

## Notes on Graphs

### Graphs

- A **graph**  $G$  consists of a finite nonempty set  $V$  of **vertices** and a set  $E$  of **edges**, where an edge is an unordered pair of distinct vertices of  $V$ .
- We denote a graph  $G$  with the vertex set  $V$  and the edge set  $E$  by  $G = (V, E)$ . And the vertex set of a graph  $G$  is denoted by  $V(G)$  and the edge set of  $G$  is denoted by  $E(G)$ .
- Given that  $e = (u, v) \in E$ , we say that  $u$  is **adjacent** to  $v$  and  $u$  (or  $v$ ) is **incident** to  $e$ .
- A **directed graph** (or **digraph**) consists of a finite nonempty set  $V$  of **vertices** and a set  $E$  of **directed edges** (or **arcs**), where a directed edge is an ordered pair of distinct vertices of  $V$ .

### Subgraphs

- A **subgraph** of  $G = (V, E)$  is a graph having a vertex set  $V' \subseteq V$  and an edge set  $E' \subseteq E$  such that, for each  $(u, v) \in E'$ ,  $u, v \in V'$ .
- A **spanning subgraph** is a subgraph containing all the vertices of  $V$ .
- For any set  $S \subseteq V$ , the **induced subgraph**  $G[S]$  is the maximal subgraph of  $G$  with vertex set  $V$ .
- Thus,  $(u, v) \in E(G[S])$  if and only if  $(u, v) \in E(G)$

### Graph isomorphism

- Two graphs  $G$  and  $H$  are **isomorphic** if there exists a one-to-one correspondence  $\theta$  between  $V(G)$  and  $V(H)$  which preserves adjacency, i.e.

$$(u, v) \in E(G) \Leftrightarrow (\theta(u), \theta(v)) \in E(H).$$

- An **invariant** of a graph  $G$  is a number associated with  $G$  which has the same value for any graph isomorphic to  $G$ .
- A **complete set of invariants** determines a graph up to isomorphism.

### Walks and connectedness

- A **walk** of a graph is an alternating sequence of vertices of edges  $\langle v_0, e_0, v_1, \dots, v_{n-1}, e_{n-1}, v_n \rangle$ , beginning and ending with vertices, in which each edge is incident to the two vertices immediately preceding and following it.
- A walk is **closed** if  $v_0 = v_n$  and is **open** otherwise.
- A walk is a **trail** if all the edges are distinct.
- A walk is a **path** if all the vertices (and thus necessarily all the edges) are distinct.
- If the walk is closed, then it is a **cycle** if its  $n$  vertices are distinct and  $n \geq 3$ .
- We denote by  $C_n$  the graph consisting of a cycle with  $n$  vertices.
- We denote by  $P_n$  a path with  $n$  vertices.
- **Theorem:** The edge set of a graph can be partitioned into cycles if and only if every vertex has even degree.

- A graph is **connected** if every pair of vertices are joined by a path.
- A maximally connected subgraph of  $G$  is called a **connected component** (or **component**) of  $G$ .
- The **length** of a walk  $\langle v_0, e_0, v_1, \dots, v_n \rangle$  is  $n$ , the number of edges in it.
- The **girth** of a graph  $G$ , denoted  $g(G)$ , is the length of a shortest cycle in  $G$ .
- The **circumference** of a graph  $G$ , denoted  $c(G)$  is the length of any longest cycle.
- The **distance**  $d(u, v)$  between two vertices  $u$  and  $v$  in  $G$  is the length of a shortest path joining them if any. Otherwise,  $d(u, v) = \infty$ .
- **Theorem:** In a connected graph, distance is a *metric*; that is, for all vertices  $u, v$  and  $w$ ,

$$(a) \quad d(u, v) \geq 0, \text{ with } d(u, v) = 0 \text{ iff } u = v.$$

$$(b) \quad d(u, v) = d(v, u).$$

$$(c) \quad d(u, v) + d(v, w) \geq d(u, w).$$

- The **diameter**  $d(G)$  of a connected graph  $G$  is the length of any longest  $u$ - $v$  path.
- The **square**  $G^2$  of a graph  $G$  has  $V(G^2) = V(G)$  with  $u, v$  adjacent in  $G^2$  whenever  $d(u, v) \leq 2$  in  $G$ .
- Adjacency matrices for powers  $G^2, G^3, \dots$  can be obtained by multiplying the adjacency matrix  $A$  for  $G$ . Actually,  $A_{uv}^k$  contains the number of distinct paths between  $u$  and  $v$  whose length is  $\leq k$ . Adjacency matrix  $G^n$  is essentially a transitive closure.

### Degrees

- The **degree** of a vertex  $v_i$  in graph  $G$ , denoted by  $d_i$  or  $\text{degree}(v_i)$ , is the number of edges incident to  $v_i$ .
- **Theorem:** The sum of the degrees of the vertices of a graph  $G = (V, E)$  is twice the number of edges,

$$\sum_{v \in V} \text{degree}(v) = 2|E|.$$

- **Corollary:** In any graph, the number of vertices of odd degree is even.
- The minimum degree among the vertices of  $G$  is denoted  $\delta(G)$ .
- The maximum degree among the vertices of  $G$  is denoted  $\Delta(G)$ .
- If  $\delta(G) = \Delta(G) = r$ , then all vertices have the same degree and  $G$  is called **regular** of degree  $r$ .
- 3-regular graphs are called **cubic**.
- **Corollary:** Every cubic graph has an even number of vertices.

## Trees: Characterization

- A graph is **acyclic** if it has no cycles.
- A **tree** is a connected acyclic graph.
- Any graph without cycles is a **forest**, where the components of a forest are trees.
- **Theorem:** The following statements are equivalent for a graph  $G$ :
  - (a)  $G$  is a tree.
  - (b) Every two vertices of  $G$  are joined by a unique path.
  - (c)  $G$  is connected and  $m = n - 1$ .
  - (d)  $G$  is acyclic and  $m = n - 1$ .
  - (e)  $G$  is acyclic and if two nonadjacent vertices of  $G$  are joined by an edge  $e$ , then  $G + e$  has exactly one cycle.
  - (f)  $G$  is connected, is not  $K_n$  for  $n \geq 4$ , and if any two nonadjacent vertices of  $G$  are joined by an edge  $e$ , then  $G + e$  has exactly one cycle.
  - (g)  $G$  is not  $K_3 \cup K_1$  or  $K_3 \cup K_2$ ,  $m = n - 1$ , and if any two nonadjacent vertices of  $G$  are joined by an edge  $e$ , then  $G + e$  has exactly one cycle.
- **Corollary** [1]: Every nontrivial tree has at least two endvertices.

## Trees: Centers and centroids

- The **eccentricity**  $e(v)$  of a vertex  $v$  in a *connected* graph  $G$  is  $\max\{d(u, v)\}$  for all  $u \in V$  (i.e. distance to the farthest vertex).
- The **radius**  $r(G)$  is the minimum eccentricity of the vertices.
- The **diameter**  $d(G)$  is the maximum eccentricity of the vertices.
- A vertex  $v$  is a **central vertex** of  $G$  if  $e(v) = R(G)$ , and the **center** of  $G$  is the set of all central vertices of  $G$ .
- **Theorem:** Every tree has a center consisting of either one vertex or two *adjacent* vertices.
- A **branch** at a vertex  $u$  of a tree  $T$  is a maximal subtree containing  $u$  as an *endvertex*.
- The number of branches at  $u$  is  $\text{degree}(u)$ .
- The **weight** at a vertex  $u$  of a tree  $T$  is the maximum number of edges in any branch at  $u$ .
- A vertex  $v$  is a **centroid vertex** of a tree  $T$  if  $v$  has minimum weight, and the **centroid** of  $T$  consists of all such vertices.
- **Theorem:** Every tree has a centroid consisting of either one vertex or two *adjacent* vertices.

## Trees: Block-cutvertex trees

- For a connected graph  $G$  with blocks  $\{B_i\}$  and cutvertices  $\{c_j\}$ , the **block-cutvertex graph**<sup>1</sup> of  $G$ , denoted by  $bc(G)$ , is defined as the graph having vertex set  $\{B_i\} \cup \{c_j\}$ , with two vertices adjacent if one corresponds to a block  $B_i$  and the other to a cutvertex  $c_j$  and  $c_j$  is in  $B_i$ .
- A block-cutvertex graph is a bipartite graph.
- **Theorem:** A graph  $G$  is the block-cutvertex graph of some graph  $H$  if and only if it is a tree in which the distance between any two endvertices is even.

## Independent cycles and cocycles

- A **0-chain** of  $G$  is a linear combination  $\sum \epsilon_i v_i$  of vertices and a **1-chain** is a sum  $\sum \epsilon_i e_i$  of edges.
- The **boundary operator**  $\partial$  sends 1-chains to 0-chains according to the rules:
  - (a)  $\partial$  is linear.
  - (b) if  $e = (u, v)$ , then  $\partial e = u - v$ .
- The **coboundary operator**  $\delta$  sends 0-chains to 1-chains by the rules.
  - (a)  $\delta$  is linear.
  - (b) if  $\delta v = \sum \epsilon_i e_i$ , where  $\epsilon_i = 1$  whenever  $x_i$  is incident with  $v$ .

## Independence sets

- A subset  $S$  of  $V$  is an **independence set** of  $G$  if no two vertices of  $S$  are adjacent in  $G$ .
- An independent set  $S$  is a **maximum independent set** if  $G$  has no independent set  $S'$  with  $|S'| \geq |S|$ .
- A subset  $V'$  of  $V$  is a **covering** of  $G$  if every edge of  $G$  has at least one end in  $V'$ .
- **maximum independent sets VS minimum vertex covering**
- **Theorem:** A set  $S \subseteq V$  is an independent set of  $G$  if and only if  $V - S$  is a covering of  $G$ .
- The size of a maximum independence set is called the **independence number** of  $G$  and is denoted by  $\alpha(G)$ .
- The size of a minimum covering of  $G$  is the **covering number** of  $G$  and is denoted by  $\beta(G)$ .
- **Theorem:** For any graph  $G = (V, E)$ ,  $\alpha(G) + \beta(G) = |V|$ .
- An **edge covering** of  $G$  is a subset  $E'$  of  $E$  such that each vertex of  $G$  is incident to some edge in  $E'$ .
- Edge analogue of an independent set is a set of edges which are pairwise non-adjacent, that is, a *matching*.
- **maximum matching VS minimum edge covering**
- We denote the number of edges in a **maximum matching** of  $G$  by  $\alpha'(G)$  and call it the **edge independence number**.
- We denote the size of minimum edge cover of  $G$  by  $\beta'(G)$  and call it the **edge covering number**.

<sup>1</sup>For an application, see [2]

- **Theorem:** For any graph  $G = (V, E)$ , if  $\delta > 0$ , then  $\alpha'(G) + \beta'(G) = |V|$ .
- **Theorem:** In a bipartite graph  $G$  with  $\delta > 0$ , the number of vertices in a maximum independent set is equal to the number of edges in a minimum edge cover.

### Depth-first search

- DFS has a nice feature that partitions the edge set into forward edges, backward edges, tree edges, and cross edges, which can be used for biconnectivity, planarity algorithms.
- **topological sorting:** a vertex is **finished** only after all vertices reachable from it are finished; so ordering vertices in decreasing order of finish time is a topological order
- An **articulation point** (a.k.a. **cut vertex**) is a vertex whose deletion disconnects the remaining graph into multiple components.
- A graph is **biconnected** if there is no articulation point.
- A **biconnected component** of a graph is a maximal subset of edges s.t. the corresponding induced subgraph is biconnected. Typically, an articulation point join different biconnected components.
- **Hopcroft-Tarjan algorithm for biconnected components:**

$$low(v) = \min_{w \in V} \{D[v], D[w]\}$$

where  $D[v]$  is the discover time of  $v$ ,  $(u, w)$  is a back edge for some descendent  $u$  of  $v$ . That is  $low(v)$  of a vertex  $v$  is the discovery number of the vertex closest to the root that can be reached from  $v$  by following zero or more tree edges downward and at most one back edge upward.

- **Theorem:** Let  $T$  be a DFS tree of a connected graph  $G$ , and let  $v$  be a nonroot vertex of  $T$ . Vertex  $v$  is a **cut vertex** if and only if there is a child  $w$  of  $v$  in  $T$  with  $low(w) \geq D[v]$ .
- **strong connectivity:** can be found by two DFS over  $G$ : once for  $G$  and second time with  $G^T$  (edges of  $G$  are reversed).
  - (a) call **DFS**( $G$ ) to compute **finish times**  $F(v)$
  - (b) call **DFS**( $G^T$ ) but visit vertices in the order of decreasing  $F(v)$ .

### Minimum spanning trees

- **Kruskal's algorithm:**
- **Prim's algorithm:**

### Single-source shortest paths

#### All-pairs shortest paths

- $D^k(i, j)$ : distance between vertices  $i$  and  $j$  which goes through vertices  $\leq k$ .
- Iterate the following for  $1 \leq k \leq n$

$$D_{ij}^k = \begin{cases} w_{ij} & k = 0, \\ \min\{D_{ij}^{k-1}, D_{ik}^{k-1} + D_{kj}^{k-1}\} & k \leq 1 \end{cases}$$

### Network flows

- A **flow network**  $G = (V, E)$  is a directed graph where each edge  $\langle u, v \rangle \in E$  has a nonnegative **capacity**  $c(u, v) \geq 0$ . There are two special vertices: a **source**  $s \in V$  and a **sink**  $t \in V$ .
- A **flow** in  $G$  is a function  $f: V \times V \rightarrow \mathbb{R}$ , which satisfies the following constraints (i.e. is a valid assignment of flow):
  1. **capacity constraints:** for all  $u, v \in V$ ,  $f(u, v) \leq c(u, v)$ .
  2. **skew symmetry:** for all  $u, v \in V$ ,  $f(u, v) = -f(v, u)$ .
  3. **flow conservation:** for all  $u \in V - \{s, t\}$ ,

$$\sum_{u \in V} f(u, v) = 0.$$

- The **value of a flow**  $f$  is defined as

$$|f| = \sum_{v \in V} f(s, v).$$

### References

- [1] F. Harary. *Graph Theory*. Addison-Wesley, 1969.
- [2] R. E. Tarjan and U. Vishkin. Finding biconnected components and computing tree functions in logarithmic parallel time. In *Proceedings of FOCS'84, 25th Annual IEEE Symposium on Foundations of Computer Science*, October 1984.