## ResNet-18 CNN using CIFAR-10

#### **ResNets**

A **residual neural network** (**ResNet**) is an <u>artificial neural network</u> (ANN). It is a gateless or open-gated variant of the <u>HighwayNet</u>, the first working very deep <u>feedforward neural network</u> with hundreds of layers, much deeper than previous neural networks. *Skip connections* or *shortcuts* are used to jump over some layers (<u>HighwayNets</u> may also learn the skip weights themselves through an additional weight matrix for their gates). Typical *ResNet* models are implemented with double- or triple- layer skips that contain nonlinearities (<u>ReLU</u>) and batch normalization in between. Models with several parallel skips are referred to as *DenseNets*. In the context of residual neural networks, a non-residual network may be described as a *plain network*.

#### **Need for ResNet**

Mostly in order to solve a complex problem, we stack some additional layers in the Deep Neural Networks which results in improved accuracy and performance. The intuition behind adding more layers is that these layers progressively learn more complex features. For example, in case of recognising images, the first layer may learn to detect edges, the second layer may learn to identify textures and similarly the third layer can learn to detect objects and so on. But it has been found that there is a maximum threshold for depth with the traditional Convolutional neural network model. Here is a plot that describes error% on training and testing data for a 20 layer Network and 56 layers Network.

We can see that error% for 56-layer is more than a 20-layer network in both cases of training data as well as testing data. This suggests that with adding more layers on top of a network, its performance degrades. This could be blamed on the optimization function, initialization of the network and more importantly vanishing gradient problem. You might be thinking that it could be a result of overfitting too, but here the error% of the 56-layer network is worst on both training as well as testing data which does not happen when the model is overfitting.

### What problem does ResNet solve?

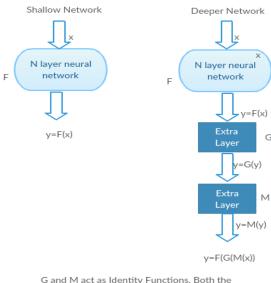
#### **Problem:**

When deeper networks starts converging, a degradation problem has been exposed: with the network depth increasing, accuracy gets saturated and then degrades rapidly.

#### Seeing Degrading in Action:

Let us take a shallow network and its deeper counterpart by adding more layers to it.

**Worst case scenario:** Deeper model's early layers can be replaced with shallow network and the remaining layers can just act as an identity function (Input equal to output).



G and M act as Identity Functions. Both the Networks Give same output

**Rewarding scenario:** In the deeper network the additional layers better approximates the mapping than it's shallower counterpart and reduces the error by a significant margin.

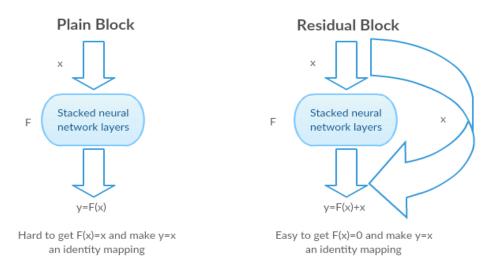
**Experiment:** In the worst case scenario, both the shallow network and deeper variant of it should give the same accuracy. In the rewarding scenario case, the deeper model should give better accuracy than it's shallower counterpart. But experiments with our present solvers reveal that deeper models doesn't perform well. So using deeper networks is **degrading** the performance of the model. This papers tries to solve this problem using **Deep Residual learning framework.** 

#### How to solve?

Instead of learning a direct mapping of  $x \rightarrow y$  with a function H(x) (A few stacked non-linear layers). Let us define the residual function using F(x) = H(x) - x, which can be reframed into H(x) = F(x) + x, where F(x) and x represents the stacked non-linear layers and the identity function(input=output) respectively.

## **Intuition behind Residual blocks:**

If the identity mapping is optimal, We can easily push the residuals to zero (F(x) = 0) than to fit an identity mapping (x, input=output) by a stack of non-linear layers. In simple language it is very easy to come up with a solution like F(x) = 0 rather than F(x)=x using stack of non-linear CNN layers as function (Think about it). So, this function F(x) is what the authors called Residual function.



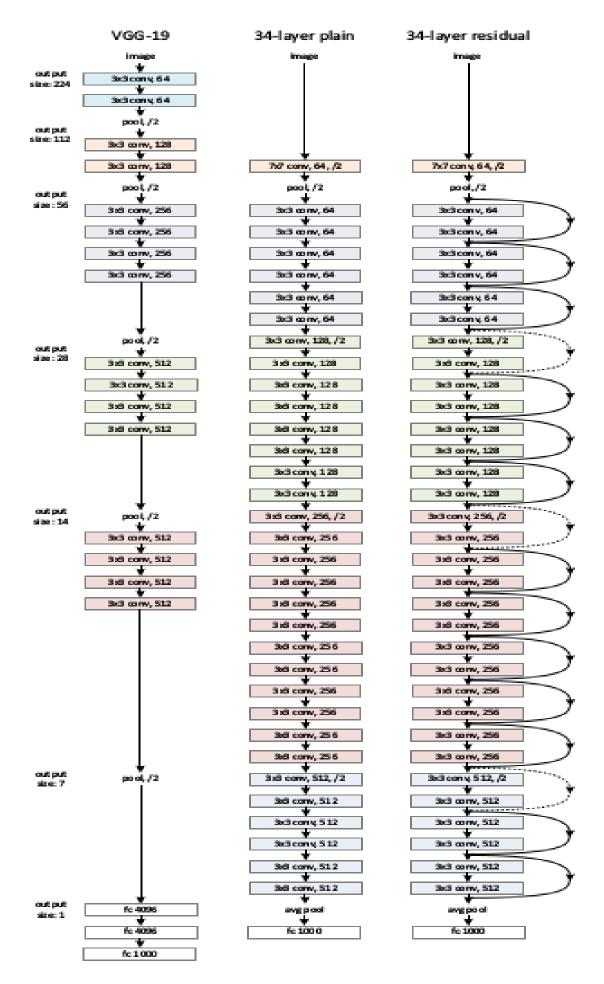
The authors made several tests to test their hypothesis. Let's look at each of them now.

## Test cases:

Take a plain network (VGG kind 18 layer network) (Network-1) and a deeper variant of it (34-layer, Network-2) and add Residual layers to the Network-2 (34 layer with residual connections, Network-3).

## **Designing the network:**

- 1. Use 3\*3 filters mostly.
- 2. Down sampling with CNN layers with stride 2.
- 3. Global average pooling layer and a 1000-way fully-connected layer with Softmax in the end.



# Building a CNN with Resnet-18 as the backbone andtraining it on CIFAR-10 dataset

We implemented a Resnet18 model from scratch using PyTorch. We implemented the basic blocks used in Resnets ourselves and then stacked them to create the final architecture.

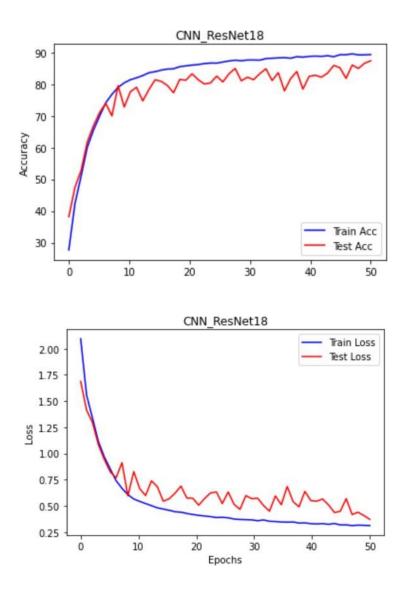
#### Structure of model:

```
ResNet(
  (conv1): Conv2d(3, 64, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
  (bn1): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
  (layer1): Sequential(
    (0): BasicBlock(
      (conv1): Conv2d(64, 64, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn1): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (conv2): Conv2d(64, 64, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn2): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (shortcut): Sequential()
    (1): BasicBlock(
      (conv1): Conv2d(64, 64, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn1): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (conv2): Conv2d(64, 64, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn2): BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
      (shortcut): Sequential()
    )
  (layer2): Sequential(
    (0): BasicBlock(
      (conv1): Conv2d(64, 128, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1), bias=False)
      (bn1): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (conv2): Conv2d(128, 128, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn2): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (shortcut): Sequential(
        (0): Conv2d(64, 128, kernel_size=(1, 1), stride=(2, 2), bias=False)
        (1): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
    (1): BasicBlock(
      .
(conv1): Conv2d(128, 128, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn1): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (conv2): Conv2d(128, 128, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
      (bn2): BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
      (shortcut): Sequential()
 )
```

```
(layer3): Sequential(
  (0): BasicBlock(
    (conv1): Conv2d(128, 256, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1), bias=False)
    (bn1): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (conv2): Conv2d(256, 256, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
    (bn2): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (shortcut): Sequential(
      (0): Conv2d(128, 256, kernel_size=(1, 1), stride=(2, 2), bias=False)
      (1): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
  (1): BasicBlock(
    (conv1): Conv2d(256, 256, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
    (bn1): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track running stats=True)
    (conv2): Conv2d(256, 256, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
    (bn2): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (shortcut): Sequential()
 )
(layer4): Sequential(
  (0): BasicBlock(
    (conv1): Conv2d(256, 512, kernel_size=(3, 3), stride=(2, 2), padding=(1, 1), bias=False)
    (bn1): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (conv2): Conv2d(512, 512, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
    (bn2): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (shortcut): Sequential(
      (0): Conv2d(256, 512, kernel_size=(1, 1), stride=(2, 2), bias=False)
      (1): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
   )
  (1): BasicBlock(
    (conv1): Conv2d(512, 512, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
    (bn1): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (conv2): Conv2d(512, 512, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1), bias=False)
    (bn2): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
   (shortcut): Sequential()
(linear): Linear(in_features=512, out_features=10, bias=True)
```

## Training the model:

We trained the model on the CIFAR10 dataset for 50 epochs. The hyperparameters chosen are in the attached code file. For each epoch, we evaluated the model performance on the test set as well for a comparative analysis. The plots for training accuracy and loss are shown below:



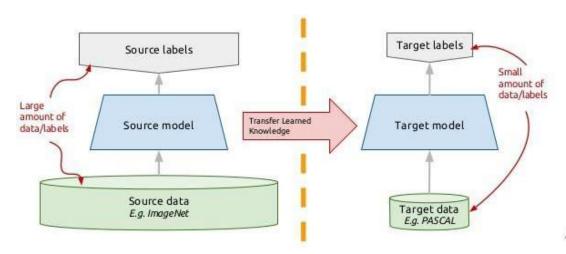
#### Performance at the end of 50 epochs was:

```
Epoch: 49
Train Epoch: 49 [31200/50000 (100%)] Acc: 89.290% (44645/50000) Loss: 0.311361
Test set: Average loss: 0.3705, Accuracy: 8735/10000 (87%)
```

### **Transfer Learning:**

Transfer learning is a popular method in computer vision because it allows us to build accurate models in a timesaving way (Rawat & Wang 2017). With transfer learning, instead of starting the learning process from scratch, you start from patterns that have been learned when solving a different problem. This way you leverage previous learnings and avoid starting from scratch. In computer vision, transfer learning is usually expressed using pre-trained models. A pre-trained model is a model that was trained on a large benchmark dataset to solve a problem like the one that we want to solve.

## Transfer learning: idea



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## Part (a)

Initialize the ResNet-18 network with pretrained weights from ImageNet and use these weights toimprove the training for the CIFAR-10 dataset.

#### 1. Prebuilt model with pretrained = False

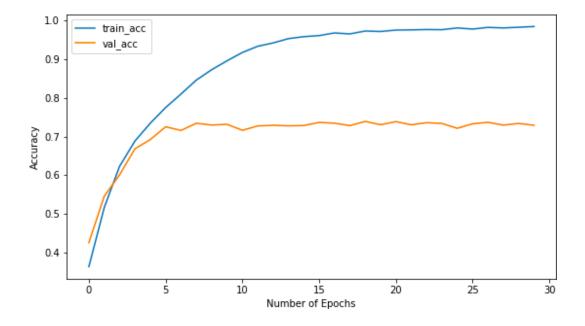
For this problem, the first thing we do is create a base model which we will use to benchmark our other results and tuning.

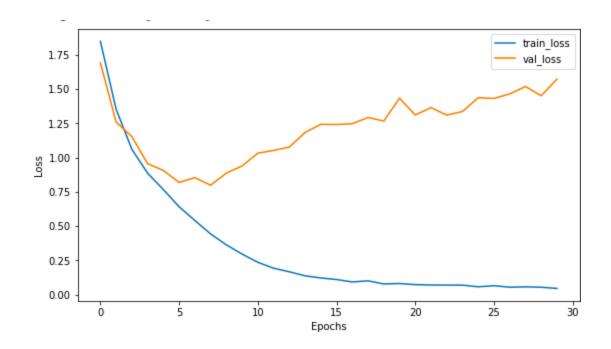
For this, we import prebuilt the model of ResNet18 in Pytorch and keep pretrained = false

model\_rn = models.resnet18(pretrained=False)

Therefore, this is a raw model with randomly initialized weights and we will train this and store the results as the comparative benchmark for future tuning.

The training and validation plots after training on 30 epochs are as follows:





## Final evaluation on train, Val and test set:

Training Accuracy: 0.986
Validation Accuracy: 0.739
Testing Accuracy: 0.738

We can see that even before 10 epochs, the validation loss starts increasing and validation accuracy saturates. This shows that there is overfitting occurring on the training set. This also suggests the use of dropout in the future tuning.

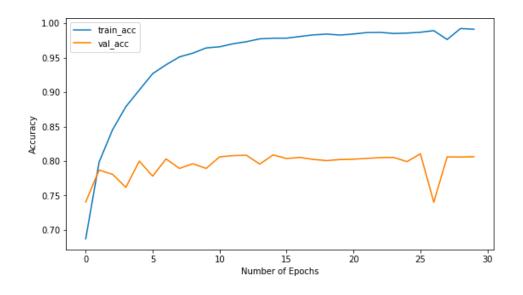
One thing to note is that this model takes more than 9 minutes to train on the 50 epochs.

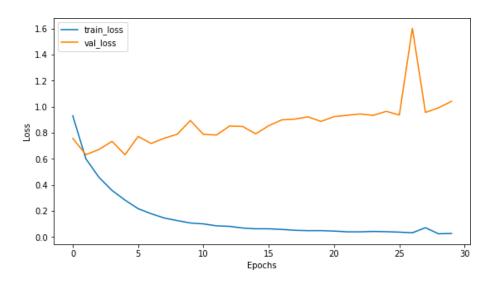
#### 1)Prebuilt model with pretrained = true and all weights arelearnable

Next, we use the prebuilt model which has already been pretrained on the ImageNet dataset. This model is made using models.resnet18(pretrained=True)

Moreover, in this model, during training we have allowed all weights to be updated using the optimizer. Therefore, even though the model is pretrained on the ImageNet dataset, the weights can further be learned as per the CIFAR10 dataset.

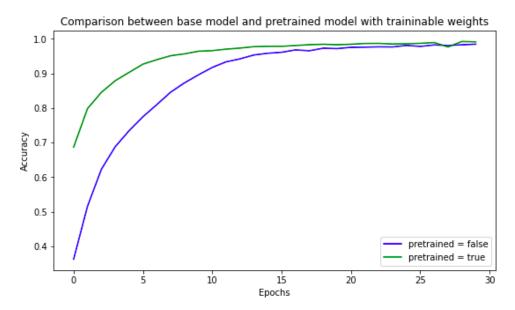
The training plots of 30 epochs on the CIFAR10 dataset are as follows:

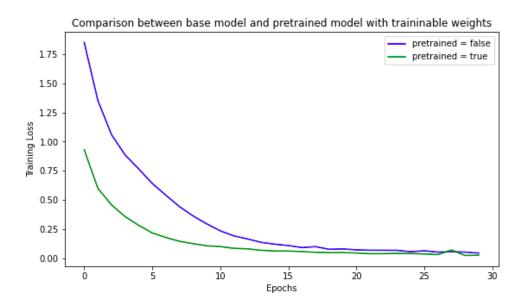




Training Accuracy: 0.995
Validation Accuracy: 0.811
Testing Accuracy: 0.804

#### Comparison between pretrained = True and pretrained = False





As we can see, it is clearly evident that the training accuracy increases and loss decreases very fast in the pretrained model as compared to the fresh model which isn't pretrained. This shows the clear advantage of transfer learning since a lot of the initial layers of the pretrained model detect basic features such as edges and texture which is independent of the dataset and so thesame learned layers can be used on other datasets as well. Therefore, the training is faster as there is less learning and the pretrained model very quickly adapts to the CIFAR10 dataset andreaches high accuracy very fast.

Also, the training on this model happened in 6 minutes 37 seconds.

2)Config1: Pretrained Model but freezing first few layers sothat their weights aren't changed

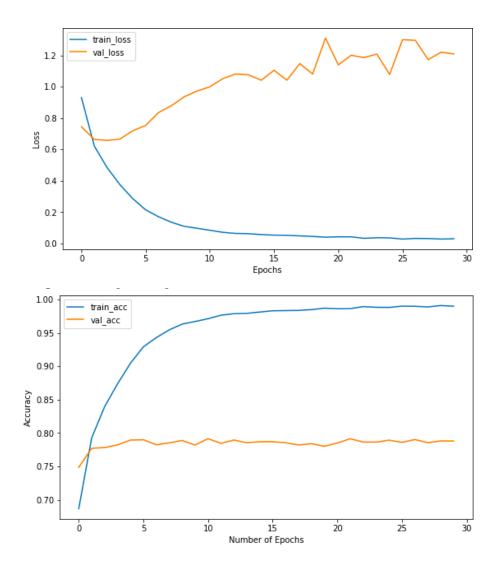
In this sub-experiment, we used the same pretrained model as above but this

time before training, we fixed the weights of the first 7 layers by setting their "requires\_grad" parameter as false. This is done to support the argument that the initial layers' weightstrained are independent of the dataset.

As a further step, instead of the simple linear layer at the last step, we add an extra layer and dropout in the last fc layer of this model.

The fc layer added is as follows:

```
(fc): Sequential (
  (0): Linear (in_features=512, out_features=128, bias=True)(1): ReLU ()
  (2): Dropout (p=0.3, inplace=False)
  (3): Linear (in_features=128, out_features=10, bias=True)
)
```



Training Accuracy: 0.990 Validation Accuracy: 0.792 Testing Accuracy: 0.790

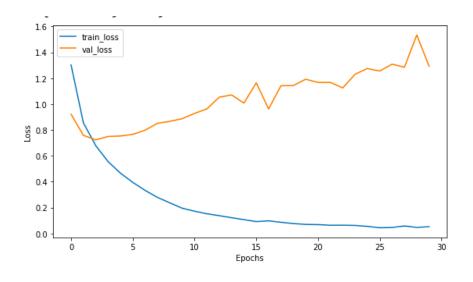
## 3)Config2: Pretrained Model but freezing first few layers sothat their weights aren't changed

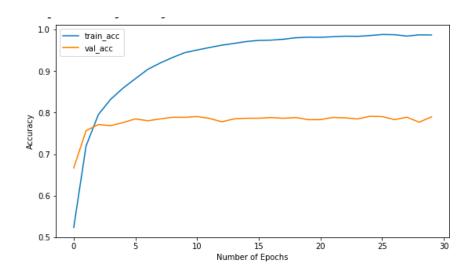
In this configuration, we have done everything similar to the previous configuration (Freezing first 7 layers' weights) but the difference is that this time, we have used the following layer as the last layer:

```
(fc): Sequential (
    (0): Linear (in_features=512, out_features=256, bias=True)(1): ReLU ()
    (2): Dropout (p=0.2, inplace=False)
    (3): Linear (in_features=256, out_features=128, bias=True)(4): ReLU ()
    (5): Dropout (p=0.2, inplace=False)
    (6): Linear (in_features=128, out_features=128, bias=True)(7): ReLU ()
    (8): Dropout (p=0.2, inplace=False)
    (9): Linear (in_features=128, out_features=64, bias=True)(10): ReLU ()
    (11): Dropout (p=0.2, inplace=False)
    (12): Linear (in_features=64, out_features=32, bias=True)(13): ReLU ()
    (14): Linear (in_features=32, out_features=10, bias=True)
    )
```

Basically, we have added and entire deep neural network at the end of the resnet architecture. We have also added dropout with dropout parameter 0.2 after each layer to bring in regularization.

The training on CIFAR10 using 30 epochs is as follows:

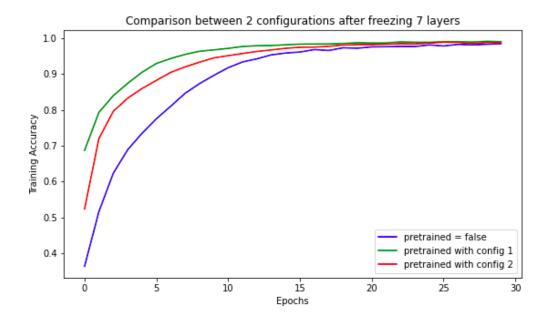


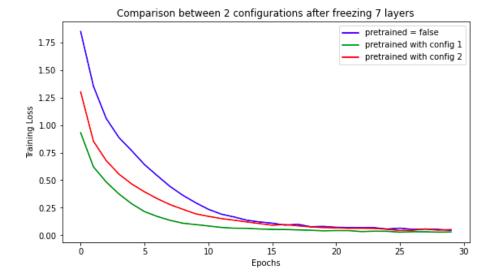


Training Accuracy: 0.995 Validation Accuracy: 0.791 Testing Accuracy: 0.792

## Comparison between Config1 and Config2:

The comparison is summarized using the following training plots:

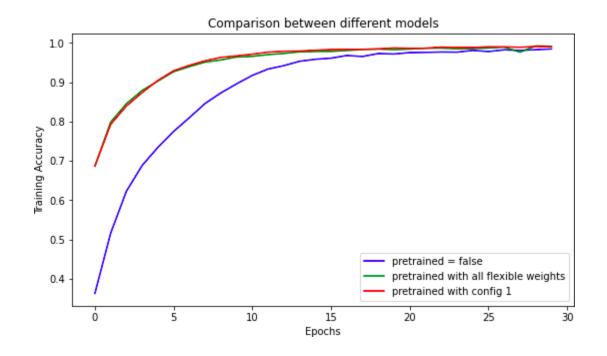


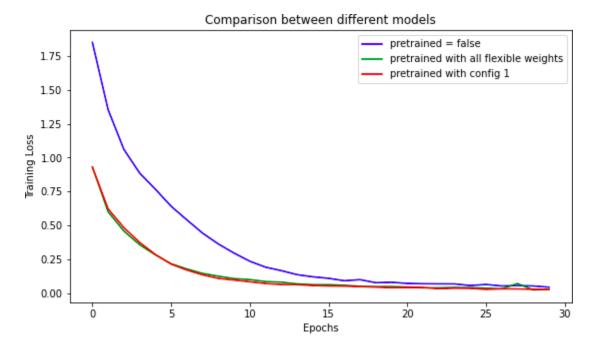


We can see clearly that the config 1 model performs the best and it performs way better than the base model. This is because in config 1, we have just frozen first 7 layers and have just added one linear layer in the end and so the training is very fast and happens over a very low number of epochs. In config 2, since we have added many more layers in the end, more epochs are required to train these new layers and so the training is slower. However, the thing to note is that the saturation accuracy and loss is the same in all the cases. Therefore, by using transfer learning, we aren't losing out on performance.

#### Final comparison between all the models

Since config 1 performs better than config2, we will use that for the final comparison.





We can see that the model in config1 performs almost similar to the pretrained model where all the weights are learnable. This supports our claim that the initial layers in transfer learning are independent of dataset and freezing or unfreezing them during training doesn't influence the training or final performance of the model.

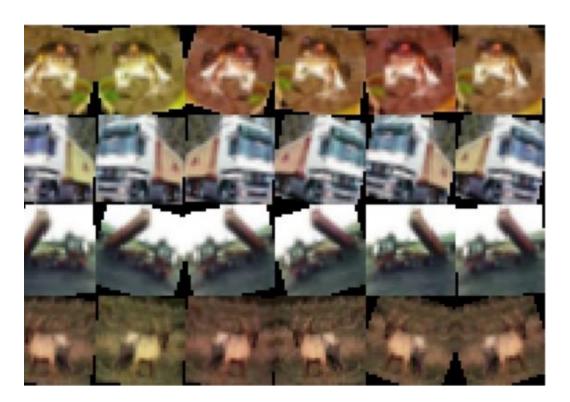
## Part (b)

## **Data Augmentation:**

Data augmentation is a technique that enables us to significantly increase the diversity of data available for training models, without actually collecting new data. Different augmentation techniques are used to create variations of the images that can improve the ability of models to fit the training data and generalize what they have learned to new images. Data augmentation techniques like cropping, padding, rotation, and horizontal flipping are commonly used to train large neural networks. This helps deep learning algorithms, such CNN to learn features that are invariant to their location in the image. Augmentation can further help in this transform invariant approach to learning and can aid the model in learning features that are also invariant to transforms such as left-to-right to top-to-bottom ordering, light levels in photographs, and more.But, sometimes, applying heavy augmentations unnecessarily can result in poor accuracy. It is generally advisable to not use data augmentation in test set, as we don't train over test set.

Some of the different data augmentation techniques used and their visualisations are:

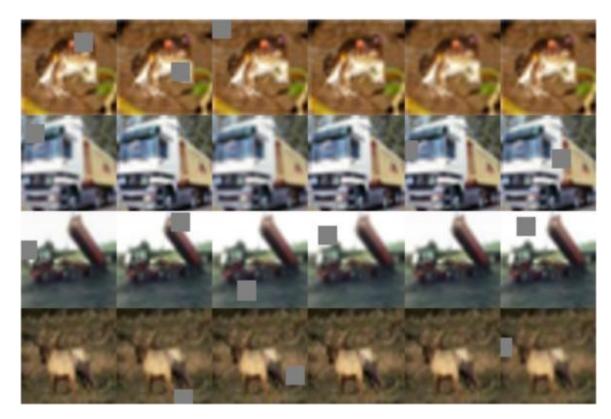
- 1. <u>RandomRotation(degrees):</u> Rotates the image by angle degrees. The network has to recognize the objectpresent in any orientation.
- 2. <u>RandomHorizontalFlip(p):</u> Horizontally flip the given PIL Image randomly with a given probability p. This scenario is important for the network to remove biasness of assuming certain features of the object are available in only a particular side.
- 3. ColorJitter(brightness=0, contrast=0, saturation=0, hue=0): Randomly changes the brightness, contrast and saturation of an image. The parameters describe how much to jitter. This is a very important type of diversity needed in the image dataset not only for the network to properly learn the object of interest but also to simulate the practical scenario of images being taken by the user.



- 4. <u>RandomResizedCrop(size)</u>: Crop the given Image to random size and aspect ratio.size expected output size of each edge
- 5. Resize(size): Resize the input PIL Image to the given size.
- 6. <u>CenterCrop(size)</u>: Crops the given PIL Image at the center.



- 7. Cutout is a simple regularization method for convolutional neural networks which consists of masking out random sections of input images during training. This technique simulates occluded examples and helps the model to take more minor features into consideration when making decisions, rather than relying on the presence of a few majorfeatures. Cutout has advantages like, we can simulate the situation when the subject is partially occluded. It can also promote the model to make full use of more content in the image for classification, and prevent the network from focusing only on the saliency area, thereby causing overfitting.
- 8. Random Gaussian Blur is an image data augmentation technique where we randomly blur the image using a Gaussian distribution. Deliberately introducing imperfections into the datasets is essential to making our machine learning models more resilient to the harsh realities they'll encounter in real world situations. Degrading image quality can becompleted in post processing without the problems of collecting more data and needing to label it.

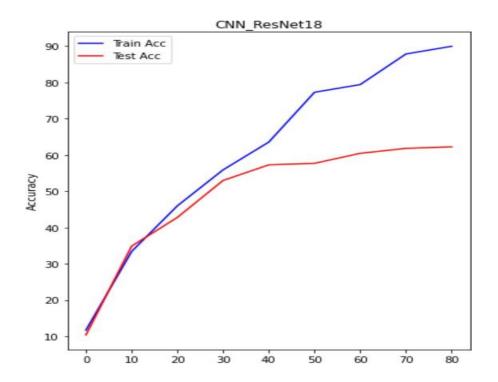


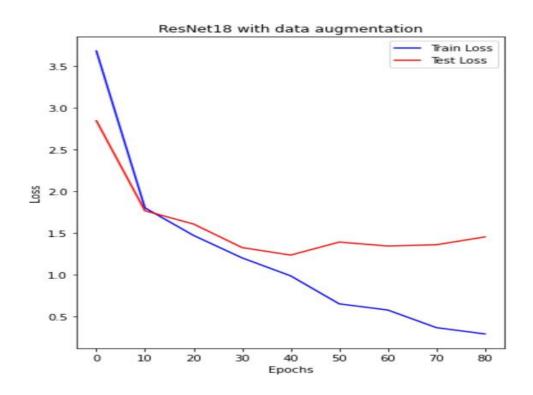
9. <u>Salt and Pepper noise:</u> Salt and Pepper noise is the addition of white and black dots to the image. Though this may seem unnecessary, it is important to remember that a general user who is taking images to feed into your network may not be a professional photographer. His camera can produce blurry images with lots of white and black dots. This augmentation helps such users keeping in mind these realistic conditions.



## **Results:**

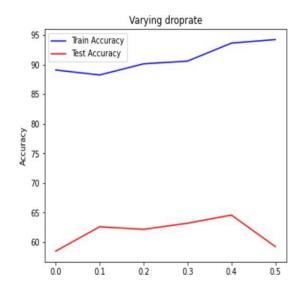
Classification Accuracy and Error on training and test data of the Tiny cifar 10 dataset after applying various data augmentation techniques is as follows:

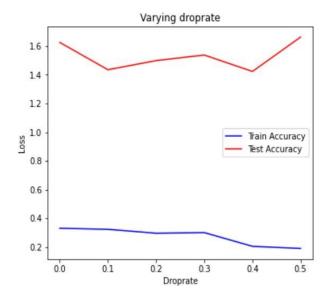




## **Implementing Dropout:**

Dropout after different layers was implemented where rate is the fraction of units to drop. Dropout rate was varied from 0 to 0.5 in steps of 0.1 and the training accuracy and loss was compared after training on 80 epochs. The plots of test accuracy and loss are shown below.



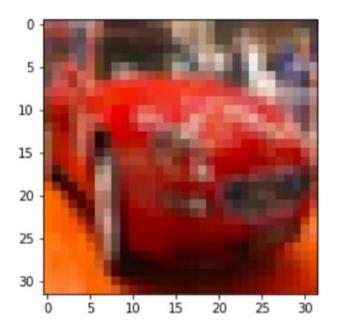


## Part (c)

## Visualizing Activations in different layers:

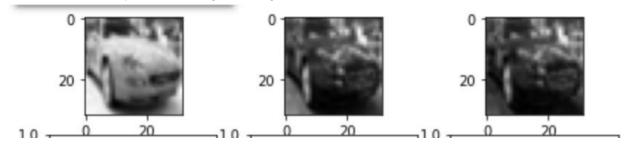
After training on the CIFAR10 model after applying transfer learning, we visualise some activations in different layers.

Let's take a example image of a car in the CIFAR 10 dataset. Visualizing the original image:

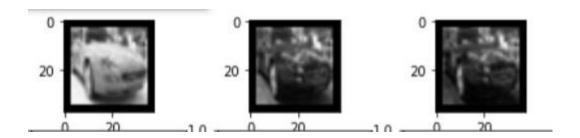


Now we pass this through the network and visualise the activations in the different layers. The activations have been converted to grayscale and have been distributed across channels.

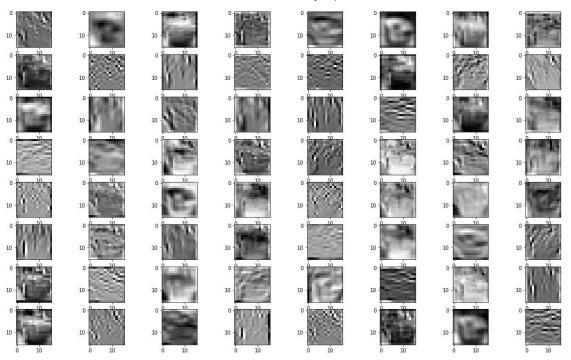
Layer 0: The original image has been divided into its channels.



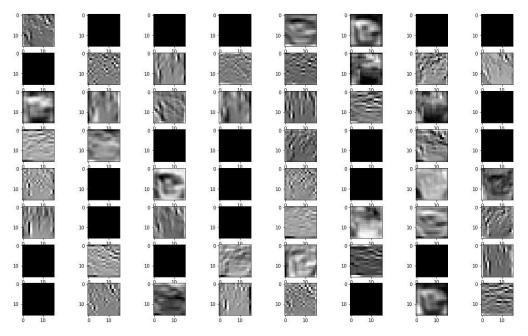
#### After first zero padding layer:



## After first Conv2D layer: The result after the first Conv2D block are as shown (before this there was also batchnorm layer)

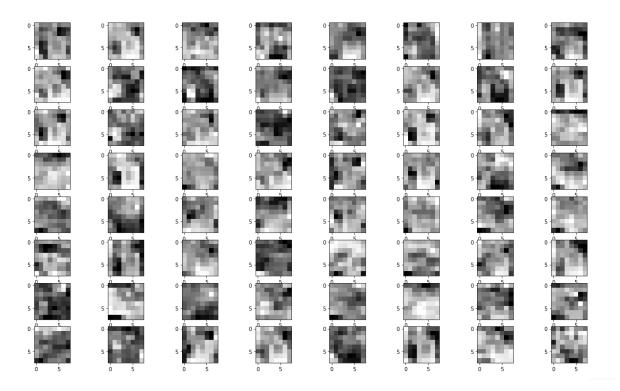


#### Activations after the first ReLU layer in the first block:

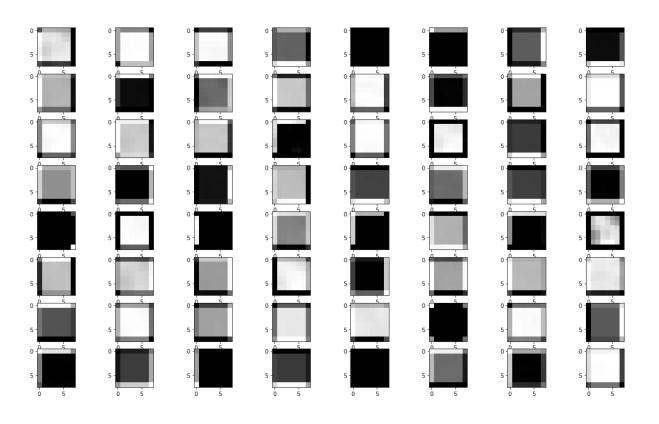


Some of the activations are black due to dead weights in these layers that havent been learned.

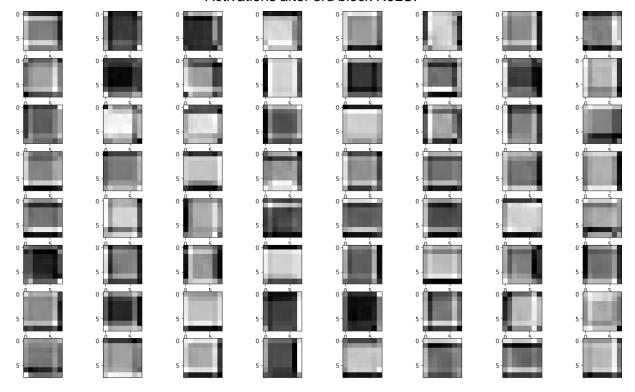
#### After second Conv2D layer

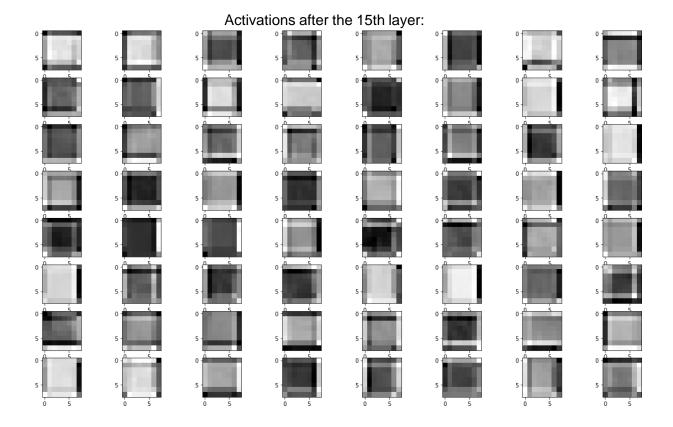


After 2 complete blocks of applying all operations such as maxpooling, batch norm, activations and Conv2D twice:



#### Activations after 3rd block ReLU:





We can see that the activations in the first few layers have some of the features of the original image but after a few layers the image features have been totally lost and the CNN architecture is learning from very minute level features that are indiscernible to the human eye. This shows the complexity of the entire Resnet model.