

## Equivalences

Sometimes we want types to be propositions (no structure). Sometimes we're interested in structure.

Given  $f: A \rightarrow B$ , want a proposition isEquiv( $f$ ).

The type  $\sum_{g:B \rightarrow A} fg = 1 \times gf = 1$  is not a proposition.  
 $\rightarrow$  could ask for adjoint equivalence, or equivalently:

Def. A function  $f:A \rightarrow B$  is an equivalence if:

$$\text{isEquiv}(f) := \prod_{b:B} \text{isContr} \left( \sum_{a:A} f a = b \right).$$

$\nwarrow$   $\nearrow$   
 $f = \text{fiber}$

White

$$A \simeq B := \sum_{f: A \rightarrow B} \text{isEquiv}(f).$$

Ex. Every countable type is equivalent to  $\mathbb{N}$ .

Fact. For every type  $A$ ,  $A \cong A$ , so we can define  
id to equiv :  $A = B \rightarrow A \cong B$ .

Def. The univalence axiom asserts  
 $ua: \text{isEquiv}(\text{id to equiv})$ .

## Univalence for logic and sets

Def. Given a type  $T$  and a predicate  $P: T \rightarrow \text{Prop}$ , the subtype of  $T$  given by  $P$  is

$$\sum_{t:T} P(t).$$

The projection

$$\pi, : \sum_{t:T} P(t) \longrightarrow T$$

gives us the 'inclusion'.

Ex.  $\text{isIntr}$ ,  $\text{isProp}$ ,  $\text{isOfLevel } n$  are all predicates  $U \rightarrow \text{Prop}$ .

Def.  $\text{Prop} := \sum_{P:\text{Type}} \text{isProp}(P)$

Ex. The univalence axiom implies

$$(P =_{\text{Prop}} Q) \simeq (P \leftrightarrow Q).$$

Lem.  $(P \leftrightarrow Q)$  is a proposition.

Cor.  $\text{Prop}$  is a set.

Def.  $\text{Set} := \sum_{S:\text{Type}} \text{isSet}(S)$

Fact. The univalence axiom implies

$$(P =_{\text{Set}} Q) \simeq (P \cong Q).$$

Lem  $P \cong 0$  is ...

Cor.  $\text{Set}$  is a set.

Cor.  $\text{Set}$  is a groupoid.

Def.  $\text{Grp} := \sum_{G: \text{Set}} \sum_{e: G} \sum_{m: G \rightarrow G} \sum_{i: G \rightarrow G} \prod_{x: G} (m(e, x) = x) \times (m(x, e) = x)$   
 $\times \prod_{x, y, z: G} ((xy)z = x(yz))$   
 $\times \prod_{x: G} (m(ix, x) = \text{id } x$   
 $(m(x, ix) = e) .$

Q. Why do we ask  $G$  to be a set?

Fact. The univalence axiom implies

$$(G =_G H) \simeq (G \simeq H)$$

Cor.  $\text{Grp}$  is a groupoid.

Fact. We leave the same univalence principle for any algebraic structure on a set.

Moral: univalence allows us to do mathematics up to the appropriate notion of sameness in a type (in these examples).

→ 'Structure Identity principle' (Aczel, Coquand)

→ 'identity of indiscernables' (Leibniz)

## Category theory

Def.  $\text{Grpd} := \sum_{T:U} \text{is of level } 3 \text{ T.}$

We have a univalence principle

Thm.  $(G =_{\text{Grpd}} H) \simeq (G \simeq H).$

But what about categories?

How do we define categories in UF?

- A category is normally defined as a bunch of sets.
- We could do this, but
  - we stay in the set level (everything in mathematics is a bunch of sets)
  - the SIP for structures on sets tells us that

$$(C =_{\text{Set}} D) \simeq (C \simeq D)$$

and isomorphism is not the right kind of sameness for categories.

Instead, we take a groupoid and put extra structure on it.

- Every category has a 'core groupoid'
  - the objects and all invertible morphisms.

Def. A category  $\mathcal{C}$  consists of

- a ~~set~~<sup>groupoid</sup>  $\text{ob } \mathcal{C}$
- a set  $\text{hom}(X, Y)$  for every pair  $X, Y \in \text{ob } \mathcal{C}$   
 $X, Y : \text{ob } \mathcal{C} \vdash \text{hom}(X, Y) : \text{Set}$
- an ~~element~~<sup>term</sup>  $1_X \in \text{hom}(X, X)$  for every  $X \in \text{ob } \mathcal{C}$   
 $X : \text{ob } \mathcal{C} \vdash 1_X : \text{hom}(X, X)$
- a function  $\circ : \text{hom}(X, Y) \times \text{hom}(Y, Z) \rightarrow \text{hom}(X, Z)$   
for every  $X, Y, Z \in \text{ob } \mathcal{C}$ .  
 $X, Y, Z : \text{ob } \mathcal{C} \vdash \circ : \text{hom}(X, Y) \rightarrow \text{hom}(Y, Z) \rightarrow \text{hom}(X, Z)$
- such that
- the morphism  $\text{id to iso} : (X = Y) \rightarrow \text{iso}(X, Y)$  is an equivalence  
 $(\text{id to iso}(X, Y)) := \sum_{f : \text{hom}(X, Y)} \sum_{g : \text{hom}(Y, X)} g \circ f = 1_X \times f \circ g = 1_Y$ .
- . . .

i.e., the type  $\text{Cat} := \sum_{\text{ob } \mathcal{C} : \text{Groupoid}} \sum_{\text{hom} : \text{ob } \mathcal{C} \rightarrow \text{ob } \mathcal{C} \rightarrow \text{Set}} \sum_{I : \prod_{X : \text{ob } \mathcal{C}} \text{hom } X} \dots$

NB. This is often called a univalent category and the requirement that  $\text{id to iso}$  is an equivalence is called (internal) univalence.

Thm (Univalence for categories)  $(\mathcal{C} \equiv_{\text{Cat}} \mathcal{D}) \simeq (\mathcal{C} \cong \mathcal{D})$ .

Cor  $\mathcal{Cat}$  is a 2-groupoid (h-level 4).

This is a great achievement for UF.

→ evil vs non evil, practice of CT

→ new part of the theory

The type  $\mathcal{Cat}$  consists of

- terms  $\rightarrow$  categories
- equalities  $\rightarrow$  equivalence of categories

What about functors, natural transformations?

Def. A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  consists of

- a function  $\text{ob} F: \text{ob } \mathcal{C} \rightarrow \text{ob } \mathcal{D}$
- functions  $\text{hom}_{\mathcal{C}}(X, Y) \rightarrow \text{hom}_{\mathcal{D}}(FX, FY)$  for all  $X, Y \in \mathcal{C}$
- such that ...

The type of functors  $[\mathcal{C}, \mathcal{D}]$  is not a set

- terms  $\rightarrow$  functors
- equalities  $\rightarrow$  natural transformations

Def. A natural transformation  $\eta: F \Rightarrow G: \mathcal{C} \rightarrow \mathcal{D}$  consists of

- $\eta_X: \text{hom}(FX, GX)$  for all  $X: \text{ob } \mathcal{C}$

- $$\begin{array}{ccc} FX & \xrightarrow{Ff} & FY \\ \eta_X \downarrow & \varepsilon_f \equiv & \downarrow \eta_Y \\ GX & \xrightarrow{Gf} & GY \end{array} \quad \text{for all } X, Y: \text{ob } \mathcal{C}, f: \text{hom}(X, Y)$$

The type of natural transformations  $F \Rightarrow G$  is a set.

We can form a bicategory of categories.

→ Have univalence for bicategories.

→ Have univalence for any higher (but finite) algebraic str.