

# Chapter 1

## The Search for neutral MSSM Higgs Bosons in the final state:

$$\tau^+ \tau^- \rightarrow e\mu + 4\nu$$

Discovering the mechanism responsible for electroweak symmetry-breaking and the origin of mass for elementary particles has been one of the major goals of the physics program at the Large Hadron Collider (LHC) [1]. In the Standard Model (SM) this mechanism requires the existence of a single scalar particle, the Higgs boson [2, 3, 4, 5, 6]. In the Minimal Supersymmetric extension of the Standard Model (MSSM) [7, 8] the Higgs sector is composed of two Higgs doublets of opposite hyper-charge, resulting in five observable Higgs bosons. Two of these Higgs bosons are neutral and  $CP$ -even ( $h, H$ ), one is neutral and  $CP$ -odd ( $A$ ) and two are charged ( $H^\pm$ ). At tree level their properties such as masses, widths and branching ratios can be predicted in terms of only two parameters, often chosen to be the mass of the  $CP$ -odd Higgs boson  $m_A$ , and the ratio of the vacuum expectation values of the two Higgs doublets  $\tan\beta$ . For relatively large values of  $\tan\beta$  one of the  $CP$ -even Higgs bosons is almost degenerate in mass with  $A$ . Moreover, Higgs couplings to down (up) type fermions are enhanced (suppressed) by  $\tan\beta$ , meaning that for large  $\tan\beta$  bottom-quark and  $\tau$  lepton will play a more important role than in the SM case either for production and decay.

The production of the neutral  $CP$ -even MSSM Higgs bosons at hadron colliders proceeds via the same processes as for the SM Higgs production. However, the pseudoscalar  $A$  instead cannot be produced in association with gauge bosons or in vector boson fusion (VBF) at tree-level, as this coupling is forbidden due to  $CP$ -invariance. At the LHC one of the most relevant production mechanisms for the MSSM Higgs bosons is gluon-gluon fusion,  $gg \rightarrow A/H/h$ . In addition, the production in association with  $b$ -quarks becomes important for large value of  $\tan\beta$ . The decays of the neutral MSSM Higgs bosons (in the assumption that all supersymmetric particle are heavy enough) are the same as for the SM one with the already cited exception of  $A$ , however the decay rates depend on a large extent to the couplings with fermions and gauge bosons.

Searches for neutral MSSM Higgs bosons have been performed at LEP [9], the Tevatron [10, 11, 12, 13, 14, 15] and the LHC [16, 17]. In this note a search for

neutral MSSM Higgs bosons with the ATLAS experiment at CERN is presented, using proton-proton collisions at centre-of-mass energy of 8 TeV, with a recorded integrated luminosity of  $20.3 fb^{-1}$ . The results of this search are interpreted in a model independent fashion, as limits on the product of the cross section and branching ratio for such a new particle, as well as limits on the MSSM in the  $m_h^{max}$  scenario [34]. Only  $b$ -quark associated and gluon fusion are considered as production mode for the Higgs bosons, the search then focuses on the subsequent decay into a  $\tau^+\tau^-$  pair. Furthermore, only cases in which both  $\tau$  decays leptonically, with one decaying to an electron and the other to a muon, are considered. This final state corresponds to a total  $\tau^+\tau^-$  branching ratio of approximately 6%. The analysis strategy is to split the selected events in two categories by requesting the presence (b-tag) or absence (b-veto) of a jet coming from a  $b$ -quark. This solution helps to separate the contribution of the two production modes and allows for optimisation of selections due to the different backgrounds for the different final states..

The signal topology is characterised by a final state with an electron, a muon, and missing transverse energy due to the presence of four neutrinos from the  $\tau$  decays. Furthermore, the final state may be split by the presence or absence of a  $b$ -quark initiated jet, depending on the production process. The background processes which are considered in this study are the production of  $W$  and  $Z$  bosons in association with jets ( $W/Z$ +jets), pairs of top quarks ( $t\bar{t}$ ), single top quark (the so-called single-top) and pairs of electroweak gauge bosons ( $WW, WZ, ZZ$ ). Finally QCD multi-jet also forms a non-negligible background due to its large production cross-section. Where possible these backgrounds are estimated using data driven methods.

This note is structured as follows: The ATLAS detector is briefly described in Section ???. In Section ?? the collision data set, the Monte Carlo-simulated event samples as well as hybrid (tau-embedded) data samples used in this study are described. The reconstruction of physics objects, the trigger requirements and the offline event selection are discussed in Sections .1 and ??. Background estimation methods are described in Section ??, and the systematic uncertainties are discussed in Section ??. A statistical analysis and resulting exclusion limits are described in Section ??, followed by conclusions in Section ??. Search of MSSM Higgs bla.... This chapter is divided in three sections, in the first one the motivation and the analysis strategy is presented, in section ?? are discussed the problematics that arise when real data enters the game and ideas to estimate background are needed, as well as systematics, in section ?? focus is set on results with a small introduction to statistical methods.

In this section we make use of analysis tools described in chapter ??, as well we refer to object definition made in this chapter (like electron reconstruction, jet definition, muons, ecc.).

## 1.1 The Search Strategy

### 1.1.1 Motivation

Under the light of the recent discovery of a Higgs boson with mass of 125 GeV, is very reasonable to ask ourselves if all the piece of the Higgs sector have been discovered, if there is nothing missing to complete the puzzle. Indeed such a new particle can be accomodated within several beyond the standard model scenario, this is particularly true in the MSSM. Talk about mhmod.... Figure ?? shows

### 1.1.2 How to search for new phenomena?

Statistical statement associated to the claim of discovery for new physics [?]. Typically new physics searches are looking for a signal that is additive on top of the background. Discovery is formulated in terms of an hypotesis test where the background-only hypotesis plays the role of the null hypotesys and the signal+background hypotesis plays the role of the alternative. A very simple example: a *signal region* is defined where events are counted, the events are distributed with a poisson distribution of which the mean value (the number of expected events) is defined for each of the two hypotesis, one expects  $\nu_B$  events  $H_0$  and  $\nu_B + \nu_S$  for the  $H_1$  alternative hypotesis. The number of observed events  $n$  is a random variable described by a Poisson distribution, then the probability model for null and the alternate hypotesis is respectively  $\text{Pois}(n|\nu_B)$  and  $\text{Pois}(n|\nu_B + \nu_S)$ . Let assume to observe in data  $N_{SR}$  events, it is obvious that evidence for a signal shows up as an excess of events, a way to quantify the compatability of the null hypo with data is to make a *significance* test, this leads to the calculation of the probability that the background-only would produce at least as many as the observed events, this is the definition of p-value, which in this case would be expressed by the formula:

$$\text{p-value} = \sum_{n=N_{SR}}^{\infty} \text{Pois}(n|\nu_B)$$

Calculating p-value is a way to characterize an excess, prob that..., but what about the case there is no excess? We want to estimate the exclusion limit for teh signal hypo, we want to accept the null hypo and at the same time reject the signal hypo with a fixed predetermined probability (called confidence level). Neuman-Person define a framework with a set of rules to achieve this.

It often used a so-called discriminating variable to help separating signal and backgrounds, this can be any of the observables of the experiment, usually chosen invariant mass of final state particle, or MVA or ecc., let's call this observable X, one can complete the above mentioned statistical model for the two hypotesis by using the probability distribution of X for background and signal process

$$\text{Pois}(N_{SR}|\nu) \prod_i^{N_{SR}} f(e_i|\vec{\theta})$$

With this one can use the full power of the prediction of the probability model to disentangle between signal and background. A statistical test is a rule that define a

region in parameter space for which a given hypothesis can be accepted or rejected. Often rather than using a full set of data  $\vec{X}$ , it is convenient to define a *test statistic*,  $t$ , which is usually a single number, it is a quantity calculated out of the data, a mapping of a set of measurements into a single number. The test statistic, that is usually connected to the discriminating variable, would then have a different distribution for the different hypothesis, one can then define  $\alpha$  called the size of the test and  $t_\alpha$  for which  $P(t < t_\alpha | H_0) \leq \alpha$  then in this case  $H_0$  is rejected.

- Alternative: NP provided a framework for hypothesis testing that addresses the choice of the test statistic. First one defines an acceptance region in terms of a test statistic, such that if  $T(\vec{X}) < t_\alpha$  one accepts the null hypothesis. One can think of  $T(\vec{X}) = t_\alpha$  as a contour in the space of the data which is the boundary to this acceptance region. Then one defines the size of the test  $\alpha$  which is the probability for the null hypothesis to be rejected when true, the test is totally asymmetric: if the null hypothesis is rejected then the alternate is accepted (is this true??)....

Summarizing there are different building blocks for a search:

- Define a signal region in data where signal is enhanced with respect to the backgrounds, detailed in section ??
- Define a discriminating variable which is useful to disentangle between signal and backgrounds, section ??
- Define the probability model, i.e., the expectation for background and signal, this is one of the most important point of a search and main part of the work of this thesis, detailed in section ??
- Define a test statistics, which is detailed for the LHC in section ??.

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The language of searching for new phenomena is statistics, the aim of a search is to exclude certain region of parameter space for a defined model or (this is quantified by) observe an excess... Statistical methods define procedure for characterising an observation of excess or exclusion of a signal. Frequentist hypothesis test provide a rule for accepting or rejecting hypothesis depending on the outcome of a measurement.

To compare the compatibility of the data with the background-only and signal+background hypotheses a so called test statistic is constructed.

An Hypothesis is a statement about the distribution of the data, a *statistical test* is a rule used to reject or accept an hypothesis. This is done defining a parameter space, a region

We use the Neyman-person hypothesis test to set exclusion limits, to characterize instead the significance of an observation we use the p-value. With some test statistics one can set a region with fixed probability for the signal to occur, one can then accept-reject this hypothesis with fixed probability, the test is symmetric: rejecting  $H_0$  means accept  $H_1$ .

Si ma si parla sempre di numero di eventi.... Fare esempio concreto!

Frequentist hypo test — 2 hypo necessary \*) search e' una cifra di cose, nella stostra accezione una search significa looking for excess of events with a particular

topology. The language of a search is statistics so we are going to define some quantities: \*) Test of hypotesys: define a test to say if this hypo is true \*) calculate the probability, under the hypo, to observe a deviation at least as big as the one observed in data, this is the definition of p-value. This is usefull in case you do have a signal, what about if not? \*) you define an additional hypotesis, signal hypo, and then you can exclude a certain range of parameter space with a pre-fixed probability. \*) Il tutto si riduce a contare eventi nella signal region. Next sections define how we built this signal region and why.

Some statistical definitions Hypotesys 0, bla bla... Bump search  
generalita' of a statistical model

### 1.1.3 Signal Topology

Way to inhance signal that gives you sensitivity increase (b-tag, b-veto) Higgs BR and production

### 1.1.4 How to deal with Backgrounds

The signal topology described in the previous section common to many other processes, unfortunately those have higher cross section than the signal we are looking for, a set of additional selection has been studied to enhance the sensitivity of the search, or in other words, to increase the signal to background ratio. The most important backgrounds to this search are the production of  $Z \rightarrow \tau\tau + \text{jets}$ , the top quark ( $t\bar{t}$  and single top production is intended), diboson production (like  $WW$  or  $ZZ$  events) and events with non-prompt leptons coming from QCD multi-jet (in short QCD multi-jet). Vector bosons production like  $W \rightarrow l\nu$  or  $Z \rightarrow ll + \text{jets}$  (with  $l$  here meaning either  $e$  or  $\mu$ ) are also considered, however those processes have a limited impact.

The final state of Higgs decaying into tau pair coincide with the one from  $Z \rightarrow \tau\tau$  process, this is then an irreducible background, however exploiting the different kinematics of the Higgs decay and the other backgrounds it possible to distinguish between signal and them. The most stiking is that the higgs (like  $Z \rightarrow \tau\tau$ ) selecting an electron and one muon coming from the tau decay, due to the high mass the taus will be back to back and their decay products will be highly boosted, this gives rise to two feature: the mu-e will be more likely back to back, as you can see in figure 1.1 that shows the angle between the leptons in the transverse plane  $\Delta\phi = |\phi_e - \phi_\mu|$ <sup>1</sup> prefer configuration in wich the leptons are in opposite emisphere. Furthermore the neutrinos will be more likely collinear with the leptons (given the high boost the taus recive from Higgs decay). This feature can be matematically seen as the sum of scalar product between missing energy and the leptons four-vectors in the transverse plane, if the vectors are normalised to unit versors then what remains is a relation only between angles:

$$\hat{E}_T^{miss} \cdot (\hat{P}_T^\mu + \hat{P}_T^e) = \cos(\Delta\phi_{E_T,\mu}) + \cos(\Delta\phi_{E_T,e})$$

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<sup>1</sup>This is actually more complicated: one has to take care of the sign of  $\phi$  see chapter??

In the assumption of collinearity and of leptons back-to-back that scalar product is equal to zero, in fact it would be equal to zero for each of the neutrinos being it collinear with one lepton and back-to-back with the other. As can be seen from figure 1.1 in fact the distribution of that variable has its more likely values at zero. These two feature can be used to distinguish between mu-e coming from decay from highly boosted object and the one coming from W decays in top or in dibosons backgrounds which will have a more spread distribution. In b-veto category these two selections are sufficient to suppress contribution from dibosons, no other selection is applied in this category because it has been shown to not bring significant improvement.

In the b-tag category the situation is different, the request of b-jet enhance backgrounds with high jet activity as top production, given the relatively low jet activity of our Higgs events (also in the case of b-associated production) it's possible to separate them from top production which instead is very likely to have 2 or more highly energetic jets in the event, requesting a small jet activity, this is achieved by requesting the sum of the jets transverse momentum to be small, we call this variable  $H_T$ . Another feature that distinguish top pair production from Higgs is the much higher invariant mass of the former final state, in the transverse plane all the leptons will tend to have a higher momentum, we then use the sum of lepton  $p_t$  and  $E_T^{miss}$  as a discriminating variable, requesting it to be small. Figure ?? shows the distribution of these two variables after the request of a b-jet.

Plots with MMC mass as a function of selection that shows how effective they are in reducing backgrounds.

In table ?? a summary of all the selection variable used with their optimized cut values is reported. While in table ?? the number of events that survives at each cut stage for different background is reported.

### 1.1.5 Missing Mass Calculator

Accurate invariant mass reconstruction of a di-tau system is a challenging task due to the escaping neutrinos. In this analysis, with four neutrinos in the final state, the number of unknown largely exceed the number of constraints, several approximation are possible to further constraint the neutrinos, for example assuming them collinear to the other leptons from tau decay, however those approximation suffers of limitations.

In this analysis we use the so called missing mass calculator (MMC) [55] technique for the calculation of the di-tau system invariant mass. This technique employs additional information from the well known tau decay to constraint the system, this is achieved by minimising a likelihood function defined in the kinematically allowed phase space region, the result is a more precise measurement of the di-tau system invariant mass and a considerable improvement in resolution. The invariant mass distribution calculated with the MMC technique is referred in the following as  $MMC_{mass}$  and is used as discriminating variable in the limits setting.

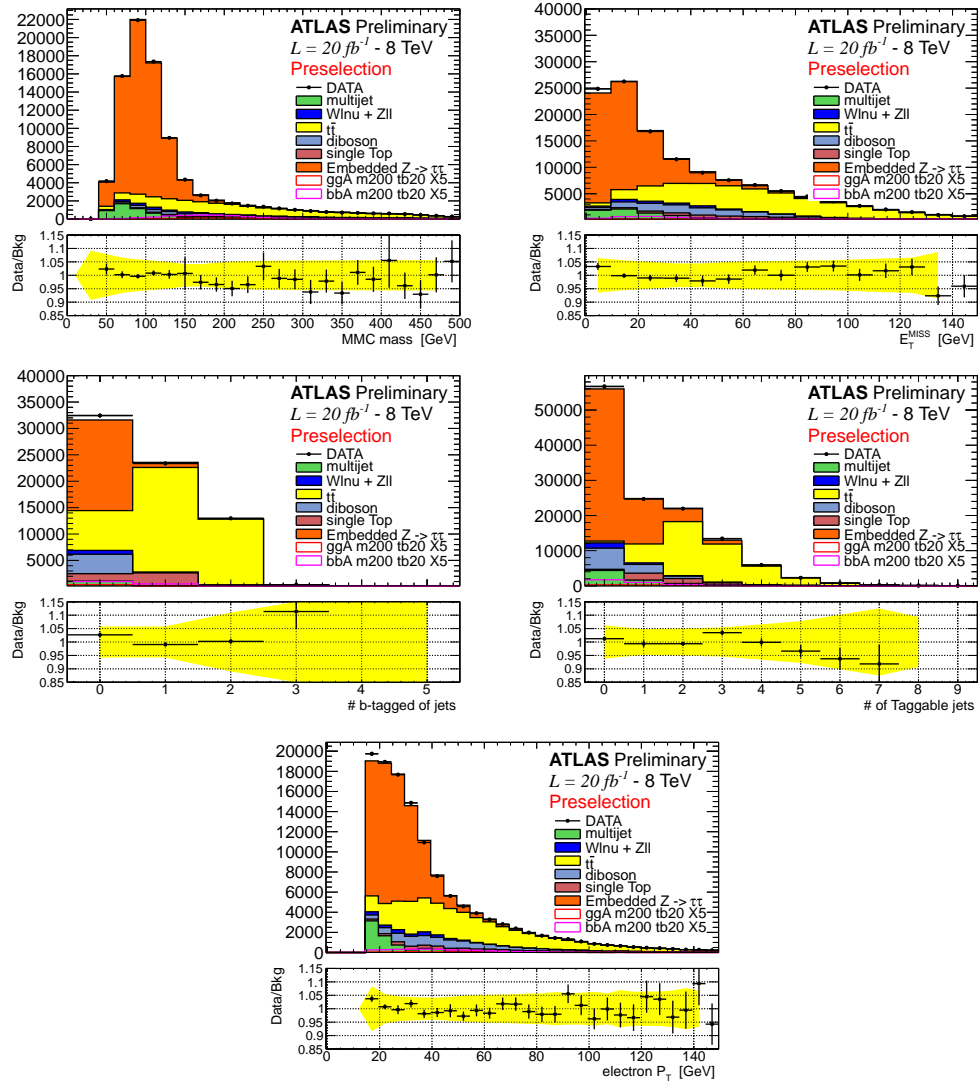


Figure 1.1: bla

## 1.2 Background Modeling

### 1.2.1 MC samples

### 1.2.2 Embedding

### 1.2.3 ABCD Method for QCD

### 1.2.4 Top validation

### 1.2.5 Systematics

1	2	3
4	5	6
7	8	9

## 1.3 Results

### 1.3.1 Statistics

### 1.3.2 Exclusion Limits

### 1.3.3 Summary



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## .1 Object Reconstruction, Preselection and Efficiency Corrections

In this section the preselection and reconstruction criteria for the objects used in this analysis are presented. For each object and selection criteria all corrections that have been applied to data and MC are also described. A summary of the preselection on physics objects used in this analysis is reported in Table 1.

### .1.1 Electrons

This analysis uses electrons found by the standard electron identification algorithms [44] that pass the **Medium++** criteria. A preselection is applied to the electrons to ensure that the electron cluster has a transverse energy of  $E_T > 15\text{GeV}$ , is within the pseudorapidity range  $|\eta| < 2.47$ , but is outside of the region  $1.37 < |\eta| < 1.52$ . The first requirement ensures that the selected electrons are within a range of  $E_T$  where the electron reconstruction and trigger efficiencies are well understood. The further requirements ensure that the electron is reconstructed within the acceptance of the ATLAS tracking, but outside of the transition region between the barrel and end-cap calorimeters. In addition, the electron is required to be either one or three, to ensure that the electron was reconstructed with either the standard electron algorithm or both the standard and soft electron algorithms, respectively. Finally, to ensure that the electron is not reconstructed within a region of the calorimeter with readout problems, dead or non-nominal high voltage conditions or suffering from high noise, the electron is rejected if the cluster  $\eta$  and  $\phi$  position match a flagged region in the Object Quality maps (OQ maps) [?] provided by the egamma Performance group.

For the electrons used in this analysis, the four-vector of the particle is defined using the energy of the electron calorimeter cluster and the direction of the electron track. Selections that involve the electron position in the calorimeter, in this analysis the  $\eta$  and the OQ map selections, are made using a four-vector built entirely from the electron cluster properties. Both the energy scale and resolution of the electrons used in this analysis are corrected, following the recommendations of the EGamma performance group, by using the `egammaAnalysisUtils` package[?]. Energy scale corrections are applied to electrons in data, whereas an additional smearing is applied to the electron energy in MC.

In addition to the preselection defined above, isolation criteria are defined to select electrons with little or no activity around them. The calorimetric isolation,  $E_T(\text{cone})$ , is calculated as the sum of the transverse energy of the additional topological clusters in the electromagnetic and hadronic calorimeters in a cone of  $\Delta R < 0.2$  around an electron<sup>2</sup>. The summed transverse energy is corrected, as a function of the number of primary vertices in the event, to reduce the dependence on pileup. In addition, the track isolation,  $p_T(\text{cone})$ , is defined as the scalar sum of the  $p_t$  of all additional tracks with  $p_t > 1\text{ GeV}$  in a cone of radius  $\Delta R < 0.4$

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<sup>2</sup>The  $\Delta R$  variable is defined by  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ , where  $\Delta\eta$  and  $\Delta\phi$  correspond to the difference between the pseudorapidities and azimuthal angles of the objects considered, respectively.

around an electron. In this analysis, an electron with  $E_T(\text{cone})/p_t < 0.08$  and  $p_T(\text{cone})/p_t < 0.06$  is considered isolated.

## .1.2 Muons

Muons reconstructed by the STACO algorithm [44] are used in this analysis - those passing the STACO Loose quality criteria are considered at the preselection stage, whereas the more stringent STACO Combined quality criteria are required for the final muon selection. Muons with a transverse momentum  $p_t > 10$  GeV and within the pseudorapidity range  $|\eta| < 2.5$  are selected. The difference between the  $z$  position of the muon track extrapolated to the beam line and the primary vertex  $z$  position must be less than 10 mm.

Further quality criteria are placed on the Inner Detector track of the muon candidate to ensure that it is well reconstructed and to reduce the fake rate due to decays of hadrons in flight. These requirements ensure that multiple hits are found on the track in the various layers of the ID, but take into account that dead or uninstrumented regions may be crossed by the muon. Firstly, if the muon passes through a section of the b layer of the Pixel detector that is instrumented and not suffering from detector problems, there should be one or more b layer hits on the track. The sum of the number of hits on the track in the Pixel detector and the number of crossed dead Pixel detector layers should be at least one. The sum of the number of hits within the SCT detector and the number of dead SCT modules crossed should be five or greater. The total number of crossed dead Pixel detector and SCT detector layers should be less than three. When within the angular region  $|\eta| < 1.9$ , the sum of the TRT hits and outliers on the track must be greater than five and the ratio of TRT outlier hits to the total number of TRT hits must be less than 0.9. When the muon track is in the region  $|\eta| \geq 1.9$ , the ratio of TRT outlier hits to the total number of TRT hits must be less than 0.9 only if the sum of the TRT hits and outliers on the track is be greater than five.

The momentum scale and resolution of the muons in this analysis are corrected in MC following the recommendations of the Muon Combined Performance group. The momentum corrections were measured by comparing the di-muon mass peak position and resolution between data and MC at the Z resonance. Smearings are applied in a coherent manner to the ID, MS extrapolated and combined momenta of the transverse momentum of the muon. In addition, a scale correction is applied to the combined momentum momentum.

As for the electrons used in this analysis, both calorimetric and track based isolation are used to require little or no activity around them, in addition to the preselection above. The muon  $E_T(\text{cone})$  and  $p_T(\text{cone})$  variables are defined as for the electron case and are calculated in cones of  $\Delta R < 0.2$  and  $\Delta R < 0.4$  around the muon, respectively. Once more  $E_T(\text{cone})$  is corrected as a function of the number of primary vertices in the event, to reduce the dependence on pileup. In this analysis, a muon with  $E_T(\text{cone})/p_t < 0.04$  and  $p_T(\text{cone})/p_t < 0.06$  is considered isolated.

### .1.3 Jets

The jets used in this analysis are reconstructed using the Anti- $k_T$  algorithm [49] with the distance parameter  $R=0.4$  taking topological clusters as inputs. The reconstructed jets are calibrated to the Local Cluster Weighting (LCW) scale [?]. In addition, the effect of pileup on the reconstructed energy is reduced by applying a further correction based on the pile-up area method with a final in-situ calibration also applied.

A preselection is then applied that requires the reconstructed jets have a transverse momentum, after calibration, of  $p_t > 30$  GeV and to be within the pseudorapidity range  $|\eta| < 4.5$ . The effect of pileup on the reconstructed jets is further reduced by requiring that jets with the pseudorapidity range  $|\eta| < 2.4$  and a transverse momentum of  $p_t < 50$  GeV have a absolute value of the Jet Vertex Fraction (JVF) of greater than 0.5.

A separate set of preselected jets is defined that are used only for b-tagging (henceforth known as “taggable jets”). Such jets are reconstructed and calibrated as for the standard preselected jets. However, the taggable jets are required to have a transverse momentum of  $p_t > 20$  GeV and to have a reconstructed pseudorapidity of  $|\eta| < 2.5$ . The second requirement ensures that charged particles within the jets pass through the tracking volume and hence can be used for b-tagging of the jet. Finally, the same JVF selection as the standard preselected jets is applied to the taggable jets.

### .1.4 b-Tagging

The tagging of jets due to the hadronisation of b-quarks is performed using the MV1 b-tagging algorithm [?]. This neural network based algorithm uses the output weights of the JetFitter+IP3D, IP3D and SV1 b-taggers as inputs. The working point that gives a nominal b-tagging efficiency of 70% on  $t\bar{t}$  samples is used.

### .1.5 Taus

Hadronically decaying tau candidates are reconstructed using clusters in both the electromagnetic and hadronic calorimeters. A preselection is applied to the candidates that requires the reconstructed  $\tau$  candidates to have a transverse momentum of  $p_t > 20$  GeV and to have a reconstructed pseudorapidity of  $|\eta| < 2.5$ . Furthermore, it is required that the candidates have either one or three tracks within a cone of  $\Delta R < 0.2$  associated to them and have a charge of  $\pm 1$ . Finally, the preselected tau candidates should pass the BDT-Medium multivariant tau identification selection as well as the dedicated electron and muon vetoes for hadronically decaying tau candidates.

### .1.6 Overlap Removal

After the preselection of the physics objects needed for this analysis, an overlap removal between the different objects is then applied to avoid double-counting.



The distance between two objects in rapidity  $\Delta\eta$  and polar angle  $\Delta\phi$  is defined as  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ . Overlap removal is then applied in the following order:

- preselected electrons are removed if they overlap with a preselected muon within  $\Delta R < 0.2$ ,
- preselected taus are removed if they overlap with a preselected muon or electron within  $\Delta R < 0.2$ ,
- preselected jets are removed if they overlap with a preselected muon, electron or tau within  $\Delta R < 0.2$ .

### .1.7 Missing Transverse Energy

The missing transverse energy,  $E_T^{miss}$ , is calculated using the RefFinal method, which takes the energy deposited in the calorimeter, the muons reconstructed in the muon spectrometer and tracks reconstructed in the inner detector as inputs. For this, the energy deposits are calibrated based upon the high- $p_t$  physics object they are associated to, with an order of preference of electrons, photons, hadronically decaying taus, jets and finally muons. Any unassociated energy deposits are combined into the so-called “soft-term”. To reduce the effect of pileup on the  $E_T^{miss}$  calculation, corrections are applied to both the jets in an event and to the soft-term. Firstly, any jet with a pseudorapidity of  $|\eta| < 2.4$  that enters the  $E_T^{miss}$  calculation is weighted by it’s JVF. Similarly, the soft-term is weighted by the soft-term-vertex-fraction (STVF) of the event - the ratio given by

$$STVF = \frac{\sum_{track,PV} p_t}{\sum_{track} p_t} \quad (1)$$

where  $\sum_{track,PV} p_t$  is the sum of the transverse momentum of all tracks associated to the primary vertex, but unmatched to physics objects, and  $\sum_{track} p_t$  is the sum of the transverse momentum of all tracks in the event unmatched to physics objects. Any calibration applied to the energy or direction of the physics objects in the final analysis is also propagated to the  $E_T^{miss}$ .

### .1.8 Vertices

In this analysis vertices are selected that have a minimum of three associated tracks: this helps to ensure that the selected vertices come from beam-beam interactions rather than, for instance, cosmic muons.

### .1.9 Event Cleaning

In addition to the data quality requirements described in section ??, further selections are applied to veto events where bad jets are identified as arising from detector effects (coherent noise in the EM and Tile calorimeters or spikes in the

Physics Object	Preselection
Electrons	$p_t > 15 \text{ GeV}$ $ \eta  < 1.37 \text{ or } 1.52 <  \eta  < 2.47$ <b>Medium++</b> Author = 1 or 3 Pass Object Quality Flag
Muons	$p_t > 10 \text{ GeV}$ $ \eta  < 2.5$ isLoose STACO muon Inner Detector track quality requirements Inner Detector track $ z_0^{PV}  < 10\text{mm}$
Jets	$p_t > 30 \text{ GeV}$ $ \eta  < 4.5$ $ JVF  > 0.5$ for jets with $ \eta  < 2.4$ and $p_t < 50 \text{ GeV}$
Jets (taggable)	$p_t > 20 \text{ GeV}$ $ \eta  < 2.5$ $ JVF  > 0.5$ for jets with $ \eta  < 2.4$ and $p_t < 50 \text{ GeV}$
Taus	$p_t > 20 \text{ GeV}$ $ \eta  < 2.5$ BDT Medium $N_{tracks} = 1\text{or}3$ Author = 1 or 3 Muon and Electron Veto
$E_T^{miss}$	RefFinal with STVF correction
Vertices	$N_{tracks} \geq 3$

Table 1: Summary of the preselections used for physics objects in this analysis

HEC calorimeter), cosmics or beam based background. To reject events, the recommendations of the JetEtMiss performance group [?] are followed: Events are rejected if at least one AntiKt4LCTopo jet with  $p_T > 20 \text{ GeV}$ , that passes the overlap removal with electrons, muons and taus described in section .1.6, fails the **BadLooseMinus** selection or points towards the hot Tile Calorimeter cells identified in data taking periods B1 and B2 [?].

## .1.10 Monte Carlo Corrections

The MC samples used on this analysis are corrected to account for differences between the simulation and data in the trigger, lepton reconstruction and identification and b-tagging efficiencies. Furthermore, the MC is reweighted so that the vertex multiplicity distribution agrees with that in the data.

### Trigger Efficiency corrections

Correction factors are applied to the simulated trigger efficiency for both the single electron,

EF\_e24vhi\_medium1, and combined electron-muon, EF\_e12Tvh\_medium1\_mu8, triggers used in this analysis. The trigger efficiency for the EF\_e24vhi\_medium1 has been measured with respect to offline electrons using a tag and probe method in  $Z \rightarrow ee$  events [?]. Scale factors are derived from the ratio of the trigger efficiency measured in data and MC, measured as a function of electron  $p_t$  and  $\eta$ .

For the EF\_e12Tvh\_medium1\_mu8 trigger, correction factors are measured separately for the two individual legs of the trigger, EF\_e12Tvh\_medium1 and EF\_mu8 [?]. The product of the two correction factors is then used as the overall scaling factor. The trigger efficiency for the EF\_e12Tvh\_medium1 leg has been measured with respect to offline electrons using a tag and probe method for  $Z \rightarrow ee$  events in both data and MC. Likewise, the EF\_mu8 trigger efficiency scale factors are derived using a tag and probe measurement with  $Z \rightarrow \mu\mu$  events. Oncemore, scale factors are derived from the ratio of the trigger efficiency measured in data and MC, measured as a function of electron  $p_t$  and  $\eta$ .

### Lepton Reconstruction Efficiency Corrections

Further correction factors are applied to the MC samples to account for differences in the lepton reconstruction and identification efficiencies between data and simulation. Scale factors for the electron identification and reconstruction efficiencies are measured separately using a combination  $Z \rightarrow ee$  and  $J/\psi \rightarrow ee$  tag and probe measurements [?]. Both sets of scale factors are measured as a function of the electron  $E_T$  and  $\eta$ .

Similarly, muon reconstruction efficiency scale factors have been measured, using a  $Z \rightarrow \mu\mu$  tag and probe analysis, as a function of the muon  $p_t$ ,  $\eta$ ,  $\phi$  and charge [?].

### b-tagging Efficiency Corrections

Corrections are applied to the b-tagging efficiency and mistag rate in MC, using a combination of the System8 and likelihood scale factor measurements [?]. Separate scale factors are applied based on the origin of the jet at truth level - from a  $b$  quark, a  $c$  quark, a  $\tau$  or a light quark - and are applied as a function of the jet  $p_t$  and  $\eta$ .

### Pileup Reweighting

Differences between the distribution of the average number of interactions per bunch crossing,  $\langle \mu \rangle$ , in MC and data are corrected by reweighting the MC  $\langle \mu \rangle$  distribution to that in the full considered dataset. An additional scaling of  $1.1 \times \langle \mu \rangle$  is applied to the MC, which has been shown to improve the description of the number of primary vertices distribution of the data.