

# COSC265 — Relational Database Systems

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# Relational Data Model

**Domain:** Set (finite?) of values

**Relation:** Subset of the Cartesian product of one or more domains

**Tuple:** Elements (members) of a relation

$$r = \{t | t \in \otimes \mathcal{D}_i\}$$

are k-tuples

$$\{(\nu_1, \nu_2 \dots \nu_k) | \nu_i \in \mathcal{D}_i\}$$

**Tables:** Relations can be thought of as tables whose (unordered) rows are tuples and whose columns are components

# Attributes

- ★ Names for tuple components (columns).
- ★ Tuples viewed as mappings from attributes names to values in corresponding domains
- ★ If columns have names then order of columns does not matter (set of mappings)
- ★ Otherwise component order does matter. The relation is viewed as a set of lists
- ★ Both forms have uses. Conversion between them is achieved by assigning arbitrary attribute names or by fixing attribute order

## Relations & Schemes

- ☆ A relation *scheme* or *schema* is the set of attributes

$$R = \{A_1, A_2 \dots A_n\}$$

- ☆ A relation over schema  $R$  is denoted by

$$r(R) \equiv r(A_1, A_2 \dots A_n)$$

- ☆ *Concatenation* of attributes (or sets of attributes) used to denote *union*

$$R \equiv A_1 A_2 \dots A_n$$

- ☆ Schema extraction via

$$R = attr(r)$$

- ☆ Restriction of tuple to specified components (by name or position)

$$s[i], s \in r(R)$$

## Keys & Superkeys

- ★ A key  $K$  is a set of attributes such that

$$\nexists t_i, t_j : t_i[K] = t_j[K]$$

- ★ Duplicate tuples not allowed as all elements of set (relation) must be distinct
- ★  $R$  is a key for any  $r(R)$
- ★ If  $K, K'$  are keys and  $K \supseteq K'$  then  $K$  is a *superkey*
- ★ Relation may have more than one *candidate key*
- ★ Usually assume 'key' has no proper subset that is also a key
- ★ Keys should be time ( $\equiv$  tuple value) independent

## Complements

- ★ Primarily interested in *finite* relations
- ★ Define  $dom(R)$  as set of all tuples over attributes of  $R$  and their domains
- ★ Define relation complement of  $r(R)$  as

$$\bar{r} = dom(R) - r$$

- ★ If  $R$  has any  $\infty$  domains then  $\bar{r}$  is  $\infty$
- ★ If  $R \equiv A_1 A_2 \dots A_n$  and  $D_i \equiv dom(A_i)$  then define the *active domain* of  $A_i$  in  $r$  as

$$adom(A_i, r) = \{d \in D_i \mid \exists t \in r, t(A_i) = d\}$$

- ★ Then can define  $adom(R, r)$  as set of all tuples over the attributes of  $R$  and their active domains relative to  $R$
- ★ Active complement (always a finite relation)

$$\tilde{r} = adom(R, r) - r$$

## Example

$$R = ABC, \text{dom}(A) = \{a_1, a_2\}, \text{dom}(B) = \{b_1, b_2, b_3\}, \text{dom}(C) = \{c_1, c_2\}$$

$$r(R)$$

A	B	C
$a_1$	$b_1$	$c_1$
$a_1$	$b_2$	$c_1$
$a_2$	$b_1$	$c_2$

$$\text{dom}(R)$$

A	B	C
$a_1$	$b_1$	$c_1$
$a_1$	$b_1$	$c_2$
$a_1$	$b_2$	$c_1$
$a_1$	$b_2$	$c_2$
$a_1$	$b_3$	$c_1$
$a_1$	$b_3$	$c_2$
$a_2$	$b_1$	$c_1$
$a_2$	$b_1$	$c_2$
$a_2$	$b_2$	$c_1$
$a_2$	$b_2$	$c_2$
$a_2$	$b_3$	$c_1$
$a_2$	$b_3$	$c_2$

$$\bar{r} = \text{dom}(R) - r$$

A	B	C
$a_1$	$b_1$	$c_2$
$a_1$	$b_2$	$c_2$
$a_1$	$b_3$	$c_1$
$a_1$	$b_3$	$c_2$
$a_2$	$b_1$	$c_1$
$a_2$	$b_2$	$c_1$
$a_2$	$b_2$	$c_2$
$a_2$	$b_3$	$c_1$
$a_2$	$b_3$	$c_2$

$$\tilde{r} = \text{adom}(R) - r$$

A	B	C
$a_1$	$b_1$	$c_2$
$a_1$	$b_2$	$c_2$
$a_2$	$b_1$	$c_1$
$a_2$	$b_2$	$c_1$
$a_2$	$b_2$	$c_2$

## Observations

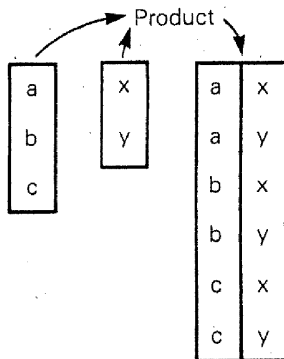
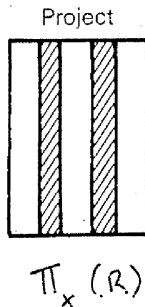
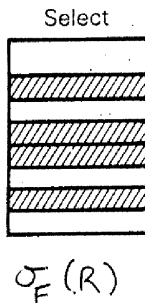
- ☆ Active complements can arise naturally as in  $trained\_in(Employee, Dept)$
- ☆ If every employee (and dept) appears in at least one tuple, then tuples of  $trained\_in$  are those combinations which have *not* taken place
- ☆ Thought for the day: If  $\|\tilde{r}\| < \|r\|$  then could the active complement be used as a storage compression device?



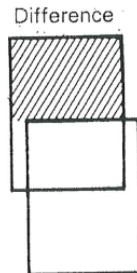
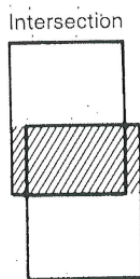
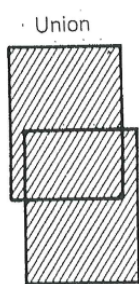
## Relational Algebra

- ☆ Operands are constant relations or variables denoting relations of fixed 'arity'
- ☆ Relations on the same scheme are sets over the same universe
- ☆ Set of all relations exhibits closure under the operators of the relational algebra
  - ∪ Union
  - ∩ Intersection
  - − Difference ('but not'). \ also used
  - ⊗ Cartesian product
  - σ selection
  - π Projection
  - ⋈ Join
  - ÷ Division
- ☆ All operations expressible in terms of  $\{\cup, -, \otimes, \sigma, \pi\}$
- ☆ Some operators ( $\cup, \cap, -$ ) require *union-compatible* operands (same degree, corresponding domains)

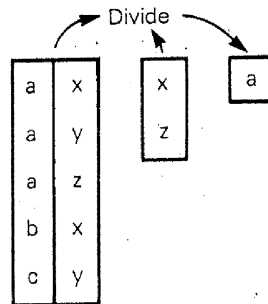
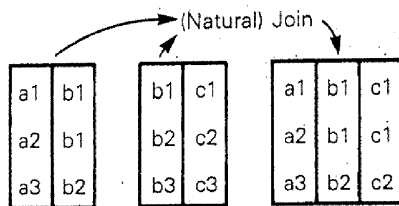
## Relational Operations Illustrated



# Relational Operations Illustrated



## Relational Operations Illustrated



## Joins

Several join operators defined as shorthand for various restrictions of the Cartesian product

**Theta Joins:** If  $r$  is of arity  $k$  and  $\theta$  is a comparison operator, the theta join on columns  $i, j$  is written as

$$r \bowtie_{i\theta j} s \equiv \sigma_{i\theta(k+j)}(r \otimes s)$$

**Equijoin** This is the case where  $\theta$  is '='. The resulting relation has two identical (sets of) columns — so we'll usually want remove one.

**Natural Join**  $\bowtie$  Like an equijoin, but one of the duplicate (sets of) columns removed. Only applicable when columns have names (attributes).  
Given  $r(R)$ ,  $s(S)$  and  $I = R \cap S$

$$r \bowtie s = \pi_{RS} \sigma_{r.I=s.I}(r \otimes s)$$

## Theta Join Example

Given  $R = ABC$ ,  $S = DE$

$$r(R)$$

A	B	C
1	2	3
4	5	6
7	8	9

$$s(S)$$

D	E
3	1
6	2

$$r \bowtie_{B < D} s$$

A	B	C	D	E
1	2	3	3	1
1	2	3	6	2
4	5	6	6	2

$$r \otimes s$$

A	B	C	D	E
1	2	3	3	1
1	2	3	6	2
4	5	6	3	1
4	5	6	6	2
7	8	9	3	1
7	8	9	6	2

# Natural Join Example

$$r(R)$$

A	B	C
a	b	c
d	b	c
b	b	f
c	a	d

$$s(S)$$

B	C	D
b	c	d
b	c	e
a	d	b

$$r \bowtie s$$

A	B	C	D
a	b	c	d
a	b	c	e
d	b	c	d
d	b	c	e
c	a	d	b

$$r \otimes s$$

A	B	C	B'	C'	D
a	b	c	b	c	d
a	b	c	b	c	e
a	b	c	a	d	b
d	b	c	b	c	d
d	b	c	b	c	e
d	b	c	a	d	b
...	...	...	...	...	...
c	a	d	a	d	b

$$r \bowtie_{r.B=s.B \wedge r.C=s.C} s$$

A	B	C	B'	C'	D
a	b	c	b	c	d
a	b	c	b	c	e
d	b	c	b	c	d
d	b	c	b	c	e
...	...	...	...	...	...
c	a	d	a	d	b

## Relational Division Operator

Consider relations  $r(R), s(S)$  where  $S \subseteq R$ .

Tuples  $\eta \in (r \div s)$  appear in  $r$  in combination with every tuple in  $s$  and the schema of  $r \div s$  is  $R - S$

$$r \div s = \{\eta | (\forall \mu \in s)(\exists \nu \in r) \nu[S] = \mu[S] \wedge \nu[R - S] = \eta[R - S]\}$$

The tuples satisfying the second part of the definition (i.e. possible  $\eta$ ) are given by

$$\pi_{R-S}(r)$$

The combination of all pairs of tuples from  $\pi_{R-S}(r)$  and  $s$  is given by

$$\pi_{R-S}(r) \otimes s$$

Those combinations which do not appear in  $r$  (thus violating the first part of the above definition) are given by

$$(\pi_{R-S}(r) \otimes s) - r$$

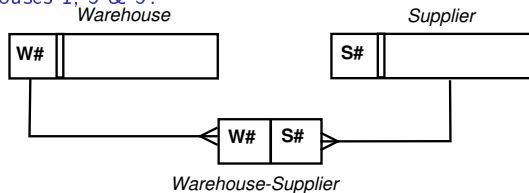
Thus

$$r \div s = \pi_{R-S}(r) - \pi_{R-S}((\pi_{R-S}(r) \otimes s) - r)$$



# Division Example

Who supplies (each of) warehouses 1, 3 & 5?



W-S	
W#	S#
W1	S1
W1	S2
W1	S3
W2	S3
W3	S1
W3	S2
W5	S1
W5	S2
W5	S4
W6	S2

≡

W-S'	
W#	S#
W1	S1
W3	S1
W5	S1
W1	S2
W3	S2
W5	S2
W2	S3
W5	S4
W6	S2
W1	S3

÷

**W135**

W#
W1
W3
W5

=

**S135**

S#
S1
S2

## Additional Operators

### **Assignment Operator**( $\leftarrow$ )

- ★ Not *essential* — adds no expressive power
- ★ Convenient for complex queries involving intermediate variables/expressions

#### **Example**

$$r \leftarrow \sigma_{x < 42}(s \bowtie p)$$

### **Renaming Operator**( $\delta$ )

- ★ Used to construct aliases
- ★ e.g. **foreign keys**, distinguishing between duplicate columns in joins

#### **Example**

If  $R = \dots X \dots$  and  $r = r(R)$

$$r' = \delta_{X \leftarrow Y}(r) \equiv r'(R')$$

where  $R' = \dots Y \dots$