ESC101: Introduction to Computing

50sting

Sorting

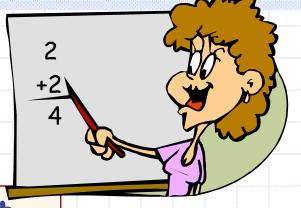
- *Given a list of integers (in an array), arrange them in ascending order.
 - Or descending order

INPUT ARRAY	5	6	2	3	1	4	
OUTPUT ARRAY	1	2	3	4	5	6	

- Sorting is an extremely important problem in computer science.
 - A common problem in everyday life.
 - Example:
 - Contact list on your phone.
 - Ordering marks before assignment of grades.

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What's easy to do in a Sorted Array?



Clearly, searching for a key is fast.

Rank Queries: find the kth largest/smallest value. Quantile: 90%ile—the key value in the array such that 10% of the numbers are larger than it.

90 92	85	80	75	70	60	55	50	40	~
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Marks in an exam: sorted

90 percentile: 90 80 percentile: 85 10 percentile: 40 50 percentile: 70 (also called median)

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Sorted array have difficulty with

- inserting a new element while preserving the sorted structure.
- * deleting an existing element (while preserving the sorted structure.
- * In both cases, there may be need to shift elements to the right or left of the index corresponding to insertion or deletion.



- 40 50 55 60 65 70 75 80 85 90 92
- 2. Shift right from index 5 to create space.
- May have to shift n-1 elements in the worst case.

3. Insert 65

Sorting

- Many well known sorting Algorithms
 - Selection sort
 - Merge sort
 - Quick sort
 - Bubble sort
 - **...**
- Special cases also exist for specific problems/data sets
- Different runtime
- * Different memory requirements

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Selection Sort

- *Select the largest element in your array and swap it with the first element of the array.
- *Consider the sub-array from the second element to the last, as your current array and repeat Step 1.
- *Stop when the array has only one element.
 - Base case, trivially sorted

Selection Sort: Pseudo code

```
selection_sort(a, start, end) {
  if (start == end) /* base case, one elt => sorted */
    return;

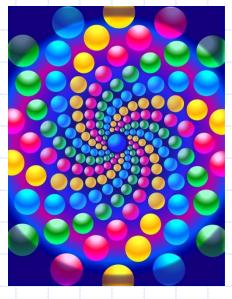
idx_max = find_idx_of_max_elt(a, start, end);
  swap(a, idx_max, start);
  selection_sort(a, start+1, end);
}
```

```
swap(a, i, j) {
    tmp = a[i];
    a[i] = a[j];
    a[j] = tmp;
}
```

```
main() {
    arr[] = { 5, 6, 2, 3, 1, 4 };
    selection_sort(arr, 0, 5);
    /* print arr */
}
```

Selection Sort: Properties

- *Is the pseudo code iterative or recursive?
- What is the estimated run time when input array has n elements
 - for swap Constant
 - for find_idx_of_max_elt ∝ n
 - for selection_sortOn next slide
- ◆Practice: Write C code for iterative and recursive versions of selection sort.



Selection Sort: Time Estimate

Recurrence

$$T(n) = T(n-1) + k_1 \times n + k_2$$

Solution

$$T(n) \propto n(n+1)$$

Or simply

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 $T(n) \propto n^2$

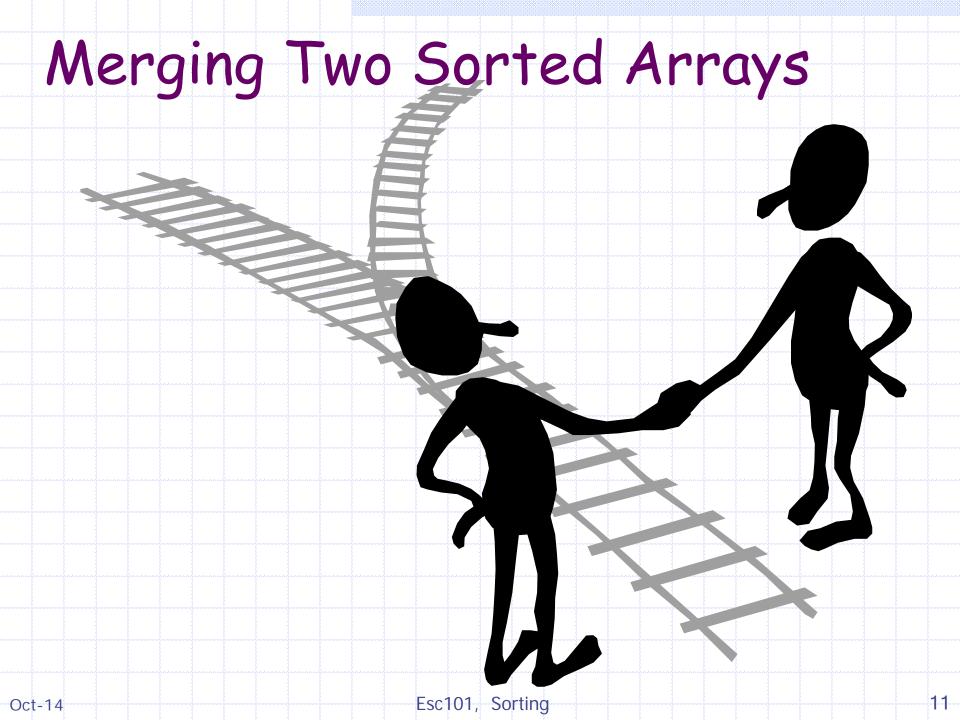
Selection sort runs in time proportional to the square of the size of the array to be sorted.

Can we do

better?
YES WE CAN

Merging Two Sorted Arrays

- ◆Input: Array A of size n & array B of size m.
- *Create an empty array C of size n + m.
- Variables i , j and k
 - array variables for the arrays A, B and C resp.
- At each iteration
 - compare the ith element of A (say u) with the jth element of B (say v)
 - if u is smaller, copy u to C; increment i and k,
 - otherwise, copy v to C; increment j and k,



Time Estimate

- Number of steps $\propto 3(n + m)$.
 - The constant 3 is not very important as it does not vary with different sized arrays.
- Now suppose A and B are halves of an array of size n (both have size n/2).
- ♦ Number of steps = 3n.

 $T(n) \propto n$

MergeSort

- Merge function can be used to sort an array
 - recursively!
- Given an array C of size n to sort
 - Divide it into Arrays A and B of size n/2 each (approx.)
 - Sort A into A' using MergeSort Recursive calls.

Base case?

 $n \leftarrow 1$

- Sort B into B' using MergeSort
- Merge A' and B' to give $C' \equiv C$ sorted
- Can we reduce #of extra arrays (A', B', C')?

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```
/*Sort ar[start, ..., start+n-1] in place */
void merge_sort(int ar[], int start, int n) {
   if (n>1) {
     int half = n/2:
     merge_sort(ar, start, half);
     merge_sort(ar, start+half, n-half);
     merge(ar, start, n);
         int main() {
           int arr[]={2,5,4,8,6,9,8,6,1,4,7};
           merge_sort(arr,0,11);
           /* print array */
           return 0;
```

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```
void merge(int ar[], int start, int n) {
  int temp[MAX_SZ], k, i=start, j=start+n/2;
  int lim_i = start+n/2, lim_j = start+n;
 for(k=0; k<n; k++) {
    if ((i < lim_i) && (j < lim_j)) {// both active
       if (ar[i] <= ar[j]) { temp[k] = ar[i]; i++; }
       else { temp[k] = ar[j]; j++; }
    } else if (i == lim_i) // 1st half done
       \{ temp[k] = ar[j]; j++; \} // copy 2<sup>nd</sup> half
    else // 2<sup>nd</sup> half done
       { temp[k] = ar[i]; i++; } // copy 1st half
 for (k=0; k<n; k++)
    ar[start+k]=temp[k]; // in-place
```

Time Estimate

```
void merge_sort(int a[], int s, int n) { T(n)
   if (n>1) {
     int h = n/2;
     merge_sort(a, s, h);
                                           T(n/2)
      merge_sort(a, s+h, n-h);
                                           T(n-n/2)\approx T(n/2)
     merge(a, s, n);
                                           \approx 4n
```

Time Estimate

```
T(n) = 2T(n/2) + 4n
    = 2(2T(n/4) + 4n/2) + 4n = 2^2T(n/4) + 8n
    = 2^{2}(2T(n/8) + 4n/4) + 4n = 2^{3}T(n/8) + 12n
    = ... // keep going for k steps
    = 2^{k}T(n/2^{k}) + k*4n
```

Assume
$$n = 2^k$$
 for some k. Then,
$$T(n) = n^*T(1) + 4n^*\log_2 n$$

$$T(n) \propto n \log_2 n$$

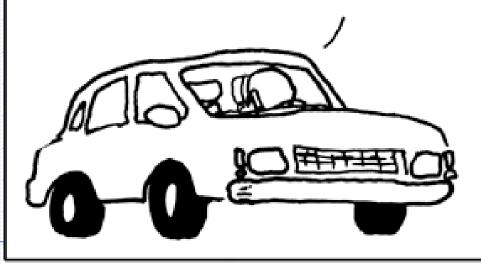
Order Notation

Why worry about O(n) vs O(n²) vs O(...) algo?

I'M JUST OUTSIDE TOWN, SO I SHOULD BE THERE IN FIFTEEN MINUTES.

ACTUALLY, IT'S LOOKING MORE LIKE SIX DAYS.

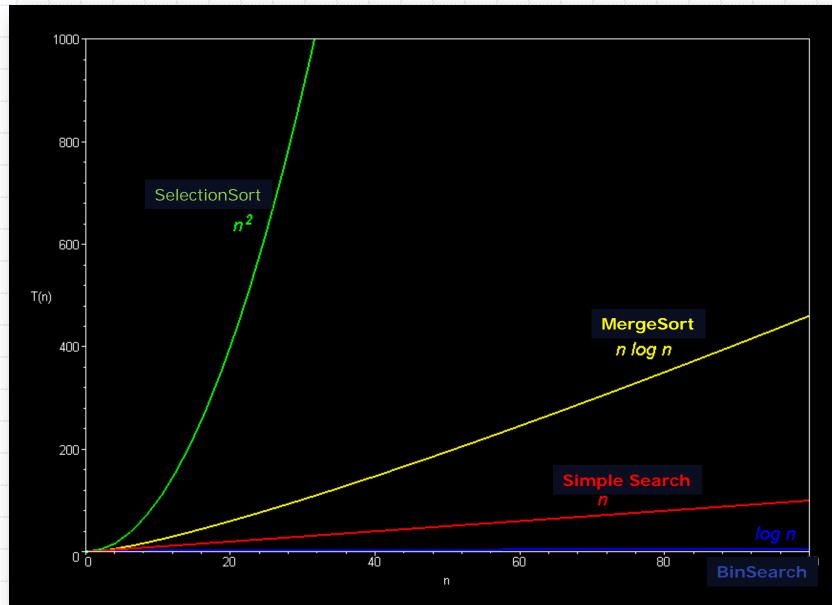
NO, WAIT, THIRTY SECONDS.



THE AUTHOR OF THE WINDOWS FILE COPY DIALOG VISITS SOME FRIENDS.

http://xkcd.com/612/

Time Estimates...



QuickSort-- Partition Routine

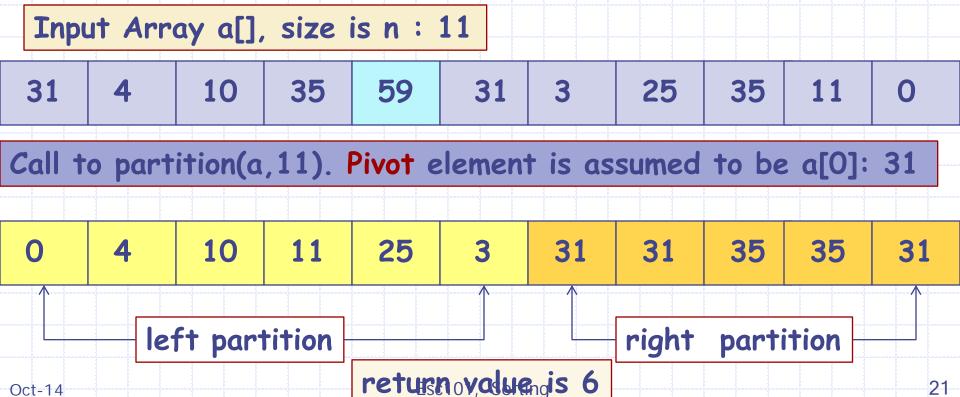
A useful sub-routine (function) for many problems, including quicksort(), the most popularly used sorting method.

- 1. Partition takes an array a[] of size n and a value called the pivot.
- 2. The pivot is an element in the array is usually chosen as a[0].
- 3. Partition re-arranges the array elements into two parts:a) the left part has all elements <= pivot.
 - b) the right part has all elements >= pivot.
- 4. Partition returns the index of the beginning of the right part.

Let us see an example.

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- 1. Partition takes an array a[] of size n and a value called the pivot.
- 2. The pivot is an element in the array is usually chosen as a[0].
- 3. Partition re-arranges the array elements into two parts:
 a) all elements in the left part are <= pivot
 b) all elements in the right part are >= pivot



COMMENTS

Multiple "partitions" of an array are possible, even for the same pivot. They all would satisfy the above specification.

Note: Partition DOES NOT sort the array. It is "weaker" than sorting. But it is useful step towards sorting (useful for other problems also).

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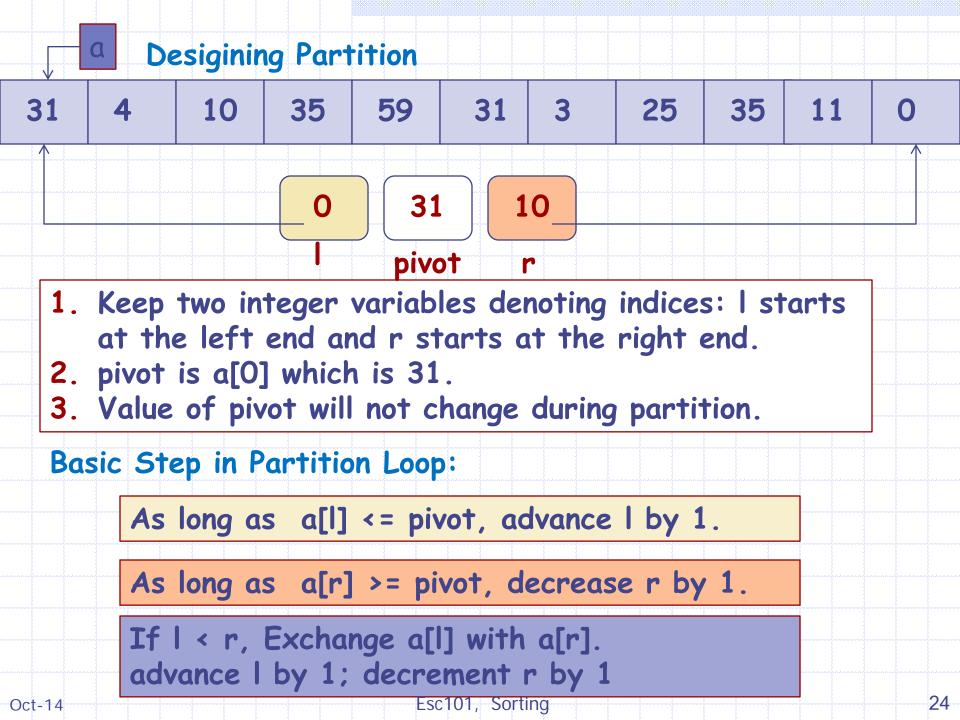
- 1. partition(int a[], int n). pivot will be a[0].
- 2. Partition re-arranges the array elements into two parts:
 - a) the left part has all elements <= pivot
 - b) the right part has all elements >= pivot
- 3. Partition should return either the first index of the right part or the last index of the left part. (Both answers would be acceptable).

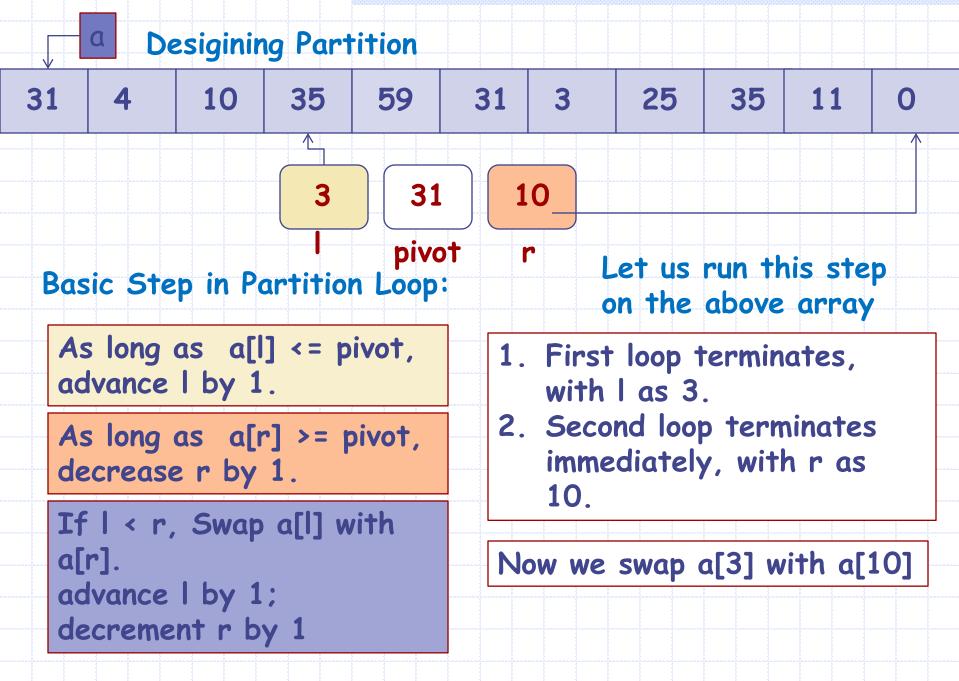
Designing partition: Goal is to have linear time complexity, meaning that the number of comparisons and exchanges of items must be linear in the size.

Also, we will do partition in place - that is, without using extra arrays.

Can you do it easily if you have extra arrays?

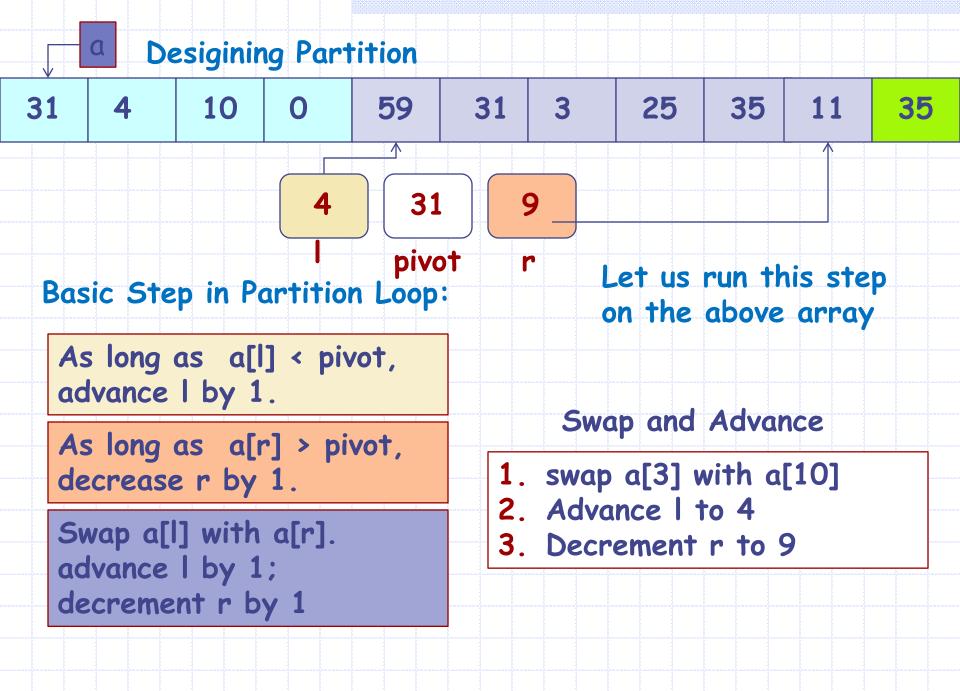
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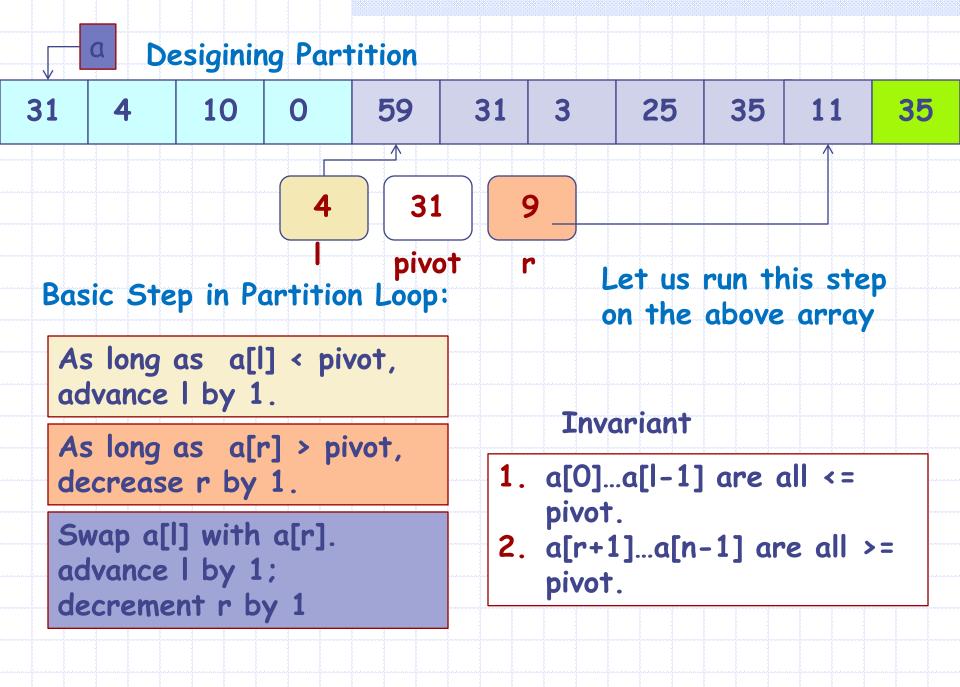
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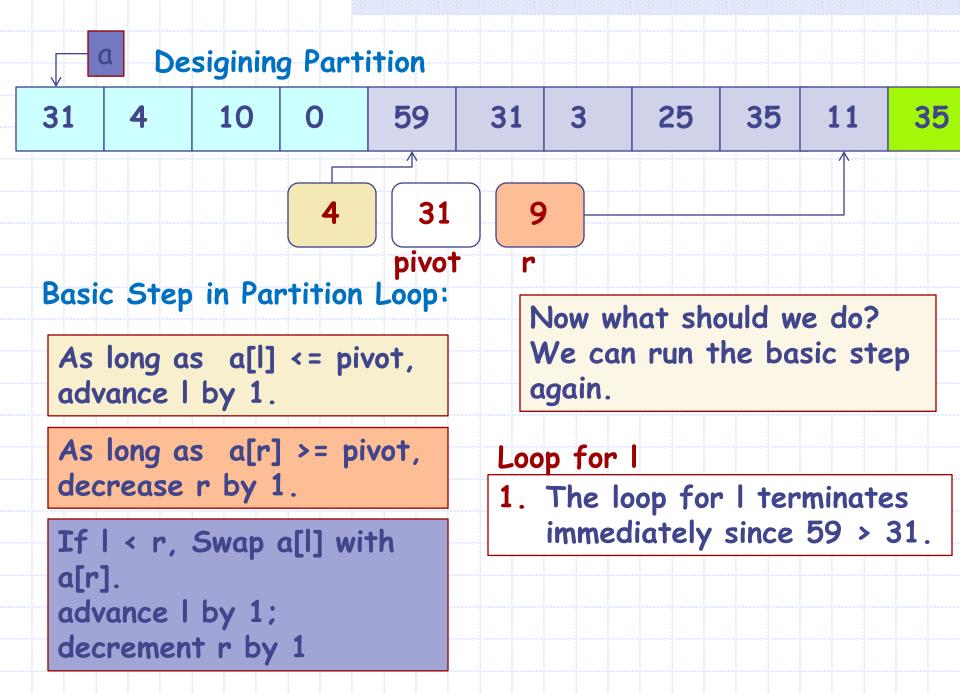
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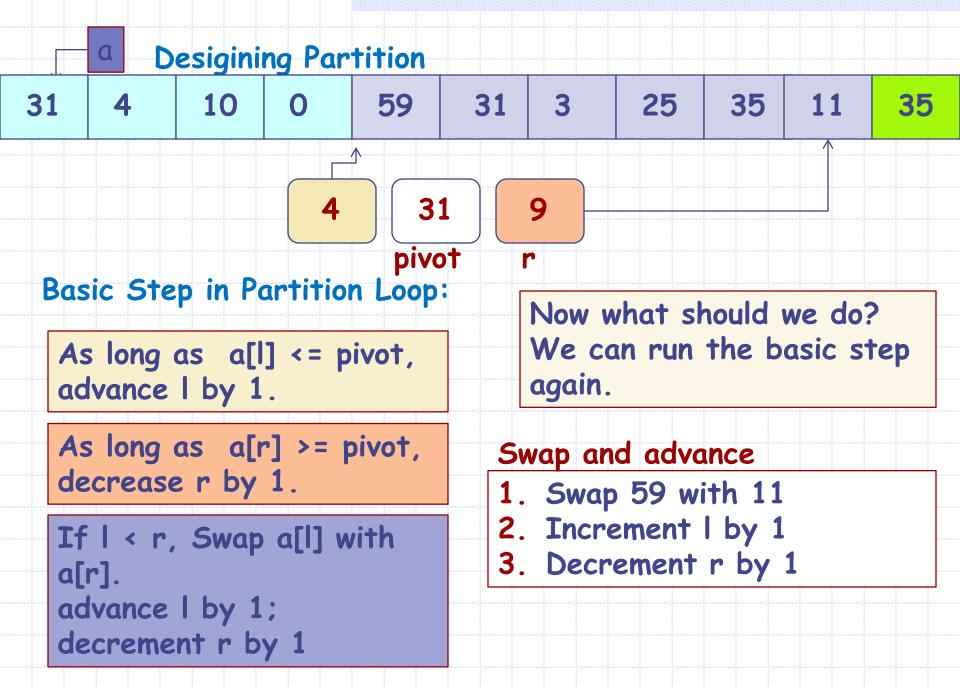


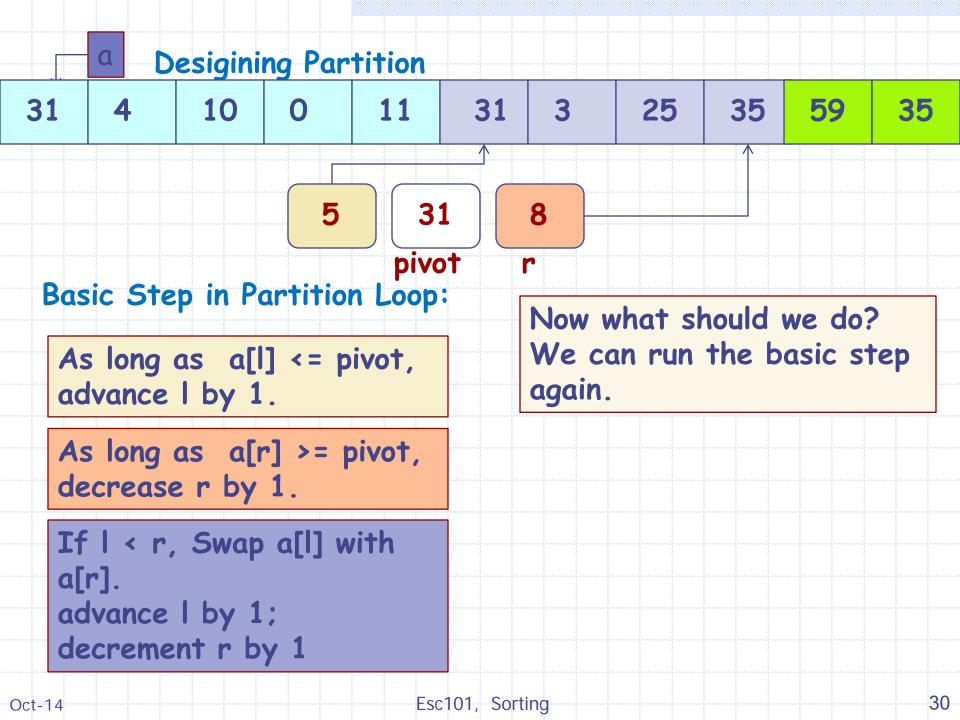
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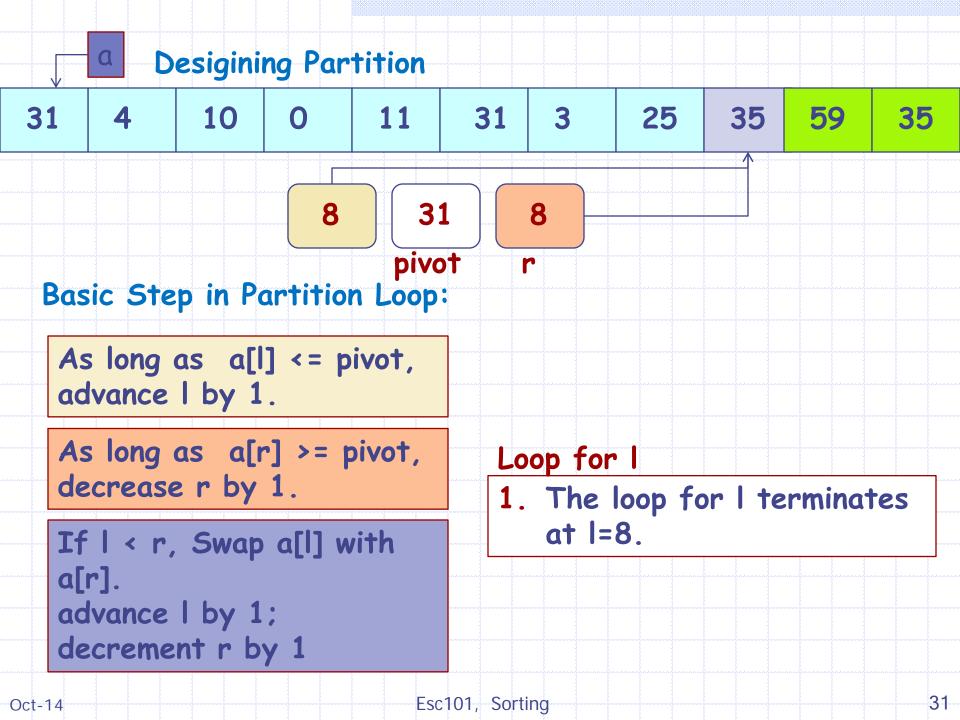
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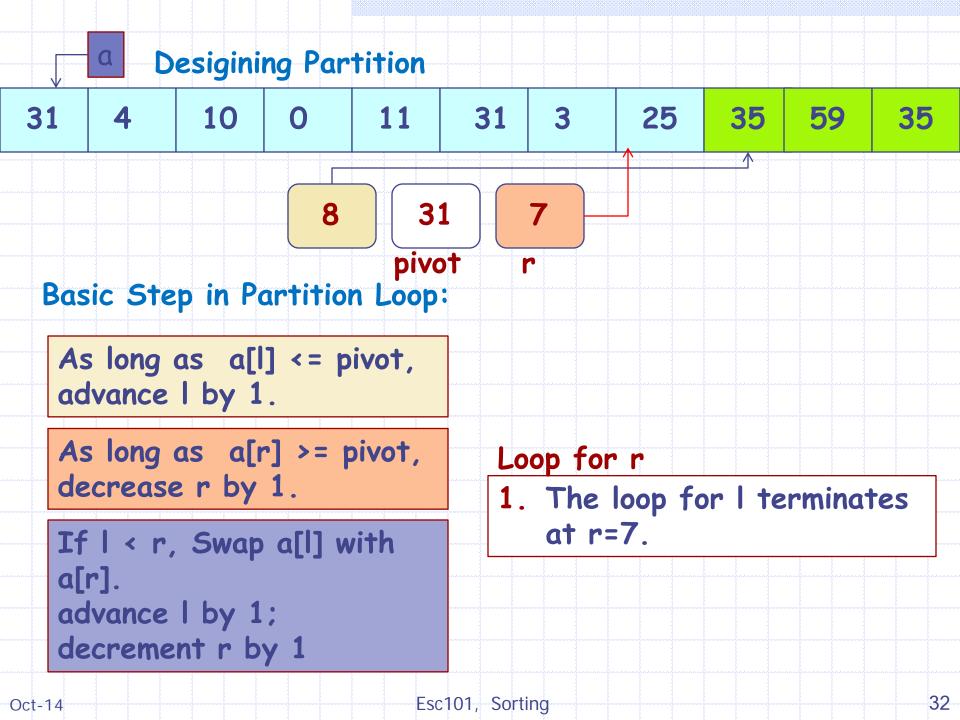


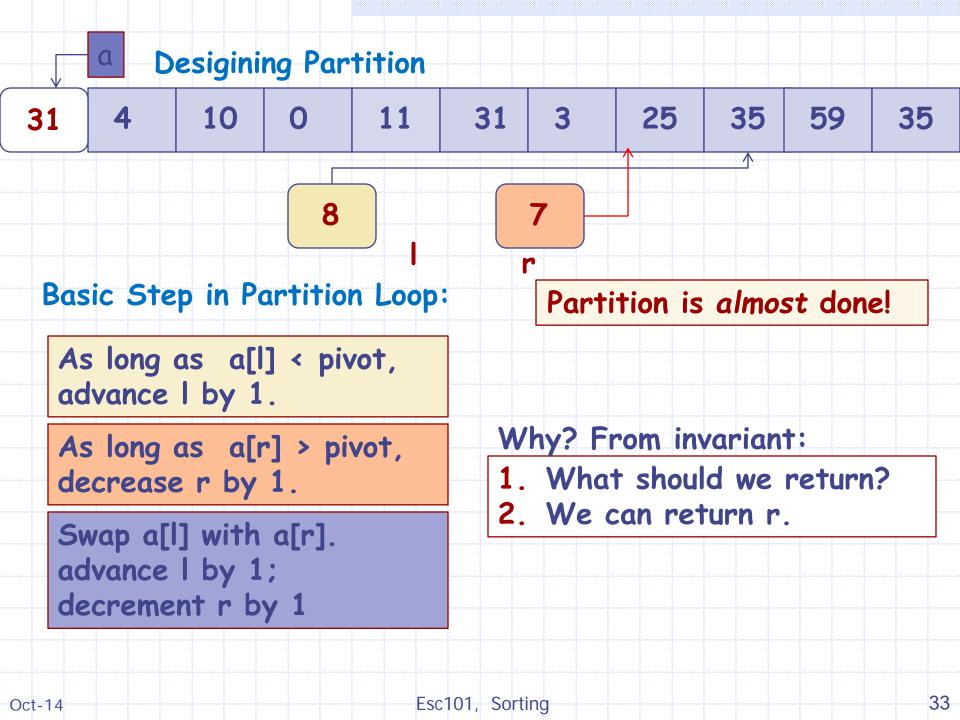












```
int partition(int a[], int n) {
     int l = 0, r = n-1, pivot = a[0];
     while (| <=n-1 && r>=0) {
           while (a[l] \leftarrow pivot) \{ l=l+1; \}
           while (a[r] >= pivot) { r=r-1; }
           if(l<r) {
             swap(a, l, r);
             | = |+1; r = r-1;
           } else {
             /* move pivot to its position */
             swap(a, l-1, 0);
            return 1-1;
```

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The Partition function



We designed a function int partition(int a[], int n) that returns an index pindex of the array a[] such that for any a[] with $n \ge 2$, all the following are true.

- 1. pindex lies between 0 and n-2,
- 2. all items in a[0..pindex] are <= pivot,
- 3. all items in a[pindex+1...n-1] are \Rightarrow pivot,
- 4. Number of operations required by partition is O(n), that is bounded by confor some constant c. Required only a single pass over the array: each element is touched once.

Pivoting choices

Pivot may be chosen to be any value of a[]. Some choices are

- 1. Pivot is a[0]: simple choice.
- 2. Pivot is some random member of a[]: randomized pivot choice.
- 3. Pivot is the median element of a[]. This gives the most equal sized partitions, but is much more complicated.

Suppose we wish to sort the array a[].

After the call pindex = partition(a,n)

- 1. each element of a[0..pindex-1] <= pivot.
- 2. each element of a[pindex..n-1] >= pivot.

So after the call to partition(), to sort a[], we can just

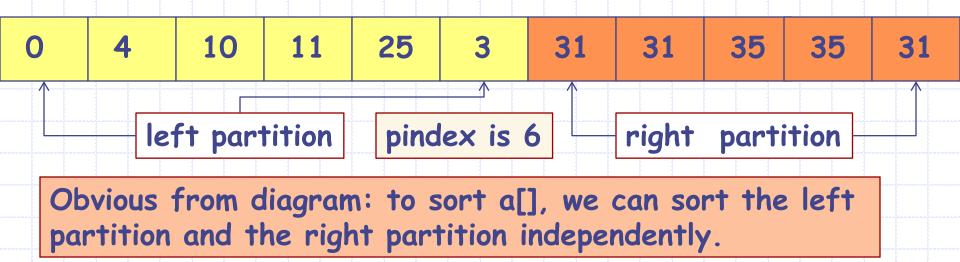
- 1. sort the array a[0..pindex-1], and,
- 2. sort the array a[pindex...n-1].

For example, consider the array.

Input Array a[], size is n: 11

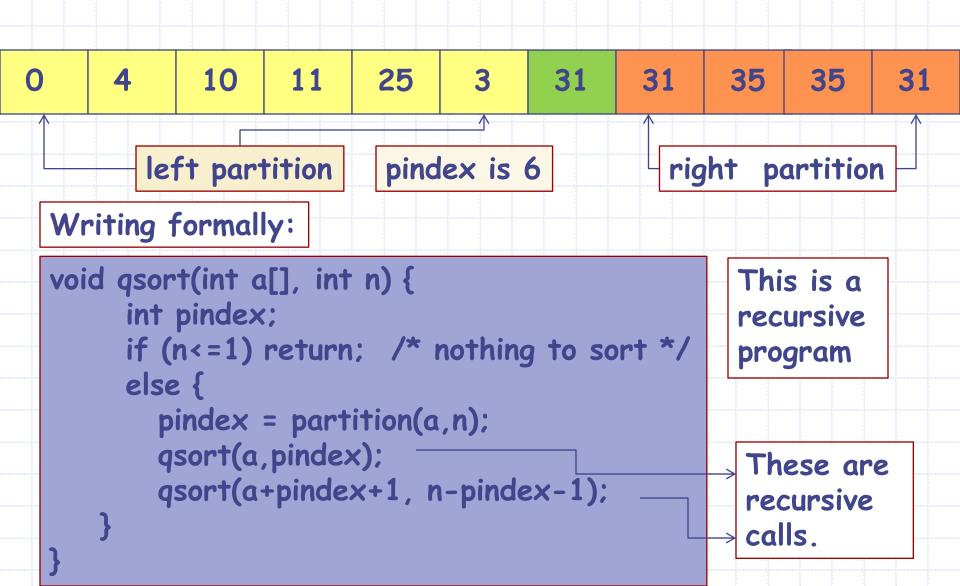
31 | 4 | 10 | 35 | 59 | 31 | 3 | 25 | 35 | 11 | 0

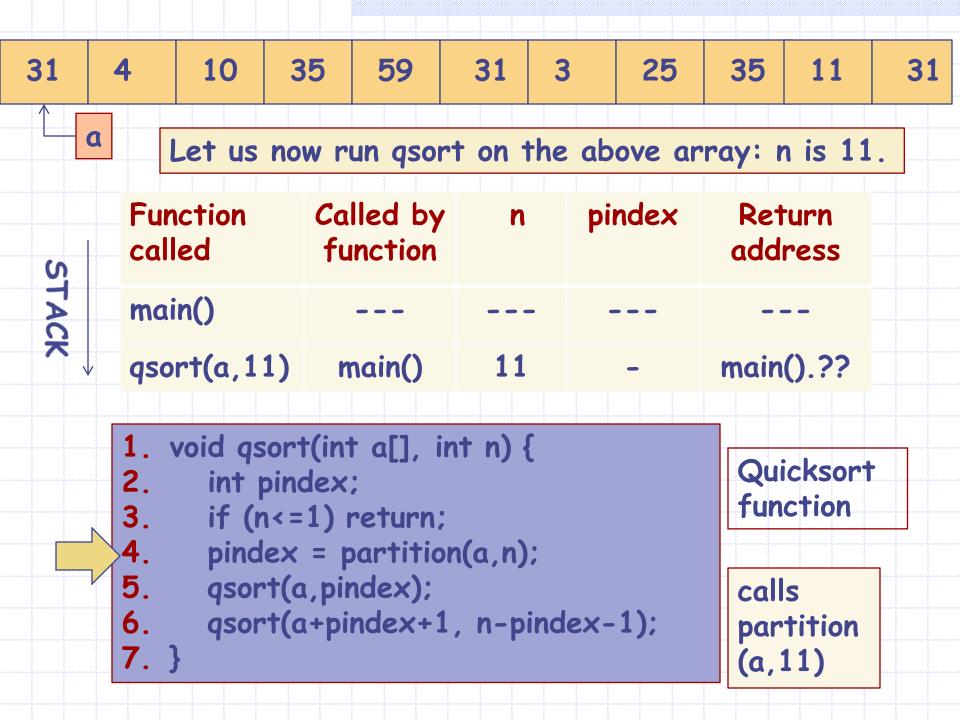


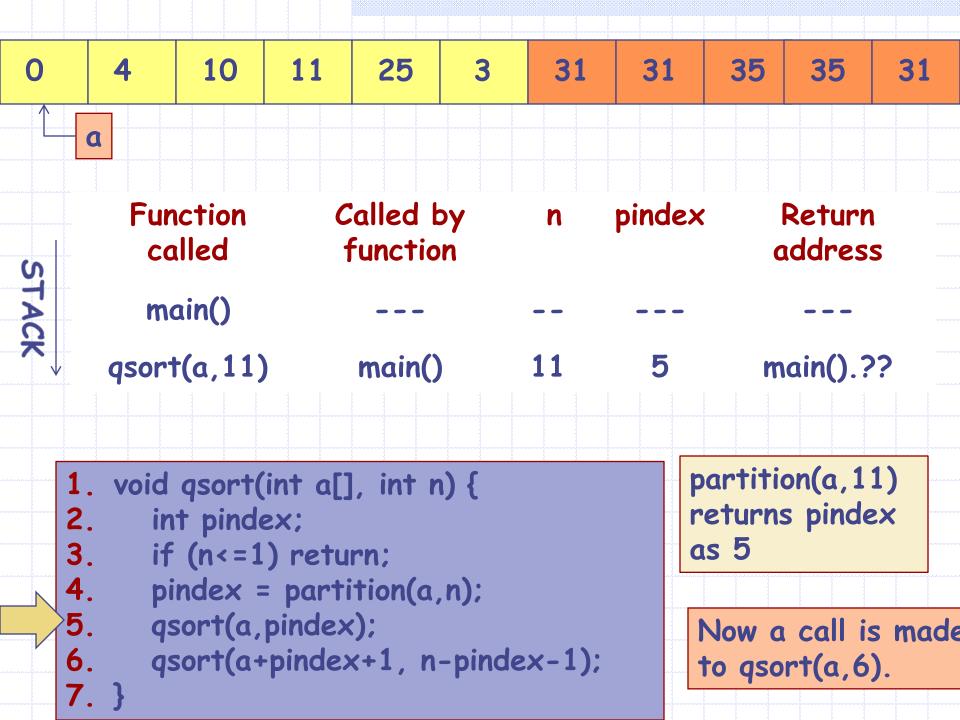


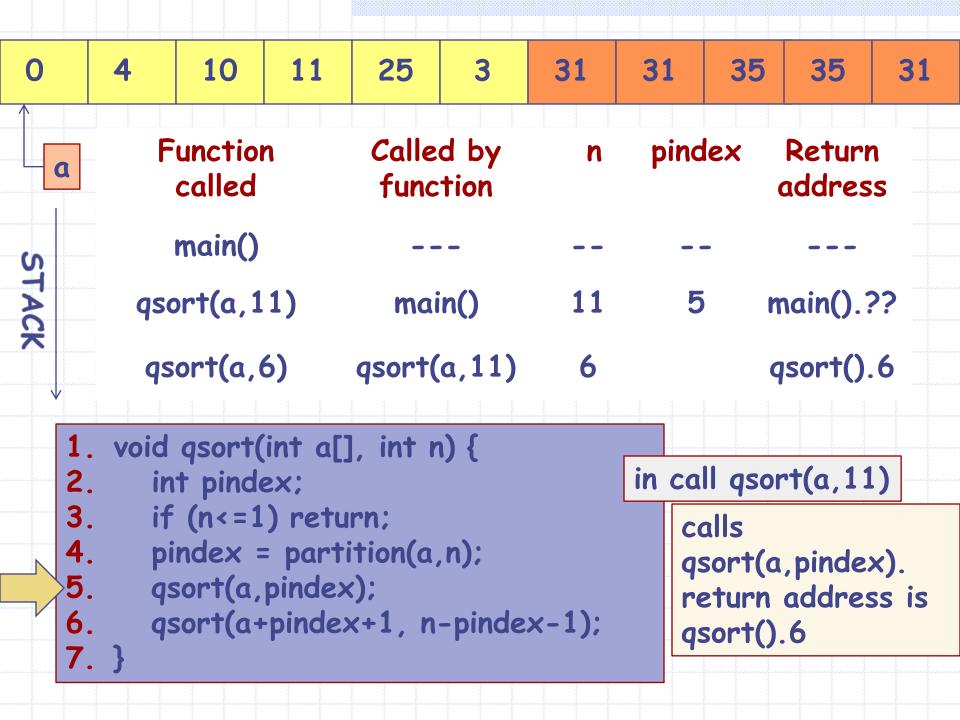
How should we do the sorting: Any way we wish, but... how about choosing the same algorithm, that is, run partition on each half again (and then again on smaller parts—this is recursion)

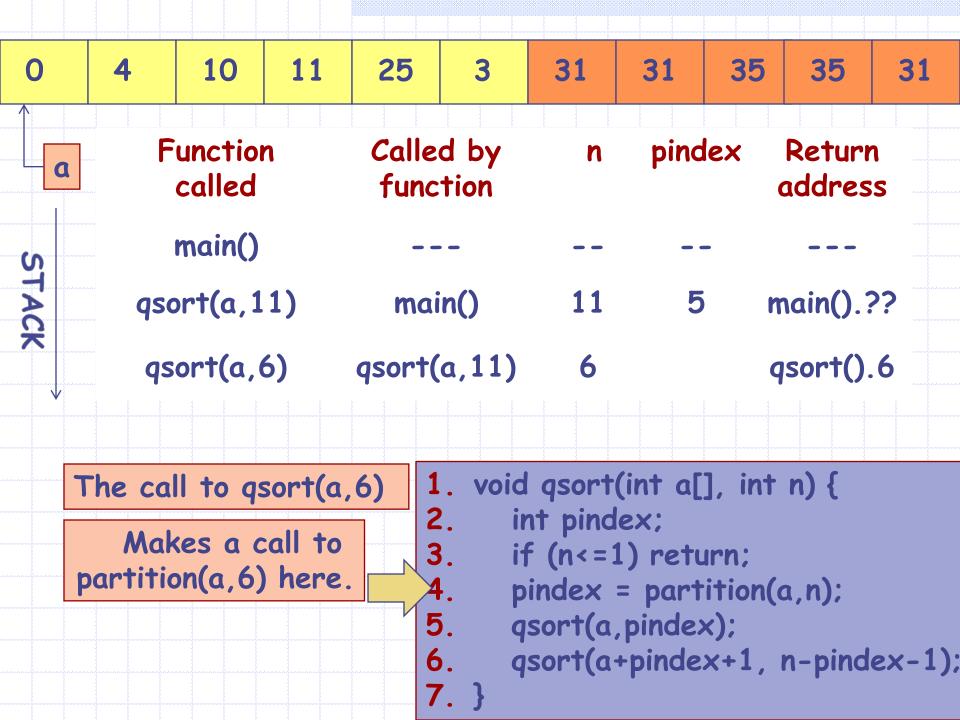
```
After call to partition(a,11). Pivot element is assumed to be a[0]: 31
```

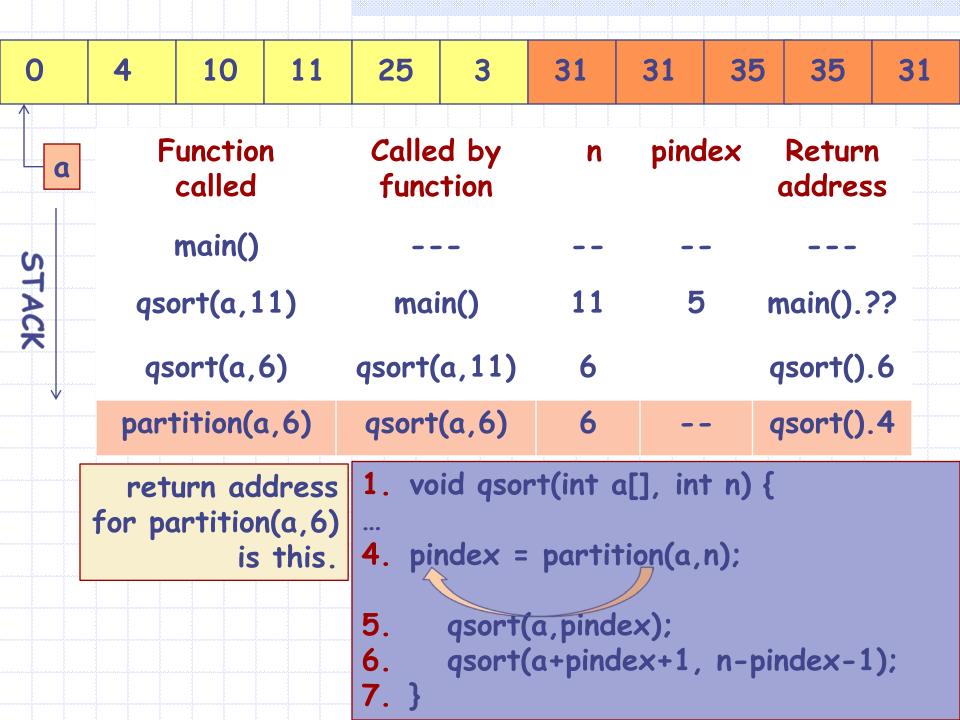


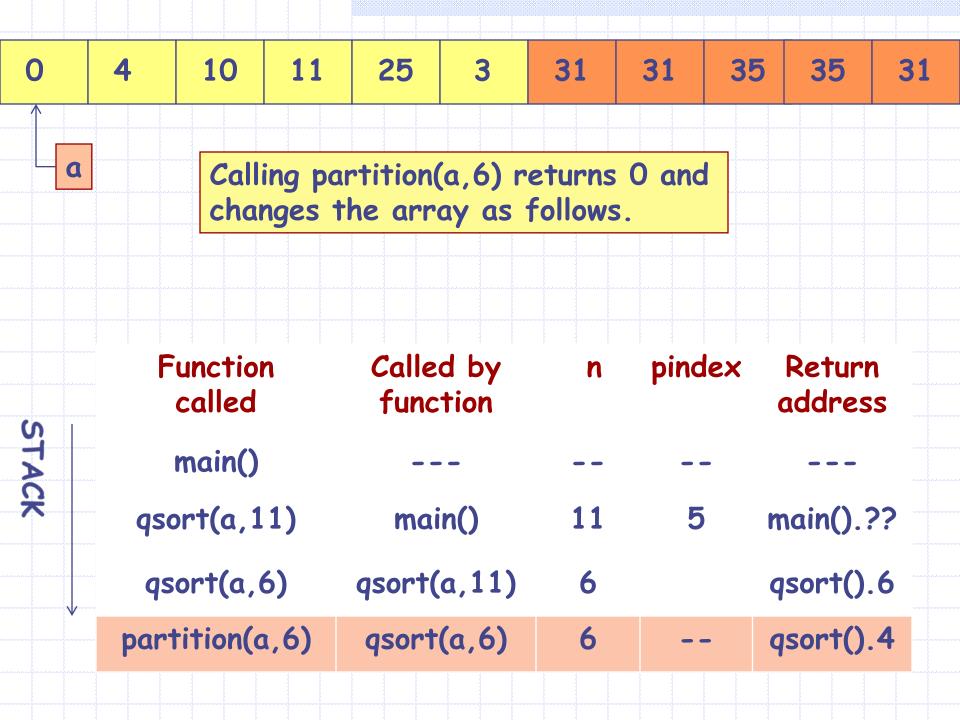


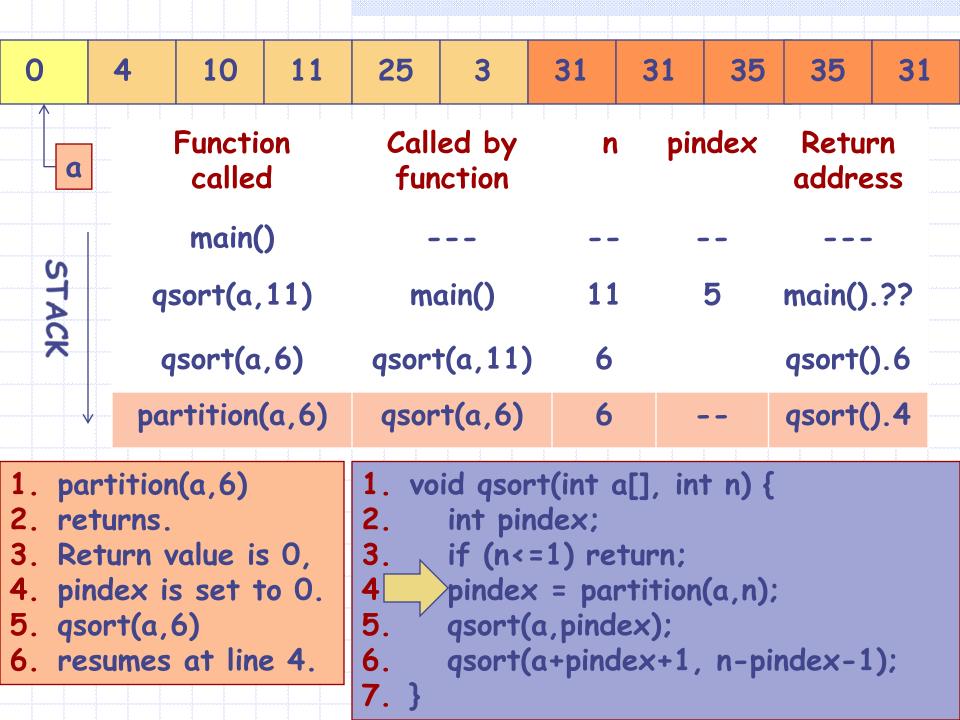


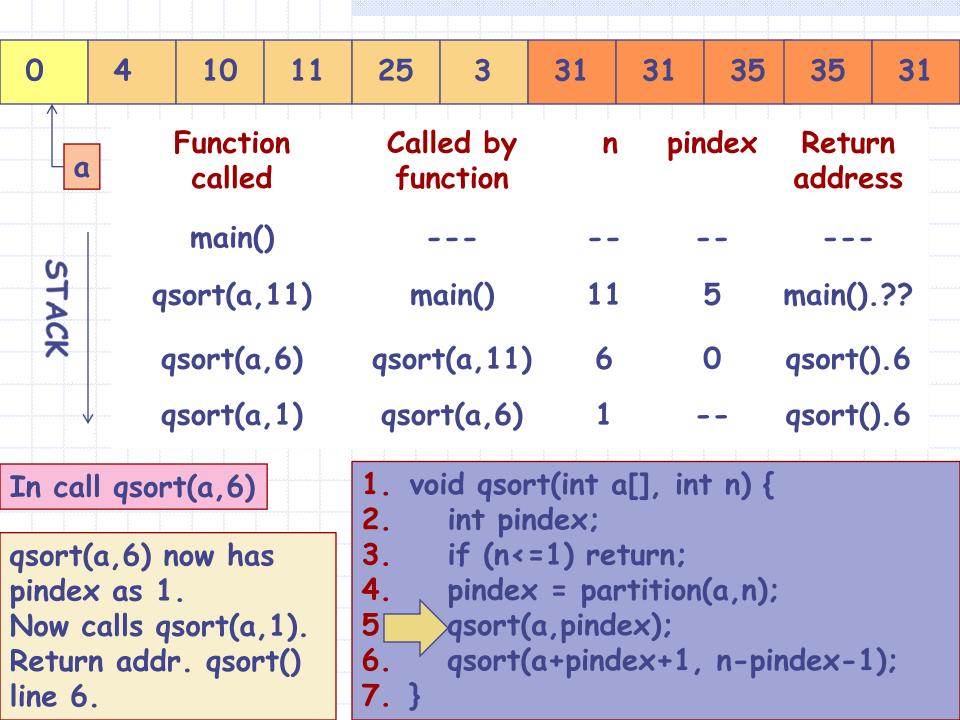


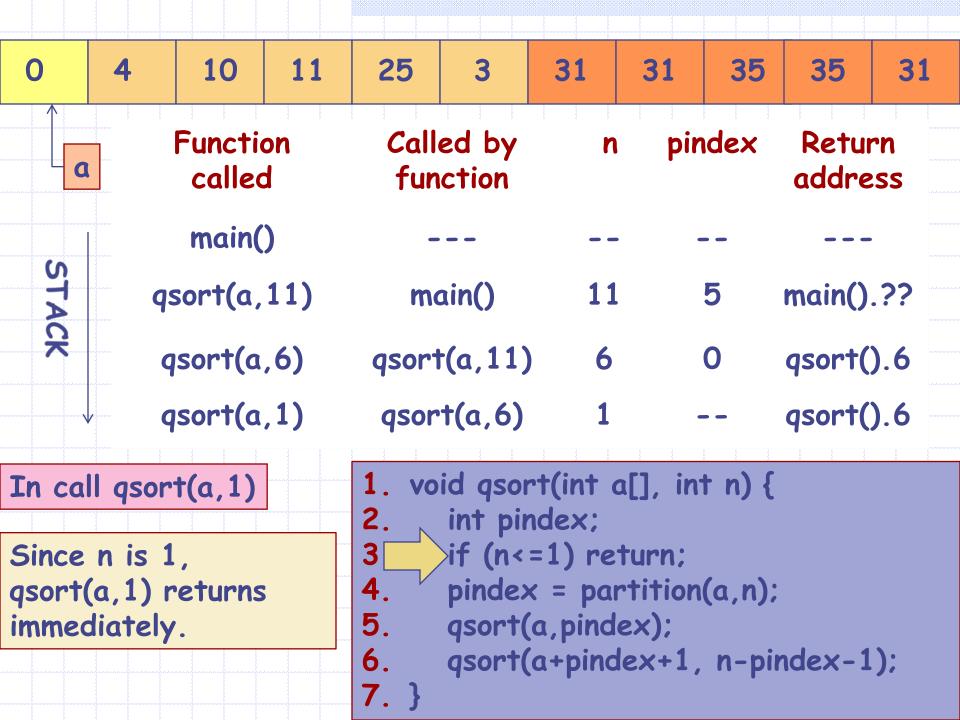


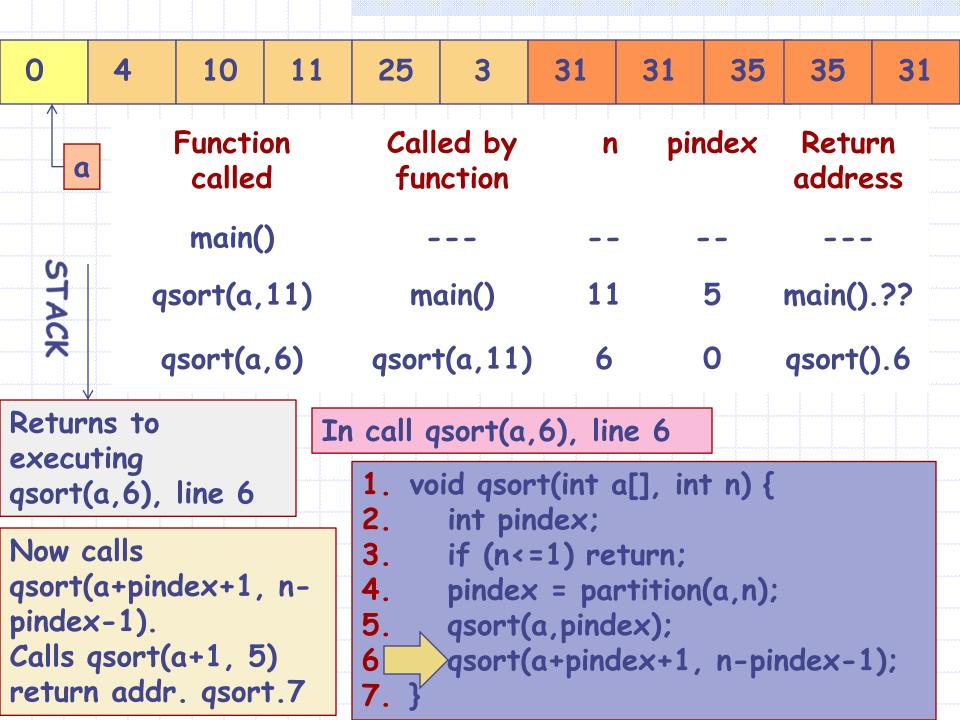


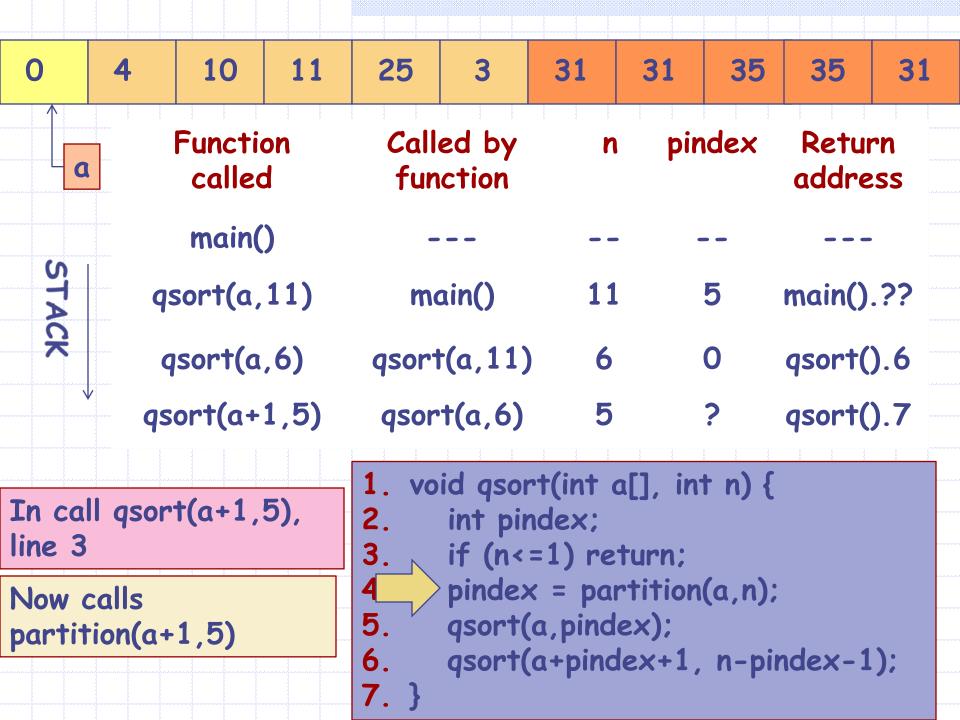


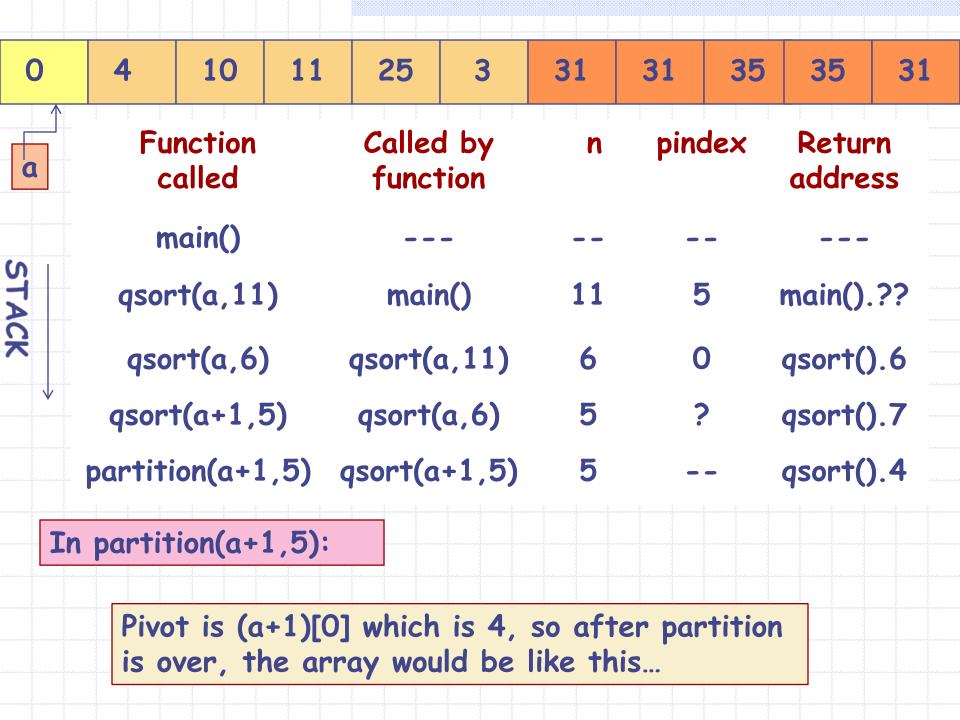


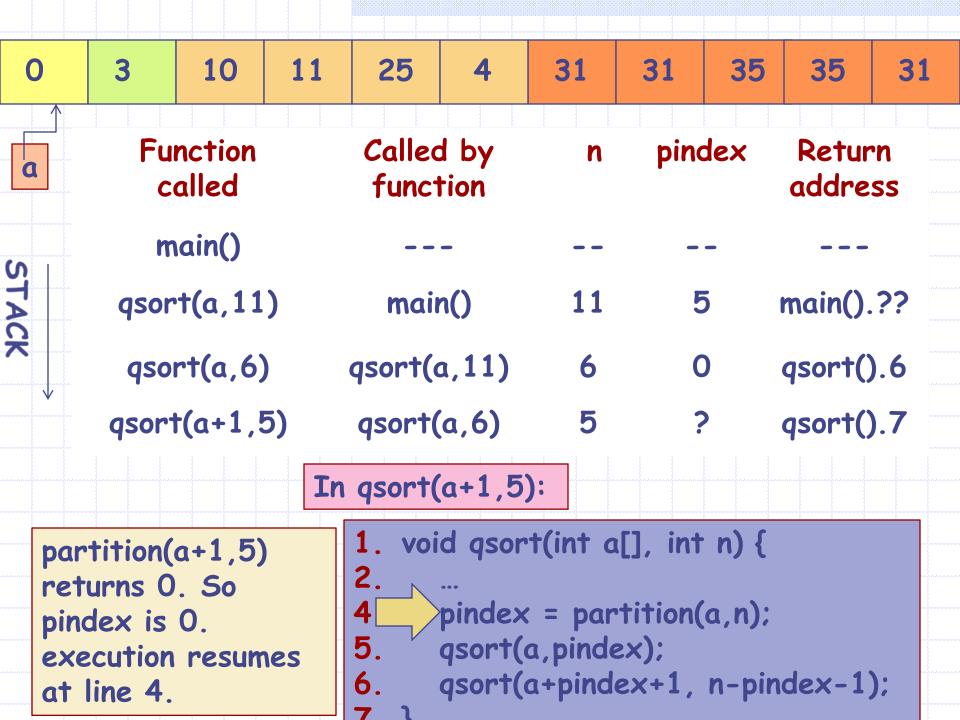


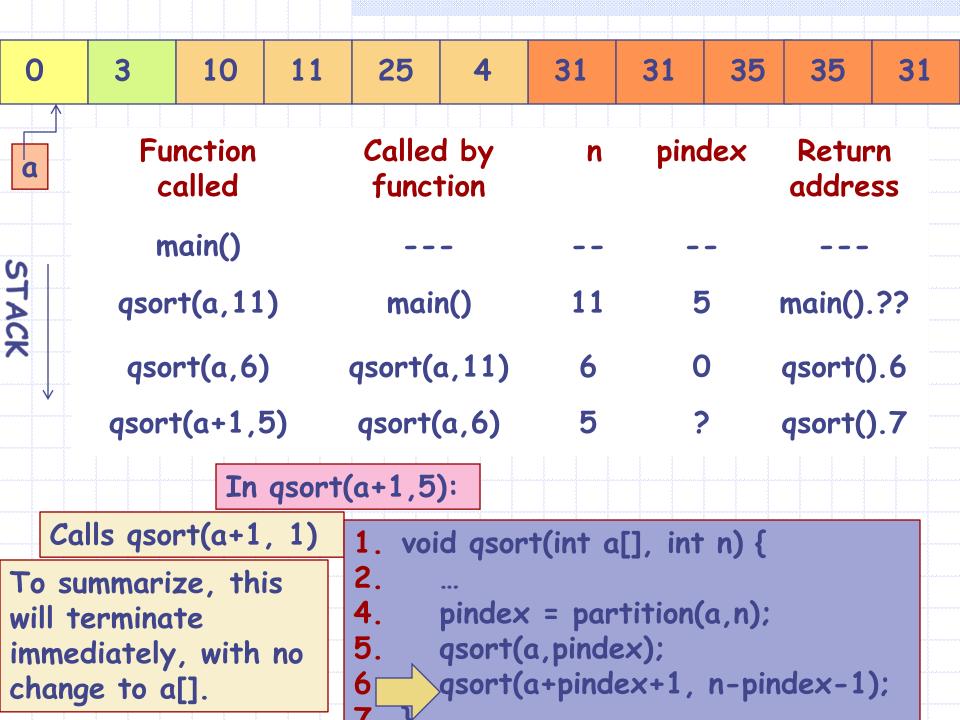


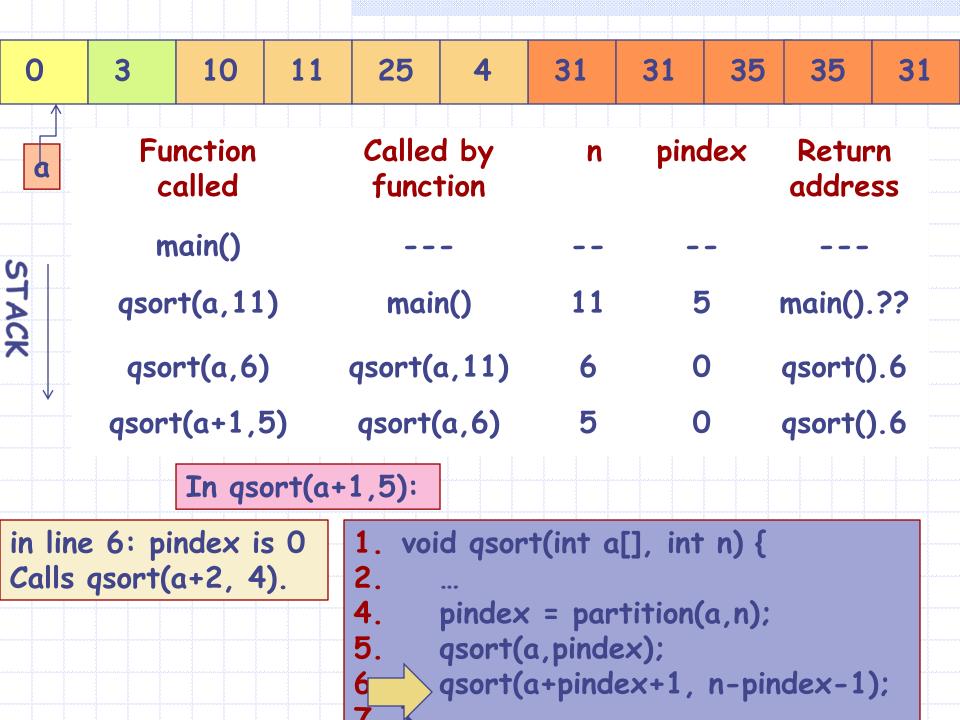


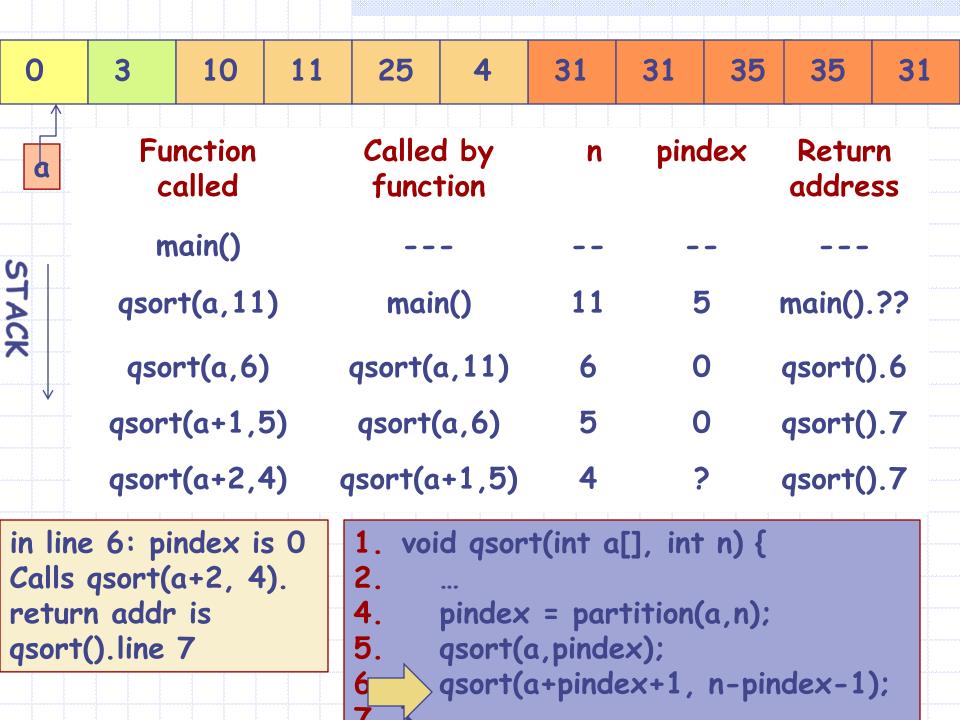


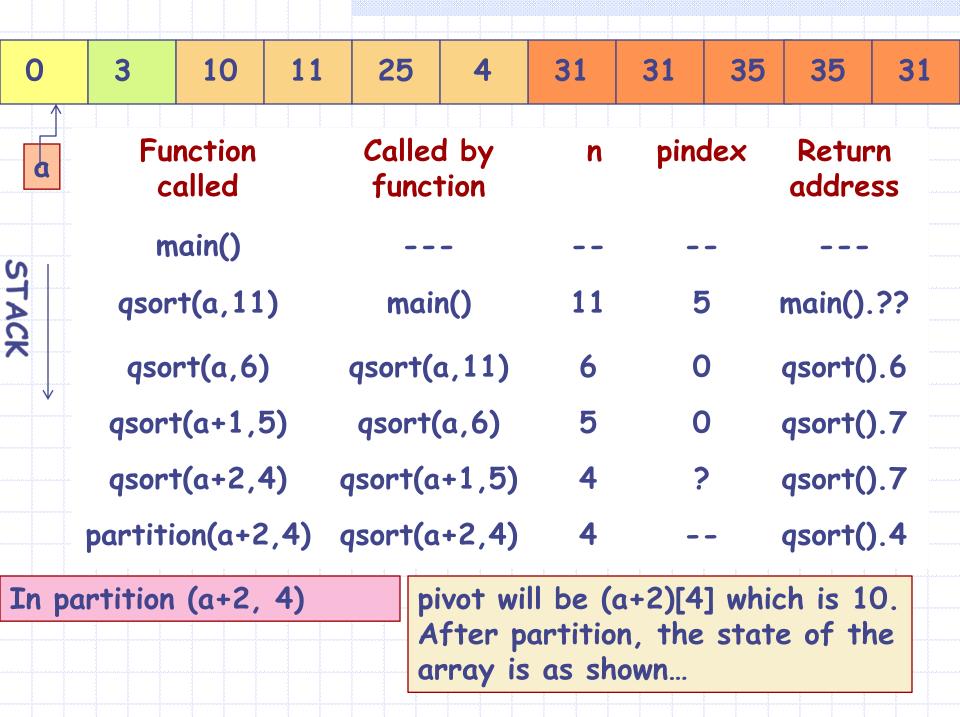


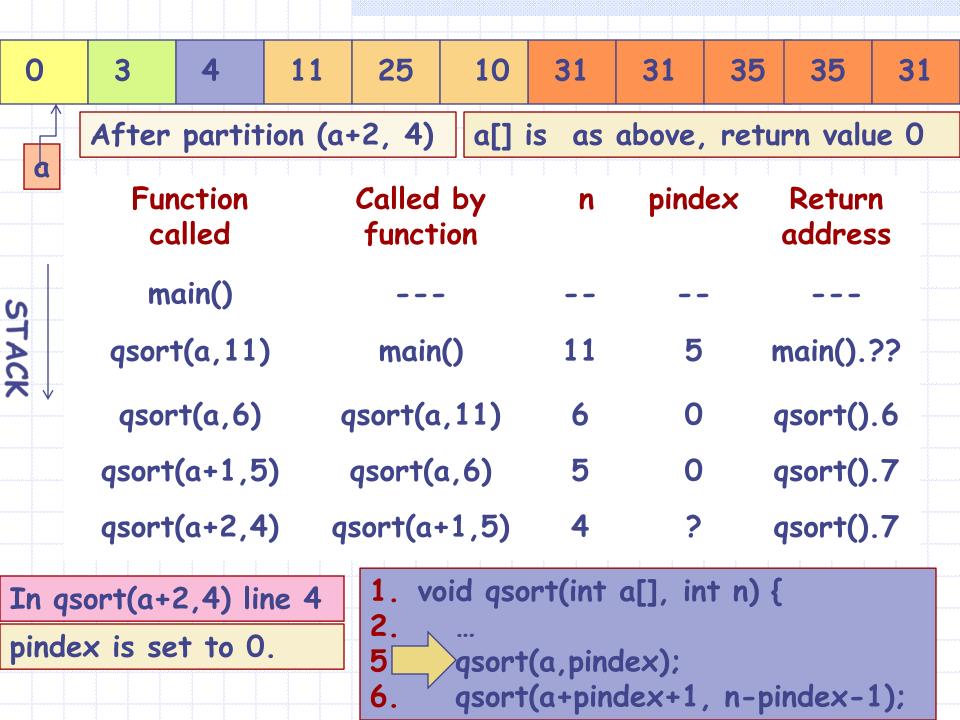


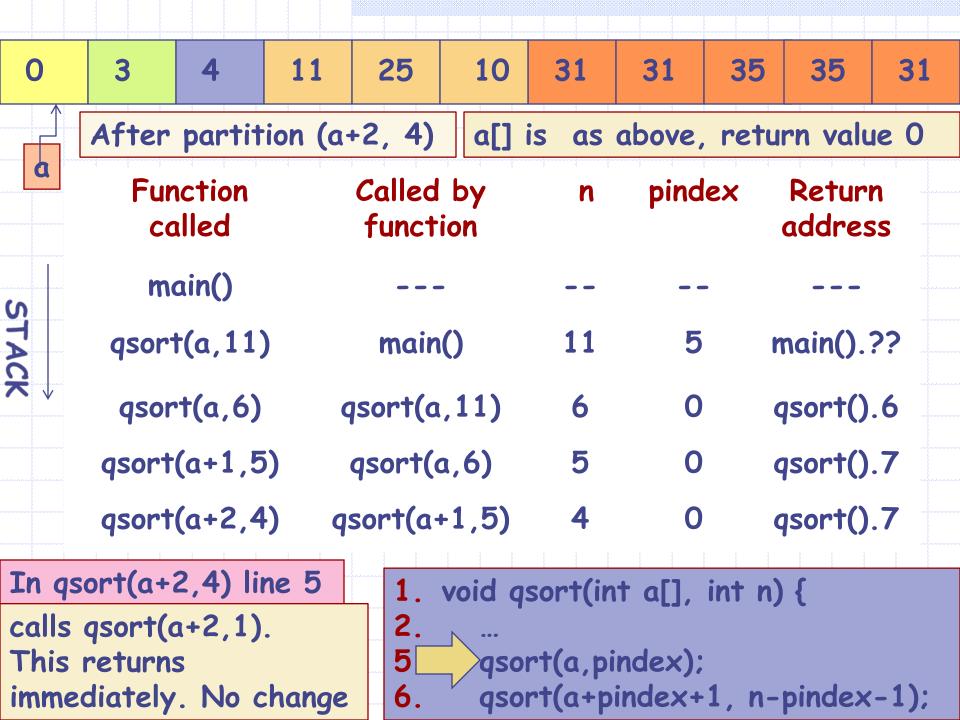


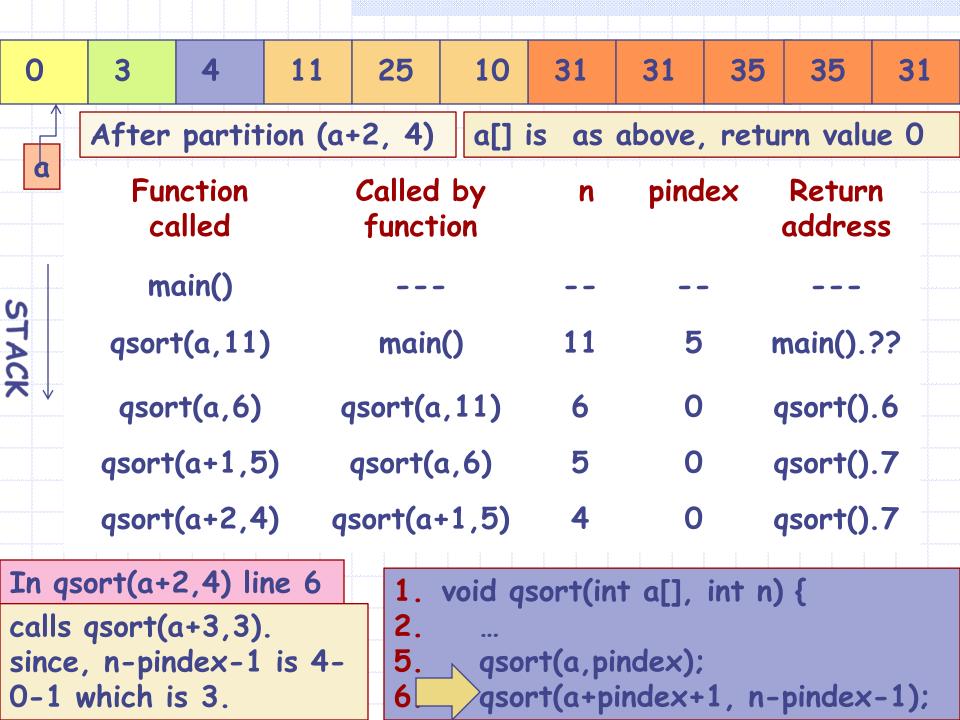


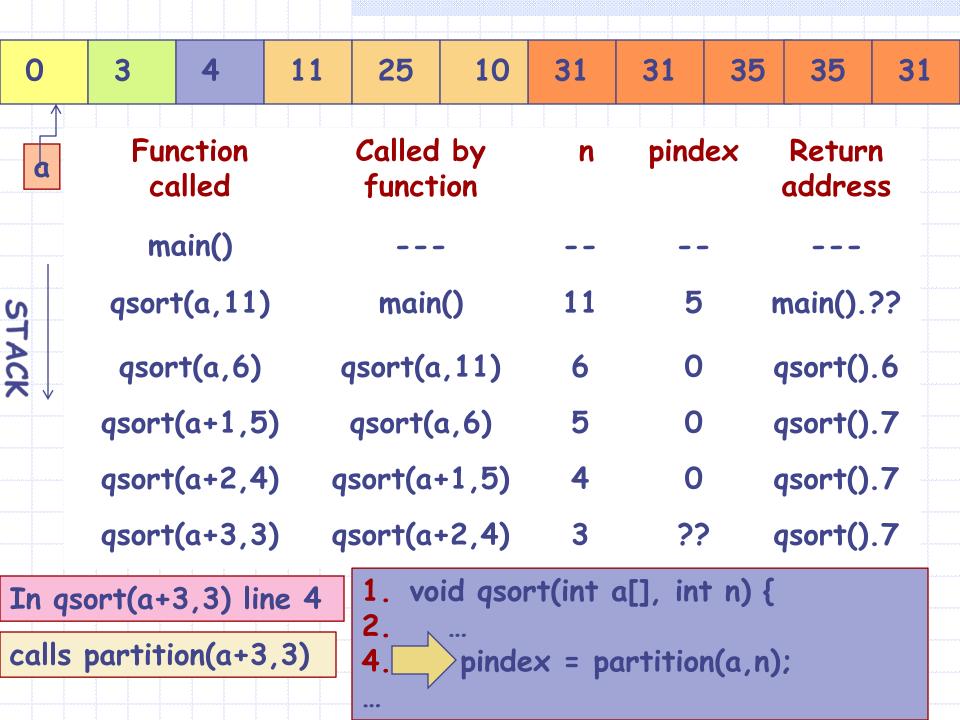


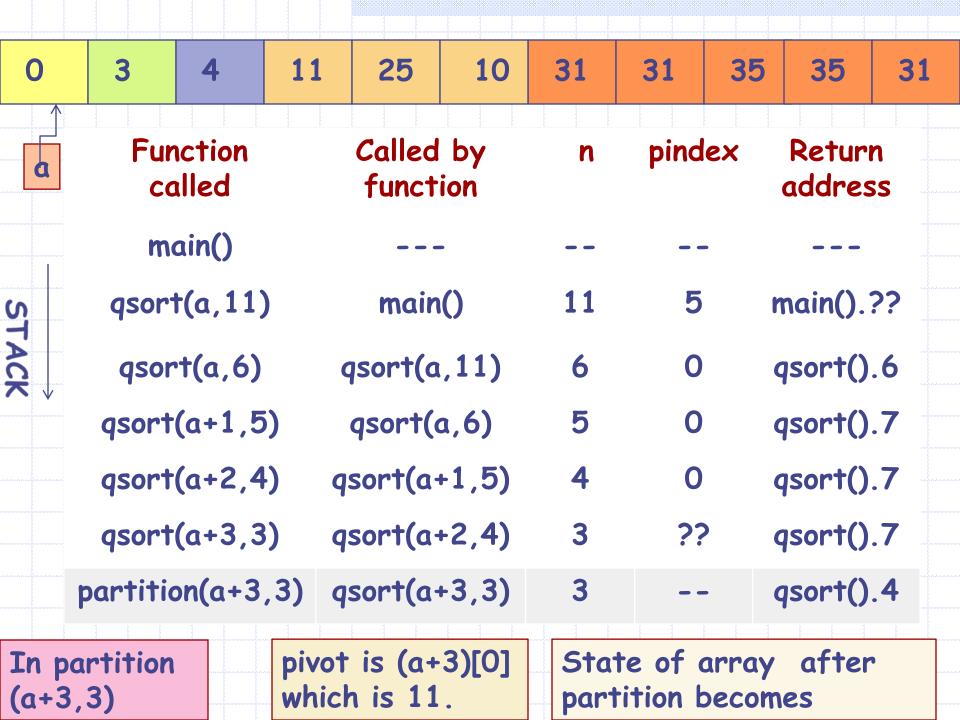


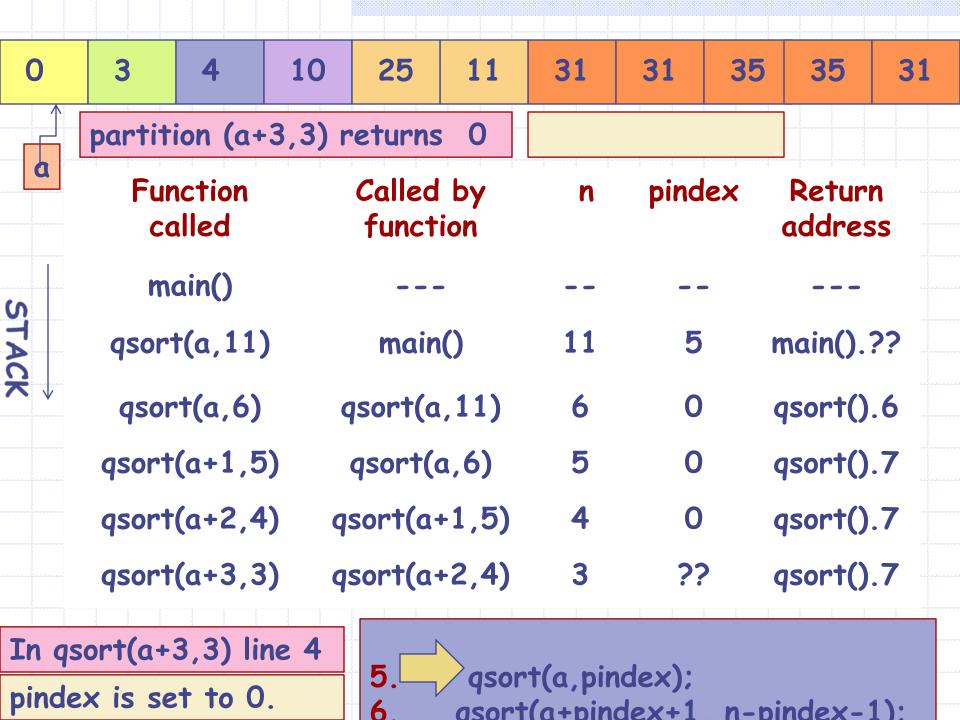


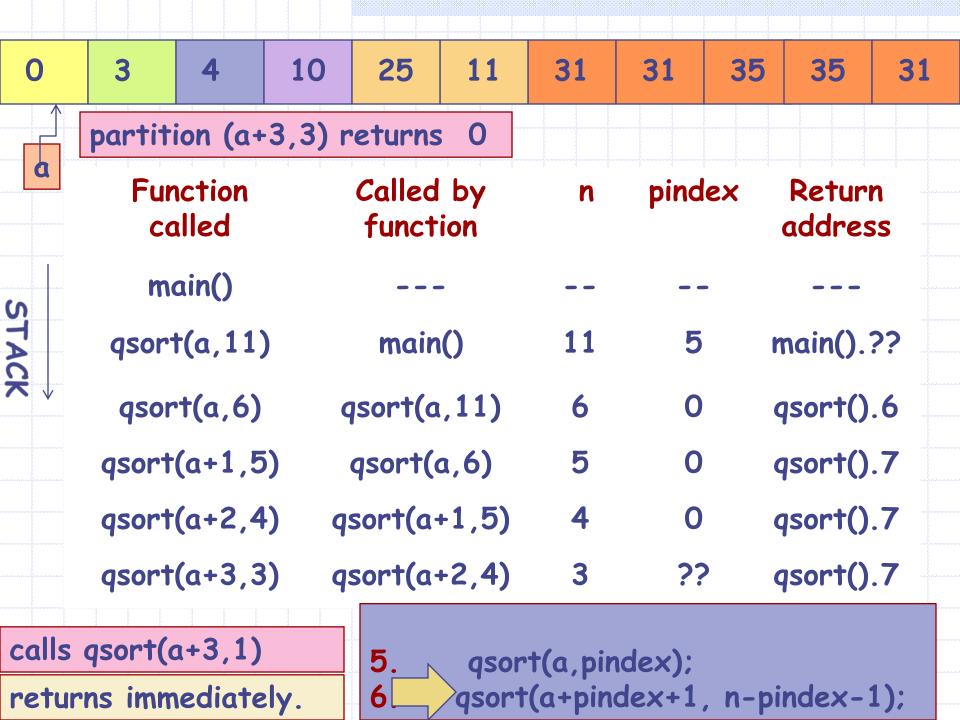


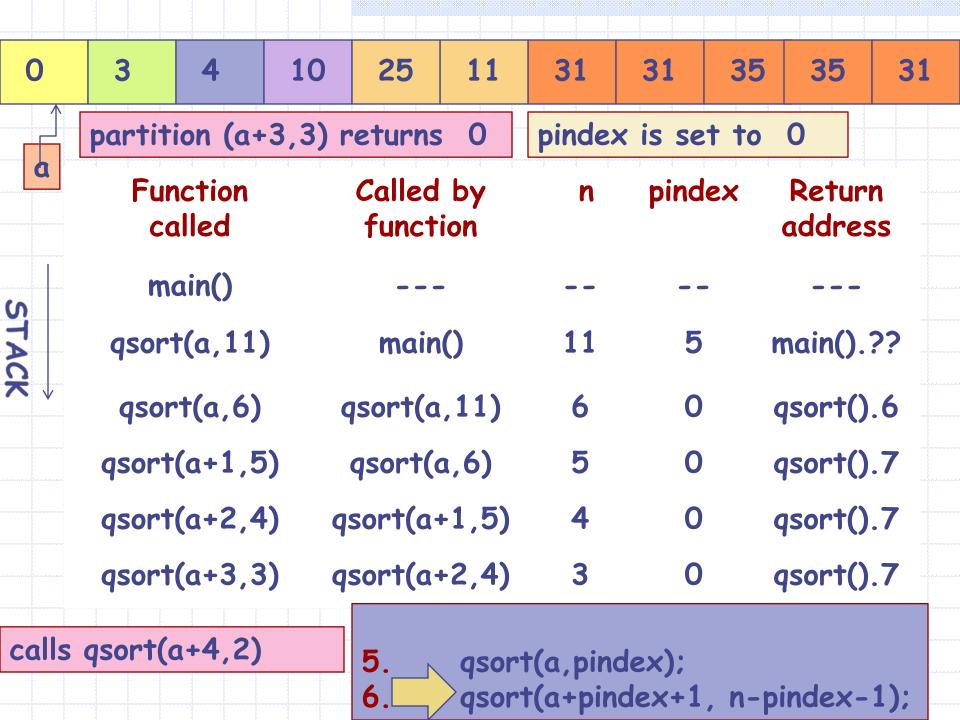


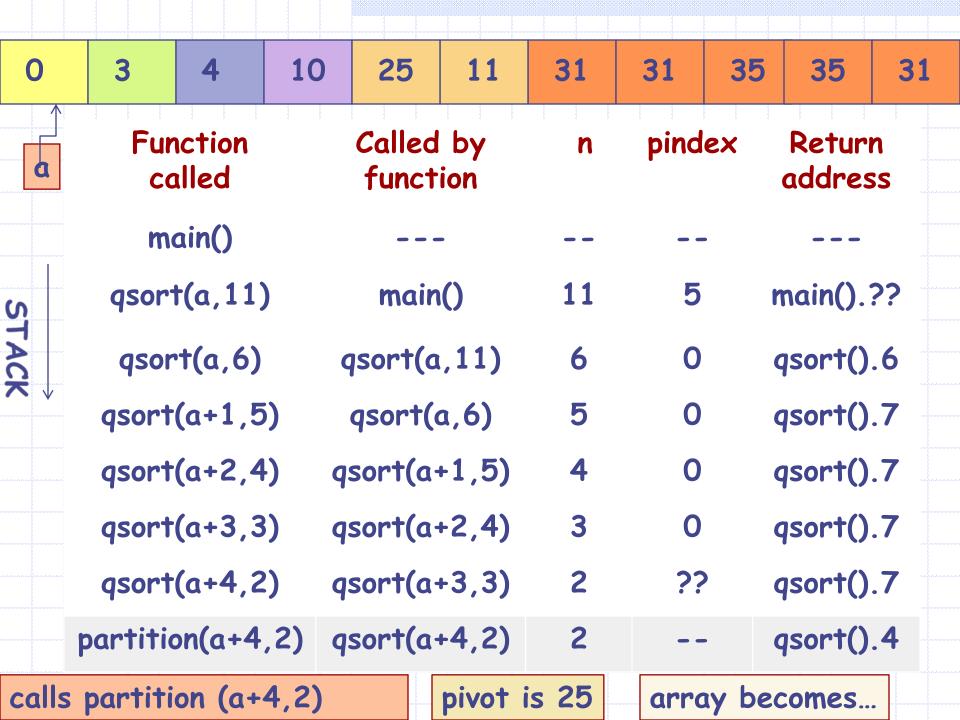


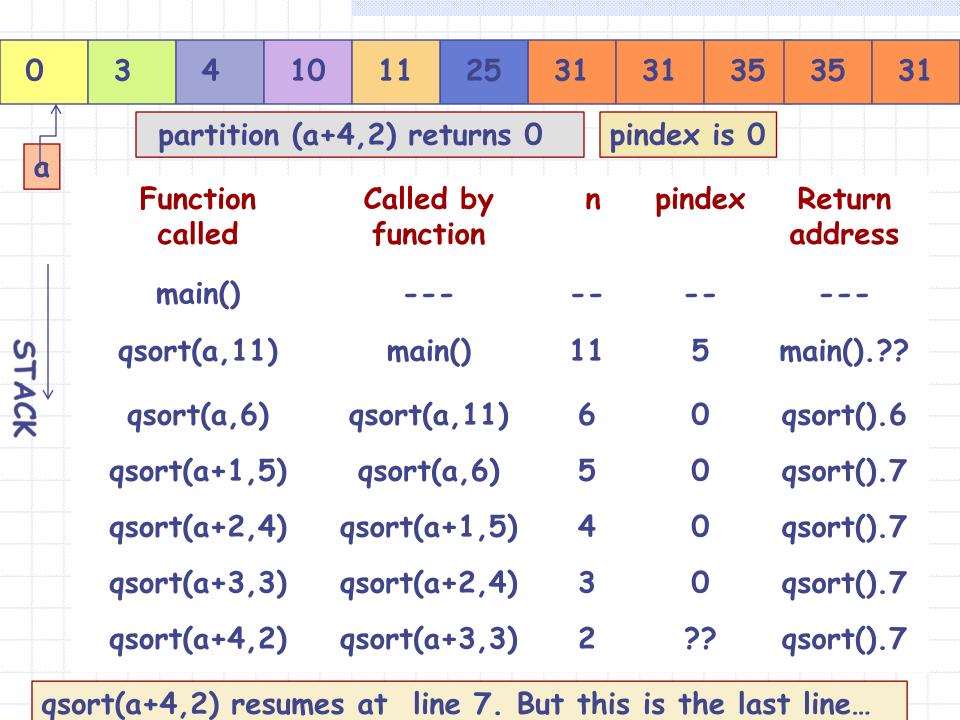








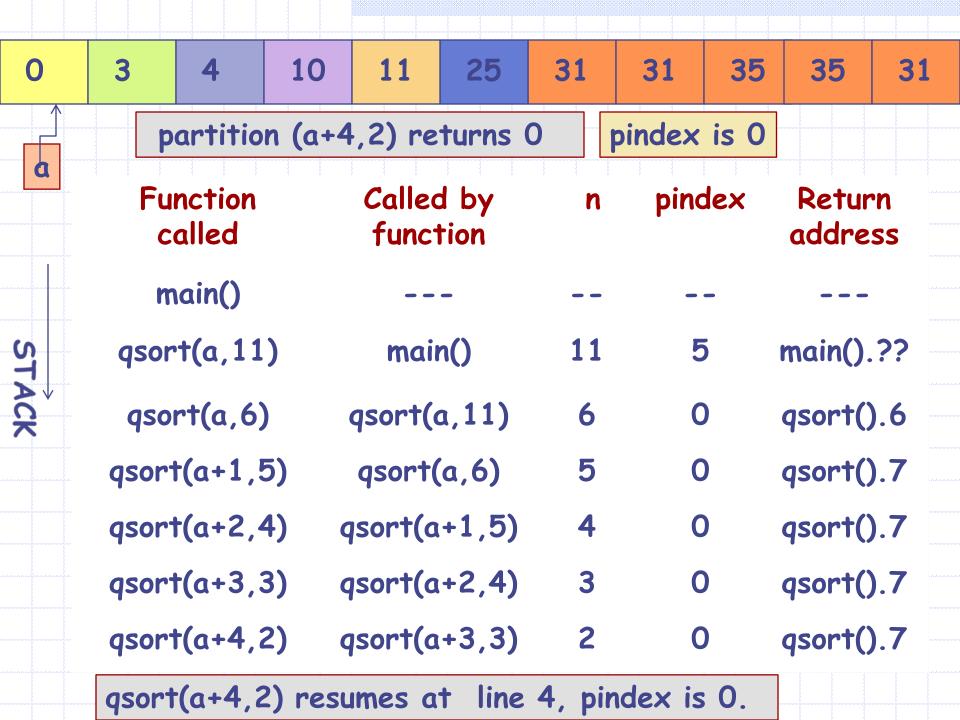


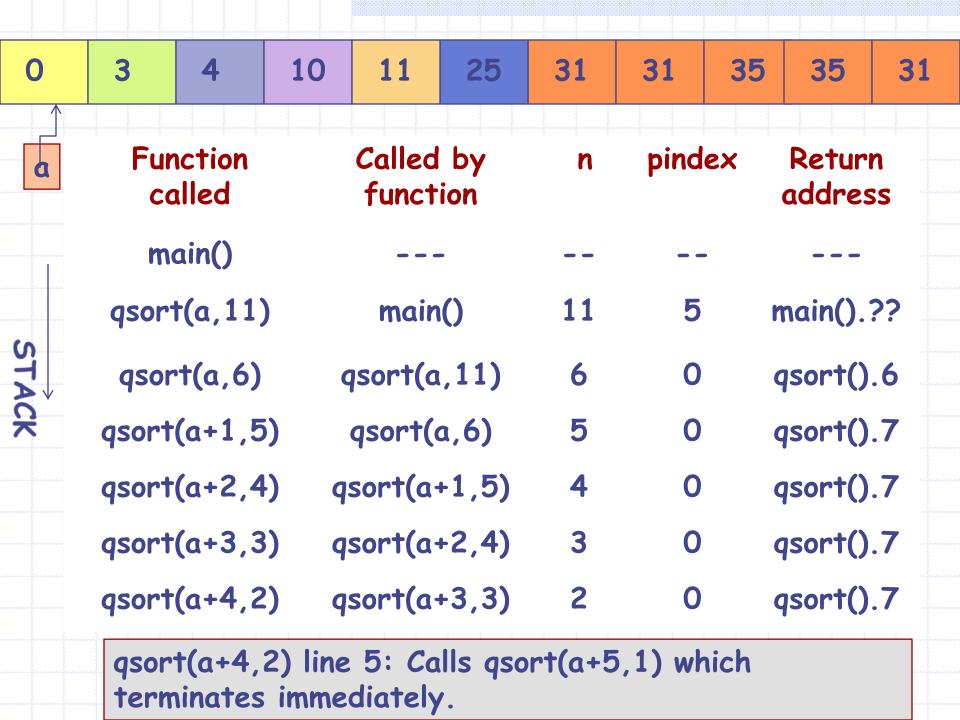


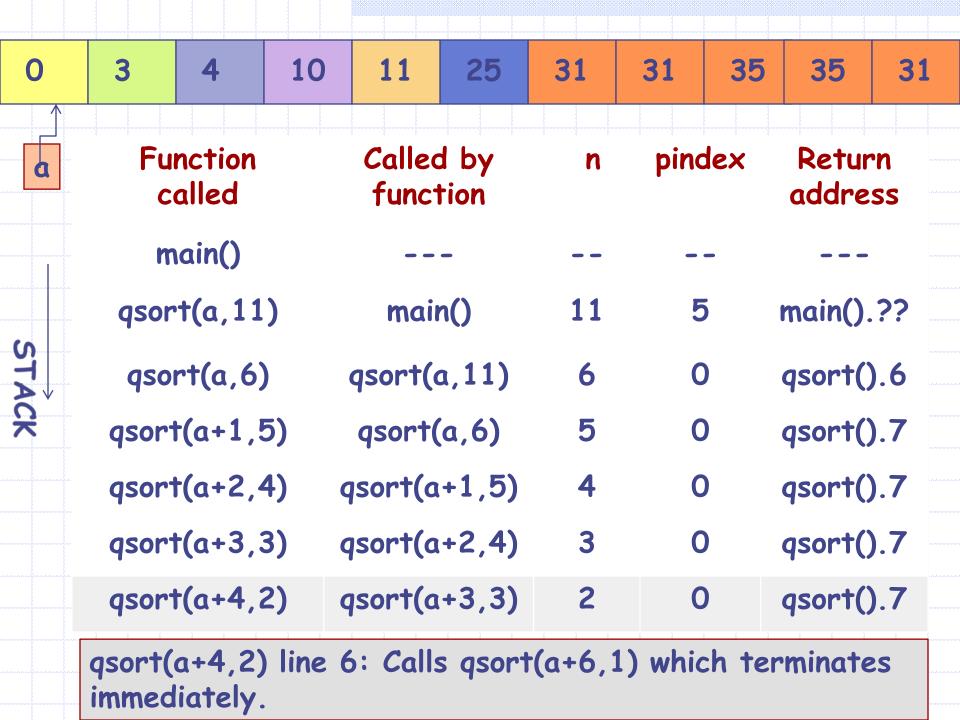
```
    void qsort(int a[], int n) {
    int pindex;
    if (n<=1) return;</li>
    pindex = partition(a,n);
    qsort(a,pindex);
    qsort(a+pindex+1, n-pindex-1);
    }
```

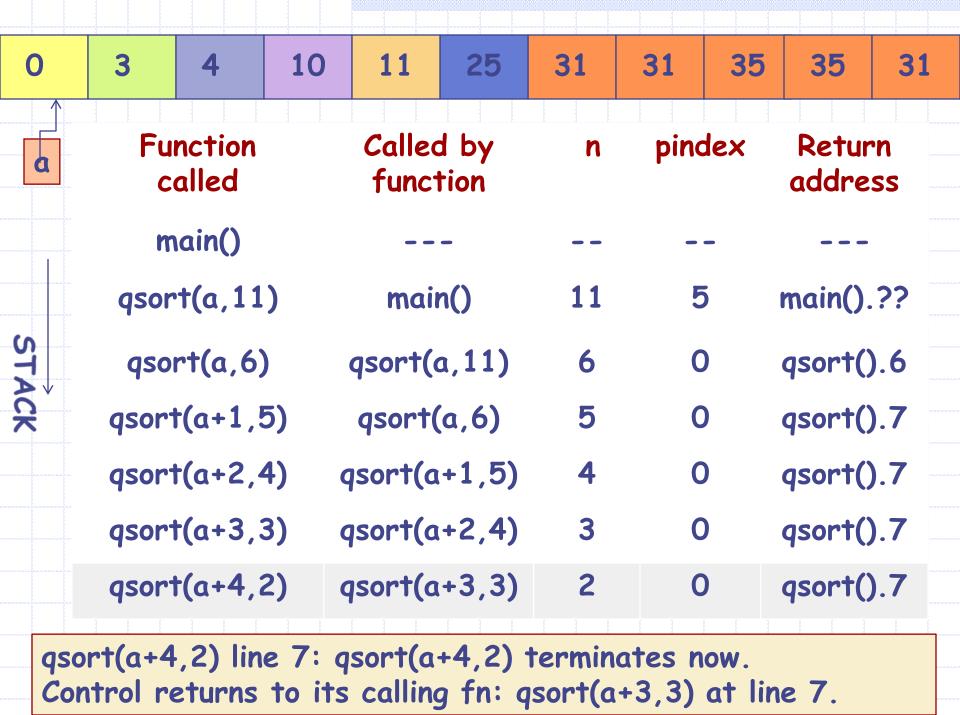
Line 7 terminates the call to qsort(a,n).

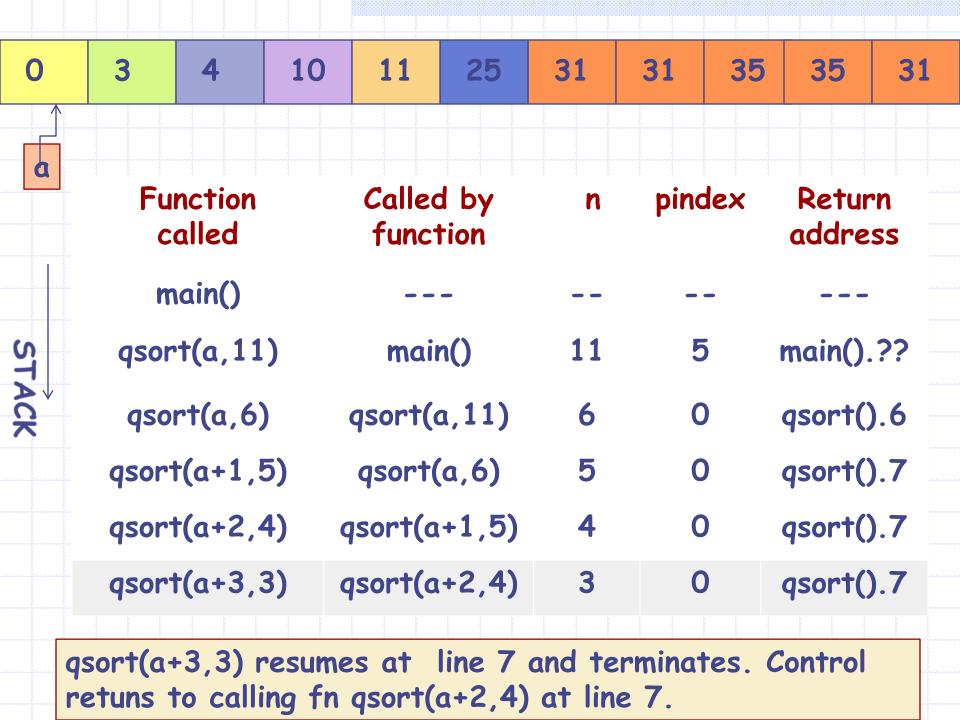
So stack changes as follows.

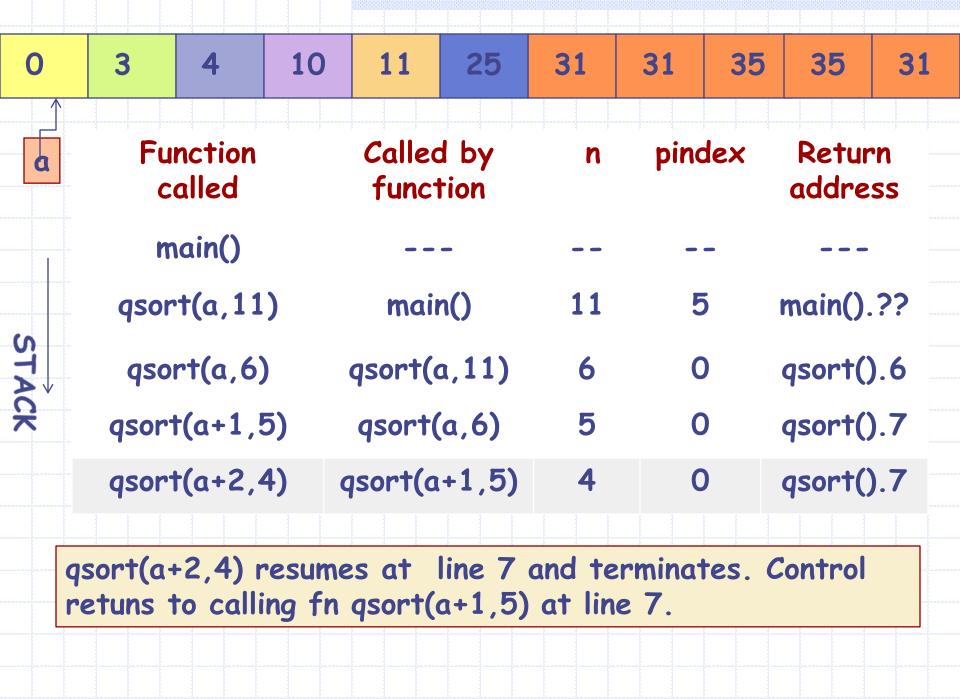


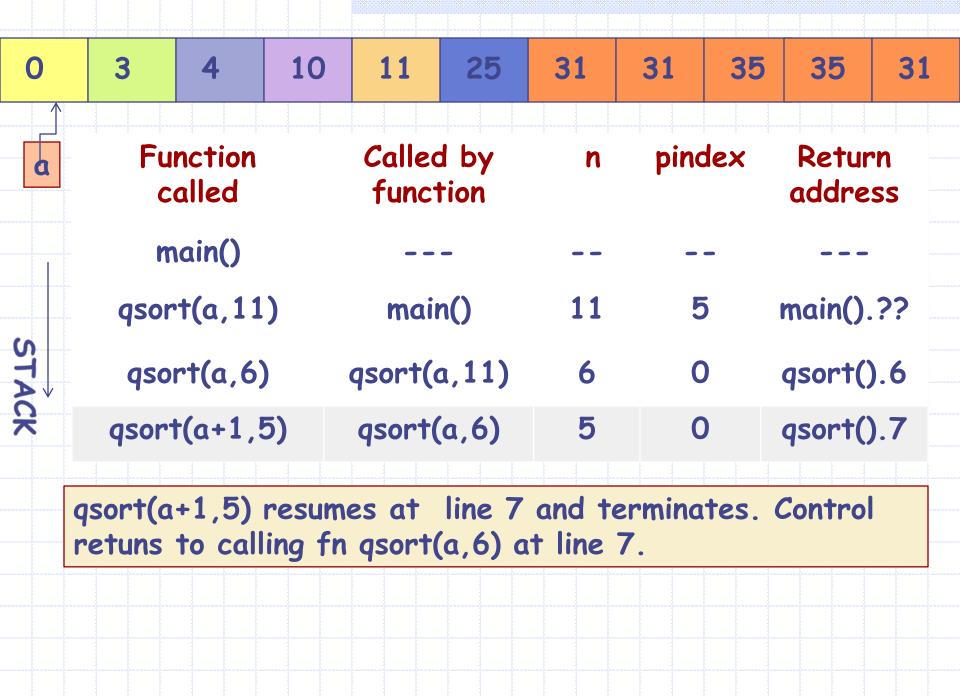


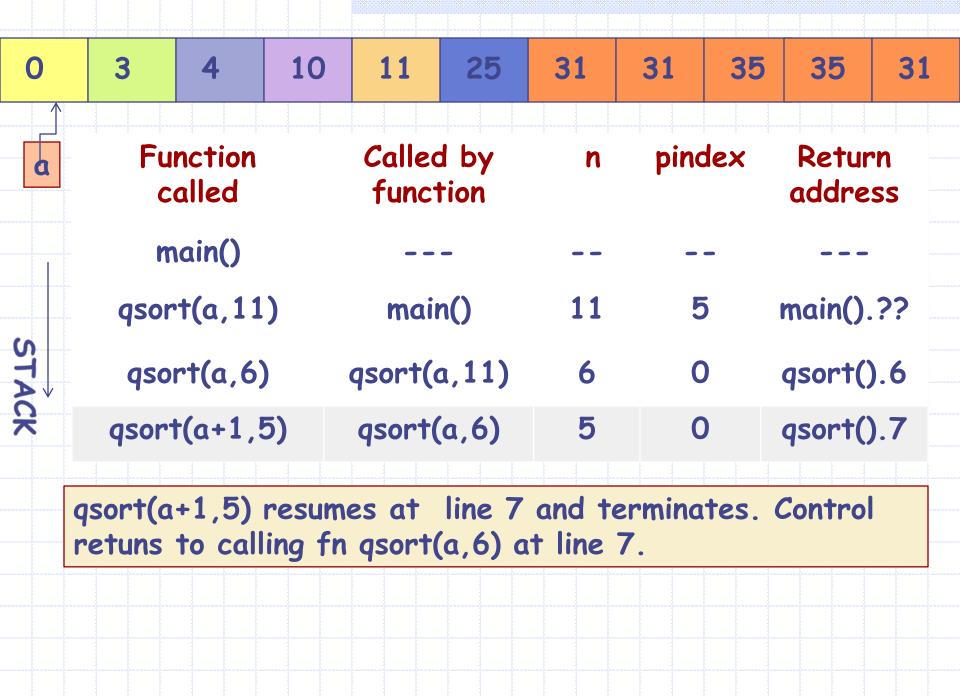


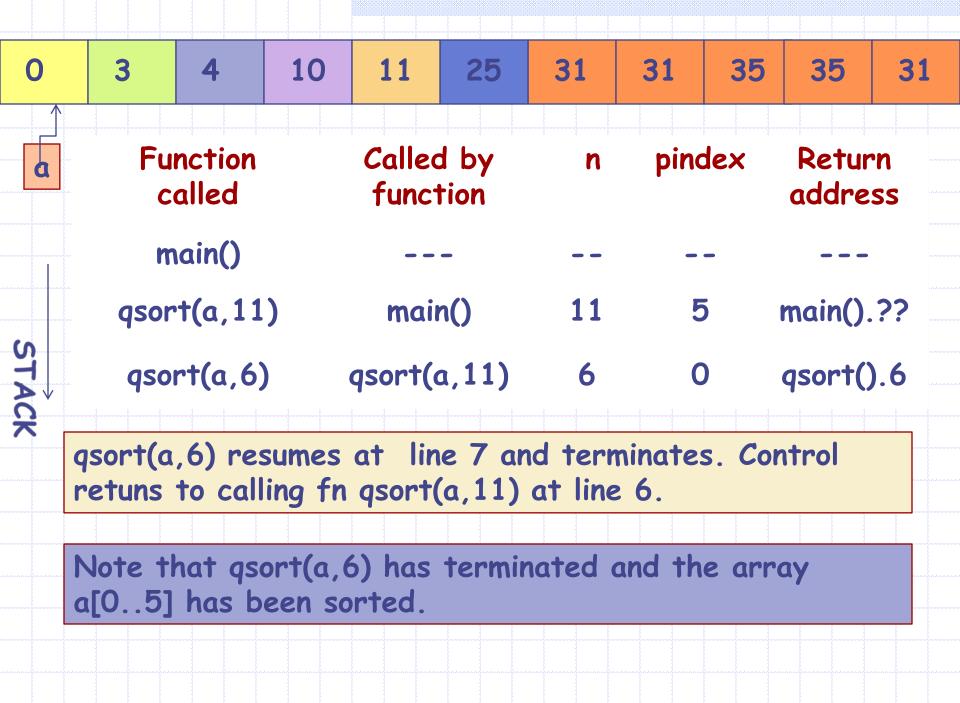


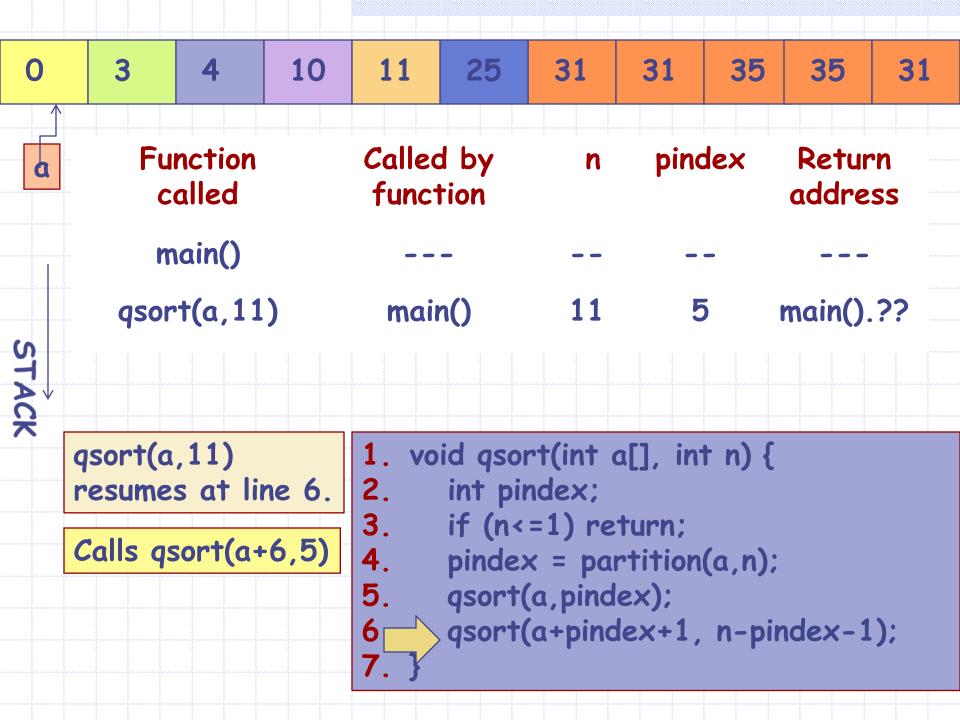


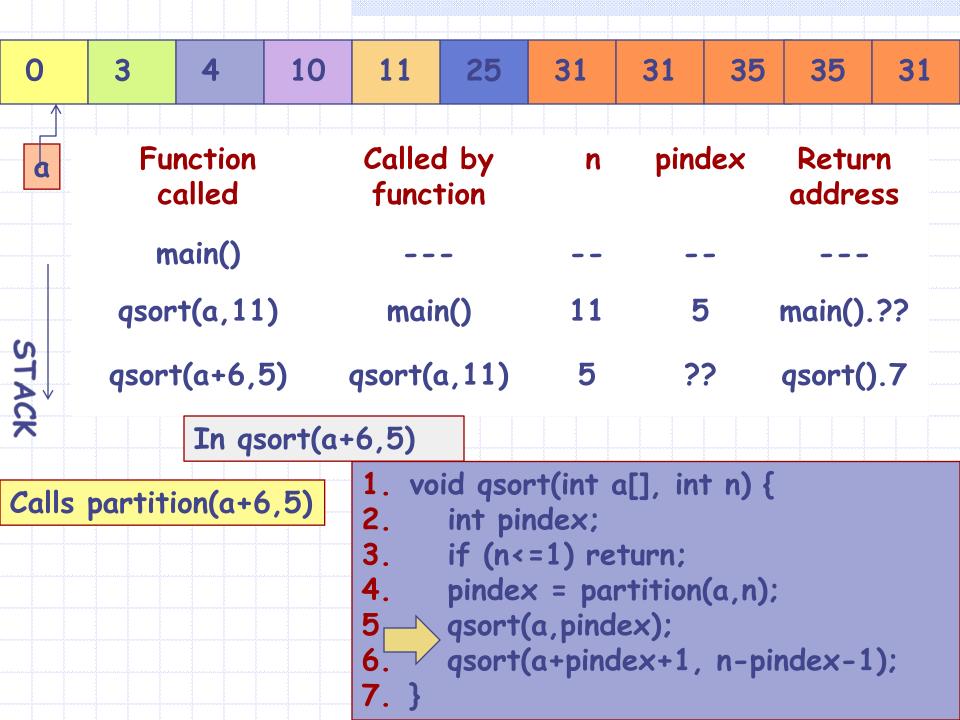


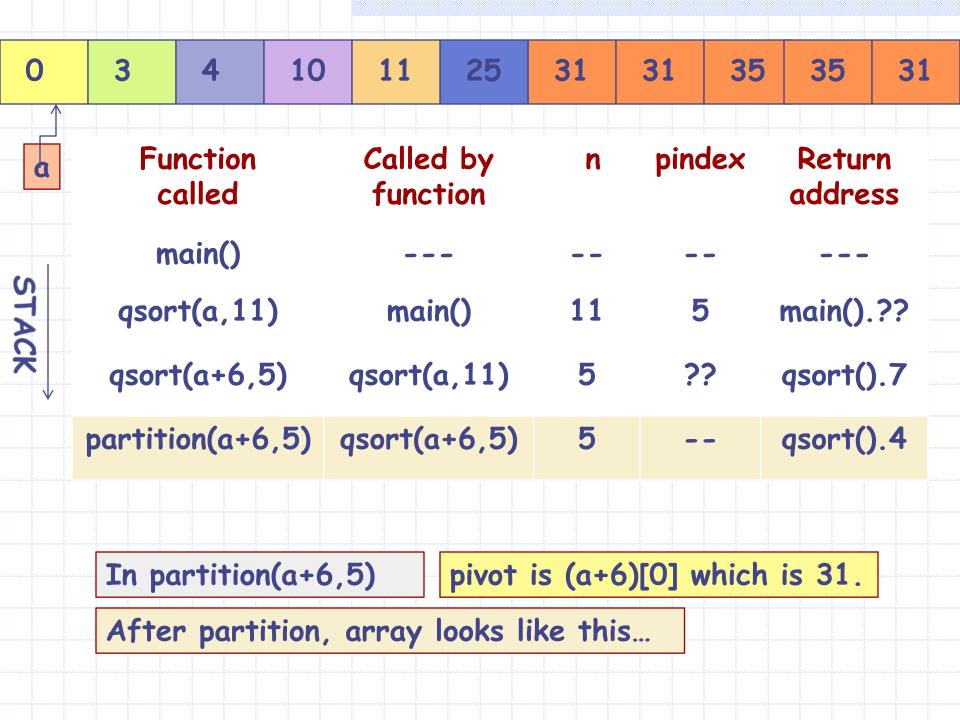


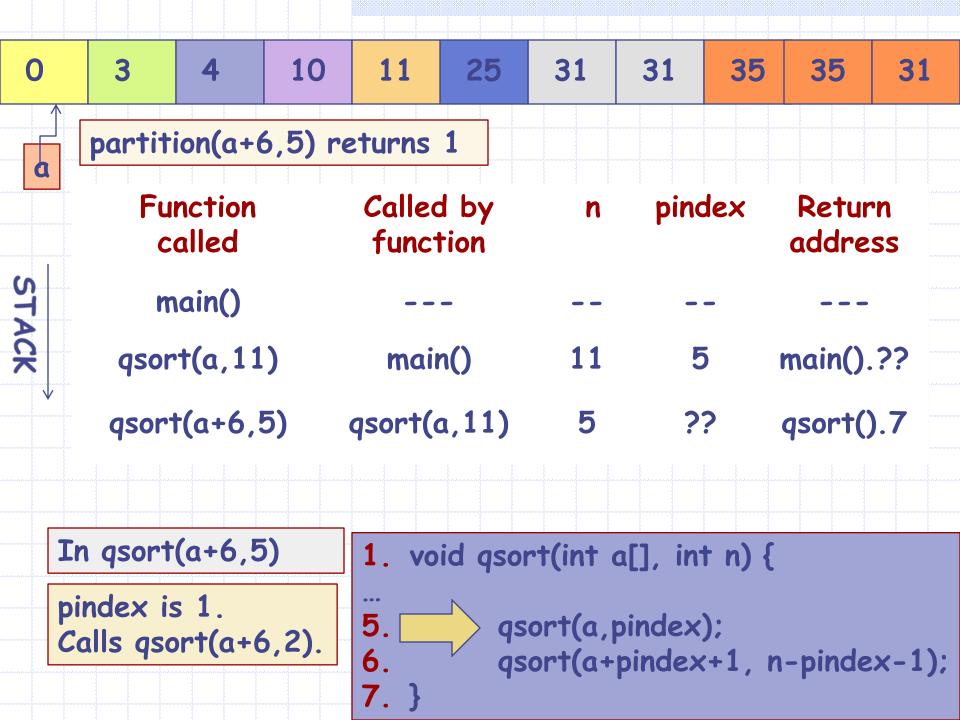


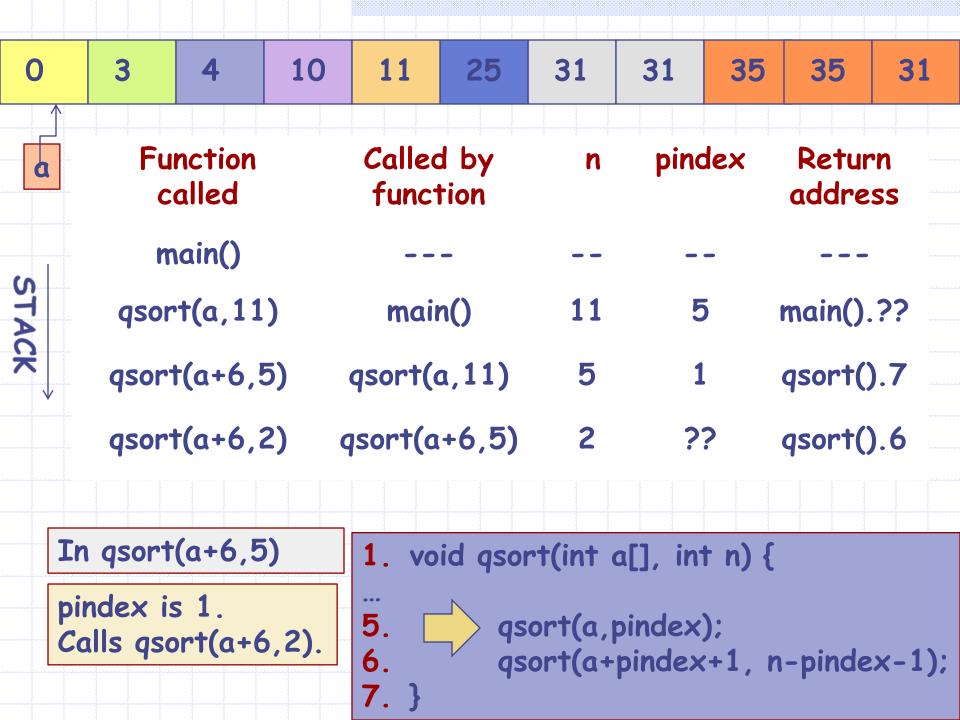


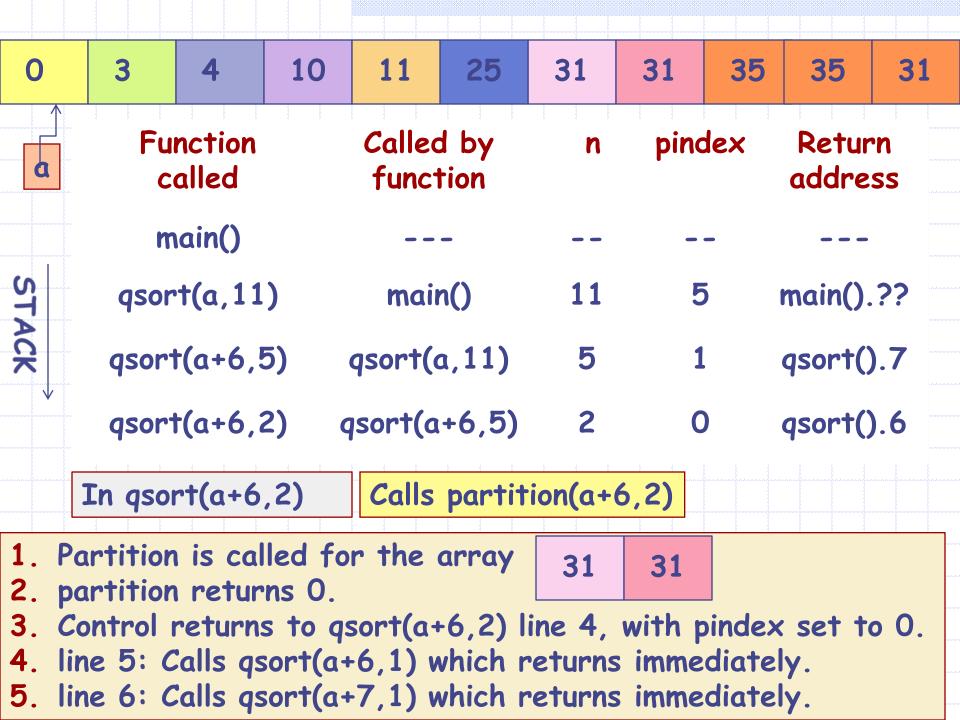


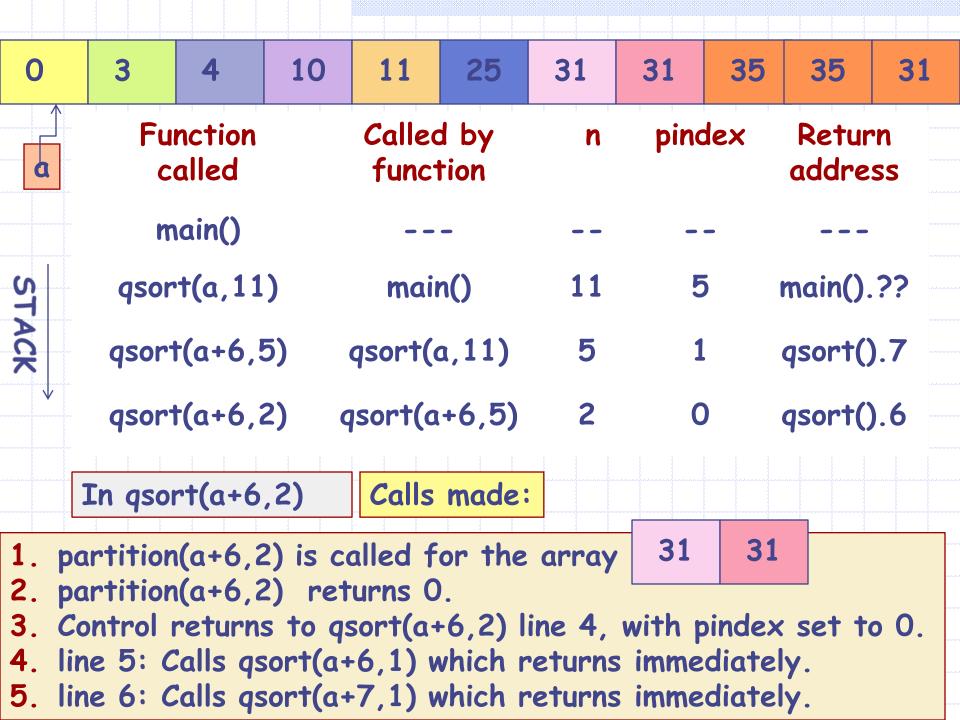


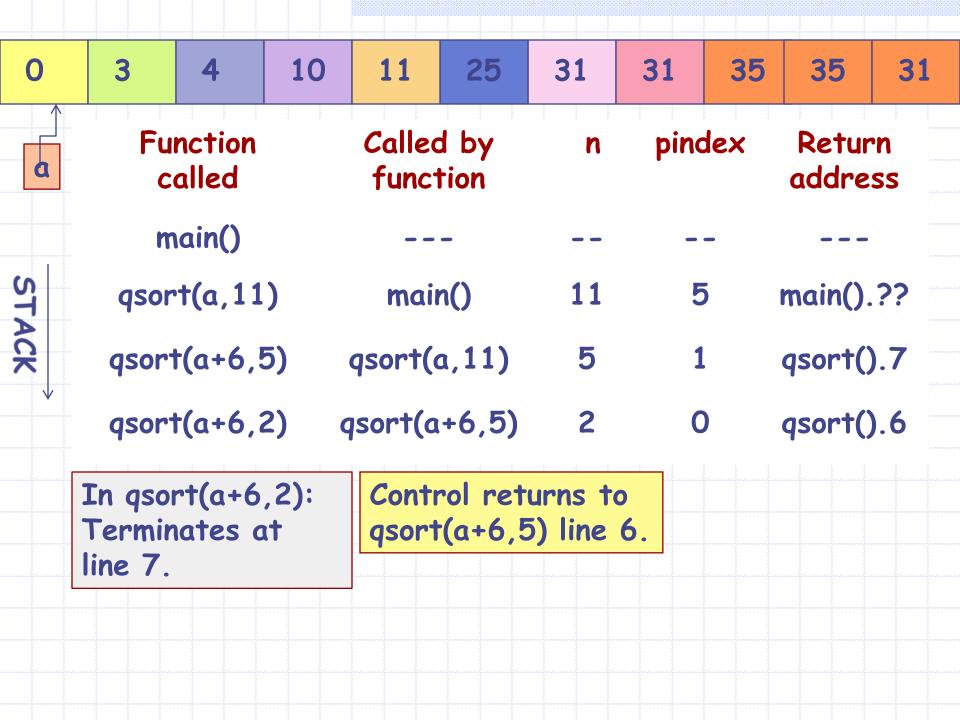


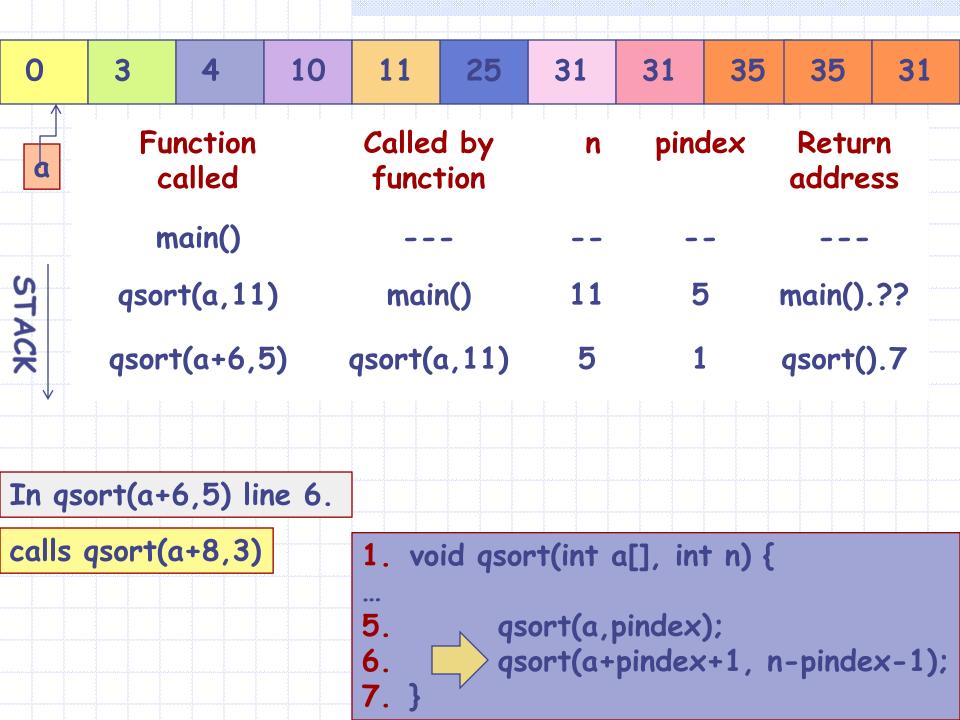


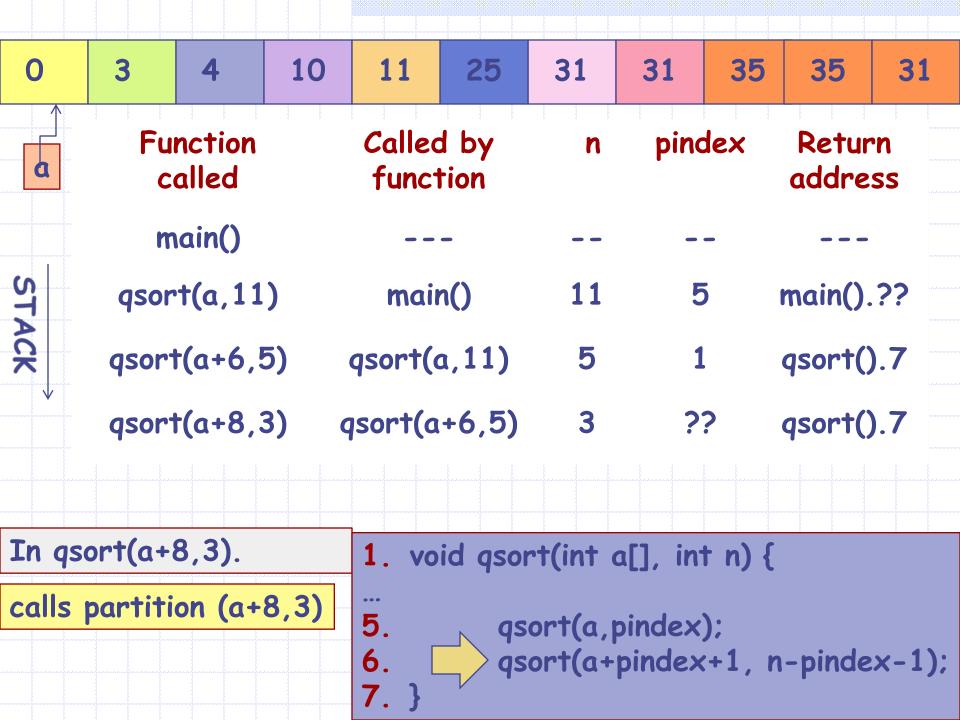


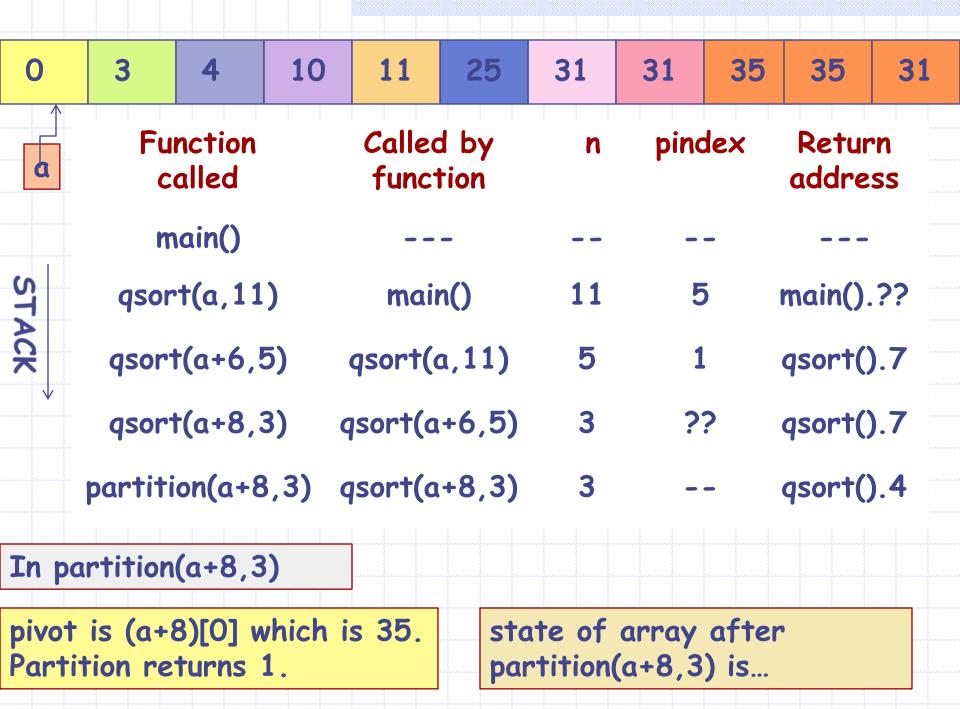


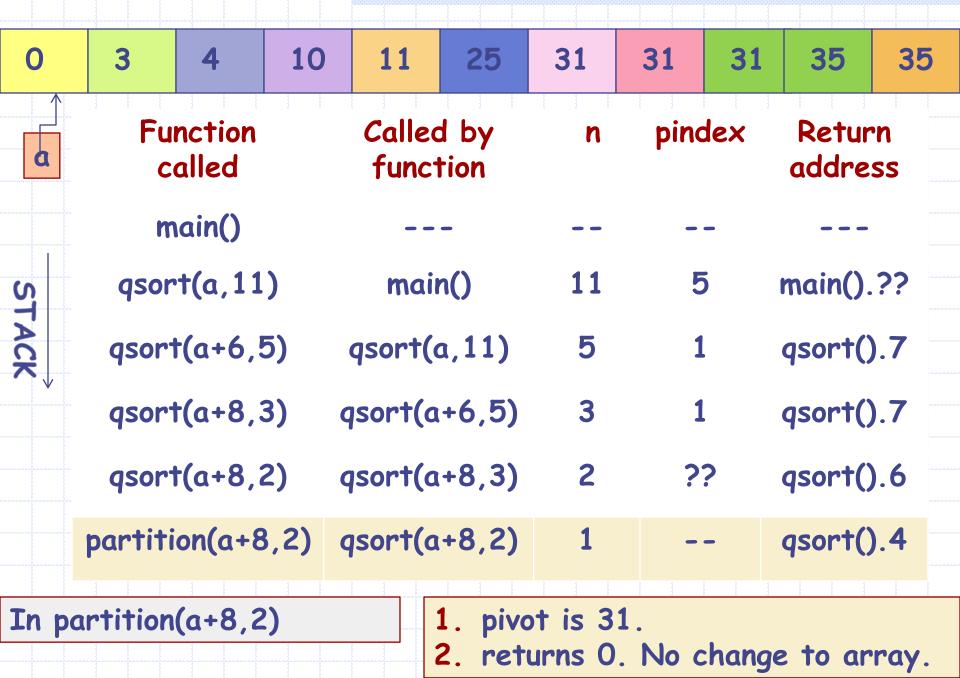


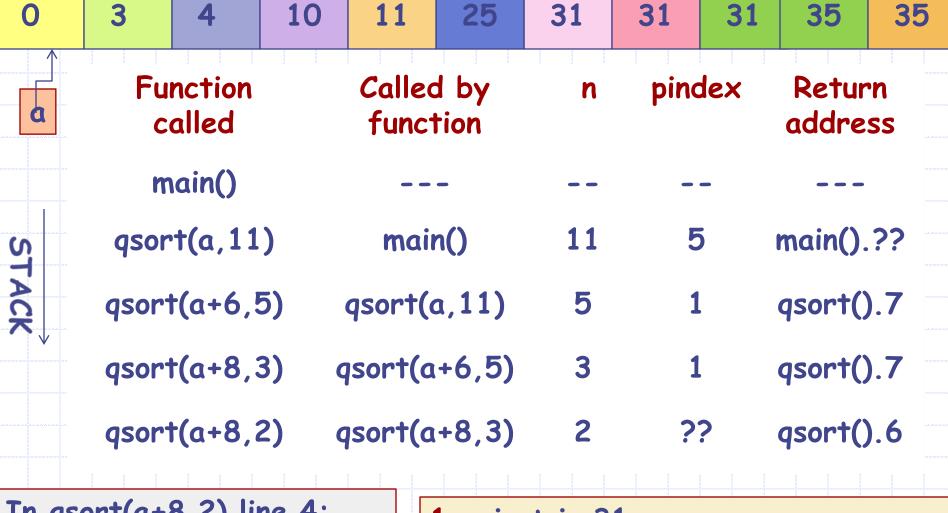




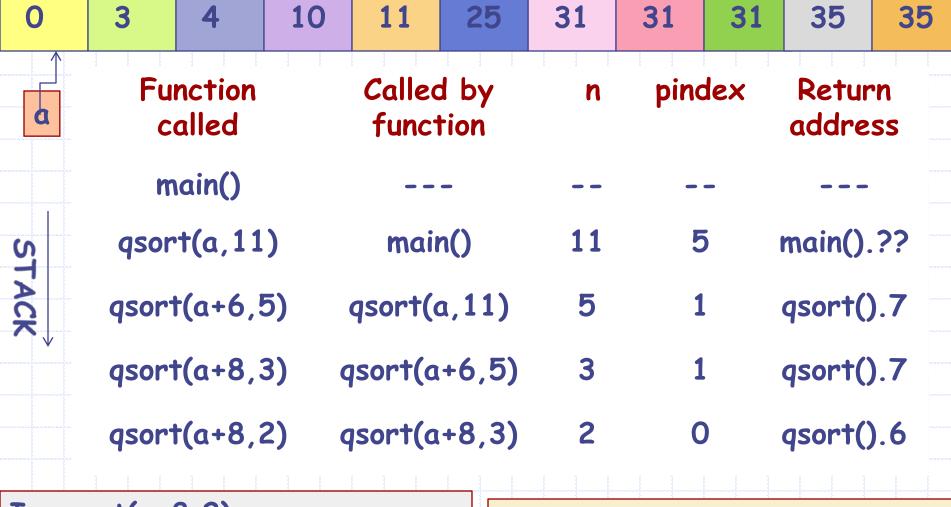






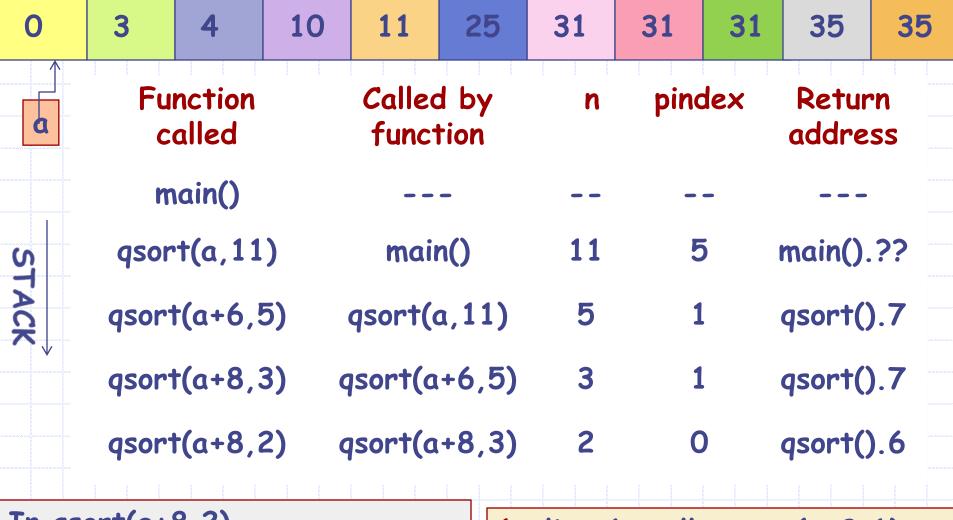


2. returns 0. No change to array.



- In qsort(a+8,2)
- 1. line 4: pindex is set to 0.
- 2. line 5: calls qsort(a+8,1).
- 3. this returns immediately.

- 1. line 6: calls qsort(a+9,1).
- 2. Returns immediately.
- 3. line 7: qsort(a+8,2) returns.



- In qsort(a+8,2)

 1. line 4: pindex is set to 0.
- 2. line 5: calls qsort(a+8,1).
- 3. this returns immediately.

- 1. line 6: calls qsort(a+9,1).
- 2. Returns immediately.
- 3. line 7: qsort(a+8,2) returns to call qsort(a+8,3) line 6.

