

# Ali Pakniyat

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## ACADEMIC APPOINTMENTS

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<b>University of Alabama</b> , Tuscaloosa, AL <i>Assistant Professor</i> , Department of Mechanical Engineering	2021 - present
<b>Georgia Institute of Technology</b> , Atlanta, USA <i>Postdoctoral Research Fellow</i> , Institute for Robotics and Intelligent Machines	2019 - 2021
<b>University of Michigan</b> , Ann Arbor, USA <i>Postdoctoral Research Fellow</i> , Department of Mechanical Engineering	2017 - 2019
<b>McGill University</b> , Montreal, Canada <i>Lecturer</i> , Department of Electrical and Computer Engineering	2016 - 2017

## EDUCATION

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<b>McGill University</b> , Montreal, Canada <i>Doctor of Philosophy</i> , Electrical Engineering	2011 – 2016
<b>Sharif University of Technology</b> , Tehran, Iran <i>Master of Science</i> , Mechanical Engineering	2008 – 2010
<b>Shiraz University</b> , Shiraz, Iran <i>Bachelor of Science</i> , Mechanical Engineering	2004 – 2008

## RESEARCH AREAS

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**Control Theory:** Optimal Control, Hybrid Systems, Stochastic Processes, Multi-Agent Systems, Mean-Field Games  
**Control Practice:** Autonomous Driving, Vehicle Electrification, Robotics, Micro Electrical-Mechanical Systems (MEMS), Mathematical Finance, Large-Scale Networks

## PUBLICATIONS

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### Patent

- [P1] B. Boulet, M. S. R. Mousavi, H. V. Alizadeh, and **A. Pakniyat**, “Seamless Transmission Systems and Methods for Electric Vehicles,” Jul. 11 2017, US Patent US 9,702,438 B2

### Published Journal Papers

- [J18] K. P. Hawkins, **A. Pakniyat**, E. Theodorou, and P. Tsiotras, “Solving Feynman-Kac Forward Backward SDEs Using McKean-Markov Branched Sampling,” *IEEE Transactions on Automatic Control*, DOI: 10.1109/TAC.2023.3349173, vol. 69, no. 9, pp. 5695–5710, 2024
- [J17] T. Yasini and **A. Pakniyat**, “Hybrid Optimal Control of a Flying+Sailing Drone: Flying with 6 and Sailing with 5 Degrees of Freedom,” *IFAC-PapersOnLine*, vol. 58, no. 11, pp. 69–74, 2024
- [J16] **A. Pakniyat**, “Theoretical Guarantees for Satisfaction of Terminal State Constraints for Nonlinear Stochastic Systems,” in *IUTAM Bookseries*. Springer Cham, 2024, vol. 40, ch. 9, pp. 1–29
- [J15] K. P. Hawkins, **A. Pakniyat**, and P. Tsiotras, “Value Function Estimators for Feynman–Kac Forward–Backward SDEs in Stochastic Optimal Control,” *Automatica*, vol. 158, p. 111281, 2023
- [J14] **A. Pakniyat** and P. E. Caines, “The Minimum Principle of Hybrid Optimal Control Theory,” *Mathematics of Control, Signals, and Systems*, pp. 1–50, 2023
- [J13] T. Yasini and **A. Pakniyat**, “Hybrid Optimal Control of a Flying+Sailing Drone,” *ASME Letters in Dynamic Systems and Control*, vol. 3, no. 3, pp. 031 008–1 – 031 008–7, 2023
- [J12] **A. Pakniyat**, “A Convex Duality Approach for Assigning Probability Distributions to the State of Nonlinear Stochastic Systems,” *IEEE Control Systems Letters*, vol. 6, pp. 3080 – 3085, 2022
- [J11] D. Firoozi, **A. Pakniyat**, and P. E. Caines, “A Class of Hybrid LQG Mean Field Games with State-Invariant Switching and Stopping Strategies,” *Automatica*, vol. 141, pp. 110 244, pp. 1–14, 2022
- [J10] **A. Pakniyat** and P. E. Caines, “On the Hybrid Minimum Principle: The Hamiltonian and Adjoint Boundary Conditions,” *IEEE Transactions on Automatic Control*, vol. 66, no. 3, pp. 1246–1253, 2021
- [J9] **A. Pakniyat** and P. E. Caines, “On the Relation between the Minimum Principle and Dynamic Programming for Classical and Hybrid Control Systems,” *IEEE Transactions on Automatic Control*, vol. 62, no. 9, pp. 4347–4362, 2017
- [J8] **A. Pakniyat** and P. E. Caines, “Hybrid Optimal Control of an Electric Vehicle with a Dual-Planetary Transmission,” *Nonlinear Analysis: Hybrid Systems*, vol. 25, pp. 263–282, 2017

- [J7] **A. Pakniyat** and P. E. Caines, “A Class of Linear Quadratic Gaussian Hybrid Optimal Control Problems with Realization–Independent Riccati Equations,” *IFAC-PapersOnLine*, vol. 50, no. 1, pp. 2241–2246, 2017
- [J6] M. S. R. Mousavi, **A. Pakniyat**, T. Wang, and B. Boulet, “Seamless Dual Brake Transmission For Electric Vehicles: Design, Control and Experiment,” *Mechanism and Machine Theory*, vol. 94, pp. 96–118, 2015
- [J5] **A. Pakniyat** and P. E. Caines, “Time Optimal Hybrid Minimum Principle and the Gear Changing Problem for Electric Vehicles,” *IFAC-PapersOnLine*, vol. 48, no. 27, pp. 187–192, 2015
- [J4] **A. Pakniyat** and P. E. Caines, “On the Relation between the Hybrid Minimum Principle and Hybrid Dynamic Programming: A Linear Quadratic Example,” *IFAC-PapersOnLine*, vol. 48, no. 27, pp. 169–174, 2015
- [J3] **A. Pakniyat** and P. E. Caines, “On the Minimum Principle and Dynamic Programming for Hybrid Systems,” *IFAC Proceedings Volumes*, vol. 47, no. 3, pp. 9629–9634, 2014
- [J2] **A. Pakniyat** and H. Salarieh, “A Parametric Study on Design of a Microrate-Gyroscope with Parametric Resonance,” *Measurement*, vol. 46, no. 8, pp. 2661–2671, 2013
- [J1] **A. Pakniyat**, H. Salarieh, and A. Alasty, “Stability Analysis of a New Class of MEMS Gyroscopes with Parametric Resonance,” *Acta Mechanica*, vol. 223, no. 6, pp. 1169–1185, 2012

#### Published Conference Papers

- [C17] Y. Guan, M. Zhou, **A. Pakniyat**, and P. Tsiotras, “Shaping Large Population Agent Behaviors Through Entropy-Regularized Mean-Field Games,” pp. 4429–4435, 2022
- [C16] **A. Pakniyat** and P. Tsiotras, “Partially Observed Steering the State of Linear Stochastic Systems,” in *Proceedings of the IEEE Conference on Decision and Control, Austin, USA*, 2021, pp. 3780–3785
- [C15] K. P. Hawkins, **A. Pakniyat**, and P. Tsiotras, “On the Time Discretization of the Feynman-Kac Forward-Backward Stochastic Differential Equations for Value Function Approximation,” in *Proceedings of the IEEE Conference on Decision and Control, Austin, USA*, 2021, pp. 892–897
- [C14] K. P. Hawkins, **A. Pakniyat**, E. Theodorou, and P. Tsiotras, “Forward-Backward Rapidly-Exploring Random Trees for Stochastic Optimal Control,” in *Proceedings of the IEEE Conference on Decision and Control, Austin, USA*, 2021, pp. 912–917
- [C13] **A. Pakniyat** and P. Tsiotras, “Steering the State of Linear Stochastic Systems: A Constrained Minimum Principle Formulation,” in *Proceedings of the IEEE American Control Conference, New Orleans, USA*, 2021, pp. 1300–1305
- [C12] **A. Pakniyat** and R. Vasudevan, “A Convex Duality Approach to Optimal Control of Killed Markov Processes,” in *Proceedings of the 58th IEEE Conference on Decision and Control, Nice, France*, 2019, pp. 8216–8223
- [C11] D. Firoozi, **A. Pakniyat**, and P. E. Caines, “A Mean Field Game - Hybrid Systems Approach to Optimal Execution Problems in Finance with Stopping Times,” in *Proceedings of the 56th IEEE Conference on Decision and Control, Melbourne, Australia*, 2017, pp. 433–441
- [C10] **A. Pakniyat** and P. E. Caines, “On the Stochastic Minimum Principle for Hybrid Systems,” in *Proceedings of the 55th IEEE Conference on Decision and Control, Las Vegas, NV, USA*, 2016, pp. 1139–1144
- [C9] **A. Pakniyat** and P. E. Caines, “On the Minimum Principle and Dynamic Programming for Hybrid Systems with Low Dimensional Switching Manifolds,” in *Proceedings of the 54th IEEE Conference on Decision and Control, Osaka, Japan*, 2015, pp. 2567–2573
- [C8] M. S. R. Mousavi, **A. Pakniyat**, M. K. Helwa, and B. Boulet, “Observer-Based Backstepping Controller Design for Gear Shift Control of a Seamless Clutchless Two-Speed Transmission for Electric Vehicles,” in *Proceedings of the IEEE Vehicle Power and Propulsion Conference (VPPC), Montreal, QC, Canada*, 2015, pp. 1–6
- [C7] **A. Pakniyat** and P. E. Caines, “On the Relation between the Minimum Principle and Dynamic Programming for Hybrid Systems,” in *Proceedings of the IEEE Conference on Decision and Control, Los Angeles, USA*, 2014, pp. 19–24
- [C6] **A. Pakniyat** and P. E. Caines, “The Gear Selection Problem for Electric Vehicles: An Optimal Control Formulation,” in *Proceedings of the 13th International Conference on Control Automation Robotics & Vision ICARCV, Marina Bay Sands, Singapore*. IEEE, 2014, pp. 1261–1266
- [C5] M. S. R. Mousavi, **A. Pakniyat**, and B. Boulet, “Dynamic Modeling and Controller Design for a Seamless Two-Speed Transmission for Electric Vehicles,” in *Proceedings of the 2014 IEEE Conference on Control Applications, Antibes, France*, 2014, pp. 635–640
- [C4] **A. Pakniyat** and P. E. Caines, “The Hybrid Minimum Principle in the Presence of Switching Costs,” in *Proceedings of the 52nd IEEE Conference on Decision and Control, Florence, Italy*, 2013, pp. 3831–3836
- [C3] **A. Pakniyat**, H. Salarieh, G. Vossoughi, and A. Alasty, “A Modification on Performance of MEMS Gyroscopes by Parametro-Harmonic Excitation,” in *Proceedings of the 10th ASME Biennial Conference on Engineering Systems Design and Analysis, Istanbul, Turkey*, 2010, pp. 433–441
- [C2] **A. Pakniyat**, H. Salarieh, and A. Alasty, “Stability Analysis of a Novel MEMS Gyroscope Actuated by Parametric Resonance Using Floquet Theory,” in *Proceedings of the 3rd Conference on Nano-Structures, Kish Island, Persian Gulf, Iran*, 2010, pp. 1219–1221
- [C1] **A. Pakniyat** and M. Eghtesad, “Solving Differential Equations using Wavelet Transform,” in *Proceedings of the 17th Annual International Conference on Mechanical Engineering, Tehran, Iran*, 2010, pp. 1–6, (in Persian)

## TEACHING EXPERIENCES

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### Course Lecturer

UNIVERSITY OF ALABAMA,

ME 591 **Nonlinear Optimal Control**,  
ME 577 **Advanced Linear Control**,  
ME 562 **Intermediate Dynamics**,  
ME 490 **Mechanical Engineering Design II**,  
ME 489 **Mechanical Engineering Design I**,  
ME 372 **Dynamic Systems**,

McGILL UNIVERSITY,

ECSE 493 **Control and Robotics Laboratory**,  
ECSE 205 **Probability and Statistics for Engineers**,

### Teaching Assistant

McGILL UNIVERSITY,

ECSE 516 **Nonlinear and Hybrid Control Systems**,  
ECSE 506 **Stochastic Control and Decision Theory**,  
ECSE 500 **Mathematical Foundations of Systems**,  
ECSE 493 **Control and Robotics Laboratory**,  
ECSE 443 **Introduction to Numerical Methods in Electrical Engineering**,  
ECSE 404 **Control Systems**,

SHARIF UNIVERSITY OF TECHNOLOGY,

MECH 28586 **Robust Control**,  
MECH 28416 **Automatic Control**,

SHIRAZ UNIVERSITY,

MECH 100531241 **Mechanical Vibrations**,  
MECH 100531221 **Dynamics of Machinery**,  
MECH 100531171 **Machine Design II**,  
MECH 100531161 **Machine Design I**,  
MECH 100531071 **Dynamics**,

COURSE INSTRUCTOR

Spring 2023  
Fall 2022, Spring 2024  
Fall 2024  
Spring 2024  
Fall 2023  
Fall 2021

COURSE INSTRUCTOR

Winter 2016, 2017  
Fall 2016, Winter 2017

TEACHING ASSISTANT

Winter 2013, 2017  
Winter 2014  
Fall 2013, 2015  
Winter 2014, 2015  
Winter 2014, 2015  
Fall 2013, 2014, 2015, 2016

TEACHING ASSISTANT

Fall 2010  
Spring 2010, Fall 2010

TEACHING ASSISTANT

Spring 2008  
Spring 2008  
Fall 2007  
Spring 2007  
Fall 2006

## INVITED TALKS

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2024-07-05 CIM – GERAD Informal Systems Seminar (ISS),	Montreal (QC), Canada
2023-04-03 UCSC Applied Math Seminar,	Santa Cruz (CA), USA
2023-03-16 IUTAM Symposium on Optimal Guidance and Control for Autonomous Systems,	Honolulu (HI), USA
2022-09-23 UA Applied Math Seminar,	Tuscaloosa (AL), USA
2021-04-07 UA Mechanical Engineering Seminar,	Tuscaloosa (AL), USA
2020-03-26 Arizona State University, School for Engineering of Matter, Transport and Energy Seminar, Tempe (AZ), USA	
2020-03-18 University of Michigan – Dearborn, Electrical & Computer Engineering Seminar,	Dearborn (MI), USA
2019-05-30 CIM – GERAD Informal Systems Seminar (ISS),	Montreal (QC), Canada
2019-05-27 Queen's University Control Theory Seminar,	Kingston (ON), Canada
2019-04-09 Center for Control, Dynamical Systems, and Computation (CCDC) Seminar,	Santa Barbara (CA), USA
2018-10-13 The 2018 Midwest Optimization Meeting,	Oxford (OH), USA
2018-06-07 University College Dublin, School of Electrical and Electronic Engineering,	Dublin, Ireland
2018-04-25 Michigan Postdoctoral Association of the College of Engineering (MPACE) Seminar,	Ann Arbor (MI), USA
2018-01-30 Michigan Robotics Colloquium,	Ann Arbor (MI), USA
2017-09-08 University of California, Santa Barbara, Department of Mechanical Engineering,	Santa Barbara (CA), USA
2017-09-05 Stanford University, Department of Aeronautics and Astronautics,	Stanford (CA), USA
2017-01-30 University of Michigan, Department of Mechanical Engineering,	Ann Arbor (MI), USA
2017-01-06 University of California, Irvine, Aeronautics, Dynamics and Control Lab Seminar	Irvine (CA), USA
2016-07-21 IEEE Montreal – Concordia University,	Montreal (QC), Canada
2016-05-11 The 7th Biannual Meeting on System and Control Theory,	Kingston (ON), Canada
2014-05-05 The 6th Biannual Meeting on System and Control Theory,	Waterloo (ON), Canada

## SELECTED HONOURS AND AWARDS

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▷ Canadian Marconi Graduate Award	2017
▷ Automotive Partnership Canada (APC) – Natural Sciences & Engineering Research Council (NSERC)	2012–2016
▷ MEDA (McGill Engineering Doctoral Award)	2011–2014
▷ GERAD (Groupe d'Études et de Recherche en Analyse des Décisions) Doctoral Fellowship	2015
▷ Graduate Excellence Award in Engineering – McGill University	2011–2014
▷ IEEE-CSS (Control Systems Society) Travel Award	2014
▷ GREAT (Graduate Research Enhancement and Travel) – McGill University	2014
▷ Ranked 2 <sup>nd</sup> among 57 students in the program of Applied Mechanics, and 3 <sup>rd</sup> among 130 students in the M.Sc. program of Mechanical Engineering at Sharif University of Technology	2008–2010
▷ Ranked 1 <sup>st</sup> among 80 students in the B.Sc. program of Mechanical Engineering at Shiraz University	2004–2008

## STUDENT SUPERVISION

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• <b>Armél Kouloug</b> , Ph.D. candidate, <i>University of Alabama</i> , (simultaneous position: GNC (Guidance, Navigation and Control) Engineer at <i>Jacobs</i> )	2021 - present
• <b>Taha Yasini</b> , Ph.D. student, <i>University of Alabama</i> ,	2021 - present
• <b>Tyler Halterman</b> , Ph.D. student, <i>University of Alabama</i> , (simultaneous position: Controls Design Engineer at <i>General Motors</i> )	2022 - present
• <b>Scott Yue Guan</b> , Ph.D. candidate (co-advising), <i>Georgia Institute of Technology</i> ,	2019 - present
• <b>Kelsey Hawkins</b> , Ph.D. (co-advised), <i>Georgia Institute of Technology</i> , (now: Research Scientist at <i>Toyota Research Institute (TRI)</i> )	2019 - 2021
• <b>Dena Firoozi</b> , Ph.D. (co-advised), <i>McGill University</i> , (now: Assistant Professor at <i>HEC Montréal</i> )	2016 - 2017
• <b>Phillip Means</b> , M.Sc. , <i>University of Alabama</i> , (now: Electronic Engineer/Electronic Engineer at <i>Defense Intelligence Agency</i> )	2021 - 2023
• <b>Saiedeh Akbari</b> , M.Sc. (co-advised), <i>University of Alabama</i> , (now: PhD Student at the <i>University of Florida</i> )	2021 - 2023

## REVIEW SERVICES

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### Research Proposals:

- National Science Foundation (NSF)
- Nuclear Energy University Program (NEUP)

### Journals:

- IEEE Transactions on Automatic Control (TAC),
- Automatica,
- Systems & Control Letters (SCL),
- SIAM Journal on Control and Optimization (SICON),
- IEEE Control Systems Letters (L-CSS),
- Nonlinear Analysis: Hybrid Systems (NAHS),
- IEEE Transactions on Systems, Man, and Cybernetics: Systems (SMC),
- IEEE Transactions on Control of Network Systems (CONES),
- Nonlinear Dynamics (NODY),
- Sensors - MDPI

### Conferences:

- IEEE Conference on Decision and Control (CDC),
- IEEE American Control Conference (ACC),
- IFAC World Congress,
- ACM Conference on Hybrid Systems: Computation and Control (HSCC),
- IEEE European Control Conference (ECC),
- ISME International Conference on Mechanical Engineering

## SELECTED LEADERSHIP AND VOLUNTEER EXPERIENCES

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**Program Committee Member**, The Sixteenth International Conference on Adaptive and Self-Adaptive Systems and Applications (ADAPTIVE 2024), Venice, Italy 2023 – 2024  
**Program Committee Member**, The 26th ACM International Conference on Hybrid Systems: Computation and Control (HSCC 2023), San Antonio, TX, USA 2022 – 2023  
**Mentor**, Association for Women in Science (AWIS) - University of Michigan 2017 – 2019  
**Chair**, Chapter 12 (Control Systems) - IEEE SEM (Southeast Michigan) Section 2018  
**Technical Judge**, Emerging Research Competition in Engineering Graduate Symposium - Univ. of Michigan 2018  
**Seminar Coordinator**, Informal Systems Seminars (ISS) - McGill University 2012 – 2017

## SELECTED TRAINING EXPERIENCES

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### Technical Research Training

2022 *SEC Conference on Artificial Intelligence*, University of Florida  
2021 *Workshop on Distributed Learning and Multi-agent Learning*, IEEE Control Systems Society  
2021 *Mean Field Games on Networks Workshop*, Pacific Institute for the Mathematical Sciences (PIMS)  
2020 *ARL/UPenn/GaTech Workshop on Multi-agent Games*, United States Army Research Laboratory  
2020 *Exploring Interplay between Dynamical Systems and Function Spaces Workshop*, IEEE Control Systems Society  
2019 *Southeast Controls Conference*, Georgia Institute of Technology  
2018 *Stochastic Control and its Application*, IEEE Control Systems Society  
2018 *Midwest Optimization Meeting*, Miami University  
2018 *Princeton Day of Optimization*, Princeton University  
2018 *Midwest Robotics Workshop*, Toyota Technological Institute at Chicago  
2018 *Meeting on System and Control Theory*, University of Toronto  
2018 *Midwest Workshop on Control and Game Theory*, Michigan State University  
2017 *Mean Field Games Workshop*, Institute for Pure and Applied Mathematics, Univ. of California, Los Angeles  
2016 *Aerospace Summer School*, Concordia University  
2016 *Meeting on System and Control Theory*, Queen's University  
2015 *Workshop on Dynamic Games in Management Science*, GERAD – HEC Montréal  
2015 *Mathematical Cybernetics: Hybrid, Stochastic and Decentralized Systems*, Carlton University  
2014 *Symposium on Advanced Electric Vehicle Drivetrains*, McGill University – IEEE  
2014 *Meeting on System and Control Theory*, University of Waterloo

### Teaching Training

2018 *Postdoctoral Course on College Teaching in STEM*, University of Michigan  
2017 *Motivating Engineering Students: Strategies to Increase Engagement*, University of Michigan  
2017 *Perspectives on Teaching: A Faculty Panel*, University of Michigan  
2017 *Workshop on Graduate Supervision*, McGill University  
2011 *Graduate Teaching Workshop*, McGill University

### General Training

2022 *Getting Funded by NSF: Proposal Preparation and the Merit Review Process*, National Science Foundation (NSF)  
2022 *Overview of NSF Programs + NSF Office of International Science and Engineering (OISE)*, NSF  
2022 *Laboratory Safety + Electrical Safety + Hand and Power Tool Safety + Fire Safety and Prevention + Personal Protective Equipment*, University of Alabama  
2022 *Equal Opportunity, Sexual Misconduct, and Title IX + Office of Disability Services*, University of Alabama  
2021 *Cybersecurity + Protecting Privacy + FERPA: Confidentiality of Student Records*, University of Alabama  
2021 *UA Fiscal Responsibilities + Managing Conflicts of Interest*, University of Alabama  
2021 *Child Abuse Report Training + Hazard Communication*, University of Alabama  
2019 *NSF Responsible Conduct of Research Research*, Georgia Institute of Technology  
2017 *Academic Identity Management*, University of Michigan  
2017 *Research Integrity Workshop*, McGill University  
2016 *NASA Engineering and Science Activities*, IEEE–Montreal  
2016 *Workshop on How to Organize an IEEE Event*, IEEE Panel of Conference Organizers (POCO)  
2014 *Workshop on Basic Business Skills for Non-Business Graduate Students*, McGill University  
2010 *Workshop on Invention: Technology Development and Commercialization*, University of Southern California and Sharif University of Technology