

## “Know your Customer” Form / Individuals

---

Account n°:

Dear Customer

In order to comply with Swiss legislation on the prevention of money laundering we are obliged to update our customer file. We kindly invite you to fill in this form and return it to us at your earliest convenience. Subject to Federal or Cantonal regulations concerning the obligation to testify and to furnish information to a Swiss government authority, please be assured that no information will be divulged to third parties.

We thank you in advance of your kind cooperation and remain

Yours faithfully,

Swissquote Bank

**Connection with the contracting partner / client:**

Beneficial / ultimate owner     Account holder     Holder of a power of attorney     Other: \_\_\_\_\_

**Personal details:**

Name & First name: \_\_\_\_\_

Date of birth: \_\_\_\_\_ Place of birth: \_\_\_\_\_

Nationality(ies): \_\_\_\_\_

Legal address: \_\_\_\_\_

Correspondence address: \_\_\_\_\_

Family status & children: \_\_\_\_\_

**General information:**

Exact details about the activity, which has generated the origin of your assets invested in the account / source of the assets  
\_\_\_\_\_  
\_\_\_\_\_

**Please attach corroborating documents as a proof of the origin of your assets, such as: contracts, sales agreements, declaration of income, certificate of inheritance, heirs certificate, deed of donation, etc.**

Approximate value of your overall assets

- |   |  |
|---|--|
| <input type="radio"/> < CHF 500'000             | <input type="radio"/> CHF 2'500'000 – 7'500'000  |
| <input type="radio"/> CHF 500'000 – 1'000'000   | <input type="radio"/> CHF 7'500'000 – 10'000'000 |
| <input type="radio"/> CHF 1'000'000 – 2'500'000 | <input type="radio"/> > CHF 10'000'000           |

Are you involved in debt/insolvency proceedings?

- No  
 Yes (if yes, please specify):  
\_\_\_\_\_

Details about investment of your worldwide assets

- Liquidity
- Real estate
- Shares
- Bonds
- Funds
- Other

	percentage

Details about your education / training

---



---



---



---

**Professional situation:**

**Employed**

Employer's name and address:

---

Sector of activity:

---

Position / function:

---

Internet site:

www.\_\_\_\_\_

**Self-employed**

Company's name and address:

---

Sector of activity:

---

Position / function:

---

Participation (Owner / degree of participation %):

---

Number of employees:

---



Annual turnover:

Internet site:

www.\_\_\_\_\_

**Retired**

Former activity and name/address of the former employer/company

**Other**

Please specify

Do you have any political functions in Switzerland and/or abroad?

Yes                            No

If yes, which one?

---

---

Are you parent and/or allied to persons with important political functions?

Yes                            No

If yes: what kind of political function?

---

---

If yes: what kind of relationship do you have with this person?

---

---

**Purpose of the account :**

**What kind of activities your account is or will be used for?**

- Receipt of commission / Payment of commission**
- International payments / Other payments**

Please specify the reason(s) and/or the economic background:

---

---

If the reason(s) relates to a previous or an actual professional activity, please specify:

---

---



**Savings / Capital building**

Please specify the final beneficial owner(s):

---

---

**Trading**

Which type(s) of securities and financial products are you interested in ?

---

---

**Fiduciary deposit(s)**

What is the term of the investments that you intend to do?

---

**Credit card**

**Other(s)**

Please specify :

---

**Which are the primary uses, from all the uses quoted?**

- |   |  |
|---|--|
| <input type="radio"/> Receipt of commission / payment of commission | .. International payments / Other payments |
| <input type="radio"/> Trading                                       | .. Fiduciary deposit (s)                   |
| <input type="radio"/> Savings / Capital building                    | .. Credit card                             |
| <input type="radio"/> Other(s), please specify                      |  |

---

---

**Special comments**

---

---

- I, undersigned, undertake to inform Swissquote Bank spontaneously on any and all changes pertaining to information contained in the present document as soon as they occur.**

Place & Date

Signature of the Customer:

---

---