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# Drying Kinetics of Salt Solution Droplets: Water Evaporation Rates and Crystallization

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- 5 Supporting Information

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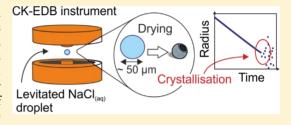
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**ABSTRACT:** Drying and crystallization of solution droplets is a problem of broad relevance, determining the microstructures of particles formed in spray-drying, the phase of particles delivered by, for example, aerosol formulations for inhalation therapies, and the impact of aerosols on radiative forcing and climate. The ephemeral nature of free droplets, particularly when considering the drying kinetics of droplets with highly volatile constituents, has often precluded the accurate measurement of transient properties such as droplet size and composition, preventing the robust assessment of predictive models of droplet-drying rates,



nucleation, and crystallization. Here, we report novel measurements of the drying kinetics of individual aqueous sodium chloride solution droplets using an electrodynamic balance to isolate and trap single aerosol droplets (radius  $\approx 25~\mu m$ ). The initial solution droplet size and composition are shown to be highly reproducible in terms of drying rate and crystallization time when examined over hundreds of identical evaporating droplets. We introduce a numerical model that determines the concentration gradient across the radial profile of the droplet as it dries, considering both the surface recession because of evaporation and the diffusion of components within the droplet. Drying-induced crystallization is shown to be fully determined for this system, with nucleation and instantaneous crystallization occurring once a critical supersaturation level of  $2.04 \pm 0.02$  is achieved at the surface of the evaporating droplet. This phenomenological model provides a consistent account of the timescale and surface concentration of free-droplet crystallization on drying for the different drying conditions studied, a necessary step in progress toward achieving control over rates of crystallization and the competitive formation of amorphous particles.

### 25 INTRODUCTION

26 Drying of liquid droplets to form particles is an important 27 process for a range of industries, from agriculture and inkjet 28 printing to the production of pharmaceutics, cosmetics, and food. 1-4 In addition, the propensity of an aerosol droplet to 30 crystallize into solid particles has been shown to dramatically 31 influence the optical properties of atmospheric aerosols and, 32 thus, their radiative forcing. 5,6 The evolving heterogeneities in 33 the particle microstructure as a droplet evaporates can be a 34 highly complex process. Heat and mass transfer can be strongly 35 coupled.<sup>7</sup> The competition between surface recession and 36 internal flows such as convection, diffusion, and Marangoni 37 flows can result in an array of product morphologies, size, and 38 properties. 8-10 For some solutes, nucleation and crystallization 39 is sufficiently rapid that dry crystalline particles result, 40 depending on the drying rate; for other systems, drying may 41 lead to amorphous glassy particles. 11,12 The crystallization of 42 solutes present in a droplet is often the ultimate outcome of 43 droplet-drying processes. The time at which nucleation occurs 44 within an evaporating droplet can be directly linked to the 45 initial droplet size and solute concentration, allowing some 46 control over the final crystalline particle size and morphology. 47 Our objective here is to examine the drying kinetics and 48 crystallization of inorganic solution droplets. Through refined 49 measurements of the drying rates of individual droplets, we will 50 show that the crystallization time and surface composition at

crystallization are highly reproducible over ranges of starting 51 droplet composition and temperature, providing a phenom- 52 enological model for predicting the formation of crystalline 53 particles.

Although there has been considerable work on the study of 55 droplet drying on surfaces, that is, sessile droplets, 13-15 far 56 fewer studies have examined the drying kinetics and 57 crystallization of free droplets or aerosols. There are numerous 58 unique experimental challenges associated with studying the 59 drying dynamics of free droplets that easily overcome when 60 examining sessile droplets. Free droplets are ephemeral and 61 difficult to follow in position unless a single particle is isolated 62 and captured, such as with an optical trap, 16 electrodynamic 63 balance (EDB),<sup>17</sup> or acoustic trap.<sup>18</sup> Even then, the timescales 64 of evaporation processes can be <1 s, presenting a significant 65 challenge to infer characteristic properties (e.g., size and 66 composition at the point of crystallization) on such short 67 timescales. Ensuring reproducibility in droplet generation and 68 drying events is also crucial if sufficient statistics are to be 69 achieved to infer the key microphysical details of the drying 70 process. Finally, sampling the particles for off-line structural 71 analysis requires deposition, which may also lead to a phase 72

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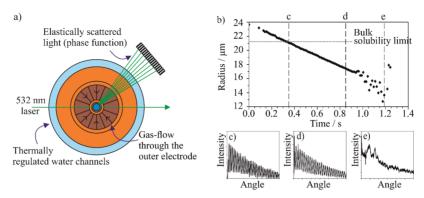


Figure 1. (a) Schematic of the electrodynamic balance double-ring electrode system, as viewed from above. The gas flow passes through the electrode vertically and out of the page as shown. (b) Change in the radius with time of a levitated aqueous NaCl droplet (initial concentration 20% w/w) as it evaporates within the EDB. (c) Elastically scattered light (phase function) collected at 0.3 s; (d) at 0.8 s; (e) at 1.0 s.

73 change or change in particle composition. <sup>19</sup> However, studying 74 free-droplet evaporation removes the complexities of the 75 substrate—droplet interactions and Marangoni flows that occur 76 for sessile droplets. In addition, the absence of a surface 77 simplifies the symmetry for modeling, reduces the possibility of 78 heterogeneous nucleation, and limits the treatment of heat 79 transfer to conduction into the vapor phase. Free-droplet 80 drying in air is also more representative of industrial processes 81 such as crop-spraying and spray-drying. Thus, a greater 82 understanding of how the particle formation process can be 83 predicted and controlled would be integral to improving the 84 efficacy of many industrial drying processes.

Spray-drying is the process of rapidly drying a stream of 86 aerosolised solution droplets in a hot air flow, such that 87 micrometer-sized particles are formed. Its application in many 88 industries can be attributed to the speed and efficiency of the 89 one-step process, and the short residence time of the droplets 90 enables the use of heat-sensitive precursor compounds.<sup>20</sup> 91 Although widely used, the process of particle formation from 92 aerosol droplets is an active area of research as it is highly 93 condition-dependent.<sup>21</sup> A better understanding of the factors 94 governing the evaporation kinetics and how compositional 95 heterogeneity develops within an evaporating droplet is critical 96 to allow ultimate fine-tuning of drying conditions and 97 precursor components and to deliver tailored final dry particles with desired characteristics.<sup>22</sup> Within a spray drier, droplet 99 evaporation is typically rapid, that is, on timescales on the 100 order of milliseconds to seconds. The rate of evaporation can 101 outcompete the rate of diffusional solute mixing, leading to the 102 enrichment of solutes at the droplet surface; the rate at which 103 the solvent is replenished at the surface is much slower than 104 the rate of surface recession. The Peclet number (*Pe*) is used to 105 provide a measure of the ratio between the diffusion rate 106 within a particle and the evaporation rate

$$Pe = \frac{\kappa}{8D_i} \tag{1}$$

108 where  $\kappa$  is the evaporation rate and  $D_i$  is the molecular 109 diffusion coefficient of species i within a particle. A Peclet 110 number lower than 1 indicates that a homogeneous 111 composition is maintained throughout the drying process; 112 the diffusional mixing is fast enough to replenish the surface 113 with solvent from the droplet bulk. However, Pe greater than 1 114 indicates that the surface is likely to become enriched as the 115 droplet dries, with the surface receding at a greater rate than 116 the time scale for diffusional mixing.  $^{24-26}$ 

In the bulk phase, crystallization of solutes typically occurs 117 upon reaching saturation. However, metastable supersaturated 118 solute states are prevalent in the aerosol phase, with 119 crystallization only occurring at high solute concentration 120 and a water activity much lower than the solubility limit, often 121 referred to as the efflorescence point. Classical nucleation 122 theory is built on an interplay between the interfacial free 123 energy of the crystal-liquid phase boundary and the chemical 124 potential difference between the liquid and crystalline phases.<sup>27</sup> 125 The chemical potential difference rises with the increasing level 126 of saturation within the droplet, and the interfacial free energy 127 acts as a barrier to formation of a crystal nucleus. Although 128 surfaces and impurities, such as dust, can act as heterogeneous 129 nucleation sites and reduce the free energy barrier to 130 nucleation, the absence of a solid surface enables an aerosol 131 droplet to reach very high levels of supersaturation before 132 efflorescence occurs. Typically, inorganic solutions effloresce at 133 a water activity reported in terms of the gas-phase moisture 134 content or the efflorescence relative humidity (ERH). At 135 atmospheric temperature and pressure, the aerosol is only able 136 to hold the salt in the aqueous solution form under conditions 137 of higher moisture content than the ERH. Homogeneous 138 nucleation is a rare event, and the stochastic nature means that 139 efflorescence is typically reported over an RH range as there is 140 inherent randomness in the occurrence of nucleation.<sup>28</sup> For 141 sodium chloride, this range is narrow, and while size 142 dependence of the droplet on the ERH has been reported, 29 143 the ERH for droplets of the size range in this work is reported 144 to be 44-45% RH.<sup>30</sup> A water activity of 0.45 corresponds to a 145 molality of 12.68 mol kg<sup>-1</sup>, a concentration of 648 g L<sup>-1</sup>, and a 146 supersaturation level of 2.04.31

A series of studies have investigated the drying of inorganic 148 solution droplets evaporating both on surfaces and during 149 levitation, inferring the nucleation rate as a function of the 150 supersaturation level reached. 32,33 We focus here on the 151 crystallization of evaporating sodium chloride droplets. 152 Aqueous sodium chloride was chosen as the system for study 153 as the thermodynamic equilibrium behavior of aqueous sodium 154 chloride aerosol is well-characterized, 31 and the evaporation of 155 water from aqueous NaCl sessile droplets has been studied in 156 detail. 13,34 Sodium chloride is also a common precursor 157 compound used industrially. It is particularly prevalent in the 158 preparation of food, and its particle formation process is 159 relevant because of a drive to reduce salt intake in 160 populations. There have been reports stating that one can 161 increase the intensity of salt flavor by adjusting particle size and 162

163 morphology, allowing an overall lower mass of NaCl to be 164 used. 36,37 In addition, NaCl is a large component of sea spray, 165 and thus, it is important to understand its crystallization and 166 phase behavior for climate models. 38

In this work, we report measurements of the time-dependent radius of a rapidly evaporating sodium chloride droplet throughout the drying process and the time of crystallization. Trapping the droplet in free air while it dries eliminates any heterogeneous nucleation site such as a container wall and only the homogeneous nucleation of NaCl crystals is probed. These measurements are performed on a large population of droplets, providing a comprehensive statistical analysis on the propensity to crystallize. Homogeneous nucleation of NaCl occurs above a certain critical supersaturation,  $S_c$ . The evaporation kinetic results are compared with simulations of the evolving internal concentration gradients within the evaporating droplets.

## EXPERIMENTAL METHOD AND ASSESSMENT OF THE PARTICLE PHASE STATE

182 The evaporation of water from sodium chloride solution 183 droplets was studied using the EDB (see Figure 1a). In all 184 experiments, HPLC-grade water and BioXtra ≥99.5% NaCl 185 (Sigma-Aldrich) were used. The EDB instrument has been 186 described in detail in previous work<sup>39</sup> and will only be briefly 187 reviewed here. A droplet-on-demand generator is used to 188 produce a single droplet of known composition which is 189 charged (<10 fC, e.g., from an imbalance in Na<sup>+</sup> and Cl<sup>-</sup>) and 190 injected into the EDB trapping chamber. An ac voltage is 191 applied to a pair of upper and lower concentric cylindrical 192 electrodes, generating an electric field in which the droplet is 193 trapped. The gravitational and drag forces acting on the 194 droplet are offset by a dc voltage applied to the bottom 195 electrode. A flow of dry nitrogen passes over the trapped 196 particle at a rate of 0.03 m/s, and the trap temperature (273– 197 323 K) is controlled by circulating ethylene glycol coolant 198 through the electrodes.

The droplet is illuminated with a 532 nm CW laser, and the resulting elastically scattered light pattern (phase function) is collected over an  $\sim$ 24° angular range centered at 45° to the forward direction of the laser (see Figure 1b). During the time period that the trapped droplet is homogeneous and spherical, it produces regularly spaced interference fringes in the phase function which allow the size of the droplet to be calculated. The angular separation between the light and dark fringes in the phase function is used to calculate the droplet radius, R, using the geometric optics approximation to Mie theory.

$$R = \frac{\lambda}{\Delta \theta} \left( \cos \left( \frac{\theta}{2} \right) + \frac{n \sin \left( \frac{\theta}{2} \right)}{\sqrt{1 + n^2 - 2n \cos \left( \frac{\theta}{2} \right)}} \right)^{-1}$$
 (2)

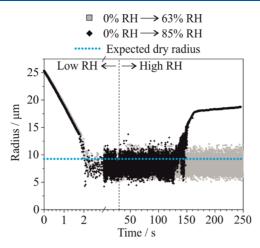
210 where  $\lambda$  is the laser wavelength,  $\vartheta$  is the central viewing angle, 211  $\Delta\vartheta$  is the angular separation between the fringes, and n is the 212 droplet refractive index. This approximation can allow the 213 determination of the droplet radius with an accuracy of  $\pm 100$  214 nm

The concentration in g  $\rm L^{-1}$  averaged across the droplet volume is calculated as a function of time using the droplet volume, obtained from the radius measurement, and the dry mass of NaCl, which is known from the starting concentration. As the concentration of the aqueous NaCl droplets increases

and the water evaporates, radius data are corrected for the 220 time-dependent change in droplet refractive index in a 221 postanalysis step.<sup>39</sup> The refractive index of the droplet at all 222 times can be calculated from the concentration using the molar 223 refraction mixing rule. 40 This method assumes that the droplet 224 composition is homogeneous, which is unlikely to be the case; 225 rapid evaporation may lead to surface enrichment and an 226 inhomogeneous droplet. Hence, there is an uncertainty in the 227 radius resulting from the refractive index estimation, which 228 increases as the droplet surface becomes more enriched. The 229 error resulting from this is estimated in the Supporting 230 Information. Even an appreciable surface enrichment does not 231 significantly affect the refractive index and only begins to affect 232 the estimate of the radius in the last ~0.1 s of the droplet 233 lifetime where the error in the radius remains less than 5%. 234 The experimentally determined crystallization times are 235 unaffected.

Crystal nucleation occurring within the droplet leads to a 237 sudden lack of homogeneity in composition and shape, which 238 is detectable from a dramatic change in the form of the phase 239 function. The spacing of the interference fringes becomes 240 highly erratic and the particle size can no longer be inferred 241 (see Figure 1b). The sensitivity of the EDB measurement for 242 detecting the efflorescence time is therefore the minimum 243 interval at which the phase function is recorded, which is 244 typically 10 ms. This method can only identify the time frame 245 at which particle crystallization occurs, based on the dramatic 246 changes in light scattering observed in the phase function; the 247 method is unable to identify the time at which nucleation 248 occurs. This method of identifying the point of efflorescence 249 has previously been used to detect nucleation events in 250 levitated aqueous NaCl droplets as they evaporate slowly in 251 equilibrium experiments<sup>41</sup> and also to observe ice nucleation 252 events in homogeneous freezing experiments of sulfuric acid 253 solution droplets.<sup>42</sup> The point of nucleation was determined to 254 be the moment where the fringe diffraction pattern character- 255 istic of a spherical droplet was lost from the elastic light 256 scattering, leaving an incoherent speckle pattern. In this work, 257 when slow step-wise changes in RH are made, progressively 258 drying the droplet to lower water activity, the loss of the 259 regular scattering pattern occurred at a water activity of 0.45, 260 consistent with previous efflorescence studies that report an 261 efflorescence RH of 45% for NaCl, 43 thus validating the 262 method for efflorescence detection.

Further conclusive evidence that crystallization occurs when 264 an aqueous NaCl droplet evaporates into dry nitrogen is 265 provided in Figure 2. In both measurements shown, the 266 f2 solution droplets crystallize after ~1.7 s of evaporation. Then, 267 for one of the particles, the RH was increased to  $\sim 85\%$  268 approximately 30 s into the experiment, increasing the gas- 269 phase water content above the deliquescence RH of 75%. 31 As 270 anticipated, the NaCl particle dissolved, water condensed, and 271 the particle became a homogeneous solution droplet allowing a 272 precise measurement of size after t = 150 s. Conversely, the 273 RH surrounding a second crystallized particle was increased to 274 63%, that is, below the deliquescence RH. In this case, the 275 particle remained crystalline, precluding a precise determi- 276 nation of particle radius using the phase function. The 277 presence of a deliquescence transition at RH > 75% confirms 278 that the transition at RH < 45% observed is indeed 279 efflorescence.



**Figure 2.** Evaporation of two aqueous NaCl droplets (initial concentration 10% w/w) into dry nitrogen, leading to efflorescence at t=1.7 s. The RH is increased to a higher RH after 30 s into the experiment. When the humidity is increased to 85% RH (black points), the crystalline particle absorbed water and subsequently deliquesced at approximately t=150 s. When the RH is increased only to 63% (gray points), deliquescence is not observed, and the particle remained in the crystalline form. Also shown is the effective spherical radius of the solid NaCl particle estimated from the initial solution droplet wet size and solute concentration.

#### 281 RESULTS AND DISCUSSION

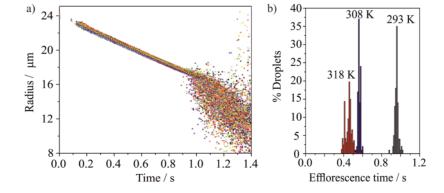
282 The time taken for an evaporating aqueous NaCl droplet to effloresce was recorded for large populations of droplets at different gas-phase temperatures. Thus, only data acquired (and averaged) over many droplets are reported below unless otherwise stated. First, we consider the reproducibility of the efflorescence behavior of evaporating aqueous NaCl droplets. Second, the impact of changing the gas-phase temperature and initial concentration on the efflorescence time is reported. The volume-averaged concentration of the evaporating droplets as a function of time is presented and discussed along with estimates of the respective Peclet numbers for each set of evaporation conditions. We then introduce a model that maps the evolving internal microstructure of the droplets, presenting the model predictions of droplet surface concentrations which 296 are in good agreement with the experimental observations of the crystallization times of the droplets.

Reproducibility of Efflorescence Events across Populations of Droplets. To demonstrate the reproducibility of

the efflorescence events when measuring the kinetics of droplet 300 evaporation, the drying profiles of 230 NaCl droplets 301 evaporating into dry air at 293 K were recorded and the 302 results are shown in Figure 3a. The data demonstrate both the 303 f3 reproducibility in the droplet size generated by the droplet 304 dispenser (24.0  $\mu$ m  $\pm$  0.1) as well as the reproducibility in the 305 timing of the efflorescence event, characterized by the point at 306 which the radius can no longer be calculated using the optical 307 fringes in the phase function. In Figure 3a, this occurred at 0.95 308  $\pm$  0.02 s following droplet generation. This equates to a solute 309 concentration averaged over the droplet volume of  $636 \pm 7$  g <sub>310</sub> L<sup>-1</sup>. The microdispenser that produces single droplets is 311 external to the EDB instrument and it requires approximately 312 0.2 s for the droplet to travel into the center of the chamber 313 where it becomes trapped. Thus, the data points shown at t = 0 314 result from a back extrapolation from the time dependence of 315 the square of the radius at the earliest times after the droplet is 316 captured in the trap.

Effect of the Evaporation Rate on Efflorescence Time. 318
The evaporation rate of an aqueous sodium chloride droplet in 319
dry nitrogen depends on two factors: the gas-phase temper- 320
ature and the solute concentration in the initial droplet. 321
Increasing the gas-phase temperature, or decreasing the solute 322
concentration, leads to a higher droplet evaporation rate due to 323
the resulting increase in the vapor pressure of solvating water 324
present in the droplet. Conversely, an increase in solute 325
concentration suppresses the vapor pressure of water and slows 326
the evaporation rate. The consequences of changing the gas- 327
phase temperature within the EDB chamber for the 328
efflorescence time are shown in the histogram in Figure 3b. 329

First, it should be noted that the distribution in efflorescence 330 time at each temperature is narrow, showing the reproduci-331 bility in the efflorescence behavior of aqueous sodium chloride 332 as a system. Previous reports have suggested that homoge-333 neous nucleation is a stochastic process, with close fits of data 334 of homogeneous nucleation at a specific level of super-335 saturation to Poisson distributions. However, the distribu-336 tions in efflorescence time in this work, as shown in Figure 3b, 337 cannot be presented as a probability of nucleation occurring as 338 a function of induction time at a specific defined super-339 saturation level, as in the work of Durán-Olivencia et al. A40 Rather, in this work, the droplets are exposed to rapidly 341 increasing levels of supersaturation, and thus, the "induction 342 time" cannot be readily defined.



**Figure 3.** (a) Overlaid evaporation profiles of 230 aqueous NaCl droplets (20% w/w) evaporating into dry air at 293 K. (b) Distribution in efflorescence times observed at different gas-phase temperatures for a large population of droplets (upwards of 200 in each case). Efflorescence time is defined as the time from the creation of the droplet until efflorescence is detected in the EDB.

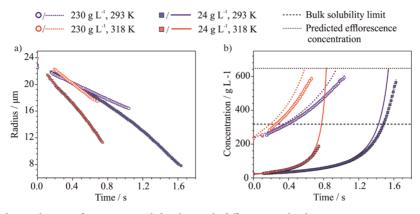


Figure 4. (a) Change in radius with time of aqueous NaCl droplets with different initial solute concentrations evaporating into dry nitrogen at different temperatures. Data points show experimental data averaged over a minimum of 10 droplets. Error bars are smaller than the data points. (b) Change in the volume-averaged concentration of NaCl within the evaporating droplets as a function of time inferred from the experimental measurements of radius (points). The evolving surface composition calculated from the model is indicated by the lines. Data points show experimental data averaged over a minimum of 10 droplets. Solid and dotted lines show the surface concentration as predicted by the model.

Table 1. Recorded Efflorescence Times and Final Averaged Concentrations (Averaged over a Minimum of 15 Droplets) for Different Initial Solute Concentrations of Aqueous NaCl Droplets and Different Gas-Phase Temperatures

| initial feed concentration of NaCl/g $\mathrm{L}^{-1}$ | gas-phase<br>temperature/K | volume-averaged efflorescence concentration/g ${\rm L}^{-1}$ | efflorescence<br>time/s | initial Peclet<br>number |
|--|----------------------------|--|-------------------------|--------------------------|
| 24   | 293                        | $630 \pm 10$   | $1.51 \pm 0.02$         | 0.023                    |
| 24   | 318                        | $200 \pm 20$   | $0.74 \pm 0.03$         | 0.037                    |
| 230  | 293                        | $636 \pm 7$  | $0.95 \pm 0.02$         | 0.054                    |
| 230  | 318                        | $640\pm20$   | $0.45 \pm 0.03$         | 0.082                    |

Second, the timescale for particle efflorescence is observed 345 to decrease as the gas-phase temperature increases, falling from  $346~0.95 \pm 0.02$  s at 293 K to  $0.56 \pm 0.01$  s at 308 K and  $0.45 \pm$ 347 0.03 s at 318 K. The higher gas-phase temperature leads to 348 more rapid evaporation because of the increase in water vapor 349 pressure and a rise in solute concentration and saturation to 350 the point where nucleation and crystallization occurs at an 351 earlier time in drying. In an analogous way, the impact of 352 changing the starting concentration of NaCl in the aqueous 353 droplet on the evaporation rate is also shown in Figure 4a. The 354 droplet with a higher starting concentration of NaCl (230 g 355 L<sup>-1</sup>) has a lower water vapor pressure and, thus, a slower 356 evaporation rate than the  $24 \text{ g L}^{-1}$  NaCl droplet. Despite the 357 slower evaporation rate for the more concentrated droplet, 358 efflorescence still occurs earlier into the droplet lifetime for the 359 higher initial concentration at both temperatures. The greater concentration in the initial droplet ensures that the critical supersaturation level for efflorescence to occur is reached 361 earlier into the droplet lifetime. 362

Volume-Averaged Concentration of Evaporating 363 364 Droplets. For the four drying conditions shown in Figure 365 4a, the volume-averaged concentration of NaCl in each droplet 366 was calculated, assuming a homogeneous composition and spherical shape, and the results are shown in Figure 4b. The 368 recorded efflorescence times and the volume-averaged 369 concentration for the different drying conditions are reported 370 in Table 1. Three of the four datasets indicate that the droplets effloresced at average NaCl concentrations above the bulk solubility limit but below the required supersaturation 373 expected from previous measurements of the efflorescence 374 RH. However, for the case of the initial NaCl feed  $_{\rm 375}$  concentration of 24 g  $L^{-1}$  and temperature of 318 K, the 376 droplets effloresced at 0.74 ± 0.03 s after the droplet was 377 dispensed. This equates to a volume-averaged concentration at

the point of efflorescence of 200  $\pm$  20 g L<sup>-1</sup>, which is below 378 even the bulk solubility limit of NaCl of 318 g  $L^{-1}$ . This 379 indicates that crystallization of the evaporating droplets cannot 380 be triggered by processes in the droplet bulk as the solute 381 supersaturation never achieves a sufficiently high value. We 382 propose instead that the rapid evaporation of the droplets leads 383 to a surface enrichment of solute, which exceeds the nucleation 384 supersaturation. This leads to crystallization at the droplet 385 surface while the volume-averaged concentration remains low. 386 Thus, we must not assume that complete crystallization has 387 taken place in the droplet immediately at the time that the 388 light-scattering phase function suggests the particle is no longer 389 spherical. However, the fully dry gas phase will lead to the 390 irreversible and complete loss of water from the droplet, and a 391 fully crystalline particle must form soon after crystallization 392 begins, even though this second stage of drying is not clearly 393 resolvable in our measurements.

Increasing the gas phase, and thus the droplet, temperature 395 increases the evaporation rate, while also increasing the binary 396 diffusion coefficient of water within the droplet according to 397 the Stokes–Einstein relationship 398

$$\frac{D_1}{D_2} = \frac{T_1}{T_2} \frac{\mu_2}{\mu_1} \tag{3}$$

where  $D_T$  is the binary diffusion coefficient at temperature T, 400 and  $\mu_T$  is the dynamic viscosity of the solvent (assumed to 401 remain constant between 293 and 318 K for aqueous NaCl 402 solutions). Increasing the temperature also increases the bulk 403 solubility of inorganic salts, although this is only a small 404 increase, from 317.5 g L<sup>-1</sup> at 293 K to 321.7 g L<sup>-1</sup> at 318 K, for 405 NaCl. The observation that the droplets at higher temper- 406 atures effloresce earlier than those at lower temperatures, 407 suggesting that the increase in the evaporation rate from 293 to 408 318 K is sufficiently large that the supersaturation required for 409

410 nucleation is achieved earlier in the evaporation process, 411 despite the increase in the binary diffusion coefficient. 412 Quantitatively, the average evaporation rate increases from 413 2.52  $\pm$  0.01  $\times$  10 $^{-10}$  m² s $^{-1}$  at 293 K to 4.2  $\pm$  0.1  $\times$  10 $^{-10}$  m² s $^{-1}$  at 318 K (a 66% increase); over this compositional range, 415 the binary diffusion coefficient is increased by 8.5% at the 416 higher temperature (see eq 3). Thus, in this instance, observing 417 efflorescence at earlier time when increasing the temperature is 418 consistent with expectations from the Peclet model.

The Peclet number at t = 0 s for the four datasets is shown 420 in Table 1, as calculated using eq 1. All four datasets show 421 Peclet numbers that are well below 1, which would suggest that 422 the initial binary diffusion coefficient within the droplets is 423 sufficiently large when compared to the evaporation rate to 424 keep the droplet well mixed throughout the drying process, 425 resulting in dense, homogeneous particles. However, the 426 experimental data in Figure 4b suggest that crystallization 427 must occur at the surface, induced by surface enrichment 428 during drying, as the average efflorescence concentration is 429 below the critical supersaturation level. Aqueous NaCl 430 solutions have been shown to increase in viscosity by as 431 much as 5 times between dilution and the solubility limit in the 432 aerosol phase, 46 and, thus, the binary diffusion coefficient can 433 be expected to decrease as the droplet evaporates. Taking this 434 into account would imply that the binary diffusion coefficient 435 near the surface would decrease, leading to greater surface 436 enrichment as the aqueous NaCl droplet evaporates than a 437 simple evaluation of the Peclet number with a single value of 438 the diffusion coefficient suggests. We now explore the evolving 439 concentration profiles within the evaporating droplets more 440 closely.

Modeling the Internal Concentration Profiles of Evaporating Droplets. We present below an analysis of the internal concentration profile of the evaporating droplets, the internal concentration profile of the evaporating droplets, the particularly examining the degree of supersaturation at the droplet surface throughout the evaporation process. We treat the droplet as a moving boundary problem which uses the experimentally determined evaporation rates at each time step to provide the rate of surface recession. The solute profile evolution is obtained by numerically integrating the diffusion equation using finite difference methods. The droplet is split into a series of radial shells with thickness ~20 nm from which the solute concentration is calculated.

More specifically, we model the binary fluid droplet as a 454 sphere of outer radius R(t), where the initially uniform solute 455 concentration evolves according to a diffusion equation 456 neglecting temperature and convection effects. The solute 457 concentration profile c(r,t) at distance  $r \in [0,R]$  from the 458 center-of-mass then evolves with spherical symmetry according 459 to the nonlinear diffusion equation

$$\frac{\partial c}{\partial t} = \nabla \cdot (D \nabla c) \tag{4}$$

Our previous measurements of the viscosity of aqueous aerosol droplets of NaCl solutions at 293 K reported that the viscosity depends on solute concentration (water activity), the indicating that the binary diffusion coefficient D would also be affected by the changing NaCl concentration in an evaporating aqueous droplet. To examine this effect, we have estimated the value of the binary diffusion coefficient D across the NaCl concentration range at 293 K using the Stokes—Einstein relation, as detailed in the Supporting Information and accounted for by eq 3. Assuming a general Einstein form for

the relationship of the binary diffusion coefficient to temper-  $^{471}$  ature (i.e.,  $D \propto k_{\rm B}T$ ), we obtain values at arbitrary temperature  $^{472}$  using the scaling  $^{473}$ 

$$D(T) = \frac{D(293 \text{ K})}{293} T \tag{5}_{474}$$

The model does not consider the change in droplet 475 temperature because of evaporative cooling, which is likely to 476 cause a reduction to the diffusion coefficient at the droplet 477 surface.

Only the solvent evaporates at the surface leaving a constant  $^{479}$  total solute mass within the droplet, imposing zero flux  $^{480}$  through the outer boundary. Together with a compatibility  $^{481}$  condition at r=0, we obtain the complete set of boundary  $^{482}$  conditions for eq 4 as

$$\left. \frac{\partial c}{\partial r} \right|_{r=0} = 0 \tag{6a}$$

$$\left. \frac{\partial c}{\partial r} \right|_{r=R} = -\frac{c(R)}{D} \frac{\mathrm{d}R}{\mathrm{d}t} \tag{6b}_{485}$$

The evolution of the outer radius depends on the mass flow 486 through the outer boundary and the local density  $\rho(r)$ , which 487 itself a function of the concentration profile. Mass conservation 488 gives the radial evolution in terms of the evolution of the total 489 mass m(t) as

$$\frac{\mathrm{d}R}{\mathrm{d}t} = \frac{1}{\rho(R)R^2} \left( \frac{1}{4\pi} \frac{\mathrm{d}m}{\mathrm{d}t} - \int_0^R \frac{\partial \rho}{\partial t} r^2 \, \mathrm{d}r \right) \tag{7}$$

which requires a model for the solvent evaporation dm/dt for 492 closure. Evaporation is modeled in similar experiments with 493 the Kulmala model, <sup>47</sup> but in this work, where the dry air causes 494 rapid evaporation rates, we find significant deviations from this 495 semianalytic model. The Kulmala model assumes that the 496 temperature change at the boundary is small: an assumption 497 that breaks down with rapid evaporation. Instead, given our 498 primary aim is to track the internal concentration field 499 throughout the measurement and to investigate the factors 500 governing crystallization, we fit the evolution of droplet sizes 501 seen in the experiments with  $R(t)^2 = R(0)^2 - 2\kappa t$ , obtaining 502

$$\frac{\mathrm{d}R}{\mathrm{d}t} = \frac{\kappa}{R} \tag{8}_{503}$$

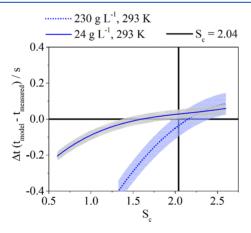
with which eqs 4, 6a, and 6b can be solved. Constraining the 504 modeled mass flux to the measurements through assuming a 505 linear fit to the time dependence in the square of the radius is a 506 reasonable assumption until very close to the time at which 507 crystallization is observed (within 0.1 s). This is shown in the 508 Supporting Information in Figure S3.

To solve this moving boundary problem, it is convenient to 510 transform into the coordinate system  $\tilde{r}=r/R$  in which the 511 domain is fixed in time and eq 4 acquires an effective flux term. 512 In these coordinates, the system of eqs 4, 6a, 6b, and 6b is 513 readily solved using a second-order integration scheme <sup>48</sup> for an 514 initial uniform concentration profile. Microcapillary experi- 515 ments <sup>49</sup> suggest that homogeneous nucleation for NaCl is 516 almost instantaneous at a fixed supersaturation, so we integrate 517 until the concentration at the outer boundary reaches a solute 518 supersaturation cutoff value. We confirm the numerical 519 stability of the trajectories by varying the temporal and spatial 520 resolutions over an order of magnitude, with no appreciable 521 effect to the final result. As far as we are aware, there is no 522

523 model that can accurately simulate the rapid evaporation and 524 resulting microphysics, including crystallization, in an inorganic 525 solution droplet in dry air. As outlined previously, the 526 microphysics of such a drying droplet is highly complex 527 because of the coupling between heat and mass transfer and 528 the diffusional transport competing with evaporative flux. 529 Hence, the model presented here is necessarily constrained to 530 the experimentally determined evaporation rate to set a 531 boundary condition. Future developments of this model 532 would include a simulation of the evaporation, independent 533 of experiments, to provide a predictive determination of the 534 crystallization time in free-droplet drying.

The critical supersaturation value,  $S_c$ , at which efflorescence 536 occurs has been measured experimentally by Desarnaud et al. 49 537 who reported homogeneous nucleation occurring in micros<sub>38</sub> capillaries at  $S_c = 1.6$ . Tang et al.<sup>50</sup> and Utoft et al.<sup>51</sup> reported 539 S<sub>c</sub> values of 1.87 and 1.9, respectively. Here, an S<sub>c</sub> value of 2.04  $_{540} \pm 0.02$  was used, based on calculations from the E-AIM  $_{541}$  model,  $_{31}^{31}$  assuming a NaCl efflorescence RH of 45% equivalent 542 to a solution with a water activity of 0.45. The values of 543 efflorescence water activity of 0.45 and an associated error of  $\pm 0.01$  are consistent with literature values of the efflorescence 545 RH under slow evaporation conditions in a large number of 546 aerosol measurements and our own experimental data. 30,31,52 547 Using the E-AIM model, the NaCl solute concentration at a 548 gas-phase RH of 45% at 293 K is 648 g L<sup>-1</sup>, that is, a molality 549 of 12.68 mol kg<sup>-1</sup> and a supersaturation value of 2.04. The 550 model terminates when the concentration in any region within the evaporating droplet achieves this value of  $S_c$ , identifying the 552 point at which crystallization is expected.

Validation for using the E-AIM-predicted value of  $S_c = 2.04$  to terminate the model is provided in Figure 5. The figure 555 shows the deviation between the measured crystallization time, 556  $t_{\text{measured}}$ , and the modeled crystallization time,  $t_{\text{model}}$ , as a 557 function of the value of  $S_c$  used to terminate the model. The 558 two measurements shown are those performed at 293 K, 559 consistent with the thermodynamic model predictions from E-560 AIM, which are based on aerosol measurements performed at



**Figure 5.** Deviation between the modeled crystallization time and that measured experimentally, as a function of the chosen value of the critical supersaturation  $S_c$  at which crystallization is assumed to occur. Previous aerosol-phase measurements involving slow step-wise decreases in RH at 293 K are consistent with a value for  $S_c$  of 2.04. This value yields congruent modeled and measured crystallization times for the droplet-drying measurements reported here starting from different initial salt concentrations, within the experimental

room temperature. A value of  $S_c=2.04\pm0.02$  yields 561 consistency between the modeled and experimental crystal-562 lization times for the two salt solution concentrations reported 563 here. As we propose the critical efflorescence concentration to 564 be the same for different conditions for NaCl, the value of  $S_c=565$  2.04  $\pm$  0.02 is the only critical supersaturation for which the 566 modeled  $t_{\rm eff}$  matches the experimental  $t_{\rm eff}$  within the 567 experimental error. For measurements performed at 318 K, a 568 value of  $S_c=2.04$  is still used. However, the increase in the 569 NaCl bulk solubility limit with temperature, from 317.6 to 570 321.7 g  $L^{-1}$ , is explicitly included in the model when 571 calculating supersaturation.

In Figure 6, we report the radial concentration profiles 573 f6 estimated as a function of time for droplets evaporating at 574 different temperatures and starting solute concentrations, up 575 until the point at which the nucleation supersaturation is 576 exceeded in one of the radial shells. For all droplet 577 compositions and gas-phase temperatures, the model predicts 578 the highest solute concentration to be at the droplet surface, 579 supporting the case for nucleation occurring at the particle 580 surface. Changing the temperature and the initial solute 581 concentration strongly influences how the radial concentration 582 profiles evolve with time. Considering the two droplets 583 evaporating at 293 K (Figure 6a,b): the droplet with the 584 higher starting concentration exhibits a clear radial concen- 585 tration gradient from the very beginning of the evaporation 586 process, which increases in magnitude steadily until the 587 nucleation supersaturation is exceeded at the surface and 588 efflorescence occurs. By contrast, the droplet with the lower 589 starting concentration does not initially show a radial 590 concentration gradient and undergoes substantial evaporation 591 before a significant gradient becomes evident. Even though the 592 rate of surface recession (the evaporation rate) of the lower 593 concentration droplet is more rapid, its initial solute 594 concentration is so low that there is insufficient NaCl at 595 early times to significantly enrich the surface as it recedes. 596 However, by the point at which efflorescence occurs in the 597 lower starting concentration droplet, the magnitude of its 598 concentration gradient is more severe than that observed for 599 the higher initial concentration droplet.

Increasing the temperature from 293 to 318 K causes a 601 further increase in the magnitude of the radial concentration 602 gradient, as shown in Figure 6c,d. For both starting 603 concentrations, evaporation at 318 K leads to a much greater 604 degree of surface enrichment in an evaporating droplet than at 605 293 K, because of the increase in their evaporation rates. This 606 can be seen more clearly in Figure 4b. The corresponding lines 607 show the calculated surface concentration of the droplets as a 608 function of time, compared to the data points that show the 609 volume-averaged concentration of the droplets from the 610 experimental measurements. The internal concentration 611 profiles for all four evaporation conditions show a positive 612 deviation in the surface concentration away from the volume- 613 averaged concentration, indicating surface enrichment. As 614 discussed above, the two droplets with the higher starting 615 concentration show this deviation from the beginning of the 616 evaporation process, whereas the two droplets starting at 24 g 617 L<sup>-1</sup> have a surface concentration, that is, very similar to the 618 average concentration, indicating a well-mixed droplet, until 619 about 0.4 s into the droplet lifetime, at which time a sudden 620 deviation from the averaged concentration line becomes 621 apparent. The deviation is particularly large for the 24 g L<sup>-1</sup> 622 droplet evaporating at 318 K which shows the faster 623

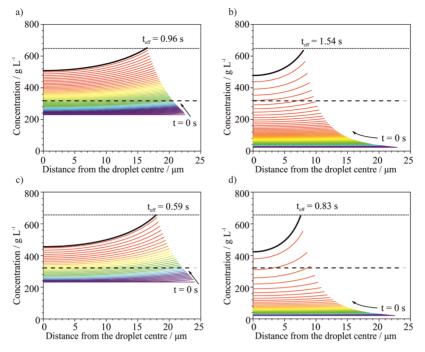
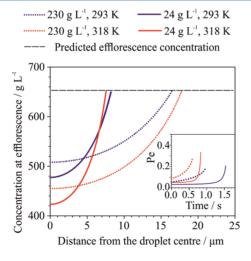


Figure 6. Radial concentration profiles within an evaporating NaCl droplet in dry air, at different initial concentrations and evaporating temperatures until the supersaturation level of 2.04 are reached. (a) Initial concentration of 230 g  $L^{-1}$  at 293 K; (b) 24 g  $L^{-1}$  at 293 K; (c) 230 g  $L^{-1}$  at 318 K; (d) 24 g  $L^{-1}$  at 318 K. Lines are at time steps of 0.02 s. The solid black line represents the radial concentration at the predicted efflorescence time ( $t_{\rm eff}$ ). Dashed black lines and dotted black lines are the bulk solubility limit and efflorescence supersaturation, respectively.

 $_{624}$  evaporation rate overall. At the time of efflorescence at  $\sim$ 0.8 s,  $_{625}$  the difference between the surface concentration and the  $_{626}$  volume-averaged concentration is 260 g  $\rm L^{-1}$ .

The radial concentrations at the point of crystallization for 628 each droplet, and thus the degree of surface enrichment, are 629 reported in Figure 7. The inset shows the time-dependent 630 Peclet number, calculated with the experimentally determined 631 evaporation rate and the composition-dependent binary 632 diffusion coefficient value at the surface using eq 1. The 633 binary diffusion coefficient as a function of concentration is

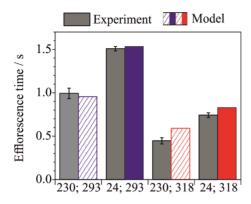


**Figure 7.** Radial concentration across the droplets at the predicted point of crystallization, showing the degree of surface enrichment at crystallization. Inset: The time-dependent Peclet number (Pe) for each of the droplets, calculated using the experimentally determined evaporation rate and the binary diffusion coefficient at the surface of the droplet, up until the point of model-predicted crystallization.

provided in the Supporting Information and ranges from values 634 on the order of  $1 \times 10^{-9}$  m<sup>2</sup> s<sup>-1</sup> at the start of the evaporation 635 process when the droplets are more dilute, down to values 636 around  $2 \times 10^{-10}$  m<sup>2</sup> s<sup>-1</sup> when the concentration of NaCl 637 reaches 600 g L<sup>-1</sup>. This causes the Peclet number to rise by 638 almost an order of magnitude throughout the drying process. 639 While the Peclet number for every droplet is initially very low, 640 as shown in Table 1, the increasing viscosity as the droplet 641 dries ultimately leads to compositional inhomogeneity across 642 the radial profile. Crystallization occurs at a concentration 643 closest to the volume-averaged supersaturation value predicted 644 by the E-AIM model for the dataset with the least degree of 645 surface enrichment at crystallization (a starting solute 646 concentration of 230 g  $L^{-1}$  at 293 K). In addition, the dataset 647 with droplets that exhibit the highest Peclet number at 648 crystallization (the 24 g L<sup>-1</sup> at 318 K case) shows a volume- 649 averaged concentration ,that is, furthest from the predicted 650 level of supersaturation.

The experimentally observed crystallization times assuming 652 a supersaturation of 2.04 at efflorescence, compared with those 653 calculated using the model, are shown in Figure 8 and there is 654 f8 very good quantitative agreement. The modeled crystallization 655 times for droplets evaporating into a gas phase at 293 K lie 656 within the standard deviation of the measurements. For 657 droplets evaporating into 318 K, crystallization in the model 658 occurs slightly later than observed. It is possible that the 659 magnitude of the evaporation rates at the higher temperature 660 causes significant evaporative cooling to occur within the 661 droplets, which would reduce the binary diffusion coefficient at 662 the surface beyond what is currently accounted for and, thus, 663 the Peclet number, and the extent of surface enrichment is 664 likely to be higher than reported here.

Our interpretation of the abrupt crystallization observed 666 experimentally in terms of the developing radial concentration 667 profile is critically dependent on choosing an appropriate value 668



**Figure 8.** Comparison between experimentally determined efflorescence time of the droplets in the EDB and the efflorescence time as predicted by the model, for the different gas-phase temperatures and initial starting concentrations. The error bars in the experimental data correspond to the standard deviation in efflorescence times averaged over more than 100 droplets.

669 of the solute supersaturation at which crystallization occurs.  $S_c$  670 estimated from the E-AIM model was 2.04  $\pm$  0.02; this model 671 has been developed over many decades based on parameter-672 izations to a large database of experimental data including 673 bulk-phase measurements of osmotic coefficients up to the 674 solubility limit of NaCl and, more crucially for this work, 675 aerosol-phase measurements of hygroscopic growth measure-676 ments, deliquescence, and efflorescence. However, we also 677 must assume that the nucleation of crystals at both 678 temperatures occurs instantaneously.

#### 79 CONCLUSIONS

680 We have explored the evaporation kinetics of aqueous sodium 681 chloride solutions, providing an ideal model system to study 682 the crystallization of drying droplets and the dependence of the 683 time required to achieve crystallization on the evaporation rate, 684 as governed by the initial solute concentration and gas-phase 685 temperature. Not only does NaCl show a remarkable degree of 686 reproducibility, regarding the time at which identical droplets 687 effloresce but also there is a clear dependence of the 688 crystallization time on the temperature and evaporation rate. 689 The internal concentration profiles at different radial points 690 within the droplets are inferred using a Fickian integration 691 model. Drying under all conditions investigated in this 692 experiment led to droplets exhibiting an internal concentration 693 gradient and an enriched solute concentration at the droplet 694 surface. The basis for identifying solute crystallization is that 695 NaCl achieves a particular level of solute supersaturation at 696 which the nucleation rate of forming a crystal increases by 697 orders of magnitude, such that nucleation becomes instanta-698 neous. 49 When assuming this, a remarkable level of consistency 699 is observed in interpreting the crystallization time across all 700 drying environments investigated here, if crystallization is 701 assumed to occur at a critical supersaturation of solute of 2.04  $702 \pm 0.02$ , a value, that is, always achieved first at the droplet 703 surface.

On account of the consistency observed in the crystallization time of NaCl, it should be recognized that this represents an life ideal system for benchmark studies. Not only are equilibrium compositions of aqueous solutions of NaCl (i.e., solute molality and molarity) with variation in water activity well established to very high solute supersaturation but also previous work has established accurate parameterizations for

the solution density and refractive index to similarly high 711 supersaturation, both essential for analyzing measurements. In 712 addition, slow drying measurements on aerosol have provided 713 an accurate indication of the water activity at efflorescence. 714 Necessarily, we neglect any period between crust formation 715 (the time at which the supersaturation is achieved) and 716 termination of the drying process. However, this is not likely to 717 be true for a wider range of inorganic and organic compounds. 718 If an aerosol droplet enters conditions drier than the ERH, it 719 still may not necessarily precipitate and certainly not 720 immediately reaching a threshold saturation. There must 721 always be an interplay between the nucleation rate and the 722 drying rate. If a droplet evaporates very rapidly and the 723 nucleation rate remains low, it is possible that the aerosol loses 724 water more rapidly than crystal formation can occur and an 725 amorphous solid would be formed. Under these circumstances, 726 crystal nuclei may have insufficient time to form within the 727 narrow precipitation window. The precipitation window begins 728 when the surface of a droplet passes the bulk solubility limit, 729 thus passing into the supersaturation regime and finishes when 730 precipitation occurs within the droplet.<sup>54</sup> Efflorescence can 731 occur at any point within this window.

Studies of the drying kinetics of aqueous spherical droplets 733 avoid many of the complexities of studying the drying of 734 droplets on a substrate. Droplets containing gradients in 735 surface tension experience internal capillary flows from regions 736 of low to high surface tension. This Marangoni effect is more 737 prevalent in sessile droplets wherein the evaporation rate from 738 different parts of the droplet is not constant, breaking the 739 symmetry of the evaporating droplet and leading to 740 concentration gradients along the surface profile. This in 741 turn results in convection flows.<sup>55</sup> In aerosol droplets of 742 aqueous NaCl, the spherically symmetric evaporation does not 743 lead to such convections and, thus, Marangoni effects can be 744 neglected. For a droplet of NaCl on a surface, the characteristic 745 velocity of Marangoni flow has been reported to reach 4 m/s. 56 746 In such a system, the importance of this effect would have to 747 be accounted for in a crystallization model. In addition, the 748 temperature suppression at the surface of evaporating droplets 749 because of the required latent heat can induce thermophoretic 750 flow, out from the droplet center along the temperature 751 gradient. While this effect would be small, it acts against the 752 diffusional flow induced by concentration gradients. 57 At the 753 drying rates studied here, we have neglected this thermopho- 754 retic effect, and this could be a contributing factor to the 755 marginal overprediction of the crystallization time.

This work reports a step toward a greater understanding in 757 the concentration profiles developing in evaporating free 758 droplets and the first demonstration of the predictability in 759 time and critical concentration of crystallizing aerosol. The 760 concepts in this study can be developed for progress toward 761 ultimately achieving product control in the crystallization of 762 complex formulations and rapid drying applications such as 763 spray-drying.

#### ASSOCIATED CONTENT

#### S Supporting Information

I

The Supporting Information is available free of charge on the 767 ACS Publications website at DOI: 10.1021/acs.jpcb.8b09584. 768

Discussion about the error in calculating the radius of 769 the droplets caused by the surface enrichment, diffusion 770 coefficients of aqueous NaCl as a function of 771

765

concentration, applicability of using a linear fit to the 772 time-dependent radius<sup>2</sup> for the evaporation rate, and 773 method for determining the critical supersaturation for 774 aqueous NaCl (PDF)

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781 Notes

782 The authors declare no competing financial interest.

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