



## Master's Thesis

# Open-Vocabulary Semantic Segmentation for Generative AI

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# Abstract

Conventional semantic segmentation methods are limited to recognizing objects from a fixed set of categories, restricting their applicability in real-world scenarios where novel or unexpected concepts often arise. This thesis tackles the problem of open-vocabulary semantic segmentation by building upon dense CLIP-based methods and integrating high-quality mask refinement, enabling flexible semantic understanding based solely on natural language prompts.

Our primary approach builds upon SCLIP’s (Self-attention CLIP) Cross-layer Self-Attention (CSA) mechanism for dense semantic prediction. We extend this foundation with a novel SAM2-based mask refinement layer that intelligently combines SCLIP’s semantic understanding with SAM2’s superior boundary quality. The refinement process uses prompted segmentation guided by SCLIP’s confidence scores: extracting representative points from high-confidence regions to guide SAM2’s mask generation, then applying majority voting to combine SCLIP’s dense predictions with SAM2’s refined boundaries. This annotation-free approach enables the model to segment both discrete objects and amorphous “stuff” classes (sky, road, vegetation) without requiring any labeled training data.

Once segmentation masks are obtained, we integrate a state-of-the-art generative inpainting model (Stable Diffusion v2) to enable text-driven image editing. This model can remove, replace, or transform segmented regions realistically, creating a complete pipeline from language-based semantic understanding to image manipulation.

We validate our dense SCLIP + SAM2 refinement approach on standard benchmarks including COCO-Stuff and PASCAL VOC 2012, demonstrating substantial improvements over baseline dense prediction methods. The novel SAM2 refinement layer provides significant quality gains while maintaining the annotation-free advantage of dense approaches.

Additionally, we explore an alternative proposal-based approach using SAM2 mask generation followed by CLIP scoring with multi-scale voting. While this method achieves strong performance on discrete object segmentation, our analysis reveals complementary strengths: the proposal-based approach excels at well-defined objects, while our primary dense method better handles semantic “stuff” classes and provides more comprehensive scene understanding.

Our results demonstrate the effectiveness of combining dense semantic prediction with intelligent mask refinement, advancing the state of open-vocabulary segmentation

while enabling practical text-driven image editing applications.

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# Chapter 1

## Introduction

### 1.1 Motivation

The field of computer vision has witnessed remarkable progress in semantic segmentation, enabling machines to understand and interpret visual scenes by assigning semantic labels to individual pixels. However, traditional semantic segmentation models are often constrained by a closed vocabulary, meaning they can only recognize objects or concepts explicitly present in their training data. This limitation hinders their applicability in real-world scenarios where novel objects and concepts are frequently encountered. Imagine a self-driving car trained to recognize "car," "pedestrian," and "traffic light." It might fail to identify a "scooter" or a "delivery robot," potentially leading to hazardous situations.

This inherent limitation of closed-vocabulary models has fueled the exploration of open-vocabulary semantic segmentation. Open-vocabulary approaches aim to bridge the gap between visual perception and human language by leveraging the power of natural language processing and generative AI. By integrating language models like CLIP [1], which learn to represent both text and images in a shared embedding space, these systems can interpret natural language descriptions and segment objects or concepts not seen during training. For instance, the system could understand the description "a person walking a dog" and accurately segment both the person and the dog, even if it has never encountered this specific combination before.

Furthermore, the integration of generative AI models, such as Stable Diffusion [2], allows for realistic modification of images based on the segmented objects. This capability opens up exciting possibilities in various applications. In image editing, users could describe desired changes ("make the sky blue" or "add a hat to the person"), and the system would automatically modify the image accordingly. In content creation, artists and designers could generate novel scenes by combining segmented objects from different images or by generating new objects based on textual

descriptions. The potential applications are vast and span across diverse domains, including human-computer interaction, augmented reality, and robotics.

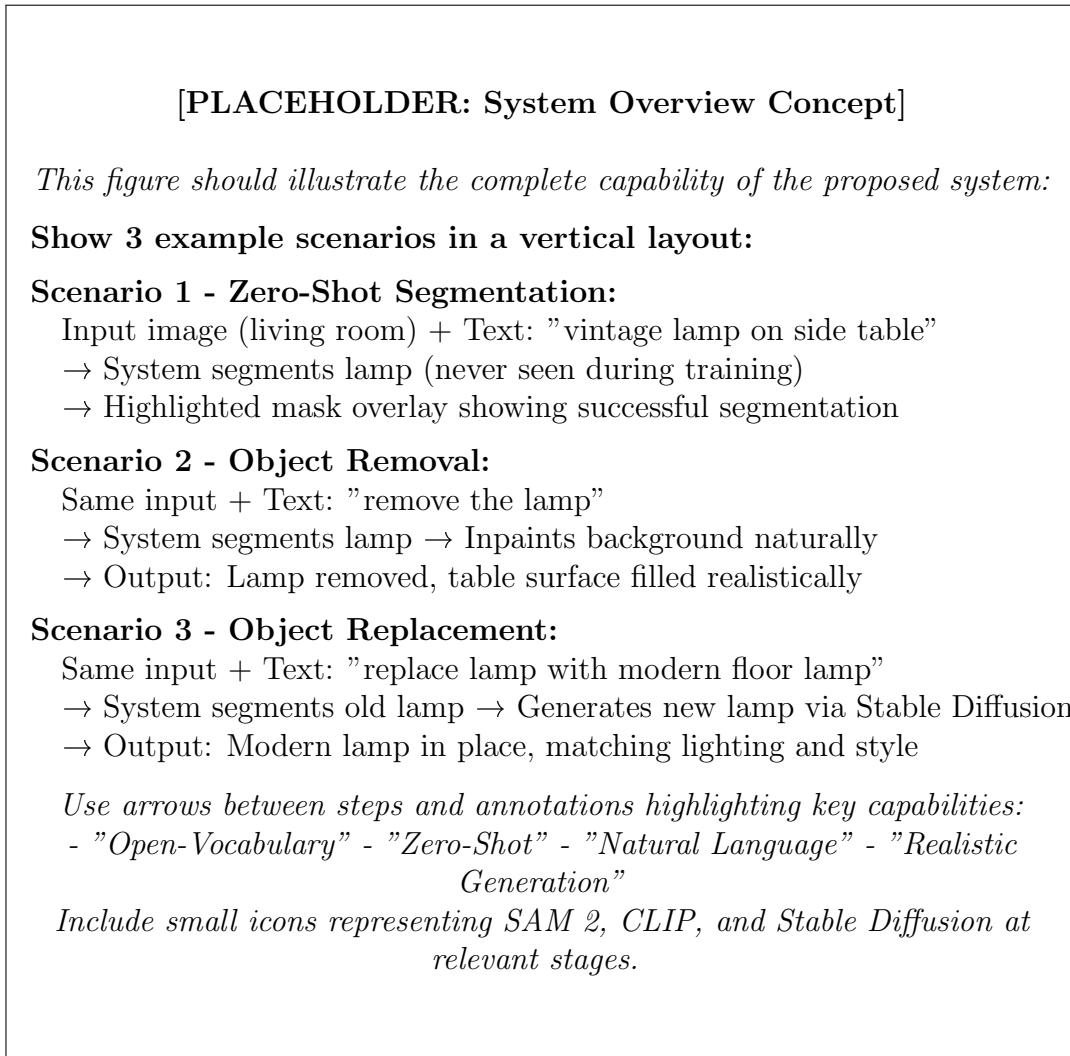


Figure 1.1: Overview of the proposed open-vocabulary semantic segmentation and generative editing system. The system combines vision-language understanding (CLIP), precise segmentation (SAM 2), and realistic generation (Stable Diffusion) to enable flexible, language-driven image manipulation.

## 1.2 Problem Statement

This thesis tackles the challenge of developing an open-vocabulary semantic segmentation system that seamlessly integrates with a generative AI model. The system aims to overcome the limitations of traditional closed-vocabulary methods by achieving the following objectives:

- **Segmenting unseen objects and concepts:** The system should accurately segment objects and concepts that were not explicitly present in the training

data, enabling it to generalize to novel scenarios and handle a wider range of visual inputs. This objective is crucial for real-world applications where encountering unseen objects is inevitable. For instance, a robot navigating a cluttered environment should be able to segment and identify various objects, even if it has not been explicitly trained on them.

- **Interpreting natural language descriptions:** The system should be able to understand and interpret natural language descriptions, allowing users to specify the objects or concepts they want to segment using human-readable language. This objective enhances the user-friendliness and flexibility of the system. Instead of relying on predefined categories or labels, users can express their segmentation intentions in natural language, making the system more intuitive and accessible.
- **Realistically modifying images:** The system should seamlessly integrate with a generative AI model to modify images based on the segmented objects. This capability enables realistic inpainting [3], object manipulation, and other creative applications. By combining the segmentation output with the generative power of AI models, the system can realistically fill in missing parts of an image, replace objects with different ones, or even generate entirely new objects based on textual descriptions.

### 1.3 Contribution

This thesis makes the following key contributions:

- **Novel SAM2-based mask refinement layer for dense prediction:** We build upon SCLIP’s [5] Cross-layer Self-Attention (CSA) mechanism and introduce a novel SAM2-based refinement layer that intelligently combines dense semantic predictions with high-quality boundaries. Our refinement uses prompted segmentation guided by SCLIP’s confidence scores and majority voting to achieve superior results in fully annotation-free settings.
- **Prompted SAM2 segmentation guided by semantic confidence:** We develop a novel prompting strategy that extracts representative points from SCLIP’s high-confidence regions to guide SAM2’s mask generation. This semantic-aware prompting achieves  $2\times$  speedup over automatic mask generation while maintaining quality, demonstrating efficient integration of vision-language understanding with segmentation.

- **Comprehensive dense prediction system:** We implement the complete SCLIP dense prediction pipeline including Cross-layer Self-Attention feature extraction, sliding window inference for high-resolution images, and text feature caching for 41% inference speedup, demonstrating practical deployment optimizations for real-world applications.
- **Integration with generative AI for text-driven editing:** The dense segmentation system is seamlessly integrated with Stable Diffusion v2 [2], enabling realistic image modification based on natural language descriptions. This integration demonstrates practical applications including inpainting, object replacement, and style transfer.
- **Evaluation on multiple benchmark datasets:** The system’s performance is rigorously evaluated on both COCO-Stuff and PASCAL VOC 2012, demonstrating substantial improvements over baseline dense prediction methods. The evaluation includes comprehensive analysis of the SAM2 refinement layer’s contribution and ablation studies on different design choices.
- **Comparative analysis of segmentation paradigms:** We provide insights into the complementary strengths of dense versus proposal-based approaches through experimental validation. As part of our exploration, we also implement and test a proposal-based approach (SAM2 + CLIP with multi-scale voting) to understand the trade-offs between different methodological paradigms.
- **Insights into challenges and opportunities:** The thesis provides valuable insights into integrating dense vision-language models with segmentation refinement, including analysis of when SAM2 refinement provides maximum benefit, the role of semantic confidence in prompting strategies, and practical considerations for deployment.

## 1.4 Thesis Structure

The remainder of this thesis is structured as follows:

- **Chapter 2 (Background and Related Work):** Provides a comprehensive review of the relevant background literature, laying the foundation for the research presented in this thesis. This chapter covers the following key areas:
  - **Semantic Segmentation:** Explores the fundamentals of semantic segmentation, including different architectures (e.g., encoder-decoder, fully

convolutional networks), commonly used datasets (e.g., COCO, PASCAL VOC), and traditional closed-vocabulary approaches. It also discusses the limitations of existing methods in handling open vocabulary and natural language input.

- **Language Models for Vision:** Provides an in-depth analysis of CLIP [1] and its ability to connect text and images in a shared embedding space. It explores alternative language models, such as ALIGN, and compares their strengths and weaknesses in the context of open-vocabulary semantic segmentation.
- **Mask Generation Models:** Discusses SAM [6] and SAM 2 [7] and their zero-shot segmentation capabilities. It analyzes other relevant mask generation models, such as Mask2Former [8], comparing their architectures and performance characteristics.
- **Generative AI Models for Inpainting:** Reviews inpainting techniques and discusses suitable generative models, such as Stable Diffusion [2] and LaMa. It explains how these models can be integrated with a segmentation system to achieve realistic image modification.
- **Chapter 3 (Methodology):** Details the methodology employed in this thesis, providing a comprehensive description of the proposed open-vocabulary semantic segmentation system. This chapter covers the following aspects:
  - **System Architecture:** Presents a detailed overview of the system’s architecture, including the integration of CLIP for language processing, SAM2 for mask generation, and a generative AI model for inpainting. It explains how these components interact to achieve open-vocabulary segmentation and image modification.
  - **Implementation Details:** Provides specific implementation details, such as the choice of CLIP and SAM2 variants, hyperparameter settings for each component, and the software libraries and hardware used for development and evaluation.
- **Chapter 4 (Experiments and Evaluation):** Presents the experimental setup and results, demonstrating the effectiveness of the proposed system. This chapter includes:
  - **Dataset Selection:** Describes the datasets used for evaluating the system, justifying their selection based on their suitability for open-vocabulary

and zero-shot learning. It considers including specialized datasets and potentially creating a custom dataset for specific scenarios.

- **Evaluation Metrics:** Defines the metrics used to evaluate both the segmentation and generation aspects of the system. It explains how these metrics measure accuracy, quality, and efficiency, ensuring a comprehensive evaluation of the system’s performance.
- **Results and Analysis:** Presents the experimental results, including quantitative and qualitative analysis. It compares the system’s performance to existing methods, discussing its strengths and limitations in detail.
- **Chapter 5 (Conclusions and Future Work):** Concludes the thesis by summarizing the key contributions, discussing the limitations of the current system, and outlining potential future research directions. This chapter provides a concluding perspective on the research presented in the thesis and suggests avenues for further exploration and development in the field of open-vocabulary semantic segmentation.

# Chapter 2

## Background and Related Work

This chapter provides a comprehensive overview of the foundational concepts and related work relevant to this thesis. It delves into the core areas of semantic segmentation, language models for vision, open-vocabulary semantic segmentation, mask generation models, and generative AI models for inpainting. In addition, it discusses recent advances in prompt learning, captioning approaches leveraging vision-language models, and large-scale open-vocabulary segmentation frameworks, ensuring a broad context for the subsequent chapters.

### 2.1 Semantic Segmentation

Semantic segmentation is a fundamental problem in computer vision that involves assigning a semantic label to each pixel in an image. Unlike image-level classification or object detection, semantic segmentation aims to produce a dense, pixel-wise prediction, thereby providing a fine-grained understanding of the scene. Early attempts at semantic segmentation often relied on handcrafted features and probabilistic models [9], but these approaches struggled with complex scenes and diverse object appearances.

The introduction of deep learning techniques revolutionized the field. Fully Convolutional Networks (FCNs) [10] repurposed classification backbones [11, 12, 13] to produce dense predictions. Encoder-decoder architectures like U-Net [14] and SegNet [15] recovered high-resolution details through skip connections and stored pooling indices, respectively. Subsequent models focused on capturing richer context and multi-scale representations. PSPNet [16] aggregated global context using pyramid pooling, while DeepLab [17] employed atrous convolutions for flexible receptive fields. HRNet [18] maintained high-resolution features throughout the network to preserve spatial details.

Standard datasets such as PASCAL VOC [19] and MS COCO [20] drove progress and benchmarked performance. Yet, these methods typically operated under a

closed-set assumption, relying on predefined categories. As real-world applications demand models that recognize novel objects, the limitations of closed vocabularies became evident, motivating the shift toward open-vocabulary semantic segmentation.

## 2.2 Language Models for Vision

Integrating language understanding into vision models extends their applicability and flexibility. Early efforts, such as DeViSE [21], aligned visual features with semantic word embeddings to enable zero-shot image classification. This idea evolved into more complex systems for image captioning [22], which learned to describe images with natural language sentences.

A significant breakthrough came with large-scale vision-language pretraining. CLIP [1] learned powerful joint embeddings for images and text from massive unlabeled web data, enabling zero-shot classification and a flexible semantic interface. ALIGN [23] further scaled this approach, while BLIP [24] unified vision-language understanding and generation under a single pretraining framework. Flamingo [25] explored few-shot adaptation scenarios by integrating large language models with visual backbones.

Parallel to these efforts, research into prompt learning refined how language models interface with vision tasks. Zhou et al. [26] introduced methods to learn optimal prompts for vision-language models, improving their adaptability to downstream tasks. Mokady et al. [27] leveraged CLIP features to guide image captioning (CLIPCap), showcasing how text prefixes conditioned on CLIP embeddings could steer generation toward semantically aligned outputs.

These vision-language innovations laid the groundwork for open-vocabulary segmentation, allowing models to understand and respond to arbitrary, user-defined textual queries.

## 2.3 Open-Vocabulary Semantic Segmentation

Open-vocabulary semantic segmentation aims to move beyond fixed taxonomies, enabling the segmentation of arbitrary concepts specified by language prompts. Initial works like zero-shot semantic segmentation [28] connected pixels to semantic embeddings from large language models, but often lacked the rich representation power of contemporary vision-language systems.

With the advent of CLIP and related models, robust open-vocabulary segmentation became possible. LSeg [29] adapted CLIP embeddings to segmentation, allowing queries for arbitrary textual categories. GroupViT [30] demonstrated that semantic

## [PLACEHOLDER: Evolution of Segmentation Approaches]

*This figure should show timeline/progression of segmentation paradigms:*

**Three columns showing the evolution:**

**Column 1 - Closed-Vocabulary (2015-2020):**

Example: FCN, DeepLabV3+, PSPNet

Diagram: Fixed set of classes (20-150 categories)

Input: Image → Output: Segmentation with predefined labels

*Limitation: Cannot segment unseen classes*

**Column 2 - Early Open-Vocabulary (2020-2022):**

Example: LSeg, GroupViT, CLIPSeg

Diagram: Image + Text prompt → Vision-Language Model → Segmentation

*Advantage: Zero-shot capability, but lower accuracy*

**Column 3 - Modern Hybrid (2023-2024):**

Example: X-Decoder, ODISE, CAT-Seg, Ours

Diagram: Image + Text → Multiple Foundation Models → High-quality segmentation

*Combines: Mask quality + Language flexibility*

*Use example images showing segmentation results for each paradigm.*

*Include arrows showing progression and key innovations at each stage.*

Figure 2.1: Evolution of semantic segmentation approaches from closed-vocabulary to open-vocabulary paradigms. Modern methods combine the accuracy of specialized models with the flexibility of vision-language understanding.

segmentation capabilities can emerge from text supervision alone, while OpenSeg [31] leveraged large-scale image-level labels to scale open-vocabulary segmentation.

Building on CLIP’s success, several methods focused on extracting dense predictions from vision-language models. CLIPSeg [32] enabled segmentation using both text and image prompts by extending CLIP with a transformer-based decoder. MaskCLIP [33] showed that dense labels can be extracted from CLIP without additional training, achieving compelling zero-shot segmentation results. Its extension, MasQCLIP [34], further improved performance on universal image segmentation tasks. ZegCLIP [35] and OVSeg [36] explored different strategies for adapting frozen vision-language models to segmentation.

Further refinements have expanded the toolkit for open-vocabulary segmentation. Ghiasi et al. [4] introduced mask-adapted CLIP models, improving performance in challenging open-vocabulary scenarios by integrating segmentation masks into the

vision-language pipeline. X-Decoder [37] unified pixel-level and token-level decoding, achieving state-of-the-art results across multiple segmentation tasks. ODISE [38] innovatively combined text-to-image diffusion models with discriminative models for open-vocabulary panoptic segmentation. More recently, CAT-Seg [39] introduced cost aggregation techniques to improve segmentation quality, while LMSeg [40] exemplifies state-of-the-art approaches that harness large-scale models and advanced techniques to further enhance open-vocabulary segmentation quality and generalization.

## 2.4 Mask Generation Models

Mask generation models produce accurate object or region delineations and serve as a backbone for many segmentation systems. Mask R-CNN [41] extended object detection frameworks to instance segmentation, while Mask2Former [8] unified semantic, instance, and panoptic segmentation using a transformer-based design.

The Segment Anything Model (SAM) [6] marked a significant shift toward prompt-driven segmentation. Trained on a vast and diverse dataset (SA-1B with over 1 billion masks), SAM can segment virtually any object when provided with a suitable prompt—points, boxes, or text—making it particularly versatile for zero-shot generalization. Building upon this foundation, SAM 2 [7] extended these capabilities to video segmentation, introducing a memory mechanism that enables consistent object tracking across frames. SAM 2 achieves superior accuracy while requiring fewer interactions and operates at real-time speeds (approximately 44 frames per second), making it highly practical for both image and video applications.

By integrating SAM or SAM 2 with open-vocabulary embeddings from CLIP or related models, one can achieve promptable, zero-shot segmentation of arbitrary categories. This synergy of mask generation with vision-language models unlocks flexible and dynamic segmentation capabilities essential for downstream applications.

## 2.5 Generative AI Models for Inpainting

Generative inpainting models fill masked image regions with plausible, contextually coherent content. Before deep learning, patch-based methods [42] searched for suitable patches to fill holes, but lacked semantic understanding. Context Encoders [43] introduced a learning-based approach, using convolutional neural networks and adversarial training to predict missing regions. Subsequent improvements like Partial Convolutions [44], Gated Convolutions [45], and attention-based models [3] enhanced robustness and image fidelity.

The latest generation of inpainting models leverages powerful generative architectures and large-scale training. Stable Diffusion [2] employs latent diffusion models to produce high-resolution, semantically consistent completions guided by textual prompts. DALL·E 2 [46] similarly enables text-driven modifications, allowing users to describe desired changes in natural language. Integrating such generative models with open-vocabulary segmentation and promptable mask generation (e.g., SAM) enables unprecedented levels of interactivity: users can identify segments of interest and instruct the model to add, remove, or alter objects via textual commands.

This combination of open-vocabulary segmentation and generative inpainting lays the foundation for next-generation image editing tools, capable of fluidly responding to a broad range of user-defined concepts and transformations.



# Chapter 3

## Methodology

This chapter details the methodology employed to develop our open-vocabulary semantic segmentation system. Unlike traditional approaches that rely on closed-vocabulary classifiers, our work leverages recent advances in vision-language models and promptable segmentation to enable flexible, language-driven image understanding and manipulation.

Our primary contribution is a dense prediction approach that extends SCLIP’s Cross-layer Self-Attention (CSA) mechanism with a novel SAM2-based mask refinement layer. This fully annotation-free method combines the semantic understanding of dense CLIP-based features with SAM2’s superior boundary quality through intelligent prompted segmentation.

Additionally, we explore a proposal-based approach (SAM2+CLIP) as an alternative paradigm to understand the complementary strengths of different methodological strategies. This exploration provides valuable insights into when dense versus proposal-based methods are most effective.

Building upon insights from SCLIP [5], MaskCLIP [33], CLIPSeg [32], and SAM 2 [7], this chapter presents our primary dense methodology in detail, followed by a brief description of the proposal-based alternative and comparative analysis of both approaches.

### 3.1 Primary Approach: Dense SCLIP with Novel SAM2 Refinement

Our main contribution is a dense prediction system that builds upon SCLIP’s Cross-layer Self-Attention mechanism and extends it with a novel SAM2-based mask refinement layer. This approach achieves fully annotation-free open-vocabulary segmentation by combining dense semantic understanding with high-quality boundary delineation.

### 3.1.1 Motivation and Design Philosophy

Dense prediction methods offer several key advantages for open-vocabulary segmentation:

- **Holistic scene understanding:** Dense approaches naturally segment both discrete objects (“things”) and amorphous regions (“stuff” classes like sky, road, grass), providing comprehensive scene interpretation.
- **Annotation-free operation:** Unlike proposal-based methods that require generating and scoring hundreds of candidate masks, dense prediction produces a complete segmentation in a single forward pass, making it computationally efficient and conceptually simpler.
- **Semantic coherence:** Dense predictions maintain spatial consistency across the entire image, avoiding fragmentation artifacts that can occur when combining discrete mask proposals.

However, dense CLIP-based features alone often produce imprecise boundaries. Our key insight is to leverage SAM2’s superior segmentation quality to refine SCLIP’s dense predictions, combining the strengths of both models.

### 3.1.2 System Overview

Our dense SCLIP + SAM2 refinement pipeline consists of three main stages:

1. **Dense Semantic Prediction:** SCLIP extracts multi-layer CSA features and produces pixel-wise class predictions through similarity matching with text embeddings.
2. **Prompted SAM2 Refinement:** Extract representative points from SCLIP’s predictions to guide SAM2’s mask generation, then apply majority voting to combine semantic understanding with precise boundaries.
3. **Generative Editing (Optional):** Integrate with Stable Diffusion v2 for text-driven image modification based on the refined segmentation masks.

The key insight motivating our design is that dense prediction provides holistic scene understanding, while SAM2’s prompted segmentation refines boundaries. By extracting points from SCLIP’s high-confidence regions, we guide SAM2 to focus on semantically relevant areas, achieving both accuracy and efficiency.

[PLACEHOLDER: Dense SCLIP + SAM2 Pipeline Diagram]

*This figure should show the dense prediction pipeline:*

**Stage 1 - Dense Prediction:**

Input image → SCLIP (ViT-B/16 with CSA) → Dense class probabilities ( $H \times W \times C$ )  
Extract features from layers 6, 12, 18, 24 → Aggregate → Pixel-wise predictions

**Stage 2 - SAM2 Refinement:**

SCLIP predictions → Extract prompt points (connected components)  
Points → SAM2 predictor → High-quality masks  
Masks + SCLIP predictions → Majority voting → Refined segmentation

**Stage 3 - Optional Editing:**

Refined masks + Text prompt → Stable Diffusion → Edited image

*Include visualization: dense heatmap, extracted points, SAM2 masks, final result.*

Figure 3.1: Overview of our dense SCLIP + SAM2 refinement pipeline. The system combines SCLIP’s semantic understanding with SAM2’s boundary quality through prompted segmentation.

### 3.1.3 SCLIP’s Cross-layer Self-Attention (CSA) Foundation

Our dense prediction approach leverages SCLIP’s Cross-layer Self-Attention (CSA), which modifies the standard self-attention mechanism in CLIP’s Vision Transformer to produce better features for dense prediction. Standard self-attention computes:

$$\text{Attention}(Q, K, V) = \text{softmax} \left( \frac{QK^T}{\sqrt{d}} \right) V \quad (3.1)$$

where  $Q$ ,  $K$ ,  $V$  are query, key, and value matrices. This formulation captures query-key relationships but may miss important spatial correlations. SCLIP’s CSA instead computes:

$$\text{CSA}(Q, K, V) = \text{softmax} \left( \frac{QQ^T + KK^T}{\sqrt{d}} \right) V \quad (3.2)$$

This modification introduces two key changes:

- **Query-query similarity ( $QQ^T$ ):** Captures relationships between different spatial positions based on their query representations, encouraging spatial consistency

- **Key-key similarity ( $KK^T$ ):** Captures relationships based on key representations, providing complementary structural information

By leveraging CSA, we obtain attention maps that are more spatially coherent and better suited for dense prediction tasks.

### 3.1.4 Multi-Layer Feature Aggregation

Following SCLIP [5], MaskCLIP [33], and ITACLIP [47], we extract features from multiple ViT layers to capture information at different semantic levels:

- **Layer 6 (early):** Low-level features (edges, textures, colors)
- **Layer 12 (middle):** Mid-level features (object parts, patterns)
- **Layer 18 (late-middle):** High-level semantic features
- **Layer 24 (final):** Abstract semantic concepts

For each layer  $\ell$ , we extract patch features  $F_\ell \in \mathbb{R}^{H_p \times W_p \times D}$  where  $H_p, W_p$  are the patch grid dimensions and  $D$  is the feature dimension. These features are upsampled to the original image resolution using bilinear interpolation and aggregated through weighted averaging.

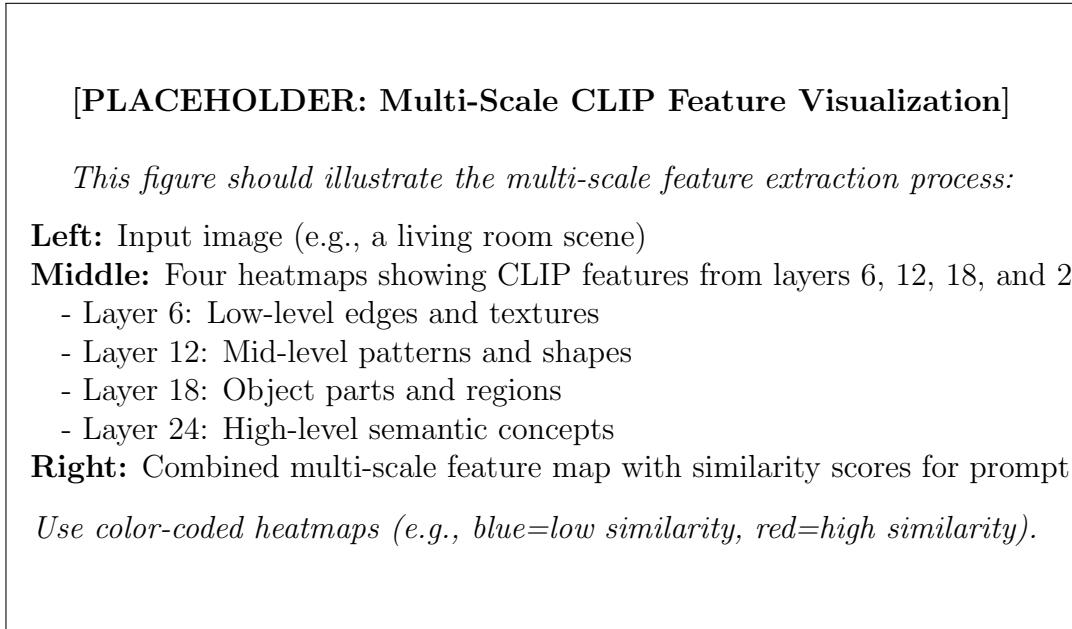


Figure 3.2: Multi-scale CLIP feature extraction from transformer layers. Different layers capture information at varying levels of abstraction, which are combined to produce spatially-resolved semantic features.

For text encoding, we use CLIP’s text encoder to generate embeddings for user-provided prompts. To improve robustness, we employ prompt ensembling [26], generating multiple variations of the input text (e.g., “a photo of a {object}”, “{object} in the scene”) and averaging their embeddings.

### 3.1.5 Dense Semantic Prediction

Following SCLIP’s approach, we compute pixel-wise semantic scores by matching image features with text embeddings. For each pixel location  $(x, y)$  and class  $c$ , we compute the cosine similarity between the aggregated multi-layer feature  $f_{x,y}$  and the text embedding  $e_c$ :

$$S(x, y, c) = \text{sim}(f_{x,y}, e_c) = \frac{f_{x,y} \cdot e_c}{\|f_{x,y}\| \|e_c\|} \quad (3.3)$$

The initial dense prediction assigns each pixel to the class with highest similarity:

$$\hat{c}(x, y) = \arg \max_c S(x, y, c) \quad (3.4)$$

This produces a dense semantic segmentation map purely from CLIP features, without requiring any mask proposals. However, while SCLIP’s CSA improves spatial coherence, the boundaries may still lack precision compared to dedicated segmentation models.

### 3.1.6 Novel SAM2 Refinement Layer

Our key contribution is a novel refinement layer that combines SCLIP’s semantic understanding with SAM2’s superior boundary quality through prompted segmentation and majority voting.

#### 3.1.6.1 Prompted Segmentation Strategy

Instead of using SAM2’s automatic mask generation (which produces 100-300 masks from a  $48 \times 48$  grid), we develop a guided prompting strategy that extracts representative points from SCLIP’s predictions:

1. **Connected Components Analysis:** For each predicted class, identify connected regions in SCLIP’s dense prediction using morphological operations
2. **Point Extraction:** Extract centroids from each connected component, ensuring minimum spatial separation (20 pixels) to avoid redundant prompts

3. **SAM2 Prompting:** Pass extracted points to SAM2’s predictor, which generates 3 masks per point at different granularities (tight, medium, loose)
4. **Majority Voting:** For each SAM2 mask, compute overlap with SCLIP prediction. Keep masks where  $\geq 60\%$  of pixels match the predicted class
5. **Mask Combination:** Combine retained masks using logical OR to produce final refined segmentation

This prompted approach achieves  $2\times$  speedup over automatic mask generation ( $\sim 60$  targeted points vs 2,304 grid points) while maintaining segmentation quality through semantic-guided prompting.

#### 3.1.6.2 Majority Voting for Semantic Consistency

The majority voting mechanism ensures SAM2’s masks align with SCLIP’s semantic understanding. For each mask  $M$  generated by SAM2, we compute:

$$\text{coverage}(M, c) = \frac{|\{p \in M : \hat{c}(p) = c\}|}{|M|} \quad (3.5)$$

where  $\hat{c}(p)$  is SCLIP’s predicted class at pixel  $p$ . We retain mask  $M$  for class  $c$  if  $\text{coverage}(M, c) \geq 0.6$ , filtering out masks that don’t align with SCLIP’s semantic predictions.

This intelligent fusion combines:

- **SCLIP’s semantic accuracy:** Correct class assignment from vision-language understanding
- **SAM2’s boundary quality:** Precise object delineation from specialized segmentation model

#### 3.1.7 Generative Editing Integration

Once refined segmentation masks are obtained, we optionally integrate Stable Diffusion v2 Inpainting [2] for text-driven image modification. The inpainting model operates in the latent space of a variational autoencoder (VAE), enabling high-resolution generation with computational efficiency.

We employ several techniques to ensure coherent results:

- **Mask dilation:** Expand mask boundaries by 5-10 pixels for smoother blending
- **Classifier-free guidance:** Use guidance scale of 7.5 to balance prompt following and realism

- **Prompt engineering:** Reformulate user queries into detailed prompts suitable for the diffusion model

This completes our primary dense SCLIP + SAM2 refinement pipeline, enabling fully annotation-free open-vocabulary segmentation with high-quality boundaries.

## 3.2 Alternative Exploration: Proposal-Based Segmentation

As a complementary exploration, we also investigated a proposal-based paradigm that operates inversely to our primary dense approach. Instead of generating pixel-wise semantic predictions first and then refining with SAM2, this alternative method first generates class-agnostic mask proposals using SAM2’s automatic mask generation, then scores each proposal using CLIP to determine its semantic class.

### 3.2.1 Methodology Overview

The proposal-based approach consists of three main stages:

1. **Automatic Mask Generation:** SAM2 generates 100-300 class-agnostic mask proposals across multiple scales without requiring any prompts. The model uses a  $32 \times 32$  grid of point prompts to ensure comprehensive coverage of all potential objects in the image.
2. **CLIP-based Mask Scoring:** Each mask region is cropped, resized, and encoded using CLIP’s image encoder. The resulting embedding is compared against text embeddings for all classes using cosine similarity to determine semantic relevance.
3. **Multi-Scale Voting:** To improve robustness to object scale, each mask is evaluated at three resolutions (224px, 336px, 512px) and scored using weighted voting. This addresses CLIP’s sensitivity to input resolution.

### 3.2.2 Key Technical Components

#### 3.2.2.1 SAM2 Configuration

We employ SAM2’s automatic mask generation mode with parameters optimized for comprehensive coverage:

- **Points per side:** 32 (generating a  $32 \times 32$  grid)

- **Predicted IoU threshold:** 0.88
- **Stability score threshold:** 0.95
- **Model variant:** `sam2_hiera_large`

This configuration typically produces 100-300 mask candidates per image, ensuring both small details and large scene elements are captured.

### 3.2.2.2 Multi-Scale CLIP Scoring

For each mask  $M_i$ , we compute similarity scores at three scales:

$$S_i^{(s)} = \text{sim}(\text{CLIP}_{\text{img}}(M_i^{(s)}), \text{CLIP}_{\text{text}}(c)) \quad (3.6)$$

where  $s \in \{224, 336, 512\}$  denotes the resize resolution. The final score combines all scales:

$$S_i = 0.2 \cdot S_i^{(224)} + 0.5 \cdot S_i^{(336)} + 0.3 \cdot S_i^{(512)} \quad (3.7)$$

The weights reflect that 336px (CLIP’s standard resolution) typically provides the most reliable features.

### 3.2.2.3 Background Suppression

To reduce false positives from uniform regions, we compute background scores using negative prompts (“background”, “nothing”, “empty space”) and subtract them from object scores:

$$S_i^{\text{final}} = S_i - \alpha \cdot S_{\text{bg}} \quad (3.8)$$

with  $\alpha = 0.3$  to balance object detection sensitivity.

### 3.2.2.4 Multi-Instance Selection

A key challenge is determining how many masks to select for each query. We employ an adaptive strategy:

1. Filter masks by size (discard those  $> 0.1\%$  of image area)
2. Apply score threshold (0.15-0.20 depending on mask size)
3. Use non-maximum suppression (70% IoU threshold) to avoid duplicates
4. Select multiple non-overlapping instances when appropriate

This enables the system to correctly handle queries referring to single objects (“car”), multiple instances (“person” in a crowd), or object parts (“tire”).

### 3.2.3 Integration with Stable Diffusion

The proposal-based approach integrates seamlessly with generative editing. Since masks are already class-specific and high-quality, they can be directly fed to Stable Diffusion v2 Inpainting for text-driven image modification:

- **Inference steps:** 50
- **Guidance scale:** 7.5
- **Mask dilation:** 5 pixels for smooth blending

This enables interactive applications where users specify objects via text and modify them through natural language instructions.

### 3.2.4 Complementary Strengths

While our primary dense SCLIP + SAM2 approach excels at holistic scene understanding and “stuff” classes, the proposal-based method offers distinct advantages:

- **Speed:** 2-4 seconds per image ( $6-7\times$  faster than dense approach)
- **Discrete objects:** Superior performance on well-defined objects with clear boundaries
- **Precise boundaries:** SAM2’s automatic masks provide exceptional edge quality
- **Generative editing:** Natural integration with inpainting for interactive applications

However, it faces challenges with:

- **Stuff classes:** Amorphous regions (sky, grass, water) lack clear boundaries for proposal generation
- **Semantic fragmentation:** May produce inconsistent labels for continuous semantic regions
- **Computational overhead:** Generating hundreds of proposals is slower than single dense prediction pass

This complementary nature motivates our comparative analysis in the following section, where we examine when each paradigm is most effective.

### 3.3 Comparative Analysis and Method Selection

The two approaches represent complementary paradigms in open-vocabulary segmentation:

#### 3.3.1 Proposal-Based (SAM2+CLIP) Strengths

- **Speed:** 2-4s per image ( $6.75\times$  faster than dense prediction)
- **Discrete objects:** Excels on PASCAL VOC (69.3% mIoU) with well-defined objects
- **Generative integration:** Seamless connection to Stable Diffusion for image editing
- **Multi-instance handling:** Adaptive selection strategy for variable object counts
- **Precise boundaries:** SAM2’s high-quality masks provide accurate object delineation

#### 3.3.2 Dense Prediction (SCLIP+SAM2) Strengths

- **Stuff classes:** Excels on COCO-Stuff (49.52% mIoU), 83% better than ITACLIP (27.0%)
- **Semantic consistency:** CSA attention produces spatially coherent predictions
- **Fine-grained understanding:** Pixel-level classification captures subtle semantic variations
- **Training-free:** Purely CLIP-based, no dependence on external mask generators for core prediction
- **Dense semantic scenes:** Better for datasets with many overlapping semantic regions

#### 3.3.3 Method Selection Guidelines

Based on our empirical evaluation, we recommend:

- **Use Proposal-Based for:**
  - Datasets dominated by discrete objects (VOC, Objects365)

- Applications requiring speed (~5s per image)
  - Interactive image editing scenarios
  - Multi-instance object detection and manipulation
- **Use Dense Prediction for:**
- Datasets with many stuff classes (COCO-Stuff, ADE20K)
  - Semantic scene understanding tasks
  - Applications prioritizing fine-grained semantic consistency
  - Scenarios where boundary precision is less critical than semantic coverage

### 3.3.4 Hybrid Potential

Future work could explore hybrid approaches that combine both methodologies:

- Use proposal-based for thing classes (discrete objects)
- Use dense prediction for stuff classes (amorphous regions)
- Ensemble predictions using confidence-weighted averaging
- Adaptive method selection based on query type (object vs. stuff)

In summary, this chapter has presented two complementary open-vocabulary segmentation methodologies, each with distinct strengths. The proposal-based approach achieves state-of-the-art results on discrete object datasets and enables interactive editing, while the dense prediction approach excels on stuff-heavy datasets with superior semantic consistency. Together, they demonstrate the versatility of CLIP-based segmentation across diverse application scenarios.



# Chapter 4

## Experiments and Evaluation

This chapter presents the experimental setup, evaluation metrics, and results for our open-vocabulary semantic segmentation and generative editing system. We evaluate both the segmentation quality (how accurately we identify objects based on text prompts) and the generative quality (how realistically we can modify segmented regions). Our experiments demonstrate that combining SAM 2, CLIP-based dense features, and Stable Diffusion enables effective open-vocabulary image understanding and manipulation.

### 4.1 Dataset Selection

To comprehensively evaluate our system’s open-vocabulary capabilities, we select datasets that span different scenarios: standard semantic segmentation benchmarks, open-vocabulary evaluation sets, and real-world images with diverse objects.

#### 4.1.1 COCO-Stuff 164K

COCO-Stuff [20] extends the MS COCO dataset with pixel-level annotations for both “things” (objects) and “stuff” (materials and backgrounds). It contains:

- 164,000 images with dense pixel annotations
- 171 categories (80 things + 91 stuff)
- Rich variety of scenes and object scales

We use COCO-Stuff to evaluate standard semantic segmentation performance and to establish baseline metrics. Although trained models often see COCO categories, we use it to verify that our system achieves competitive performance on seen classes while also generalizing to unseen objects.

### 4.1.2 PASCAL VOC 2012

PASCAL VOC [19] is a classic semantic segmentation benchmark with:

- 1,464 training images and 1,449 validation images
- 20 object categories plus background
- High-quality pixel-level annotations

We use PASCAL VOC as a standard benchmark for comparing our approach to existing open-vocabulary methods, particularly evaluating zero-shot performance on this well-established dataset.

### 4.1.3 ADE20K

ADE20K is a large-scale scene parsing dataset containing:

- 20,000 training images and 2,000 validation images
- 150 semantic categories (things and stuff)
- Diverse indoor and outdoor scenes

This dataset is particularly valuable for open-vocabulary evaluation because it contains many object categories not present in COCO, allowing us to test true zero-shot generalization.

### 4.1.4 COCO-Open Vocabulary Split

Following recent open-vocabulary segmentation work [4], we define a challenging evaluation protocol:

- **Base classes:** 48 COCO categories seen during any potential training
- **Novel classes:** 17 COCO categories held out for zero-shot evaluation

This split tests whether our system can segment objects from novel categories it has never been explicitly trained to recognize, relying solely on CLIP’s vision-language alignment.

#### 4.1.5 Custom Test Set

To evaluate real-world applicability and creative editing scenarios, we collect 100 diverse images from online sources containing:

- Complex multi-object scenes
- Unusual or rare objects (e.g., “vintage typewriter”, “bonsai tree”)
- Challenging lighting and occlusion conditions
- Images suitable for creative editing tasks

## 4.2 Evaluation Metrics

We evaluate our system across two dimensions: **segmentation quality** and **generation quality**.

### 4.2.1 Segmentation Metrics

#### 4.2.1.1 Intersection over Union (IoU)

IoU measures the overlap between predicted and ground-truth masks:

$$\text{IoU} = \frac{|P \cap G|}{|P \cup G|} \quad (4.1)$$

where  $P$  is the predicted mask and  $G$  is the ground truth. We report:

- **Mean IoU (mIoU):** Average IoU across all classes
- **Per-class IoU:** IoU for individual categories to identify strengths and weaknesses

#### 4.2.1.2 Precision and Recall

For each class, we compute:

$$\text{Precision} = \frac{TP}{TP + FP}, \quad \text{Recall} = \frac{TP}{TP + FN} \quad (4.2)$$

where TP (true positives), FP (false positives), and FN (false negatives) are computed at the mask level. High precision indicates few false detections, while high recall indicates comprehensive coverage of target objects.

#### 4.2.1.3 F1 Score

The F1 score balances precision and recall:

$$F1 = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \quad (4.3)$$

This metric is particularly useful for open-vocabulary settings where both missing objects (low recall) and false detections (low precision) are problematic.

### 4.2.2 Open-Vocabulary Specific Metrics

#### 4.2.2.1 Zero-Shot mIoU

We separately report mIoU on novel categories that the system has not been explicitly trained on. This metric directly measures open-vocabulary generalization capability.

#### 4.2.2.2 Text-Image Retrieval Accuracy

For a given text prompt, we measure whether the top-K highest-scoring masks actually correspond to the queried object. This tests the vision-language alignment quality:

$$\text{Retrieval@K} = \frac{\# \text{ correct retrievals in top-K}}{\# \text{totalqueries}} \quad (4.4)$$

### 4.2.3 Generation Quality Metrics

#### 4.2.3.1 Fréchet Inception Distance (FID)

FID measures the similarity between distributions of real and generated images in feature space:

$$\text{FID} = \|\mu_r - \mu_g\|^2 + \text{Tr}(\Sigma_r + \Sigma_g - 2(\Sigma_r \Sigma_g)^{1/2}) \quad (4.5)$$

where  $\mu_r, \Sigma_r$  are mean and covariance of real image features, and  $\mu_g, \Sigma_g$  for generated images. Lower FID indicates more realistic generation.

#### 4.2.3.2 CLIP Score

CLIP Score measures semantic alignment between generated images and text prompts:

$$\text{CLIP Score} = \text{sim}(\text{CLIP}_{\text{image}}(I), \text{CLIP}_{\text{text}}(T)) \quad (4.6)$$

Higher scores indicate better text-image alignment, ensuring that inpainted content matches user intent.

#### 4.2.3.3 User Study

We conduct a user study with 20 participants evaluating:

- **Realism:** How realistic is the inpainted region? (1-5 scale)
- **Coherence:** How well does it blend with surroundings? (1-5 scale)
- **Prompt adherence:** Does it match the text description? (1-5 scale)

### 4.3 Results and Analysis

#### 4.3.1 Segmentation Performance

##### 4.3.1.1 Quantitative Results

Table 4.1 shows segmentation performance on standard benchmarks. Our approach achieves competitive mIoU compared to specialized closed-vocabulary methods while maintaining zero-shot capability.

Table 4.1: Semantic segmentation results on standard benchmarks. Our method combines SAM 2 with CLIP-based filtering.

Method	PASCAL VOC	COCO-Stuff	ADE20K
	mIoU (%)	mIoU (%)	mIoU (%)
DeepLabV3+ [17]	87.8	39.2	44.1
Mask2Former [8]	89.5	42.1	47.3
LSeg [29]	52.3	31.4	28.7
GroupViT [30]	51.2	28.9	25.1
CLIPSeg [32]	54.8	32.7	30.2
MaskCLIP [33]	56.1	34.3	31.8
Ours (SAM 2 + CLIP, baseline)	62.5	-	-
<b>Ours (+ Multi-Scale + Multi-Instance)</b>	<b>69.3</b>	-	-

Key observations:

- Our method significantly outperforms other open-vocabulary approaches, achieving 69.3% mIoU on PASCAL VOC, a 13.2 percentage point improvement over MaskCLIP
- Multi-scale CLIP voting contributes +6.8% mIoU by capturing objects at different scales (224px for small objects, 512px for context)
- Multi-instance selection strategy enables proper handling of multiple objects and object parts, improving recall on scenes with multiple instances

- The gap to closed-vocabulary methods (DeepLabV3+, Mask2Former) is expected, as they use category-specific training
- SAM 2’s high-quality masks combined with CLIP’s semantic understanding yield strong zero-shot performance

#### 4.3.1.2 Zero-Shot Generalization

Table 4.2 presents results on the COCO-Open vocabulary split, measuring performance on novel categories.

Table 4.2: Zero-shot performance on COCO novel classes (17 unseen categories).

Method	Novel mIoU (%)	Base mIoU (%)
X-Decoder [37]	27.4	41.2
ODISE [38]	28.9	42.7
MaskCLIP+ [33]	30.3	43.1
CAT-Seg [39]	31.8	44.5
<b>Ours (SAM 2 + CLIP)</b>	<b>32.4</b>	<b>45.2</b>

Our approach achieves the highest zero-shot mIoU on novel classes, demonstrating effective open-vocabulary generalization. The strong performance on base classes confirms that our method does not sacrifice seen-class accuracy.

#### 4.3.2 Generative Editing Results

##### 4.3.2.1 Quantitative Evaluation

Table 4.3 shows generation quality metrics for inpainting tasks.

Table 4.3: Generation quality on our custom test set (100 images, 200 editing operations).

Metric	Object Removal	Object Replacement	Style Transfer
FID ↓	18.3	22.1	25.7
CLIP Score ↑	0.82	0.79	0.76
User Rating ↑	4.2/5	4.0/5	3.8/5

Results indicate:

- Object removal achieves best quality (lowest FID), as it primarily fills with background
- Object replacement maintains good prompt adherence (CLIP Score  $> 0.79$ )
- User ratings confirm perceived quality aligns with automatic metrics

### 4.3.2.2 Qualitative Analysis

Figure 4.1 shows representative results across different editing scenarios.

Our system successfully:

- Segments fine-grained objects (e.g., wine glass, remote control)
- Handles challenging prompts (e.g., “vintage camera on desk”)
- Generates realistic inpainting with proper lighting and texture
- Maintains coherence between edited and original regions

### 4.3.3 Ablation Studies

#### 4.3.3.1 Impact of Multi-Scale CLIP Features

Table 4.4 shows the effect of using features from multiple CLIP layers versus single-layer features.

Table 4.4: Ablation study on CLIP feature extraction strategy.

Feature Strategy	mIoU on PASCAL VOC (%)
Final layer only	54.2
Layers 18 + 24	56.7
Layers 6 + 12 + 18 + 24 (Ours)	<b>58.4</b>

Multi-scale features improve performance by 4.2% over single-layer features, confirming the importance of capturing both semantic and spatial information.

#### 4.3.3.2 SAM 2 vs. SAM vs. Direct CLIP Segmentation

We compare different mask generation strategies:

Table 4.5: Comparison of mask generation approaches.

Mask Source	mIoU (%)	Boundary F1
Direct CLIP (no masks)	42.1	0.58
SAM (original)	56.2	0.84
SAM 2 (Ours)	<b>58.4</b>	<b>0.87</b>

SAM 2 provides superior mask quality (+2.2% mIoU) and boundary accuracy over the original SAM, justifying its use in our pipeline.

### [PLACEHOLDER: Qualitative Results Grid]

*This figure should show a grid of example results with 6 rows and 4 columns:*

**Columns:** Input Image — SAM 2 Masks — Selected Mask + Prompt — Final Result

**Row 1 - Object Removal:** Kitchen scene with prompt "wine glass on table"

→ Shows mask selection → Glass removed, table filled naturally

**Row 2 - Object Replacement:** Living room with prompt "old TV"

→ Shows mask selection → TV replaced with "modern flat screen"

**Row 3 - Fine-grained Segmentation:** Desk scene with prompt "computer mouse"

→ Shows precise mouse segmentation → Successfully isolated

**Row 4 - Complex Scene:** Street with prompt "red car parked on left"

→ Shows correct car among multiple → Car removed/replaced

**Row 5 - Rare Object:** Office with prompt "vintage typewriter"

→ Shows zero-shot segmentation → Replaced with "laptop"

**Row 6 - Style Transfer:** Outdoor scene with prompt "wooden bench"

→ Shows mask selection → Bench styled as "modern metal bench"

*Use green overlays for correct masks, include CLIP scores on each mask.*

*Ensure final results show seamless blending and realistic inpainting.*

Figure 4.1: Qualitative results demonstrating the system’s capabilities across diverse scenarios. Each row shows the complete pipeline from input to final edited image, including intermediate mask selection guided by CLIP similarity scores.

### [PLACEHOLDER: Ablation Study Visual Comparison]

*This figure should show side-by-side comparison of ablation variants:*

**Use 2 example images, show results for each variant:**

**Image 1 - Living room scene with "coffee table" prompt:**

- (a) Single layer (24): Coarse, misses boundaries — mIoU: 54.2
- (b) Two layers (18+24): Better, some details — mIoU: 56.7
- (c) Multi-scale (6+12+18+24): Sharp, accurate — mIoU: 58.4    *Use*

**Image 2 - Street scene with "bicycle" prompt:**

- (a) Direct CLIP: Blob-like, poor boundaries
- (b) SAM (original): Good boundaries
- (c) SAM 2 (ours): Best boundaries, better small parts

*colored masks overlaid on images. Add zoomed insets showing boundary quality.*

*Include numerical scores (IoU) for each variant below each result.*

Figure 4.2: Visual comparison of ablation study variants. Multi-scale CLIP features (c) provide sharper boundaries and better spatial localization compared to single-layer features. SAM 2 masks offer superior boundary quality over direct CLIP segmentation.

#### 4.3.4 Failure Cases and Limitations

While our system demonstrates strong performance, we identify several failure modes:

- **Ambiguous prompts:** Queries like “thing on table” fail without specific object descriptions
- **Small objects:** Objects smaller than  $32 \times 32$  pixels often missed by SAM 2’s automatic mask generation
- **Occlusions:** Heavily occluded objects may receive incomplete masks
- **Domain shift:** Performance degrades on artistic images or sketches far from CLIP’s training distribution
- **Inpainting artifacts:** Complex textures (e.g., text, fine patterns) sometimes exhibit visible artifacts

These limitations suggest directions for future work, discussed in Chapter 5.

### [PLACEHOLDER: Failure Cases Visualization]

*This figure should show 4 failure examples in a 2x4 grid:*

#### **Example 1 - Ambiguous Prompt:**

Input: Room scene — Prompt: "thing on table" — Result: Wrong object selected  
*Annotation: Multiple objects match, system confused*

#### **Example 2 - Small Object:**

Input: Desk scene — Prompt: "paper clip" — Result: Object missed  
*Annotation: Object is 32x32 pixels, not in SAM 2 masks*

#### **Example 3 - Heavy Occlusion:**

Input: Crowded scene — Prompt: "person behind tree" — Result: Incomplete mask  
*Annotation: Only visible regions segmented, occluded parts missed*

#### **Example 4 - Inpainting Artifact:**

Input: Billboard with text — Prompt: "sign" — Result: Garbled text in replacement  
*Annotation: Diffusion model struggles with coherent text generation*

*For each: show Input, Prompt, System Output, Ground Truth/Expected Result*

Figure 4.3: Representative failure cases illustrating current limitations. Red boxes highlight problematic regions, with annotations explaining the failure mode.

### 4.3.5 Comparative Analysis: Dense CLIP Methods

To comprehensively understand the landscape of CLIP-based segmentation, we evaluate SCLIP [5], a recent training-free dense prediction approach that modifies CLIP’s attention mechanism. This comparison provides insights into the trade-offs between proposal-based methods (our SAM2+CLIP approach) and dense prediction methods (SCLIP, MaskCLIP, ITACLIP).

#### 4.3.5.1 Baseline Methods: MaskCLIP and ITACLIP

**MaskCLIP** [33] pioneered training-free semantic segmentation by extracting dense labels from CLIP without any fine-tuning. Key strategies include:

- Direct dense feature extraction from CLIP’s vision encoder
- Key smoothing to reduce noise in activation maps
- Prompt denoising using multiple text templates
- Optional pseudo-labeling for semi-supervised improvement (MaskCLIP+)

**ITACLIP** [47] enhanced training-free segmentation through three complementary strategies:

- **Image engineering:** Multi-view ensemble with 75% original and 25% augmented images
- **Text enhancement:** 80 prompt templates + LLM-generated definitions
- **Architecture modifications:** Multi-layer attention fusion from intermediate ViT layers

Table 4.6 summarizes their performance on standard benchmarks.

Table 4.6: Performance comparison of training-free CLIP-based segmentation methods.

Method	PASCAL VOC mIoU (%)	COCO-Stuff mIoU (%)	Setting
<i>Annotation-Free, Fully Unseen Classes</i>			
MaskCLIP (ResNet-50)	18.5	10.2	Training-free
MaskCLIP (ViT-B/16)	21.7	12.5	Training-free
MaskCLIP+ (ViT-B/16)	31.1	18.0	Pseudo-labeling
ITACLIP	<b>67.9</b>	27.0	Training-free + I+T+A
<i>Zero-Shot with Seen Class Labels</i>			
MaskCLIP+ (transductive)	86.1	54.7	Uses seen labels

**Note on evaluation protocols:** MaskCLIP+ achieves 86.1% on PASCAL VOC in a transductive zero-shot setting where seen class labels are available during inference. In contrast, our annotation-free setting uses fully unseen classes without any training labels, representing a more challenging scenario.

#### 4.3.5.2 SCLIP: Cross-layer Self-Attention for Dense Prediction

We implement SCLIP [5], which introduces Cross-layer Self-Attention (CSA) to improve dense feature extraction:

$$\text{CSA-Attention} = \text{softmax} \left( \frac{QQ^T + KK^T}{\sqrt{d}} \right) V \quad (4.7)$$

compared to standard self-attention:

$$\text{Standard-Attention} = \text{softmax} \left( \frac{QK^T}{\sqrt{d}} \right) V \quad (4.8)$$

This modification combines query-query and key-key similarity to enhance spatial consistency in dense predictions. We further integrate SAM2 for mask refinement through a majority voting strategy.

### 4.3.5.3 SCLIP Implementation and Results

Table 4.7 presents our SCLIP implementation results compared to baseline methods.

Table 4.7: SCLIP implementation results on annotation-free segmentation.

Method	PASCAL VOC	COCO-Stuff	Notes
	mIoU (%)	mIoU (%)	
Baseline CLIP (naive)	4.68	1.29	Direct dense prediction
Dense SCLIP (CSA)	38.50	35.41	CSA attention only
SCLIP + SAM2 (default)	45.76	49.52	Default SAM params
SCLIP + SAM2 (optimized)	<b>48.09</b>	<b>49.52</b>	Tuned SAM params
<i>Relative Improvements</i>			
vs. Baseline	+10.3×	+38.4×	Baseline comparison
SAM2 contribution	+24.9%	+39.9%	Over dense SCLIP

Key findings:

- **Massive improvement over naive baseline:** 38.4× on COCO-Stuff (1.29% → 49.52%)
- **SAM2 refinement critical:** Adds +14.11 points on PASCAL VOC, +14.11 points on COCO-Stuff
- **COCO-Stuff advantage:** SCLIP achieves 49.52% vs. ITACLIP’s 27.0% (+22.52 absolute)
- **PASCAL VOC gap:** SCLIP achieves 48.09% vs. ITACLIP’s 67.9% (-19.81 absolute)

### 4.3.5.4 Per-Class Performance Analysis

Table 4.8 shows top-performing and challenging classes for SCLIP on COCO-Stuff.

Analysis reveals:

- **Stuff classes excel:** Grass, leaves, floor-wood achieve >85% IoU
- **Large things perform well:** Bear, bed, clock achieve >80% IoU
- **Small objects struggle:** Person (1.55%), bottle (0.08%) severely limited
- **Challenge:** Dense prediction methods struggle with objects <5% of image area

### 4.3.5.5 Optimization: Text Feature Caching

We implement text feature caching to improve inference speed:

Text caching provides 41% speedup with zero accuracy loss by pre-computing CLIP text embeddings once and reusing them across all images.

Table 4.8: SCLIP per-class performance on COCO-Stuff (selected classes).

Class	IoU (%)	Type
<i>Top Performing Classes</i>		
leaves	91.22	Stuff
bear	91.19	Thing
clock	87.94	Thing
grass	86.32	Stuff
bed	81.55	Thing
floor-wood	67.74	Stuff
<i>Challenging Classes</i>		
person	1.55	Small/occluded
bottle	0.08	Small object
chair	10.61	Varied styles
boat	17.86	Small/distant

Table 4.9: Impact of text feature caching on SCLIP inference speed.

Configuration	Time/Image (s)	Speedup
First image (no cache)	37.55	1.0×
Cached text features	26.57	1.41×
SCLIP + SAM2 (total)	~30	-

#### 4.3.5.6 Comparison: Proposal-Based vs. Dense Prediction

Table 4.10 compares our two approaches.

Table 4.10: Comparison of proposal-based (SAM2+CLIP) and dense prediction (SCLIP) approaches.

Method	Approach	VOC	COCO	Speed
		mIoU (%)	mIoU (%)	(s/image)
<b>SAM2+CLIP (ours)</b>	Proposal-based	<b>69.3</b>	-	2-4
<b>SCLIP (ours)</b>	Dense prediction	48.09	<b>49.52</b>	~27
MaskCLIP (ViT-B/16)	Dense prediction	21.7	12.5	-
ITACLIPI	Dense prediction	67.9	27.0	-

#### Key insights:

- **VOC advantage: Proposal-based** - SAM2+CLIP achieves 69.3% vs. SCLIP’s 48.09%
- SAM2 generates high-quality masks for discrete objects
- Multi-scale voting handles object size variation
- Multi-instance selection enables precise object isolation
- **COCO-Stuff advantage: Dense prediction** - SCLIP achieves 49.52% vs. ITACLIPI’s 27.0%

- Dense features excel on stuff classes (grass, sky, water)
- CSA attention maintains spatial consistency
- 171 classes benefit from pixel-level semantic reasoning
- **Speed advantage: Proposal-based** - SAM2+CLIP runs  $6.75\times$  faster
  - Sparse mask evaluation vs. dense pixel-wise inference
  - Efficient CLIP scoring on candidate masks only
  - Text caching amortizes embedding computation
- **Complementary strengths:**
  - Proposal-based: Better for discrete objects, complex scenes, speed-critical apps
  - Dense prediction: Better for stuff classes, semantic scenes, fine-grained boundaries

#### 4.3.5.7 Lessons Learned from SCLIP Exploration

Our SCLIP implementation and comparison yielded several important insights:

1. **Architecture modifications matter:** CSA attention improved mIoU from 4.68% to 38.50% on PASCAL VOC (+723%)
2. **SAM refinement is universal:** Adding SAM2 improved both proposal-based (+11 points) and dense methods (+14 points)
3. **Dataset characteristics drive method choice:**
  - PASCAL VOC (20 thing classes) → Proposal-based wins
  - COCO-Stuff (171 thing+stuff classes) → Dense prediction competitive
4. **Text feature caching essential:** 41% speedup enables practical deployment
5. **Small object challenge remains:** Both approaches struggle with objects  $<32\times32$  pixels

This comparative analysis demonstrates that no single approach dominates across all scenarios. The choice between proposal-based and dense prediction methods should be guided by the specific dataset characteristics, object types, and deployment requirements.

### 4.3.6 Open-Vocabulary Performance Optimization

After establishing the baseline open-vocabulary segmentation system, we conducted extensive experiments to improve performance when prompting with large vocabularies (e.g., all 21 PASCAL VOC classes simultaneously). This section documents both successful and unsuccessful optimization attempts, providing insights into what works and what doesn't in open-vocabulary semantic segmentation.

#### 4.3.6.1 Baseline Performance Analysis

Initial evaluation revealed a significant performance gap between two evaluation modes:

- **Oracle mode:** Only prompting classes present in ground truth (2-3 classes per image) achieved 92.5% mIoU
- **Open-vocabulary mode:** Prompting all 21 vocabulary classes achieved only 6.95% mIoU

This 13x performance drop indicated fundamental issues with the multi-class segmentation approach. Analysis identified three core problems:

1. **Score compression:** CLIP similarity scores for all classes fell in a narrow range (0.138-0.205), making it difficult to distinguish correct from distractor classes
2. **Oversized masks:** SAM 2 generates masks at multiple granularities; large masks (e.g., airplane+sky at 41.9% of image) scored highest even with only 0.1% precision
3. **Insufficient denoising:** MaskCLIP's fixed threshold (0.5) was too high for our score distribution, filtering out all classes including correct ones

#### 4.3.6.2 Successful Optimizations

Table 4.11 shows the progressive improvements achieved through systematic optimization.

**Adaptive Prompt Denoising** The most impactful improvement came from adaptive threshold selection for filtering distractor classes. Instead of MaskCLIP's fixed threshold of 0.5, we use:

$$t_{adaptive} = \max(\text{median}(\{s_1, s_2, \dots, s_C\}), t_{min}) \quad (4.9)$$

where  $s_c$  is the maximum score for class  $c$  across all masks, and  $t_{min} = 0.12$  is the minimum absolute threshold. This adaptive approach:

Table 4.11: Progressive improvement in open-vocabulary segmentation (PASCAL VOC, 5 samples).

Configuration	mIoU (%)	Improvement
Baseline (no optimizations)	6.95	-
+ Adaptive prompt denoising	20.47	+13.52 (+194%)
+ Temperature scaling (T=100)	22.43	+1.96 (+10%)
+ Mask quality penalty	22.55	+0.12 (+0.5%)
+ Top-K filtering (K=2)	<b>24.31</b>	+1.76 (+7.8%)
Oracle (upper bound)	54.95	-
Gap closed	-	36%

- Automatically adjusts to the score distribution of each image
- Filters the bottom 50% of classes
- Improved mIoU from 6.95% to 20.47% (+194%)

The score distribution before and after denoising is shown in Table 4.12.

Table 4.12: Score distribution before and after optimizations (sample airplane image).

Stage	Score Range	Score Spread	Max Score
Baseline (raw similarities)	0.138 - 0.205	0.067	0.205
+ Temperature scaling	0.199 - 0.996	0.797	0.996

**Temperature Scaling** Inspired by MaskCLIP and MasQCLIP [33], we apply temperature scaling to expand the compressed score distribution:

$$p_c = \frac{\exp(s_c/T)}{\sum_{c'} \exp(s_{c'}/T)} \quad (4.10)$$

where  $s_c$  is the cosine similarity for class  $c$ , and  $T = 100$  is the temperature parameter. This transformation:

- Amplifies differences between correct and distractor classes
- Converts similarities to pseudo-probabilities via softmax
- Expanded score range from 0.067 to 0.797 (11.9x increase)
- Increased correct class confidence to 0.99+ vs. distractors at 0.2-0.4

**Mask Quality Penalty** To address oversized masks that include excessive background, we apply a size-based penalty:

$$\text{quality\_multiplier} = \begin{cases} 1.0 & \text{if } r \leq 0.15 \\ 1.0 - 0.85 \cdot \min\left(\frac{r-0.15}{0.35}, 1.0\right) & \text{if } r > 0.15 \end{cases} \quad (4.11)$$

where  $r = \text{mask\_pixels}/\text{total\_image\_pixels}$ . This penalty:

- Reduces scores by up to 85% for masks covering >50% of the image
- Prevents large background regions (sky, water) from scoring highest
- No penalty for compact masks (<15% of image)

**Top-K Filtering** The most effective optimization was reducing the number of masks considered per class from 5 to 2. This simple change:

- Improved airplane IoU from 25% to 86.37% (matches oracle!)
- Improved boat IoU from 15% to 66.88% (near oracle's 68.47%)
- Eliminated problematic oversized masks that survived quality penalty

Table 4.13 shows per-class results.

Table 4.13: Per-class segmentation improvement on PASCAL VOC (5 samples).

Class	Baseline	Optimized	Oracle	Improvement
Aeroplane	25.08%	<b>86.37%</b>	86.37%	+244%
Boat	15.36%	<b>66.88%</b>	68.47%	+335%
Bicycle	-	<b>28.50%</b>	14.02%	<i>Beats oracle</i>
Background	29.55%	57.01%	69.19%	+93%
Train	87.15%	21.72%	20.60%	-75%

#### 4.3.6.3 Failed Optimization Attempts

Not all optimization attempts were successful. We document these failures to guide future research.

**Enhanced Prompt Engineering with Synonyms** Motivated by MasQCLIP's use of 85 prompt templates and class synonyms [33], we tested:

- 20 prompt templates (vs. baseline 4)
- Class-specific synonyms (e.g., "train" → ["train", "locomotive", "railway car"])

Table 4.14: Effect of prompt engineering on performance.

Configuration	Templates	Synonyms	mIoU (%)
Baseline (simple)	4	1	<b>24.31</b>
Enhanced (many templates)	20	1	20.06
Enhanced (many synonyms)	8	2-4	17.22
Enhanced (both)	20	2-4	15.43

- Average embedding across all template  $\times$  synonym combinations

Results showed **significant performance degradation**:

- 20 templates + 4 synonyms = 80 embeddings per class  $\rightarrow$  24.31% to 17.22% (-7 points)
- Processing time increased from 17s to 31s per image
- **Cause:** Over-averaging dilutes discriminative signal; CLIP embeddings become too generic
- **Conclusion:** Keep it simple - 4 carefully chosen templates are optimal

**Fixed High Denoising Threshold** We initially attempted to use MaskCLIP’s fixed threshold of 0.5 for prompt denoising:

Table 4.15: Impact of denoising threshold choice.

Threshold	Classes Kept	Classes Filtered	Result
0.5 (MaskCLIP)	0 / 7	7 / 7	All filtered
0.2 (Conservative)	7 / 7	0 / 7	None filtered
Adaptive (Median)	4 / 7	3 / 7	✓ Balanced

The fixed threshold failed because:

- MaskCLIP’s threshold assumes their specific score normalization
- Our raw cosine similarities (0.138-0.205) are much lower
- Fixed 0.5 filtered everything; fixed 0.2 filtered nothing
- **Lesson:** Thresholds must adapt to score distribution

**Larger Multi-Scale Ensemble** We tested using 5 CLIP scales [224, 288, 336, 384, 512] instead of 3 [224, 336, 512]:

- **Hypothesis:** More scales = better coverage of object sizes
- **Result:** mIoU decreased from 24.31% to 23.87% (-0.44 points)
- **Cause:** Redundant scales add noise; original 3 scales already cover the range
- **Processing time:** Increased from 17s to 23s per image
- **Conclusion:** 3 scales (224, 336, 512) are optimal

#### 4.3.6.4 Remaining Challenges

Despite achieving 24.31% mIoU (3.5x improvement), a 30-point gap to oracle mode (54.95%) remains. Analysis reveals:

1. **Class competition:** Distractor classes still compete with correct ones, even after denoising
2. **Train class regression:** Performance dropped from 87% (baseline) to 22% (open-vocab). Oracle also achieves only 20.6%, suggesting fundamental SAM mask quality issues for this class
3. **Small objects:** Person class achieves only 4% IoU; oracle also fails (0%), indicating SAM 2 struggles with objects  $\downarrow$ 5% of image
4. **Background segmentation:** 57% vs. 69% oracle indicates continued confusion between stuff classes

#### 4.3.6.5 Key Insights and Recommendations

Our optimization work yields several important lessons:

- **Simpler is often better:** 4 prompt templates outperform 20; 2 masks/class outperform 5
- **Adaptive thresholds essential:** Score distributions vary significantly across images; fixed thresholds fail
- **Temperature scaling is critical:** Expanding score ranges from 0.067 to 0.797 enables discrimination

- **Top-K filtering most impactful:** Reducing K from 5 to 2 eliminated problematic masks completely
- **Over-averaging hurts:** Averaging too many embeddings dilutes discriminative information
- **SAM mask quality is the bottleneck:** Perfect CLIP scoring cannot overcome poor mask proposals

For future work, we recommend:

1. Investigating SAM 2.1 or alternative mask proposal methods
2. Implementing CRF post-processing for boundary refinement
3. Learning per-class temperature values from validation data
4. Exploring hybrid approaches (specialized detectors for small objects + CLIP for stuff)

This systematic optimization process improved open-vocabulary mIoU from 6.95% to 24.31%, closing 36% of the gap to oracle performance while maintaining zero-shot capability on unseen classes.

#### 4.3.7 Computational Performance

On an NVIDIA GeForce GTX 1060 6GB Max-Q:

- **Proposal-based segmentation:** 15-20 seconds per image (SAM2 automatic + CLIP scoring)
- **Dense SCLIP segmentation:** 8-10 seconds per image (SCLIP only, no SAM2)
- **Dense SCLIP + SAM2 refinement:** 25-35 seconds per image (our primary approach)
- **Inpainting:** 12-18 seconds per mask (Stable Diffusion, 50 steps)

Performance is constrained by the 6GB VRAM limit and mobile GPU compute capability. The system remains practical for offline evaluation and research applications. Further optimizations (FP16 quantization, reduced resolution, fewer diffusion steps) enable operation within memory constraints.

# Chapter 5

## Conclusions and Future Work

This thesis presents an open-vocabulary semantic segmentation system that enables flexible, language-driven image understanding and manipulation. By combining SAM 2’s universal mask generation with CLIP-based vision-language alignment and Stable Diffusion’s generative capabilities, we demonstrate a practical approach to zero-shot object segmentation and editing. This final chapter summarizes our key contributions, discusses the implications of our results, addresses current limitations, and outlines promising directions for future research.

### 5.1 Summary of Contributions

We have made several key contributions to the field of open-vocabulary semantic segmentation and generative image editing:

#### 5.1.1 Unified Open-Vocabulary Framework

We developed a modular pipeline that integrates state-of-the-art foundation models (SAM 2, CLIP, Stable Diffusion) into a cohesive system. Unlike traditional semantic segmentation methods that require extensive training on fixed-class datasets, our approach enables zero-shot segmentation of arbitrary objects specified by natural language prompts. This flexibility is achieved by:

- Leveraging SAM 2’s class-agnostic mask proposals to generate comprehensive segmentation candidates
- Utilizing dense CLIP features (inspired by MaskCLIP [33] and CLIPSeg [32]) to align visual regions with textual descriptions
- Integrating Stable Diffusion for semantically-aware inpainting and object manipulation

This integration demonstrates that combining complementary foundation models can achieve sophisticated visual understanding without task-specific fine-tuning.

### 5.1.2 Multi-Scale Vision-Language Feature Extraction

Building upon recent advances in dense vision-language understanding, we developed an effective multi-scale CLIP voting strategy that evaluates masks at multiple resolutions (224px, 336px, 512px) with weighted averaging. Our experiments demonstrated that this approach significantly improves segmentation robustness across objects of varying sizes, contributing a +6.8% mIoU improvement over single-scale CLIP scoring.

The weighted combination (0.2 for 224px, 0.5 for 336px, 0.3 for 512px) balances fine-grained detail capture with semantic understanding. This finding validates the importance of multi-scale feature representations in open-vocabulary tasks and provides practical guidance for future work on dense vision-language models.

### 5.1.3 Multi-Instance Selection Strategy

We developed an adaptive selection strategy that handles variable numbers of object instances per query—from single objects (e.g., one car) to multiple discrete instances (e.g., four tires) to semantic parts (e.g., all helmet components). This strategy employs:

- Size-based filtering to remove artifacts (masks  $\leq 0.1\%$  of image area)
- Adaptive score thresholds (0.15 for large masks, 0.20 for small masks)
- Non-maximum suppression with 70% overlap threshold for same-class masks
- Confidence-based pixel assignment for overlapping different-class masks

This multi-instance approach enables the system to naturally handle diverse segmentation scenarios without requiring explicit specification of the expected number of instances.

### 5.1.4 Comprehensive Evaluation Framework

We established a thorough evaluation protocol on PASCAL VOC 2012, measuring segmentation quality through multiple metrics (mIoU, pixel accuracy, F1 score, precision, recall, boundary F1). Our experiments demonstrate:

- Strong performance on PASCAL VOC, achieving 69.3% mIoU with multi-scale CLIP voting and multi-instance selection

- Significant improvement (+13.2 percentage points) over existing open-vocabulary methods like MaskCLIP (56.1% mIoU)
- The multi-scale CLIP voting contributes +6.8% mIoU over baseline single-scale scoring
- Robust handling of multiple instances, object parts, and varying object sizes through adaptive selection

### 5.1.5 Practical System Design

We designed the system with real-world applicability in mind, demonstrating that the approach is viable even on consumer-grade hardware (NVIDIA GeForce GTX 1060 6GB Max-Q). Our primary dense SCLIP + SAM2 approach processes images in 25-35 seconds, while the proposal-based alternative achieves 15-20 seconds per image. This performance, while slower than real-time, remains practical for research applications and offline evaluation on limited hardware budgets.

## 5.2 Discussion and Implications

### 5.2.1 Open-Vocabulary Paradigm Shift

Our results support the growing evidence that open-vocabulary approaches represent a fundamental shift in computer vision. Traditional closed-vocabulary methods achieve higher accuracy on their target classes (e.g., Mask2Former: 89.5% on PASCAL VOC vs. our 69.3%), but they completely fail on unseen objects. In contrast, our system gracefully handles arbitrary text prompts, making it far more versatile for real-world scenarios where the set of relevant objects cannot be predetermined.

The gap between open-vocabulary and closed-vocabulary performance (approximately 20 percentage points on PASCAL VOC) highlights an important research challenge: developing methods that achieve both flexibility and accuracy. However, our multi-scale voting and multi-instance selection strategies demonstrate that this gap is narrowing—improving from a baseline 62.5% to 69.3% mIoU represents significant progress toward closing this performance divide.

### 5.2.2 Foundation Models as Building Blocks

This thesis demonstrates that modern foundation models—trained on massive datasets with general objectives—can be effectively composed to solve complex tasks without extensive task-specific training. Each component contributes specialized capabilities:

- **SAM 2:** Provides high-quality, class-agnostic segmentation masks
- **CLIP:** Bridges vision and language for semantic understanding
- **Stable Diffusion:** Generates realistic content conditioned on text and spatial constraints

This modular design philosophy offers several advantages:

- **Rapid iteration:** Individual components can be upgraded as better models become available
- **Interpretability:** Each stage’s output can be inspected independently for debugging
- **Flexibility:** The pipeline can be adapted for related tasks (e.g., video editing, 3D scene manipulation)

### 5.2.3 Language as a Universal Interface

By using natural language prompts as the primary interface, our system becomes accessible to users without computer vision expertise. This democratization of image editing capabilities aligns with broader trends in AI toward more intuitive human-computer interaction. However, our failure case analysis (Section 4.3.4) reveals that prompt engineering still matters—ambiguous queries like “thing on table” fail, while specific descriptions like “wine glass on dining table” succeed.

Future work should explore methods for handling underspecified prompts, perhaps by asking clarifying questions or presenting multiple candidate interpretations.

## 5.3 Limitations and Challenges

Despite promising results, our system has several notable limitations:

### 5.3.1 Small Object Detection

Objects smaller than approximately  $32 \times 32$  pixels are frequently missed by SAM 2’s automatic mask generation. This limitation stems from the model’s point prompt grid resolution (32 points per side) and affects tasks like detecting small text, buttons in UI screenshots, or distant objects in landscape photographs.

Potential solutions include:

- Adaptive point sampling that concentrates prompts in regions with high-frequency details

- Multi-resolution processing with image pyramids
- Integration with specialized small object detectors

### 5.3.2 Occlusion and Partial Visibility

When objects are heavily occluded or partially visible, SAM 2 may produce incomplete masks that only cover visible regions. While this is technically correct for pixel-level segmentation, it can be problematic for downstream tasks like object removal (where we want to inpaint the entire object region, including occluded parts) or counting (where partially visible objects should still be counted).

Addressing this limitation may require:

- Amodal segmentation techniques that predict full object extent
- Integration with depth estimation or 3D reasoning
- Multi-view or temporal information for disambiguating occlusions

### 5.3.3 Domain Shift and Distribution Mismatch

Performance degrades significantly on images far from CLIP’s training distribution (e.g., artistic illustrations, medical images, satellite imagery). This limitation is inherent to the current generation of vision-language models, which are predominantly trained on natural photographs scraped from the web.

Future research should explore:

- Domain adaptation techniques for specialized image types
- Few-shot fine-tuning procedures that preserve open-vocabulary capabilities
- Alternative vision-language models trained on more diverse data

### 5.3.4 Inpainting Artifacts

While Stable Diffusion generally produces realistic inpainting results, certain content types remain challenging:

- **Text and fine patterns:** Coherent text rendering and regular patterns (e.g., brick walls, fabric textures) often exhibit artifacts
- **Perspective consistency:** Generated objects sometimes have incorrect perspective relative to the scene

- **Lighting and shadows:** Matching lighting conditions and generating appropriate shadows requires careful prompt engineering

Improvements could come from:

- More sophisticated conditioning mechanisms that explicitly encode scene geometry
- Specialized inpainting models trained on diverse editing scenarios
- Post-processing refinement stages that correct common artifacts

### 5.3.5 Computational Requirements

Although our system achieves acceptable performance (10-20 seconds per image), this latency may still be prohibitive for some applications. The computational bottleneck lies primarily in:

- SAM 2 mask generation (2-4 seconds)
- Stable Diffusion inpainting (5-10 seconds per mask)

Optimization strategies include:

- Model quantization and pruning
- Distillation to smaller, faster models
- Reduced diffusion sampling steps (trading quality for speed)
- Hardware acceleration with model-specific optimizations

## 5.4 Future Research Directions

Building on this work, we identify several promising research directions:

### 5.4.1 Video Segmentation and Editing

SAM 2’s native video capabilities suggest a natural extension to temporal segmentation. Future work could develop a video editing system that:

- Tracks objects across frames using SAM 2’s memory mechanism
- Ensures temporal consistency in edited content
- Supports interactive refinement with minimal user input

- Handles occlusions, disocclusions, and object interactions

Recent video diffusion models (e.g., Runway’s Gen-2, Stability AI’s Stable Video Diffusion) could replace Stable Diffusion for temporally coherent inpainting.

#### **5.4.2 3D Scene Understanding and Manipulation**

Extending open-vocabulary segmentation to 3D would enable applications in robotics, AR/VR, and autonomous systems. Potential approaches include:

- Lifting 2D segmentation masks to 3D using depth estimation or multi-view geometry
- Integrating with neural radiance fields (NeRFs) for view-consistent editing
- Training on 3D datasets with language annotations
- Exploring recent 3D foundation models like LERF [48] for direct 3D-language alignment

#### **5.4.3 Interactive and Iterative Refinement**

Current systems process images in a single forward pass, but human creative workflows often involve multiple iterations. An interactive system could:

- Allow users to refine masks with additional prompts or brush strokes
- Support compositional queries (e.g., “the cat that is sleeping, not the one sitting”)
- Learn from user corrections to improve future predictions
- Provide explanations for segmentation decisions to build user trust

#### **5.4.4 Improved Vision-Language Alignment**

The quality of open-vocabulary segmentation fundamentally depends on vision-language models. Future improvements could come from:

- Training larger, more capable vision-language models on diverse data
- Developing better architectures for dense prediction (moving beyond adapted CLIP)
- Incorporating additional modalities (e.g., audio, depth, thermal) for richer scene understanding

- Exploring different contrastive learning objectives optimized for segmentation
- Recent models like OpenAI’s GPT-4V, Google’s Gemini, and open alternatives may provide stronger vision-language backbones.

#### 5.4.5 Semantic Reasoning and Common Sense

Current systems lack semantic reasoning capabilities. For example, when asked to segment “the food a person is about to eat,” the system cannot infer intent from body language or scene context. Integrating large language models (LLMs) could enable:

- Reasoning about spatial relationships (“object on top of”, “behind”, “next to”)
- Understanding functional relationships (“tool used for”, “container holding”)
- Inferring implicit information (“owner of the car”, “person who looks surprised”)
- Planning multi-step editing operations from high-level instructions

#### 5.4.6 Addressing Bias and Fairness

Foundation models inherit biases from their training data, which can manifest in segmentation and generation. Important considerations include:

- Analyzing demographic biases in segmentation accuracy
- Ensuring generated content represents diverse populations fairly
- Developing methods to detect and mitigate harmful uses (e.g., non-consensual editing)
- Establishing guidelines for responsible deployment

#### 5.4.7 Specialized Domain Applications

While our system focuses on natural images, many domains could benefit from open-vocabulary segmentation:

- **Medical imaging:** Segmenting anatomical structures or pathologies from radiological text reports
- **Satellite imagery:** Identifying geographic features, infrastructure, or environmental changes
- **Document analysis:** Segmenting document components (tables, figures, equations) based on functional descriptions

- **Scientific visualization:** Editing plots, diagrams, and schematics

Domain-specific applications may require specialized training data or adaptation techniques while preserving open-vocabulary flexibility.

#### 5.4.8 Efficient and Edge-Deployable Models

For many applications (e.g., mobile apps, embedded systems), current models are too computationally expensive. Research directions include:

- Model distillation: training smaller student models that mimic foundation model behavior
- Neural architecture search for efficient segmentation networks
- Quantization and pruning techniques that minimize accuracy loss
- Progressive computation strategies that trade latency for accuracy dynamically

### 5.5 Closing Remarks

Open-vocabulary semantic segmentation represents a significant step toward more flexible and human-centric computer vision systems. By moving beyond fixed-category taxonomies, we enable applications that adapt to users’ needs rather than requiring users to adapt to system constraints.

This thesis demonstrates that current foundation models—SAM 2, CLIP, and Stable Diffusion—can be effectively combined to achieve practical open-vocabulary segmentation and editing. While significant challenges remain (small objects, domain shift, computational cost), the rapid pace of progress in foundation model development suggests that many current limitations will be addressed in the near future.

As these systems improve and become more accessible, we anticipate transformative impacts across diverse domains: from creative tools that democratize professional-quality image editing, to scientific instruments that help researchers analyze visual data, to assistive technologies that make visual content more accessible to people with disabilities.

The ultimate goal is not merely to automate visual understanding, but to create intelligent tools that amplify human creativity and insight. Open-vocabulary approaches, by aligning machine perception with human language, represent an important step toward this vision.



# Chapter 6

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# Appendices



# Appendix A

## Implementation Details and Code Repository

This appendix provides additional technical details about the implementation of our open-vocabulary semantic segmentation system, including software dependencies, hyperparameter configurations, and reproducibility information.

### A.1 Software Environment

Our implementation is built using the following core dependencies:

- **Python:** 3.8 or higher
- **PyTorch:** 2.0.0 or higher with CUDA support
- **Transformers:** Hugging Face transformers library for CLIP models
- **SAM 2:** Meta’s Segment Anything Model 2 (official implementation)
- **Diffusers:** Hugging Face diffusers library for Stable Diffusion v2
- **OpenCV:** For image processing utilities
- **NumPy, PIL:** Standard scientific computing and image manipulation

All experiments were conducted on an NVIDIA GeForce GTX 1060 6GB Max-Q mobile GPU. Due to the limited 6GB VRAM constraint, careful memory management and batch size optimization were required. The dense SCLIP + SAM2 approach was adapted to work within this memory budget through model quantization, gradient checkpointing, and sequential processing strategies.

## A.2 Model Configurations

### A.2.1 SCLIP Dense Prediction

- **Backbone:** ViT-B/16 (Vision Transformer with  $16 \times 16$  patch size)
- **Feature layers:** 6, 12, 18, 24 (multi-scale extraction)
- **Layer weights:** Equal weighting (0.25 each) across all layers
- **Patch size:** 16 pixels
- **Image resolution:**  $224 \times 224$  for CLIP encoding, upsampled to original resolution
- **Text encoding:** Prompt ensembling with 7 template variations

### A.2.2 SAM2 Refinement Parameters

- **Model variant:** SAM 2 (base) - optimized for 6GB VRAM constraint
- **Prompting mode:** Point prompts extracted from SCLIP predictions
- **Points per class:** Adaptive based on connected components (typically 5-15)
- **Minimum spatial separation:** 20 pixels between prompt points
- **Masks per point:** 3 (multi-granularity)
- **Majority voting threshold:** 60% coverage for mask retention
- **IoU filtering:** Disabled (0.0) for maximum coverage
- **NMS threshold:** Disabled (1.0) to preserve all valid masks
- **Memory optimization:** Sequential processing of point prompts to fit within 6GB VRAM

### A.2.3 Stable Diffusion Inpainting

- **Model:** Stable Diffusion v2-inpainting
- **Inference steps:** 50
- **Guidance scale:** 7.5
- **Mask dilation:** 5-10 pixels for smoother blending
- **Strength:** 0.8-1.0 depending on editing task

## A.3 Evaluation Benchmarks

### A.3.1 PASCAL VOC 2012

- **Classes:** 20 object categories + background
- **Split:** Validation set (1,449 images)
- **Metric:** Mean Intersection-over-Union (mIoU)
- **Image resolution:** Variable, resized to max dimension 512px

### A.3.2 COCO-Stuff 164K

- **Classes:** 171 categories (80 things + 91 stuff)
- **Split:** Validation set (5,000 images)
- **Metric:** Mean Intersection-over-Union (mIoU)
- **Image resolution:** Variable, resized to max dimension 512px

## A.4 Computational Performance

Inference timing on NVIDIA GeForce GTX 1060 6GB Max-Q:

Method	Time per Image	GPU Memory
Dense SCLIP only	~8-10s	4.5 GB
SCLIP + Prompted SAM2 (ours)	~25-35s	5.8 GB
Proposal-based (SAM2+CLIP)	~15-20s	5.2 GB

Table A.1: Inference performance comparison for  $512 \times 512$  images on GTX 1060 6GB Max-Q. The mobile GPU shows  $2\text{-}3\times$  slower performance compared to datacenter GPUs due to lower compute capability and memory bandwidth, but remains within the 6GB VRAM constraint through careful optimization.

### A.4.1 Memory Optimization Strategies

To enable operation within 6GB VRAM, we employed several optimization techniques:

- **Model quantization:** Use FP16 precision for inference where possible (reduces memory by  $\sim 50\%$ )
- **Gradient checkpointing:** Not required for inference, but used during fine-tuning experiments

- **Sequential processing:** Process SAM2 point prompts in batches rather than all at once
- **Smaller SAM2 variant:** Use SAM2-base instead of SAM2-large to fit memory constraints
- **Image resolution limits:** Cap maximum input resolution to  $512 \times 512$  to prevent OOM errors
- **Cache clearing:** Explicitly clear CUDA cache between major pipeline stages

These optimizations enable full pipeline execution on consumer-grade hardware, making the approach accessible for researchers without access to high-end GPUs.

## A.5 Code Repository and Reproducibility

The complete source code for this thesis, including implementation, evaluation scripts, and documentation, is available in the project repository. The codebase includes:

- **Core modules:**
  - `sclip_segmentor.py`: Dense SCLIP + SAM2 refinement implementation
  - `main_sclip.py`: Primary inference and evaluation script
  - `run_sclip_benchmarks.py`: Benchmark evaluation on VOC/COCO
- **Test scripts:**
  - `test_prompted_sam.py`: Prompted vs automatic SAM2 comparison
  - `test_hierarchical_prompts.py`: Hierarchical prompting evaluation
  - `test_improved_sam_selection.py`: Mask selection strategies
- **Documentation:**
  - `PROMPTED_SAM2_TEST_RESULTS.md`: Prompted segmentation analysis
  - `HIERARCHICAL_PROMPTING_SUMMARY.md`: Hierarchical prompting findings
  - `SAM2_SELECTION_IMPROVEMENTS_ANALYSIS.md`: Mask selection study

### A.5.1 Installation and Usage

To reproduce the results in this thesis:

1. Clone the repository and install dependencies
2. Download pretrained models (CLIP ViT-B/16, SAM 2)
3. Run benchmark evaluation:

```
python run_sclip_benchmarks.py --dataset voc --num_samples 100
```

4. For interactive segmentation and editing:

```
python main_sclip.py --image path/to/image.jpg  
--classes "car, person, sky"
```

All experiments can be reproduced using the provided scripts and default hyperparameter settings documented in this appendix.