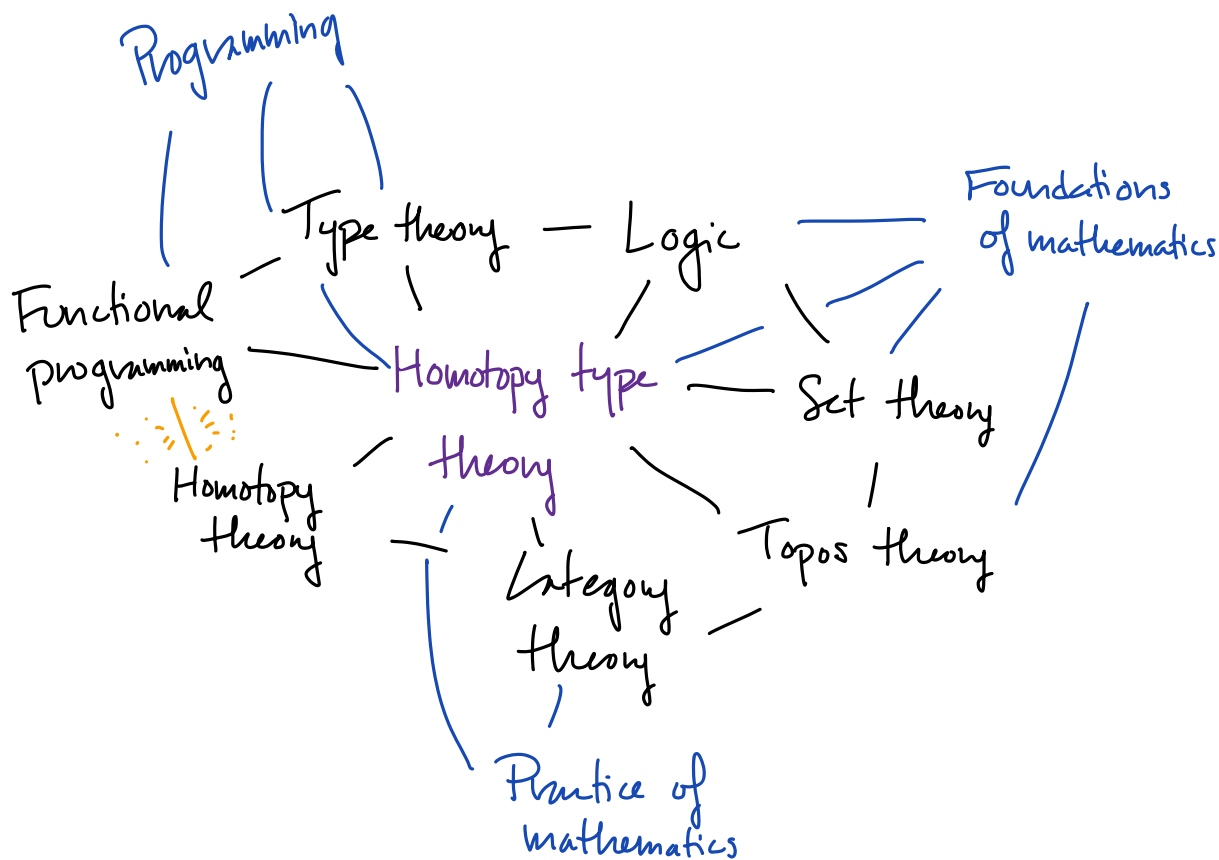


Map of subjects surrounding homotopy type theory:



Martin-Löf type theory

(Similar to CIC, but no universe Prop of propositions and with Σ -types)

- 3 basic judgments
 - $\Gamma \text{ ctx}$
 - $\Gamma \vdash T \text{ TYPE}$
 - $\Gamma \vdash t : T$
- Corresponding equality judgments
 - $\Gamma \doteq \Gamma' \text{ ctx}$
 - $\Gamma \vdash T \doteq T' \text{ TYPE}$
 - $\Gamma \vdash t \doteq t' : T$
- Type formers
 - $\phi, \mathbb{1}, \mathbb{B}, \mathbb{N}, \omega$ -types
 - $=$ types
 - Σ types, Π types

- universe hierarchy U_i

Univalent foundations / type theory

MLTT + univalence axiom (flows on h-levels)

Homotopy type theory

UF + higher inductive types

Schedule

Lecture 1: Martin-Löf type theory

Lecture 2: Univalent type theory

Lecture 3: H-levels, logic and sets

Lecture 4: Category theory

Lecture 5: Higher inductive types

Inductive types

Inductive types are freely generated by their canonical terms.

Ex. The booleans are freely generated by their canonical terms
true and false.

In Coq :

Inductive Bool : Type :=
| true
| false.

Inductive _ : _ :=
| _
| _.

~~~~~  
tells  $\text{Coq}$  we're defining  
inductive type

To define a (dependent) function out of an inductive type it suffices to define it on its canonical elements.

In pen-and-paper HoTT, we specify the behavior of inductive types by hand.

The booleans:  $\text{bool}$

$\text{bool}$ -form:

$$\frac{}{\vdash \text{bool type}}$$

$\text{bool} : \text{Type}$

$\text{bool}$ -intro:

$$\frac{}{\vdash \text{true} : \text{bool}} \quad \frac{}{\vdash \text{false} : \text{bool}}$$

$\text{bool}$ -elim:

$$\frac{\begin{array}{c} \Gamma, x : \text{bool} \vdash D(x) \text{ type} \\ \Gamma \vdash a : D(\text{true}) \\ \Gamma \vdash b : D(\text{false}) \end{array}}{\Gamma, x : \text{bool} \vdash \text{ind}_{\text{bool}}(a, b, x) : D(x)}$$

$D(x : \text{bool}) : \text{Type}$

$a : D \text{ true}$

$\underline{b : D \text{ false}}$

$\text{ind}_{\text{bool}}(a, b)(x : \text{bool}) : D x$

$\text{bool}$ -comp:

$$\frac{\begin{array}{c} \Gamma, x : \text{bool} \vdash D(x) \text{ type} \\ \Gamma \vdash a : D(\text{true}) \\ \Gamma \vdash b : D(\text{false}) \end{array}}{\begin{array}{c} \Gamma, x : \text{bool} \vdash \text{ind}_{\text{bool}}(a, b, \text{true}) \doteq a : D(\text{true}) \\ \Gamma, x : \text{bool} \vdash \text{ind}_{\text{bool}}(a, b, \text{false}) \doteq b : D(\text{false}) \end{array}}$$

Exercise: Define a function  $\text{not} : \text{bool} \rightarrow \text{bool}$ .

( $\rightarrow$  works as usual:

- To produce a function  $\text{bool} \rightarrow \text{bool}$ : produce  $x : \text{bool} \vdash ? : \text{bool}$  and  $\lambda$ -abstract to get  $\vdash \lambda x. ? : \text{bool} \rightarrow \text{bool}$ .

- Given a function  $f : \text{bool} \rightarrow \text{bool}$  and  $x : \text{bool}$ , get  $f x : \text{bool}$ .)

# The natural numbers $\mathbb{N}$

$\mathbb{N}$ -form:

$$\frac{}{\vdash \mathbb{N} \text{ type}}$$

$\mathbb{N}$ -intro:

$$\frac{}{\vdash 0 : \mathbb{N}} \quad \frac{\Gamma \vdash n : \mathbb{N}}{\Gamma \vdash sn : \mathbb{N}}$$

$\mathbb{N}$ -elim:

$$\frac{\begin{array}{c} \Gamma, x : \mathbb{N} \vdash D(x) \text{ type} \\ \Gamma \vdash a : D(0) \\ \Gamma, x : \mathbb{N}, y : D(x) \vdash b : D(sx) \end{array}}{\Gamma, x : \mathbb{N} \vdash \text{ind}_{\mathbb{N}}(a, b, x) : D(x)}$$

$\mathbb{N}$ -comp:

$$\frac{\begin{array}{c} \Gamma, x : \mathbb{N} \vdash D(x) \text{ type} \\ \Gamma \vdash a : D(0) \\ \Gamma, x : \mathbb{N}, y : D(x) \vdash b : D(sx) \end{array}}{\begin{array}{c} \Gamma \vdash \text{ind}_{\mathbb{N}}(a, b, 0) \doteq a : D(0) \\ \Gamma, x : \mathbb{N} \vdash \text{ind}_{\mathbb{N}}(a, b, sx) \doteq b[\text{ind}_{\mathbb{N}}(a, b, x)/y] : D(sx) \end{array}}$$

- Exercises:
1. Define  $z : \text{bool} \rightarrow \mathbb{N}$
  2. Define  $\text{add} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$
  3. Define  $\text{mult} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ .

## $\Sigma$ -types

Given  $b : B \vdash E(b) \text{ type}$  (in  $\text{log } E(b : B) : UU$ ), want to form a type whose terms are dependent pairs  $\langle b, e \rangle$  where  $b : B, e : E(b)$ .

# Dependent pair types $\Sigma$

$\Sigma$ -form: 
$$\frac{\Gamma, x:P \vdash Q(x)}{\Gamma \vdash \sum_{x:P} Q(x)}$$

$\Sigma$ -intro: 
$$\frac{\Gamma \vdash p:P \quad \Gamma \vdash q:Q(p)}{\Gamma \vdash \text{pair}(p,q) : \sum_{x:P} Q(x)}$$

$\Sigma$ -elim: 
$$\frac{\begin{array}{l} \Gamma, z: \sum_{x:P} Q(x) \vdash D(z) \\ \Gamma, x:P, y:Q(x) \vdash a:D(\text{pair}(x,y)) \end{array}}{\Gamma, z: \sum_{x:P} Q(x) \vdash \text{ind}_{\Sigma}(a, z) : D(z)}$$

$\Sigma$ -comp: 
$$\frac{\begin{array}{l} \Gamma, z: \sum_{x:P} Q(x) \vdash D(z) \\ \Gamma, x:P, y:Q(x) \vdash a:D(\text{pair}(x,y)) \end{array}}{\Gamma, x:P, y:Q(x) \vdash \text{ind}_{\Sigma}(a, \text{pair}(x,y)) \doteq a : D(\text{pair}(x,y))}$$

Exercise. Construct a function  $\pi_1: \sum_{x:P} Q(x) \rightarrow P$

## Types as logic, sets, programs (Curry-Howard, Brouwer-Heyting-Kleene)

|                                | <u>Logic</u>         | <u>Sets</u>                                  | <u>Program</u>                                   |
|--------------------------------|----------------------|----------------------------------------------|--------------------------------------------------|
| $\Gamma \text{ ctx}$           | hypotheses           | indexing set                                 | names in scope                                   |
| $\Gamma \vdash T \text{ type}$ | predicate $T$ on $T$ | family $T$ of sets on $\Gamma$               | program specification using values from $\Gamma$ |
| $\Gamma \vdash t:T$            | proof of $T$         | section, i.e., $T(y)$ for all $y \in \Gamma$ | program                                          |
| $N$                            | —                    | $N$                                          | program w/ no input that outputs a nn            |

|                                                           |                           |                                       |
|-----------------------------------------------------------|---------------------------|---------------------------------------|
| $S + T \quad (\sum_{i: \text{bool}} T_i) \quad \vee$      | $\sqcup$                  | $\checkmark$                          |
| $S \times T \quad (\prod_{s:S} T) \quad \wedge$           | $\times$                  | $\wedge$                              |
| $S \rightarrow T \quad (\prod_{s:S} T) \quad \Rightarrow$ | $\rightarrow$             | turn one kind of program into another |
| $\sum_{b:B} E(b) \quad \exists$                           | $\sqcup$                  | $\sum$                                |
| $\prod_{b:B} E(b) \quad \forall$                          | $\prod$ (set of sections) | $\prod$                               |

The strangest inductive type :  $\text{Id}$

Why do we need the identity type?

(If we're not interested in homotopy.)

We already have a notion of equality :

judgmental equality  $\doteq$

(The identity type is called propositional equality =.)

Logical interpretation : propositions are types / proofs are terms.

To prove an equality (and be consistent with the logical interpretation)  
we want to produce a term of a type of equalities.

# Why do we need the identity type actually?

We can prove many judgmental equalities using computation rules...

Ex.  $\text{add}(x, 0) \doteq x$   
 $\text{add}(x, sy) \doteq s \text{ add}(x, y)$

... but not all the equalities we want!

Ex. One cannot prove  $\text{add}(0, x) \doteq x$ .

To prove this, we need to induct on  $n$  (i.e. use  $\mathbb{N}$ -elimination), but this only allows us to construct a term of a type.

We will be able to prove  $\text{add}(0, x) = x$ .

## Type constructors often internalize structure

- $\text{bool}$
  - $\mathbb{N}$
  - $\emptyset$
  - $\mathbb{1}$
- } can also be seen as internalizing external versions.

- The universe type internalizes the judgment of the form

A type

- We'll see how the identity type internalizes judgmental equality...

Identity type =

= - form

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash a : A \quad \Gamma \vdash b : A}{\Gamma \vdash a =_A b \text{ type}}$$

= - intro

$$\frac{\Gamma \vdash a : A}{\Gamma \vdash r_a : a =_A a}$$

= - elim

$$\frac{\begin{array}{l} \Gamma, x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \\ \Gamma, x:A \vdash d : D(x, x, r_x) \end{array}}{\Gamma, x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z) : D(x, y, z) \text{ type}}$$

= - comp

$$\frac{\begin{array}{l} \Gamma, x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \\ \Gamma, x:A \vdash d : D(x, x, r_x) \end{array}}{\Gamma, x:A \vdash \text{ind}_=(d, x, x, r_x) \doteq d : D(x, x, r_x)}$$

Type constructors often internalize structure

- At a 'meta' level, we can talk about judgmental equality:

Ex.  $a = b : A$

We can discuss this at the 'type-and-term' level by using identity types:

Ex.  $r_a : a =_A b$



Note that the rules governing equality say that

if  $a \doteq b : A$ , then  $(a =_A a) \doteq (a =_A b)$ , and

if  $r_a : a =_A a$  and  $(a =_A a) \doteq (a =_A b)$ , then  $r_a : a =_A b$ .

→ Reflexivity ( $r_{\rightarrow}$ ) turns judgmental equalities into propositional equalities.

Exercises •  $\text{add}(0, n) = n$  for all  $n : \mathbb{N}$ .

• (functoriality) We have a function

$$\text{ap}_f : a =_A a' \rightarrow fa =_B fa'$$

for all types  $A, B$ , functions  $f : A \rightarrow B$ , terms  $a, a' : A$ .

• Show that  $=$  is an equivalence relation, i.e. for all types  $A$ , terms  $a, b, c : A$ , we have terms in

$$a =_A a$$

$$a =_A b \rightarrow b =_A a$$

$$a =_A b \times b =_A c \rightarrow a =_A c$$