



DEPARTMENT OF INFORMATICS

TECHNISCHE UNIVERSITÄT MÜNCHEN

Master's Thesis in Informatics

Formal Verification of an Earley Parser

Martin Rau



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Formale Verifikation eines Earley Parsers

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I confirm that this master's thesis in informatics is my own work and I have documented all sources and material used.

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Martin Rau

Acknowledgments

TODO: Acknowledgments

Abstract

TODO: Abstract

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1 QUESTIONS

- How much explain the proofs?
- How reference thm names?
- How to get rid of where?
- How to turn blau assumes shows fun ... keywords?

2 Snippets

2.1 Earley

2.2 Jones

2.3 Scott

3 Introduction

3.1 Motivation

some introduction about parsing, formal development of correct algorithms: an example based on earley's recogniser, the benefits of formal methods, LocalLexing and the Bachelor thesis.

work with the snippets, reformulate!

3.2 Structure

standard blabla

3.3 Related Work

see folder and bibliography

3.4 Contributions

what did I do, what is new

3.5 Isabelle/HOL

take closest pair paper section and add additional notation as needed, also discuss the different representations of lemmas and definitions (separate or within the text)

4 Earley's Algorithm

4.1 Draft

- Introduce background theory about CFG
- Introduce the Earley recognizer in the abstract set form with pointer, note the original error in Earley's algorithm
- Introduce the running example $S \rightarrow x \mid S + S$ for input $x + x + x$
- Illustrate the complete bins generated by the example
- Illustrate Initial $S \rightarrow .\alpha, 0, 0$, Scan $A \rightarrow \alpha.a\beta, i, j \mid A \rightarrow \alpha.\beta, i, j+1$, Predict $A \rightarrow \alpha.B\beta, i, j$ and $B \rightarrow \gamma \mid B \rightarrow .\gamma, j, j$, Complete $A \rightarrow \alpha.B\beta, i, j$ and $B \rightarrow \gamma, j, k \mid A \rightarrow \alpha B.\beta, i, k$
- Define goal: $A \rightarrow \alpha.\beta, i, j$ iff $A \Rightarrow^* s[i..j)\beta$ which implies $S \rightarrow \alpha., 0, n+1$ iff $S \Rightarrow^* s$

TODO: Add nicer syntax for derives

4.2 Background Theory

use snippets

type-synonym $'a \text{ rule} = 'a \times 'a \text{ list}$

type-synonym $'a \text{ rules} = 'a \text{ rule list}$

type-synonym $'a \text{ sentence} = 'a \text{ list}$

datatype 'a cfg =

CFG
 (N : 'a list)
 (T : 'a list)
 (R : 'a rules)
 (S : 'a)

definition *disjunct-symbols* :: 'a cfg \Rightarrow bool **where**
disjunct-symbols cfg \longleftrightarrow set (N cfg) \cap set (T cfg) = {}

definition *valid-startsymbol* :: 'a cfg \Rightarrow bool **where**
valid-startsymbol cfg \longleftrightarrow S cfg \in set (N cfg)

definition *valid-rules* :: 'a cfg \Rightarrow bool **where**
valid-rules cfg \longleftrightarrow ($\forall (N, \alpha) \in$ set (R cfg). $N \in$ set (N cfg) \wedge ($\forall s \in$ set α . $s \in$ set (N cfg) \cup set (T cfg)))

definition *distinct-rules* :: 'a cfg \Rightarrow bool **where**
distinct-rules cfg = distinct (R cfg)

definition *wf-cfg* :: 'a cfg \Rightarrow bool **where**
wf-cfg cfg \longleftrightarrow *disjunct-symbols* cfg \wedge *valid-startsymbol* cfg \wedge *valid-rules* cfg \wedge *distinct-rules* cfg

definition *is-terminal* :: 'a cfg \Rightarrow 'a \Rightarrow bool **where**
is-terminal cfg s = (s \in set (T cfg))

definition *is-nonterminal* :: 'a cfg \Rightarrow 'a \Rightarrow bool **where**
is-nonterminal cfg s = (s \in set (N cfg))

definition *is-symbol* :: 'a cfg \Rightarrow 'a \Rightarrow bool **where**
is-symbol cfg s \longleftrightarrow *is-terminal* cfg s \vee *is-nonterminal* cfg s

definition *wf-sentence* :: 'a cfg \Rightarrow 'a sentence \Rightarrow bool **where**
wf-sentence cfg s = ($\forall x \in$ set s. *is-symbol* cfg x)

definition *is-word* :: 'a cfg \Rightarrow 'a sentence \Rightarrow bool **where**
is-word cfg s = ($\forall x \in$ set s. *is-terminal* cfg x)

definition *derives1* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a sentence \Rightarrow bool **where**
derives1 cfg u v =
 ($\exists x y N \alpha$.
 $u = x @ [N] @ y$
 $\wedge v = x @ \alpha @ y$
 $\wedge (N, \alpha) \in$ set (R cfg))

definition $derivations1 :: 'a\ cfg \Rightarrow ('a\ sentence \times 'a\ sentence)\ set$ **where**
 $derivations1\ cfg = \{ (u,v) \mid u\ v.\ derivations1\ cfg\ u\ v \}$

definition $derivations :: 'a\ cfg \Rightarrow ('a\ sentence \times 'a\ sentence)\ set$ **where**
 $derivations\ cfg = (derivations1\ cfg)^*$

definition $derives :: 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow 'a\ sentence \Rightarrow bool$ **where**
 $derives\ cfg\ u\ v = ((u, v) \in derivations\ cfg)$

4.3 Earley Recognizer

5 Earley Formalization

5.1 Draft

- explain the auxiliary definitions until `earley_recognized`, the small ones incorporated into text, the big ones as definitions
- explain `Init`, `Scan`, `Predict`, `Complete` REFERENCE and relate them back to the previous chapter
- explain fixpoint iteration REFERENCE and iteration over all bins
- illustrate the running example in this algorithm
- explain wellformedness proof
- explain soundness definitions and proof
- explain monotonicity and absorption proofs
- explain completeness proof, this one in great detail!
- explain finiteness proof

5.2 Definitions

```
fun slice :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list where  
  slice - - [] = []  
| slice - 0 (x#xs) = []
```

| $\text{slice } 0 \text{ (Suc } b) \text{ (} x\#xs) = x \# \text{slice } 0 \text{ } b \text{ } xs$
| $\text{slice (Suc } a) \text{ (Suc } b) \text{ (} x\#xs) = \text{slice } a \text{ } b \text{ } xs$

definition $\text{rule-head} :: 'a \text{ rule} \Rightarrow 'a \text{ where}$
 $\text{rule-head} = \text{fst}$

definition $\text{rule-body} :: 'a \text{ rule} \Rightarrow 'a \text{ list where}$
 $\text{rule-body} = \text{snd}$

datatype $'a \text{ item} =$
 Item
 $(\text{item-rule} : 'a \text{ rule})$
 $(\text{item-dot} : \text{nat})$
 $(\text{item-origin} : \text{nat})$
 $(\text{item-end} : \text{nat})$

type-synonym $'a \text{ items} = 'a \text{ item set}$

definition $\text{item-rule-head} :: 'a \text{ item} \Rightarrow 'a \text{ where}$
 $\text{item-rule-head } x = \text{rule-head (item-rule } x)$

definition $\text{item-rule-body} :: 'a \text{ item} \Rightarrow 'a \text{ sentence where}$
 $\text{item-rule-body } x = \text{rule-body (item-rule } x)$

definition $\text{item-}\alpha :: 'a \text{ item} \Rightarrow 'a \text{ sentence where}$
 $\text{item-}\alpha \text{ } x = \text{take (item-dot } x) (\text{item-rule-body } x)$

definition $\text{item-}\beta :: 'a \text{ item} \Rightarrow 'a \text{ sentence where}$
 $\text{item-}\beta \text{ } x = \text{drop (item-dot } x) (\text{item-rule-body } x)$

definition $\text{init-item} :: 'a \text{ rule} \Rightarrow \text{nat} \Rightarrow 'a \text{ item where}$
 $\text{init-item } r \text{ } k = \text{Item } r \text{ } 0 \text{ } k \text{ } k$

definition $\text{is-complete} :: 'a \text{ item} \Rightarrow \text{bool where}$
 $\text{is-complete } x = (\text{item-dot } x \geq \text{length (item-rule-body } x))$

definition $\text{next-symbol} :: 'a \text{ item} \Rightarrow 'a \text{ option where}$
 $\text{next-symbol } x = (\text{if is-complete } x \text{ then None else Some ((item-rule-body } x) ! (\text{item-dot } x)))$

definition $\text{inc-item} :: 'a \text{ item} \Rightarrow \text{nat} \Rightarrow 'a \text{ item where}$
 $\text{inc-item } x \text{ } k = \text{Item (item-rule } x) (\text{item-dot } x + 1) (\text{item-origin } x) \text{ } k$

definition $\text{bin} :: 'a \text{ items} \Rightarrow \text{nat} \Rightarrow 'a \text{ items where}$
 $\text{bin } I \text{ } k = \{ x . x \in I \wedge \text{item-end } x = k \}$

definition $wf\text{-}item :: 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow 'a\ item \Rightarrow bool$ **where**
 $wf\text{-}item\ cfg\ inp\ x =$
 $\quad item\text{-}rule\ x \in set\ (\mathfrak{R}\ cfg) \wedge$
 $\quad item\text{-}dot\ x \leq length\ (item\text{-}rule\text{-}body\ x) \wedge$
 $\quad item\text{-}origin\ x \leq item\text{-}end\ x \wedge$
 $\quad item\text{-}end\ x \leq length\ inp)$

definition $wf\text{-}items :: 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow 'a\ items \Rightarrow bool$ **where**
 $wf\text{-}items\ cfg\ inp\ I = (\forall x \in I. wf\text{-}item\ cfg\ inp\ x)$

definition $is\text{-}finished :: 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow 'a\ item \Rightarrow bool$ **where**
 $is\text{-}finished\ cfg\ inp\ x \longleftrightarrow$
 $\quad item\text{-}rule\text{-}head\ x = \mathfrak{S}\ cfg \wedge$
 $\quad item\text{-}origin\ x = 0 \wedge$
 $\quad item\text{-}end\ x = length\ inp \wedge$
 $\quad is\text{-}complete\ x)$

definition $earley\text{-}recognized :: 'a\ items \Rightarrow 'a\ cfg \Rightarrow 'a\ sentence \Rightarrow bool$ **where**
 $earley\text{-}recognized\ I\ cfg\ inp = (\exists x \in I. is\text{-}finished\ cfg\ inp\ x)$

definition $Init :: 'a\ cfg \Rightarrow 'a\ items$ **where**
 $Init\ cfg = \{ init\text{-}item\ r\ 0 \mid r. r \in set\ (\mathfrak{R}\ cfg) \wedge fst\ r = (\mathfrak{S}\ cfg) \}$

definition $Scan :: nat \Rightarrow 'a\ sentence \Rightarrow 'a\ items \Rightarrow 'a\ items$ **where**
 $Scan\ k\ inp\ I =$
 $\quad \{ inc\text{-}item\ x\ (k+1) \mid x\ a.$
 $\quad \quad x \in bin\ I\ k \wedge$
 $\quad \quad inp!k = a \wedge$
 $\quad \quad k < length\ inp \wedge$
 $\quad \quad next\text{-}symbol\ x = Some\ a \}$

definition $Predict :: nat \Rightarrow 'a\ cfg \Rightarrow 'a\ items \Rightarrow 'a\ items$ **where**
 $Predict\ k\ cfg\ I =$
 $\quad \{ init\text{-}item\ r\ k \mid r\ x.$
 $\quad \quad r \in set\ (\mathfrak{R}\ cfg) \wedge$
 $\quad \quad x \in bin\ I\ k \wedge$
 $\quad \quad next\text{-}symbol\ x = Some\ (rule\text{-}head\ r) \}$

definition $Complete :: nat \Rightarrow 'a\ items \Rightarrow 'a\ items$ **where**
 $Complete\ k\ I =$
 $\quad \{ inc\text{-}item\ x\ k \mid x\ y.$
 $\quad \quad x \in bin\ I\ (item\text{-}origin\ y) \wedge$
 $\quad \quad y \in bin\ I\ k \wedge$

is-complete $y \wedge$
next-symbol $x = \text{Some } (\text{item-rule-head } y) \}$

fun *funpower* :: ($'a \Rightarrow 'a$) \Rightarrow $\text{nat} \Rightarrow ('a \Rightarrow 'a)$ **where**
funpower f 0 $x = x$
| *funpower* f (Suc n) $x = f$ (*funpower* f n x)

definition *natUnion* :: ($\text{nat} \Rightarrow 'a \text{ set}$) $\Rightarrow 'a \text{ set}$ **where**
natUnion $f = \bigcup \{ f\ n \mid n. \text{True} \}$

definition *limit* :: ($'a \text{ set} \Rightarrow 'a \text{ set}$) $\Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$ **where**
limit $f\ x = \text{natUnion } (\lambda n. \text{funpower } f\ n\ x)$

definition π -step :: $\text{nat} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ items} \Rightarrow 'a \text{ items}$ **where**
 π -step $k\ \text{cfg}\ \text{inp}\ I = I \cup \text{Scan } k\ \text{inp}\ I \cup \text{Complete } k\ I \cup \text{Predict } k\ \text{cfg}\ I$

definition π :: $\text{nat} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ items} \Rightarrow 'a \text{ items}$ **where**
 $\pi\ k\ \text{cfg}\ \text{inp}\ I = \text{limit } (\pi\text{-step } k\ \text{cfg}\ \text{inp})\ I$

fun \mathcal{I} :: $\text{nat} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ items}$ **where**
 \mathcal{I} 0 $\text{cfg}\ \text{inp} = \pi$ 0 $\text{cfg}\ \text{inp}$ (Init cfg)
| \mathcal{I} (Suc n) $\text{cfg}\ \text{inp} = \pi$ (Suc n) $\text{cfg}\ \text{inp}$ ($\mathcal{I}\ n\ \text{cfg}\ \text{inp}$)

definition \mathcal{J} :: $'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ items}$ **where**
 $\mathcal{J}\ \text{cfg}\ \text{inp} = \mathcal{I}$ (length inp) $\text{cfg}\ \text{inp}$

5.3 Wellformedness

lemma *wf-Init*:
assumes $x \in \text{Init } \text{cfg}$
shows *wf-item* $\text{cfg}\ \text{inp}\ x$
by definition

lemma *wf-Scan-Predict-Complete*:
assumes *wf-items* $\text{cfg}\ \text{inp}\ I$
shows *wf-items* $\text{cfg}\ \text{inp}$ ($\text{Scan } k\ \text{inp}\ I \cup \text{Predict } k\ \text{cfg}\ I \cup \text{Complete } k\ I$)
by definition

lemma *wf- π -step*:
assumes *wf-items* $\text{cfg}\ \text{inp}\ I$
shows *wf-items* $\text{cfg}\ \text{inp}$ (π -step $k\ \text{cfg}\ \text{inp}\ I$)
wf-Scan-Predict-Complete by definition

lemma *wf-funpower*:
assumes *wf-items cfg inp I*
shows *wf-items cfg inp (funpower (π -step k cfg inp) n I)*

wf- π -step, by induction on n

lemma *wf- π* :
assumes *wf-items cfg inp I*
shows *wf-items cfg inp (π k cfg inp I)*

wf-funpower by definition

lemma *wf- $\pi 0$* :
wf-items cfg inp (π 0 cfg inp (Init cfg))

wf-Init wf- π by definition

lemma *wf- \mathcal{I}* :
wf-items cfg inp (\mathcal{I} n cfg inp)

wf- $\pi 0$ wf- π by induction on n

lemma *wf- \mathcal{J}* :
wf-items cfg inp (\mathcal{J} cfg inp)

wf- \mathcal{I} by definition

5.4 Soundness

definition *sound-item* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a item \Rightarrow bool **where**
sound-item cfg inp x = derives cfg [item-rule-head x] (slice (item-origin x) (item-end x) inp @ item- β x)

definition *sound-items* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a items \Rightarrow bool **where**
sound-items cfg inp I = ($\forall x \in I$. sound-item cfg inp x)

lemma *sound-Init*:
sound-items cfg inp (Init cfg)

lemma *sound-item-inc-item*:
assumes *wf-item cfg inp x sound-item cfg inp x*
assumes *next-symbol x = Some a k < length inp inp!k = a item-end x = k*
shows *sound-item cfg inp (inc-item x (k+1))*

lemma *sound-Scan*:
assumes *wf-items cfg inp I sound-items cfg inp I*
shows *sound-items cfg inp (Scan k inp I)*

lemma *sound-Predict*:
assumes *sound-items cfg inp I*

shows *sound-items cfg inp* (*Predict k cfg I*)

lemma *sound-Complete*:

assumes *wf-items cfg inp I sound-items cfg inp I*

shows *sound-items cfg inp* (*Complete k I*)

lemma *sound- π -step*:

assumes *wf-items cfg inp I sound-items cfg inp I*

shows *sound-items cfg inp* (*π -step k cfg inp I*)

lemma *sound-funpower*:

assumes *wf-items cfg inp I sound-items cfg inp I*

shows *sound-items cfg inp* (*funpower (π -step k cfg inp) n I*)

lemma *sound- π* :

assumes *wf-items cfg inp I sound-items cfg inp I*

shows *sound-items cfg inp* (*π k cfg inp I*)

lemma *sound- $\pi 0$* :

sound-items cfg inp (*π 0 cfg inp* (*Init cfg*))

lemma *sound- \mathcal{I}* :

sound-items cfg inp (*\mathcal{I} k cfg inp*)

lemma *sound- \mathcal{J}* :

sound-items cfg inp (*\mathcal{J} cfg inp*)

theorem *soundness*:

earley-recognized (*\mathcal{J} cfg inp*) *cfg inp* \implies *derives cfg* [*\mathcal{S} cfg*] *inp*

5.5 Monotonicity and Absorption

lemma *π -idem*:

π k cfg inp (*π k cfg inp I*) = *π k cfg inp I*

lemma *Scan-bin-absorb*:

Scan k inp (*bin I k*) = *Scan k inp I*

lemma *Predict-bin-absorb*:

Predict k cfg (*bin I k*) = *Predict k cfg I*

lemma *Complete-bin-absorb*:

Complete k (*bin I k*) \subseteq *Complete k I*

lemma *Scan-Predict-Complete-sub-mono*:

assumes *I \subseteq J*

shows *Scan k inp I* \subseteq *Scan k inp J* *Predict k cfg I* \subseteq *Predict k cfg J* *Complete k I* \subseteq *Complete k J*

lemma *π -step-sub-mono*:

assumes *I \subseteq J*

shows *π -step k cfg inp I* \subseteq *π -step k cfg inp J*

lemma *funpower-sub-mono*:

assumes *I \subseteq J*

shows *funpower (π -step k cfg inp) n I* \subseteq *funpower (π -step k cfg inp) n J*

lemma *π -sub-mono*:

assumes *I \subseteq J*

shows $\pi k \text{ cfg inp } I \subseteq \pi k \text{ cfg inp } J$

lemma *Scan-Predict-Complete- π -step-mono*:

Scan $k \text{ inp } I \cup \text{Predict } k \text{ cfg } I \cup \text{Complete } k I \subseteq \pi\text{-step } k \text{ cfg inp } I$

lemma *π -step- π -mono*:

$\pi\text{-step } k \text{ cfg inp } I \subseteq \pi k \text{ cfg inp } I$

lemma *Scan-Predict-Complete- π -mono*:

Scan $k \text{ inp } I \cup \text{Predict } k \text{ cfg } I \cup \text{Complete } k I \subseteq \pi k \text{ cfg inp } I$

lemma *π -mono*:

$I \subseteq \pi k \text{ cfg inp } I$

lemma *Scan-bin-empty*:

assumes $i \neq k \ i \neq k+1$

shows $\text{bin } (\text{Scan } k \text{ inp } I) i = \{\}$

lemma *Predict-bin-empty*:

assumes $i \neq k$

shows $\text{bin } (\text{Predict } k \text{ cfg } I) i = \{\}$

lemma *Complete-bin-empty*:

assumes $i \neq k$

shows $\text{bin } (\text{Complete } k I) i = \{\}$

lemma *π -step-bin-absorb*:

assumes $i \neq k \ i \neq k + 1$

shows $\text{bin } (\pi\text{-step } k \text{ cfg inp } I) i = \text{bin } I i$

lemma *funpower-bin-absorb*:

assumes $i \neq k \ i \neq k+1$

shows $\text{bin } (\text{funpower } (\pi\text{-step } k \text{ cfg inp}) n I) i = \text{bin } I i$

lemma *π -bin-absorb*:

assumes $i \neq k \ i \neq k+1$

shows $\text{bin } (\pi k \text{ cfg inp } I) i = \text{bin } I i$

5.6 Completeness

lemma *Scan- \mathcal{I}* :

assumes $i+1 \leq k \leq \text{length inp } x \in \text{bin } (\mathcal{I} k \text{ cfg inp}) i$

assumes $\text{next-symbol } x = \text{Some } a \text{ inp!}i = a$

shows $\text{inc-item } x (i+1) \in \mathcal{I} k \text{ cfg inp}$

lemma *Predict- \mathcal{I}* :

assumes $i \leq k \ x \in \text{bin } (\mathcal{I} k \text{ cfg inp}) i \text{ next-symbol } x = \text{Some } N \ (N, \alpha) \in \text{set } (\mathfrak{R} \text{ cfg})$

shows $\text{init-item } (N, \alpha) i \in \mathcal{I} k \text{ cfg inp}$

lemma *Complete- \mathcal{I}* :

assumes $i \leq j \leq k \ x \in \text{bin } (\mathcal{I} k \text{ cfg inp}) i \text{ next-symbol } x = \text{Some } N \ (N, \alpha) \in \text{set } (\mathfrak{R} \text{ cfg})$

assumes $i = \text{item-origin } y \ y \in \text{bin } (\mathcal{I} k \text{ cfg inp}) j \text{ item-rule } y = (N, \alpha) \text{ is-complete } y$

shows $\text{inc-item } x j \in \mathcal{I} k \text{ cfg inp}$

type-synonym $'a \text{ derivation} = (\text{nat} \times 'a \text{ rule}) \text{ list}$

definition *Derives1* :: 'a cfg \Rightarrow 'a sentence \Rightarrow nat \Rightarrow 'a rule \Rightarrow 'a sentence \Rightarrow bool **where**

Derives1 cfg u i r v =
 (\exists x y N α .
 u = x @ [N] @ y
 \wedge v = x @ α @ y
 \wedge (N, α) \in set (\mathfrak{R} cfg)
 \wedge r = (N, α) \wedge i = length x)

fun *Derivation* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a derivation \Rightarrow 'a sentence \Rightarrow bool **where**

Derivation - a [] b = (a = b)
 | *Derivation* cfg a (d#D) b = (\exists x. *Derives1* cfg a (fst d) (snd d) x \wedge *Derivation* cfg x D b)

definition *partially-completed* :: nat \Rightarrow 'a cfg \Rightarrow 'a sentence \Rightarrow 'a items \Rightarrow ('a derivation \Rightarrow bool) \Rightarrow bool **where**

partially-completed k cfg inp I P = (
 \forall i j x a D.
 i \leq j \wedge j \leq k \wedge k \leq length inp \wedge
 x \in bin I i \wedge next-symbol x = Some a \wedge
 Derivation cfg [a] D (slice i j inp) \wedge P D \longrightarrow
 inc-item x j \in I
)

lemma *fully-completed*:

assumes j \leq k k \leq length inp
assumes x = Item (N, α) d i j x \in I wf-items cfg inp I
assumes *Derivation* cfg (item- β x) D (slice j k inp)
assumes *partially-completed* k cfg inp I (λ D'. length D' \leq length D)
shows Item (N, α) (length α) i k \in I

lemma *partially-completed-I*:

assumes wf-cfg cfg
shows *partially-completed* k cfg inp (\mathcal{I} k cfg inp) (λ -. True)

lemma *partially-completed-J*:

assumes wf-cfg cfg
shows *partially-completed* (length inp) cfg inp (\mathcal{J} cfg inp) (λ -. True)

theorem *completeness*:

assumes derives cfg [\mathcal{S} cfg] inp is-word cfg inp wf-cfg cfg
shows earley-recognized (\mathcal{J} cfg inp) cfg inp

corollary

assumes wf-cfg cfg is-word cfg inp
shows earley-recognized (\mathcal{J} cfg inp) cfg inp \longleftrightarrow derives cfg [\mathcal{S} cfg] inp

5.7 Finiteness

lemma *finiteness-UNIV-wf-item:*

finite { x | x. wf-item cfg inp x }

theorem *finiteness:*

finite (I cfg inp)

6 Draft

- introduce auxiliary definitions: `filter_with_index`, `pointer`, `entry` in more detail most everything else in text
- overview over earley implementation with linked list and pointers and the mapping into a functional setting
- introduce `Init_it`, `Scan_it`, `Predict_it` and `Complete_it`, compare them with the set notation and discuss performance improvements (Grammar in more specific form) Why do they all return a list?!
- discuss `bin(s)_upd(s)` functions. Why `bin_upds` like this -> easier than fold for proofs!
- discuss `pi_it` and why it is a partial function -> only terminates for valid input and foreshadow how this is done in isabelle
- introduce remaining definitions (analog to sets)
- discuss wf proofs quickly and go into detail about isabelle specifics about termination and the custom induction scheme using finiteness
- outline the approach to proof correctness aka subsumption in both directions
- discuss list to set proofs
- discuss soundness proofs (maybe omit since obvious)

- discuss completeness proof focusing on the complete case shortly explaining scan and predict which don't change via iteration and order does not matter
- highlight main theorems

7 Earley Recognizer Implementation

7.1 Definitions

fun *filter-with-index'* :: *nat* \Rightarrow (*'a* \Rightarrow *bool*) \Rightarrow *'a list* \Rightarrow (*'a* \times *nat*) *list* **where**
 filter-with-index' - - [] = []
 | *filter-with-index'* *i* *P* (*x*#*xs*) = (
 if *P* *x* then (*x*,*i*) # *filter-with-index'* (*i*+1) *P* *xs*
 else *filter-with-index'* (*i*+1) *P* *xs*)

definition *filter-with-index* :: (*'a* \Rightarrow *bool*) \Rightarrow *'a list* \Rightarrow (*'a* \times *nat*) *list* **where**
 filter-with-index *P* *xs* = *filter-with-index'* 0 *P* *xs*

datatype *pointer* =
 Null
 | *Pre nat*
 | *PreRed nat* \times *nat* \times *nat* (*nat* \times *nat* \times *nat*) *list*

datatype *'a entry* =
 Entry
 (*item* : *'a item*)
 (*pointer* : *pointer*)

type-synonym *'a bin* = *'a entry list*

type-synonym *'a bins* = *'a bin list*

definition *items* :: *'a bin* \Rightarrow *'a item list* **where**
 items *b* = *map item b*

definition *pointers* :: *'a bin* \Rightarrow *pointer list* **where**
 pointers *b* = *map pointer b*

definition *bins-eq-items* :: *'a bins* \Rightarrow *'a bins* \Rightarrow *bool* **where**
 bins-eq-items *bs0* *bs1* \longleftrightarrow *map items bs0* = *map items bs1*

definition *bins-items* :: *'a bins* \Rightarrow *'a items* **where**
 bins-items *bs* = $\bigcup \{ \text{set } (\text{items } (bs ! k)) \mid k. k < \text{length } bs \}$

definition *bin-items-upto* :: 'a bin \Rightarrow nat \Rightarrow 'a items **where**

bin-items-upto b i = { items b ! j | j. j < i \wedge j < length (items b) }

definition *bins-items-upto* :: 'a bins \Rightarrow nat \Rightarrow nat \Rightarrow 'a items **where**

bins-items-upto bs k i = \bigcup { set (items (bs ! l)) | l. l < k } \cup *bin-items-upto* (bs ! k) i

definition *wf-bin-items* :: 'a cfg \Rightarrow 'a sentence \Rightarrow nat \Rightarrow 'a item list \Rightarrow bool **where**

wf-bin-items cfg inp k xs = ($\forall x \in$ set xs. *wf-item* cfg inp x \wedge item-end x = k)

definition *wf-bin* :: 'a cfg \Rightarrow 'a sentence \Rightarrow nat \Rightarrow 'a bin \Rightarrow bool **where**

wf-bin cfg inp k b \longleftrightarrow distinct (items b) \wedge *wf-bin-items* cfg inp k (items b)

definition *wf-bins* :: 'a cfg \Rightarrow 'a list \Rightarrow 'a bins \Rightarrow bool **where**

wf-bins cfg inp bs \longleftrightarrow ($\forall k <$ length bs. *wf-bin* cfg inp k (bs ! k))

definition *nonempty-derives* :: 'a cfg \Rightarrow bool **where**

nonempty-derives cfg = ($\forall N. N \in$ set (\mathfrak{N} cfg) $\longrightarrow \neg$ derives cfg [N] [])

definition *Init-it* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a bins **where**

Init-it cfg inp = (
 let rs = filter ($\lambda r. \text{rule-head } r = \mathfrak{S} \text{ cfg}$) ($\mathfrak{R} \text{ cfg}$) in
 let b0 = map ($\lambda r. (\text{Entry } (\text{init-item } r \ 0) \ \text{Null})$) rs in
 let bs = replicate (length inp + 1) ([]) in
 bs[0 := b0])

definition *Scan-it* :: nat \Rightarrow 'a sentence \Rightarrow 'a \Rightarrow 'a item \Rightarrow nat \Rightarrow 'a entry list **where**

Scan-it k inp a x pre = (
 if inp!k = a then
 let x' = inc-item x (k+1) in
 [Entry x' (Pre pre)]
 else [])

definition *Predict-it* :: nat \Rightarrow 'a cfg \Rightarrow 'a \Rightarrow 'a entry list **where**

Predict-it k cfg X = (
 let rs = filter ($\lambda r. \text{rule-head } r = X$) ($\mathfrak{R} \text{ cfg}$) in
 map ($\lambda r. (\text{Entry } (\text{init-item } r \ k) \ \text{Null})$) rs)

definition *Complete-it* :: nat \Rightarrow 'a item \Rightarrow 'a bins \Rightarrow nat \Rightarrow 'a entry list **where**

Complete-it k y bs red = (
 let orig = bs ! (item-origin y) in
 let is = filter-with-index ($\lambda x. \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)$) (items orig) in
 map ($\lambda (x, \text{pre}). (\text{Entry } (\text{inc-item } x \ k) \ (\text{PreRed } (\text{item-origin } y, \text{pre}, \text{red}) \ []))$) is)

fun *bin-upd* :: 'a entry \Rightarrow 'a bin \Rightarrow 'a bin **where**

```

bin-upd e' [] = [e']
| bin-upd e' (e#es) = (
  case (e', e) of
    (Entry x (PreRed px xs), Entry y (PreRed py ys)) =>
      if x = y then Entry x (PreRed py (px#xs@ys)) # es
      else e # bin-upd e' es
  | - =>
    if item e' = item e then e # es
    else e # bin-upd e' es)

```

```

fun bin-upds :: 'a entry list => 'a bin => 'a bin where
  bin-upds [] b = b
| bin-upds (e#es) b = bin-upds es (bin-upd e b)

```

```

definition bins-upd :: 'a bins => nat => 'a entry list => 'a bins where
  bins-upd bs k es = bs[k := bin-upds es (bs!k)]

```

```

partial-function (tailrec)  $\pi$ -it' :: nat => 'a cfg => 'a sentence => 'a bins => nat => 'a bins where
   $\pi$ -it' k cfg inp bs i = (
    if i ≥ length (items (bs ! k)) then bs
  else
    let x = items (bs!k) ! i in
    let bs' =
      case next-symbol x of
        Some a =>
          if is-terminal cfg a then
            if k < length inp then bins-upd bs (k+1) (Scan-it k inp a x i)
            else bs
          else bins-upd bs k (Predict-it k cfg a)
        | None => bins-upd bs k (Complete-it k x bs i)
    in  $\pi$ -it' k cfg inp bs' (i+1))

```

```

definition  $\pi$ -it :: nat => 'a cfg => 'a sentence => 'a bins => 'a bins where
   $\pi$ -it k cfg inp bs =  $\pi$ -it' k cfg inp bs 0

```

```

fun  $\mathcal{I}$ -it :: nat => 'a cfg => 'a sentence => 'a bins where
   $\mathcal{I}$ -it 0 cfg inp =  $\pi$ -it 0 cfg inp (Init-it cfg inp)
|  $\mathcal{I}$ -it (Suc n) cfg inp =  $\pi$ -it (Suc n) cfg inp ( $\mathcal{I}$ -it n cfg inp)

```

```

definition  $\mathcal{J}$ -it :: 'a cfg => 'a sentence => 'a bins where
   $\mathcal{J}$ -it cfg inp =  $\mathcal{I}$ -it (length inp) cfg inp

```

7.2 Wellformedness

lemma *distinct-bin-upd*:

distinct (items b) \implies distinct (items (bin-upd e b))

lemma *distinct-bin-upds*:

distinct (items b) \implies distinct (items (bin-upds es b))

lemma *distinct-bins-upd*:

distinct (items (bs ! k)) \implies distinct (items (bins-upd bs k ips ! k))

lemma *distinct-Scan-it*:

distinct (items (Scan-it k inp a x pre))

sorry

lemma *distinct-Predict-it*:

wf-cfg cfg \implies distinct (items (Predict-it k cfg X))

lemma *distinct-Complete-it*:

assumes *wf-bins cfg inp bs item-origin y < length bs*

shows *distinct (items (Complete-it k y bs red))*

lemma *wf-bin-bin-upd*:

assumes *wf-bin cfg inp k b wf-item cfg inp (item e) \wedge item-end (item e) = k*

shows *wf-bin cfg inp k (bin-upd e b)*

lemma *wf-bin-bin-upds*:

assumes *wf-bin cfg inp k b distinct (items es)*

assumes $\forall x \in \text{set } (\text{items } es). \text{wf-item cfg inp } x \wedge \text{item-end } x = k$

shows *wf-bin cfg inp k (bin-upds es b)*

lemma *wf-bins-bins-upd*:

assumes *wf-bins cfg inp bs distinct (items es)*

assumes $\forall x \in \text{set } (\text{items } es). \text{wf-item cfg inp } x \wedge \text{item-end } x = k$

shows *wf-bins cfg inp (bins-upd bs k es)*

lemma *wf-bins-Init-it*:

assumes *wf-cfg cfg*

shows *wf-bins cfg inp (Init-it cfg inp)*

lemma *wf-bins-Scan-it*:

assumes *wf-bins cfg inp bs k < length bs x \in set (items (bs ! k)) k < length inp next-symbol x \neq*

None

shows $\forall y \in \text{set } (\text{items } (\text{Scan-it k inp a x pre})). \text{wf-item cfg inp } y \wedge \text{item-end } y = (k+1)$

lemma *wf-bins-Predict-it*:

assumes *wf-bins cfg inp bs k < length bs k \leq length inp wf-cfg cfg*

shows $\forall y \in \text{set } (\text{items } (\text{Predict-it k cfg X})). \text{wf-item cfg inp } y \wedge \text{item-end } y = k$

lemma *wf-bins-Complete-it*:

assumes *wf-bins cfg inp bs k < length bs y \in set (items (bs ! k))*

shows $\forall x \in \text{set } (\text{items } (\text{Complete-it k y bs red})). \text{wf-item cfg inp } x \wedge \text{item-end } x = k$

definition *wellformed-bins* :: $(\text{nat} \times 'a \text{ cfg} \times 'a \text{ sentence} \times 'a \text{ bins}) \text{ set}$ **where**

wellformed-bins = {

```

(k, cfg, inp, bs) | k cfg inp bs.
  k ≤ length inp ∧
  length bs = length inp + 1 ∧
  wf-cfg cfg ∧
  wf-bins cfg inp bs
}

```

typedef 'a wf-bins = wellformed-bins::(nat × 'a cfg × 'a sentence × 'a bins) set

lemma wellformed-bins-Init-it:

assumes $k \leq \text{length } \text{inp}$ wf-cfg cfg
shows $(k, \text{cfg}, \text{inp}, \text{Init-it } \text{cfg } \text{inp}) \in \text{wellformed-bins}$

lemma wellformed-bins-Complete-it:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins} \neg \text{length } (\text{items } (\text{bs } ! k)) \leq i$
assumes $x = \text{items } (\text{bs } ! k) ! i \text{ next-symbol } x = \text{None}$
shows $(k, \text{cfg}, \text{inp}, \text{bins-upd } \text{bs } k (\text{Complete-it } k x \text{ bs red})) \in \text{wellformed-bins}$

lemma wellformed-bins-Scan-it:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins} \neg \text{length } (\text{items } (\text{bs } ! k)) \leq i$
assumes $x = \text{items } (\text{bs } ! k) ! i \text{ next-symbol } x = \text{Some } a$
assumes is-terminal cfg a $k < \text{length } \text{inp}$
shows $(k, \text{cfg}, \text{inp}, \text{bins-upd } \text{bs } (k+1) (\text{Scan-it } k \text{ inp } a x \text{ pre})) \in \text{wellformed-bins}$

lemma wellformed-bins-Predict-it:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins} \neg \text{length } (\text{items } (\text{bs } ! k)) \leq i$
assumes $x = \text{items } (\text{bs } ! k) ! i \text{ next-symbol } x = \text{Some } a \neg \text{is-terminal } \text{cfg } a$
shows $(k, \text{cfg}, \text{inp}, \text{bins-upd } \text{bs } k (\text{Predict-it } k \text{ cfg } a)) \in \text{wellformed-bins}$

fun earley-measure :: nat × 'a cfg × 'a sentence × 'a bins ⇒ nat ⇒ nat **where**

earley-measure $(k, \text{cfg}, \text{inp}, \text{bs}) i = \text{card } \{ x \mid x. \text{wf-item } \text{cfg } \text{inp } x \wedge \text{item-end } x = k \} - i$

lemma π -it'-induct:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$
assumes base: $\bigwedge k \text{ cfg inp bs } i. i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies P k \text{ cfg inp bs } i$
assumes complete: $\bigwedge k \text{ cfg inp bs } i x. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{None} \implies P k \text{ cfg inp } (\text{bins-upd } \text{bs } k (\text{Complete-it } k x \text{ bs } i)) (i+1) \implies P k$
 $\text{cfg inp bs } i$

assumes scan: $\bigwedge k \text{ cfg inp bs } i x a. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{Some } a \implies \text{is-terminal } \text{cfg } a \implies k < \text{length } \text{inp} \implies$

$P k \text{ cfg inp } (\text{bins-upd } \text{bs } (k+1) (\text{Scan-it } k \text{ inp } a x i)) (i+1) \implies P k \text{ cfg inp bs } i$

assumes pass: $\bigwedge k \text{ cfg inp bs } i x a. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{Some } a \implies \text{is-terminal } \text{cfg } a \implies \neg k < \text{length } \text{inp} \implies$

$P k \text{ cfg inp bs } (i+1) \implies P k \text{ cfg inp bs } i$

assumes predict: $\bigwedge k \text{ cfg inp bs } i x a. \neg i \geq \text{length } (\text{items } (\text{bs } ! k)) \implies x = \text{items } (\text{bs } ! k) ! i \implies$
 $\text{next-symbol } x = \text{Some } a \implies \neg \text{is-terminal } \text{cfg } a \implies$

$P k \text{ cfg inp } (\text{bins-upd } \text{bs } k (\text{Predict-it } k \text{ cfg } a)) (i+1) \implies P k \text{ cfg inp bs } i$

shows $P k \text{ cfg inp bs } i$

lemma *wellformed-bins- π -it'*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $(k, \text{cfg}, \text{inp}, \pi\text{-it}' k \text{ cfg inp bs } i) \in \text{wellformed-bins}$

lemma *wellformed-bins- π -it*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $(k, \text{cfg}, \text{inp}, \pi\text{-it } k \text{ cfg inp bs}) \in \text{wellformed-bins}$

lemma *wellformed-bins- \mathcal{I} -it*:

assumes $k \leq \text{length inp } \text{wf-cfg } \text{cfg}$

shows $(k, \text{cfg}, \text{inp}, \mathcal{I}\text{-it } k \text{ cfg inp}) \in \text{wellformed-bins}$

lemma *wellformed-bins- \mathcal{J} -it*:

$k \leq \text{length inp} \implies \text{wf-cfg } \text{cfg} \implies (k, \text{cfg}, \text{inp}, \mathcal{J}\text{-it } \text{cfg } \text{inp}) \in \text{wellformed-bins}$

lemma *wf-bins- π -it'*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $\text{wf-bins } \text{cfg } \text{inp } (\pi\text{-it}' k \text{ cfg inp bs } i)$

lemma *wf-bins- π -it*:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

shows $\text{wf-bins } \text{cfg } \text{inp } (\pi\text{-it } k \text{ cfg inp bs})$

lemma *wf-bins- \mathcal{I} -it*:

assumes $k \leq \text{length inp } \text{wf-cfg } \text{cfg}$

shows $\text{wf-bins } \text{cfg } \text{inp } (\mathcal{I}\text{-it } k \text{ cfg inp})$

lemma *wf-bins- \mathcal{J} -it*:

$\text{wf-cfg } \text{cfg} \implies \text{wf-bins } \text{cfg } \text{inp } (\mathcal{J}\text{-it } \text{cfg } \text{inp})$

7.3 List to set

lemma *Init-it-eq-Init*:

$\text{bins-items } (\text{Init-it } \text{cfg } \text{inp}) = \text{Init } \text{cfg}$

lemma *Scan-it-sub-Scan*:

assumes $\text{wf-bins } \text{cfg } \text{inp } \text{bs } \text{bins-items } \text{bs} \subseteq I \ x \in \text{set } (\text{items } (\text{bs } ! \ k))$

assumes $k < \text{length } \text{bs } k < \text{length } \text{inp}$

assumes $\text{next-symbol } x = \text{Some } a$

shows $\text{set } (\text{items } (\text{Scan-it } k \text{ inp } a \ x \ \text{pre})) \subseteq \text{Scan } k \text{ inp } I$

lemma *Predict-it-sub-Predict*:

assumes $\text{wf-bins } \text{cfg } \text{inp } \text{bs } \text{bins-items } \text{bs} \subseteq I \ x \in \text{set } (\text{items } (\text{bs } ! \ k)) \ k < \text{length } \text{bs}$

assumes $\text{next-symbol } x = \text{Some } X$

shows $\text{set } (\text{items } (\text{Predict-it } k \text{ cfg } X)) \subseteq \text{Predict } k \text{ cfg } I$

lemma *Complete-it-sub-Complete*:

assumes $\text{wf-bins } \text{cfg } \text{inp } \text{bs } \text{bins-items } \text{bs} \subseteq I \ y \in \text{set } (\text{items } (\text{bs } ! \ k)) \ k < \text{length } \text{bs}$

assumes $\text{next-symbol } y = \text{None}$

shows $\text{set } (\text{items } (\text{Complete-it } k \ y \ \text{bs } \text{red})) \subseteq \text{Complete } k \ I$

lemma *π -it'-sub- π* :

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{bins-items } \text{bs} \subseteq I$

shows $\text{bins-items } (\pi\text{-it}' k \text{ cfg inp bs } i) \subseteq \pi k \text{ cfg inp } I$

lemma $\pi\text{-it-sub-}\pi$:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{bins-items } \text{bs} \subseteq I$

shows $\text{bins-items } (\pi\text{-it } k \text{ cfg inp bs}) \subseteq \pi k \text{ cfg inp } I$

lemma $\mathcal{I}\text{-it-sub-}\mathcal{I}$:

assumes $k \leq \text{length inp wf-cfg cfg}$

shows $\text{bins-items } (\mathcal{I}\text{-it } k \text{ cfg inp}) \subseteq \mathcal{I} k \text{ cfg inp}$

lemma $\mathcal{J}\text{-it-sub-}\mathcal{J}$:

$\text{wf-cfg cfg} \implies \text{bins-items } (\mathcal{J}\text{-it } \text{cfg inp}) \subseteq \mathcal{J} \text{cfg inp}$

7.4 Soundness

lemma sound-Scan-it :

assumes $\text{wf-bins cfg inp bs bins-items bs} \subseteq I \ x \in \text{set (items (bs ! k)) } \ k < \text{length bs } \ k < \text{length inp}$

assumes $\text{next-symbol } x = \text{Some } a \ \text{wf-items cfg inp } I \ \text{sound-items cfg inp } I$

shows $\text{sound-items cfg inp (set (items (Scan-it } k \text{ inp } a \ x \ i)))$

lemma sound-Predict-it :

assumes $\text{wf-bins cfg inp bs bins-items bs} \subseteq I \ x \in \text{set (items (bs ! k)) } \ k < \text{length bs}$

assumes $\text{next-symbol } x = \text{Some } X \ \text{sound-items cfg inp } I$

shows $\text{sound-items cfg inp (set (items (Predict-it } k \text{ cfg } X)))$

lemma sound-Complete-it :

assumes $\text{wf-bins cfg inp bs bins-items bs} \subseteq I \ y \in \text{set (items (bs ! k)) } \ k < \text{length bs}$

assumes $\text{next-symbol } y = \text{None } \text{wf-items cfg inp } I \ \text{sound-items cfg inp } I$

shows $\text{sound-items cfg inp (set (items (Complete-it } k \ y \ \text{bs } i)))$

lemma $\text{sound-}\pi\text{-it}'$:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{sound-items cfg inp (bins-items bs)}$

shows $\text{sound-items cfg inp (bins-items } (\pi\text{-it}' k \text{ cfg inp bs } i))$

lemma $\text{sound-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$

assumes $\text{sound-items cfg inp (bins-items bs)}$

shows $\text{sound-items cfg inp (bins-items } (\pi\text{-it } k \text{ cfg inp bs}))$

7.5 Set to list

lemma $\text{impossible-complete-item}$:

assumes $\text{wf-cfg cfg wf-item cfg inp } x \ \text{sound-item cfg inp } x$

assumes $\text{is-complete } x \ \text{item-origin } x = k \ \text{item-end } x = k \ \text{nonempty-derives cfg}$

shows False

lemma $\text{Complete-Un-eq-terminal}$:

assumes $\text{next-symbol } z = \text{Some } a \ \text{is-terminal cfg } a \ \text{wf-items cfg inp } I \ \text{wf-item cfg inp } z \ \text{wf-cfg cfg}$

shows $\text{Complete } k (I \cup \{z\}) = \text{Complete } k I$

lemma *Complete-Un-eq-nonterminal*:

assumes $\text{next-symbol } z = \text{Some } a \text{ is-nonterminal } \text{cfg } a \text{ sound-items } \text{cfg } \text{inp } I \text{ item-end } z = k$

assumes $\text{wf-items } \text{cfg } \text{inp } I \text{ wf-item } \text{cfg } \text{inp } z \text{ wf-cfg } \text{cfg } \text{nonempty-derives } \text{cfg}$

shows $\text{Complete } k (I \cup \{z\}) = \text{Complete } k I$

lemma *Complete-sub-bins-Un-Complete-it*:

assumes $\text{Complete } k I \subseteq \text{bins-items } bs \text{ } I \subseteq \text{bins-items } bs \text{ is-complete } z \text{ wf-bins } \text{cfg } \text{inp } bs \text{ wf-item } \text{cfg } \text{inp } z$

shows $\text{Complete } k (I \cup \{z\}) \subseteq \text{bins-items } bs \cup \text{set } (\text{items } (\text{Complete-it } k z bs \text{ red}))$

lemma $\pi\text{-it}'\text{-mono}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

shows $\text{bins-items } bs \subseteq \text{bins-items } (\pi\text{-it}' k \text{ cfg } \text{inp } bs i)$

lemma $\pi\text{-step-sub-}\pi\text{-it}'$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \text{ cfg } \text{inp } (\text{bins-items-upto } bs k i) \subseteq \text{bins-items } bs$

assumes $\text{sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\pi\text{-step } k \text{ cfg } \text{inp } (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it}' k \text{ cfg } \text{inp } bs i)$

lemma $\pi\text{-step-sub-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \text{ cfg } \text{inp } (\text{bins-items-upto } bs k 0) \subseteq \text{bins-items } bs$

assumes $\text{sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\pi\text{-step } k \text{ cfg } \text{inp } (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it } k \text{ cfg } \text{inp } bs)$

lemma $\pi\text{-it}'\text{-bins-items-eq}$:

assumes $(k, \text{cfg}, \text{inp}, as) \in \text{wellformed-bins}$

assumes $\text{bins-eq-items } as \text{ wf-bins } \text{cfg } \text{inp } as$

shows $\text{bins-eq-items } (\pi\text{-it}' k \text{ cfg } \text{inp } as i) (\pi\text{-it}' k \text{ cfg } \text{inp } bs i)$

lemma $\pi\text{-it}'\text{-idem}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $i \leq j \text{ sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ nonempty-derives } \text{cfg}$

shows $\text{bins-items } (\pi\text{-it}' k \text{ cfg } \text{inp } (\pi\text{-it}' k \text{ cfg } \text{inp } bs i) j) = \text{bins-items } (\pi\text{-it}' k \text{ cfg } \text{inp } bs i)$

lemma $\pi\text{-it-idem}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\text{sound-items } \text{cfg } \text{inp } (\text{bins-items } bs) \text{ nonempty-derives } \text{cfg}$

shows $\text{bins-items } (\pi\text{-it } k \text{ cfg } \text{inp } (\pi\text{-it } k \text{ cfg } \text{inp } bs)) = \text{bins-items } (\pi\text{-it } k \text{ cfg } \text{inp } bs)$

lemma $\text{funpower-}\pi\text{-step-sub-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \text{ cfg } \text{inp } (\text{bins-items-upto } bs k 0) \subseteq \text{bins-items } bs \text{ sound-items } \text{cfg } \text{inp } (\text{bins-items } bs)$

assumes $\text{is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\text{funpower } (\pi\text{-step } k \text{ cfg } \text{inp } n (\text{bins-items } bs)) \subseteq \text{bins-items } (\pi\text{-it } k \text{ cfg } \text{inp } bs)$

lemma $\pi\text{-sub-}\pi\text{-it}$:

assumes $(k, \text{cfg}, \text{inp}, bs) \in \text{wellformed-bins}$

assumes $\pi\text{-step } k \text{ cfg } \text{inp } (\text{bins-items-upto } bs k 0) \subseteq \text{bins-items } bs \text{ sound-items } \text{cfg } \text{inp } (\text{bins-items } bs)$

assumes $\text{is-word } \text{cfg } \text{inp } \text{nonempty-derives } \text{cfg}$

shows $\pi k \text{ cfg } \text{inp } (\text{bins-items } bs) \subseteq \text{bins-items } (\pi\text{-it } k \text{ cfg } \text{inp } bs)$

lemma \mathcal{I} -sub- \mathcal{I} -it:

assumes $k \leq \text{length } \text{inp}$ $\text{wf-cfg } \text{cfg}$

assumes $\text{is-word } \text{cfg } \text{inp}$ $\text{nonempty-derives } \text{cfg}$

shows $\mathcal{I} \ k \ \text{cfg } \text{inp} \subseteq \text{bins-items } (\mathcal{I}\text{-it } k \ \text{cfg } \text{inp})$

lemma \mathcal{I} -sub- \mathcal{I} -it:

assumes $\text{wf-cfg } \text{cfg}$ $\text{is-word } \text{cfg } \text{inp}$ $\text{nonempty-derives } \text{cfg}$

shows $\mathcal{I} \ \text{cfg } \text{inp} \subseteq \text{bins-items } (\mathcal{I}\text{-it } \text{cfg } \text{inp})$

7.6 Main Theorem

definition $\text{earley-recognized-it} :: 'a \ \text{bins} \Rightarrow 'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow \text{bool}$ **where**
 $\text{earley-recognized-it } I \ \text{cfg } \text{inp} = (\exists x \in \text{set } (\text{items } (I \ ! \ \text{length } \text{inp})). \text{is-finished } \text{cfg } \text{inp } x)$

theorem $\text{earley-recognized-it-iff-earley-recognized}$:

assumes $\text{wf-cfg } \text{cfg}$ $\text{is-word } \text{cfg } \text{inp}$ $\text{nonempty-derives } \text{cfg}$

shows $\text{earley-recognized-it } (\mathcal{I}\text{-it } \text{cfg } \text{inp}) \ \text{cfg } \text{inp} \longleftrightarrow \text{earley-recognized } (\mathcal{I} \ \text{cfg } \text{inp}) \ \text{cfg } \text{inp}$

corollary correctness-list :

assumes $\text{wf-cfg } \text{cfg}$ $\text{is-word } \text{cfg } \text{inp}$ $\text{nonempty-derives } \text{cfg}$

shows $\text{earley-recognized-it } (\mathcal{I}\text{-it } \text{cfg } \text{inp}) \ \text{cfg } \text{inp} \longleftrightarrow \text{derives } \text{cfg } [\mathcal{S} \ \text{cfg}] \ \text{inp}$

8 Earley Parser Implementation

8.1 Draft

8.2 Pointer lemmas

definition *predicts* :: 'a item \Rightarrow bool **where**
predicts $x \longleftrightarrow \text{item-origin } x = \text{item-end } x \wedge \text{item-dot } x = 0$

definition *scans* :: 'a sentence \Rightarrow nat \Rightarrow 'a item \Rightarrow 'a item \Rightarrow bool **where**
scans $\text{inp } k \ x \ y \longleftrightarrow y = \text{inc-item } x \ k \wedge (\exists a. \text{next-symbol } x = \text{Some } a \wedge \text{inp}!(k-1) = a)$

definition *completes* :: nat \Rightarrow 'a item \Rightarrow 'a item \Rightarrow 'a item \Rightarrow bool **where**
completes $k \ x \ y \ z \longleftrightarrow y = \text{inc-item } x \ k \wedge \text{is-complete } z \wedge \text{item-origin } z = \text{item-end } x \wedge (\exists N. \text{next-symbol } x = \text{Some } N \wedge N = \text{item-rule-head } z)$

definition *sound-null-ptr* :: 'a entry \Rightarrow bool **where**
sound-null-ptr $e = (\text{pointer } e = \text{Null} \longrightarrow \text{predicts } (\text{item } e))$

definition *sound-pre-ptr* :: 'a sentence \Rightarrow 'a bins \Rightarrow nat \Rightarrow 'a entry \Rightarrow bool **where**
sound-pre-ptr $\text{inp } bs \ k \ e = (\forall \text{pre}. \text{pointer } e = \text{Pre } \text{pre} \longrightarrow k > 0 \wedge \text{pre} < \text{length } (bs!(k-1)) \wedge \text{scans } \text{inp } k \ (\text{item } (bs!(k-1)!\text{pre})) \ (\text{item } e))$

definition *sound-prered-ptr* :: 'a bins \Rightarrow nat \Rightarrow 'a entry \Rightarrow bool **where**
sound-prered-ptr $bs \ k \ e = (\forall p \ ps \ k' \ \text{pre } \text{red}. \text{pointer } e = \text{PreRed } p \ ps \wedge (k', \text{pre}, \text{red}) \in \text{set } (p\#ps) \longrightarrow k' < k \wedge \text{pre} < \text{length } (bs!k') \wedge \text{red} < \text{length } (bs!k) \wedge \text{completes } k \ (\text{item } (bs!k'!\text{pre})) \ (\text{item } e) \ (\text{item } (bs!k!\text{red})))$

definition *sound-ptrs* :: 'a sentence \Rightarrow 'a bins \Rightarrow bool **where**
sound-ptrs $\text{inp } bs = (\forall k < \text{length } bs. \forall e \in \text{set } (bs!k). \text{sound-null-ptr } e \wedge \text{sound-pre-ptr } \text{inp } bs \ k \ e \wedge \text{sound-prered-ptr } bs \ k \ e)$

definition *mono-red-ptr* :: 'a bins \Rightarrow bool **where**
mono-red-ptr $bs = (\forall k < \text{length } bs. \forall i < \text{length } (bs!k). \forall k' \ \text{pre } \text{red } ps. \text{pointer } (bs!k!i) = \text{PreRed } (k', \text{pre}, \text{red}) \ ps \longrightarrow \text{red} < i)$

lemma *sound-ptrs-bin-upd*:
assumes *sound-ptrs inp bs k < length bs es = bs!k distinct (items es)*
assumes *sound-null-ptr e sound-pre-ptr inp bs k e sound-prered-ptr bs k e*
shows *sound-ptrs inp (bs[k := bin-upd e es])*

lemma *mono-red-ptr-bin-upd*:
assumes *mono-red-ptr bs k < length bs es = bs!k distinct (items es)*
assumes $\forall k' \text{ pre red ps. pointer } e = \text{PreRed } (k', \text{pre}, \text{red}) \text{ ps} \longrightarrow \text{red} < \text{length es}$
shows *mono-red-ptr (bs[k := bin-upd e es])*

lemma *sound-mono-ptrs-bin-upds*:
assumes *sound-ptrs inp bs mono-red-ptr bs k < length bs b = bs!k distinct (items b) distinct (items es)*
assumes $\forall e \in \text{set es. sound-null-ptr } e \wedge \text{sound-pre-ptr inp bs k e} \wedge \text{sound-prered-ptr bs k e}$
assumes $\forall e \in \text{set es. } \forall k' \text{ pre red ps. pointer } e = \text{PreRed } (k', \text{pre}, \text{red}) \text{ ps} \longrightarrow \text{red} < \text{length b}$
shows *sound-ptrs inp (bs[k := bin-upds es b]) \wedge mono-red-ptr (bs[k := bin-upds es b])*

lemma *sound-mono-ptrs- π -it'*:
assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$
assumes *sound-ptrs inp bs sound-items cfg inp (bins-items bs)*
assumes *mono-red-ptr bs*
assumes *nonempty-derives cfg wf-cfg cfg*
shows *sound-ptrs inp ($\pi\text{-it}' k \text{ cfg inp bs i}$) \wedge mono-red-ptr ($\pi\text{-it}' k \text{ cfg inp bs i}$)*

lemma *sound-mono-ptrs- π -it*:
assumes $(k, \text{cfg}, \text{inp}, \text{bs}) \in \text{wellformed-bins}$
assumes *sound-ptrs inp bs sound-items cfg inp (bins-items bs)*
assumes *mono-red-ptr bs*
assumes *nonempty-derives cfg wf-cfg cfg*
shows *sound-ptrs inp ($\pi\text{-it } k \text{ cfg inp bs}$) \wedge mono-red-ptr ($\pi\text{-it } k \text{ cfg inp bs}$)*

lemma *sound-ptrs-Init-it*:
sound-ptrs inp (Init-it cfg inp)

lemma *mono-red-ptr-Init-it*:
mono-red-ptr (Init-it cfg inp)

lemma *sound-mono-ptrs- \mathcal{I} -it*:
assumes $k \leq \text{length inp wf-cfg cfg nonempty-derives cfg wf-cfg cfg}$
shows *sound-ptrs inp ($\mathcal{I}\text{-it } k \text{ cfg inp}$) \wedge mono-red-ptr ($\mathcal{I}\text{-it } k \text{ cfg inp}$)*

lemma *sound-mono-ptrs- \mathcal{J} -it*:
assumes *wf-cfg cfg nonempty-derives cfg*
shows *sound-ptrs inp ($\mathcal{J}\text{-it } k \text{ cfg inp}$) \wedge mono-red-ptr ($\mathcal{J}\text{-it } k \text{ cfg inp}$)*

8.3 Trees and Forests

datatype *'a tree* =
Leaf 'a
| *Branch 'a 'a tree list*

```

fun yield-tree :: 'a tree  $\Rightarrow$  'a sentence where
  yield-tree (Leaf a) = [a]
| yield-tree (Branch ts) = concat (map yield-tree ts)

fun root-tree :: 'a tree  $\Rightarrow$  'a where
  root-tree (Leaf a) = a
| root-tree (Branch N _) = N

fun wf-rule-tree :: 'a cfg  $\Rightarrow$  'a tree  $\Rightarrow$  bool where
  wf-rule-tree - (Leaf a)  $\longleftrightarrow$  True
| wf-rule-tree cfg (Branch N ts)  $\longleftrightarrow$  (
  ( $\exists r \in \text{set } (\mathfrak{A} \text{ cfg}). N = \text{rule-head } r \wedge \text{map root-tree ts} = \text{rule-body } r$ )  $\wedge$ 
  ( $\forall t \in \text{set ts. wf-rule-tree cfg } t$ ))

fun wf-item-tree :: 'a cfg  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool where
  wf-item-tree cfg - (Leaf a)  $\longleftrightarrow$  True
| wf-item-tree cfg x (Branch N ts)  $\longleftrightarrow$  (
   $N = \text{item-rule-head } x \wedge \text{map root-tree ts} = \text{take } (\text{item-dot } x) (\text{item-rule-body } x) \wedge$ 
  ( $\forall t \in \text{set ts. wf-rule-tree cfg } t$ ))

definition wf-yield-tree :: 'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool where
  wf-yield-tree inp x t  $\longleftrightarrow$  yield-tree t = slice (item-origin x) (item-end x) inp

datatype 'a forest =
  FLeaf 'a
| FBranch 'a 'a forest list list

fun combinations :: 'a list list  $\Rightarrow$  'a list list where
  combinations [] = [[]]
| combinations (xs#xss) = [ x#cs . x <- xs, cs <- combinations xss ]

fun trees :: 'a forest  $\Rightarrow$  'a tree list where
  trees (FLeaf a) = [Leaf a]
| trees (FBranch N fss) = (
  let tss = (map ( $\lambda f.$  concat (map ( $\lambda f.$  trees f) fs)) fss) in
  map ( $\lambda ts.$  Branch N ts) (combinations tss)
  )

```

8.4 A single parse tree

```

partial-function (option) build-tree' :: 'a bins  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  'a tree option where
  build-tree' bs inp k i = (
    let e = bs!k!i in (

```

```

case pointer e of
  Null  $\Rightarrow$  Some (Branch (item-rule-head (item e)) [])
| Pre pre  $\Rightarrow$  (
  do {
    t  $\leftarrow$  build-tree' bs inp (k-1) pre;
    case t of
      Branch N ts  $\Rightarrow$  Some (Branch N (ts @ [Leaf (inp!(k-1))]))
    | -  $\Rightarrow$  None
  })
| PreRed (k', pre, red) -  $\Rightarrow$  (
  do {
    t  $\leftarrow$  build-tree' bs inp k' pre;
    case t of
      Branch N ts  $\Rightarrow$ 
        do {
          t  $\leftarrow$  build-tree' bs inp k red;
          Some (Branch N (ts @ [t]))
        }
    | -  $\Rightarrow$  None
  })
))

```

definition build-tree :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a bins \Rightarrow 'a tree option **where**
 build-tree cfg inp bs = (
 let k = length bs - 1 in (
 case filter-with-index ($\lambda x. \text{is-finished } \text{cfg } \text{inp } x$) (items (bs!k)) of
 [] \Rightarrow None
 | (-, i)#- \Rightarrow build-tree' bs inp k i
))

definition wellformed-tree-ptrs :: ('a bins \times 'a sentence \times nat \times nat) set **where**
 wellformed-tree-ptrs = {
 (bs, inp, k, i) | bs inp k i.
 sound-ptrs inp bs \wedge
 mono-red-ptr bs \wedge
 k < length bs \wedge
 i < length (bs!k)
 }

fun build-tree'-measure :: ('a bins \times 'a sentence \times nat \times nat) \Rightarrow nat **where**
 build-tree'-measure (bs, inp, k, i) = foldl (+) 0 (map length (take k bs)) + i

lemma wellformed-tree-ptrs-pre:

assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
assumes $e = bs!k!i$ pointer $e = \text{Pre } pre$
shows $(bs, inp, (k-1), pre) \in \text{wellformed-tree-ptrs}$
lemma *wellformed-tree-ptrs-prered-pre*:
assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
assumes $e = bs!k!i$ pointer $e = \text{PreRed } (k', pre, red) \text{ ps}$
shows $(bs, inp, k', pre) \in \text{wellformed-tree-ptrs}$
lemma *wellformed-tree-ptrs-prered-red*:
assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
assumes $e = bs!k!i$ pointer $e = \text{PreRed } (k', pre, red) \text{ ps}$
shows $(bs, inp, k, red) \in \text{wellformed-tree-ptrs}$
lemma *build-tree'-induct*:
assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
assumes $\wedge bs \text{ inp } k \text{ i.}$
 $(\wedge e \text{ pre. } e = bs!k!i \implies \text{pointer } e = \text{Pre } pre \implies P \text{ bs inp } (k-1) \text{ pre}) \implies$
 $(\wedge e \text{ k' pre red ps. } e = bs!k!i \implies \text{pointer } e = \text{PreRed } (k', pre, red) \text{ ps} \implies P \text{ bs inp } k' \text{ pre}) \implies$
 $(\wedge e \text{ k' pre red ps. } e = bs!k!i \implies \text{pointer } e = \text{PreRed } (k', pre, red) \text{ ps} \implies P \text{ bs inp } k \text{ red}) \implies$
 $P \text{ bs inp } k \text{ i}$
shows $P \text{ bs inp } k \text{ i}$
lemma *build-tree'-termination*:
assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
shows $\exists N \text{ ts. build-tree' bs inp k i = Some (Branch N ts)}$
lemma *wf-item-tree-build-tree'*:
assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
assumes $wf\text{-bins } cfg \text{ inp } bs$
assumes $k < \text{length } bs \text{ i} < \text{length } (bs!k)$
assumes $build\text{-tree' } bs \text{ inp } k \text{ i} = \text{Some } t$
shows $wf\text{-item-tree } cfg \text{ (item } (bs!k!i)) \text{ t}$
lemma *wf-yield-tree-build-tree'*:
assumes $(bs, inp, k, i) \in \text{wellformed-tree-ptrs}$
assumes $wf\text{-bins } cfg \text{ inp } bs$
assumes $k < \text{length } bs \text{ i} < \text{length } (bs!k) \text{ k} \leq \text{length } inp$
assumes $build\text{-tree' } bs \text{ inp } k \text{ i} = \text{Some } t$
shows $wf\text{-yield-tree } inp \text{ (item } (bs!k!i)) \text{ t}$
theorem *wf-rule-root-yield-tree-build-tree*:
assumes $wf\text{-bins } cfg \text{ inp } bs \text{ sound-ptrs } inp \text{ bs mono-red-ptr } bs \text{ length } bs = \text{length } inp + 1$
assumes $build\text{-tree } cfg \text{ inp } bs = \text{Some } t$
shows $wf\text{-rule-tree } cfg \text{ t} \wedge \text{root-tree } t = \mathcal{S} \text{ cfg} \wedge \text{yield-tree } t = inp$
corollary *wf-rule-root-yield-tree-build-tree- \mathcal{I} -it*:
assumes $wf\text{-cfg } cfg \text{ nonempty-derives } cfg$
assumes $build\text{-tree } cfg \text{ inp } (\mathcal{I}\text{-it } cfg \text{ inp}) = \text{Some } t$
shows $wf\text{-rule-tree } cfg \text{ t} \wedge \text{root-tree } t = \mathcal{S} \text{ cfg} \wedge \text{yield-tree } t = inp$
theorem *correctness-build-tree- \mathcal{I} -it*:
assumes $wf\text{-cfg } cfg \text{ is-word } cfg \text{ inp nonempty-derives } cfg$

shows $(\exists t. \text{build-tree } \text{cfg } \text{inp } (\mathcal{I}\text{-it } \text{cfg } \text{inp}) = \text{Some } t) \longleftrightarrow \text{derives } \text{cfg } [\mathcal{S} \text{ cfg}] \text{ inp}$

8.5 Parse trees

```
fun insert-group :: ('a  $\Rightarrow$  'k)  $\Rightarrow$  ('a  $\Rightarrow$  'v)  $\Rightarrow$  'a  $\Rightarrow$  ('k  $\times$  'v list) list  $\Rightarrow$  ('k  $\times$  'v list) list where
  insert-group K V a [] = [(K a, [V a])]
| insert-group K V a ((k, vs)#xs) = (
  if K a = k then (k, V a # vs) # xs
  else (k, vs) # insert-group K V a xs
)
```

```
fun group-by :: ('a  $\Rightarrow$  'k)  $\Rightarrow$  ('a  $\Rightarrow$  'v)  $\Rightarrow$  'a list  $\Rightarrow$  ('k  $\times$  'v list) list where
  group-by K V [] = []
| group-by K V (x#xs) = insert-group K V x (group-by K V xs)
```

partial-function (option) build-trees' :: 'a bins \Rightarrow 'a sentence \Rightarrow nat \Rightarrow nat \Rightarrow nat set \Rightarrow 'a forest list
option **where**

```
build-trees' bs inp k i I = (
  let e = bs!k!i in (
    case pointer e of
      Null  $\Rightarrow$  Some ([FBranch (item-rule-head (item e)) []])
    | Pre pre  $\Rightarrow$  (
      do {
        pres  $\leftarrow$  build-trees' bs inp (k-1) pre {pre};
        those (map (\f.
          case f of
            FBranch N fss  $\Rightarrow$  Some (FBranch N (fss @ [[FLeaf (inp!(k-1))]]))
          | -  $\Rightarrow$  None
        ) pres)
      })
    | PreRed p ps  $\Rightarrow$  (
      let ps' = filter (\(k', pre, red). red  $\notin$  I) (p#ps) in
      let gs = group-by (\(k', pre, red). (k', pre)) (\(k', pre, red). red) ps' in
      map-option concat (those (map (\(k', pre), reds).
        do {
          pres  $\leftarrow$  build-trees' bs inp k' pre {pre};
          rss  $\leftarrow$  those (map (\red. build-trees' bs inp k red (I  $\cup$  {red})) reds);
          those (map (\f.
            case f of
              FBranch N fss  $\Rightarrow$  Some (FBranch N (fss @ [concat rss]))
            | -  $\Rightarrow$  None
          ) pres)
        })
      )
    )
  )
}
```

```

    ) gs))
  )
))

```

definition *build-trees* :: 'a cfg \Rightarrow 'a sentence \Rightarrow 'a bins \Rightarrow 'a forest list option **where**

```

build-trees cfg inp bs = (
  let k = length bs - 1 in
  let finished = filter-with-index ( $\lambda x. \text{is-finished } \text{cfg } \text{inp } x$ ) (items (bs!k)) in
  map-option concat (those (map ( $\lambda(-, i). \text{build-trees}' \text{ bs } \text{inp } k \ i \ \{i\}$ ) finished))
)

```

definition *wellformed-forest-ptrs* :: ('a bins \times 'a sentence \times nat \times nat \times nat set) set **where**

```

wellformed-forest-ptrs = {
  (bs, inp, k, i, I) | bs inp k i I.
    sound-ptrs inp bs  $\wedge$ 
    k < length bs  $\wedge$ 
    i < length (bs!k)  $\wedge$ 
    I  $\subseteq$  {0.. $\text{length } (bs!k)$ }  $\wedge$ 
    i  $\in$  I
}

```

fun *build-forest'-measure* :: ('a bins \times 'a sentence \times nat \times nat \times nat set) \Rightarrow nat **where**

```

build-forest'-measure (bs, inp, k, i, I) = foldl (+) 0 (map length (take (k+1) bs)) - card I

```

lemma *wellformed-forest-ptrs-pre*:

assumes (bs, inp, k, i, I) \in *wellformed-forest-ptrs*

assumes $e = \text{bs!k!i}$ pointer $e = \text{Pre } \text{pre}$

shows (bs, inp, (k-1), pre, {pre}) \in *wellformed-forest-ptrs*

lemma *wellformed-forest-ptrs-prered-pre*:

assumes (bs, inp, k, i, I) \in *wellformed-forest-ptrs*

assumes $e = \text{bs!k!i}$ pointer $e = \text{PreRed } p \ \text{ps}$

assumes $\text{ps}' = \text{filter } (\lambda(k', \text{pre}, \text{red}). \text{red} \notin I) (p\#\text{ps})$

assumes $\text{gs} = \text{group-by } (\lambda(k', \text{pre}, \text{red}). (k', \text{pre})) (\lambda(k', \text{pre}, \text{red}). \text{red}) \ \text{ps}'$

assumes ((k', pre), reds) \in set gs

shows (bs, inp, k', pre, {pre}) \in *wellformed-forest-ptrs*

lemma *wellformed-forest-ptrs-prered-red*:

assumes (bs, inp, k, i, I) \in *wellformed-forest-ptrs*

assumes $e = \text{bs!k!i}$ pointer $e = \text{PreRed } p \ \text{ps}$

assumes $\text{ps}' = \text{filter } (\lambda(k', \text{pre}, \text{red}). \text{red} \notin I) (p\#\text{ps})$

assumes $\text{gs} = \text{group-by } (\lambda(k', \text{pre}, \text{red}). (k', \text{pre})) (\lambda(k', \text{pre}, \text{red}). \text{red}) \ \text{ps}'$

assumes ((k', pre), reds) \in set gs red \in set reds

shows (bs, inp, k, red, I \cup {red}) \in *wellformed-forest-ptrs*

lemma *build-trees'-induct*:

assumes (bs, inp, k, i, I) \in *wellformed-forest-ptrs*

assumes $\wedge bs \text{ inp } k \text{ i } I.$

$(\wedge e \text{ pre. } e = bs!k!i \implies \text{pointer } e = \text{Pre pre} \implies P \text{ bs inp } (k-1) \text{ pre } \{pre\}) \implies$
 $(\wedge e \text{ p ps ps' gs k' pre reds. } e = bs!k!i \implies \text{pointer } e = \text{PreRed p ps} \implies$
 $ps' = \text{filter } (\lambda(k', \text{pre}, \text{red}). \text{red} \notin I) (p\#ps) \implies$
 $gs = \text{group-by } (\lambda(k', \text{pre}, \text{red}). (k', \text{pre})) (\lambda(k', \text{pre}, \text{red}). \text{red}) ps' \implies$
 $((k', \text{pre}), \text{reds}) \in \text{set } gs \implies P \text{ bs inp } k' \text{ pre } \{pre\}) \implies$
 $(\wedge e \text{ p ps ps' gs k' pre red reds reds'. } e = bs!k!i \implies \text{pointer } e = \text{PreRed p ps} \implies$
 $ps' = \text{filter } (\lambda(k', \text{pre}, \text{red}). \text{red} \notin I) (p\#ps) \implies$
 $gs = \text{group-by } (\lambda(k', \text{pre}, \text{red}). (k', \text{pre})) (\lambda(k', \text{pre}, \text{red}). \text{red}) ps' \implies$
 $((k', \text{pre}), \text{reds}) \in \text{set } gs \implies \text{red} \in \text{set } reds \implies P \text{ bs inp } k \text{ red } (I \cup \{\text{red}\})) \implies$
 $P \text{ bs inp } k \text{ i } I$

shows $P \text{ bs inp } k \text{ i } I$

lemma *build-trees'-termination:*

assumes $(bs, \text{inp}, k, i, I) \in \text{wellformed-forest-ptrs}$

shows $\exists fs. \text{build-trees}' \text{ bs inp } k \text{ i } I = \text{Some } fs \wedge (\forall f \in \text{set } fs. \exists N \text{ fss. } f = \text{FBranch } N \text{ fss})$

lemma *wf-item-tree-build-trees':*

assumes $(bs, \text{inp}, k, i, I) \in \text{wellformed-forest-ptrs}$

assumes $\text{wf-bins cfg inp bs}$

assumes $k < \text{length } bs \text{ i} < \text{length } (bs!k)$

assumes $\text{build-trees}' \text{ bs inp } k \text{ i } I = \text{Some } fs$

assumes $f \in \text{set } fs$

assumes $t \in \text{set } (\text{trees } f)$

shows $\text{wf-item-tree cfg (item (bs!k!i)) } t$

lemma *wf-yield-tree-build-trees':*

assumes $(bs, \text{inp}, k, i, I) \in \text{wellformed-forest-ptrs}$

assumes $\text{wf-bins cfg inp bs}$

assumes $k < \text{length } bs \text{ i} < \text{length } (bs!k) \text{ k} \leq \text{length } \text{inp}$

assumes $\text{build-trees}' \text{ bs inp } k \text{ i } I = \text{Some } fs$

assumes $f \in \text{set } fs$

assumes $t \in \text{set } (\text{trees } f)$

shows $\text{wf-yield-tree inp (item (bs!k!i)) } t$

theorem *wf-rule-root-yield-tree-build-trees:*

assumes $\text{wf-bins cfg inp bs sound-ptrs inp bs length } bs = \text{length } \text{inp} + 1$

assumes $\text{build-trees cfg inp bs} = \text{Some } fs \text{ f} \in \text{set } fs \text{ t} \in \text{set } (\text{trees } f)$

shows $\text{wf-rule-tree cfg t} \wedge \text{root-tree t} = \mathfrak{S} \text{ cfg} \wedge \text{yield-tree t} = \text{inp}$

corollary *wf-rule-root-yield-tree-build-trees- \mathfrak{I} -it:*

assumes $\text{wf-cfg cfg nonempty-derives cfg}$

assumes $\text{build-trees cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } fs \text{ f} \in \text{set } fs \text{ t} \in \text{set } (\text{trees } f)$

shows $\text{wf-rule-tree cfg t} \wedge \text{root-tree t} = \mathfrak{S} \text{ cfg} \wedge \text{yield-tree t} = \text{inp}$

theorem *soundness-build-trees- \mathfrak{I} -it:*

assumes $\text{wf-cfg cfg is-word cfg inp nonempty-derives cfg}$

assumes $\text{build-trees cfg inp } (\mathfrak{I}\text{-it cfg inp}) = \text{Some } fs \text{ f} \in \text{set } fs \text{ t} \in \text{set } (\text{trees } f)$

shows $\text{derives cfg } [\mathfrak{S} \text{ cfg}] \text{ inp}$

theorem *termination-build-tree- \mathfrak{I} -it:*

assumes *wf-cfg cfg nonempty-derives cfg derives cfg* $[\S \text{ cfg}] \text{ inp}$

shows $\exists fs. \text{ build-trees } \text{cfg inp} (\mathcal{I}\text{-it } \text{cfg inp}) = \text{Some } fs$

8.6 A word on completeness

9 Examples

9.1 epsilon free CFG

definition $\varepsilon\text{-free} :: 'a \text{ cfg} \Rightarrow \text{bool}$ **where**
 $\varepsilon\text{-free } \text{cfg} \longleftrightarrow (\forall r \in \text{set } (\mathfrak{R} \text{ cfg}). \text{rule-body } r \neq [])$

lemma $\varepsilon\text{-free-impl-non-empty-deriv}$:
 $\varepsilon\text{-free } \text{cfg} \Longrightarrow N \in \text{set } (\mathfrak{N} \text{ cfg}) \Longrightarrow \neg \text{derives } \text{cfg } [N] []$

9.2 Example 1: Addition

datatype $t1 = x \mid \text{plus}$
datatype $n1 = S$
datatype $s1 = \text{Terminal } t1 \mid \text{Nonterminal } n1$

definition $\text{nonterminals1} :: s1 \text{ list}$ **where**
 $\text{nonterminals1} = [\text{Nonterminal } S]$

definition $\text{terminals1} :: s1 \text{ list}$ **where**
 $\text{terminals1} = [\text{Terminal } x, \text{Terminal } \text{plus}]$

definition $\text{rules1} :: s1 \text{ rule list}$ **where**
 $\text{rules1} = [$
 $(\text{Nonterminal } S, [\text{Terminal } x]),$
 $(\text{Nonterminal } S, [\text{Nonterminal } S, \text{Terminal } \text{plus}, \text{Nonterminal } S])$
]

definition $\text{start-symbol1} :: s1$ **where**
 $\text{start-symbol1} = \text{Nonterminal } S$

definition $\text{cfg1} :: s1 \text{ cfg}$ **where**
 $\text{cfg1} = \text{CFG } \text{nonterminals1 } \text{terminals1 } \text{rules1 } \text{start-symbol1}$

definition $\text{inp1} :: s1 \text{ list}$ **where**
 $\text{inp1} = [\text{Terminal } x, \text{Terminal } \text{plus}, \text{Terminal } x, \text{Terminal } \text{plus}, \text{Terminal } x]$

lemma wf-cfg1 :

```

wf-cfg cfg1
lemma is-word-inp1:
  is-word cfg1 inp1
lemma nonempty-derives1:
  nonempty-derives cfg1
lemma correctness1:
  earley-recognized-it ( $\mathcal{I}$ -it cfg1 inp1) cfg1 inp1  $\longleftrightarrow$  derives cfg1 [ $\mathcal{S}$  cfg1] inp1
fun size-bins :: 'a bins  $\Rightarrow$  nat where
  size-bins bs = fold (+) (map length bs) 0

value  $\mathcal{I}$ -it cfg1 inp1
value size-bins ( $\mathcal{I}$ -it cfg1 inp1)
value earley-recognized-it ( $\mathcal{I}$ -it cfg1 inp1) cfg1 inp1
value build-trees cfg1 inp1 ( $\mathcal{I}$ -it cfg1 inp1)
value map-option (map trees) (build-trees cfg1 inp1 ( $\mathcal{I}$ -it cfg1 inp1))
value map-option (foldl (+) 0  $\circ$  map length) (map-option (map trees) (build-trees cfg1 inp1 ( $\mathcal{I}$ -it cfg1 inp1)))

```

9.2.1 Example 2: Cyclic reduction pointers

```

datatype t2 = x
datatype n2 = A | B
datatype s2 = Terminal t2 | Nonterminal n2

definition nonterminals2 :: s2 list where
  nonterminals2 = [Nonterminal A, Nonterminal B]

definition terminals2 :: s2 list where
  terminals2 = [Terminal x]

definition rules2 :: s2 rule list where
  rules2 = [
    (Nonterminal B, [Nonterminal A]),
    (Nonterminal A, [Nonterminal B]),
    (Nonterminal A, [Terminal x])
  ]

definition start-symbol2 :: s2 where
  start-symbol2 = Nonterminal A

definition cfg2 :: s2 cfg where
  cfg2 = CFG nonterminals2 terminals2 rules2 start-symbol2

definition inp2 :: s2 list where

```

inp2 = [Terminal *x*]

lemma *wf-cfg2*:

wf-cfg *cfg2*

lemma *is-word-inp2*:

is-word *cfg2* *inp2*

lemma *nonempty-derives2*:

nonempty-derives *cfg2*

lemma *correctness2*:

earley-recognized-it (*ℑ-it* *cfg2* *inp2*) *cfg2* *inp2* \longleftrightarrow *derives* *cfg2* [\mathfrak{S} *cfg2*] *inp2*

value *ℑ-it* *cfg2* *inp2*

value *earley-recognized-it* (*ℑ-it* *cfg2* *inp2*) *cfg2* *inp2*

value *build-trees* *cfg2* *inp2* (*ℑ-it* *cfg2* *inp2*)

value *map-option* (*map trees*) (*build-trees* *cfg2* *inp2* (*ℑ-it* *cfg2* *inp2*))

10 Conclusion

10.1 Summary

10.2 Future Work

11 Templates

11.1 Section

Citation test [latex].

11.1.1 Subsection

See [Table 11.1](#), [Figure 11.1](#), [Figure 11.2](#), [Figure 11.3](#).

Table 11.1: An example for a simple table.

A	B	C	D
1	2	1	2
2	3	2	3

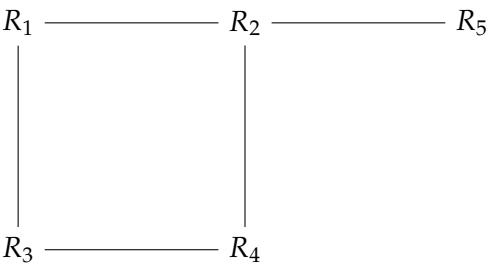


Figure 11.1: An example for a simple drawing.

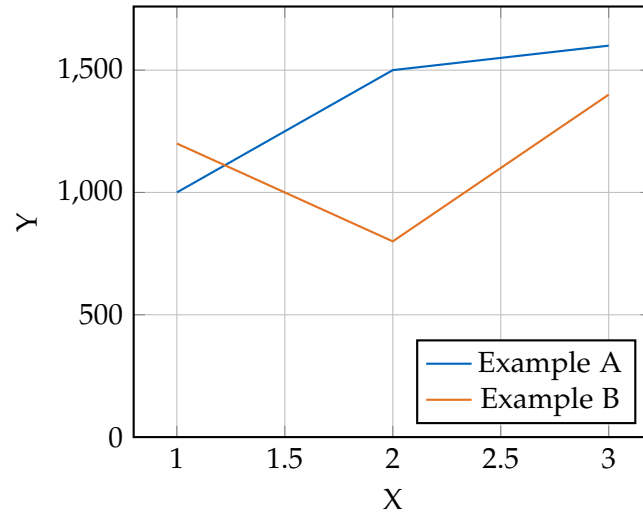


Figure 11.2: An example for a simple plot.

```
SELECT * FROM tbl WHERE tbl.str = "str"
```

Figure 11.3: An example for a source code listing.

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