

# CS631 - Advanced Programming in the UNIX Environment

—

## Process Groups, Sessions, Signals

---

Department of Computer Science  
Stevens Institute of Technology  
Jan Schaumann

`jschauma@stevens.edu`

`http://www.cs.stevens.edu/~jschauma/631/`

## Login Process

---

Let's revisit the process relationships for a login:

kernel  $\Rightarrow$  init(8) # explicit creation

## Login Process

---

Let's revisit the process relationships for a login:

kernel  $\Rightarrow$  init(8) # explicit creation

init(8)  $\Rightarrow$  getty(8) # fork(2)

## Login Process

---

Let's revisit the process relationships for a login:

kernel  $\Rightarrow$  init(8) # explicit creation

init(8)  $\Rightarrow$  getty(8) # fork(2)

getty(8)  $\Rightarrow$  login(1) # exec(3)

## Login Process

---

Let's revisit the process relationships for a login:

kernel  $\Rightarrow$  init(8) # explicit creation

init(8)  $\Rightarrow$  getty(8) # fork(2)

getty(8)  $\Rightarrow$  login(1) # exec(3)

login(1)  $\Rightarrow$  \$SHELL # exec(3)

## Login Process

---

Let's revisit the process relationships for a login:

kernel  $\Rightarrow$  init(8) # explicit creation

init(8)  $\Rightarrow$  getty(8) # fork(2)

getty(8)  $\Rightarrow$  login(1) # exec(3)

login(1)  $\Rightarrow$  \$SHELL # exec(3)

\$SHELL  $\Rightarrow$  ls(1) # fork(2) + exec(3)

# Login Process

---

init(8) # PID 1, PPID 0, EUID 0

# Login Process

---

init(8)    # PID 1, PPID 0, EUID 0

getty(8) # PID *N*, PPID 1, EUID 0



# Login Process

---

init(8) # PID 1, PPID 0, EUID 0

getty(8) # PID *N*, PPID 1, EUID 0

login(1) # PID *N*, PPID 1, EUID 0

## Login Process

---

init(8)    # PID 1, PPID 0, EUID 0

getty(8) # PID *N*, PPID 1, EUID 0

login(1) # PID *N*, PPID 1, EUID 0

\$SHELL   # PID *N*, PPID 1, EUID *U*

## Login Process

---

`init(8)`    # PID 1, PPID 0, EUID 0

`getty(8)` # PID *N*, PPID 1, EUID 0

`login(1)` # PID *N*, PPID 1, EUID 0

`$SHELL`   # PID *N*, PPID 1, EUID *U*

`ls(1)`     # PID *M*, PPID *N*, EUID *U*

`ps tree -hapun | more`

# Login Process

---

- init(8)
  - reads /etc/ttys

## Login Process

---

- init(8)
  - reads `/etc/ttys`
- getty(8)
  - opens terminal
  - prints “login: ”
  - reads username

# Login Process

---

- init(8)
  - reads /etc/ttys
- getty(8)
  - opens terminal
  - prints “login: ”
  - reads username
- login(1)
  - getpass(3), encrypt, compare to getpwnam(3)

# Login Process

---

- init(8)
  - reads /etc/ttys
- getty(8)
  - opens terminal
  - prints “login: ”
  - reads username
- login(1)
  - getpass(3), encrypt, compare to getpwnam(3)
  - register login in system databases

# Login Process

---

- init(8)
  - reads /etc/ttys
- getty(8)
  - opens terminal
  - prints “login: ”
  - reads username
- login(1)
  - getpass(3), encrypt, compare to getpwnam(3)
  - register login in system databases
  - read/display various files



## Login Process

---

- `init(8)`
  - reads `/etc/ttys`
- `getty(8)`
  - opens terminal
  - prints “login: ”
  - reads username
- `login(1)`
  - `getpass(3)`, encrypt, compare to `getpwnam(3)`
  - register login in system databases
  - read/display various files
  - `initgroups(3)/setgid(2)`, initialize environment

## Login Process

---

- `init(8)`
  - reads `/etc/ttys`
- `getty(8)`
  - opens terminal
  - prints “login: ”
  - reads username
- `login(1)`
  - `getpass(3)`, `encrypt`, compare to `getpwnam(3)`
  - register login in system databases
  - read/display various files
  - `initgroups(3)/setgid(2)`, initialize environment
  - `chown(2)` terminal device

# Login Process

---

- init(8)
  - reads /etc/ttys
- getty(8)
  - opens terminal
  - prints “login: ”
  - reads username
- login(1)
  - getpass(3), encrypt, compare to getpwnam(3)
  - register login in system databases
  - read/display various files
  - initgroups(3)/setgid(2), initialize environment
  - chown(2) terminal device
  - chdir(2) to new home directory

## Login Process

---

- `init(8)`
  - reads `/etc/ttys`
- `getty(8)`
  - opens terminal
  - prints “login: ”
  - reads username
- `login(1)`
  - `getpass(3)`, `encrypt`, compare to `getpwnam(3)`
  - register login in system databases
  - read/display various files
  - `initgroups(3)/setgid(2)`, initialize environment
  - `chdir(2)` to new home directory
  - `chown(2)` terminal device
  - `setuid(2)` to user's uid, `exec(3)` shell

## Process Groups

---

```
#include <unistd.h>

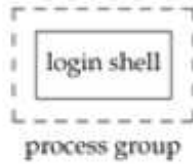
pid_t getpgrp(void);
pid_t getpgid(pid_t pid);
```

Returns: process group ID if OK, -1 otherwise

- in addition to having a PID, each process also belongs to a process group (collection of processes associated with the same job / terminal)
- each process group has a unique process group ID
- process group IDs (like PIDs) are positive integers and can be stored in a `pid_t` data type
- each process group can have a process group leader
  - leader identified by its process group ID == PID
  - leader can create a new process group, create processes in the group
- a process can set its (or its children's) process group using `setpgid(2)`

# Process Groups

---

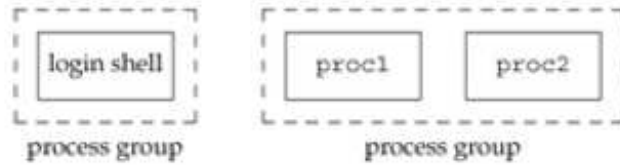


*init*  $\Rightarrow$  *login shell*

\$

# Process Groups

---

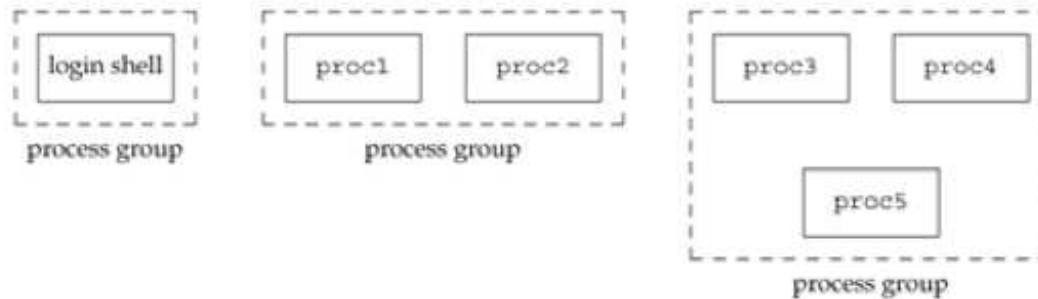


*init*  $\Rightarrow$  *login shell*

```
$ proc1 | proc2 &  
[1] 10306  
$
```

# Process Groups

---



*init*  $\Rightarrow$  *login shell*

```
$ proc1 | proc2 &
```

```
[1] 10306
```

```
$ proc3 | proc4 | proc5
```



## Process Groups and Sessions

---

```
#include <unistd.h>

pid_t setsid(void);
    Returns: process group ID if OK, -1 otherwise
```

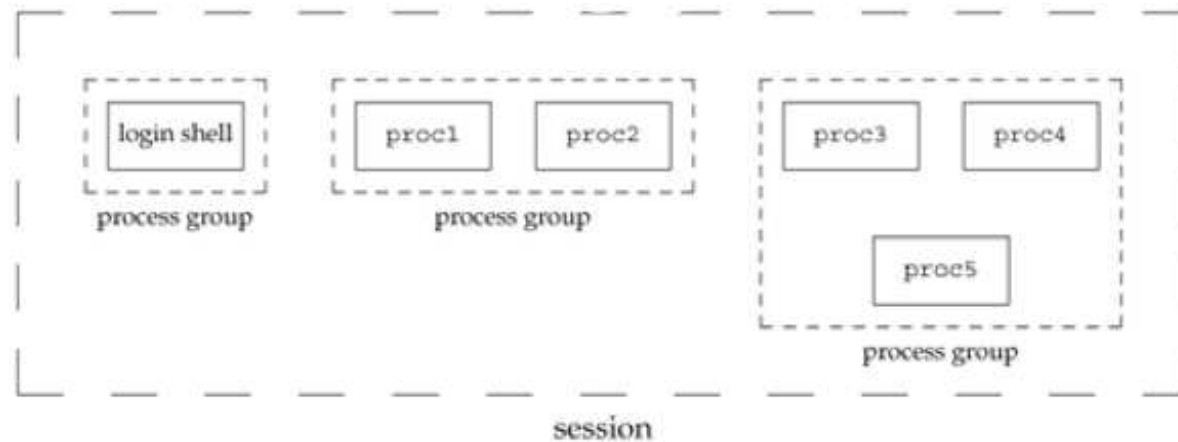
A session is a collection of one or more process groups.

If the calling process is not a process group leader, this function creates a new session. Three things happen:

- the process becomes the session leader of this new session
- the process becomes the process group leader of a new process group
- the process has no controlling terminal

# Process Groups

---



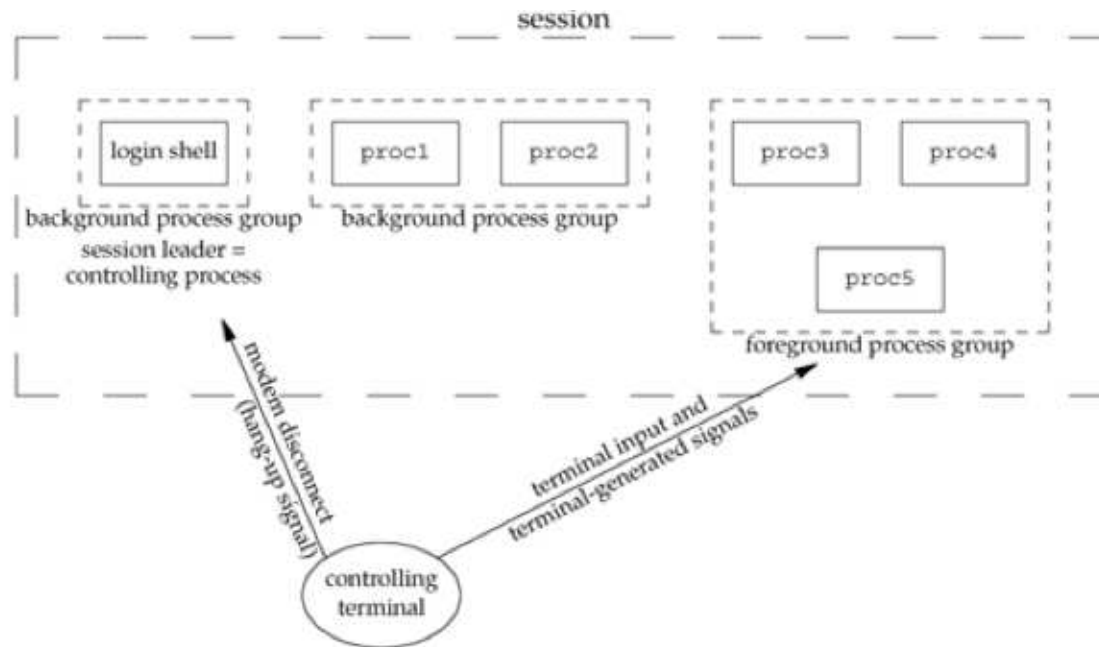
*init*  $\Rightarrow$  *login shell*

```
$ proc1 | proc2 &
```

```
[1] 10306
```

```
$ proc3 | proc4 | proc5
```

## Process Groups and Sessions



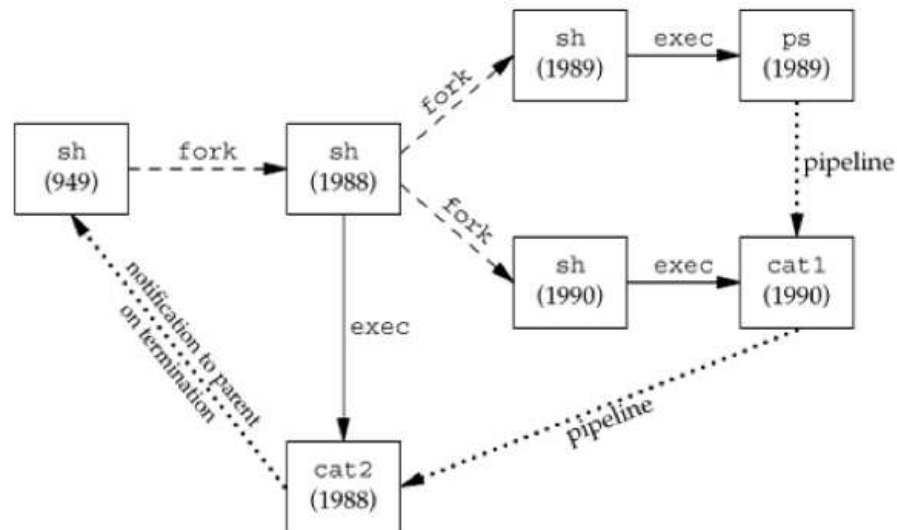
*init*  $\Rightarrow$  *login shell*

```
$ proc1 | proc2 &
```

```
[1] 10306
```

```
$ proc3 | proc4 | proc5
```

## Process Groups and Sessions

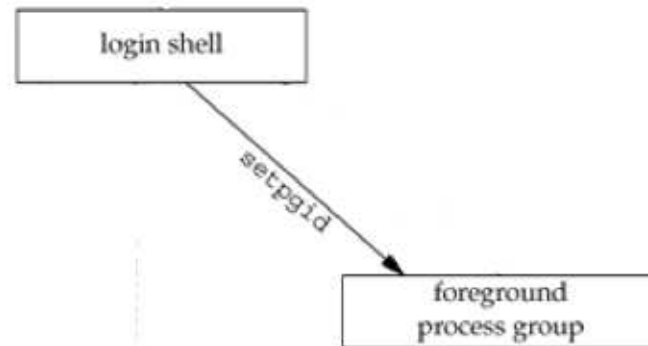


```
$ ps -o pid,ppid,pgid,sess,comm | ./cat1 | ./cat2
```

PID	PPID	PGRP	SESS	COMMAND
1989	949	7736	949	ps
1990	949	7736	949	cat1
1988	949	7736	949	cat2
949	21401	949	949	ksh

## Job Control

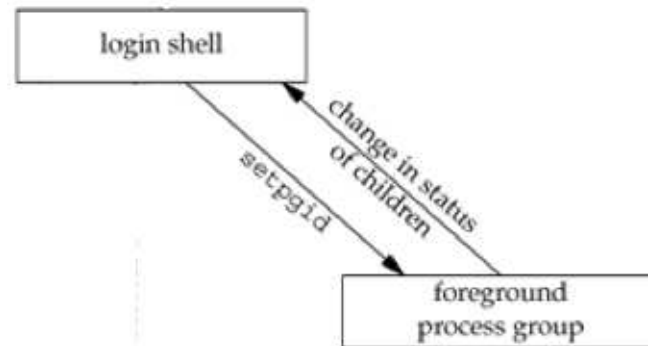
---



```
$ ps -o pid,ppid,pgid,sess,comm
  PID  PPID  PGRP  SESS  COMMAND
24251  24250  24251  24251  ksh
24620  24251  24620  24251  ps
$
```

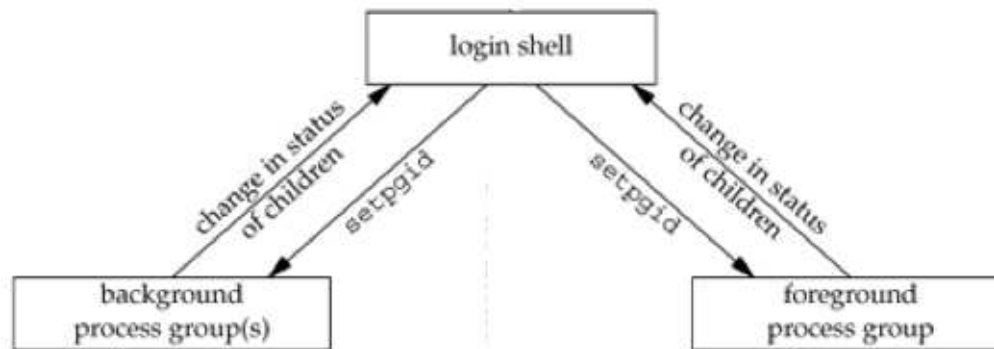
## Job Control

---



```
$ ps -o pid,ppid,pgid,sess,comm
  PID  PPID  PGRP  SESS  COMMAND
24251  24250  24251  24251  ksh
24620  24251  24620  24251  ps
$ echo $?
0
$
```

## Job Control



```
$ dd if=/dev/zero of=/dev/null bs=512 count=2048000 >/dev/null 2>&1 &
[1] 24748
```

```
$ ps -o pid,ppid,pgid,sess,comm
```

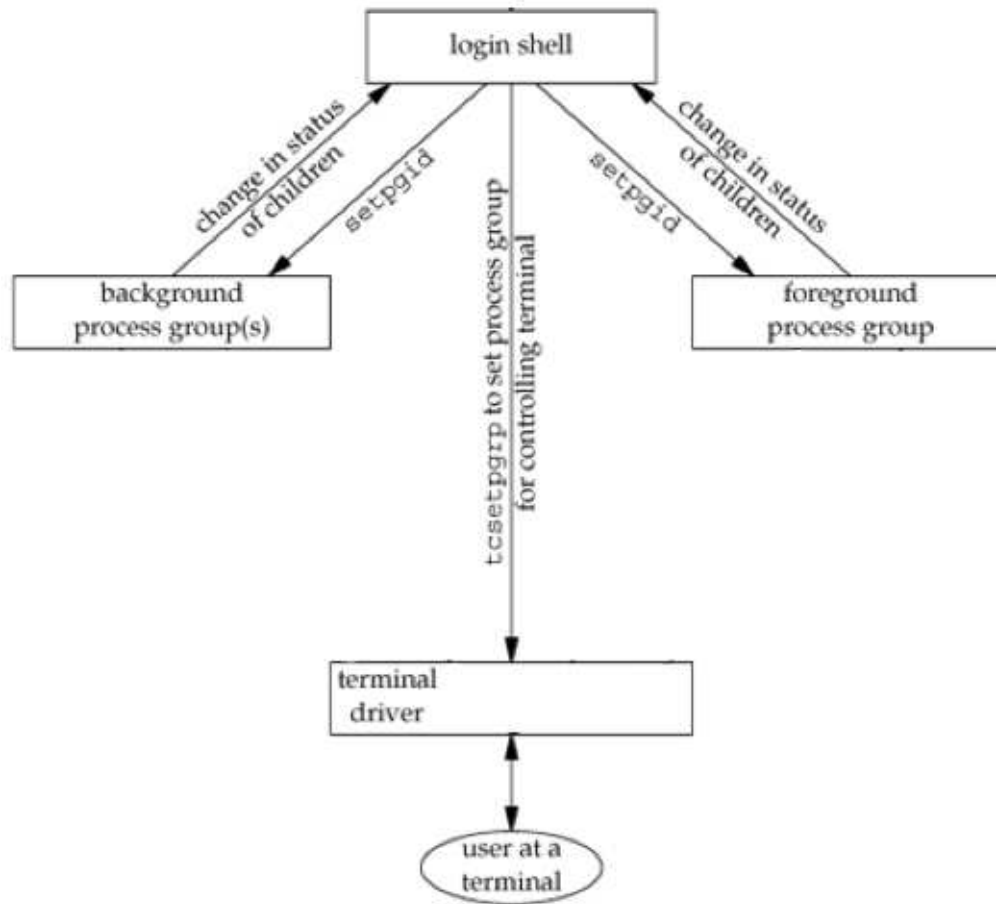
```
  PID  PPID  PGRP  SESS  COMMAND
24251  24250  24251  24251  ksh
24748  24251  24748  24251  dd
24750  24251  24750  24251  ps
```

```
$
```

```
[1] + Done      dd if=/dev/zero of=/dev/null bs=512 count=2048000 >/dev/null 2>&1 &
$
```

## Job Control

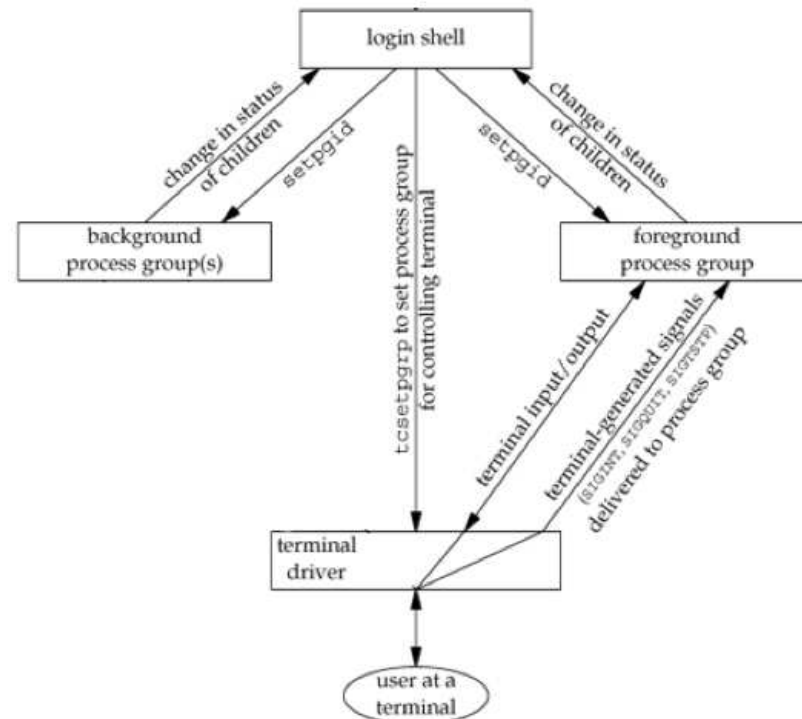
---





## Job Control

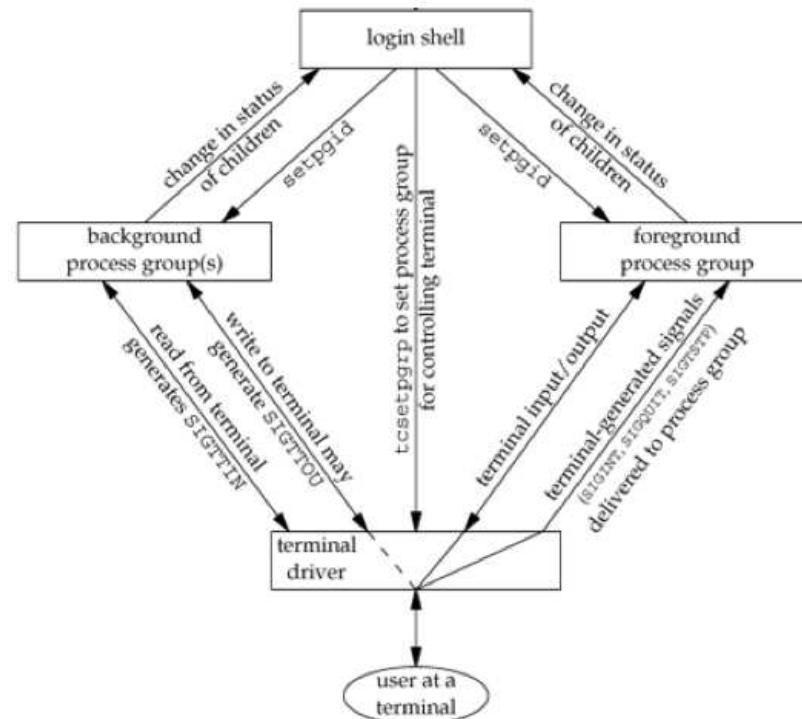
```
$ cat >file
Input from terminal,
Output to terminal.
^D
$ cat file
Input from terminal,
Output to terminal.
$ cat >/dev/null
Input from terminal,
Output to /dev/null.
Waiting forever...
Or until we send an interrupt signal.
^C
$
```



## Job Control

```
$ cat file &
[1] 2056
$ Input from terminal,
Output to terminal.
```

```
[1] + Done          cat file &
$ stty tostop
$ cat file &
[1] 4655
$
[1] + Stopped(SIGTTOU) cat file &
$ fg
cat file
Input from terminal,
Output to terminal.
$
```



## Signals

---



## Signal Concepts

---

Signals are a way for a process to be notified of asynchronous events.

Some examples:

- a timer you set has gone off (SIGALRM)
- some I/O you requested has occurred (SIGIO)
- a user resized the terminal "window" (SIGWINCH)
- a user disconnected from the system (SIGHUP)
- ...

See also: `signal(2)`/`signal(3)`/`signal(7)` (note: these man pages vary significantly across platforms!)

## Signal Concepts

---

Besides the asynchronous events listed previously, there are many ways to generate a signal:

- terminal generated signals (user presses a key combination which causes the terminal driver to generate a signal)

## Signal Concepts

---

Besides the asynchronous events listed previously, there are many ways to generate a signal:

- terminal generated signals (user presses a key combination which causes the terminal driver to generate a signal)
- hardware exceptions (divide by 0, invalid memory references, etc)

## Signal Concepts

---

Besides the asynchronous events listed previously, there are many ways to generate a signal:

- terminal generated signals (user presses a key combination which causes the terminal driver to generate a signal)
- hardware exceptions (divide by 0, invalid memory references, etc)
- `kill(1)` allows a user to send any signal to any process (if the user is the owner or superuser)

## Signal Concepts

---

Besides the asynchronous events listed previously, there are many ways to generate a signal:

- terminal generated signals (user presses a key combination which causes the terminal driver to generate a signal)
- hardware exceptions (divide by 0, invalid memory references, etc)
- `kill(1)` allows a user to send any signal to any process (if the user is the owner or superuser)
- `kill(2)` (a system call, not the unix command) performs the same task



## Signal Concepts

---

Besides the asynchronous events listed previously, there are many ways to generate a signal:

- terminal generated signals (user presses a key combination which causes the terminal driver to generate a signal)
- hardware exceptions (divide by 0, invalid memory references, etc)
- `kill(1)` allows a user to send any signal to any process (if the user is the owner or superuser)
- `kill(2)` (a system call, not the unix command) performs the same task
- software conditions (other side of a pipe no longer exists, urgent data has arrived on a network file descriptor, etc.)

## kill(2) and raise(3)

---

```
#include <sys/types.h>
#include <signal.h>

int kill(pid_t pid, int signo);
int raise(int signo);
```

- $pid > 0$  – signal is sent to the process whose PID is  $pid$
- $pid == 0$  – signal is sent to all processes whose process group ID equals the process group ID of the sender
- $pid == -1$  – POSIX.1 leaves this undefined, BSD defines it (see `kill(2)`)

## Signal Concepts

---

Once we get a signal, we can do one of several things:

- Ignore it. (note: there are some signals which we CANNOT or SHOULD NOT ignore)

## Signal Concepts

---

Once we get a signal, we can do one of several things:

- Ignore it. (note: there are some signals which we CANNOT or SHOULD NOT ignore)
- Catch it. That is, have the kernel call a function which we define whenever the signal occurs.

## Signal Concepts

---

Once we get a signal, we can do one of several things:

- Ignore it. (note: there are some signals which we CANNOT or SHOULD NOT ignore)
- Catch it. That is, have the kernel call a function which we define whenever the signal occurs.
- Accept the default. Have the kernel do whatever is defined as the default action for this signal

## Signal Concepts

---

```
$ cc -Wall ../01-intro/simple-shell.c
$ ./a.out
$$ ^C
$ echo $?
130
$ cc -Wall ../01-intro/simple-shell2.c
$ ./a.out
$$ ^C
Caught SIGINT!

$$
```

## signal(3)

---

```
#include <signal.h>
```

```
void (*signal(int signo, void (*func)(int)))(int);
```

Returns: previous disposition of signal if OK, SIG\_ERR otherwise

## signal(3)

---

```
#include <signal.h>
```

```
void (*signal(int signo, void (*func)(int)))(int);
```

Returns: previous disposition of signal if OK, SIG\_ERR otherwise

*func* can be:

- SIG\_IGN which requests that we ignore the signal *signo*
- SIG\_DFL which requests that we accept the default action for signal *signo*
- or the address of a function which should catch or handle a signal



## Signal Examples

---

```
$ cc -Wall siguser.c
$ ./a.out
^Z
$ bg
$ ps | grep a.ou[t]
11106 ttys002    0:00.00 ./a.out
$ kill -USR1 11106
received SIGUSR1
$ kill -USR2 11106
received SIGUSR2
$ kill -INT 11106
$
[2]-  Interrupt                ./a.out
$
```

## sigaction(2)

---

```
#include <signal.h>

int sigaction(int signo, const struct sigaction *act, struct sigaction *oact);
```

This function allows us to examine or modify the action associated with a particular signal.

```
struct sigaction {
    void (*sa_handler)();    /* addr of signal handler, or
                             SIG_IGN or SIG_DFL */
    sigset_t sa_mask;        /* additional signals to block */
    int sa_flags;            /* signal options */
};
```

signal(3) is (nowadays) commonly implemented via sigaction(2).

## More advanced signal handling via signal sets

---

- `int sigemptyset(sigset_t *set)` – initialize a signal set to be empty
- `int sigfillset(sigset_t *set)` – initialize a signal set to contain all signals
- `int sigaddset(sigset_t *set, int signo)`
- `int sigdelset(sigset_t *set, int signo)`
- `int sigismember(sigset_t *set, int signo)`

## Resetting Signal Handlers

---

*Note:* on some systems, invocation of the handler *resets* the disposition to SIG\_DFL!

```
$ cc -DSLEEP=3 -Wall pending.c
$ ./a.out
=> Establishing initial signal handler via signal(3).
^\\sig_quit: caught SIGQUIT (1), now sleeping
sig_quit: exiting (1)
=> Time for a second interruption.
^\\sig_quit: caught SIGQUIT (2), now sleeping
sig_quit: exiting (2)
=> Establishing a resetting signal handler via signal(3).
^\\sig_quit_reset: caught SIGQUIT (3), sleeping and resetting.
sig_quit_reset: restored SIGQUIT handler to default.
=> Time for a second interruption.
^\\Quit: 3
$
```

## Signal Queuing

---

Signals arriving while a handler runs are queued.

```
$ ./a.out >/dev/null
^\\sig_quit: caught SIGQUIT (1), now sleeping
^\\^\\^\\^\\^\\sig_quit: exiting (1)
sig_quit: caught SIGQUIT (2), now sleeping
^\\^\\^\\^\\sig_quit: exiting (2)
sig_quit: caught SIGQUIT (3), now sleeping
^\\sig_quit: exiting (3)
sig_quit: caught SIGQUIT (4), now sleeping
sig_quit: exiting (4)
[...]
```

(Note that "simultaneously" delivered signals may be "merged" into one.)

## Signal Queuing

---

Signals arriving while a handler runs are queued.  
Unless they are blocked.

```
$ ./a.out
[...]
```

=> Establishing a resetting signal handler via `signal(3)`.  
^\\sig\_quit\_reset: caught SIGQUIT (1), sleeping and resetting.  
sig\_quit\_reset: restored SIGQUIT handler to default.

=> Time for a second interruption.  
=> Blocking delivery of SIGQUIT...  
=> Now going to sleep for 3 seconds...  
^\\

=> Checking if any signals are pending...  
=> Checking if pending signals might be SIGQUIT...  
Pending SIGQUIT found.  
=> Unblocking SIGQUIT...  
Quit: 3

## Signal Queuing

---

Multiple identical signals are queued, but you can receive a different signal *while in a signal handler*.

```
$ ./a.out >/dev/null
^\\sig_quit: caught SIGQUIT (1), now sleeping
^\\^\\^\\^\\Csig_int: caught SIGINT (2), returning immediately
sig_quit: exiting (2)
sig_quit: caught SIGQUIT (3), now sleeping
^\\^\\sig_quit: exiting (3)
sig_quit: caught SIGQUIT (4), now sleeping
sig_quit: exiting (4)
[...]
```

## Program Startup

---

When a program is `execed`, the status of all signals is either *default* or *ignore*.



## Program Startup

---

When a program is `execed`, the status of all signals is either *default* or *ignore*.

When a process `fork(2)`s, the child inherits the parent's signal dispositions.

## Program Startup

---

When a program is `execed`, the status of all signals is either *default* or *ignore*.

When a process `fork(2)`s, the child inherits the parent's signal dispositions.

A limitation of the `signal(3)` function is that we can only determine the current disposition of a signal by *changing* the disposition.

## Interrupted System Calls

---

Some system calls can block for long periods of time (or forever). These include things like:

- `read(2)`s from files that can block (pipes, networks, terminals)

## Interrupted System Calls

---

Some system calls can block for long periods of time (or forever). These include things like:

- `read(2)`s from files that can block (pipes, networks, terminals)
- `write(2)` to the same sort of files

## Interrupted System Calls

---

Some system calls can block for long periods of time (or forever). These include things like:

- `read(2)`s from files that can block (pipes, networks, terminals)
- `write(2)` to the same sort of files
- `open(2)` of a device that waits until a condition occurs (for example, a modem)

## Interrupted System Calls

---

Some system calls can block for long periods of time (or forever). These include things like:

- `read(2)`s from files that can block (pipes, networks, terminals)
- `write(2)` to the same sort of files
- `open(2)` of a device that waits until a condition occurs (for example, a modem)
- `pause(3)`, which purposefully puts a process to sleep until a signal occurs

## Interrupted System Calls

---

Some system calls can block for long periods of time (or forever). These include things like:

- `read(2)`s from files that can block (pipes, networks, terminals)
- `write(2)` to the same sort of files
- `open(2)` of a device that waits until a condition occurs (for example, a modem)
- `pause(3)`, which purposefully puts a process to sleep until a signal occurs
- certain `ioctl(3)`s
- certain IPC functions

## Interrupted System Calls

---

Some system calls can block for long periods of time (or forever). These include things like:

- `read(2)`s from files that can block (pipes, networks, terminals)
- `write(2)` to the same sort of files
- `open(2)` of a device that waits until a condition occurs (for example, a modem)
- `pause(3)`, which purposefully puts a process to sleep until a signal occurs
- certain `ioctl(3)`s
- certain IPC functions

Catching a signal during execution of one of these calls traditionally led to the process being aborted with an `errno` return of `EINTR`.



## Interrupted System Calls

---

Previously necessary code to handle EINTR:

again:

```
    if ((n = read(fd, buf, BUFSIZE)) < 0) {  
        if (errno == EINTR)  
            goto again; /* just an interrupted system call */  
        /* handle other errors */  
    }
```

Nowadays, many Unix implementations automatically restart certain system calls.

## Interrupted System Calls

---

```
$ cc -Wall eintr.c
```

```
$ ./a.out
```

```
^C
```

```
read call was interrupted
```

```
||
```

```
$ ./a.out
```

```
^\a
```

```
read call was restarted
```

```
|a|
```

```
$
```

## Reentrant functions

---

An example of calling nonreentrant functions from a signal handler:

```
$ cc -Wall reentrant.c; ./a.out
in signal handler
in signal handler
in signal handler
no 'root' found!
$ ./a.out
in signal handler
return value corrupted: pw_name = root
$ ./a.out
in signal handler
in signal handler
User jschauma not found!
$ ./a.out
in signal handler
in signal handler
Memory fault (core dumped)
```

## Reentrant Functions

---

If your process is currently handling a signal, what functions are you allowed to use?

See p. 306 in Stevens for a list.

## Homework

---

Read, try, play with and understand all examples.

Work on your midterm project.