



DEPARTMENT OF INFORMATICS

TECHNISCHE UNIVERSITÄT MÜNCHEN

Master's Thesis in Informatics

Formal Verification of an Earley Parser

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Formale Verifikation eines Earley Parsers

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I confirm that this master's thesis in informatics is my own work and I have documented all sources and material used.

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Martin Rau

Acknowledgments

TODO: Acknowledgments

Abstract

TODO: Abstract

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1 Snippets

1.1 Earley

1.2 Jones

1.3 Scott

2 Introduction

2.1 Motivation

some introduction about parsing, formal development of correct algorithms: an example based on earley's recogniser, the benefits of formal methods, LocalLexing and the Bachelor thesis.

work with the snippets, reformulate!

2.2 Structure

standard blabla

2.3 Related Work

see folder and bibliography

2.4 Contributions

what did I do, what is new

2.5 Isabelle/HOL

take closest pair paper section and add additional notation as needed, also discuss the different representations of lemmas and definitions (separate or within the text)

3 Earley's Algorithm

TODO: Add nicer syntax for derives

- Introduce background theory about CFG
- Introduce the Earley recognizer in the abstract set form with pointer, note the original error in Earley's algorithm
- Introduce the running example $S \rightarrow x \mid S + S$ for input $x + x + x$
- Illustrate the complete bins generated by the example
- Illustrate Initial $S \rightarrow .\text{alpha}, 0, 0$, Scan $A \rightarrow \text{alpha}.\text{abeta}, i, j \mid A \rightarrow \text{alpha}.\text{beta}, i, j+1$, Predict $A \rightarrow \text{alpha}.\text{Bbeta}, i, j$ and $B \rightarrow \text{gamma} \mid B \rightarrow .\text{gamma}, j, j$, Complete $A \rightarrow \text{alpha}.\text{Bbeta}, i, j$ and $B \rightarrow \text{gamma}., j, k \mid A \rightarrow \text{alphaB}.\text{beta}, i, k$
- Define goal: $A \rightarrow \text{alpha}.\text{beta}, i, j$ iff $A \Rightarrow^* s[i..j)\text{beta}$ which implies $S \rightarrow \text{alpha}., 0, n+1$ iff $S \Rightarrow^* s$

3.1 Background Theory

use snippets

type-synonym $'a \text{ rule} = 'a \times 'a \text{ list}$

type-synonym $'a \text{ rules} = 'a \text{ rule list}$

type-synonym $'a \text{ sentence} = 'a \text{ list}$

datatype $'a \text{ cfg} =$

CFG

(\mathfrak{N} : 'a list)
 (\mathfrak{T} : 'a list)
 (\mathfrak{R} : 'a rules)
 (\mathfrak{S} : 'a)

definition *disjunct-symbols* :: 'a cfg \Rightarrow bool **where**
disjunct-symbols cfg \longleftrightarrow set (\mathfrak{N} cfg) \cap set (\mathfrak{T} cfg) = {}

definition *valid-startsymbol* :: 'a cfg \Rightarrow bool **where**
valid-startsymbol cfg \longleftrightarrow \mathfrak{S} cfg \in set (\mathfrak{N} cfg)

definition *valid-rules* :: 'a cfg \Rightarrow bool **where**
valid-rules cfg \longleftrightarrow ($\forall (N, \alpha) \in$ set (\mathfrak{R} cfg). $N \in$ set (\mathfrak{N} cfg) \wedge ($\forall s \in$ set α . $s \in$ set (\mathfrak{N} cfg) \cup set (\mathfrak{T} cfg)))

definition *distinct-rules* :: 'a cfg \Rightarrow bool **where**
distinct-rules cfg = distinct (\mathfrak{R} cfg)

definition *wf-cfg* :: 'a cfg \Rightarrow bool **where**
wf-cfg cfg \longleftrightarrow *disjunct-symbols* cfg \wedge *valid-startsymbol* cfg \wedge *valid-rules* cfg \wedge *distinct-rules* cfg

definition *is-terminal* :: 'a cfg \Rightarrow 'a \Rightarrow bool **where**
is-terminal cfg s = ($s \in$ set (\mathfrak{T} cfg))

definition *is-nonterminal* :: 'a cfg \Rightarrow 'a \Rightarrow bool **where**
is-nonterminal cfg s = ($s \in$ set (\mathfrak{N} cfg))

definition *is-symbol* :: 'a cfg \Rightarrow 'a \Rightarrow bool **where**
is-symbol cfg s \longleftrightarrow *is-terminal* cfg s \vee *is-nonterminal* cfg s

definition *wf-sentence* :: 'a cfg \Rightarrow 'a sentence \Rightarrow bool **where**
wf-sentence cfg s = ($\forall x \in$ set s. *is-symbol* cfg x)

definition *is-word* :: 'a cfg \Rightarrow 'a sentence \Rightarrow bool **where**
is-word cfg s = ($\forall x \in$ set s. *is-terminal* cfg x)

definition *derives1* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a sentence \Rightarrow bool **where**
derives1 cfg u v =
 ($\exists x y N \alpha$.
 $u = x @ [N] @ y$
 $\wedge v = x @ \alpha @ y$
 $\wedge (N, \alpha) \in$ set (\mathfrak{R} cfg))

definition $derivations1 :: 'a\ cfg \Rightarrow ('a\ sentence \times 'a\ sentence)\ set$ **where**
 $derivations1\ cfg = \{ (u,v) \mid u\ v.\ derivations1\ cfg\ u\ v \}$

definition $derivations :: 'a\ cfg \Rightarrow ('a\ sentence \times 'a\ sentence)\ set$ **where**
 $derivations\ cfg = (derivations1\ cfg)^*$

definition $derives :: 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow 'a\ sentence \Rightarrow bool$ **where**
 $derives\ cfg\ u\ v = ((u, v) \in derivations\ cfg)$

definition $is-derivation :: 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow bool$ **where**
 $is-derivation\ cfg\ u = derives\ cfg\ [\S\ cfg]\ u$

definition $\mathcal{L} :: 'a\ cfg \Rightarrow 'a\ sentence\ set$ **where**
 $\mathcal{L}\ cfg = \{ v \mid v.\ is-word\ cfg\ v \wedge is-derivation\ cfg\ v \}$

definition $\mathcal{L}_P :: 'a\ cfg \Rightarrow 'a\ sentence\ set$ **where**
 $\mathcal{L}_P\ cfg = \{ u \mid u\ v.\ is-word\ cfg\ u \wedge is-derivation\ cfg\ (u@v) \}$

3.2 Earley Recognizer

4 Earley Formalization

4.1 Auxiliary Definitions

fun *slice* :: *nat* \Rightarrow *nat* \Rightarrow 'a list \Rightarrow 'a list **where**
 slice - - [] = []
 | *slice* - 0 (*x*#*xs*) = []
 | *slice* 0 (*Suc* *b*) (*x*#*xs*) = *x* # *slice* 0 *b* *xs*
 | *slice* (*Suc* *a*) (*Suc* *b*) (*x*#*xs*) = *slice* *a* *b* *xs*

definition *rule-head* :: 'a rule \Rightarrow 'a **where**
 rule-head = *fst*

definition *rule-body* :: 'a rule \Rightarrow 'a list **where**
 rule-body = *snd*

datatype 'a item =
 Item
 (*item-rule*: 'a rule)
 (*item-dot* : nat)
 (*item-origin* : nat)
 (*item-end* : nat)

type-synonym 'a items = 'a item set

definition *item-rule-head* :: 'a item \Rightarrow 'a **where**
 item-rule-head *x* = *rule-head* (*item-rule* *x*)

definition *item-rule-body* :: 'a item \Rightarrow 'a sentence **where**
 item-rule-body *x* = *rule-body* (*item-rule* *x*)

definition *item- α* :: 'a item \Rightarrow 'a sentence **where**
 item- α *x* = *take* (*item-dot* *x*) (*item-rule-body* *x*)

definition *item- β* :: 'a item \Rightarrow 'a sentence **where**
 item- β *x* = *drop* (*item-dot* *x*) (*item-rule-body* *x*)

definition *init-item* :: 'a rule \Rightarrow nat \Rightarrow 'a item **where**

$\text{init-item } r \ k = \text{Item } r \ 0 \ k \ k$

definition $\text{is-complete} :: 'a \ \text{item} \Rightarrow \text{bool}$ **where**
 $\text{is-complete } x = (\text{item-dot } x \geq \text{length } (\text{item-rule-body } x))$

definition $\text{next-symbol} :: 'a \ \text{item} \Rightarrow 'a \ \text{option}$ **where**
 $\text{next-symbol } x = (\text{if is-complete } x \text{ then None else Some } ((\text{item-rule-body } x) ! (\text{item-dot } x)))$

definition $\text{is-opening} :: 'a \ \text{item} \Rightarrow \text{bool}$ **where**
 $\text{is-opening } x = (\text{item-dot } x = 0)$

definition $\text{prev-symbol} :: 'a \ \text{item} \Rightarrow 'a \ \text{option}$ **where**
 $\text{prev-symbol } x = (\text{if is-opening } x \text{ then None else Some } ((\text{item-rule-body } x) ! (\text{item-dot } x - 1)))$

definition $\text{inc-item} :: 'a \ \text{item} \Rightarrow \text{nat} \Rightarrow 'a \ \text{item}$ **where**
 $\text{inc-item } x \ k = \text{Item } (\text{item-rule } x) \ (\text{item-dot } x + 1) \ (\text{item-origin } x) \ k$

definition $\text{bin} :: 'a \ \text{items} \Rightarrow \text{nat} \Rightarrow 'a \ \text{items}$ **where**
 $\text{bin } I \ k = \{ x . x \in I \wedge \text{item-end } x = k \}$

definition $\text{wf-item} :: 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{item} \Rightarrow \text{bool}$ **where**
 $\text{wf-item } \text{cfg} \ \text{inp} \ x = ($
 $\quad \text{item-rule } x \in \text{set } (\mathfrak{R} \ \text{cfg}) \wedge$
 $\quad \text{item-dot } x \leq \text{length } (\text{item-rule-body } x) \wedge$
 $\quad \text{item-origin } x \leq \text{item-end } x \wedge$
 $\quad \text{item-end } x \leq \text{length } \text{inp})$

definition $\text{wf-items} :: 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{items} \Rightarrow \text{bool}$ **where**
 $\text{wf-items } \text{cfg} \ \text{inp} \ I = (\forall x \in I. \text{wf-item } \text{cfg} \ \text{inp} \ x)$

definition $\text{is-finished} :: 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{item} \Rightarrow \text{bool}$ **where**
 $\text{is-finished } \text{cfg} \ \text{inp} \ x \longleftrightarrow ($
 $\quad \text{item-rule-head } x = \mathfrak{S} \ \text{cfg} \wedge$
 $\quad \text{item-origin } x = 0 \wedge$
 $\quad \text{item-end } x = \text{length } \text{inp} \wedge$
 $\quad \text{is-complete } x)$

definition $\text{earley-recognized} :: 'a \ \text{items} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow \text{bool}$ **where**
 $\text{earley-recognized } I \ \text{cfg} \ \text{inp} = (\exists x \in I. \text{is-finished } \text{cfg} \ \text{inp} \ x)$

4.2 Main Definitions

fun $\text{funpower} :: ('a \Rightarrow 'a) \Rightarrow \text{nat} \Rightarrow ('a \Rightarrow 'a)$ **where**

$\text{funpower } f \ 0 \ x = x$
 $\mid \text{funpower } f \ (\text{Suc } n) \ x = f \ (\text{funpower } f \ n \ x)$

definition $\text{natUnion} :: (\text{nat} \Rightarrow 'a \ \text{set}) \Rightarrow 'a \ \text{set} \ \text{where}$
 $\text{natUnion } f = \bigcup \{ f \ n \mid n. \text{True} \}$

definition $\text{limit} :: ('a \ \text{set} \Rightarrow 'a \ \text{set}) \Rightarrow 'a \ \text{set} \Rightarrow 'a \ \text{set} \ \text{where}$
 $\text{limit } f \ x = \text{natUnion} \ (\lambda n. \text{funpower } f \ n \ x)$

definition $\text{Init} :: 'a \ \text{cfg} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\text{Init } \text{cfg} = \{ \text{init-item } r \ 0 \mid r. r \in \text{set } (\mathfrak{R} \ \text{cfg}) \wedge \text{fst } r = (\mathfrak{S} \ \text{cfg}) \}$

definition $\text{Scan} :: \text{nat} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{items} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\text{Scan } k \ \text{inp } I =$
 $\{ \text{inc-item } x \ (k+1) \mid x \ a.$
 $x \in \text{bin } I \ k \wedge$
 $\text{inp!}k = a \wedge$
 $k < \text{length } \text{inp} \wedge$
 $\text{next-symbol } x = \text{Some } a \}$

definition $\text{Predict} :: \text{nat} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{items} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\text{Predict } k \ \text{cfg } I =$
 $\{ \text{init-item } r \ k \mid r \ x.$
 $r \in \text{set } (\mathfrak{R} \ \text{cfg}) \wedge$
 $x \in \text{bin } I \ k \wedge$
 $\text{next-symbol } x = \text{Some } (\text{rule-head } r) \}$

definition $\text{Complete} :: \text{nat} \Rightarrow 'a \ \text{items} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\text{Complete } k \ I =$
 $\{ \text{inc-item } x \ k \mid x \ y.$
 $x \in \text{bin } I \ (\text{item-origin } y) \wedge$
 $y \in \text{bin } I \ k \wedge$
 $\text{is-complete } y \wedge$
 $\text{next-symbol } x = \text{Some } (\text{item-rule-head } y) \}$

definition $\pi\text{-step} :: \text{nat} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{items} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\pi\text{-step } k \ \text{cfg } \text{inp } I = I \cup \text{Scan } k \ \text{inp } I \cup \text{Complete } k \ I \cup \text{Predict } k \ \text{cfg } I$

definition $\pi :: \text{nat} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{items} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\pi \ k \ \text{cfg } \text{inp } I = \text{limit } (\pi\text{-step } k \ \text{cfg } \text{inp}) \ I$

fun $\mathcal{I} :: \text{nat} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{items} \ \text{where}$
 $\mathcal{I} \ 0 \ \text{cfg } \text{inp} = \pi \ 0 \ \text{cfg } \text{inp} \ (\text{Init } \text{cfg})$
 $\mid \mathcal{I} \ (\text{Suc } n) \ \text{cfg } \text{inp} = \pi \ (\text{Suc } n) \ \text{cfg } \text{inp} \ (\mathcal{I} \ n \ \text{cfg } \text{inp})$

definition $\mathcal{I} :: 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ items}$ **where**
 $\mathcal{I} \text{ cfg inp} = \mathcal{I} (\text{length inp}) \text{ cfg inp}$

4.3 Wellformedness

lemma *wf-Init:*

$x \in \text{Init cfg} \implies \text{wf-item cfg inp } x$

lemma *wf-Scan:*

$\text{wf-items cfg inp } I \implies \text{wf-items cfg inp } (\text{Scan } k \text{ inp } I)$

lemma *wf-Predict:*

$\text{wf-items cfg inp } I \implies \text{wf-items cfg inp } (\text{Predict } k \text{ cfg } I)$

lemma *wf-Complete:*

$\text{wf-items cfg inp } I \implies \text{wf-items cfg inp } (\text{Complete } k \text{ } I)$

lemma *wf- π -step:*

$\text{wf-items cfg inp } I \implies \text{wf-items cfg inp } (\pi\text{-step } k \text{ cfg inp } I)$

lemma *wf-funpower:*

$\text{wf-items cfg inp } I \implies \text{wf-items cfg inp } (\text{funpower } (\pi\text{-step } k \text{ cfg inp}) \text{ } n \text{ } I)$

lemma *wf- π :*

$\text{wf-items cfg inp } I \implies \text{wf-items cfg inp } (\pi \text{ } k \text{ cfg inp } I)$

lemma *wf- $\pi 0$:*

$\text{wf-items cfg inp } (\pi \text{ } 0 \text{ cfg inp } (\text{Init cfg}))$

lemma *wf- \mathcal{I} :*

$\text{wf-items cfg inp } (\mathcal{I} \text{ } n \text{ cfg inp})$

lemma *wf- \mathcal{I} :*

$\text{wf-items cfg inp } (\mathcal{I} \text{ cfg inp})$

4.4 Soundness

definition *sound-item* :: $'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item} \Rightarrow \text{bool}$ **where**

$\text{sound-item cfg inp } x = \text{derives cfg } [\text{item-rule-head } x] (\text{slice } (\text{item-origin } x) (\text{item-end } x) \text{ inp } @ \text{item-}\beta x)$

definition *sound-items* :: $'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ items} \Rightarrow \text{bool}$ **where**

$\text{sound-items cfg inp } I = (\forall x \in I. \text{sound-item cfg inp } x)$

lemma *sound-Init:*

$\text{sound-items cfg inp } (\text{Init cfg})$

lemma *sound-item-inc-item:*

assumes $\text{wf-item cfg inp } x \text{ sound-item cfg inp } x$

assumes $\text{next-symbol } x = \text{Some } a$

assumes $k < \text{length inp} \text{ inp}!k = a \text{ item-end } x = k$

shows $\text{sound-item cfg inp (inc-item } x \text{ (} k+1 \text{))}$

lemma *sound-Scan*:

$\text{wf-items cfg inp } I \implies \text{sound-items cfg inp } I \implies \text{sound-items cfg inp (Scan } k \text{ inp } I)$

lemma *sound-Predict*:

$\text{sound-items cfg inp } I \implies \text{sound-items cfg inp (Predict } k \text{ cfg } I)$

lemma *sound-Complete*:

assumes $\text{wf-items cfg inp } I \text{ sound-items cfg inp } I$

shows $\text{sound-items cfg inp (Complete } k \text{ } I)$

lemma *sound- π -step*:

$\text{wf-items cfg inp } I \implies \text{sound-items cfg inp } I \implies \text{sound-items cfg inp } (\pi\text{-step } k \text{ cfg inp } I)$

lemma *sound-funpower*:

$\text{wf-items cfg inp } I \implies \text{sound-items cfg inp } I \implies \text{sound-items cfg inp (funpower } (\pi\text{-step } k \text{ cfg inp } n) \text{ } I)$

lemma *sound- π* :

assumes $\text{wf-items cfg inp } I \text{ sound-items cfg inp } I$

shows $\text{sound-items cfg inp } (\pi \text{ } k \text{ cfg inp } I)$

lemma *sound- $\pi 0$* :

$\text{sound-items cfg inp } (\pi \text{ } 0 \text{ cfg inp (Init cfg)})$

lemma *sound- \mathcal{I}* :

$\text{sound-items cfg inp } (\mathcal{I} \text{ } k \text{ cfg inp})$

lemma *sound- \mathcal{J}* :

$\text{sound-items cfg inp } (\mathcal{J} \text{ cfg inp})$

theorem *soundness*:

$\text{earley-recognized } (\mathcal{J} \text{ cfg inp}) \text{ cfg inp} \implies \text{derives cfg } [\mathcal{S} \text{ cfg}] \text{ inp}$

4.5 Monotonicity and Absorption

lemma *π -idem*:

$\pi \text{ } k \text{ cfg inp } (\pi \text{ } k \text{ cfg inp } I) = \pi \text{ } k \text{ cfg inp } I$

lemma *Scan-bin-absorb*:

$\text{Scan } k \text{ inp (bin } I \text{ } k) = \text{Scan } k \text{ inp } I$

lemma *Predict-bin-absorb*:

$\text{Predict } k \text{ cfg (bin } I \text{ } k) = \text{Predict } k \text{ cfg } I$

lemma *Complete-bin-absorb*:

$\text{Complete } k \text{ (bin } I \text{ } k) \subseteq \text{Complete } k \text{ } I$

lemma *Scan-sub-mono*:

$I \subseteq J \implies \text{Scan } k \text{ inp } I \subseteq \text{Scan } k \text{ inp } J$

lemma *Predict-sub-mono*:

$I \subseteq J \implies \text{Predict } k \text{ cfg } I \subseteq \text{Predict } k \text{ cfg } J$

lemma *Complete-sub-mono*:

$I \subseteq J \implies \text{Complete } k \text{ } I \subseteq \text{Complete } k \text{ } J$

lemma *π -step-sub-mono*:

$I \subseteq J \implies \pi\text{-step } k \text{ cfg inp } I \subseteq \pi\text{-step } k \text{ cfg inp } J$

lemma *funpower-sub-mono*:

$I \subseteq J \implies \text{funpower } (\pi\text{-step } k \text{ cfg inp}) \ n \ I \subseteq \text{funpower } (\pi\text{-step } k \text{ cfg inp}) \ n \ J$

lemma *π -sub-mono*:

$I \subseteq J \implies \pi \ k \text{ cfg inp } I \subseteq \pi \ k \text{ cfg inp } J$

lemma *Scan- π -step-mono*:

$\text{Scan } k \text{ inp } I \subseteq \pi\text{-step } k \text{ cfg inp } I$

lemma *Predict- π -step-mono*:

$\text{Predict } k \text{ cfg } I \subseteq \pi\text{-step } k \text{ cfg inp } I$

lemma *Complete- π -step-mono*:

$\text{Complete } k \ I \subseteq \pi\text{-step } k \text{ cfg inp } I$

lemma *π -step- π -mono*:

$\pi\text{-step } k \text{ cfg inp } I \subseteq \pi \ k \text{ cfg inp } I$

lemma *Scan- π -mono*:

$\text{Scan } k \text{ inp } I \subseteq \pi \ k \text{ cfg inp } I$

lemma *Predict- π -mono*:

$\text{Predict } k \text{ cfg } I \subseteq \pi \ k \text{ cfg inp } I$

lemma *Complete- π -mono*:

$\text{Complete } k \ I \subseteq \pi \ k \text{ cfg inp } I$

lemma *π -mono*:

$I \subseteq \pi \ k \text{ cfg inp } I$

lemma *Scan-bin-empty*:

$i \neq k \implies i \neq k+1 \implies \text{bin } (\text{Scan } k \text{ inp } I) \ i = \{\}$

lemma *Predict-bin-empty*:

$i \neq k \implies \text{bin } (\text{Predict } k \text{ cfg } I) \ i = \{\}$

lemma *Complete-bin-empty*:

$i \neq k \implies \text{bin } (\text{Complete } k \ I) \ i = \{\}$

lemma *π -step-bin-absorb*:

$i \neq k \implies i \neq k+1 \implies \text{bin } (\pi\text{-step } k \text{ cfg inp } I) \ i = \text{bin } I \ i$

lemma *funpower-bin-absorb*:

$i \neq k \implies i \neq k+1 \implies \text{bin } (\text{funpower } (\pi\text{-step } k \text{ cfg inp}) \ n \ I) \ i = \text{bin } I \ i$

lemma *π -bin-absorb*:

assumes $i \neq k \ i \neq k+1$

shows $\text{bin } (\pi \ k \text{ cfg inp } I) \ i = \text{bin } I \ i$

4.6 Completeness

lemma *Scan- \mathcal{I}* :

assumes $i+1 \leq k \leq \text{length inp } x \in \text{bin } (\mathcal{I} \ k \text{ cfg inp}) \ i$

assumes $\text{next-symbol } x = \text{Some } a \text{ inp!}i = a$

shows $\text{inc-item } x \ (i+1) \in \mathcal{I} \ k \text{ cfg inp}$

lemma *Predict- \mathcal{I}* :

assumes $i \leq k \ x \in \text{bin } (\mathcal{I} \ k \text{ cfg inp}) \ i \ \text{next-symbol } x = \text{Some } N \ (N, \alpha) \in \text{set } (\mathfrak{R} \text{ cfg})$

shows *init-item* $(N, \alpha) \ i \in \mathcal{I} \ k \ \text{cfg} \ \text{inp}$

lemma *Complete-I*:

assumes $i \leq j \ j \leq k \ x \in \text{bin} \ (\mathcal{I} \ k \ \text{cfg} \ \text{inp}) \ i \ \text{next-symbol} \ x = \text{Some } N \ (N, \alpha) \in \text{set} \ (\mathfrak{R} \ \text{cfg})$

assumes $i = \text{item-origin} \ y \ y \in \text{bin} \ (\mathcal{I} \ k \ \text{cfg} \ \text{inp}) \ j \ \text{item-rule} \ y = (N, \alpha) \ \text{is-complete} \ y$

shows *inc-item* $x \ j \in \mathcal{I} \ k \ \text{cfg} \ \text{inp}$

type-synonym *'a derivation* $= (\text{nat} \times \text{'a rule}) \ \text{list}$

definition *Derives1* $:: \text{'a cfg} \Rightarrow \text{'a sentence} \Rightarrow \text{nat} \Rightarrow \text{'a rule} \Rightarrow \text{'a sentence} \Rightarrow \text{bool} \ \text{where}$

Derives1 $\text{cfg} \ u \ i \ r \ v =$

$(\exists \ x \ y \ N \ \alpha.$

$u = x @ [N] @ y$

$\wedge v = x @ \alpha @ y$

$\wedge (N, \alpha) \in \text{set} \ (\mathfrak{R} \ \text{cfg})$

$\wedge r = (N, \alpha) \wedge i = \text{length } x)$

fun *Derivation* $:: \text{'a cfg} \Rightarrow \text{'a sentence} \Rightarrow \text{'a derivation} \Rightarrow \text{'a sentence} \Rightarrow \text{bool} \ \text{where}$

Derivation $- a \ [] \ b = (a = b)$

$| \text{Derivation} \ \text{cfg} \ a \ (d\#D) \ b = (\exists \ x. \text{Derives1} \ \text{cfg} \ a \ (\text{fst } d) \ (\text{snd } d) \ x \wedge \text{Derivation} \ \text{cfg} \ x \ D \ b)$

definition *partially-completed* $:: \text{nat} \Rightarrow \text{'a cfg} \Rightarrow \text{'a sentence} \Rightarrow \text{'a items} \Rightarrow (\text{'a derivation} \Rightarrow \text{bool}) \Rightarrow \text{bool} \ \text{where}$

partially-completed $k \ \text{cfg} \ \text{inp} \ I \ P = ($

$\forall i \ j \ x \ a \ D.$

$i \leq j \wedge j \leq k \wedge k \leq \text{length} \ \text{inp} \wedge$

$x \in \text{bin} \ I \ i \wedge \text{next-symbol} \ x = \text{Some } a \wedge$

$\text{Derivation} \ \text{cfg} \ [a] \ D \ (\text{slice } i \ j \ \text{inp}) \wedge P \ D \longrightarrow$

$\text{inc-item} \ x \ j \in I$

$)$

lemma *fully-completed*:

assumes $j \leq k \ k \leq \text{length} \ \text{inp}$

assumes $x = \text{Item} \ (N, \alpha) \ d \ i \ j \ x \in I \ \text{wf-items} \ \text{cfg} \ \text{inp} \ I$

assumes $\text{Derivation} \ \text{cfg} \ (\text{item-}\beta \ x) \ D \ (\text{slice } j \ k \ \text{inp})$

assumes *partially-completed* $k \ \text{cfg} \ \text{inp} \ I \ (\lambda D'. \text{length } D' \leq \text{length } D)$

shows $\text{Item} \ (N, \alpha) \ (\text{length } \alpha) \ i \ k \in I$

lemma *partially-completed-I*:

assumes *wf-cfg* cfg

shows *partially-completed* $k \ \text{cfg} \ \text{inp} \ (\mathcal{I} \ k \ \text{cfg} \ \text{inp}) \ (\lambda -. \text{True})$

lemma *partially-completed-J*:

wf-cfg $\text{cfg} \Longrightarrow \text{partially-completed} \ (\text{length} \ \text{inp}) \ \text{cfg} \ \text{inp} \ (\mathcal{J} \ \text{cfg} \ \text{inp}) \ (\lambda -. \text{True})$

lemma *Derivation-S1*:

assumes $\text{Derivation} \ \text{cfg} \ [\mathcal{S} \ \text{cfg}] \ D \ \text{inp} \ \text{is-word} \ \text{cfg} \ \text{inp} \ \text{wf-cfg} \ \text{cfg}$

shows $\exists \alpha \ E. \text{Derivation} \ \text{cfg} \ \alpha \ E \ \text{inp} \wedge (\mathcal{S} \ \text{cfg}, \alpha) \in \text{set} \ (\mathfrak{R} \ \text{cfg})$

theorem *completeness*:

assumes *derives cfg* $[\S \text{ cfg}] \text{ inp}$ *is-word cfg inp wf-cfg cfg*

shows *earley-recognized* $(\exists \text{ cfg inp}) \text{ cfg inp}$

corollary

assumes *wf-cfg cfg is-word cfg inp*

shows *earley-recognized* $(\exists \text{ cfg inp}) \text{ cfg inp} \longleftrightarrow \text{derives cfg } [\S \text{ cfg}] \text{ inp}$

5 Earley Recogniser Implementation

5.1 Definitions

fun *filter-with-index'* :: *nat* \Rightarrow (*'a* \Rightarrow *bool*) \Rightarrow *'a list* \Rightarrow (*'a* \times *nat*) *list* **where**
 filter-with-index' - - [] = []
 | *filter-with-index'* *i* *P* (*x*#*xs*) = (
 if *P* *x* then (*x*,*i*) # *filter-with-index'* (*i*+1) *P* *xs*
 else *filter-with-index'* (*i*+1) *P* *xs*)

definition *filter-with-index* :: (*'a* \Rightarrow *bool*) \Rightarrow *'a list* \Rightarrow (*'a* \times *nat*) *list* **where**
 filter-with-index *P* *xs* = *filter-with-index'* 0 *P* *xs*

datatype *pointer* =
 Null
 | *Pre* *nat*
 | *PreRed* *nat* \times *nat* \times *nat* (*nat* \times *nat* \times *nat*) *list*

datatype *'a entry* =
 Entry
 (*item* : *'a item*)
 (*pointer* : *pointer*)

type-synonym *'a bin* = *'a entry list*

type-synonym *'a bins* = *'a bin list*

definition *items* :: *'a bin* \Rightarrow *'a item list* **where**
 items *b* = *map item b*

definition *pointers* :: *'a bin* \Rightarrow *pointer list* **where**
 pointers *b* = *map pointer b*

definition *bins-eq-items* :: *'a bins* \Rightarrow *'a bins* \Rightarrow *bool* **where**
 bins-eq-items *bs0* *bs1* \longleftrightarrow *map items bs0* = *map items bs1*

definition *bins-items* :: *'a bins* \Rightarrow *'a items* **where**
 bins-items *bs* = $\bigcup \{ \text{set } (\text{items } (bs ! k)) \mid k. k < \text{length } bs \}$

definition *bin-items-upto* :: 'a bin \Rightarrow nat \Rightarrow 'a items **where**

bin-items-upto b i = { items b ! j | j. j < i \wedge j < length (items b) }

definition *bins-items-upto* :: 'a bins \Rightarrow nat \Rightarrow nat \Rightarrow 'a items **where**

bins-items-upto bs k i = \bigcup { set (items (bs ! l)) | l. l < k } \cup *bin-items-upto* (bs ! k) i

definition *wf-bin-items* :: 'a cfg \Rightarrow 'a sentence \Rightarrow nat \Rightarrow 'a item list \Rightarrow bool **where**

wf-bin-items cfg inp k xs = ($\forall x \in \text{set } xs. \text{wf-item } \text{cfg } \text{inp } x \wedge \text{item-end } x = k$)

definition *wf-bin* :: 'a cfg \Rightarrow 'a sentence \Rightarrow nat \Rightarrow 'a bin \Rightarrow bool **where**

wf-bin cfg inp k b \longleftrightarrow distinct (items b) \wedge *wf-bin-items* cfg inp k (items b)

definition *wf-bins* :: 'a cfg \Rightarrow 'a list \Rightarrow 'a bins \Rightarrow bool **where**

wf-bins cfg inp bs \longleftrightarrow ($\forall k < \text{length } bs. \text{wf-bin } \text{cfg } \text{inp } k (bs ! k)$)

definition *nonempty-derives* :: 'a cfg \Rightarrow bool **where**

nonempty-derives cfg = ($\forall N. N \in \text{set } (\mathfrak{N} \text{ cfg}) \longrightarrow \neg \text{derives } \text{cfg } [N] []$)

definition *Init-it* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a bins **where**

Init-it cfg inp = (
 let rs = filter ($\lambda r. \text{rule-head } r = \mathfrak{S} \text{ cfg}$) ($\mathfrak{R} \text{ cfg}$) in
 let b0 = map ($\lambda r. (\text{Entry } (\text{init-item } r \ 0) \ \text{Null})$) rs in
 let bs = replicate (length inp + 1) ([]) in
 bs[0 := b0])

definition *Scan-it* :: nat \Rightarrow 'a sentence \Rightarrow 'a \Rightarrow 'a item \Rightarrow nat \Rightarrow 'a entry list **where**

Scan-it k inp a x pre = (
 if inp!k = a then
 let x' = inc-item x (k+1) in
 [Entry x' (Pre pre)]
 else [])

definition *Predict-it* :: nat \Rightarrow 'a cfg \Rightarrow 'a \Rightarrow 'a entry list **where**

Predict-it k cfg X = (
 let rs = filter ($\lambda r. \text{rule-head } r = X$) ($\mathfrak{R} \text{ cfg}$) in
 map ($\lambda r. (\text{Entry } (\text{init-item } r \ k) \ \text{Null})$) rs)

definition *Complete-it* :: nat \Rightarrow 'a item \Rightarrow 'a bins \Rightarrow nat \Rightarrow 'a entry list **where**

Complete-it k y bs red = (
 let orig = bs ! (item-origin y) in
 let is = filter-with-index ($\lambda x. \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)$) (items orig) in
 map ($\lambda (x, \text{pre}). (\text{Entry } (\text{inc-item } x \ k) (\text{PreRed } (\text{item-origin } y, \text{pre}, \text{red}) []))$) is)

fun *bin-upd* :: 'a entry \Rightarrow 'a bin \Rightarrow 'a bin **where**

```

bin-upd e' [] = [e']
| bin-upd e' (e#es) = (
  case (e', e) of
    (Entry x (PreRed px xs), Entry y (PreRed py ys)) =>
      if x = y then Entry x (PreRed py (px#xs@ys)) # es
      else e # bin-upd e' es
  | - =>
    if item e' = item e then e # es
    else e # bin-upd e' es)

```

```

fun bin-upds :: 'a entry list => 'a bin => 'a bin where
  bin-upds [] b = b
| bin-upds (e#es) b = bin-upds es (bin-upd e b)

```

```

definition bins-upd :: 'a bins => nat => 'a entry list => 'a bins where
  bins-upd bs k es = bs[k := bin-upds es (bs!k)]

```

```

partial-function (tailrec)  $\pi$ -it' :: nat => 'a cfg => 'a sentence => 'a bins => nat => 'a bins where
   $\pi$ -it' k cfg inp bs i = (
    if i ≥ length (items (bs ! k)) then bs
  else
    let x = items (bs!k) ! i in
    let bs' =
      case next-symbol x of
        Some a =>
          if is-terminal cfg a then
            if k < length inp then bins-upd bs (k+1) (Scan-it k inp a x i)
            else bs
          else bins-upd bs k (Predict-it k cfg a)
        | None => bins-upd bs k (Complete-it k x bs i)
    in  $\pi$ -it' k cfg inp bs' (i+1))

```

```

definition  $\pi$ -it :: nat => 'a cfg => 'a sentence => 'a bins => 'a bins where
   $\pi$ -it k cfg inp bs =  $\pi$ -it' k cfg inp bs 0

```

```

fun  $\mathcal{I}$ -it :: nat => 'a cfg => 'a sentence => 'a bins where
   $\mathcal{I}$ -it 0 cfg inp =  $\pi$ -it 0 cfg inp (Init-it cfg inp)
|  $\mathcal{I}$ -it (Suc n) cfg inp =  $\pi$ -it (Suc n) cfg inp ( $\mathcal{I}$ -it n cfg inp)

```

```

definition  $\mathcal{J}$ -it :: 'a cfg => 'a sentence => 'a bins where
   $\mathcal{J}$ -it cfg inp =  $\mathcal{I}$ -it (length inp) cfg inp

```

5.2 Wellformedness

lemma *distinct-bin-upd*:

distinct (items b) \implies distinct (items (bin-upd e b))

lemma *distinct-bin-upds*:

distinct (items b) \implies distinct (items (bin-upds es b))

lemma *distinct-bins-upd*:

distinct (items (bs ! k)) \implies distinct (items (bins-upd bs k ips ! k))

lemma *distinct-Scan-it*:

distinct (items (Scan-it k inp a x pre))

sorry

lemma *distinct-Predict-it*:

wf-cfg cfg \implies distinct (items (Predict-it k cfg X))

lemma *distinct-Complete-it*:

assumes *wf-bins cfg inp bs item-origin y < length bs*

shows *distinct (items (Complete-it k y bs red))*

lemma *wf-bin-bin-upd*:

assumes *wf-bin cfg inp k b wf-item cfg inp (item e) \wedge item-end (item e) = k*

shows *wf-bin cfg inp k (bin-upd e b)*

lemma *wf-bin-bin-upds*:

assumes *wf-bin cfg inp k b distinct (items es)*

assumes $\forall x \in \text{set } (\text{items } es). \text{wf-item cfg inp } x \wedge \text{item-end } x = k$

shows *wf-bin cfg inp k (bin-upds es b)*

lemma *wf-bins-bins-upd*:

assumes *wf-bins cfg inp bs distinct (items es)*

assumes $\forall x \in \text{set } (\text{items } es). \text{wf-item cfg inp } x \wedge \text{item-end } x = k$

shows *wf-bins cfg inp (bins-upd bs k es)*

lemma *wf-bins-Init-it*:

assumes *wf-cfg cfg*

shows *wf-bins cfg inp (Init-it cfg inp)*

lemma *wf-bins-Scan-it*:

assumes *wf-bins cfg inp bs k < length bs x \in set (items (bs ! k)) k < length inp next-symbol x \neq None*

shows $\forall y \in \text{set } (\text{items } (\text{Scan-it k inp a x pre})). \text{wf-item cfg inp } y \wedge \text{item-end } y = (k+1)$

lemma *wf-bins-Predict-it*:

assumes *wf-bins cfg inp bs k < length bs k \leq length inp wf-cfg cfg*

shows $\forall y \in \text{set } (\text{items } (\text{Predict-it k cfg X})). \text{wf-item cfg inp } y \wedge \text{item-end } y = k$

lemma *wf-bins-Complete-it*:

assumes *wf-bins cfg inp bs k < length bs y \in set (items (bs ! k))*

shows $\forall x \in \text{set } (\text{items } (\text{Complete-it k y bs red})). \text{wf-item cfg inp } x \wedge \text{item-end } x = k$

definition *wellformed-bins* :: $(\text{nat} \times 'a \text{ cfg} \times 'a \text{ sentence} \times 'a \text{ bins}) \text{ set}$ **where**

wellformed-bins = {


```

(k, cfg, inp, bs) | k cfg inp bs.
  k ≤ length inp ∧
  length bs = length inp + 1 ∧
  wf-cfg cfg ∧
  wf-bins cfg inp bs
}

```

typedef 'a wf-bins = wellformed-bins::(nat × 'a cfg × 'a sentence × 'a bins) set

lemma wellformed-bins-Init-it:

assumes $k \leq \text{length } \text{inp}$ wf-cfg cfg
shows $(k, \text{cfg}, \text{inp}, \text{Init-it } \text{cfg } \text{inp}) \in \text{wellformed-bins}$

lemma wellformed-bins-Complete-it:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins} \neg \text{length } (\text{items } (\text{bs } ! k)) \leq i$
assumes $x = \text{items } (\text{bs } ! k) ! i$ next-symbol $x = \text{None}$
shows $(k, \text{cfg}, \text{inp}, \text{bins-upd } \text{bs } k (\text{Complete-it } k x \text{ bs red})) \in \text{wellformed-bins}$

lemma wellformed-bins-Scan-it:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins} \neg \text{length } (\text{items } (\text{bs } ! k)) \leq i$
assumes $x = \text{items } (\text{bs } ! k) ! i$ next-symbol $x = \text{Some } a$
assumes is-terminal cfg a $k < \text{length } \text{inp}$
shows $(k, \text{cfg}, \text{inp}, \text{bins-upd } \text{bs } (k+1) (\text{Scan-it } k \text{ inp } a x \text{ pre})) \in \text{wellformed-bins}$

lemma wellformed-bins-Predict-it:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins} \neg \text{length } (\text{items } (\text{bs } ! k)) \leq i$
assumes $x = \text{items } (\text{bs } ! k) ! i$ next-symbol $x = \text{Some } a \neg \text{is-terminal } \text{cfg } a$
shows $(k, \text{cfg}, \text{inp}, \text{bins-upd } \text{bs } k (\text{Predict-it } k \text{ cfg } a)) \in \text{wellformed-bins}$

fun earley-measure :: nat × 'a cfg × 'a sentence × 'a bins ⇒ nat ⇒ nat **where**

earley-measure $(k, \text{cfg}, \text{inp}, \text{bs}) i = \text{card } \{ x \mid x. \text{wf-item } \text{cfg } \text{inp } x \wedge \text{item-end } x = k \} - i$

lemma π -it'-induct:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$
assumes base: $\bigwedge k \text{ cfg inp bs } i. i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies P k \text{ cfg inp bs } i$
assumes complete: $\bigwedge k \text{ cfg inp bs } i x. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{None} \implies P k \text{ cfg inp } (\text{bins-upd } \text{bs } k (\text{Complete-it } k x \text{ bs } i)) (i+1) \implies P k$
 $\text{cfg inp bs } i$

assumes scan: $\bigwedge k \text{ cfg inp bs } i x a. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{Some } a \implies \text{is-terminal } \text{cfg } a \implies k < \text{length } \text{inp} \implies$

$P k \text{ cfg inp } (\text{bins-upd } \text{bs } (k+1) (\text{Scan-it } k \text{ inp } a x i)) (i+1) \implies P k \text{ cfg inp bs } i$
assumes pass: $\bigwedge k \text{ cfg inp bs } i x a. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{Some } a \implies \text{is-terminal } \text{cfg } a \implies \neg k < \text{length } \text{inp} \implies$
 $P k \text{ cfg inp bs } (i+1) \implies P k \text{ cfg inp bs } i$

assumes predict: $\bigwedge k \text{ cfg inp bs } i x a. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{Some } a \implies \neg \text{is-terminal } \text{cfg } a \implies$

$P k \text{ cfg inp } (\text{bins-upd } \text{bs } k (\text{Predict-it } k \text{ cfg } a)) (i+1) \implies P k \text{ cfg inp bs } i$
shows $P k \text{ cfg inp bs } i$

lemma *wellformed-bins- π -it'*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $(k, \text{cfg}, \text{inp}, \pi\text{-it}' k \text{ cfg inp bs } i) \in \text{wellformed-bins}$

lemma *wellformed-bins- π -it*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $(k, \text{cfg}, \text{inp}, \pi\text{-it } k \text{ cfg inp bs}) \in \text{wellformed-bins}$

lemma *wellformed-bins- \mathcal{I} -it*:

assumes $k \leq \text{length inp } \text{wf-cfg } \text{cfg}$

shows $(k, \text{cfg}, \text{inp}, \mathcal{I}\text{-it } k \text{ cfg inp}) \in \text{wellformed-bins}$

lemma *wellformed-bins- \mathcal{J} -it*:

$k \leq \text{length inp} \implies \text{wf-cfg } \text{cfg} \implies (k, \text{cfg}, \text{inp}, \mathcal{J}\text{-it } \text{cfg } \text{inp}) \in \text{wellformed-bins}$

lemma *wf-bins- π -it'*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $\text{wf-bins } \text{cfg } \text{inp } (\pi\text{-it}' k \text{ cfg inp bs } i)$

lemma *wf-bins- π -it*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $\text{wf-bins } \text{cfg } \text{inp } (\pi\text{-it } k \text{ cfg inp bs})$

lemma *wf-bins- \mathcal{I} -it*:

assumes $k \leq \text{length inp } \text{wf-cfg } \text{cfg}$

shows $\text{wf-bins } \text{cfg } \text{inp } (\mathcal{I}\text{-it } k \text{ cfg inp})$

lemma *wf-bins- \mathcal{J} -it*:

$\text{wf-cfg } \text{cfg} \implies \text{wf-bins } \text{cfg } \text{inp } (\mathcal{J}\text{-it } \text{cfg } \text{inp})$

5.3 List to set

lemma *Init-it-eq-Init*:

$\text{bins-items } (\text{Init-it } \text{cfg } \text{inp}) = \text{Init } \text{cfg}$

lemma *Scan-it-sub-Scan*:

assumes $\text{wf-bins } \text{cfg } \text{inp } \text{bs } \text{bins-items } \text{bs} \subseteq I \ x \in \text{set } (\text{items } (\text{bs } ! \ k))$

assumes $k < \text{length } \text{bs } k < \text{length } \text{inp}$

assumes $\text{next-symbol } x = \text{Some } a$

shows $\text{set } (\text{items } (\text{Scan-it } k \text{ inp } a \ x \ \text{pre})) \subseteq \text{Scan } k \text{ inp } I$

lemma *Predict-it-sub-Predict*:

assumes $\text{wf-bins } \text{cfg } \text{inp } \text{bs } \text{bins-items } \text{bs} \subseteq I \ x \in \text{set } (\text{items } (\text{bs } ! \ k)) \ k < \text{length } \text{bs}$

assumes $\text{next-symbol } x = \text{Some } X$

shows $\text{set } (\text{items } (\text{Predict-it } k \text{ cfg } X)) \subseteq \text{Predict } k \text{ cfg } I$

lemma *Complete-it-sub-Complete*:

assumes $\text{wf-bins } \text{cfg } \text{inp } \text{bs } \text{bins-items } \text{bs} \subseteq I \ y \in \text{set } (\text{items } (\text{bs } ! \ k)) \ k < \text{length } \text{bs}$

assumes $\text{next-symbol } y = \text{None}$

shows $\text{set } (\text{items } (\text{Complete-it } k \ y \ \text{bs } \text{red})) \subseteq \text{Complete } k \ I$

lemma *π -it'-sub- π* :

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{bins-items } \text{bs} \subseteq I$

shows $\text{bins-items } (\pi\text{-it}' k \text{ cfg inp bs } i) \subseteq \pi k \text{ cfg inp } I$

lemma $\pi\text{-it-sub-}\pi$:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{bins-items } \text{bs} \subseteq I$

shows $\text{bins-items } (\pi\text{-it } k \text{ cfg inp bs}) \subseteq \pi k \text{ cfg inp } I$

lemma $\mathcal{I}\text{-it-sub-}\mathcal{I}$:

assumes $k \leq \text{length inp wf-cfg cfg}$

shows $\text{bins-items } (\mathcal{I}\text{-it } k \text{ cfg inp}) \subseteq \mathcal{I} k \text{ cfg inp}$

lemma $\mathcal{J}\text{-it-sub-}\mathcal{J}$:

$\text{wf-cfg cfg} \implies \text{bins-items } (\mathcal{J}\text{-it cfg inp}) \subseteq \mathcal{J} \text{ cfg inp}$

5.4 Soundness

lemma sound-Scan-it :

assumes $\text{wf-bins cfg inp bs bins-items bs} \subseteq I \ x \in \text{set (items (bs ! k)) } k < \text{length bs } k < \text{length inp}$

assumes $\text{next-symbol } x = \text{Some } a \ \text{wf-items cfg inp } I \ \text{sound-items cfg inp } I$

shows $\text{sound-items cfg inp (set (items (Scan-it k inp a x i)))}$

lemma sound-Predict-it :

assumes $\text{wf-bins cfg inp bs bins-items bs} \subseteq I \ x \in \text{set (items (bs ! k)) } k < \text{length bs}$

assumes $\text{next-symbol } x = \text{Some } X \ \text{sound-items cfg inp } I$

shows $\text{sound-items cfg inp (set (items (Predict-it k cfg X)))}$

lemma sound-Complete-it :

assumes $\text{wf-bins cfg inp bs bins-items bs} \subseteq I \ y \in \text{set (items (bs ! k)) } k < \text{length bs}$

assumes $\text{next-symbol } y = \text{None } \text{wf-items cfg inp } I \ \text{sound-items cfg inp } I$

shows $\text{sound-items cfg inp (set (items (Complete-it k y bs i)))}$

lemma $\text{sound-}\pi\text{-it}'$:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{sound-items cfg inp (bins-items bs)}$

shows $\text{sound-items cfg inp (bins-items } (\pi\text{-it}' k \text{ cfg inp bs } i))$

lemma $\text{sound-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{sound-items cfg inp (bins-items bs)}$

shows $\text{sound-items cfg inp (bins-items } (\pi\text{-it } k \text{ cfg inp bs}))$

5.5 Set to list

lemma $\text{impossible-complete-item}$:

assumes $\text{wf-cfg cfg wf-item cfg inp } x \ \text{sound-item cfg inp } x$

assumes $\text{is-complete } x \ \text{item-origin } x = k \ \text{item-end } x = k \ \text{nonempty-derives cfg}$

shows False

lemma $\text{Complete-Un-eq-terminal}$:

assumes $\text{next-symbol } z = \text{Some } a \ \text{is-terminal cfg } a \ \text{wf-items cfg inp } I \ \text{wf-item cfg inp } z \ \text{wf-cfg cfg}$

shows $\text{Complete } k (I \cup \{z\}) = \text{Complete } k I$

lemma *Complete-Un-eq-nonterminal:*

assumes $\text{next-symbol } z = \text{Some } a \text{ is-nonterminal } \text{cfg } a \text{ sound-items } \text{cfg } \text{inp } I \text{ item-end } z = k$

assumes $\text{wf-items } \text{cfg } \text{inp } I \text{ wf-item } \text{cfg } \text{inp } z \text{ wf-cfg } \text{cfg } \text{nonempty-derives } \text{cfg}$

shows $\text{Complete } k (I \cup \{z\}) = \text{Complete } k I$

lemma *Complete-bins-upto-eq-bins:*

assumes $\text{wf-bins } \text{cfg } \text{inp } bs \ k < \text{length } bs \ i \geq \text{length } (\text{items } (bs \ ! \ k))$

shows $\text{Complete } k (\text{bins-items-upto } bs \ k \ i) = \text{Complete } k (\text{bins-items } bs)$

lemma *Complete-sub-bins-Un-Complete-it:*

assumes $\text{Complete } k I \subseteq \text{bins-items } bs \ I \subseteq \text{bins-items } bs \text{ is-complete } z \text{ wf-bins } \text{cfg } \text{inp } bs \text{ wf-item } \text{cfg } \text{inp } z$

shows $\text{Complete } k (I \cup \{z\}) \subseteq \text{bins-items } bs \cup \text{set } (\text{items } (\text{Complete-it } k \ z \ bs \ \text{red}))$

lemma $\pi\text{-it}'\text{-mono}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

shows $\text{bins-items } bs \subseteq \text{bins-items } (\pi\text{-it}' \ k \ \text{cfg } \text{inp } bs \ i)$

lemma $\pi\text{-step-sub-}\pi\text{-it}'$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \ \text{cfg } \text{inp } (\text{bins-items-upto } bs \ k \ i) \subseteq \text{bins-items } bs$

assumes $\text{sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\pi\text{-step } k \ \text{cfg } \text{inp } (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it}' \ k \ \text{cfg } \text{inp } bs \ i)$

lemma $\pi\text{-step-sub-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \ \text{cfg } \text{inp } (\text{bins-items-upto } bs \ k \ 0) \subseteq \text{bins-items } bs$

assumes $\text{sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\pi\text{-step } k \ \text{cfg } \text{inp } (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it } k \ \text{cfg } \text{inp } bs)$

lemma $\pi\text{-it}'\text{-bins-items-eq}$:

assumes $(k, \text{cfg}, \text{inp}, as) \in \text{wellformed-bins}$

assumes $\text{bins-eq-items } as \ bs \text{ wf-bins } \text{cfg } \text{inp } as$

shows $\text{bins-eq-items } (\pi\text{-it}' \ k \ \text{cfg } \text{inp } as \ i) \ (\pi\text{-it}' \ k \ \text{cfg } \text{inp } bs \ i)$

lemma $\pi\text{-it}'\text{-idem}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $i \leq j \text{ sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ nonempty-derives } \text{cfg}$

shows $\text{bins-items } (\pi\text{-it}' \ k \ \text{cfg } \text{inp } (\pi\text{-it}' \ k \ \text{cfg } \text{inp } bs \ i) \ j) = \text{bins-items } (\pi\text{-it}' \ k \ \text{cfg } \text{inp } bs \ i)$

lemma $\pi\text{-it-idem}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\text{sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ nonempty-derives } \text{cfg}$

shows $\text{bins-items } (\pi\text{-it } k \ \text{cfg } \text{inp } (\pi\text{-it } k \ \text{cfg } \text{inp } bs)) = \text{bins-items } (\pi\text{-it } k \ \text{cfg } \text{inp } bs)$

lemma $\text{funpower-}\pi\text{-step-sub-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \ \text{cfg } \text{inp } (\text{bins-items-upto } bs \ k \ 0) \subseteq \text{bins-items } bs \text{ sound-items } \text{cfg } \text{inp } (\text{bins-items } bs)$

assumes $\text{is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\text{funpower } (\pi\text{-step } k \ \text{cfg } \text{inp}) \ n \ (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it } k \ \text{cfg } \text{inp } bs)$

lemma $\pi\text{-sub-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \text{ cfg inp } (\text{bins-items-upto } bs \ k \ 0) \subseteq \text{bins-items } bs \ \text{sound-items cfg inp } (\text{bins-items } bs)$

assumes $\text{is-word cfg inp nonempty-derives cfg}$

shows $\pi \ k \ \text{cfg inp } (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it } k \ \text{cfg inp } bs)$

lemma $\mathcal{I}\text{-sub-}\mathcal{I}\text{-it}$:

assumes $k \leq \text{length inp wf-cfg cfg}$

assumes $\text{is-word cfg inp nonempty-derives cfg}$

shows $\mathcal{I} \ k \ \text{cfg inp} \subseteq \text{bins-items } (\mathcal{I}\text{-it } k \ \text{cfg inp})$

lemma $\mathcal{J}\text{-sub-}\mathcal{J}\text{-it}$:

assumes $\text{wf-cfg cfg is-word cfg inp nonempty-derives cfg}$

shows $\mathcal{J} \ \text{cfg inp} \subseteq \text{bins-items } (\mathcal{J}\text{-it cfg inp})$

5.6 Main Theorem

definition $\text{earley-recognized-it} :: 'a \ \text{bins} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow \text{bool}$ **where**
 $\text{earley-recognized-it } I \ \text{cfg inp} = (\exists x \in \text{set } (\text{items } (I \ ! \ \text{length inp})). \text{is-finished cfg inp } x)$

theorem $\text{earley-recognized-it-iff-earley-recognized}$:

assumes $\text{wf-cfg cfg is-word cfg inp nonempty-derives cfg}$

shows $\text{earley-recognized-it } (\mathcal{J}\text{-it cfg inp}) \ \text{cfg inp} \longleftrightarrow \text{earley-recognized } (\mathcal{J} \ \text{cfg inp}) \ \text{cfg inp}$

corollary correctness-list :

assumes $\text{wf-cfg cfg is-word cfg inp nonempty-derives cfg}$

shows $\text{earley-recognized-it } (\mathcal{J}\text{-it cfg inp}) \ \text{cfg inp} \longleftrightarrow \text{derives cfg } [\mathcal{S} \ \text{cfg}] \ \text{inp}$

6 Earley Parser Implementation

6.1 Pointer lemmas

definition *predicts* :: 'a item \Rightarrow bool **where**
predicts $x \longleftrightarrow \text{item-origin } x = \text{item-end } x \wedge \text{item-dot } x = 0$

definition *scans* :: 'a sentence \Rightarrow nat \Rightarrow 'a item \Rightarrow 'a item \Rightarrow bool **where**
scans $\text{inp } k \ x \ y \longleftrightarrow y = \text{inc-item } x \ k \wedge (\exists a. \text{next-symbol } x = \text{Some } a \wedge \text{inp}!(k-1) = a)$

definition *completes* :: nat \Rightarrow 'a item \Rightarrow 'a item \Rightarrow 'a item \Rightarrow bool **where**
completes $k \ x \ y \ z \longleftrightarrow y = \text{inc-item } x \ k \wedge \text{is-complete } z \wedge \text{item-origin } z = \text{item-end } x \wedge$
 $(\exists N. \text{next-symbol } x = \text{Some } N \wedge N = \text{item-rule-head } z)$

definition *sound-null-ptr* :: 'a entry \Rightarrow bool **where**
sound-null-ptr $e = (\text{pointer } e = \text{Null} \longrightarrow \text{predicts } (\text{item } e))$

definition *sound-pre-ptr* :: 'a sentence \Rightarrow 'a bins \Rightarrow nat \Rightarrow 'a entry \Rightarrow bool **where**
sound-pre-ptr $\text{inp } bs \ k \ e = (\forall \text{pre. pointer } e = \text{Pre pre} \longrightarrow$
 $k > 0 \wedge \text{pre} < \text{length } (bs!(k-1)) \wedge \text{scans } \text{inp } k \ (\text{item } (bs!(k-1)!\text{pre})) \ (\text{item } e))$

definition *sound-prered-ptr* :: 'a bins \Rightarrow nat \Rightarrow 'a entry \Rightarrow bool **where**
sound-prered-ptr $bs \ k \ e = (\forall p \ ps \ k' \ \text{pre } \text{red. pointer } e = \text{PreRed } p \ ps \wedge (k', \text{pre}, \text{red}) \in \text{set } (p\#\text{ps}) \longrightarrow$
 $k' < k \wedge \text{pre} < \text{length } (bs!k') \wedge \text{red} < \text{length } (bs!k) \wedge \text{completes } k \ (\text{item } (bs!k'!\text{pre})) \ (\text{item } e) \ (\text{item } (bs!k!\text{red})))$

definition *sound-ptrs* :: 'a sentence \Rightarrow 'a bins \Rightarrow bool **where**
sound-ptrs $\text{inp } bs = (\forall k < \text{length } bs. \forall e \in \text{set } (bs!k). \text{sound-null-ptr } e \wedge$
 $\text{sound-pre-ptr } \text{inp } bs \ k \ e \wedge \text{sound-prered-ptr } bs \ k \ e)$

definition *mono-red-ptr* :: 'a bins \Rightarrow bool **where**
mono-red-ptr $bs = (\forall k < \text{length } bs. \forall i < \text{length } (bs!k). \forall k' \ \text{pre } \text{red } ps. \text{pointer } (bs!k!i) = \text{PreRed } (k', \text{pre}, \text{red}) \ ps \longrightarrow \text{red} < i)$

lemma *sound-ptrs-bin-upd*:
assumes *sound-ptrs* $\text{inp } bs \ k < \text{length } bs \ es = bs!k \ \text{distinct } (\text{items } es)$

assumes *sound-null-ptr e sound-pre-ptr inp bs k e sound-prered-ptr bs k e*
shows *sound-ptrs inp (bs[k := bin-upd e es])*
lemma *mono-red-ptr-bin-upd:*
assumes *mono-red-ptr bs k < length bs es = bs!k distinct (items es)*
assumes $\forall k' \text{ pre red ps. pointer } e = \text{PreRed } (k', \text{pre}, \text{red}) \text{ ps} \longrightarrow \text{red} < \text{length es}$
shows *mono-red-ptr (bs[k := bin-upd e es])*
lemma *sound-mono-ptrs-bin-upds:*
assumes *sound-ptrs inp bs mono-red-ptr bs k < length bs b = bs!k distinct (items b) distinct (items es)*
assumes $\forall e \in \text{set es. sound-null-ptr } e \wedge \text{sound-pre-ptr inp bs k e} \wedge \text{sound-prered-ptr bs k e}$
assumes $\forall e \in \text{set es. } \forall k' \text{ pre red ps. pointer } e = \text{PreRed } (k', \text{pre}, \text{red}) \text{ ps} \longrightarrow \text{red} < \text{length b}$
shows *sound-ptrs inp (bs[k := bin-upds es b]) \wedge mono-red-ptr (bs[k := bin-upds es b])*
lemma *sound-mono-ptrs- π -it':*
assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$
assumes *sound-ptrs inp bs sound-items cfg inp (bins-items bs)*
assumes *mono-red-ptr bs*
assumes *nonempty-derives cfg wf-cfg cfg*
shows *sound-ptrs inp (π -it' k cfg inp bs i) \wedge mono-red-ptr (π -it' k cfg inp bs i)*
lemma *sound-mono-ptrs- π -it:*
assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$
assumes *sound-ptrs inp bs sound-items cfg inp (bins-items bs)*
assumes *mono-red-ptr bs*
assumes *nonempty-derives cfg wf-cfg cfg*
shows *sound-ptrs inp (π -it k cfg inp bs) \wedge mono-red-ptr (π -it k cfg inp bs)*
lemma *sound-ptrs-Init-it:*
sound-ptrs inp (Init-it cfg inp)
lemma *mono-red-ptr-Init-it:*
mono-red-ptr (Init-it cfg inp)
lemma *sound-mono-ptrs- \mathcal{I} -it:*
assumes $k \leq \text{length inp wf-cfg cfg nonempty-derives cfg wf-cfg cfg}$
shows *sound-ptrs inp (\mathcal{I} -it k cfg inp) \wedge mono-red-ptr (\mathcal{I} -it k cfg inp)*
lemma *sound-mono-ptrs- \mathcal{I} -it:*
assumes *wf-cfg cfg nonempty-derives cfg*
shows *sound-ptrs inp (\mathcal{I} -it cfg inp) \wedge mono-red-ptr (\mathcal{I} -it cfg inp)*

6.2 Trees and Forests

datatype *'a tree =*
Leaf 'a
| Branch 'a 'a tree list
fun *yield-tree :: 'a tree \Rightarrow 'a sentence where*
yield-tree (Leaf a) = [a]

```

| yield-tree (Branch - ts) = concat (map yield-tree ts)

fun root-tree :: 'a tree  $\Rightarrow$  'a where
  root-tree (Leaf a) = a
| root-tree (Branch N -) = N

fun wf-rule-tree :: 'a cfg  $\Rightarrow$  'a tree  $\Rightarrow$  bool where
  wf-rule-tree - (Leaf a)  $\longleftrightarrow$  True
| wf-rule-tree cfg (Branch N ts)  $\longleftrightarrow$  (
  ( $\exists r \in \text{set } (\mathfrak{R} \text{ cfg}). N = \text{rule-head } r \wedge \text{map root-tree ts} = \text{rule-body } r$ )  $\wedge$ 
  ( $\forall t \in \text{set ts}. \text{wf-rule-tree cfg } t$ ))

fun wf-item-tree :: 'a cfg  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool where
  wf-item-tree cfg - (Leaf a)  $\longleftrightarrow$  True
| wf-item-tree cfg x (Branch N ts)  $\longleftrightarrow$  (
   $N = \text{item-rule-head } x \wedge \text{map root-tree ts} = \text{take } (\text{item-dot } x) (\text{item-rule-body } x) \wedge$ 
  ( $\forall t \in \text{set ts}. \text{wf-rule-tree cfg } t$ ))

definition wf-yield-tree :: 'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool where
  wf-yield-tree inp x t  $\longleftrightarrow$  yield-tree t = slice (item-origin x) (item-end x) inp

datatype 'a forest =
  FLeaf 'a
| FBranch 'a 'a forest list list

fun combinations :: 'a list list  $\Rightarrow$  'a list list where
  combinations [] = [[]]
| combinations (xs#xss) = [ x#cs . x <- xs, cs <- combinations xss ]

fun trees :: 'a forest  $\Rightarrow$  'a tree list where
  trees (FLeaf a) = [Leaf a]
| trees (FBranch N fss) = (
  let tss = (map ( $\lambda f. \text{concat } (\text{map } (\lambda f. \text{trees } f) \text{ fs})$ ) fss) in
  map ( $\lambda \text{ts}. \text{Branch } N \text{ ts}$ ) (combinations tss)
  )

```

6.3 A single parse tree

```

partial-function (option) build-tree' :: 'a bins  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  'a tree option where
  build-tree' bs inp k i = (
    let e = bs!k!i in (
      case pointer e of
        Null  $\Rightarrow$  Some (Branch (item-rule-head (item e)) [])

```



```

| Pre pre ⇒ (
  do {
    t ← build-tree' bs inp (k-1) pre;
    case t of
      Branch N ts ⇒ Some (Branch N (ts @ [Leaf (inp!(k-1))]))
    | - ⇒ None
  })
| PreRed (k', pre, red) - ⇒ (
  do {
    t ← build-tree' bs inp k' pre;
    case t of
      Branch N ts ⇒
        do {
          t ← build-tree' bs inp k red;
          Some (Branch N (ts @ [t]))
        }
    | - ⇒ None
  })
))

```

definition *build-tree* :: 'a cfg ⇒ 'a sentence ⇒ 'a bins ⇒ 'a tree option **where**

```

build-tree cfg inp bs = (
  let k = length bs - 1 in (
    case filter-with-index (λx. is-finished cfg inp x) (items (bs!k)) of
      [] ⇒ None
    | (-, i)#- ⇒ build-tree' bs inp k i
  ))

```

definition *wellformed-tree-ptrs* :: ('a bins × 'a sentence × nat × nat) set **where**

```

wellformed-tree-ptrs = {
  (bs, inp, k, i) | bs inp k i.
  sound-ptrs inp bs ∧
  mono-red-ptr bs ∧
  k < length bs ∧
  i < length (bs!k)
}

```

fun *build-tree'-measure* :: ('a bins × 'a sentence × nat × nat) ⇒ nat **where**

```

build-tree'-measure (bs, inp, k, i) = foldl (+) 0 (map length (take k bs)) + i

```

lemma *wellformed-tree-ptrs-pre*:

assumes (bs, inp, k, i) ∈ *wellformed-tree-ptrs*

assumes e = bs!k!i pointer e = Pre pre

shows $(bs, inp, (k-1), pre) \in \text{wellformed-tree-ptrs}$

lemma *wellformed-tree-ptrs-prered-pre*:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$

assumes $e = \text{bs!k!i}$ pointer $e = \text{PreRed } (k', pre, red) \text{ ps}$

shows $(bs, inp, k', pre) \in \text{wellformed-tree-ptrs}$

lemma *wellformed-tree-ptrs-prered-red*:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$

assumes $e = \text{bs!k!i}$ pointer $e = \text{PreRed } (k', pre, red) \text{ ps}$

shows $(bs, inp, k, red) \in \text{wellformed-tree-ptrs}$

lemma *build-tree'-induct*:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$

assumes $\bigwedge bs \text{ inp } k \text{ i.}$

$(\bigwedge e \text{ pre. } e = \text{bs!k!i} \implies \text{pointer } e = \text{Pre pre} \implies P \text{ bs inp } (k-1) \text{ pre}) \implies$

$(\bigwedge e \text{ k' pre red ps. } e = \text{bs!k!i} \implies \text{pointer } e = \text{PreRed } (k', pre, red) \text{ ps} \implies P \text{ bs inp } k' \text{ pre}) \implies$

$(\bigwedge e \text{ k' pre red ps. } e = \text{bs!k!i} \implies \text{pointer } e = \text{PreRed } (k', pre, red) \text{ ps} \implies P \text{ bs inp } k \text{ red}) \implies$

$P \text{ bs inp } k \text{ i}$

shows $P \text{ bs inp } k \text{ i}$

lemma *build-tree'-termination*:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$

shows $\exists N \text{ ts. build-tree' bs inp k i = Some (Branch N ts)}$

lemma *wf-item-tree-build-tree'*:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$

assumes $\text{wf-bins cfg inp bs}$

assumes $k < \text{length bs } i < \text{length (bs!k)}$

assumes $\text{build-tree' bs inp k i = Some } t$

shows $\text{wf-item-tree cfg (item (bs!k!i)) } t$

lemma *wf-yield-tree-build-tree'*:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$

assumes $\text{wf-bins cfg inp bs}$

assumes $k < \text{length bs } i < \text{length (bs!k)} \text{ } k \leq \text{length inp}$

assumes $\text{build-tree' bs inp k i = Some } t$

shows $\text{wf-yield-tree inp (item (bs!k!i)) } t$

theorem *wf-rule-root-yield-tree-build-tree*:

assumes $\text{wf-bins cfg inp bs sound-ptrs inp bs mono-red-ptr bs length bs = length inp} + 1$

assumes $\text{build-tree cfg inp bs = Some } t$

shows $\text{wf-rule-tree cfg } t \wedge \text{root-tree } t = \mathfrak{S} \text{ cfg} \wedge \text{yield-tree } t = \text{inp}$

corollary *wf-rule-root-yield-tree-build-tree- \mathfrak{I} -it*:

assumes $\text{wf-cfg cfg nonempty-derives cfg}$

assumes $\text{build-tree cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } t$

shows $\text{wf-rule-tree cfg } t \wedge \text{root-tree } t = \mathfrak{S} \text{ cfg} \wedge \text{yield-tree } t = \text{inp}$

theorem *correctness-build-tree- \mathfrak{I} -it*:

assumes $\text{wf-cfg cfg is-word cfg inp nonempty-derives cfg}$

shows $(\exists t. \text{build-tree cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } t) \longleftrightarrow \text{derives cfg } [\mathfrak{S} \text{ cfg}] \text{ inp}$

6.4 Parse trees

```
fun insert-group :: ('a ⇒ 'k) ⇒ ('a ⇒ 'v) ⇒ 'a ⇒ ('k × 'v list) list ⇒ ('k × 'v list) list where
  insert-group K V a [] = [(K a, [V a])]
| insert-group K V a ((k, vs)#xs) = (
  if K a = k then (k, V a # vs) # xs
  else (k, vs) # insert-group K V a xs
)
```

```
fun group-by :: ('a ⇒ 'k) ⇒ ('a ⇒ 'v) ⇒ 'a list ⇒ ('k × 'v list) list where
  group-by K V [] = []
| group-by K V (x#xs) = insert-group K V x (group-by K V xs)
```

```
partial-function (option) build-trees' :: 'a bins ⇒ 'a sentence ⇒ nat ⇒ nat ⇒ nat set ⇒ 'a forest list
option where
```

```
  build-trees' bs inp k i I = (
    let e = bs!k!i in (
      case pointer e of
        Null ⇒ Some ([FBranch (item-rule-head (item e)) []])
      | Pre pre ⇒ (
          do {
            pres ← build-trees' bs inp (k-1) pre {pre};
            those (map (λf.
              case f of
                FBranch N fss ⇒ Some (FBranch N (fss @ [[FLeaf (inp!(k-1))]]))
              | - ⇒ None
            ) pres)
          })
      | PreRed p ps ⇒ (
          let ps' = filter (λ(k', pre, red). red ∉ I) (p#ps) in
          let gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red). red) ps' in
          map-option concat (those (map (λ(k', pre, reds).
            do {
              pres ← build-trees' bs inp k' pre {pre};
              rss ← those (map (λred. build-trees' bs inp k red (I ∪ {red})) reds);
              those (map (λf.
                case f of
                  FBranch N fss ⇒ Some (FBranch N (fss @ [concat rss]))
                | - ⇒ None
              ) pres)
            })
          ) gs))
      )
  ))
```

definition *build-trees* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a bins \Rightarrow 'a forest list option **where**

```

build-trees cfg inp bs = (
  let k = length bs - 1 in
  let finished = filter-with-index ( $\lambda x$ . is-finished cfg inp x) (items (bs!k)) in
  map-option concat (those (map ( $\lambda(-, i)$ . build-trees' bs inp k i {i}) finished))
)

```

definition *wellformed-forest-ptrs* :: ('a bins \times 'a sentence \times nat \times nat \times nat set) set **where**

```

wellformed-forest-ptrs = {
  (bs, inp, k, i, I) | bs inp k i I.
    sound-ptrs inp bs  $\wedge$ 
    k < length bs  $\wedge$ 
    i < length (bs!k)  $\wedge$ 
    I  $\subseteq$  {0.. $\text{length } (bs!k)$ }  $\wedge$ 
    i  $\in$  I
}

```

fun *build-forest'-measure* :: ('a bins \times 'a sentence \times nat \times nat \times nat set) \Rightarrow nat **where**

```

build-forest'-measure (bs, inp, k, i, I) = foldl (+) 0 (map length (take (k+1) bs)) - card I

```

lemma *wellformed-forest-ptrs-pre*:

```

assumes (bs, inp, k, i, I)  $\in$  wellformed-forest-ptrs
assumes e = bs!k!i pointer e = Pre pre
shows (bs, inp, (k-1), pre, {pre})  $\in$  wellformed-forest-ptrs

```

lemma *wellformed-forest-ptrs-prered-pre*:

```

assumes (bs, inp, k, i, I)  $\in$  wellformed-forest-ptrs
assumes e = bs!k!i pointer e = PreRed p ps
assumes ps' = filter ( $\lambda(k', pre, red)$ . red  $\notin$  I) (p#ps)
assumes gs = group-by ( $\lambda(k', pre, red)$ . (k', pre)) ( $\lambda(k', pre, red)$ . red) ps'
assumes ((k', pre), reds)  $\in$  set gs
shows (bs, inp, k', pre, {pre})  $\in$  wellformed-forest-ptrs

```

lemma *wellformed-forest-ptrs-prered-red*:

```

assumes (bs, inp, k, i, I)  $\in$  wellformed-forest-ptrs
assumes e = bs!k!i pointer e = PreRed p ps
assumes ps' = filter ( $\lambda(k', pre, red)$ . red  $\notin$  I) (p#ps)
assumes gs = group-by ( $\lambda(k', pre, red)$ . (k', pre)) ( $\lambda(k', pre, red)$ . red) ps'
assumes ((k', pre), reds)  $\in$  set gs red  $\in$  set reds
shows (bs, inp, k, red, I  $\cup$  {red})  $\in$  wellformed-forest-ptrs

```

lemma *build-trees'-induct*:

```

assumes (bs, inp, k, i, I)  $\in$  wellformed-forest-ptrs
assumes  $\bigwedge$  bs inp k i I.
  ( $\bigwedge$  e pre. e = bs!k!i  $\Rightarrow$  pointer e = Pre pre  $\Rightarrow$  P bs inp (k-1) pre {pre})  $\Rightarrow$ 
  ( $\bigwedge$  e p ps ps' gs k' pre reds. e = bs!k!i  $\Rightarrow$  pointer e = PreRed p ps  $\Rightarrow$ 

```

$ps' = \text{filter } (\lambda(k', pre, red). red \notin I) (p\#ps) \implies$
 $gs = \text{group-by } (\lambda(k', pre, red). (k', pre)) (\lambda(k', pre, red). red) ps' \implies$
 $((k', pre), reds) \in \text{set } gs \implies P \text{ bs inp } k' pre \{pre\} \implies$
 $(\wedge e p ps ps' gs k' pre red reds reds'. e = \text{bs!k!i} \implies \text{pointer } e = \text{PreRed } p ps \implies$
 $ps' = \text{filter } (\lambda(k', pre, red). red \notin I) (p\#ps) \implies$
 $gs = \text{group-by } (\lambda(k', pre, red). (k', pre)) (\lambda(k', pre, red). red) ps' \implies$
 $((k', pre), reds) \in \text{set } gs \implies red \in \text{set } reds \implies P \text{ bs inp } k red (I \cup \{red\})) \implies$
 $P \text{ bs inp } k i I$
shows $P \text{ bs inp } k i I$
lemma *build-trees'-termination*:
assumes $(bs, \text{inp}, k, i, I) \in \text{wellformed-forest-ptrs}$
shows $\exists fs. \text{build-trees}' \text{ bs inp } k i I = \text{Some } fs \wedge (\forall f \in \text{set } fs. \exists N fss. f = \text{FBranch } N fss)$
lemma *wf-item-tree-build-trees'*:
assumes $(bs, \text{inp}, k, i, I) \in \text{wellformed-forest-ptrs}$
assumes $\text{wf-bins cfg inp bs}$
assumes $k < \text{length } bs \ i < \text{length } (bs!k)$
assumes $\text{build-trees}' \text{ bs inp } k i I = \text{Some } fs$
assumes $f \in \text{set } fs$
assumes $t \in \text{set } (\text{trees } f)$
shows $\text{wf-item-tree cfg (item (bs!k!i)) } t$
lemma *wf-yield-tree-build-trees'*:
assumes $(bs, \text{inp}, k, i, I) \in \text{wellformed-forest-ptrs}$
assumes $\text{wf-bins cfg inp bs}$
assumes $k < \text{length } bs \ i < \text{length } (bs!k) \ k \leq \text{length } \text{inp}$
assumes $\text{build-trees}' \text{ bs inp } k i I = \text{Some } fs$
assumes $f \in \text{set } fs$
assumes $t \in \text{set } (\text{trees } f)$
shows $\text{wf-yield-tree inp (item (bs!k!i)) } t$
theorem *wf-rule-root-yield-tree-build-trees*:
assumes $\text{wf-bins cfg inp bs sound-ptrs inp bs length } bs = \text{length } \text{inp} + 1$
assumes $\text{build-trees cfg inp bs} = \text{Some } fs \ f \in \text{set } fs \ t \in \text{set } (\text{trees } f)$
shows $\text{wf-rule-tree cfg } t \wedge \text{root-tree } t = \mathfrak{S} \text{ cfg} \wedge \text{yield-tree } t = \text{inp}$
corollary *wf-rule-root-yield-tree-build-trees- \mathfrak{I} -it*:
assumes $\text{wf-cfg cfg nonempty-derives cfg}$
assumes $\text{build-trees cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } fs \ f \in \text{set } fs \ t \in \text{set } (\text{trees } f)$
shows $\text{wf-rule-tree cfg } t \wedge \text{root-tree } t = \mathfrak{S} \text{ cfg} \wedge \text{yield-tree } t = \text{inp}$
theorem *soundness-build-trees- \mathfrak{I} -it*:
assumes $\text{wf-cfg cfg is-word cfg inp nonempty-derives cfg}$
assumes $\text{build-trees cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } fs \ f \in \text{set } fs \ t \in \text{set } (\text{trees } f)$
shows $\text{derives cfg } [\mathfrak{S} \text{ cfg}] \text{ inp}$
theorem *termination-build-tree- \mathfrak{I} -it*:
assumes $\text{wf-cfg cfg nonempty-derives cfg derives cfg } [\mathfrak{S} \text{ cfg}] \text{ inp}$
shows $\exists fs. \text{build-trees cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } fs$

6.5 A word on completeness

7 Examples

7.1 epsilon free CFG

definition $\varepsilon\text{-free} :: 'a \text{ cfg} \Rightarrow \text{bool}$ **where**
 $\varepsilon\text{-free } \text{cfg} \longleftrightarrow (\forall r \in \text{set } (\mathfrak{R} \text{ cfg}). \text{rule-body } r \neq [])$

lemma $\varepsilon\text{-free-impl-non-empty-deriv}$:
 $\varepsilon\text{-free } \text{cfg} \Longrightarrow N \in \text{set } (\mathfrak{N} \text{ cfg}) \Longrightarrow \neg \text{derives } \text{cfg } [N] []$

7.2 Example 1: Addition

datatype $t1 = x \mid \text{plus}$
datatype $n1 = S$
datatype $s1 = \text{Terminal } t1 \mid \text{Nonterminal } n1$

definition $\text{nonterminals1} :: s1 \text{ list}$ **where**
 $\text{nonterminals1} = [\text{Nonterminal } S]$

definition $\text{terminals1} :: s1 \text{ list}$ **where**
 $\text{terminals1} = [\text{Terminal } x, \text{Terminal } \text{plus}]$

definition $\text{rules1} :: s1 \text{ rule list}$ **where**
 $\text{rules1} = [$
 $(\text{Nonterminal } S, [\text{Terminal } x]),$
 $(\text{Nonterminal } S, [\text{Nonterminal } S, \text{Terminal } \text{plus}, \text{Nonterminal } S])$
]

definition $\text{start-symbol1} :: s1$ **where**
 $\text{start-symbol1} = \text{Nonterminal } S$

definition $\text{cfg1} :: s1 \text{ cfg}$ **where**
 $\text{cfg1} = \text{CFG } \text{nonterminals1 } \text{terminals1 } \text{rules1 } \text{start-symbol1}$

definition $\text{inp1} :: s1 \text{ list}$ **where**
 $\text{inp1} = [\text{Terminal } x, \text{Terminal } \text{plus}, \text{Terminal } x, \text{Terminal } \text{plus}, \text{Terminal } x]$

lemma wf-cfg1 :

```

wf-cfg cfg1
lemma is-word-inp1:
  is-word cfg1 inp1
lemma nonempty-derives1:
  nonempty-derives cfg1
lemma correctness1:
  earley-recognized-it ( $\mathcal{I}$ -it cfg1 inp1) cfg1 inp1  $\longleftrightarrow$  derives cfg1 [ $\mathcal{S}$  cfg1] inp1
fun size-bins :: 'a bins  $\Rightarrow$  nat where
  size-bins bs = fold (+) (map length bs) 0

value  $\mathcal{I}$ -it cfg1 inp1
value size-bins ( $\mathcal{I}$ -it cfg1 inp1)
value earley-recognized-it ( $\mathcal{I}$ -it cfg1 inp1) cfg1 inp1
value build-trees cfg1 inp1 ( $\mathcal{I}$ -it cfg1 inp1)
value map-option (map trees) (build-trees cfg1 inp1 ( $\mathcal{I}$ -it cfg1 inp1))
value map-option (foldl (+) 0  $\circ$  map length) (map-option (map trees) (build-trees cfg1 inp1 ( $\mathcal{I}$ -it cfg1 inp1)))

```

7.2.1 Example 2: Cyclic reduction pointers

```

datatype t2 = x
datatype n2 = A | B
datatype s2 = Terminal t2 | Nonterminal n2

definition nonterminals2 :: s2 list where
  nonterminals2 = [Nonterminal A, Nonterminal B]

```

```

definition terminals2 :: s2 list where
  terminals2 = [Terminal x]

```

```

definition rules2 :: s2 rule list where
  rules2 = [
    (Nonterminal B, [Nonterminal A]),
    (Nonterminal A, [Nonterminal B]),
    (Nonterminal A, [Terminal x])
  ]

```

```

definition start-symbol2 :: s2 where
  start-symbol2 = Nonterminal A

```

```

definition cfg2 :: s2 cfg where
  cfg2 = CFG nonterminals2 terminals2 rules2 start-symbol2

```

```

definition inp2 :: s2 list where

```


inp2 = [Terminal *x*]

lemma *wf-cfg2*:

wf-cfg *cfg2*

lemma *is-word-inp2*:

is-word *cfg2* *inp2*

lemma *nonempty-derives2*:

nonempty-derives *cfg2*

lemma *correctness2*:

earley-recognized-it (*ℑ-it* *cfg2* *inp2*) *cfg2* *inp2* \longleftrightarrow *derives* *cfg2* [\mathfrak{S} *cfg2*] *inp2*

value *ℑ-it* *cfg2* *inp2*

value *earley-recognized-it* (*ℑ-it* *cfg2* *inp2*) *cfg2* *inp2*

value *build-trees* *cfg2* *inp2* (*ℑ-it* *cfg2* *inp2*)

value *map-option* (*map trees*) (*build-trees* *cfg2* *inp2* (*ℑ-it* *cfg2* *inp2*))

8 Conclusion

8.1 Summary

8.2 Future Work

9 Templates

9.1 Section

Citation test [**latex**].

9.1.1 Subsection

See [Table 9.1](#), [Figure 9.1](#), [Figure 9.2](#), [Figure 9.3](#).

Table 9.1: An example for a simple table.

A	B	C	D
1	2	1	2
2	3	2	3

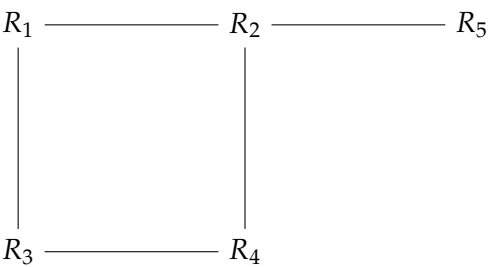


Figure 9.1: An example for a simple drawing.

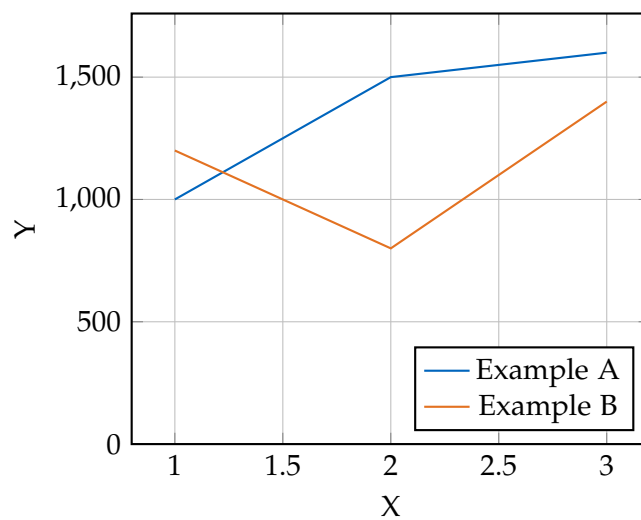


Figure 9.2: An example for a simple plot.

```
SELECT * FROM tbl WHERE tbl.str = "str"
```

Figure 9.3: An example for a source code listing.

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