



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

Study #
Study ID
Responsible Scientist
Date

Study-20-611
NeoE Identification and Prioritization for Patient 0411
Sam Pan
14th January, 2021

Study Objective

To conduct Neopeptide (NeoE) Identification and Prioritization for Patient PACT482C based on WES of the patient's PBMC, and WES & RNA-Seq of the patient's tumor biopsy according to PD-002_004, which is governed by RTR-007_003. To endorse patient tumor-specific neopeptide (neoE)-HLA complexes and to deliver oligo sequences to Protein Science for reagent production. To profile the tumor microenvironment (TME).

Initial Study Reviewed By
Final Study Reviewed By

Data Missing / 14th January, 2021
Data Missing / 14th January, 2021

Readouts

- Summary of patient-specific somatic mutations identified
- HLA typing
- Mutation truncality and cellular prevalence category (CPC) of somatic nonsynonymous mutations
- NeoE-annotations
- NeoE exactMatch summary
- Oligos for neoE-HLA production

For Information Only (FIO)

- TIL analysis for TCR in tumor microenvironment (TME)
- Microsatellite Stability (MSS) analysis
- Tumor biomarker expression by RNASeq

1.0 MATERIAL AND METHODS

1.1 Patient Sample Information

Table 1. Patient sample information.

| Description | Information |
|---|---------------------------|
| Cancer type at initial diagnosis (Icon/Rave) | colorectal adenocarcinoma |
| TGCA acronym for primary cancer type (manifest) | COAD+READ |
| Biopsy tissue tumor type (primary or metastatic - HGX Inventory Tracker) | METASTATIC |
| Tumor subtype (i.e. tissue of primary cancer - HGX Inventory Tracker) | COLON |
| Sample Origin (i.e. tissue the biopsy was collected from - HGX Inventory Tracker) | LIVER |
| Biopsy tissue localization (HGX Result Tracker) | Liver |
| Patient ID number | 0411 |
| PACT ID for patient | PACT482C |
| PACT Specimen ID for tumor biopsy | PACT482C_T_PP001611 |
| PACT Specimen ID for PBMC | PACT482C_N_PP001607_0801 |

Patient sample information is presented in Table 1. For this Melanoma patient, PBMC and a biopsy from a Premalignant tumor legs were sequenced.

1.2 Methods

Patient samples were registered, then NeoE Identification and Prioritization was performed according to the Personalized Product Development Protocol-PD-002 Version 004 ([PD-002004](#)), which is governed by the Research Technical Report-RTR-007 Version 003 ([RTR-007003](#)). See **Table 2** for details related to the performed experiment.

Table 2. Experiment Benchling registration.

| Date | Experiment ID | Experiment Title | Benchling Link | Executed by (Initials) |
|--------------------|---------------|---|---|---|
| 28th November 2020 | EXP20002238 | NeoE identification and prioritization for patient 0411 (PACT482C_T_PP001611, 1st sample) | https://pact.benchling.com/pact/f/lib_Fldzg3TM-registry/bf1_Q0SGYxjs-study-20-611/edit | DB(Benchling registration) SP (Pipeline execution) |

1.3 Software

Software programs used during execution of PD-002_004 and links to source code are listed in Appendix B.

1.4 Data

1.4.1 Input

Location(s) of raw sequence data, generated by Personalis:

-
-
-



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

1.4.2 Output

Locations of data generated by performing the NeoE Identification and Prioritization experiment.

Data location on the cloud

-

Data location on premise (support data is required for downstream processes; supplemental and additional data are for information only):

- Support data: P:\PACT-0101\0411_PACT482C\2_BINF\4_Required_Data
- Supplemental data: P:\PACT-0101\0411_PACT482C\2_BINF\5_Supplemental_Data\
- Additional data: P:\PACT-0101\0411_PACT482C\2_BINF\6_Additional_Data\PACT482C_T_PP001611_12860106F

2.0 RESULTS

2.1 Overview

NeoE Identification and Prioritization for Patient 0411 (PACT482C) was performed according to PD-002_004. All standard results are presented in this results section and, if performed, the results of any additional analyses are presented in the Amendments section.

2.2 Mutation Identification

The first step in PD-002_004 is to detect somatic mutations present in the tumor WES data. Mutation locations were annotated and classified using Oncotator. **Figure 1** shows the variant counts by category. A list of possible classifications is [here](#). (Note that Funcotator (linked) and Oncotator (used) classify variants into the same categories). The absence of a category in **Figure 1** means that no variants from that category were detected.



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

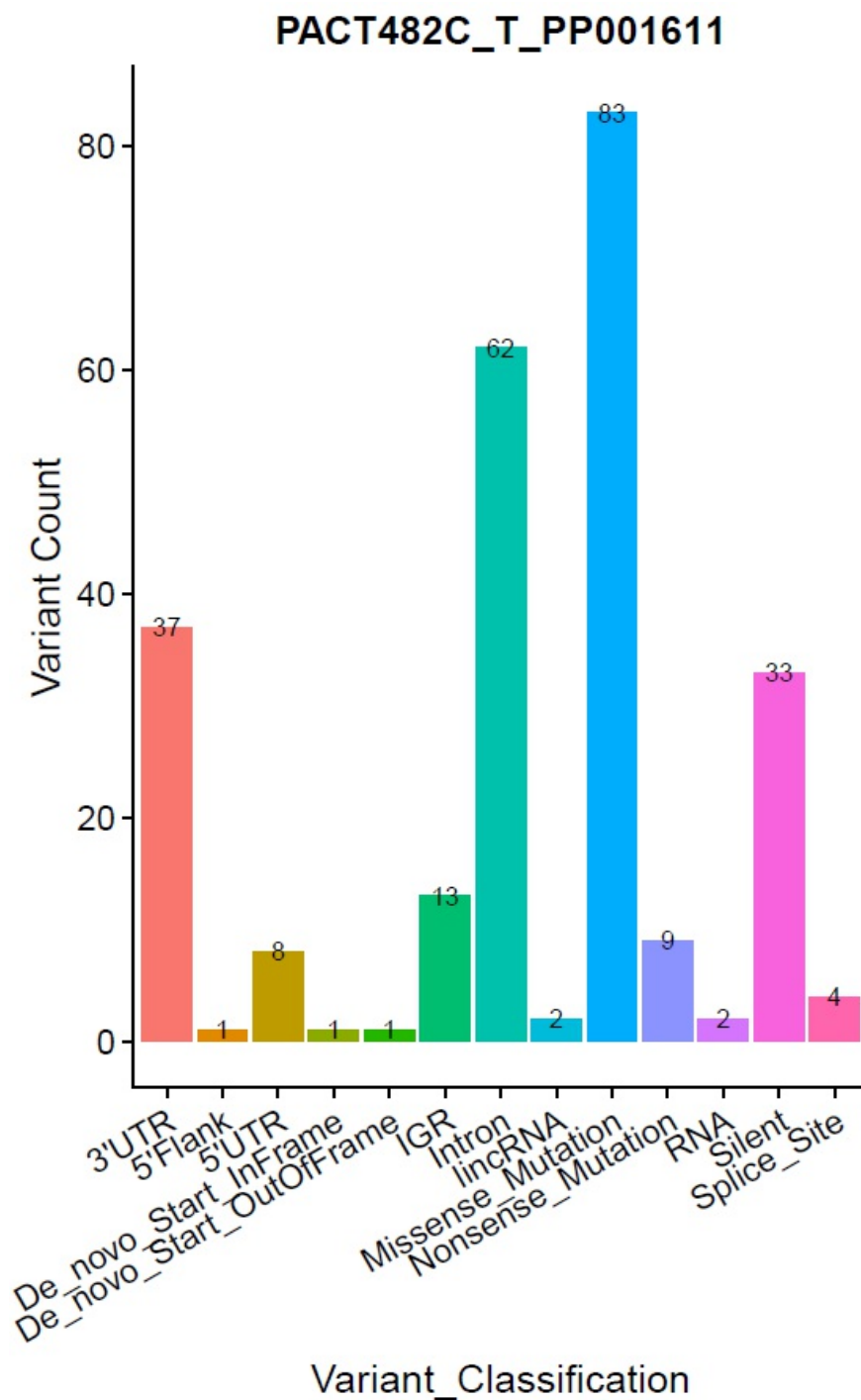


Figure 1. Variants identified from PACT482C within different variant classifications (source data: [here](#)). Mutations were classified using Oncotator. Variant count is the number of variants detected within the indicated categories. IGR: intergenic region. Missense_Mutation: somatic nonsynonymous coding mutation.

Within this tumor biopsy, **83** missense mutations (somatic nonsynonymous coding mutations; NSM) were found (Figure 1 & Figure 4). Coding region tumor mutation burden (TMB) is calculated by dividing the number of missense mutations detected by the exome sequencing genomic footprint for the coding regions, which was about 35 Mb. Therefore, the coding region TMB was estimated to be **2.4** mutations/Mb.

2.3 Fusion Detection



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

The algorithm developed to detect fusion proteins in tumor samples is based on detection of an RNA sequence that is a list of known fusion sequences, which were compiled from TCGA and Cosmic databases. Many known circular RNA sequences, which are not predicted to produce protein, were removed from the list during development. However, the list is likely to contain sequences that are not predicted to produce proteins.

No fusion proteins were predicted after analysis of this patient's tumor biopsy RNA-Seq data. This is tumor fusion Detected Comment

2.4 Tumor Content Analysis

Tumor content (TC; cellularity in Figure 2) was determined by a pathologist from Histogenex (HGX) and by NGS (PD-002_004). TC is the percent of tumor cells in the specified area of a biopsy. Histologists at HGX estimated that the TC of the tumor area was 70% (Row 9, Table 3). The Sequenza package was used to estimate the TC of the macro-dissected *NGS-sequenced* tissue area from a tumor biopsy slide. Sequenza TC estimation is based on WES from both tumor biopsy and matched normal PBMC samples. For this tumor biopsy sample, NGS-estimated TC was 38% (Row 17 of Table 3, also shown in Figure 2).

Table 3: Tumor biopsy information. Tumor content estimation by HGX pathology and NGS.

| Row # | Description | Information |
|-------|----------------------------------|---------------------|
| 1 | HGX Identifier | 12860106F |
| 2 | Study ID | PACT0101 |
| 3 | Sample ID | PP001611 |
| 4 | Patient ID | 0411 |
| 5 | Tissue localization | Liver |
| 6 | Biopsy Type | Small biopsy (<5mm) |
| 7 | Estimated number of cancer cells | 1000-10000 |
| 8 | Primary Tumor | N |
| 9 | TC by HGX (%) | 70 |
| 10 | Necrosis in tumor area (%) | 1 |
| 11 | Infiltrate in tumor area (%) | 1 |
| 12 | Stroma TILs (%) | 1 |
| 13 | Overall Tumor Content | 24.5 |
| 14 | Tissue size (mm ²) | 14.9 |
| 15 | Tumor Size (mm ²) | 4.0 |
| 16 | Tumor Size/ Tissue size | 0.27 |
| 17 | TC by NGS (%) | 38 |

TC=Tumor Content, NGS= Next Generation Sequencing, NOE=Not Otherwise Evaluable

2.5 Mutation Truncality Analysis

Mutation truncality analysis was performed according to the PACT-developed Minimal-subclone method. This step of PD-002_004 assigns each mutation to a cellular prevalence category (CPC). In Figure 2, the cellular prevalence plot shows somatic small nucleotide variants (SNV) (second row of short vertical sticks) and, by the color of their median cellular prevalence dots, the categories they were assigned. The SNV of this patient were assigned to the following categories: CPC 1-truncal (blue), CPC 1-hiPrevalence (cyan) and CPC 2 (gray) ([data](#)).

This patient had 1 public mutation(s) present in a gene in the Catalogue of Somatic Mutations in Cancer (COSMIC) Cancer Gene Census (CGC) database (Figure 2 and Table 4).



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

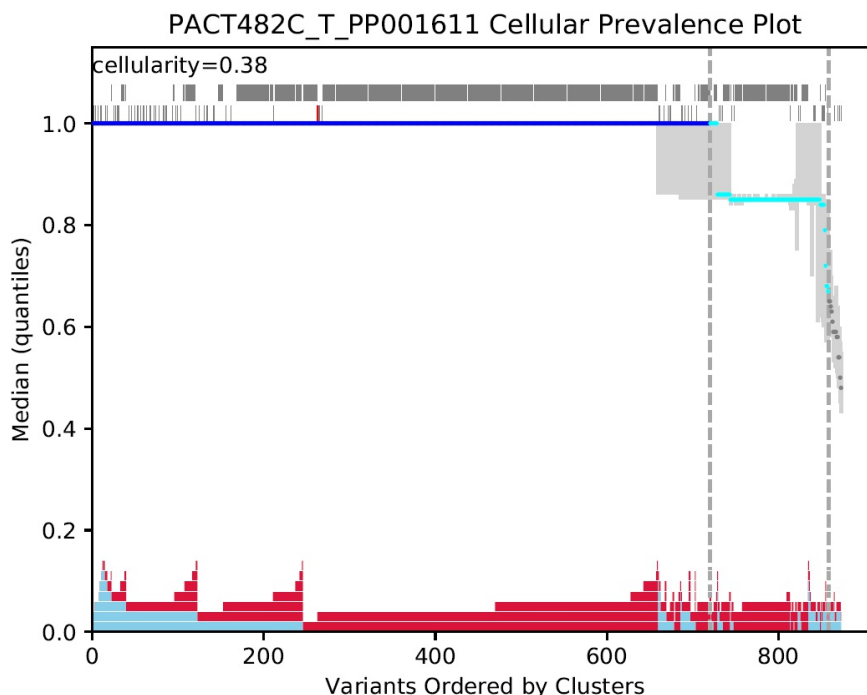


Figure 2. Cellular prevalence plot of results from Minimal-subclone analysis. Quantiles of cellular prevalence are represented by vertical solid grey lines on Y axis. Median cellular prevalence of CPC I-truncal is marked by blue dots. Median cellular prevalence of CPC I-high prevalence is marked by cyan dots. Median cellular prevalence of CPC II is marked by grey dots. (Note the dots often visually merge into horizontal lines.) Vertical sticks near the top of plot are variants (SNP are the first row, SNV are the second row). Red vertical sticks indicate COSMIC CGC mutations. Stack blocks near the bottom of the plot reflect the genotype results from associated Sequenza solution. Red stacks are the number of A alleles, light blue stacks are the number of B alleles. Vertical dotted grey lines separate clusters. Clusters are ordered by their median CP value and variants within a cluster are ordered by their estimated CP value on the X axis. SNP: small nucleotide polymorphisms. Cellularity: tumor content.

Table 4: Patient mutations present in the COSMIC CGC database.

| Id | hugoSymbol | varClassification | medianCp | q3Cp | q1Cp | CPC | xAxis |
|-------------------|------------|-------------------|----------|------|------|-----------|-------|
| chr17:7577094;G>A | TP53 | Missense_Mutation | 1.00 | 1.00 | 1.00 | 1-truncal | 263 |

medianCp: median cellular prevalence. q3Cp: third quantile of cellular prevalence. q1Cp: first quantile of cellular prevalence. CPC: cellular prevalence category (refer to RTR-007_003). xAxis: X axis position in the corresponding cellular prevalence graph (Figure 2). If "None" is present, it indicates no COSMIC CGC mutations were detected.

2.6 HLA Typing and coverage by PACT 66-HLA panel

HLA types were estimated according to PD-002_004. The protocol first uses OptiType to determine HLA types from DNA WES Normal, DNA WES Tumor, and RNA-Seq Tumor data. If the HLA types by OptiType were concordant then the concordant alleles are listed below. If they were discordant, then OptiType was run on the WES Normal sample and those results are presented below.

- HLA alleles by OptiType: C*06:02, C*07:02, B*07:02, B*57:01, A*02:01, A*01:01

The HLA types detected by OptiType which exist in the PACT-66 panel were used for neoE-HLA binding affinity prediction in the next step.

0 of the HLA alleles determined by ATHLATES were homozygous, so this patient has 6 unique HLA alleles and were covered by the PACT 66-HLA panel. They are:

- HLA alleles covered: C*06:02, C*07:02, B*07:02, B*57:01, A*02:01, A*01:01

2.7 Detection of RNA expression

RNA sequencing identified **32 (38.55421686746988%)** expressed NSM within the tumor (≥ 1 mRNA absolute read count) (Figure 4). Zero RNA reads were detected for **51** of the NSM

2.8 NeoE-HLA Binding Affinity Prediction and Selection

NeoE-HLA elution (EL) and binding affinity (BA) ranks (EL Rank and BA Rank) for the **6** unique covered HLA alleles and **32** expressed NSM were predicted using netMHCpan4.1. Both EL Rank and BA Rank were The flowchart in Figure 4 summarizes the results of each step in the neoE identification and prioritization process when EL BA Rank was used.

generated for each neoE-HLA by netMHCpan4.1. An algorithm was applied to netMHCpan4.1 results to combine the EL Rank and BA Rank into an EL BA Rank based on whichever rank (EL or BA) was highest. NeoE-HLA complexes were selected by the Average-Out method according to their netMHCpan4.1 EL BA rank. After Average-Out selection, **0** of the 32 expressed NSM were covered by 352 neoE-HLA candidates and the selected candidates included **0** unique tumor-specific neoEs. Predicted binding affinities of the neoE-HLA candidates are shown in Figure 3.

To verify that the neoE candidate sequences did not exist in the normal human proteome an "exactMatch" test was performed (NeoE column, Table 5). Meanwhile, the wild-type peptides of neoE candidates were confirmed as exact matches to sequences in the normal human proteome (Wild Type epitopes column, Table 5). The exactMatch test was passed by **0** of the **0** selected unique neoEs.



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

The flowchart in **Figure 4** summarizes the results of each step in the neoE identification and prioritization process when EL BA Rank was used.

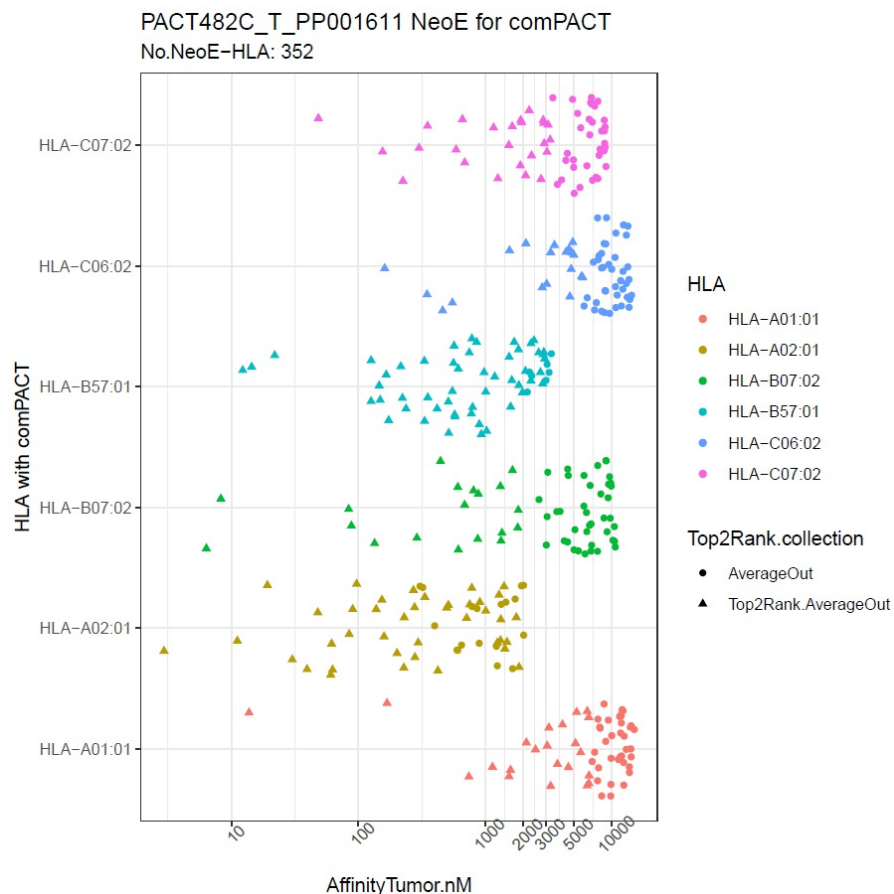


Figure 3. The 352 neoE-HLA candidates selected by Average-Out for the patient HLA alleles covered by the PACT 66-HLA panel. The x-axis is the predicted binding affinities (Kd) for each neoE-HLA(lower kd => stronger binding). The y-axis groups compACTs by the patient's HLA alleles covered by the PACT 66-HLA panel. The size of the circle correlates with the absolute number of RNA reads detected.

Table 5: NeoE exactMatch.

| Patient ID | NeoE (peptideTumor) exactMatch test (Verification) | Wild Type epitopes (peptideNormal) exactMatch test (Verification) |
|------------|--|--|
| 0411 | 0 NeoE without exact match | 0 corresponding wild-type peptides with exact match (positive control) |



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

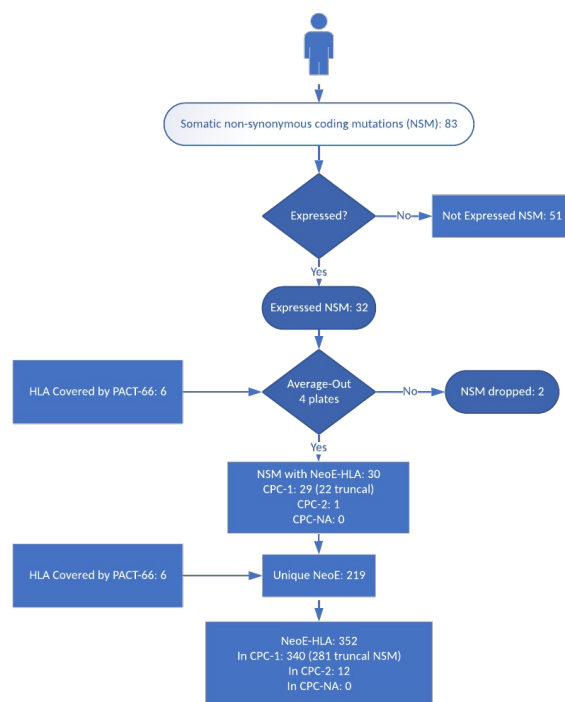


Figure 4. Results from somatic non-synonymous coding mutations (NSM) to neoE-HLA using the Minimal-subclone CPC and Average-Out methods to prioritize and select neoE-HLA complexes recommended for production. The flowchart shows the number of NSM, HLA alleles, and neoE-HLA candidates at each stage of the process with the Average-Out method. NA: not available.

NeoE-HLA candidate selection using EL BA Rank resulted in each of the **6** unique covered HLA alleles presenting **58-59** NeoEs (see "NeoE per HLA" row in **Table 6.**) Furthermore, each HLA alleles presented neoEs from **15-20** of the **30** NSM with oligos (see "NSM per HLA" row in **Table 6.**). The median number of neoE per HLA was **1.00** per NSM (range 0-17). This patient had missense mutations in **8** COSMIC CGC genes (bolded rows in Table 6). Some neoE candidates were selected for presentation by multiple HLA alleles. Candidate neoE-HLA pairs and corresponding neoE sequences are [here](#).

Table 6: NeoE-HLA per NSM per HLA and total with absolute number of RNA reads and CPC for each NSM.

| Gene Protein Change | HLA-A01:01 | HLA-A02:01 | HLA-B07:02 | HLA-B57:01 | HLA-C06:02 | HLA-C07:02 | neoE-HLA per NSM | RNA Reads | CPC |
|-----------------------|------------|------------|------------|------------|------------|------------|------------------|------------|-------------------|
| ACE:p.V535G | 4 | 5 | 2 | 6 | 10 | 10 | 37 | 44 | 1-truncal |
| ALPPL2:p.V419A | 0 | 2 | 2 | 0 | 3 | 3 | 10 | 2 | 1-truncal |
| ANKRD52:p.R118W | 0 | 0 | 4 | 2 | 0 | 0 | 6 | 9 | 1-truncal |
| ATM:p.E586Q | 0 | 2 | 0 | 0 | 1 | 2 | 5 | 18 | 1-truncal |
| ATM:p.K2811Q | 2 | 3 | 0 | 3 | 2 | 2 | 12 | 37 | 2 |
| CISD1:p.R73C | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 6 | 1-truncal |
| CRAMP1L:p.A334D | 1 | 0 | 4 | 0 | 2 | 2 | 9 | 9 | 1-truncal |
| FAM172A:p.L8F | 6 | 15 | 2 | 17 | 4 | 3 | 47 | 6 | 1-truncal |
| FLCN:p.R168H | 3 | 3 | 2 | 9 | 12 | 12 | 41 | 15 | 1-truncal |
| HSP90B1:p.V528L | 4 | 1 | 0 | 0 | 0 | 1 | 6 | 274 | 1-truncal |
| ITGBL1:p.D281Y | 6 | 0 | 0 | 1 | 6 | 5 | 18 | 4 | 1-truncal |
| KMT2C:p.E531V | 1 | 3 | 0 | 0 | 2 | 2 | 8 | 58 | 1-truncal |
| MAP3K1:p.R248Q | 0 | 0 | 3 | 0 | 0 | 0 | 3 | 2 | 1-truncal |
| MAT2A:p.R264C | 1 | 2 | 0 | 0 | 0 | 0 | 3 | 71 | 1-truncal |
| MCM4:p.Q822H | 0 | 0 | 3 | 4 | 1 | 1 | 9 | 17 | 1-truncal |
| MED23:p.R765T | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 10 | 1-hiPreval |
| POLQ:p.K109N | 5 | 3 | 0 | 0 | 0 | 0 | 8 | 4 | 1-hiPreval |
| POT1:p.K427E | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 4 | 1-hiPreval |
| RASSF6:p.T365R | 0 | 1 | 1 | 0 | 1 | 1 | 4 | 1 | 1-truncal |
| SATB2:p.P468L | 3 | 3 | 8 | 6 | 3 | 1 | 24 | 103 | 1-truncal |
| TCF25:p.E374K | 1 | 0 | 3 | 0 | 0 | 0 | 4 | 50 | 1-truncal |
| TGFB1:p.M502V | 3 | 4 | 11 | 0 | 3 | 4 | 25 | 120 | 1-truncal |
| TP53:p.R282W | 1 | 0 | 1 | 2 | 0 | 0 | 4 | 220 | 1-truncal |
| TRRAP:p.L694I | 4 | 8 | 1 | 2 | 2 | 3 | 20 | 114 | 1-hiPreval |
| TTC7B:p.A437S | 1 | 0 | 6 | 3 | 1 | 2 | 13 | 3 | 1-hiPreval |
| USP12:p.K96R | 0 | 0 | 0 | 1 | 1 | 0 | 2 | 10 | 1-truncal |



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

| | | | | | | | | | |
|----------------|----|----|----|----|----|----|-----|----|------------|
| UVSSA:p.Q172E | 1 | 0 | 0 | 0 | 1 | 1 | 3 | 32 | 1-truncal |
| WDTCT1:p.E357Q | 2 | 2 | 6 | 0 | 2 | 2 | 14 | 27 | 1-hiPreval |
| XRN1:p.P540Q | 9 | 0 | 0 | 1 | 1 | 1 | 12 | 22 | 1-truncal |
| ZNF3:p.Q100P | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 16 | 1-hiPreval |
| NSM per HLA | 19 | 16 | 16 | 15 | 20 | 20 | 30 | | |
| NeoE per HLA | 58 | 58 | 59 | 59 | 59 | 59 | 352 | | |

NSM = somatic nonsynonymous mutations (Gene.Protein_Change). RNA reads = absolute number of RNA reads that contained the mutation. CPC = cellular prevalence category. The red/blue heatmap shows the highest (red) and lowest (blue) number of neoE per NSM per HLA. Baby blue rows and column are totals. Gray-filled NSM (1st column) were also detected in other samples from this patient and non-filled were only detected in this sample. Bold = COSMIC CGC genes.

2.9 Generation of DNA Oligo Sequences to make NeoE-HLA Complexes

The sequences of oligos for 352 neoE-HLA candidates, selected using PD-002_004 methods, were generated. The oligo file for ordering is [here](#).

3.0 RECOMMENDATION

The number of expressed NSM (32) in this sample was above the interim cutoff of 10. The tumor content by NGS (38.00%) of this sample was above the interim cutoff of 30%. Interim cutoffs are stated in the Acceptance Criteria of PD-002_004 (Section 8).

This is Low Expressed Nsm Comment

Low Tc By Ngs Pct Comment

This is test recommendation

4.0 APPENDIX A: ANALYSES FOR INFORMATION ONLY

Appendix A presents further analyses of the patient's data, which are for information only.

4.1 Microsatellite Instability (MSI) Analysis:

MSI analysis was conducted with the MANTIS program as described in PD-002_004. The analysis compared the presence and absence of mutations in microsatellite regions in the WES data and determined their relative frequency in tumor compared to normal specimens.

The MANTIS results indicated that the biopsy was microsatellite **stable** (the result file is [here](#)).

4.2 Tumor Infiltrated Lymphocyte (TIL) Analysis

TCR analysis was conducted based on RNA-Seq data with the MiXCR program as described in PD-002_004. Due to limited sequencing coverage in the TCR regions, the purpose of this analysis is to provide qualitative, but not quantitative, assessment of T cells in the tumor microenvironment. In addition, it is important to note that the TCRs detected in the patient's RNA-Seq data may not be from tumor-specific T cells. These T cells could be immune cells in normal blood circulation rather than being true TILs.

In this tumor biopsy, **164** and **132** CDR3 from TCR α and TCR β , respectively, were detected. For comparison, the averages of **15** TCR α and **23** TCR β CDR3 detected in the biopsies of individuals within a TCGA cohort of **COLON** patients were graphed adjacent to the patient's total numbers of each receptor in Figure 5. TCGA cohort data is from Li et al. ([Nat Genet. 2016 Jul; 48\(7\): 725–732.](#)) and summary tables are in slides [here](#). Note that TCGA biopsies are from the primary cancer site and this patient's biopsy sample was also from a premalignant legs tumor. Of the total, **121** and **103** unique CD3 from TCR α and TCR β were detected, respectively. MiXCR groups identical sequences into clonotypes, since the presence of multiple identical reads suggests that multiple T cell clones are present in the TIL. In the TIL of this patient, multiple identical reads of **31 (26% of unique)** TRA and **24 (23% of unique)** TRB CDR3 sequences were detected (Figure 6). The TIL TCR data is [here](#).



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

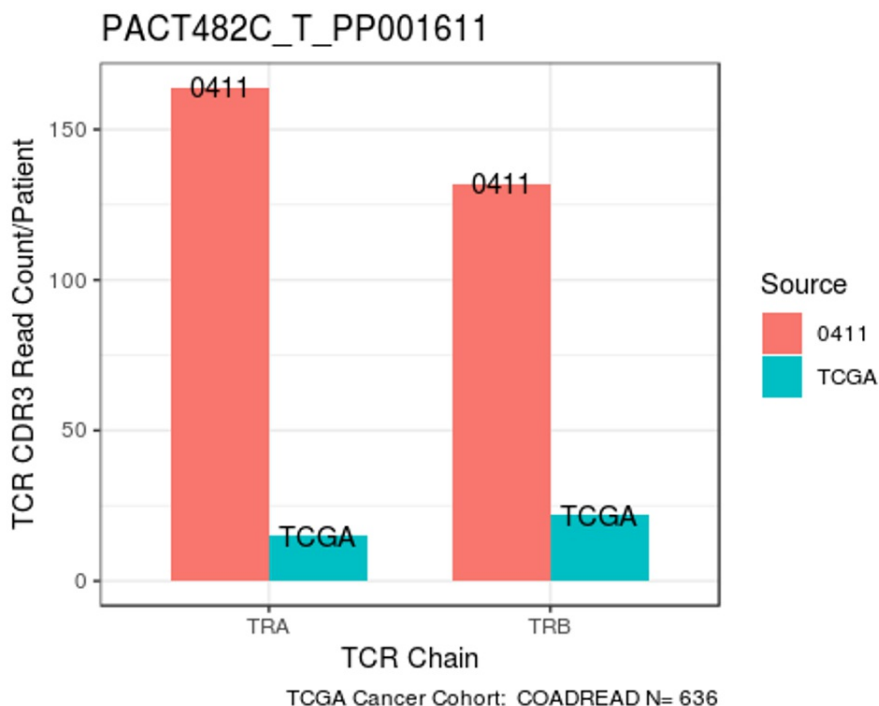


Figure 5. Comparison of patient versus TCGA cohort total CDR3 read counts of TCR alpha and beta detected in TIL. The sources of numbers of TRA and TRB are either the number present in the patient's TIL (0405) or the average number in a TCGA cohort with the same type of cancer as the patient's original diagnosis.

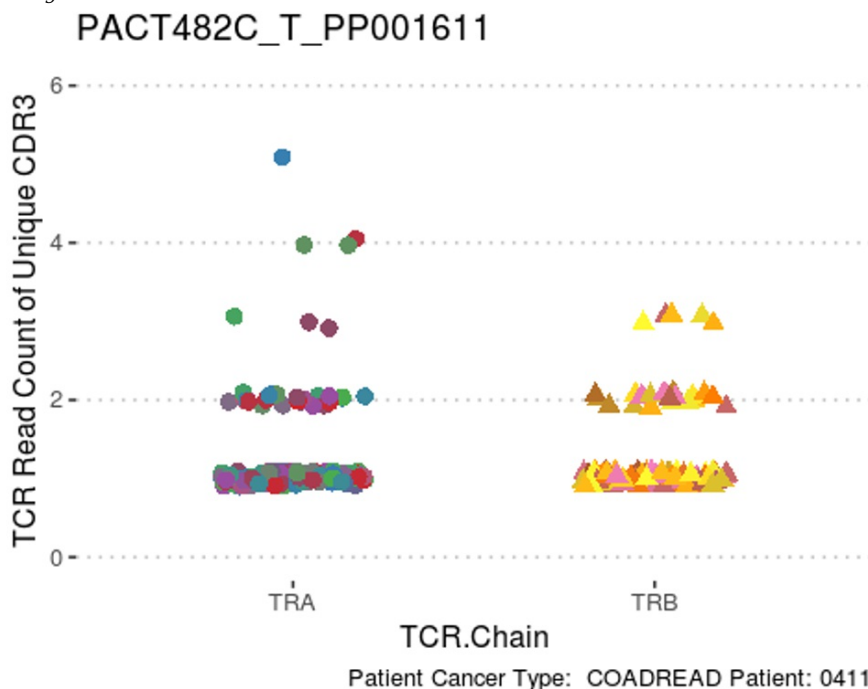


Figure 6. RNA read count of unique CDR3 in the TIL. Each dot represents a unique CDR3 sequence. Along the x-axis, dots are clustered by TCR chain (TRA or TRB). The y-axis shows the number of identical CDR3 RNA reads detected for each sequence. The dots are colored by Vgene in the TCR chains.

4.3 Tumor Microenvironment (TME) Analysis

RNA-seq data from this patient was analyzed to provide a snapshot of the TME. Gene expression levels were normalized by transcript length, library size, and transcript per million (TPM) transcripts. Normalization by length allows comparison between transcripts within a sample, normalization by library size allows comparison between multiple samples, and TPM normalization converts the values to a human-digestible number.

On average, there are approximately 360,000 transcripts/cell in a mammalian cell (see [reference](#)). The rule of thumb is, that if a gene in a bulk



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

sequencing sample has less than 1 TPM, then that indicates there are less than 1 transcripts/cell and it is generally considered not expressed. If TPM is between 1 and 2, the transcript is low or has close to no expression. However, it is important to remember that cells in tumor biopsies are not evenly distributed. Therefore, TPM values are more qualitative than quantitative. In this report, genes with TPM values lower than 2 are considered to have low expression and are colored green in **Table 7**.

Table 7 holds a list of common immunity-related genes and their TPM values. RNA expression of "HLA-A, HLA-B, and HLA-C genes were detected".

Table 7. Biomarker expression based on tumor RNA-seq. (Data source: [here](#))

| Gene Name | Alias | Category | TPM |
|-----------|---------------------|----------------------------|---------|
| B2M | B2M | HLA-I Complex | 305.85 |
| CD4 | CD4 | TCR co-R. | 148.73 |
| CD8A | CD8 | CD8 T cells | 4.67 |
| FOXP3 | FOXP3 | TReg master regulator | 3.77 |
| HLA-A | HLA_A | HLA-I Complex | 756.08 |
| HLA-B | HLA_B | HLA-I Complex | 1056.73 |
| HLA-C | HLA_C | HLA-I Complex | 886.04 |
| HLA-DRA | HLA-DR | Ag presentation | 880.08 |
| IDO1 | IDO | Tryptophan metabolism | 3.42 |
| IDO2 | INDOL1 | Tryptophan metabolism | 1.61 |
| TAP1 | TAP1 | HLA-I Antigen Presentation | 40.25 |
| TAP2 | TAP2 start-32781544 | HLA-I Antigen Presentation | 0.86 |
| TAP2 | TAP2 start-32789610 | HLA-I Antigen Presentation | 39.24 |
| ACTB | ActinB | housekeeping | 3838.16 |
| GAPDH | GAPDH | housekeeping | 118.66 |
| CALR | CALR | HLA-I Antigen Presentation | 1172.08 |
| CD81 | CD81 | Tetraspanin | 1137.62 |
| PDIA3 | ERp57 | HLA-I Antigen Presentation | 505.07 |
| POMP | HSPC014 | Immunoproteasome | 283.62 |
| CD44 | CD44 | | 247.87 |
| FAS | CD95 | | 232.44 |
| ANPEP | CD13 | Metalloprotease | 227.83 |
| CD68 | CD68 | Macrophage | 196.37 |
| PTPRC | CD45RO | | 168.44 |
| TPP2 | TPP2 | Peptide trimming in ER | 149.62 |
| ITGAX | CD11c | DC cells | 144.50 |
| TFRC | CD71 | Transferrin R. | 137.08 |
| MSR1 | CD204 | Class A SR. | 120.53 |
| NRP1 | CD304 | VEGF co-R. | 119.19 |
| TAPBP | Tapasin | HLA-I Antigen Presentation | 106.54 |
| ERAP1 | ARTS-1 | Peptide trimming in ER | 104.77 |
| PSME1 | PA28alpha | Immunoproteasome | 94.28 |
| ARG1 | ARG1 | Arginase 1 | 93.35 |
| ALCAM | CD166 | Glycoprotein | 91.95 |
| PSMB8 | beta5i | Immunoproteasome | 90.80 |
| IL7R | IL7 | | 85.33 |
| TMEM173 | STING | STING | 84.51 |
| IFNGR1 | CD119 | IFNg R. | 83.25 |
| PSMB9 | beta1i | Immunoproteasome | 79.03 |
| BCL6 | BCL-6 | | 77.23 |
| ITGAM | CD11b | Complement R. 3 | 73.88 |
| FCGR3A | CD16 | Low affinity FCGR3a | 73.44 |
| CD82 | CD82 | Glycoprotein | 70.79 |
| CD163 | CD163 | High affinity SR. | 65.57 |
| SLC2A1 | GLUT1 | | 63.47 |
| SLC1A5 | ASCT2 | | 58.48 |
| FCGR2A | CD32 | Low affinity FCGR2a | 55.03 |
| CD14 | CD14 | LPS co-R. | 45.74 |
| ICAM1 | CD54 | ICAM-1 | 44.87 |
| THOP1 | THOP1 | Peptide cleavage in ER | 42.05 |
| CD36 | CD36 | Class B SR. | 37.96 |



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

| | | | |
|----------|----------|------------------------|-------|
| ERAP2 | LRAP | Peptide trimming in ER | 37.31 |
| MRC1 | CD206 | Mannose receptor | 34.92 |
| PSME2 | PA28beta | Immunoproteasome | 33.25 |
| PSMB10 | beta2i | Immunoproteasome | 31.99 |
| NT5E | CD73 | Adenosine pathway | 30.26 |
| CD40 | CD40 | Costimulatory R. | 26.47 |
| CD3E | CD3 | TCR co-R. | 23.36 |
| TLR4 | CD284 | Toll-Like Receptor | 14.70 |
| SIGLEC1 | CD169 | Sialoadhesin | 12.69 |
| IL3RA | CD123 | IL-3 R. | 11.53 |
| IL2RB | CD122 | | 11.27 |
| CD27 | CD27 | | 10.45 |
| CD86 | CD86 | Costimulatory ligand | 9.38 |
| PRDM1 | Blimp-1 | | 8.82 |
| SLAMF7 | SLAMF7 | Costimulatory ligand | 8.10 |
| IL2RA | CD25 | IL-2 R. | 8.00 |
| CXCR4 | CXCR4 | Chemokine R. 4 | 7.06 |
| HAVCR2 | Tim-3 | | 6.56 |
| CD80 | CD80 | Costimulatory ligand | 6.47 |
| FCGR1A | CD64 | High affinity FCGR1a | 5.21 |
| TNFRSF4 | OX40 | Costimulatory R. | 5.10 |
| CD38 | CD38 | cADP ribose hydrolase | 5.03 |
| IL15 | IL15 | | 4.98 |
| SELL | CD62L | | 4.97 |
| CD28 | CD28 | | 4.94 |
| KLRG1 | KLRG-1 | | 4.43 |
| TNFRSF18 | GITR | Costimulatory R. | 4.41 |
| C5AR1 | CD88 | Complement R. 5 | 4.30 |
| TBX21 | Tbet | | 3.67 |
| TNFRSF9 | 4-1BB | Costimulatory R. | 3.61 |
| PDCD1LG2 | PD-L2 | Coinhibitory ligand | 3.42 |
| CD7 | CD7 | NK cells | 3.41 |
| GZMB | GrzB | | 3.15 |
| LAG3 | Lag3 | | 2.90 |
| MKI67 | Ki-67 | Proliferation marker | 2.67 |
| ADORA2A | A2aR | Adenosine pathway | 2.52 |
| EOMES | Eomes | | 2.44 |
| SPN | CD43 | | 1.81 |
| PDCD1 | PD-1 | | 1.71 |
| PRF1 | Preforin | | 1.70 |
| ICOS | ICOS | Costimulatory R. | 1.58 |
| IL12A | IL12 | | 1.57 |
| CTLA4 | CTLA-4 | Coinhibitory R. | 1.26 |
| MS4A1 | CD20 | B cells | 1.06 |
| TIGIT | VSIG9 | T cell response | 0.96 |
| CD274 | PD-L1 | Coinhibitory ligand | 0.89 |
| CCR7 | CCR7 | | 0.71 |
| FUT4 | CD15 | Granulocyte | 0.59 |
| IFNG | IFNG | Interferon Type II | 0.30 |
| IL2 | IL2 | | 0.29 |
| IL21 | IL21 | | 0.00 |

TPM=transcript per million. Genes of interest (those involved in antigen presentation, CD4, CD8, FOXP3, IDO1, IDO2, and housekeeping genes) are colored with a heatmap and at the top of the table. Other genes are organized by TPM value. Genes with TPM lower than 2 are considered low or not expressed and those outside of the heatmap are highlighted in light green.

5.0 APPENDIX B: SOFTWARE, REFERENCES, & HLA PANNEL

5.1 References to PACT Internal Documentation

| Document | Version | Title | Location |
|----------|---------|-------|----------|
|----------|---------|-------|----------|



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

| | | | |
|--------------|-----|--|----------------------------|
| Study-20-288 | 001 | Application of Minimal-subclone estimation method to determine cellular prevalence category for neoepitope targets | Q drive |
| Study-20-234 | 001 | Cellular prevalence module release test | Q drive |
| PD-002 | 004 | NeoE Prediction and Identification | Q drive |
| RTR-007 | 003 | NeoE Selection and Prioritization | Q drive |
| Study-20-171 | 001 | NeoE-HLA candidate selection with Average-out method | Sharepoint |
| Study-20-172 | 001 | Testing of implementation of Average-out method for neoE-HLA selection | Benchling |

5.2 Software packages used while following PD-002_004

| Program Name | Version | Category |
|---------------------------------|---------|---|
| ATHLATES | v1.0 | HLA calling from WES |
| bam-readcount | latest | Utility Tool |
| BWA | 0.7.9 | WES |
| FastQC | latest | Sequence QC |
| GATK | 3.6 | WES |
| HISAT2 | 2.0.4 | RNASeq |
| MANTIS | V1.0.3 | Microsatellite instability (MSI) status |
| muTect/muTect2 | 1.1.7 | WES variant calling |
| netMHC | 3.4 | Neoantigen prediction |
| netMHCpan | 4 | Neoantigen prediction |
| Oncotator | 1.9.2 | WES mutation annotation |
| OptiType | 1.3.4 | HLA calling from WES and RNASeq |
| picard-tools | 1.115 | WES |
| PMHC-I immunogenicity predictor | 1 | PMHC Class I immunogenicity prediction |
| Pyclone | 0.13.1 | Truncality |
| python | 2.7/3.5 | Utility Tool |
| R | 3.4.4 | Utility Tool |
| Razers | 3.5.3 | HLA calling from WES (OptiType) |
| RStudio | | Utility Tool |
| samtools | 1.3.1 | Utility Tool |
| Sequenza | 3.0.0 | Genotyping/Cellularity |
| STAR | 2.5.3 | RNASeq |
| StringTie | 1.2.2 | RNASeq |
| VarDict | 1.4.7 | WES |
| VarScan2 | 2.3.9 | WES variant calling |

5.3 Reference databases used while following PD-002_004

| Database Name | Version | Location |
|----------------------|-----------------------------------|---|
| dbSNP | v146 | ftp://ftp.broadinstitute.org/bundle |
| Ensembl | release 75 | http://ensembl.org/ |
| ExAc | 3.1 | http://exac.broadinstitute.org |
| GATK Resource Bundle | hg19/Grch37 | ftp://ftp.broadinstitute.org/bundle |
| GTEX | V4 | https://www.gtexportal.org/ |
| Human Proteome | Homo_sapiens.GRCh37.75.pep.all.fa | http://ensembl.org/ |
| IMGT(TCR/HLA) | 3.1.17 | http://www.imgt.org/ |
| Oncotator Datasource | Dec112014 release | ftp://ftp.broadinstitute.org/bundle |
| RefSeq | 1052019 | ftp://hgdownload.cse.ucsc.edu/goldenPath |
| TCGA | Version 1.0 | https://portal.gdc.cancer.gov/ |
| UCSC | hg19 | ftp://ftp.broadinstitute.org/bundle |

5.4 PACT 66-HLA panel

| HLA-A | HLA-B | HLA-C |
|-------------|-------------|-------------|
| HLA-A*25:01 | HLA-B*15:07 | HLA-C*03:03 |
| HLA-A*26:01 | HLA-B*27:05 | HLA-C*07:04 |
| HLA-A*29:02 | HLA-B*35:03 | HLA-C*08:01 |
| HLA-A*68:02 | HLA-B*37:01 | HLA-C*08:02 |
| HLA-A*11:01 | HLA-B*38:01 | HLA-C*12:02 |
| HLA-A*23:01 | HLA-B*41:02 | HLA-C*12:03 |
| HLA-A*30:01 | HLA-B*44:05 | HLA-C*14:02 |
| HLA-A*33:03 | HLA-B*49:01 | HLA-C*15:02 |
| HLA-A*11:01 | HLA-B*52:01 | HLA-C*17:01 |
| HLA-A*23:01 | HLA-B*55:01 | HLA-C*03:03 |



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

| | | |
|-------------|-------------|-------------|
| HLA-A*30:01 | HLA-B*13:02 | HLA-C*07:04 |
| HLA-A*01:01 | HLA-B*15:07 | HLA-C*08:01 |
| HLA-A*33:03 | HLA-B*27:05 | HLA-C*08:02 |
| HLA-A*02:01 | HLA-B*35:03 | HLA-C*12:02 |
| HLA-A*03:01 | HLA-B*37:01 | HLA-C*12:03 |
| HLA-A*24:02 | HLA-B*38:01 | HLA-C*14:02 |
| HLA-A*30:02 | HLA-B*41:02 | HLA-C*01:02 |
| HLA-A*31:01 | HLA-B*44:05 | HLA-C*15:02 |
| HLA-A*32:01 | HLA-B*49:01 | HLA-C*04:01 |
| HLA-A*33:01 | HLA-B*08:01 | HLA-C*17:01 |
| HLA-A*68:01 | HLA-B*52:01 | HLA-C*06:02 |
| HLA-A*01:01 | HLA-B*15:01 | HLA-C*07:02 |
| HLA-A*02:01 | HLA-B*55:01 | HLA-C*16:01 |
| HLA-A*03:01 | HLA-B*15:03 | HLA-C*01:02 |
| HLA-A*24:02 | HLA-B*35:01 | HLA-C*04:01 |
| HLA-A*30:02 | HLA-B*40:02 | HLA-C*06:02 |
| HLA-A*31:01 | HLA-B*42:01 | HLA-C*07:02 |
| HLA-A*32:01 | HLA-B*44:03 | HLA-C*16:01 |
| HLA-A*33:01 | HLA-B*51:01 | HLA-C*02:02 |
| HLA-A*68:01 | HLA-B*53:01 | HLA-C*03:04 |
| HLA-A*25:01 | HLA-B*08:01 | HLA-C*05:01 |
| HLA-A*26:01 | HLA-B*15:01 | HLA-C*07:01 |
| HLA-A*29:02 | HLA-B*15:03 | HLA-C*02:02 |
| HLA-A*68:02 | HLA-B*35:01 | HLA-C*03:04 |
| HLA-A*25:01 | HLA-B*40:02 | HLA-C*05:01 |
| HLA-A*26:01 | HLA-B*42:01 | HLA-C*07:01 |
| HLA-A*29:02 | HLA-B*44:03 | HLA-C*03:03 |
| HLA-A*68:02 | HLA-B*51:01 | HLA-C*07:04 |
| HLA-A*11:01 | HLA-B*07:02 | HLA-C*08:01 |
| HLA-A*23:01 | HLA-B*53:01 | HLA-C*08:02 |
| HLA-A*30:01 | HLA-B*14:02 | HLA-C*12:02 |
| HLA-A*33:03 | HLA-B*18:01 | HLA-C*12:03 |
| HLA-A*11:01 | HLA-B*27:02 | HLA-C*14:02 |
| HLA-A*23:01 | HLA-B*39:01 | HLA-C*15:02 |
| HLA-A*30:01 | HLA-B*40:01 | HLA-C*17:01 |
| HLA-A*33:03 | HLA-B*44:02 | HLA-C*03:03 |
| HLA-A*01:01 | HLA-B*46:01 | HLA-C*07:04 |
| HLA-A*02:01 | HLA-B*50:01 | HLA-C*08:01 |
| HLA-A*03:01 | HLA-B*57:01 | HLA-C*08:02 |
| HLA-A*24:02 | HLA-B*58:01 | HLA-C*12:02 |
| HLA-A*30:02 | HLA-B*07:02 | HLA-C*12:03 |
| HLA-A*25:01 | HLA-B*14:02 | HLA-C*14:02 |
| HLA-A*31:01 | HLA-B*18:01 | HLA-C*15:02 |
| HLA-A*26:01 | HLA-B*27:02 | HLA-C*17:01 |
| HLA-A*32:01 | HLA-B*39:01 | HLA-C*01:02 |
| HLA-A*29:02 | HLA-B*40:01 | HLA-C*04:01 |
| HLA-A*33:01 | HLA-B*44:02 | HLA-C*06:02 |
| HLA-A*68:02 | HLA-B*46:01 | HLA-C*07:02 |
| HLA-A*68:01 | HLA-B*50:01 | HLA-C*16:01 |
| HLA-A*25:01 | HLA-B*57:01 | HLA-C*01:02 |
| HLA-A*25:01 | HLA-B*58:01 | HLA-C*04:01 |
| HLA-A*26:01 | HLA-B*13:02 | HLA-C*06:02 |
| HLA-A*26:01 | HLA-B*15:07 | HLA-C*07:02 |
| HLA-A*01:01 | HLA-B*27:05 | HLA-C*16:01 |
| HLA-A*29:02 | HLA-B*35:03 | HLA-C*03:03 |
| HLA-A*29:02 | HLA-B*37:01 | HLA-C*02:02 |
| HLA-A*02:01 | HLA-B*38:01 | HLA-C*07:04 |
| HLA-A*68:02 | HLA-B*41:02 | HLA-C*03:04 |
| HLA-A*68:02 | HLA-B*44:05 | HLA-C*08:01 |



Study-20-611: NeoE Identification and Prioritization for Patient 0411

Version 1.5

| | | |
|-------------|-------------|-------------|
| HLA-A*03:01 | HLA-B*49:01 | HLA-C*05:01 |
| HLA-A*25:01 | HLA-B*52:01 | HLA-C*08:02 |
| HLA-A*24:02 | HLA-B*55:01 | HLA-C*07:01 |
| HLA-A*26:01 | HLA-B*13:02 | HLA-C*03:03 |
| HLA-A*30:02 | HLA-B*15:07 | HLA-C*12:02 |
| HLA-A*29:02 | HLA-B*27:05 | HLA-C*03:03 |
| HLA-A*31:01 | HLA-B*35:03 | HLA-C*07:04 |
| HLA-A*68:02 | HLA-B*37:01 | HLA-C*12:03 |
| HLA-A*32:01 | HLA-B*38:01 | HLA-C*07:04 |
| HLA-A*33:01 | HLA-B*41:02 | HLA-C*08:01 |
| HLA-A*68:01 | HLA-B*44:05 | HLA-C*14:02 |
| HLA-A*25:01 | HLA-B*49:01 | HLA-C*08:01 |
| HLA-A*26:01 | HLA-B*52:01 | HLA-C*08:02 |
| HLA-A*29:02 | HLA-B*55:01 | HLA-C*15:02 |
| HLA-A*68:02 | HLA-B*08:01 | HLA-C*08:02 |
| HLA-A*11:01 | HLA-B*15:01 | HLA-C*12:02 |
| HLA- | HLA- | HLA- |

6.0 AMENDMENTS

This is test amendments

ADDITIONAL COMMENTS:

This test conclusion.

Supporting Documents:

- 51930_dummy.pdf