# Ali Pakniyat

#### ACADEMIC APPOINTMENTS

University of Alabama, Tuscaloosa, AL Assistant Professor, Department of Mechanical Engineering	2021 - present
Georgia Institute of Technology, Atlanta, USA  Postdoctoral Research Fellow, Institute for Robotics and Intelligent Machines	2019 - 2021
University of Michigan, Ann Arbor, USA  Postdoctoral Research Fellow, Department of Mechanical Engineering	2017 - 2019
McGill University, Montreal, Canada Lecturer, Department of Electrical and Computer Engineering	2016 - 2017
EDUCATION	
McGill University, Montreal, Canada  Doctor of Philosophy, Electrical Engineering	2011 - 2016
Sharif University of Technology, Tehran, Iran Master of Science, Mechanical Engineering	2008 - 2010
Shiraz University, Shiraz, Iran Bachelor of Science, Mechanical Engineering	2004 - 2008

#### RESEARCH AREAS

Control Theory: Optimal Control, Hybrid Systems, Stochastic Processes, Multi-Agent Systems, Mean-Field Games Control Practice: Autonomous Driving, Vehicle Electrification, Robotics, Micro Electrical-Mechanical Systems (MEMS), Mathematical Finance, Large-Scale Networks

#### **PUBLICATIONS**

#### Patent

[P1] B. Boulet, M. S. R. Mousavi, H. V. Alizadeh, and A. Pakniyat, "Seamless Transmission Systems and Methods for Electric Vehicles," Jul. 11 2017, US Patent US 9,702,438 B2

#### **Published Journal Papers**

- [J18] K. P. Hawkins, A. Pakniyat, E. Theodorou, and P. Tsiotras, "Solving Feynman-Kac Forward Backward SDEs Using McKean-Markov Branched Sampling," *IEEE Transactions on Automatic Control, DOI: 10.1109/TAC.2023.3349173*, vol. 69, no. 9, pp. 5695–5710, 2024
- [J17] T. Yasini and A. Pakniyat, "Hybrid Optimal Control of a Flying+Sailing Drone: Flying with 6 and Sailing with 5 Degrees of Freedom," *IFAC-PapersOnLine*, vol. 58, no. 11, pp. 69–74, 2024
- [J16] A. Pakniyat, "Theoretical Guarantees for Satisfaction of Terminal State Constraints for Nonlinear Stochastic Systems," in *IUTAM Bookseries*. Springer Cham, 2024, vol. 40, ch. 9, pp. 1–29
- [J15] K. P. Hawkins, A. Pakniyat, and P. Tsiotras, "Value Function Estimators for Feynman-Kac Forward-Backward SDEs in Stochastic Optimal Control," Automatica, vol. 158, p. 111281, 2023
- [J14] A. Pakniyat and P. E. Caines, "The Minimum Principle of Hybrid Optimal Control Theory," Mathematics of Control, Signals, and Systems, pp. 1–50, 2023
- [J13] T. Yasini and A. Pakniyat, "Hybrid Optimal Control of a Flying+Sailing Drone," ASME Letters in Dynamic Systems and Control, vol. 3, no. 3, pp. 031 008–1 031 008–7, 2023
- [J12] A. Pakniyat, "A Convex Duality Approach for Assigning Probability Distributions to the State of Nonlinear Stochastic Systems," *IEEE Control Systems Letters*, vol. 6, pp. 3080 3085, 2022
- [J11] D. Firoozi, A. Pakniyat, and P. E. Caines, "A Class of Hybrid LQG Mean Field Games with State-Invariant Switching and Stopping Strategies," *Automatica*, vol. 141, pp. 110 244, pp. 1–14, 2022
- [J10] A. Pakniyat and P. E. Caines, "On the Hybrid Minimum Principle: The Hamiltonian and Adjoint Boundary Conditions," IEEE Transactions on Automatic Control, vol. 66, no. 3, pp. 1246–1253, 2021
- [J9] A. Pakniyat and P. E. Caines, "On the Relation between the Minimum Principle and Dynamic Programming for Classical and Hybrid Control Systems," *IEEE Transactions on Automatic Control*, vol. 62, no. 9, pp. 4347–4362, 2017
- [J8] A. Pakniyat and P. E. Caines, "Hybrid Optimal Control of an Electric Vehicle with a Dual-Planetary Transmission," Nonlinear Analysis: Hybrid Systems, vol. 25, pp. 263–282, 2017

- [J7] A. Pakniyat and P. E. Caines, "A Class of Linear Quadratic Gaussian Hybrid Optimal Control Problems with Realization–Independent Riccati Equations," *IFAC-PapersOnLine*, vol. 50, no. 1, pp. 2241–2246, 2017
- [J6] M. S. R. Mousavi, A. Pakniyat, T. Wang, and B. Boulet, "Seamless Dual Brake Transmission For Electric Vehicles: Design, Control and Experiment," Mechanism and Machine Theory, vol. 94, pp. 96–118, 2015
- [J5] A. Pakniyat and P. E. Caines, "Time Optimal Hybrid Minimum Principle and the Gear Changing Problem for Electric Vehicles," IFAC-PapersOnLine, vol. 48, no. 27, pp. 187–192, 2015
- [J4] A. Pakniyat and P. E. Caines, "On the Relation between the Hybrid Minimum Principle and Hybrid Dynamic Programming: A Linear Quadratic Example," IFAC-PapersOnLine, vol. 48, no. 27, pp. 169–174, 2015
- [J3] A. Pakniyat and P. E. Caines, "On the Minimum Principle and Dynamic Programming for Hybrid Systems," IFAC Proceedings Volumes, vol. 47, no. 3, pp. 9629–9634, 2014
- [J2] A. Pakniyat and H. Salarieh, "A Parametric Study on Design of a Microrate-Gyroscope with Parametric Resonance," Measurement, vol. 46, no. 8, pp. 2661–2671, 2013
- [J1] A. Pakniyat, H. Salarieh, and A. Alasty, "Stability Analysis of a New Class of MEMS Gyroscopes with Parametric Resonance," *Acta Mechanica*, vol. 223, no. 6, pp. 1169–1185, 2012

#### **Published Conference Papers**

- [C17] Y. Guan, M. Zhou, A. Pakniyat, and P. Tsiotras, "Shaping Large Population Agent Behaviors Through Entropy-Regularized Mean-Field Games," in *Proceedings of the IEEE American Control Conference*, Atlanta, USA, 2022, pp. 4429–4435
- [C16] A. Pakniyat and P. Tsiotras, "Partially Observed Steering the State of Linear Stochastic Systems," in Proceedings of the IEEE Conference on Decision and Control, Austin, USA, 2021, pp. 3780–3785
- [C15] K. P. Hawkins, A. Pakniyat, and P. Tsiotras, "On the Time Discretization of the Feynman-Kac Forward-Backward Stochastic Differential Equations for Value Function Approximation," in Proceedings of the IEEE Conference on Decision and Control, Austin, USA, 2021, pp. 892–897
- [C14] K. P. Hawkins, A. Pakniyat, E. Theodorou, and P. Tsiotras, "Forward-Backward Rapidly-Exploring Random Trees for Stochastic Optimal Control," in *Proceedings of the IEEE Conference on Decision and Control, Austin, USA*, 2021, pp. 912–917
- [C13] A. Pakniyat and P. Tsiotras, "Steering the State of Linear Stochastic Systems: A Constrained Minimum Principle Formulation," in *Proceedings of the IEEE American Control Conference*, New Orleans, USA, 2021, pp. 1300–1305
- [C12] A. Pakniyat and R. Vasudevan, "A Convex Duality Approach to Optimal Control of Killed Markov Processes," in Proceedings of the 58th IEEE Conference on Decision and Control, Nice, France, 2019, pp. 8216–8223
- [C11] D. Firoozi, A. Pakniyat, and P. E. Caines, "A Mean Field Game Hybrid Systems Approach to Optimal Execution Problems in Finance with Stopping Times," in Proceedings of the 56th IEEE Conference on Decision and Control, Melbourne, Australia, 2017, pp. 433–441
- [C10] A. Pakniyat and P. E. Caines, "On the Stochastic Minimum Principle for Hybrid Systems," in Proceedings of the 55th IEEE Conference on Decision and Control, Las Vegas, NV, USA, 2016, pp. 1139–1144
- [C9] A. Pakniyat and P. E. Caines, "On the Minimum Principle and Dynamic Programming for Hybrid Systems with Low Dimensional Switching Manifolds," in Proceedings of the 54th IEEE Conference on Decision and Control, Osaka, Japan, 2015, pp. 2567–2573
- [C8] M. S. R. Mousavi, A. Pakniyat, M. K. Helwa, and B. Boulet, "Observer-Based Backstepping Controller Design for Gear Shift Control of a Seamless Clutchless Two-Speed Transmission for Electric Vehicles," in *Proceedings of the IEEE Vehicle Power and Propulsion Conference (VPPC)*, Montreal, QC, Canada, 2015, pp. 1–6
- [C7] A. Pakniyat and P. E. Caines, "On the Relation between the Minimum Principle and Dynamic Programming for Hybrid Systems," in Proceedings of the IEEE Conference on Decision and Control, Los Angeles, USA, 2014, pp. 19–24
- [C6] A. Pakniyat and P. E. Caines, "The Gear Selection Problem for Electric Vehicles: An Optimal Control Formulation," in Proceedings of the 13th International Conference on Control Automation Robotics & Vision ICARCV, Marina Bay Sands, Singapore. IEEE, 2014, pp. 1261–1266
- [C5] M. S. R. Mousavi, A. Pakniyat, and B. Boulet, "Dynamic Modeling and Controller Design for a Seamless Two-Speed Transmission for Electric Vehicles," in *Proceedings of the 2014 IEEE Conference on Control Applications, Antibes, France*, 2014, pp. 635–640
- [C4] A. Pakniyat and P. E. Caines, "The Hybrid Minimum Principle in the Presence of Switching Costs," in Proceedings of the 52nd IEEE Conference on Decision and Control, Florence, Italy, 2013, pp. 3831–3836
- [C3] A. Pakniyat, H. Salarieh, G. Vossoughi, and A. Alasty, "A Modification on Performance of MEMS Gyroscopes by Parametro-Harmonic Excitation," in *Proceedings of the 10th ASME Biennial Conference on Engineering Systems Design and Analysis, Istanbul, Turkey*, 2010, pp. 433–441
- [C2] A. Pakniyat, H. Salarieh, and A. Alasty, "Stability Analysis of a Novel MEMS Gyroscope Actuated by Parametric Resonance Using Floquet Theory," in *Proceedings of the 3rd Conference on Nano-Structures, Kish Island, Persian Gulf, Iran*, 2010, pp. 1219–1221
- [C1] A. Pakniyat and M. Eghtesad, "Solving Differential Equations using Wavelet Transform," in *Proceedings of the 17th Annual International Conference on Mechanical Engineering*, Tehran, Iran, 2010, pp. 1–6, (in Persian)

## TEACHING EXPERIENCES

Course Lecturer	
University of Alabama,	Course Instructor
ME 591 Nonlinear Optimal Control,	Spring 2023
ME 577 Advanced Linear Control,	Fall 2022, Spring 2024
ME 562 Intermediate Dynamics,	Fall 2024
ME 490 Mechanical Engineering Design II,	Spring 2024
ME 489 Mechanical Engineering Design I,	Fall 2023
ME 372 Dynamic Systems,	Fall 2021
McGill University,	Course Instructor
ECSE 493 Control and Robotics Laboratory,	Winter 2016, 2017
ECSE 205 Probability and Statistics for Engineers,	Fall 2016, Winter 2017
Teaching Assistant	
McGill University,	Teaching Assistant
ECSE 516 Nonlinear and Hybrid Control Systems,	Winter 2013, 2017
ECSE 506 Stochastic Control and Decision Theory,	Winter 2014
ECSE 500 Mathematical Foundations of Systems,	Fall 2013, 2015
ECSE 493 Control and Robotics Laboratory,	Winter 2014, 2015
ECSE 443 Introduction to Numerical Methods in Electrical Engineering,	Winter 2014, 2015 Winter 2014, 2015
ECSE 445 Introduction to Tunnerical Methods in Electrical Engineering,	Fall 2013, 2014, 2015, 2016
SHARIF UNIVERSITY OF TECHNOLOGY,	TEACHING ASSISTANT
MECH 28586 Robust Control,	Fall 2010
MECH 28416 Automatic Control,	Spring 2010, Fall 2010
SHIRAZ UNIVERSITY,	TEACHING ASSISTANT
MECH 100531241 Mechanical Vibrations,	Spring 2008
MECH 100531241 Mechanical Vibrations, MECH 100531221 Dynamics of Machinery,	Spring 2008 Spring 2008
MECH 100531171 <b>Machine Design II</b> , MECH 100531161 <b>Machine Design I</b> ,	Fall 2007
	Spring 2007
MECH 100531101 Machine Design 1, MECH 100531071 Dynamics,	Fall 2006
MECH 100531071 <b>Dynamics</b> ,	
MECH 100531071 Dynamics,  INVITED TALKS	Fall 2006  Montreal (QC), Canada
MECH 100531071 <b>Dynamics</b> ,  INVITED TALKS  2024-07-05 CIM – GERAD Informal Systems Seminar (ISS),	Fall 2006  Montreal (QC), Canada Santa Cruz (CA), USA
MECH 100531071 <b>Dynamics</b> ,  INVITED TALKS  2024-07-05 CIM – GERAD Informal Systems Seminar (ISS), 2023-04-03 UCSC Applied Math Seminar, 2023-03-16 IUTAM Symposium on Optimal Guidance and Control for Autonomous Systems,	Fall 2006  Montreal (QC), Canada Santa Cruz (CA), USA Honolulu (HI), USA
MECH 100531071 <b>Dynamics</b> ,  INVITED TALKS  2024-07-05 CIM – GERAD Informal Systems Seminar (ISS), 2023-04-03 UCSC Applied Math Seminar, 2023-03-16 IUTAM Symposium on Optimal Guidance and Control for Autonomous Systems, 2022-09-23 UA Applied Math Seminar,	Fall 2006  Montreal (QC), Canada Santa Cruz (CA), USA Honolulu (HI), USA Tuscaloosa (AL), USA
MECH 100531071 Dynamics,  INVITED TALKS  2024-07-05 CIM – GERAD Informal Systems Seminar (ISS), 2023-04-03 UCSC Applied Math Seminar, 2023-03-16 IUTAM Symposium on Optimal Guidance and Control for Autonomous Systems, 2022-09-23 UA Applied Math Seminar, 2021-04-07 UA Mechanical Engineering Seminar,	Fall 2006  Montreal (QC), Canada Santa Cruz (CA), USA Honolulu (HI), USA Tuscaloosa (AL), USA Tuscaloosa (AL), USA
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MECH 100531071 Dynamics,  INVITED TALKS  2024-07-05 CIM – GERAD Informal Systems Seminar (ISS), 2023-04-03 UCSC Applied Math Seminar, 2023-03-16 IUTAM Symposium on Optimal Guidance and Control for Autonomous Systems, 2022-09-23 UA Applied Math Seminar, 2021-04-07 UA Mechanical Engineering Seminar, 2020-03-26 Arizona State University, School for Engineering of Matter, Transport and Energy 2020-03-18 University of Michigan – Dearborn, Electrical & Computer Engineering Seminar,	Fall 2006  Montreal (QC), Canada Santa Cruz (CA), USA Honolulu (HI), USA Tuscaloosa (AL), USA Tuscaloosa (AL), USA Seminar, Tempe (AZ), USA Dearborn (MI), USA
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INVITED TALKS  2024-07-05 CIM – GERAD Informal Systems Seminar (ISS), 2023-04-03 UCSC Applied Math Seminar, 2023-03-16 IUTAM Symposium on Optimal Guidance and Control for Autonomous Systems, 2022-09-23 UA Applied Math Seminar, 2021-04-07 UA Mechanical Engineering Seminar, 2020-03-26 Arizona State University, School for Engineering of Matter, Transport and Energy 2020-03-18 University of Michigan – Dearborn, Electrical & Computer Engineering Seminar, 2019-05-30 CIM – GERAD Informal Systems Seminar (ISS), 2019-05-27 Queen's University Control Theory Seminar, 2019-04-09 Center for Control, Dynamical Systems, and Computation (CCDC) Seminar, 2018-10-13 The 2018 Midwest Optimization Meeting, 2018-06-07 University College Dublin, School of Electrical and Electronic Engineering, 2018-04-25 Michigan Postdoctoral Association of the College of Engineering (MPACE) Semin 2018-01-30 Michigan Robotics Colloquium, 2017-09-08 University of California, Santa Barbara, Department of Mechanical Engineering, 2017-09-05 Stanford University, Department of Aeronautics and Astronautics, 2017-01-30 University of Michigan, Department of Mechanical Engineering, 2017-01-06 University of California, Irvine, Aeronautics, Dynamics and Control Lab Seminar	Montreal (QC), Canada Santa Cruz (CA), USA Honolulu (HI), USA Tuscaloosa (AL), USA Tuscaloosa (AL), USA Seminar, Tempe (AZ), USA Dearborn (MI), USA Montreal (QC), Canada Kingston (ON), Canada Santa Barbara (CA), USA Oxford (OH), USA Dublin, Ireland ar, Ann Arbor (MI), USA Ann Arbor (MI), USA Stanford (CA), USA Stanford (CA), USA Ann Arbor (MI), USA Irvine (CA), USA
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## SELECTED HONOURS AND AWARDS

▷ Canadian Marconi Graduate Award	2017
⊳ Automotive Partnership Canada (APC) – Natural Sciences & Engineering Research Council (NSERC)	2012 – 2016
▶ MEDA (McGill Engineering Doctoral Award)	2011 - 2014
▷ GERAD (Groupe d'Études et de Recherche en Analyse des Décisions) Doctoral Fellowship	2015
▷ Graduate Excellence Award in Engineering – McGill University	2011 – 2014
▷ IEEE-CSS (Control Systems Society) Travel Award	2014
▷ GREAT (Graduate Research Enhancement and Travel) – McGill University	2014
▶ Ranked 2 <sup>nd</sup> among 57 students in the program of Applied Mechanics, and 3 <sup>rd</sup> among 130 students	
in the M.Sc. program of Mechanical Engineering at Sharif University of Technology	2008 – 2010
▷ Ranked 1 <sup>st</sup> among 80 students in the B.Sc. program of Mechanical Engineering at Shiraz University	2004-2008

## STUDENT SUPERVISION

• Armel Koulong, Ph.D. candidate, <i>University of Alabama</i> , (simultaneous position: GNC (Guidance, Navigation and Control) Engineer at <i>Jacobs</i> )	2021 - present
• Taha Yasini, Ph.D. student, University of Alabama,	2021 - $present$
• Tyler Halterman, Ph.D. student, University of Alabama,	2022 - $present$
(simultaneous position: Controls Design Engineer at General Motors)	
• Scott Yue Guan, Ph.D. candidate (co-advising), Georgia Institute of Technology,	2019 - $present$
• Kelsey Hawkins, Ph.D. (co-advised), Georgia Institute of Technology,	2019 - 2021
(now: Research Scientist at Toyota Research Institute (TRI))	
• Dena Firoozi, Ph.D. (co-advised), McGill University,	2016 - 2017
(now: Assistant Professor at <i>HEC Montréal</i> )	
• Phillip Means, M.Sc., University of Alabama,	2021 - 2023
(now: Electronic EngineerElectronic Engineer at Defense Intelligence Agency)	
• Saiedeh Akbari, M.Sc. (co-advised), <i>University of Alabama</i> , (now: PhD Student at the <i>University of Florida</i> )	2021 - 2023

#### REVIEW SERVICES

#### Research Proposals:

- National Science Foundation (NSF)
- Nuclear Energy University Program (NEUP)

#### Journals:

- IEEE Transactions on Automatic Control (TAC),
- Automatica,
- Systems & Control Letters (SCL),
- SIAM Journal on Control and Optimization (SICON),
- IEEE Control Systems Letters (L-CSS),
- Nonlinear Analysis: Hybrid Systems (NAHS),
- IEEE Transactions on Systems, Man, and Cybernetics: Systems (SMC),
- IEEE Transactions on Control of Network Systems (CONES),
- Nonlinear Dynamics (NODY),
- Sensors MDPI

## Conferences:

- IEEE Conference on Decision and Control (CDC),
- IEEE American Control Conference (ACC),
- IFAC World Congress,
- ACM Conference on Hybrid Systems: Computation and Control (HSCC),
- IEEE European Control Conference (ECC),
- ISME International Conference on Mechanical Engineering

## SELECTED LEADERSHIP AND VOLUNTEER EXPERIENCES

Program Committee Member, The Sixteenth International Conference on Adaptive and Self-Adaptive Systems and Applications (ADAPTIVE 2024), Venice, Italy 2023 - 2024Program Committee Member, The 26th ACM International Conference on Hybrid Systems: Computation and Control (HSCC 2023), San Antonio, TX, USA 2022 - 2023Mentor, Association for Women in Science (AWIS) - University of Michigan 2017 - 2019Chair, Chapter 12 (Control Systems) - IEEE SEM (Southeast Michigan) Section 2018 Technical Judge, Emerging Research Competition in Engineering Graduate Symposium - Univ. of Michigan 2018 Seminar Coordinator, Informal Systems Seminars (ISS) - McGill University 2012 - 2017SELECTED TRAINING EXPERIENCES **Technical Research Training** 2022 SEC Conference on Artificial Intelligence, University of Florida 2021 Workshop on Distributed Learning and Multi-agent Learning, IEEE Control Systems Society 2021 Mean Field Games on Networks Workshop, Pacific Institute for the Mathematical Sciences (PIMS) 2020 ARL/UPenn/GaTech Workshop on Multi-agent Games. United States Army Research Laboratory 2020 Exploring Interplay between Dynamical Systems and Function Spaces Workshop, IEEE Control Systems Society 2019 Southeast Controls Conference, Georgia Institute of Technology 2018 Stochastic Control and its Application, IEEE Control Systems Society 2018 Midwest Optimization Meeting, Miami University 2018 Princeton Day of Optimization, Princeton University 2018 Midwest Robotics Workshop, Toyota Technological Institute at Chicago 2018 Meeting on System and Control Theory, University of Toronto 2018 Midwest Workshop on Control and Game Theory, Michigan State University 2017 Mean Field Games Workshop, Institute for Pure and Applied Mathematics, Univ. of California, Los Angeles 2016 Aerospace Summer School, Concordia University 2016 Meeting on System and Control Theory, Queen's University 2015 Workshop on Dynamic Games in Management Science, GERAD - HEC Montréal 2015 Mathematical Cybernetics: Hybrid, Stochastic and Decentralized Systems, Carlton University 2014 Symposium on Advanced Electric Vehicle Drivetrains, McGill University – IEEE 2014 Meeting on System and Control Theory, University of Waterloo Teaching Training 2018 Postdoctoral Course on College Teaching in STEM, University of Michigan 2017 Motivating Engineering Students: Strategies to Increase Engagement, University of Michigan 2017 Perspectives on Teaching: A Faculty Panel, University of Michigan 2017 Workshop on Graduate Supervision, McGill University 2011 Graduate Teaching Workshop, McGill University General Training 2022 Getting Funded by NSF: Proposal Preparation and the Merit Review Process. National Science Foundation (NSF) 2022 Overview of NSF Programs + NSF Office of International Science and Engineering (OISE), NSF 2022 Laboratory Safety + Electrical Safety + Hand and Power Tool Safety + Fire Safety and Prevention University of Alabama + Personal Protective Equipment, 2022 Equal Opportunity, Sexual Misconduct, and Title IX + Office of Disability Services, University of Alabama 2021 Cybersecurity + Protecting Privacy + FERPA: Confidentiality of Student Records, University of Alabama 2021 UA Fiscal Responsibilities + Managing Conflicts of Interest, University of Alabama 2021 Child Abuse Report Training + Hazard Communication, University of Alabama 2019 NSF Responsible Conduct of Research Research, Georgia Institute of Technology 2017 Academic Identity Management, University of Michigan 2017 Research Integrity Workshop, McGill University

2014 Workshop on Basic Business Skills for Non-Business Graduate Students, 2010 Workshop on Invention: Technology Development and Commercialization,

2016 NASA Engineering and Science Activities,

2016 Workshop on How to Organize an IEEE Event,

McGill University

IEEE Panel of Conference Organizers (POCO)

IEEE-Montreal