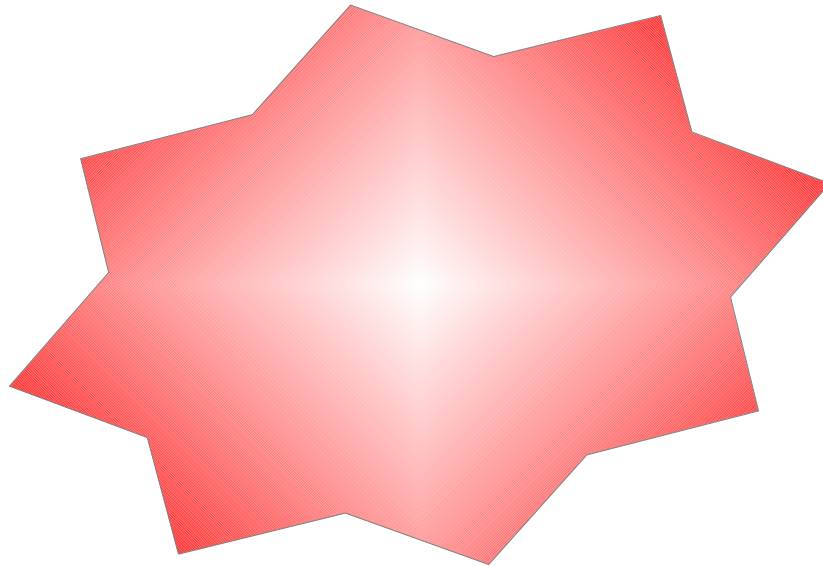
```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
    printNStars(n);}
```

How Does Recursion Work?



```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
        printNStars(n);}  
static void triangle(n ){  
    ...  
}
```


Whoosh!!



How Does Recursion Work?

Triangle

n 4
n-1

Triangle

n 3

```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
    printNStars(n);}
```

```
static void triangle(n ){  
    ...  
}
```

*

**

How Does Recursion Work?

Triangle

n 4
n-1

```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
    printNStars(n);}
```

```
static void triangle(n ){  
    ...  
}
```

*

**

How Does Recursion Work?

Triangle

n 4
n-1

```
static void triangle(n ){  
    if ...  
        triangle(n-1)  
    printNStars(n);}
```

```
*  
  
**  
  
***  
  
****
```

How Does Recursion Work?

*

**

Writing recursive methods

- Write recursive code to print a **downTriangle** of size **n**
 - Assume you have **printNStars(int n)**

**

*

Writing recursive methods

- Write recursive code to print a bowtie of size n
 - Assume you have `printNStars(int n)`

**

*

**

Writing recursive methods

- Write recursive code to print a bowtie of size n
 - Assume you have `printNStars(int n)`

```
*****
|*****|
|***   |
|**    |
|*     |
|**    |
|***   |
|*****|
*****
```

See Stars.java

Writing recursive methods

- Code to produce a hill. E.g. `hill(2, 4)`

See Stars.java

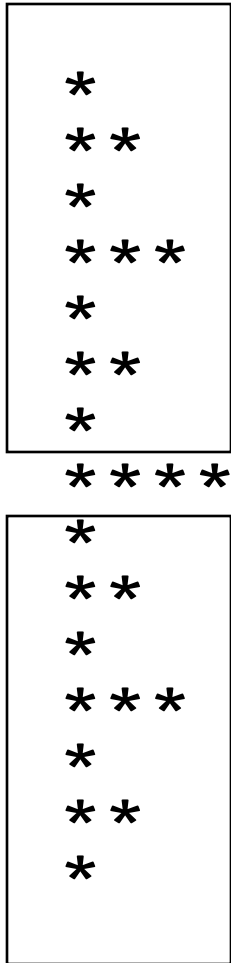
What does sumSq do?

```
public static int sumSq(int n){  
    if (n == 0){  
        return 0;  
    } else {  
        return n*n + sumSq(n-1);  
    }  
}
```

Ruler Pattern

```
*  
* *  
*  
* * *  
*  
* *  
*  
* * * *  
*  
* *  
*  
* * *  
*  
* *  
*  
* * *  
*  
* *  
*
```

Ruler Pattern



- **Smaller problem appears twice!**
- **To do ruler n :**
 - do ruler $n-1$
 - print n *s
 - do ruler $n-1$
- **See Stars.java**

Tower of Hanoi

- **Rules:**
 - **3 stacks of disks**
 - **Move one disk at a time:**
 - **Take top disk off some stack**
 - **Put it on the top of another stack**
 - **Must not place larger disk on smaller**
 - **See TowersOfHanoi.java**