

**Exercise 1**

Show that, in any cryptosystem, it holds that  $H(K|C) \geq H(P|C)$ . Under which condition do we have equality?

**Solution:** Rewrite  $H(P, K|C)$  with the identities given in Exercise 5.3, using  $H((A|B)|C) = H(A|B, C)$ :

$$H(P, K|C) = H(P|C) + H(K|P, C) = H(K|C) + H(P|K, C).$$

In any cryptosystem, given the key and ciphertext, the plaintext is uniquely determined, so  $H(P|K, C) = 0$ . Therefore,

$$H(P|C) + H(K|P, C) = H(K|C).$$

Which implies

$$H(P|C) = H(K|C) - H(K|P, C).$$

Since entropy is non-negative it follows that:

$$H(K|C) \geq H(P|C).$$

Equality holds if and only if  $H(K|P, C) = 0$ , which means that given the plaintext  $P$  and ciphertext  $C$ , the key  $K$  is uniquely determined. That is, for each pair  $(p, c)$ , there is at most one key  $k$  such that  $c = e_k(p)$ .

**Exercise 2**

Compute  $H(K|C)$  and  $H(K|P, C)$  for the Affine cipher when used to encrypt a single letter from the English alphabet. Assume that keys and plaintexts are uniformly chosen.

**Solution:** We have  $\mathcal{P} = \mathcal{C} = \mathbb{Z}_{26}$ , and the key space  $\mathcal{K} = \{(a, b) \mid a \in \mathbb{Z}_{26}^*, b \in \mathbb{Z}_{26}\}$  with  $|\mathcal{K}| = 12 \times 26 = 312$ . (We have 12 elements of  $\mathbb{Z}_{26}$  coprime to 26, so there are 12 units in  $\mathbb{Z}_{26}$ )

First, compute  $H(K|C)$ . Since keys are uniformly chosen,  $P(K = k) = 1/312$ . For a fixed ciphertext  $c$ , we have:

$$P(C = c) = \sum_k P(C = c|K = k)P(K = k).$$

For each key  $k$ , encryption is a permutation, so  $P(C = c|K = k) = 1/26$ , giving:

$$P(C = c) = \sum_k \frac{1}{26} \cdot \frac{1}{312} = \frac{1}{26}.$$

By Bayes' theorem:

$$P(K = k|C = c) = \frac{P(C = c|K = k)P(K = k)}{P(C = c)} = \frac{(1/26) \cdot (1/312)}{1/26} = \frac{1}{312}.$$

For each  $c$ , the distribution of  $K$  given  $C = c$  is the same over every key, of which we have 312;

$$H(K|C = c) = \sum_k \frac{1}{312} \log 312 = \log 312.$$

Now, compute  $H(K|P, C)$ . For any plaintext-ciphertext pair, the key  $k = (a, b)$  has:

$$c = ap + b \pmod{26}.$$

For each invertible  $a \in \mathbb{Z}_{26}^*$  (Which we have 12 of), there is a unique  $b = c - a \cdot p \pmod{26}$ . So we have 12 keys that encrypt  $p$  to  $c$ : (continued on next page)

$$P(K = k|P = p, C = c) = \begin{cases} 1/12 & \text{if } c = e_k(p), \\ 0 & \text{otherwise.} \end{cases}$$

Then the entropy is given by:

$$\begin{aligned} H(K|P = p, C = c) &= \sum_k P(K = k|P = p, C = c) \log \frac{1}{P(K = k|P = p, C = c)} \\ &= 12 \cdot \left( \frac{1}{12} \log 12 \right) \\ &= \log 12. \end{aligned}$$