(R) Sump=6[x]; Core 2 Cache - update ži Tripararijum RAM มู่อห่อรูโภพ เป็นของอุทธ PEU bind (RISMAON) Concurrency bivon นทางานทุกth read Condition sowalie an Result baimso นานจิ้นอีกล้าย สรุปองกุโท้เร็ว Condition Race Faster bod w worker oroon synchonization qual Horlina ploblen

#### Motivation

- Operating systems (and application programs)
   often need to be able to handle multiple things
   happening at the same time
  - Process execution, interrupts, background tasks, system maintenance
- Humans are not very good at keeping track of multiple things happening simultaneously
- Threads are an abstraction to help bridge this gap

# Why Concurrency?

- Servers
  - Multiple connections handled simultaneously
- Parallel programs
  - To achieve better performance
- Programs with user interfaces
  - To achieve user responsiveness while doing computation
- Network and disk bound programs
  - To hide network/disk latency

#### **Definitions**

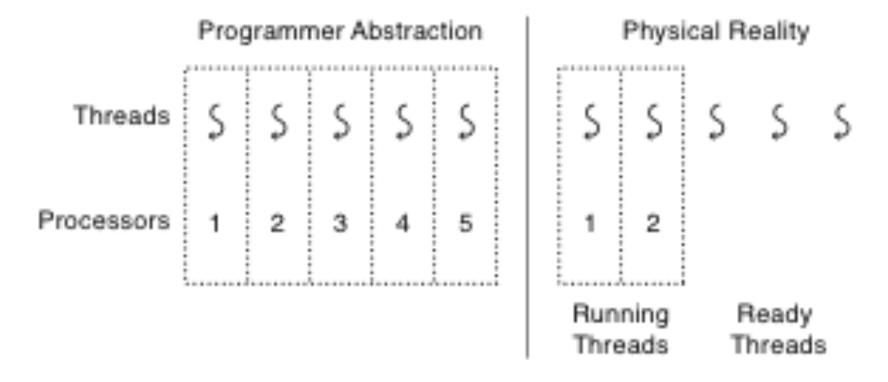
- A thread is a single execution sequence that represents a separately schedulable task
  - Single execution sequence: familiar programming model
  - Separately schedulable: OS can run or suspend a thread at any time
- Protection is an orthogonal concept
  - Can have one or many threads per protection domain

#### Threads in the Kernel and at User-Level

- Multi-threaded kernel
  - multiple threads, sharing kernel data structures, capable of using privileged instructions
- Multiprocess kernel
  - Multiple single-threaded processes
  - System calls access shared kernel data structures
- Multiple multi-threaded user processes
  - Each with multiple threads, sharing same data structures, isolated from other user processes

#### **Thread Abstraction**

- Infinite number of processors
- Threads execute with variable speed
  - Programs must be designed to work with any schedule



## Programmer vs. Processor View

```
Programmer's
                         Possible
                                                Possible
                                                                      Possible
     View
                         Execution
                                               Execution
                                                                      Execution
                                                    #2
                             #1
                                  5 v :
                                             Thread is suspended.
                                                                   Thread is suspended.
                                             Other thread(s) run.
                                                                    Other thread(s) run.
                                              Thread is resumed.
                                                                    Thread is resumed.
```

z = x + 5y:

z = x + 5y:

## **Possible Executions**

| One Execution     | Another Execution |
|-------------------|-------------------|
| Thread 1          | Thread 1          |
| Thread 2          | Thread 2          |
| Thread 3          | Thread 3          |
| Another Execution |                   |
| Thread 1          |                   |
| Thread 2          |                   |
| Thread 3          |                   |

## **Thread Operations**

- thread\_create(thread, func, args)
  - Create a new thread to run func(args)
- thread yield()
  - Relinquish processor voluntarily
- thread\_join(thread)
  - In parent, wait for forked thread to exit, then return
- thread\_exit
  - Quit thread and clean up, wake up joiner if any

## Example: threadHello

```
#define NTHREADS 10
thread t threads[NTHREADS];
main() {
  for (i = 0; i < NTHREADS; i++) thread_create(&threads[i], &go, i);
  for (i = 0; i < NTHREADS; i++) {
    exitValue = thread_join(threads[i]);
    printf("Thread %d returned with %ld\n", i, exitValue);
  printf("Main thread done.\n");
void go (int n) {
  printf("Hello from thread %d\n", n);
  thread_exit(100 + n);
  // REACHED?
```

## threadHello: Example Output

- Why must "thread returned" print in order?
- What is maximum # of threads running when thread 5 prints hello?
- Minimum?

```
bash-3.2$ ./threadHello
Hello from thread 0
Hello from thread 1
Thread 0 returned 100
Hello from thread 3
Hello from thread 4
Thread 1 returned 101
Hello from thread 5
Hello from thread 2
Hello from thread 6
Hello from thread 8
Hello from thread 7
Hello from thread 9
Thread 2 returned 102
Thread 3 returned 103
Thread 4 returned 104
Thread 5 returned 105
Thread 6 returned 106
Thread 7 returned 107
Thread 8 returned 108
Thread 9 returned 109
Main thread done.
```

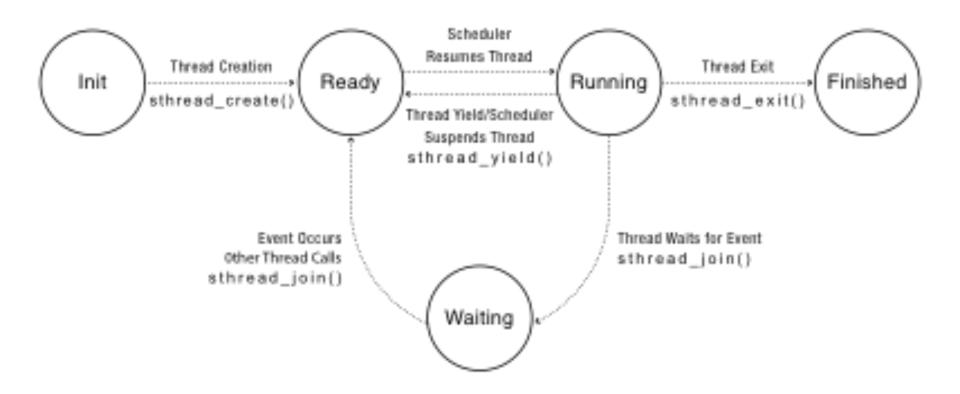
# Fork/Join Concurrency

- Threads can create children, and wait for their completion
- Data only shared before fork/after join
- Examples:
  - Web server: fork a new thread for every new connection
    - As long as the threads are completely independent
  - Merge sort
  - Parallel memory copy

#### Thread Data Structures

Thread 1's Shared Thread 2's Per-Thread State Per-Thread State State Thread Control Thread Control Block (TCB) Block (TCB) Code Stack Stack Information Information Saved Saved Registers Registers Global Variables Thread Thread Metadata Metadata Stack Stack Heap

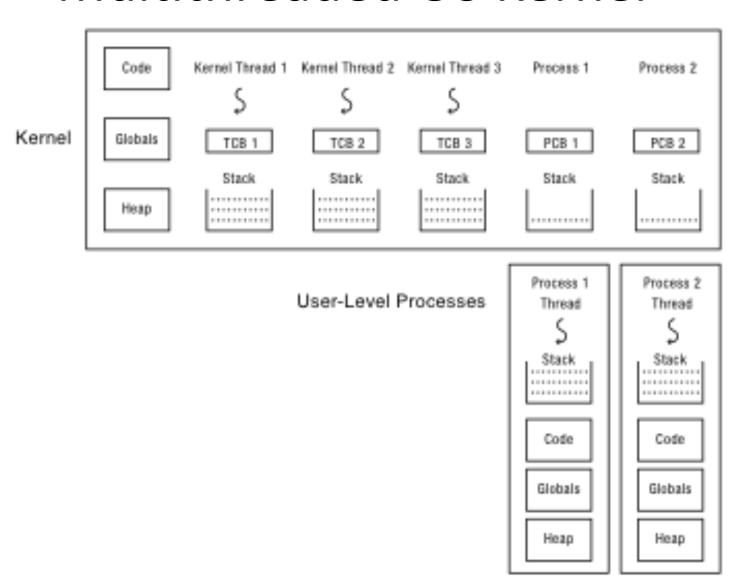
# Thread Lifecycle



# Implementing Threads: Roadmap

- Kernel threads
  - Thread abstraction only available to kernel
  - To the kernel, a kernel thread and a single threaded user process look quite similar
- Multithreaded processes using kernel threads (Linux, MacOS)
  - Kernel thread operations available via syscall
- User-level threads
  - Thread operations without system calls

### Multithreaded OS Kernel



## Implementing threads

- Thread\_fork(func, args)
  - Allocate thread control block
  - Allocate stack
  - Build stack frame for base of stack (stub)
  - Put func, args on stack
  - Put thread on ready list
  - Will run sometime later (maybe right away!)
- stub(func, args): OS/161 mips\_threadstart
  - Call (\*func)(args)
  - If return, call thread\_exit()

#### Thread Stack

- What if a thread puts too many procedures on its stack?
  - What happens in Java?
  - What happens in the Linux kernel?
  - What happens in OS/161?
  - What should happen?

#### **Thread Context Switch**

- Voluntary
  - Thread\_yield
  - Thread\_join (if child is not done yet)
- Involuntary
  - Interrupt or exception
  - Some other thread is higher priority

## Voluntary thread context switch

- Save registers on old stack
- Switch to new stack, new thread
- Restore registers from new stack
- Return
- Exactly the same with kernel threads or user threads

# OS/161 switchframe\_switch

```
/* a0: old thread stack pointer
 * a1: new thread stack pointer */
/* Allocate stack space for 10 registers. */
 addi sp, sp, -40
 /* Save the registers */
 sw ra, 36(sp)
 sw gp, 32(sp)
 sw s8, 28(sp)
 sw s6, 24(sp)
 sw s5, 20(sp)
 sw s4, 16(sp)
 sw s3, 12(sp)
 sw s2, 8(sp)
 sw s1, 4(sp)
 sw s0, 0(sp)
 /* Store old stack pointer in old thread */
 sw sp, 0(a0)
```

```
/* Get new stack pointer from new thread */
 lw sp, 0(a1)
           /* delay slot for load */
 nop
/* Now, restore the registers */
 Iw s0, 0(sp)
 lw s1, 4(sp)
 Iw s2, 8(sp)
 lw s3, 12(sp)
 lw s4, 16(sp)
 lw s5, 20(sp)
 lw s6, 24(sp)
 lw s8, 28(sp)
 lw gp, 32(sp)
 lw ra, 36(sp)
               /* delay slot for load */
 nop
 /* and return. */
 j ra
 addi sp. sp. 40 /* in delay slot */
```

## x86 switch\_threads

```
# Change stack pointer to new
# Save caller's register state
                                       thread's stack
# NOTE: %eax, etc. are ephemeral
                                    # this also changes currentThread
pushl %ebx
                                    movl SWITCH_NEXT(%esp), %ecx
pushl %ebp
                                    movl (%ecx,%edx,1), %esp
pushl %esi
pushl %edi
                                    # Restore caller's register state.
                                    popl %edi
# Get offsetof (struct thread, stack)
                                    popl %esi
mov thread_stack_ofs, %edx
                                    popl %ebp
# Save current stack pointer to old
   thread's stack, if any.
                                    popl %ebx
movl SWITCH_CUR(%esp), %eax
                                    ret
movl %esp, (%eax,%edx,1)
```

## A Subtlety

- Thread\_create puts new thread on ready list
- When it first runs, some thread calls switchframe
  - Saves old thread state to stack
  - Restores new thread state from stack
- Set up new thread's stack as if it had saved its state in switchframe
  - "returns" to stub at base of stack to run func

#### Two Threads Call Yield

Thread 1's instructions

"return" from thread\_switch into stub call go call thread\_yield choose another thread call thread\_switch save thread 1 state to TCB load thread 2 state Thread 2's instructions

"return" from thread\_switch
into stub
call go
call thread\_yield
choose another thread
call thread\_switch
save thread 2 state to TCB
load thread 1 state

Processor's instructions

"return" from thread\_switch into stub call go call thread\_yield

choose another thread

call thread\_switch

save thread 1 state to TCB

load thread 2 state

"return" from thread\_switch into stub

call go

call thread\_yield

choose another thread

call thread\_switch

save thread 2 state to TCB

load thread 1 state

return from thread\_switch

return from thread\_yield

call thread\_yield

choose another thread

call thread\_switch

return from thread\_switch return from thread\_yield call thread\_yield choose another thread call thread\_switch

# Involuntary Thread/Process Switch

- Timer or I/O interrupt
  - Tells OS some other thread should run
- Simple version (OS/161)
  - End of interrupt handler calls switch()
  - When resumed, return from handler resumes kernel thread or user process
  - Thus, processor context is saved/restored twice
     (once by interrupt handler, once by thread switch)

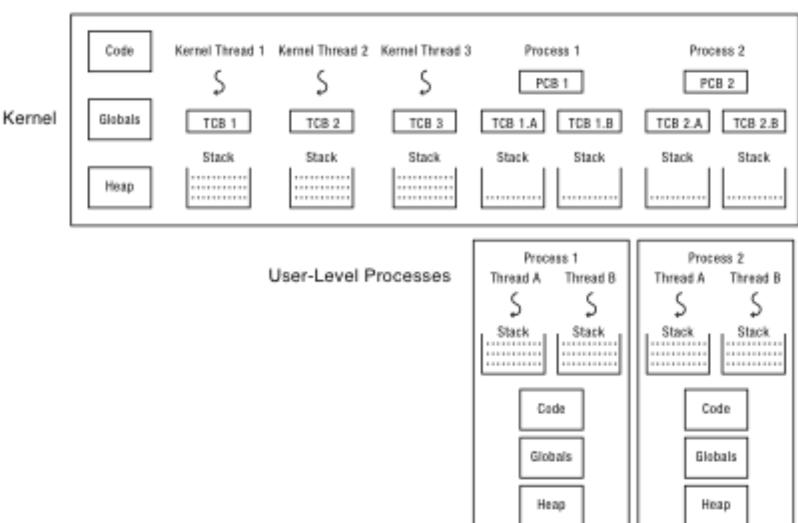
# Faster Thread/Process Switch

- What happens on a timer (or other) interrupt?
  - Interrupt handler saves state of interrupted thread
  - Decides to run a new thread
  - Throw away current state of interrupt handler!
  - Instead, set saved stack pointer to trapframe
  - Restore state of new thread
  - On resume, pops trapframe to restore interrupted thread

## Multithreaded User Processes (Take 1)

- User thread = kernel thread (Linux, MacOS)
  - System calls for thread fork, join, exit (and lock, unlock,...)
  - Kernel does context switch
  - Simple, but a lot of transitions between user and kernel mode

# Multithreaded User Processes (Take 1)



## Multithreaded User Processes (Take 2)

- Green threads (early Java)
  - User-level library, within a single-threaded process
  - Library does thread context switch
  - Preemption via upcall/UNIX signal on timer interrupt
  - Use multiple processes for parallelism
    - Shared memory region mapped into each process

## Multithreaded User Processes (Take 3)

- Scheduler activations (Windows 8)
  - Kernel allocates processors to user-level library
  - Thread library implements context switch
  - Thread library decides what thread to run next
- Upcall whenever kernel needs a user-level scheduling decision
  - Process assigned a new processor
  - Processor removed from process
  - System call blocks in kernel

## Question

 Compare event-driven programming with multithreaded concurrency. Which is better in which circumstances, and why?