

# PackGenome: Automatically Generating Robust YARA Rules for Accurate Malware Packer Detection

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## ABSTRACT

Binary packing, a widely-used program obfuscation style, compresses or encrypts the original program and then recovers it at runtime. Packed malware samples are pervasive—they conceal arresting code features as unintelligible data to evade detection. To rapidly respond to large-scale packed malware, security analysts search specific binary patterns to identify corresponding packers. The quality of such packer patterns or signatures is vital to malware dissection. However, existing packer signature rules severely rely on human analysts' experience. In addition to expensive manual efforts, these human-written rules (e.g., YARA) also suffer from high false positives: as they are designed to search the pattern of bytes rather than instructions, they are very likely to mismatch with unexpected instructions.

In this paper, we look into the weakness of existing packer detection signatures and propose a novel automatic YARA rule generation technique, called PackGenome. Inspired by the biological concept of species-specific genes, we observe that packer-specific genes can help determine whether a program is packed. Our framework generates new YARA rules from packer-specific genes, which are extracted from the unpacking routines reused in the same-packer protected programs. To reduce false positives, we propose a byte selection strategy to systematically evaluate the mismatch possibility of bytes. We compare PackGenome with public-available packer signature collections and a state-of-the-art automatic rule

generation tool. Our large-scale experiments with more than 640K samples demonstrate that PackGenome can deliver robust YARA rules to detect Windows and Linux packers, including emerging low-entropy packers. PackGenome outperforms existing work in all cases with zero false negatives, low false positives, and a negligible scanning overhead increase.

## CCS CONCEPTS

• Security and privacy → Software reverse engineering.

## KEYWORDS

Malware Analysis; Binary Packing; YARA rules; Unpacking Routines; Binary Similarity

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## 1 INTRODUCTION

Binary packing is recognized as one of the most popular software protection techniques [1]. It was originally designed to reduce the size of executable programs. Binary packers compress (or encrypt) the code and other necessary assets of the input program to packed data. It integrates an unpacking routine and packed data into the packed version. The unpacking routine takes charge of recovering and executing the original code at runtime. As a result, the original program's behaviors are hidden from static analysis. When combined with other code obfuscation and anti-analysis methods, packed programs can effectively impede reverse engineering attempts [2, 3]. Therefore, binary packing is not only favored by software developers but also has long been abused by malware authors. Recent studies [4–6] show that nearly 50% of packed programs (collected in the wild within the last five years) are benign, and 75% of malware samples are packed.

Intuitively, security analysts can dynamically monitor a packed program's execution to accurately discover the concealed behaviors. Unfortunately, the various evasion techniques adopted by advanced

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packers are significantly hindering dynamic analysis [7]. Security analysts have to adopt highly customized dynamic analysis (e.g., stealthy instrumentation [8] or hardware-assisted tracing [9]) as countermeasures. When processing large-scale programs, the cost of customized environments will become unacceptable [6]. Therefore, malware researchers typically rely on efficient static analysis to prioritize packed programs that are worthy of expensive dynamic analysis [10]. For example, VirusTotal, the top malware online scan service, processes more than 868K new files daily [11]. It relies on several signature-based tools to identify the packer used by malware samples, including YARA [12] and DIE [13].

Static packer detection has evolved into several variations. One heuristic method is to measure entropy: the compressed or encrypted data typically reveal higher entropy than the compiled code. Many research papers [4, 14–17] and industrial tools [13] regard the high entropy of sections as a sign of packed programs. However, Mantovani et al. [18] find that more than 30% of their 50K Windows malware datasets are low-entropy packed samples. These packed samples adopt multiple data encoding tricks to evade entropy-based detection. Another direction identifies packed programs based on the features extracted from executable binaries, such as PE header metadata, disassembly instructions, or the labels provided by VirusTotal [19–23]. The security community mainly uses these arresting static features to create signature rules or train machine learning models. However, Aghakhani et al. [6] point out that the machine-learning classifiers are not robust enough to detect packed malware variants in the wild.

As the most popular technique adopted by the security community, signature-based packer detection matches packers with predefined textual or binary patterns. The representative tool, YARA [12], has become the industry de facto standard to express malware characteristics. In academia, we surveyed the papers published in 12 major cyber security conference venues (e.g., IEEE S&P, USENIX Security, ACM CCS, and NDSS) over the past 16 years. There are 26 papers (12 of them are published in the top four venues) that rely on signature-based tools to identify packed programs in their experiments. According to whether directly using the signature-based tools in their experiments, these papers can be divided into two categories: (i) 24 papers directly use signature-based tools. For example, Ugarte-Pedrero et al.'s SoK paper [4] uses PEiD [24], Sigbuster, and F-Prot to classify off-the-shelf and custom packers. (ii) Two papers indirectly use signature-based tools. Downing et al. [25] and Park et al. [26] use unipacker [27] to unpack the samples of their datasets, while unipacker relies on YARA rules to detect packed programs.

The quality of the rules that describe packer features is the key to the effectiveness of signature-based detection. As research papers widely use signature-based detection tools to prepare ground-truth datasets [4], problematic rules might unintentionally pollute the dataset and lead to biased results. Unfortunately, the existing packer signature rules are mostly written and maintained by human analysts. After examining 10,249 publicly-available packer detection rules (detailed in Sec. 7), we find that the existing human-written rules are confronted with the following three problems.

**P1: The cost of manually writing and maintaining rules is becoming unaffordable.** To develop signature rules, security analysts have to put great effort into analyzing packed programs

and summarizing common features. A recent study [28] shows that experienced analysts spend several hours to weeks on reverse engineering programs. When handling complex packers such as Themida [29], even skilled analysts need up to six months on understanding programs and developing unpackers [30]. Meanwhile, the number of packers grows faster than the rule development process. In addition to over 150 different off-the-shelf packers with multiple versions [31, 32], there are also a great number of custom packers, which are preferred by malware authors [4, 30]. Considering the evolution of packers, security analysts also have to periodically track packers' new updates. Furthermore, 99.88% of packer detection rules are created from x86 instructions. To support x64 packed program detection, security analysts have to repeat the tedious rule development process.

**P2: The development of packer rules severely relies on human analysts' experience.** Guided by reverse engineering experience, malware researchers manually extract the common patterns of packed programs as rules. We observe that nearly 85% of rules only describe the Portable Executable (PE) entry point's instructions or section names. However, these features make the rules vulnerable to adversary packers. For example, APT41 camouflages VMProtect-packed programs by changing the section name from ".vmp" to ".UPX" [33]. Furthermore, the choice of the rule lengths and special constructions (e.g., wildcards) may bring more uncertainty to the rule matching. For example, a YARA rule with eight wildcards causes VirusTotal to mistakenly recognize the 7z.exe file as the Armadillo-packed program [34].

**P3: Packer rules reveal high false positives caused by mismatching with unexpected instructions.** Human analysts develop rules according to the bytes of expected instructions. However, signature-based detectors are based on the pattern matching, which operates on byte strings, regardless of instruction formats. Note that the byte length of an x86/x64 instruction varies from 1 to 15 [35]. As a result, human-written rules are very likely to mistakenly match parts of an irrelevant instruction, leading to high false positives (detailed in Sec. 2.3).

In this paper, we aim to mitigate the above problems by proposing *PackGenome*, an automatic YARA rule generation technique to advance packer detection. *PackGenome* is inspired by a biological fact that *species-specific genes* make humans different from chimpanzees [36]. *PackGenome* creates rules from *packer-specific genes*, which are the instructions that make the packed programs distinguished from the non-packed programs. We extract *packer-specific genes* from the unpacking routine instructions, because the unpacking routine is reused in the same-packer protected programs and does not exist in non-packed programs.

In particular, we first collect the unpacking routine instructions from packed binaries using a hybrid static-dynamic analysis. Since signature-based tools only scan programs statically, we dynamically extract the high-frequency instructions that are also visible to static analysis. Then, we identify packer-specific genes by calculating statistical similarity [37] of unpacking routines reused in the same-packer protected programs. At last, we propose a byte selection strategy to generate YARA rules. Our approach evaluates the mismatch probability of the generated rules when matching

with unexpected instructions. This mismatch probability guides us to select appropriate bytes as rules.

We have conducted a set of experiments to evaluate the efficacy of PackGenome. We first apply PackGenome to automatically generate new YARA rules for popular off-the-shelf and custom packers. Our evaluation of over 640K samples shows that our generated rules outperform existing work, including public-available YARA rules, the YARA rules generated by the state-of-the-art automatic rule generation tool AutoYara [22], and sophisticated JavaScript-like rules from Detect it Easy [13]. Compared with these representative tools, our approach exhibits zero false negatives, much lower false positives, and a negligible scanning overhead increase. We also evaluate the scalability of PackGenome in real-world scenarios. The results show that PackGenome-generated rules are robust to recognize x86/x64 Windows and Linux packed programs, even the custom packers such as low-entropy versions modified from standard packers.

**Contributions** Our key contribution is to free security professionals from the burden of manually piecing together the tedious steps of packer signature generation. In fact, malware researchers utilizing PackGenome will enjoy a simpler and more streamlined YARA rules development process than ever before. In summary, this paper makes the following technical contributions:

- Our key observation is that packer-specific genes, extracted from unpacking routines, are ideal candidates as packer significant features. We develop a hybrid static-dynamic extraction method to obtain these genes from the same-packer protected programs.
- We propose an automatic YARA rules generation technique for packer detection. The generated rules are robust to detect off-the-shelf packers, even the custom versions.
- We design a novel byte selection strategy, which evaluates the mismatch probability of the given byte rules. It can guide both automatic rule generation tools and human analysts to reduce false positives significantly.

**Open Source** We release PackGenome’s source code and generated YARA rules to facilitate reproduction and reuse, as all found at <https://github.com/packgenome>.

## 2 BACKGROUND AND MOTIVATIONS

In this section, we provide the background information needed to understand our work’s motivation. We first introduce binary packing and signature-based packer detection techniques. Then, we discuss the limitations of existing human-written rules and the challenges of developing robust packer detection rules, which motivate us to propose PackGenome.

### 2.1 BINARY PACKING

As shown in Fig. 1, the original program is statically rewritten by a packer and then gets self-unpacked at runtime. The packer treats instructions and other resources (e.g., “.data” section) of the input program as data. It compresses or encrypts these data and rewrites the input program. Meanwhile, the packers can modify (or remove) any parts of the original program that are not required for normal execution. For example, the UPX-packed programs use the section name “.UPX” instead of “.text”. The generated packed program typically contains the packed data and an unpacking routine.

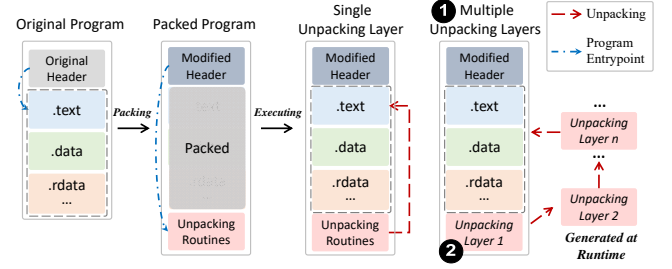


Figure 1: An illustration of the unpacking process.

```

1 rule UPX {
2 strings:
3   $a = "UPX"
4   $b = {60 E8 00 00 00 00 58 83 E8 3D}
5   $c = {EB ?? ?? ?? ?? 8A 06 46 88 07 47 01 DB 75 07 8B
        1E 83 EE FC 11 DB}
6   $d = {60 E8 [4] 58 83 E8 3D 50 8D B8 [4] (57|87) 8D B0}
7 condition:
8   $a and ($b at pe.entry_point or $d at pe.entry_point)
9   and $c

```

Figure 2: A YARA rule to detect UPX-packed programs.

The unpacking routine takes care of recovering the original code and driving the packed program to execute (i.e., the “written-then-executed” procedure [38]). To avoid breaking the functionality of the original program, the unpacking routine places unpacked original instructions and related resources at the original virtual addresses instead of arbitrary memory areas [39]. The reason is that the compiler-generated instructions access memory contents via specific address offsets, but recognizing and relocating memory addresses is still unsolved for static binary rewriting [40]. Furthermore, to complicate reverse engineering, the unpacking process may contain layers of “written-then-executed” code [2, 4] (① in Fig. 1). However, no matter how many unpacking layers exist, each packed program needs the first layer of the unpacking routine to release other layers, which means that part of the unpacking routine is always visible to static analysis (② in Fig. 1).

The core of the unpacking routine is the compression or decryption algorithm, which is typically reused from mature third-party libraries [41, 42]. For example, packed malware widely adopts the aPLib compression library [43]. Due to the performance concern, we observe that unpacking routines are usually protected by lightweight (or even no) obfuscation (detailed in Sec. 7.3).

### 2.2 SIGNATURE-BASED PACKER DETECTION

Signature-based packer detectors search the predefined textual or binary patterns using their own pattern matching grammars. VirusTotal’s open-source project YARA [12] is the most widely used tool for specifying malware signatures and performing searches. DIE [13] is another popular signature detector in the security community, which uses the JavaScript-like language rule written and maintained by domain experts. Unfortunately, it is poorly documented and provides less functionality than YARA. Hereinafter, we focus on YARA. Each YARA rule consists of two essential parts: strings and condition. A YARA rule to detect UPX-packed programs is shown in Fig. 2. The contents below the strings keywords are the expressions to be matched in binary code. YARA supports four types of strings, including text strings (\$a), text strings

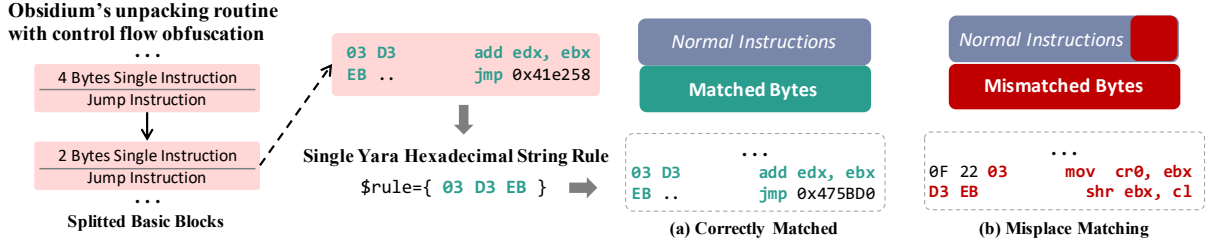


Figure 3: Comparison of different results matched by the YARA hexadecimal string rule:  $\$rule=\{03\ D3\ EB\}$ .

Table 1: Categorized public-available YARA and DIE rules for packer detection after removing duplicates. “Packers” means supported packers. “Meta” means the rules created from the PE header information [44] and text strings. “SC Bytes” means the rules use special constructions such as wild cards.

Sources	#Packers	Search Scope		Search Content			#Total
		Address-Based	Full-Binary	Meta	Bytes	SC Bytes	
YARA[45–50]	492	9,582	667	31	6,672	3,549	10,249
DIE	324	1,074	30	201	321	650	1,104

with regular expression, hexadecimal strings (\$b), and hexadecimal strings with special constructions (i.e., wildcard (?? in \$c), jumps ([4] in \$d), and alternatives ((57|87) in \$d)).

To produce appropriate rules of the strings, security analysts need to examine enormous packed programs and find the common salient expressions. They typically extract byte features of relevant instructions rather than textual features to develop packer detection rules, because binary packing can easily conceal or camouflage text strings of the packed program. As signature-based detection directly scans binary code instead of disassembly instructions, security analysts write hexadecimal rules based on the bytes of expected instructions. The contents of condition define two scopes to perform pattern matching: address-based vs. full-binary matching.

**Address-Based Matching** In this scenario, signature-based detectors only search given rules at specific addresses. For example, the rules \$b and \$d in Fig. 2 will only be searched at the entry point of PE files. We notice that more than 90% of packer detection rules only perform searches at specific addresses. However, the packer developers and malware authors can easily change the instructions at the entry point to bypass the address-based matching.

**Full-Binary Matching** To increase the robustness of rules, analysts can let signature-based detectors search through the entire binary (e.g., rule \$a and \$c in Fig. 2). However, signature-based detectors match the format of bytes regardless of the instruction encoding. Problematic whole-binary matching rules will introduce high false positives.

### 2.3 CHALLENGES OF GENERATING PACKER DETECTION RULES

Developing high-quality packer detection rules is a long-standing problem. We collect DIE rules and 10,249 public-available YARA rules after removing duplicated ones, and we categorize them in Table 1. A notable trend is that, as the number of packers continues to grow, the cost of manually developing and maintaining rules is

becoming unaffordable. Generating robust packer detection rules is faced with the following two challenges.

**First**, the guidelines to generate packer detection rules are missing. Human analysts rely on their experience to select features and develop signature rules. Table 1 presents that DIE’s rules heavily rely on the meta-information of programs such as section names and text strings at specific offset, which can be easily bypassed by modifying the unique strings. Meanwhile, 93.3% of YARA rules only consider the bytes of packed programs’ entry point, while these rules can be easily bypassed via modifying the entry point instructions. An alternative way is to expand the search scopes to the whole binary, but the hexadecimal string rules with few bytes will result in high false positives. To counteract false positives, security analysts tend to create rules with long-length bytes—80% of rules in Table 1 are longer than 25 bytes. These rules contain multiple control transfer instructions such as jmp and call. Unfortunately, they can still be easily thwarted by control-flow obfuscations such as basic block splitting.

The **second** obstacle is that packer rules mismatching with irrelevant instructions occurred often. The reason is that signature-based detection matches bytes without considering the format of instructions. This design shortcoming would lead to *mismatch matching*, in which the matched bytes belong to parts of unexpected instructions. Fig. 3(b) shows an example of a hexadecimal string rule mismatching with parts of two sequential instructions; the matched instructions have different formats and semantics from the expected ones. Intuitively, the signature-based detection can support instruction matching based on static disassembly results, but performing disassembly for every program will incur extra overhead. As a result, the accumulated slowdowns of scanning large-scale packed programs will become unacceptable.

To migrate the aforementioned challenges, a promising direction is generating signature rules for packed programs automatically. However, the existing automated rule generators focus on automating the signature generation for malware payload rather than packers. *YaraGenerator* [51] generates rules based on the most common textual features (e.g., strings) shared across malware families. *yabin* [52] uses the fixed-length bytes of function prologues to generate rules. *yarGen* [53] creates rules from salient text and hexadecimal strings, which are filtered from several pre-built “good string” databases. *AutoYara* [22] is the state-of-the-art automatic rule generation tool, which combines heuristics and biclustering algorithms to create high-quality rules from the generally frequent large N-gram bytes of limited samples. It outperforms the aforementioned rule generators (detailed in Sec. 7.5) and even skilled analysts. However, as admitted by AutoYara’s authors [22], binary

packing can impede all of the above YARA automation tools, because they create rules from common textual strings or bytes of the malware payload.

### 3 OVERVIEW

Our research aims to solve the challenge discussed in Sec. 2.3 and make packer detection rules generation less burdensome. In particular, we develop a new packer analysis framework to extract packer-specific genes and automatically generate YARA rules. The insight behind our approach is that the reused unpacking routine instructions are ideal candidates for packer-specific genes, because they recur in the same-packer protected programs. Furthermore, we propose a novel byte selection strategy to reduce the mismatch probability of generated YARA rules. PackGenome is effective in processing both Windows and Linux packers on x86/x64 platforms. As shown in Fig. 4, the workflow of PackGenome involves the following four steps.

**① Packed Program Preprocessing** This step prepares multiple same-packer protected programs for packer-specific gene extraction. By proactively interacting with packer tools, we traverse obfuscation configurations of packers to synthesize diversified packed programs with different unpacking routines. Then, we statically extract the section information from packed programs.

**② Packer-specific Gene Extraction** We first record the packed program's runtime information using dynamic instrumentation. Guided by the extracted section information, we adopt control flow analysis to discover unpacking routine instructions that are also visible to static analysis. Then, we find similar unpacking routine instructions that are reused in the same-packer protected programs. These instructions are candidates for packer-specific genes.

**③ Rule Generation** At last, our framework automatically generates YARA rules from our extracted packer-specific genes. According to the information provided by the similarity analysis, it can adopt appropriate special constructions (e.g., wildcards) into YARA rules. In addition, the generation step interacts with a byte selection strategy to select the rules with a lower mismatch probability.

**④ Byte Selection** Unlike existing packer rule development that relies on human analysts' experience, we systematically evaluate the misplace matching possibility to guide byte selection. We first convert the given bytes to possible mismatched instructions based on our predicting disassembly technique. Then, we use an N-gram technique to calculate the possibility that the converted instructions appear in real-world programs. It helps us to filter out the byte strings exhibiting a high mismatch probability.

### 4 PACKED PROGRAM PREPROCESSING

This preprocessing step prepares packed programs and collects necessary information from synthesized programs to assist the packer-specific gene extraction process.

Inspired by the chosen-instruction attack [54] learning knowledge through interaction with code virtualization obfuscators, we cover different unpacking routines of packers by proactively synthesizing packed programs. Note that the unpacking routine attached to the packed program is irrelevant to the semantics of the input program's instructions. The input program only needs to meet the

requirements of the packing tool such as file size. The major factor determining the unpacking routine's diversity is the packer's obfuscation configurations, because the specific compression or decryption algorithm—the core of the unpacking routine, is controlled by the obfuscation configurations. To cover different unpacking routines, we traverse every configuration combination provided by the packer and synthesize corresponding packed programs.

To assist the discovery of statically visible unpacking routine instructions at runtime, we first collect the section information (i.e., name and address) of the packed programs. Because a notable feature of unpacking routines is that they need to place the unpacked original instructions and data back at the original virtual address. For example, the unpacked instructions have to be placed at the virtual address of the original non-packed program's ".text" section at runtime. With the help of the collected section information, we monitor the regions of packed program that are written then get executed by the statically visible, unpacking routine instructions; we also assign labels to these instructions during dynamic analysis.

### 5 PACKER-SPECIFIC GENE EXTRACTION

In this section, we describe how to extract packer-specific genes from the unpacking routine instructions reused in the same-packer protected programs. We first record the execution trace of the first unpacking layer and assign labels to instructions. To discover unpacking routine instructions, we propagate the labels among the recorded basic blocks guided by the control-flow information and the execution numbers. At last, we extract packer-specific genes from similar instructions reused in unpacking routines.

#### 5.1 RECORDING THE FIRST UNPACKING LAYER EXECUTION TRACE

Sophisticated packers usually adopt obfuscation (e.g., self-modifying code) to frustrate static disassembly [55]. It is difficult for static analysis to correctly extract unpacking routine instructions from the obfuscated binary. Therefore, we adopt the Intel Pin [56] framework to record the runtime information of the unpacking routine instructions that are visible to static analysis (i.e., the first unpacking layer). The reason is that YARA and other signature-based detectors only search patterns from the programs statically.

Our Pintool records the statically visible instructions that exist in the main executable and collects runtime information at the basic block level. The recorded trace information includes the memory address, the length of instruction bytes, the basic block's execution numbers, instruction bytes of basic blocks, and labels. To monitor the "written-then-executed" behaviors of instructions, we employ the runtime monitoring techniques used in Deep Packer Inspector [4]. During dynamic analysis, our Pintool assigns labels to instructions according to their runtime behaviors. If an instruction  $I$  writes unpacked instructions  $I'$  to the original code section and  $I'$  gets executed at runtime, this instruction  $I$  will be assigned a label. Note that sophisticated packed programs may adopt multiple unpacking layers [4], which iterate the procedure of writing to allocated memory and then executing the written memory. We need to monitor the "written-then-executed" region written by the instructions of the first unpacking layer (e.g., "Unpacking Layer 1" in Fig. 1) and assign labels to these instructions.



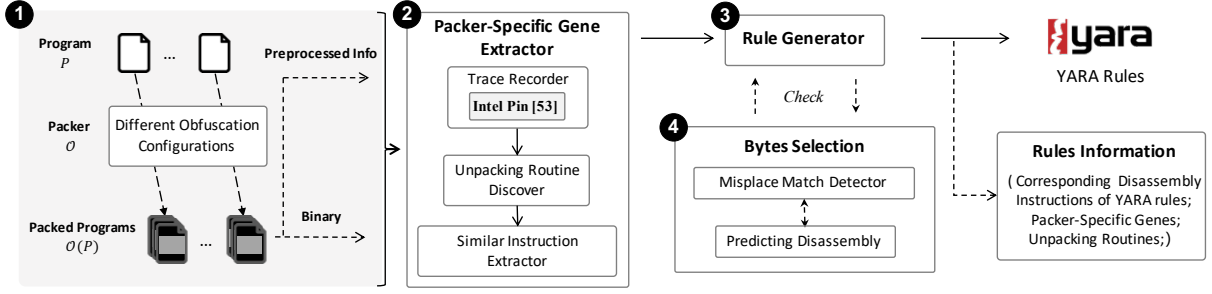


Figure 4: The overall workflow of PackGenome framework.

Furthermore, to circumvent potential anti-instrumentation techniques used in packed programs, we integrate our Pintool with the anti-evasion framework ARANCINO [8].

## 5.2 DISCOVERING UNPACKING ROUTINE INSTRUCTIONS

Note that our Pintool only assigns labels to the instructions that are directly written to the monitored address such as the “written-then-executed” region. It ignores other parts of unpacking routine instructions that only decode unpacked data without writing to the monitored address at runtime. To find complete unpacking routine instructions, we propagate labels of instructions to related basic block  $B$  based on control flow analysis.

In particular, we only propagate labels among the  $B$  with similar execution numbers to avoid propagating labels to the entry point instructions, which can be easily diversified by packers. The reason is that the instructions pertaining to the decompression (or decryption) function are executed considerably more times than other instructions. Since the execution numbers of the recorded basic block  $N_B$  are mainly decided by the size of packed data, the  $N_B$  of different packed programs could vary greatly. Therefore, we compare the relative execution numbers of  $N_B$ . Formally, we define the relative execution numbers  $REN(B_i)$  of the given basic block  $B_i$  as follows:

$$REN(B_i) = \frac{N_{B_i}}{\sum_{j=1}^m N_{B_j}} \quad (1)$$

where  $m$  is the total number of  $B$ , and  $B_i$  belongs to recorded basic blocks  $\{B_1, \dots, B_m\}$  of a single trace. We use  $REN(B_i)$  to find high-frequency labeled basic blocks  $B_i$ . Then, we strip off the self-modified instructions from the labeled  $B$  by comparing the recorded bytes of instructions with the bytes statically extracted from the same address. The rest of the statically visible unpacking routine instructions are candidates for packer-specific genes.

## 5.3 EXTRACTING PACKER-SPECIFIC GENES

To extract the packer-specific genes from the unpacking routines instructions, we find similar instructions from the reused unpacking routines. We first calculate the similarity of labeled basic blocks  $B$  from multiple same-packer protected programs. Then, we use the similarity of  $B$  to guide the selection of packer-specific genes, and prepare the similarity information (e.g., the offset of different bytes) of syntactically similar instructions for our rule generator.

Given two packed programs  $P_a$  and  $P_b$ , we first collect the labeled basic blocks:  $\{B_{a1}, \dots, B_{an}\}$  and  $\{B_{b1}, \dots, B_{bn}\}$ , respectively.

To discover the  $B$  reused in packed programs, we compare the similarity of different  $B$  using the following two steps.

**Bytes** Given  $B_{ai}$  and  $B_{bj}$ , we first directly compare their bytes. If their bytes are identical, we will skip the following comparison. Otherwise, we compare them at the slice level.

**Slice** To overcome the obfuscations adopted by the sophisticated packers, we compare the slices extracted from the  $B$ . We first decompose  $B$  into slices  $S$  by performing the backward slicing starting from the outputs of  $B$ . Then, we calculate the statistical similarities [37] of slices and lift slices’ similarity into the similarity between  $B$ . We define the similarity of slice pairs as follows:

$$SimSlice(S_a, S_b) = \frac{\sum_{k=1, l=1}^n SimIns(I_k, I_l)}{I_k \in S_a, I_l \in S_b} \quad (2)$$

where  $S_a$  and  $S_b$  have the same output operands,  $n$  is the maximum instruction number of  $S_a$  and  $S_b$ , and the  $SimIns(I_k, I_l)$  is used to compare the similarity of instructions, which will return 1 when the instruction format (i.e., mnemonic and operand types such as REG) of  $I_k$  and  $I_l$  are the same, otherwise return 0. Then, we lift the slices’ similarity into the similarity of  $B$  by the calculation defined as follows:

$$SimBS(B_a, B_b) = \frac{\sum_{i=1, j=1}^n SimSlice(S_i, S_j)}{S_i \in B_a, S_j \in B_b} \quad (3)$$

where  $n$  is the maximum slice number of  $B_a$  and  $B_b$ . If each slice group of the given two  $B$  is syntactically similar, the  $B_a$  and  $B_b$  are highly similar at the slice level.

According to the similarity of  $B$ , we collect  $B$  as packer-specific genes to generate rules. The results of the above comparison can be divided into the following two equivalent scenarios.

**Completely Equivalent** If the bytes of given recorded basic blocks  $B_{ai}$  and  $B_{bj}$  are identical, we consider  $B_{ai}$  and  $B_{bj}$  are completely equivalent. For example, the compression packers (e.g., UPX) are reusing exactly the same unpacking routine instructions in each packed program (detailed in Sec. 7.3). The completely equivalent bytes can be directly used to generate YARA rules for packer detection.

**Partially Equivalent** When packers adopt obfuscation to protect unpacking routine instructions, we may find  $B_{ai}$  is only partially equivalent to  $B_{bj}$ . It means that they have similar slices but different bytes. For example, the two slices “mov ecx, 0x579; dec ecx;” and “mov ecx, 0x586; dec ecx;” extracted from

```

1 rule Packer_v1 {
2   strings:
3     $a = {a4 eb} //Pa = 0.7
4     $b = {21 41 3c e8 74} //Pb = 0.5
5     $c = {8b 96 8c 00 00 00 8b c8 c1 e9 10 33 db 8a 1c 11
           8b d3 eb} //Pc = 0
6   condition:
7     $a and $b and $c
8 }
9 rule Packer_v2 {
10  strings:
11    $a = {a4 eb} //Pa = 0.7
12    $b = {21 41 3c e8 74} //Pb = 0.5
13  condition:
14    $a and $b
15 }

```

**Figure 5: The example of YARA rules with calculated misplace matching probability.**

Enigma-packed programs are similar but have different bytes due to two different operand values of mov instructions. The  $\mathcal{B}$  with a higher  $\text{SimBS}(\mathcal{B}_a, \mathcal{B}_b)$  are preferred candidates for packer-specific genes.

## 6 YARA RULE GENERATION

Given packer-specific genes, we first generate hexadecimal string rules (HSR) from each basic block of the packer-specific genes based on the similarity information. If the bytes of packer-specific genes are completely equivalent, we directly convert these bytes to the HSR. Otherwise, we locate different bytes from the partially equivalent bytes, replace them with the elaborated special constructions (e.g., wildcards), and construct HSR. The minimum length of HSR is **two**. Next, we take a byte selection strategy to minimize misplace matching errors for the generated YARA rules. To calculate the mismatching probability of HSR, we propose the predicting disassembly technique to convert each HSR to possible misplace-matched instructions. Specifically, the predicting disassembly collects and searches the combinations of opcode, prefix, and operand from XED rules that can be mismatched by the HSR. It synthesizes possible mismatched instructions and calculates the occurrence probability of each instruction. Then, we perform the byte selection based on the mismatching probability of HSR, which is the maximum occurrence probability of synthesized instructions.

### 6.1 BYTE SELECTION

Our byte selection strategy calculates the misplace matching probability of the input YARA rules and guides the selection of YARA rules. Specifically, given an input YARA rule, we first calculate the misplace matching probability of each hexadecimal string rule  $P_{HSR}$ . Thanks to our predicting disassembly technique, we transform the mismatching probability into the occurrence probability of possible mismatched instructions (detailed in Sec. 6.2). Then, we multiply each  $P_{HSR}$  to compute the mismatch probability of a single YARA rule  $\mathcal{P}_{rule}$ , and filter out the rules with high mismatching probability. Taking the rules in Fig. 5 as an example, the misplace matching probability of the rule `Packer_v1` is  $\mathcal{P}_{Packer\_v1} = P_a * P_b * P_c$ . Since  $P_c = 0$ ,  $\mathcal{P}_{Packer\_v1} = 0$ , which means the rule `Packer_v1` will not lead to misplace matching errors. In contrast, the rule `Packer_v2` has a higher misplace matching probability  $\mathcal{P}_{Packer\_v2} = P_d * P_e = 0.35$ . Therefore, our strategy will only retain the rule `Packer_v1`.

### 6.2 CALCULATING MISPLACE MATCHING PROBABILITY

According to the mismatch type of HSR, Fig. 6 shows how we calculate misplace matching probability of HSR  $P_{HSR}$  in two ways. **HSR entirely belongs to a single instruction.** The  $P_{HSR}$  is the possibility of corresponding mismatched instruction  $I$  occurring in real-world programs. In this scenario, the length of possible mismatched HSR is in the interval  $[2, 15]$ , because the minimum length of HSR is 2 and the maximum byte length of x86/x64 instruction is 15. We first apply the predicting disassembly technique to find possible mismatched instructions. It synthesizes a set of possible mismatched instructions  $\{I_1, \dots, I_n\}$  from the given HSR (detailed in Sec. 6.3). Then, we compute the occurrence probability of each instruction  $p_I$  in the instruction database<sup>1</sup>. The  $P_{HSR}$  is the maximal probability of  $p_I$ . i.e.,  $P_{HSR} = \max(p_{I_1}, \dots, p_{I_n})$ .

**HSR partially belongs to a single instruction.** The  $P_{HSR}$  is the occurrence probability of all possible mismatched instruction sequences  $IL$  that appear in real-world programs. In this scenario, the given HSR consists of  $x$  bytes ( $x \geq 2$ ), and only the first  $i$  ( $i \in [1, x-1]$ ,  $i \leq 15$ ) bytes of HSR can be mismatched to the tail bytes of one single instruction. We first adopt the predicting disassembly to synthesize a set of possible mismatched instructions  $U = \{I_1, \dots, I_n\}$  from the first  $i$  bytes of HSR. Then, we combine each instruction of  $U$  with the instruction sequences disassembled from the rest  $x - i$  bytes as  $IL$ . To calculate the occurrence probability of possible mismatched instruction sequences  $p_{IL}$ , we apply a standard N-gram analysis to process each  $IL$ . Then, we search converted  $IL$  from our constructed N-gram database<sup>1</sup> and calculate the  $P_{HSR} = \max(p_{IL_1}, \dots, p_{IL_n})$ .

Different from the prior N-gram based techniques (e.g., MutantXS [57]) that only extract the opcode of instructions, we use four components (i.e., prefix, opcode, mnemonic, and the format of operands) to represent an instruction during the N-gram analysis. The reason is that the opcode may not fully represent the semantics of instructions. The instructions with the same opcode could have totally different semantics. For example, two semantically different instructions “add eax, 0x41” and “or eax, 0x41” share the same opcode 0x81.

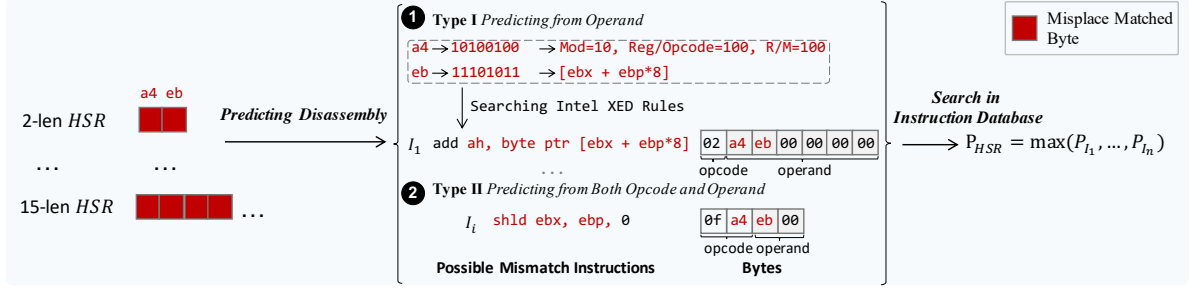
**Examples.** The two-bytes HSR `$a` in Fig. 5 can be mismatched in two ways. For the HSR `$a` entirely belonging to a single instruction, we calculate the probability  $P_a = 0.7$ . For the HSR `$a` partially belonging to a single instruction, we calculate the probability  $P_a = 0.3$ . Since the maximum  $P_a = 0.7$ , the HSR `$a` should be combined with the HSR that has a low misplace matching probability when constructing YARA rules. Another example is the 19-bytes HSR `$c`. It can only partially belongs to a single instruction. Since the maximum  $P_c = 0$ , the HSR `$c` can be directly used in any YARA rules.

### 6.3 PREDICTING DISASSEMBLY

Please note that our goal is to find every possible instruction that can be fully misplace-matched by HSR. Intuitively, the analysts can

<sup>1</sup>The instruction database and N-gram database are created from the dataset *NPD*, including more than 20,000 samples collected in the real world (detailed in Sec. 7.1).

## Fully Mismatched to An Instruction



## Partially Mismatched to An Instruction

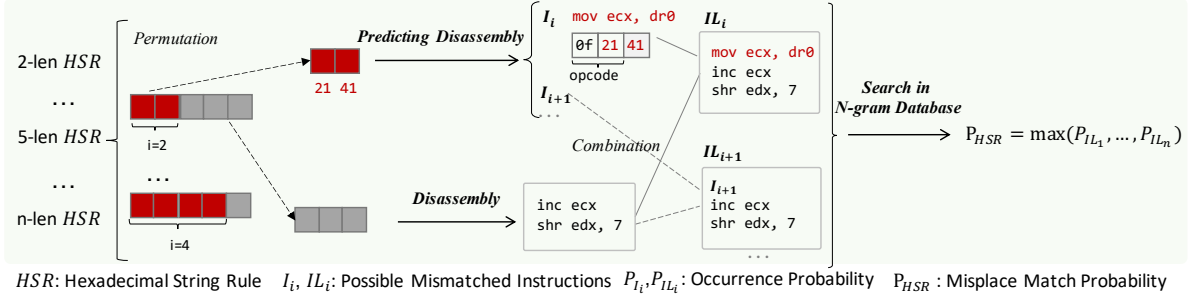


Figure 6: The process of calculating the misplace matching probability of different hexadecimal string rule.

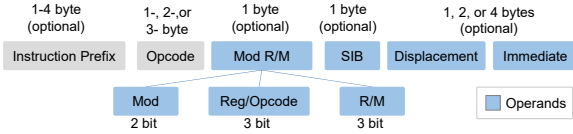


Figure 7: The Intel instruction encoding format.

brute-force traverse every combination of bytes that can be mismatched by HSR. However, they would face an ultra-large search space consisting of  $256^{15}$  combinations of bytes. Because the maximum byte length of the x86/x64 instruction is 15 and the value of each byte is in the interval  $[0, 255]$ . For example, to find the instructions that can be fully misplace-matched by the shortest HSR (i.e., two bytes), the analysts have to traverse more than  $256^{15-2} \approx 2 * 10^{31}$  combinations of bytes.

Therefore, to efficiently predict every possible misplace-matched instruction of HSR, we propose the predicting disassembly technique. Given the input HSR, we first search the qualified Intel XED rules that can hold the full bytes of mismatched HSR. We choose Intel XED rules as they reveal each combination of Intel instruction encoding. To find the qualified XED rules, our approach transforms HSR into searchable formats based on the encoding grammar of XED rules. For example, as shown in ① of Fig. 6, the byte “a4” would be converted to the format `Mod=10, Reg/Opcode=100, R/M=100`. According to the components of XED rules matched by transformed HSR, the transformation and prediction process can be divided into the following three scenarios.

**Predicting from Opcode (and Prefix)** As defined in the Intel instruction encoding, the combination of opcode and prefix consists of predefined concrete values. After searching in the combinations of opcode and prefix, we observe that our generated HSR do not

mismatch any opcode combinations of instructions. Because the last byte of our generated HSR is the opcode of control transfer instructions and the rest bytes of HSR are converted from normal disassembly instructions. Therefore, HSR cannot satisfy any combinations of opcode and prefix. Our approach only needs to process the following two types.

**Type I Predicting from Operand** Our approach transforms the given HSR into the operand encoding format of XED rules, and collects the qualified XED rules that have the same operand encoding format as the transformed HSR. As shown in Fig. 7, the components of the operand encoding include `Mod R/M`, `SIB`, `Displacement`, and `Immediate`.

For the given HSR mismatching the `Mod R/M` and `SIB`, we convert HSR to the operand encoding format and find the qualified Intel XED rules. As the example ① shown in Fig. 6, given HSR “{a4 eb}”, we first convert the byte “a4” to `Mod=10, Reg/Opcode=100, R/M=100` based on the `Mod R/M` encoding scheme. After verifying the correctness of encoding, we convert the byte “eb” to the operand `[ebx+ebp*8]` based on the `SIB` encoding scheme. For the given HSR mismatching the `Displacement` and `Immediate`, we can directly convert HSR to the hexadecimal bytes. However, the probability of HSR mismatch in the `Displacement` and `Immediate` is negligible, because these components can be arbitrary hexadecimal bytes from `0x0` to `0xffffffff`. Finally, we synthesize 9,055 instructions that can be mismatched by “{a4 eb}”.

**Type II Predicting from Both Opcode and Operand** We search the first several bytes of HSR from the combinations of opcode and prefix, and collect the qualified Intel XED rules. Then, we treat the rest bytes of HSR as Type I and search for the qualified rules from prior collected Intel XED rules. As the example ② shown in Fig. 6, HSR’s first byte “a4” mismatches the tail of opcode “0fa4” and the second byte “eb” mismatches the head of the operand “eb00”. In



total, we synthesize one instruction that can be Type II mismatched by “{a4 eb}”.

After collecting the qualified Intel XED rules, the predicting disassembly synthesizes the possible mismatched instructions from the collected rules. We describe the detailed process of predicting disassembly in Algorithm 1. Given the input HSR “{a4 eb}”, we synthesized 9,056 instructions. Then, we calculate the occurrence probability of each synthesized instruction. The maximum occurrence probability  $P_{HSR} = 0.7$ , which means this HSR can be easily mismatched. When constructing the YARA rules, it should be combined with the HSR that has a low misplace matching probability.

---

**Algorithm 1:** Predicting Disassembly

---

**Input:** HSR Hexadecimal String Rules  
**Result:** INL List of Misplace Matched Instructions

```

1 Function B2Opcode(HSR) := Converting HSR to the same
  byte format as the opcode of XED rules.
2 Function B2Operand(HSR) := Transforming HSR to operand
  based on the grammar of XED rules.
3 Function InsGen(rule) := Generating instructions from the
  Intel XED rules.
4  $OPC[opcode]$  := The XED rules that satisfy opcode.
5  $OPE[operand]$  := The XED rules that satisfy operand.
6  $INL \leftarrow \{\}$ 
7 if the length of HSR > 15 then
8   return False
9 end
10 /* Type I Predicting from operand */
11 if B2Operand(HSR)  $\neq \{\}$  then
12   for each code in B2Operand(HSR) do
13      $INL \leftarrow INL \cup InsGen(OPE[code])$ 
14   end
15 /* Type II Predicting from both opcode and operand */
16 for each index i from the start of len to end do
17    $sopcode := B2Opcode(HSR[i])$ 
18   if  $sopcode \neq \{\}$  then
19      $soperand := B2Operand(HSR[i])$ 
20     if  $soperand \neq \{\}$  then
21        $INL \leftarrow INL \cup InsGen(OPC[sopcode],$ 
22          $OPE[soperand])$ 
23     end
24   end
25 end
26 return  $INL$ 

```

---

## 7 EVALUATION

In this section, we evaluate PackGenome by answering the following four research questions (RQs).

- **RQ1:** Can PackGenome effectively generate detection rules for different types of packers?
- **RQ2:** How are the accuracy and efficiency of PackGenome’s generated rules compared to human-written rules and other automated rule generators?

- **RQ3:** How is the scalability of PackGenome’s generated rules on detecting packed samples?
- **RQ4:** How is the performance of PackGenome’s generated rules when detecting programs in the wild?

To answer RQ1, we apply PackGenome to generate 70 YARA rules for 20 popular packers, and evaluate the contributions of our byte selection technique (Sec. 7.2). We also discuss the new findings of our study (Sec. 7.3). For RQ2, we design two experiments to measure the accuracy of different rules (Sec. 7.6). To evaluate the efficiency, we compare the running time of YARA and Detect it Easy (DIE) on samples with four different magnitudes (Sec. 7.7). For RQ3, we evaluate generated rules on the packed programs with multiple versions. We also measure the scalability of PackGenome on Linux packed programs, custom packers, and low-entropy samples (Sec. 7.8). For RQ4, we evaluate PackGenome on the real-world malware samples to show the feasibility of detecting in-the-wild custom packers and adversarial samples (Sec. 7.9).

### 7.1 EXPERIMENTAL SETUP AND DATASETS

**Peer Rules for Comparison** We choose human-written YARA rules, automated rule generators (i.e., yarGen [53], yabin [52], Yara-Generator [51], and AutoYara [22]), and DIE [13] in comparison with PackGenome. We first collect public-available human-written packer detection rules from GitHub, including 9,296 rules from six open-source YARA rule libraries [45–50], and 5,703 rules converted from PEiD and ExeInfo PE [58]. After removing duplicates, we obtained 10,249 unique rules. Among the collected rules, only 44 rules support x64 packed program detection. Meanwhile, we use the default parameters for automated rule generators and DIE console `diec.exe` during our experiments.

**Packers for Rule Generation** We select off-the-shelf packers from recent papers [6, 38]. Finally, we shortlist 20 packers (listed in Table 2) because they can work properly in modern operating systems. They are used to generate x86/x64 Windows packed programs. As the existing x64 human-written rules only support four packers (i.e., UPX, MPRESS, Themida, and Enigma), we also use these four packers to generate x64 packed programs.

**Rule Generation Datasets (RGD)** We traverse multiple versions and configurations of 20 off-the-shelf packers to generate packed programs (*RGD*). The input of packers are three manually constructed programs, which are compiled from 2–5 lines C codes. Given each configuration of packers, we generate three packed samples as the input of PackGenome during the experiment. The purpose here is to estimate the performance of PackGenome in the worst scenario that was pointed out by AutoYara, i.e., the number of the same-packer protected programs is limited in real-world scenarios. Similarly, we generate 16 packed samples, greater than most rule generation scenarios (i.e.,  $\leq 10$  samples) reported in the AutoYara paper [22], as the input of automated rule generators to generate rules for each configuration of packers.

**Testing Datasets** To construct the labeled packed samples dataset *LPD*, we first use 750 real-world programs as input to generate 38,663 x86 programs and 2,237 x64 programs by combining 20 off-the-shelf packers with multiple versions and configurations. This dataset consists of the packed programs that can be linked to known packers. We also constructed a non-packed samples dataset *NP*

**Table 2: Comparing PackGenome with other rules on the *LPD* dataset. “Configurations” reports the obfuscation configurations of packers we use to generate packed programs. “Related” means the configuration that affects the generated unpacking routine instructions and “Total” means the total number of configurations used in the program generation process. “Obfuscation” reports the obfuscation adopted by the first layer of unpacking routines. “GR” reports the number of the generated rules. “TDR” reports the total detection rate of each tool. The order of column “FPR” and “Time” in “Our approach” is (PackGenome-N, PackGenome).**

Packer	# of Vers	Configurations		Obfuscation	Our Approach					Human-Written YARA Rules				AutoYara[22]				Detect It Easy [13]			
		Related	Total		GR	FPR [%]	FNR [%]	TDR [%]	Time [s]	FPR [%]	FNR [%]	TDR [%]	Time [s]	FPR [%]	FNR [%]	TDR [%]	Time [s]	FPR [%]	FNR [%]	TDR [%]	Time [s]
UPX	6	8	36	N	10	(13.5, 0)	0	100	(2.1, 1.9)	100	0	100	5.7	22.7	68.0	41.1	1.2	0	0	100	729
Armadillo	3	5	33	EU+N	4	(100, 0)	0	100	(8, 8.5)	79.3	6.29	94.1	28.4	100	42.3	100	4.2	0	0	100	592
MPRESS	3	1	10	N	2	(0, 0)	0	100	(0.3, 0.2)	100	0	100	0.9	38.8	95.9	38.8	0.2	0	0	100	55
PECompact	2	5	46	N	5	(11.9, 0)	0	100	(3.3, 3.0)	91.4	0	100	8.9	18.5	86.9	24.6	1.7	0	0	100	1032
ASPack	3	1	8	N	3	(14.2, 0)	0	100	(1.5, 1.3)	100	0	100	4.3	19.5	70.7	40.5	0.9	0	0	100	503
VMProtect	2	6	10	VM	9	(96.4, 0)	0	100	(11.6, 11.6)	15.9	0	100	17.6	19.0	88.7	19.3	5.4	0	0	100	545
FSG	1	1	1	N	1	(14.5, 0)	0	100	(0.2, 0.2)	100	0	100	0.9	19.0	88.5	19.5	0.1	0	0	100	33
Obsidium	1	7	37	CF	7	(98.8, 0)	0	100	(1.7, 1.3)	1.82	100	1.8	3.1	17.1	89.0	19.1	0.8	0	9.3	90.7	275
Petite	1	5	21	N	1	(12.5, 0)	0	100	(0.6, 0.5)	3.03	0	100	1.8	19.6	98.7	20.9	0.4	0	0	100	166
kkrunchy	2	1	4	N	2	(26.0, 0)	0	100	(0.2, 0.2)	2.33	1.95	98	0.9	31.9	73.5	40.5	0.1	0	0	100	42
MEW	1	2	9	N	2	(12.5, 0)	0	100	(0.6, 0.5)	1.25	0	100	1.8	0.33	0	100	0.4	0	0.5	99.5	201
NsPack	3	1	15	N	1	(13.3, 0)	0	100	(0.9, 0.8)	71.8	0	100	2.5	20.3	90.3	20.3	0.5	21.1	61.4	59.7	287
Themida	2	9	17	EU+SC; EU+VM+N	9	(1.0, 0)	0	100	(13.7, 12.6)	10.7	35.8	66.4	26.3	19.5	67.5	42.3	6.5	5.33	0	100	639
ACProtect	2	2	14	N	3	(89.9, 0)	0	100	(2.6, 2.2)	98.6	0	100	5.6	19.5	67.3	46.2	1.4	21.6	0	100	512
ZProtect	1	1	29	EU+CF	1	(100, 0)	0	100	(1.4, 1.1)	5.08	24.9	76.5	2.9	19.2	17.8	85.2	0.6	0.08	0	100	212
Winlicense	1	2	31	EU+VM+EU	4	(0.1, 0)	0	100	(8.2, 8.1)	19.7	0	100	16.4	19.5	78.1	37.4	4.1	8.28	0	100	413
Enigma	4	1	44	EU+SO	1	(100, 0)	0	100	(7.4, 7.1)	100	0	100	12.7	8.42	0	100	4.2	0	0	100	698
MoleBox	1	1	10	N	1	(91.0, 0)	0	100	(0.8, 0.8)	2.22	0	100	1.9	17.4	94.3	17.4	0.4	0	100	0	138
WinUpack	1	1	15	N	2	(12.0, 0)	0	100	(0.4, 0.3)	100	0	100	1.3	18.5	92.5	23.8	0.2	0	0	100	138
expressor	1	2	15	N	2	(25.1, 0)	0	100	(0.4, 0.4)	0	100	0	1.3	38.8	90.4	38.8	0.3	0	0	100	95

<sup>1</sup> “N” means not obfuscated, “VM” means code virtualization obfuscation, “CF” means control-flow obfuscation, “EU” means the first layer of unpacking instructions are encrypted, “SO” means single obfuscation such as junk instructions, “+” means using both obfuscation at the same time, “;” separates multiple types of unpacking instructions.

to measure the false positive rates of rules. This dataset consists of 26,326 non-packed malware samples retrieved from the recent work [18], and 1,224 collected real-world benign programs such as system files. To evaluate the performance of rules in the real world, we collected 579,832 malware samples from VX-underground [59], VirusTotal, and GitHub [60, 61]. They are divided into three categories. We use 560,285 Windows APT and malware samples as *WD1* to evaluate the effectiveness of the rules. We also retrieved 18,288 packed and evasive samples from the low entropy dataset [18] (*WD2*). It helps us to evaluate the robustness of rules on adversarial samples. Furthermore, we retrieved 1,302 x86/x64 Linux malware as *WD3*, which is used to evaluate the scalability of our generated rules on different systems.

**Testing Environment** We run all experiments on a testbed machine with Intel i7-6700 CPU (4 cores, 3.40GHz), 32GB RAM, 1.8TB Hard Disk, running Windows 10.

## 7.2 RULE GENERATION OF PACKGENOME

We use the *RGD* dataset as the input of PackGenome to generate rules. To evaluate the effectiveness of PackGenome and the contribution of the byte selection technique, we generate rules under two different configurations: (i) **PackGenome**: PackGenome generates rules from programs packed in the same configuration of packers. (ii) **PackGenome-N**: PackGenome without byte selection technique. Then, we apply generated rules to the *LPD* dataset and compare the detection accuracy. As shown in the “FPR” column of “Our Approach” in Table 2, the byte selection technique can effectively reduce the mismatch possibility of our generated YARA rules. After inspecting the PackGenome-N generated rules, we find that most false positives are introduced by the hexadecimal string rules with a high mismatch possibility. For example, more than thousands of false positives are caused by a Winlicense detection rule, which contains 13 hexadecimal string rules with a mismatch possibility greater than 0.8.

## 7.3 NEW FINDINGS OF PACKERS

We first apply PackGenome-generated rules to the labeled packed dataset *LPD* (shown in Table 2), and examine extracted packer-specific genes. The new findings of packers are described in the following paragraphs.

**Configuration of Packers** As the configuration of packers controls the decompression algorithms and obfuscations used in unpacking routines, we traverse the configurations provided by the packers. The total number of each packer’s configurations is shown in the “Total” column of Table 2. We find that only parts of configurations affect generated unpacking routines (shown in the “Related” column of Table 2). Most of these configurations are the options of compression algorithms. For example, UPX provides four compression options (i.e., Nrv2d, Nrv2e, Nrv2b, and LZMA).

**Packer-specific Genes** We notice that most of the extracted packer-specific genes are the decompression (or decryption) functions. The classification of packer-specific genes is shown in Fig. 10. Many packers use similar unpacking algorithms, especially the standard decompression algorithms such as aPLib. For example, FSG v1.x and MEW v1.x use the same unpacking routine instructions.

## 7.4 OBFUSCATED UNPACKING ROUTINES

This section studies the performance of PackGenome when processing real-world obfuscated unpacking routines. As shown in Table 2, seven packers’ unpacking routines are protected with four different obfuscation schemes. Firstly, for the packed programs with anti-instrumentation configurations, PackGenome can still generate rules by integrating with the framework ARANCINO. For the control-flow obfuscation, ZProtect and Obsidium split their unpacking routines into many small basic blocks. In their obfuscated unpacking routines, each chained basic block consists of only two instructions. Thanks to our byte selection strategy, PackGenome can combine multiple short hexadecimal string rules to generate

**Table 3: Comparing PackGenome with other rules on the *NPD* dataset. Due to space limitations, we summarize the detection results of 20 packers.**

Rules	PackGenome			Human-Written Rules			AutoYara[22]			Detect It Easy [13]		
	FPR [%]	TDR [%]	Time [s]	FPR [%]	TDR [%]	Time [s]	FPR [%]	TDR [%]	Time [s]	FPR [%]	TDR [%]	Time [s]
Total (20)	0	0	40.8	22.8	22.8	73.2	18.9	18.9	26.6	0	0	5205

rules with a low mismatch possibility. For the single obfuscation such as the junk instructions randomly inserted into unpacking routines, our rules can use wildcards to escape these junk codes. For the encrypted and virtualized unpacking routines (e.g., Themida), PackGenome can still capture their reused decryption or virtualized instructions as packer-specific genes. Although our byte selection strategy can overcome light-weight obfuscation, obfuscation is still a common limitation for any signature-based detectors (discussed in Sec. 9).

**Answer to RQ1:** We extract packer-specific genes and generate 70 rules for 20 off-the-shelf packers. Our byte selection technique can help PackGenome generate rules with a low misplace matching possibility.

## 7.5 EXISTING AUTOMATED RULE GENERATORS V.S. PACKED PROGRAMS

This section studies the feasibility of existing automated rule generators creating rules from the *RGD* dataset. YaraGenerator [51] can only generate text string rules, which cannot reveal the features of packers. yarGen [53] creates rules from insignificant text strings and opcodes. yabin [52] generates rules from erroneous function prologues, which usually are the compressed data of packed programs. After applying their generated rules in the *LPD* dataset, we discover that their performance is much lower than AutoYara. Therefore, we only compare AutoYara with PackGenome in the following experiments.

## 7.6 ACCURACY

Our generated rules should accurately identify packed programs and ignore non-packed programs. To validate this hypothesis, we conducted the following two experiments.

**Experiment I: Matching Labeled Packed Programs** We apply each tool to the *LPD* dataset. As can be seen from Table 2, our rules outperform other rules. In contrast, AutoYara-generated rules can only detect a limited number of packed programs correctly, because they usually contain the common strings (e.g., “GetProcAddress”) that are widely used by different packers. Meanwhile, AutoYara’s large N-gram ( $n \geq 8$ ) cannot capture the features of the unpacking routines protected by control-flow obfuscation. Another observation is that DIE rules perform better than other human-written rules, because they heavily rely on the meta-information of programs such as the section name (discussed in Sec. 2.3). For example, over the past 8 years, DIE suffers high false negatives (greater than 90% in our *LPD*) in detecting Themida and Winlicense until they switch to detect section names “.themida” and “.winlice” [62]. Unfortunately, they can be easily evaded or misled by in-the-wild custom packers (detailed in Sec. 7.9).

**Figure 8: Scanning time comparison under four different sample magnitudes.**

**Experiment II: Matching Non-packed Programs** We use the *NPD* dataset to measure the false positives rate that rules mistakenly match the non-packed programs. From the results shown in Table 3, we can see that our rules and DIE have zero false positive rate on each samples of *NPD*. The reason is that DIE’s rules heavily rely on the meta-information that would not exist in non-packed programs (e.g., the “UPX” string). But these rules can be easily bypassed by the real-world camouflaged packers (detailed in Sec. 7.9). In contrast, the human-written rules exhibit the highest false positive rate. Most false positives are introduced by the rules created from insignificant features. For example, an Armadillo packer detection rule mistakenly identifies 56 non-packed samples as packed.

## 7.7 EFFICIENCY

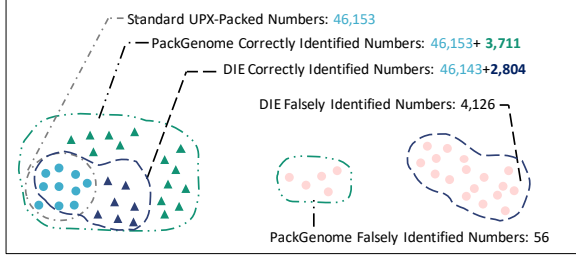
To evaluate the efficiency of rules when processing massive programs, we compare our generated rules with human-written rules, AutoYara, and DIE using different amounts of programs randomly selected from the *WDI* dataset. During the experiments, we use four threads to execute YARA and DIE. The running times of YARA-based tools and DIE are shown in Fig. 8. The scanning overhead of our generated rules is on a par with the human-written YARA rules and the AutoYara-generated rules. In contrast, DIE performs worse than YARA-based tools, because the JavaScript-like grammar of DIE spends a lot of time on parsing and matching programs.

**Answer to RQ2:** Our generated rules outperform state-of-the-art human-written rules and an automatic rule generation tool on the labeled packed dataset and non-packed dataset. The scanning overhead of our generated rules is acceptable.

## 7.8 SCALABILITY

Since the packer-specific genes are reused by the packers, our generated rules would be suitable for multiple scenarios such as detecting custom packers. We performed the following four experiments to validate this hypothesis.

**Different Versions of Packers** After examining the packer-specific genes extracted from 11 packers with multiple versions (detailed in Table 2), we find that nine packers reuse the unpacking routines across different versions. Our rules can directly detect



**Figure 9: Comparison of UPX samples detected by PackGenome and DIE on the *WD1* dataset.**

multiple versions of packed programs that reuse the same unpacking routines. For example, as the classification of packer-specific genes shown in Fig. 10, four different versions of Enigma share the same unpacking routines but use completely different entry point instructions. A single PackGenome-generated rule is enough to detect different versions of Enigma-packed programs. In contrast, human analysts have to repeat the tedious rule development process when creating rules from the entry point instructions of packers. For example, we find 61 human-written YARA rules are developed for matching the entry point of Enigma-packed programs.

**Different Systems** A packer may support multiple OSs at the same time. For example, UPX supports different executable formats such as Linux and Windows programs. We use the Linux malware samples dataset *WD3* to evaluate whether our generated rules, created only from UPX-packed Windows programs, can also identify the UPX-packed Linux programs. Our evaluation shows that PackGenome-generated rules can successfully recognize all of 87 UPX-packed Linux programs. Because UPX reuses the same instructions of the unpacking algorithm in generated x86/x64 Linux and Windows packed programs. In contrast, only three human-written rules, created from compression algorithms (e.g., Nrv2x), can detect 34 UPX-packed programs. These long-length rules contain many consecutive basic blocks. They can be easily thwarted by the control-flow obfuscations. DIE’s UPX detection rules can only identify 64 programs, because they heavily rely on meta-information (e.g., “\$Id: UPX” string), which has been eliminated in custom UPX packers.

**Custom Packers** This experiment evaluates the ability of our generated rules on detecting custom UPX variants. We choose UPX because it is the most widely used open-source packer and is usually customized by malware authors. Malware authors typically camouflage the features of standard packers by modifying the unique strings (e.g., “UPX”) or the entry point instructions [14]. We first apply our generated rules and DIE on the *WD1* datasets, and filter out the programs packed by standard UPX. To identify standard UPX-packed programs, we use the rules created from the entry point instructions of the standard UPX-packed programs. Then, we manually inspect whether the detected samples are generated by custom UPX packers.

The experiment results show that our generated rules capture 907 unique custom packed programs with low false positives (shown in Fig. 9 and Table 4). After examining the packed samples, we find that these custom packers reuse the standard UPX’s decompression algorithms. For example, we find that a packed sample from APT 29

**Table 4: Comparing with DIE in the *WD1* dataset. We choose five popular packers as targets and verify the detection results. “#UD” reports the number of unique detected samples which cannot be discovered by another tool. “#FD” reports the number of falsely detected samples.**

Packers	PackGenome			Detect It Easy [13]		
	#UD	#FD	#Total	#UD	#FD	#Total
Open Source Compression Algorithm						
UPX	907	56	49,920	0	4,117	53,083
MPRESS	197	0	791	0	48	642
Close Source						
ASPack	466	10	7,578	0	421	7,523
Obsidium	2	0	43	0	974	1,015
PECompact	9,449	138	16,440	0	12	6,805
Themida	35	1	1,422	0	2	1,388

can bypass the entropy-based detection and most human-written rules, including the rules created from the entry point instructions and rules of DIE.

**Low Entropy Samples** To demonstrate the robustness of our generated rules in detecting adversarial packed samples, we also apply our rules to the *WD2* dataset, which consists of the low entropy packed programs discovered by the study [18]. Mantovani et al.’s study [18] points out that the existing off-the-shelf packers detectors (e.g., DIE) are unable to identify low entropy packed samples. However, different from their study finding only three samples packed by known packers, PackGenome-generated rules identify 47 samples packed by the off-the-shelf packers, where 33 samples were also detected by DIE. The reason is that their study falsely treat any known packers as false positives. These samples are protected by the off-the-shelf packer combined with the low-entropy technique. For example, two samples with entropy less than 4.0<sup>2</sup> are packed by standard NsPack.

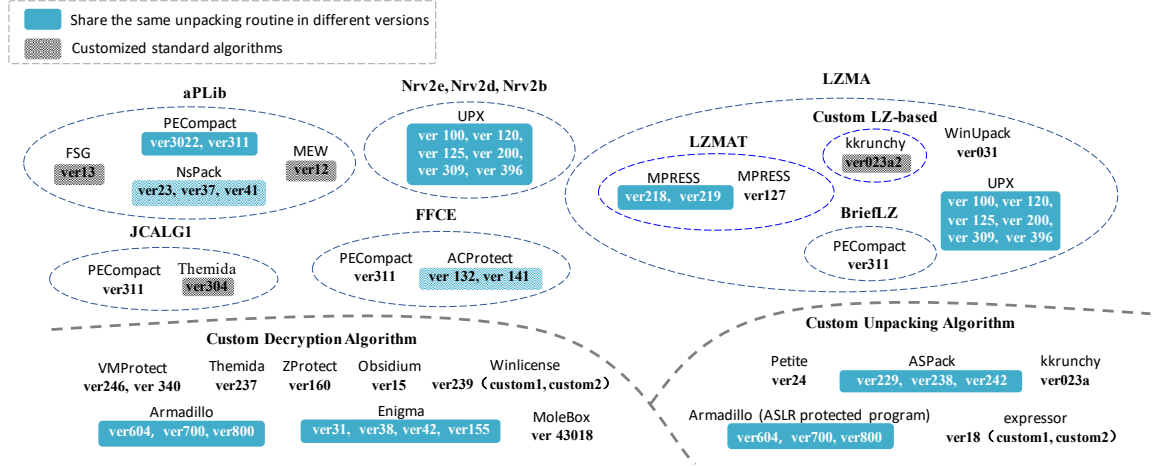
**Answer to RQ3:** Our generated rules are suitable for detecting different versions of packers. The rules created from packer-specific genes can directly detect custom packers that reuse the same unpacking routines.

## 7.9 PERFORMANCE IN THE WILD

To study the accuracy and robustness of our generated rules in the real world, we compare our rules with DIE on the *WD1* dataset. We choose DIE as it outperforms other human-written rules and AutoYara. Considering the sample number of *WD1* is more than 560K, which exceeds the ability of manually reverse engineering. We choose five popular packers (i.e., UPX, MPRESS, ASPack, Obsidium, PECompact, and Themida) as targets, and filter out the incomplete samples (e.g., unpacked failed samples). After applying each rule to 560,285 samples, we collect 81,708 detected samples and summarize the comparison result in Table 4.

<sup>2</sup>Existing work takes the entropy value of 7.0 or higher as the signal of a packed program [4, 18].





**Figure 10: The classification of first layer unpacking routines by comparing with the packer-specific genes extracted from PECompact-packed programs.**

```

1 // Generic
2 if(PE.getEntryPointSection()==PE.nLastSection)
3 {
4   if(PE.compareEP("EB")) // "EB" is the opcode of short
5     // relative JMP instruction
6   {
7     if(PE.getImportSection()>=0)
8     {
9       if(PE.isOverlayPresent())
10      {
11        bDetected=1;
12      }
13    }
14  }
15 }

```

**Figure 11: The example of DIE’s Obsidium detection rule which based on multiple meta-information. It can mismatch many custom packers and non-packed malware, because the meta-information is insignificant.**

From the results shown in Table 4, we can find that our generated rules perform better than DIE. Our generated rules have few to no false positives. More than 74% of false positives are introduced by the samples using similar unpacking routines. For example, we discover that parts of false positives are introduced by an inaccessible packer *k!cryptor* which uses the same unpacking algorithm to PECompact. Meanwhile, our generated rules can discover unique samples, which DIE cannot recognize these packed programs. The reason is that more than 95% of these samples use adversarial techniques to bypass detectors such as modifying any suspicious meta-information and entry point instructions. But they will not mislead PackGenome, because our generated rules are created from reused unpacking routines. For example, the Obsidium packed programs’ entry point instruction is a short relative JMP instruction that jumps to the instructions that are distant from the entry point. Although they can easily bypass the rules created from entry point instructions, our generated rules can accurately identify them by capturing their unpacking routines.

In contrast, DIE’s meta-information-based rules are overly inclusive, and leading to more than 91% of false positives. For example, as shown in Fig. 11, DIE’s rules use many insignificant meta-information to match variants of Obsidium-packed programs. However, many custom packers and non-packed malware also satisfy

these rules (e.g., the “PE.compareEP(‘EB’)” rule can mistakenly match many custom packers and non-packed malware that use the JMP instruction at the entry point). Another example is that one sample (SHA256: 1b97190c8357f6edd0951128cde9702d9f5b542a30c3870d1ce007881980b48b) protected by VMProtect camouflages its section name “.vmp” as “.themida” which is falsely captured by DIE’s Themida detection rule “PE.isSectionNamePreset(“.themida”)”. Meanwhile, more than 90% of false positives are adversarial samples, which are crafted to evade detectors and unpackers by mimicking the appearance of different packer-protected programs. For example, we found 3,425 samples that use custom first-layer unpacking routines but are camouflaged as standard UPX-packed programs. The remaining samples have similar structures (e.g., the order and name of import tables) or entry point instructions to what DIE’s rules expect, but are falsely mismatched by the wildcards in the entry point matching rules.

**Answer to RQ4:** Our generated rules created from the packer-specific genes are robust to detect custom packers in the wild with low false positive rates.

## 8 RELATED WORK

We have summarized the literature of packer detection in Sec. 1 and Sec. 2.2. This section describes the related work on YARA improvement and binary unpacking.

**YARA Improvement** An orthogonal work, *YARIX* [63], builds a preprocessed inverted malware file index to efficiently search for YARA rules. PackGenome-generated rules can also benefit from the search engine of YARIX, and we expect several orders of magnitudes performance boost on packed program detection.

**Binary Unpacking** Over the past two decades, this is a long-standing challenge in malware analysis. Due to the rise of machine-learning-based malware classifiers, binary unpacking has recently undergone a renaissance [4, 6, 9, 18, 38, 64]. The classic way, represented by *Deep Packer Inspector* [4], dynamically monitors the “written-then-executed” unpacking layers to identify the original entry point (OEP). The recent innovations are to propose a new



heuristic to quickly determine the end of unpacking [38] or take advantage of hardware features [9]. For example, *BinUnpack* [38] monitors the API calls based on kernel-level DLL hijacking techniques; it can quickly locate OEP by capturing the “rebuilt-then-called” feature of import address tables. *API-Xray* [9] leverages hardware-assisted tracing to defeat API obfuscation schemes and then reconstruct API import tables, so that the unpacked malware payload can be executed independently. Facing millions of malware samples, PackGenome is an appealing complement to generic unpacking tools: once PackGenome rapidly identifies packed executable files, they can be flagged as high priority for further binary unpacking.

## 9 DISCUSSION

**Missing Brand-new Packers** Like other signature-based approaches, PackGenome bears with a similar limitation: it may miss brand-new packers that reveal totally different signatures. If the brand-new packer is accessible, PackGenome can still generate robust rules from proactively synthesized packed programs. As for the inaccessible packers, one approach is to use PackGenome directly generates rules from the manually collected packed programs that are potentially protected by the same packer. Our experiments show that PackGenome can successfully generate robust detection rules for inaccessible packers. Another possible countermeasure is to leverage the unpacking routine’s side channel information. For example, the unpacking process performs iterations of decryption or decompression, which can incur identifiable deviations in hardware events [65]. We will explore the direction of modeling hardware performance counter values to detect packers.

**Unavoidable Byte Mismatch** As discussed in Sec. 2.3, due to the performance concern, signature-based detection tools mainly search for bytes rather than the expected form of instructions. Especially under the scope of full-binary matching, some YARA rules will introduce false positives. On the other side, performing binary disassembly and instruction searches are too expensive to process large-scale programs. PackGenome attempts to reduce the mismatch rate via our proposed byte selection strategy, which strikes a delicate balance between byte mismatch and performance.

**Heavyweight Obfuscation** Another limitation of signature-based detectors is that they cannot handle heavyweight obfuscation by nature. YARA rules are like a piece of programming language but only with limited grammar expression power, and we have already adopted special constructions such as wildcards to overcome lightweight obfuscations such as junk code. Determined attackers can obfuscate packer-specific genes using syntactically different instructions. Like our response to brand-new packers, a promising countermeasure is to explore tamper-resistant hardware features. We leave it as our future work.

## 10 CONCLUSION

Over the past two decades, packed malware in circulation is a tremendous amount. Security analysts rely on signature-based detection to quickly determine the packing technique/tool used; after that, unpacking a malware sample becomes easier. However, existing work on packer signature generation heavily depends on human analysts’ experience, which makes the process of writing

and maintaining rules painful, error-prone, and tedious. In this paper, we develop PackGenome, an automatic YARA rule generation framework for packer detection. We harvest packer detection rules from the unpacking routine, which is reused by the same-packer protected programs. Furthermore, we propose the first model to systematically evaluate the mismatch probability of bytes rules. Our large-scale experiments show that PackGenome outperforms existing human-written rules and peer tools with zero false negatives, low false positives, and a negligible scanning overhead increase.

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