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Chapter 1

Prospects for Neutral MSSM Higgs Search Improvement

The neutral MSSM Higgs boson search, described in the previous chapter, suffers strongly of poor b-tagging performance due to the particular phase space required, this bound the potential of this search, improving b-tagging would result in a major improvement of the search sensitivity. This chapter investigates an alternative to the commonly used calorimeter jets in ATLAS, which is trackjets b-tagging. The prospects for successfully use trackjets b-tagging in the future neutral MSSM Higgs boson search are reported, b-tagging on trackjets was never attempted before. In section 1.1 an introduction to the b-tagging challenges of the analysis and to trackjets is given. Section 1.2 presents trackjets performance on b-tagging in comparison with calorimeter jets, preliminary results on the impact of trackjets to the analysis are also described here. Finally, in section 1.3 an evaluation of trackjets systematic uncertainties is presented.

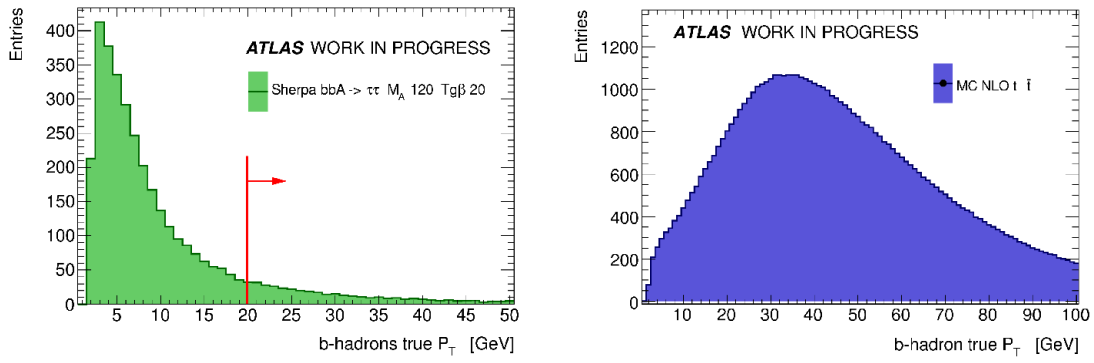


Figure 1.1: Comparison of simulated b-hadron distribution for signal b-associated production events (left) and $t\bar{t}$ events (right). The red line in the figure shows the acceptance region due to calibrated jet P_T requirements.

1.1 Introduction to Trackjets

The neutral MSSM Higgs search, as described in chapter ??, splits the dataset in two category by means of the presence or the absence of a b-tagged jet, the b-tagged category is optimized for the b-associated production mechanism, in which the Higgs is produced in association with two b-jets. Figure 1.1 shows a comparison between the P_T spectrum of simulated b-hadron in $bb/A/h/H$ production and $t\bar{t}$ events, the signal prefers b-hadron with relatively low transverse momentum, which is actually the major challenge for the b-tag category. Due to the high amount of pileup and ambient energy density in the events, calorimeter jets are not calibrated below 20 GeV in P_T (see chapter ??), systematic uncertainties and performance are also not evaluated below this threshold, this means that, currently, the low transverse momentum phase space is not accessible to canonical calorimeter jets (*calojets* in the following). Calojets are then inconvenient for $bb/A/h/H$ production and one of the major reason for sensitivity lost in the b-tag category. Another challenge to this search is the drop in b-tagging performance at low transverse momentum, the MV1 tagger (see chapter ??) efficiency, in fact, decreases rapidly with jet P_T , reaching a minimum of 50% at 20 GeV [84, 85] (using the tagging point with 70% efficiency).

A solution to access jets with low transverse momentum in to use *trackjets* instead of calojets. Trackjets are anti-kt jets (see chapter??) reconstructed by

clustering inner detector tracks, for them it is possible to take advantage of the tracks longitudinal (z) impact parameter information and build trackjets in three dimensions $\eta - \phi - z$. Trackjets will then contains only tracks originating from the same interaction point (reconstructed vertex), this feature make them very robust with respect to pileup. B-tagging has never been tested before on trackjets, in section 1.2 the first study of b-tagging over trackjets performances is reported.

Trackjets are builded in the ATLAS reconstruction software by the *TrackZTool*, this runs the anti-kt clustering algorithm on a subset of tracks which can be defined by the user. For the purposes of this thesis trackjets are reconstructed out of tracks that passes the following quality selection criteria:

- $|z_{track} - z_{PV}| < 2$ mm, The track should be associated to the primary vertex (PV).
- $|z_{PV} * \sin(\theta)| < 1.5$ mm, which is a measure of how much the track is pointing to the PV in the plane that contain the beam axis.
- $d_{PV} < 1.5$ mm, where d_{PV} is the distance of minimum approach of the track to the primary vertex in the plane orthogonal to the beam axis.
- At least one pixel hit and at least 6 SCT hits (including SCT holes).
- At least one b-layer hit if expected (i.e. the module passed by the track was active).
- $|\eta| < 2.5$
- $P_T > 300$ MeV
- To build a trackjets is necessary to cluster at least two tracks
- A trackjet is produced and stored if the sum of its tracks has $P_T > 2$ GeV.

it has been shown that those selections, together with a maximum cone size for clustering of $\Delta R = 0.6$, are the best compromise between quality requirements, aimed to control fake tracks, and b-hadron reconstruction efficiency. Several MC simulation samples has been produced with the purpose of studying trackjets performance,

| Process | MC Generator | Purpose |
|--------------------------|--------------|-------------------------------------|
| Minimum bias | Pythia | Systematics study |
| $b\bar{b}$ | Alpgen | Performance for low P_T b-tagging |
| $Z \rightarrow \tau\tau$ | Pythia | Impact on the MSSM Higgs search |
| $t\bar{t}$ | MC@NLO | Impact on the MSSM Higgs search |
| MSSM $bb/A/h/H$ | Sherpa | Impact on the MSSM Higgs search |

Table 1.1: Monte Carlo simulation sample produced for the studies reported in this chapter.

trackjets were reconstructed and b-tagged using an ad-hoc implementation of the TrackZTool within the ATLAS software framework, table 1.1 reports a summary of the produced samples along with their usage in this thesis.

1.2 Trackjet Performance

Many analysis could profit from an enhanced b-jet reconstruction efficiency at low P_T , the studies presented in this section are aimed to compare performance of common b-tagging algorithm and b-jet reconstruction efficiency between calojet and trackjets, these studies are specially focused on low transverse momentum.

Despite trackjets are more robust with respect to pileup, which makes them appealing, they can only reconstruct the charged part of the jet, the neutral part is lost. According to isospin invariance the expected charged fraction in a jet is roughly 2/3 of the total, the trackjet momentum will be then shifted and its direction will have a larger uncertainty. Figure 1.2 shows a comparison of trackjet and calojet transverse momentum residuals with respect to *truthjet* P_T (reconstructed jets from truth particle), here truthjet are matched with jets within a ΔR cone of 0.4 (jet splitting effect are resolved by matching with the nearest jet). The trackjets energy shift may be critical for b-tagging algorithm since some of them strongly rely on the measurement of jet axis and jet P_T .

To compare performance of trackjet and calojet an anti-kt cone size of $\Delta R = 0.4$ is chosen, if a reconstructed jets lies within $\Delta R < 0.3$ from a simulated b-hadron

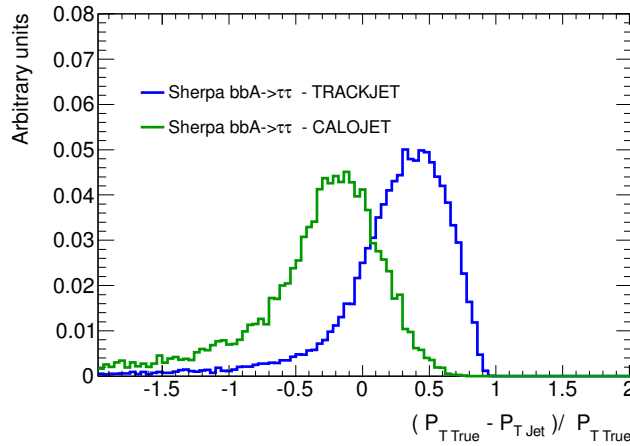


Figure 1.2: Residuals comparison of trackjet and calojet P_T with respect simulated jet P_T .

in the event, this jet is said to *match* with a b-hadron. *Reconstruction efficiency* is then defined as the ratio between the number of matched b-hadron and the total number of b-hadron within inner detector acceptance. Figure 1.3 compare b-hadron reconstruction efficiency between calojet and trackjets, the latter shows a higher reconstruction efficiency for low transverse momentum due to their robustness to pileup.

1.2.1 B-tagging on Trackjets

Performance of b-tagging algorithms are usually described by means of tagging efficiency and rejection power. The *tagging efficiency* is the fraction of matched jets which passes a determined selection on a tagging algorithm, i.e. which are *tagged*. The *rejection* is the inverse of the misidentifying rate, i.e. the inverse of the fraction of the jets which are not matched with a b-hadron or c-hadron, but are tagged. Fixing the selection value for a given tagging algorithm will fix a point in the efficiency-rejection plane, this is a convenient way to compare performance of b-tagging algorithms and is shown in figure 1.4 for trackjets. Figure 1.5 instead shows the rejection as a function of trackjets P_T for the tagging point which gives 50% tagging efficiency. Mistagging rate is rapidly increasing for low transverse momentum trackjets, revealing the necessity of a dedicated tagging algorithm for

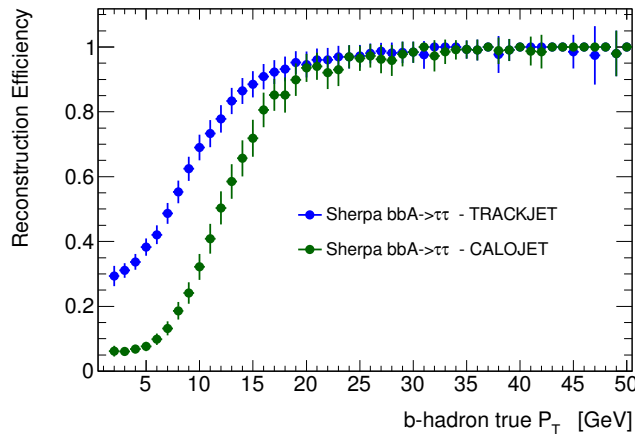


Figure 1.3: Comparison of b-hadron reconstruction efficiency for trackjet and calojet as a function of the simulated b-hadron P_T . Note that calojet and trackjet have a requirement at reconstruction level to be respectively with $P_T > 7$ and 2 GeV, a fair comparison in this plot is only possible above 10 GeV in P_T .

low P_T jets.

The previously introduced rejection and tagging efficiency do not allow a fair comparison between trackjets and calojets, the latter, in fact, can be reconstructed also in case no tracks are associated with them, in this case any tagging algorithm would likely fail altering the rejection distribution. It is convenient to use instead the following quantities: *effective rejection*, which is the inverse of the number of mistagged jets per event, and the b-hadron reconstruction efficiency, which is defined above. Figure 1.6 shows a comparison between calojets and trackjets for the two variables just defined, for a given b-hadron reconstruction efficiency trackjets can achieve higher rejection, which is quite promising. For a fair comparison with calojets, trackjets in figure 1.6 are selected in the transverse momentum range between 4 and 33 GeV, while calojets between 8 and 50 GeV, this corresponds to the same range: figure 1.2 in fact, is only valid for low P_T jets and the fraction of momentum lost approaches 1/3 for high P_T trackjets. In conclusion, thanks to the higher b-hadron reconstruction efficiency, trackjets are more suitable than calojets for low transverse momentum b-tagging.

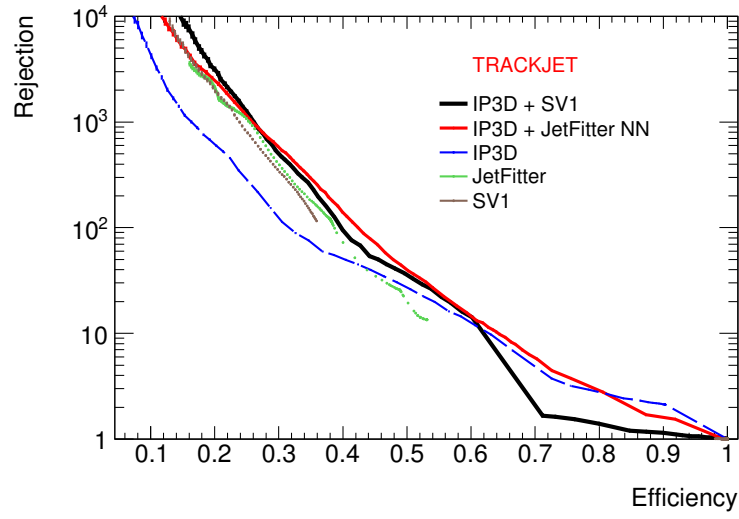


Figure 1.4: Rejection as a function of the tagging efficiency for different ATLAS tagging algorithm tested on trackjets.

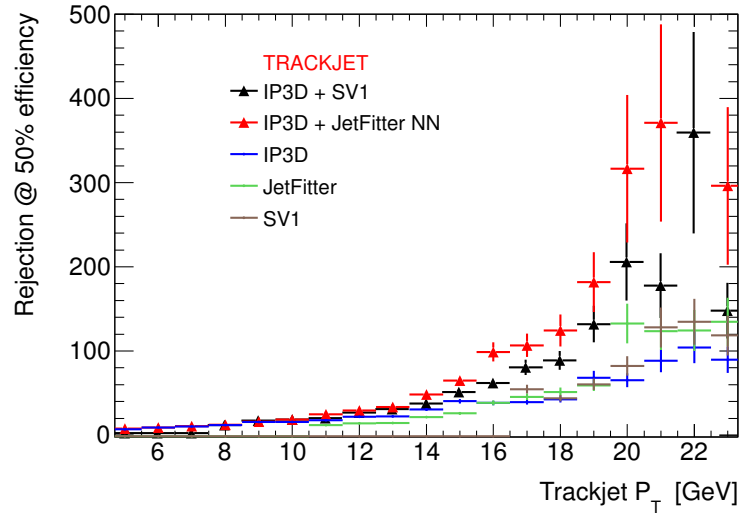


Figure 1.5: Rejection as a function of the transverse momentum of the trackjet for the tagging point which gives 50% tagging efficiency for that P_T value. Different ATLAS tagging algorithm are reported.

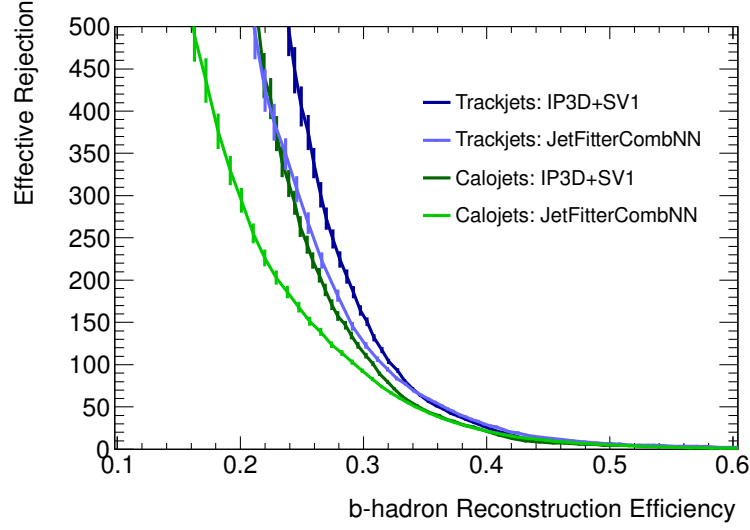


Figure 1.6: Effective rejection as a function of b-hadron reconstruction efficiency, trackjet and calo jets are compared for two different ATLAS tagging algorithms. Trackjets are selected in the transverse momentum range between 4 and 33 GeV, while calojets between 8 and 50 GeV.

1.2.2 Impact of Trackjet on the Analysis

The impact of trackjets on the neutral MSSM Higgs search is tested in a preliminary study and reported in what follows. Preselections¹, as defined in in section ??, are applied to MC samples of signal and backgrounds with the following exceptions on the definition of taggable jets:

- Calorimeter taggable jets should have $|\eta| < 2.5$ and $20 < P_T < 50$ GeV.
- Track taggable jets should have $|\eta| < 2.5$ and $5 < P_T < 33$ GeV.
- The tagging algorithm used is "IP3D+SV1" at its 70% tagging efficiency point.

the event yields for $bbA/h/H$ production, $Z \rightarrow \tau\tau$ and $t\bar{t}$ (the two most important backgrounds of the b-tag category) are reported in table 1.2 normalized to an integrated luminosity of 1 fb^{-1} . Along with the preselection yields other interesting

¹This study has not been updated with the newest version of the object reconstruction selections and corrections, a difference of the order of 10% is expected with respect the numbers in table ??.

| Selection | Signal $bbA/H/h$ | | $Z \rightarrow \tau\tau$ | | $t\bar{t}$ | |
|----------------------------------|------------------|-----------------|--------------------------|----------------|--------------|-----------------|
| Preselection | 127.2 ± 2.2 | | 3017 ± 8 | | 2066 ± 5 | |
| | Calojet | Trackjet | Calojet | Trackjet | Calojet | Trackjet |
| At least one tag-gable jet | 47.3 ± 0.8 | 106.9 ± 1.8 | 1146 ± 3 | 2513 ± 7 | 1804 ± 4 | 2014 ± 5 |
| Exactly one jet matched b-hadron | 18.4 ± 0.3 | 46.7 ± 0.8 | 4.5 ± 0.3 | 18.2 ± 0.5 | 1054 ± 3 | 959.1 ± 2.3 |
| Exactly one tagged jet | 10.2 ± 0.1 | 21.0 ± 0.6 | 37.3 ± 0.5 | 107 ± 1 | 777 ± 4 | 630 ± 4 |

Table 1.2: Impact of trackjets on the analysis, the event yield is compared between trackjets and calojets. For signal b-associated production is simulated for $\tan\beta = 20$. The yields are normalized to an integrated luminosity of 1 fb^{-1} , all the selections are meant after preselection.

selections are reported, those are X for the characterization of jet and b-tagging impact on the analysis, calojets and trackjets yields are compared for each of them. As expected, after requiring exactly one b-tagged jet, trackjets presents higher efficiency on signal and higher rejection of top background, which is the most important background for the b-tag category. However, lower transverse momentum requirements on trackjets implies higher tagging fake rates, which is seen as an increase of $Z \rightarrow \tau\tau$ background, this may be also a serious issue for QCD multi-jet background, even tough this is a minor background in b-tag category.

Concluding, the use of trackjets in the b-tag category is very promising and can bring up to twice better sensitivity², however, to exploit the full power of this technique a dedicated b-tagging calibration on trackjets is needed, study on algorithm

²Note that this estimate is done according to s/\sqrt{b} ratio, considering a counting experiment without systematic uncertainties and only two backgrounds, it represent then the upper limit to the gain in sensitivity with the current b-tagging performance.

improvements for low P_T b-tagging are also desirable, furthermore, systematics uncertainty on trackjets need to be evaluated. A preliminary study, addressing one of the most important systematics uncertainty for trackjets, is reported in section 1.3.

1.2.3 A Novel Technique for low- P_T b-Tagging

this small paragraph will be added if I manage to access trackjets data on MDTRaid16, I just need to reproduce one plot which is missing.

1.3 Systematic Uncertainties on Trackjets

1.3.1 Introduction to Trackjet Systematics

There are several sources of systematic uncertainties on trackjets that may contribute to physics observables mismodeling, those effects are briefly summarized in what follows, the focus is on energy scale and reconstruction efficiency systematic uncertainties.

Uncertainty can arise from MC generator details, like the particular choice of PDF and fragmentation functions, or details of the parton shower and underlying event, challenging to simulate for low transverse momentum object. Those uncertainty can be evaluated by means of a dedicated MC Rivet [88] analysis, they will be dependent on the specific use of trackjets and need to be evaluated case by case.

Energy scale and resolution for single tracks is found to be very well modeled by simulation for tracks above 500 MeV [92], thus, uncertainty on the energy scale and resolution that arise from mismodeling of the pattern recognition algorithm are considered to be negligible.

In dense track environment different tracks may share same hits and this can generate degradation of resolution, fake tracks, loss of track efficiency. Mismodeling of tracks shared hits may affect in general trackjet energy scale, resolution and reconstruction efficiency. This kind of effects has been checked in [94], where calojet energy scale uncertainty are measured using tracks, it has been shown that effects due to tracks hit merging are negligible for jets with $P_T < 300$ GeV.

Mismodeling of the inner detector material budget leads to track reconstruction efficiency mismodeling, which strongly affects trackjets. A methodology to estimate energy scale and reconstruction efficiency uncertainty on trackjets, due to material budget mismodeling, is presented for the first time in section 1.3.2.

1.3.2 Trackjets Uncertainty from Material Budget

An obvious, but rather inconvenient way, to estimate uncertainty due to inner detector (ID) material budget mismodeling, is to produce the relevant MC samples of a given analysis modifying the ID material budget in them. It can be shown that the primary effect of material budget mismodeling influences mainly track reconstruction efficiency (see section 1.3.3), an alternative approach would be then to modify the track efficiency in a given sample according to its uncertainty [93, 95] and build trackjets out of the new collection of tracks. A tool has been made which randomly removes tracks according to reconstruction efficiency uncertainty, trackjets which are build out of this subset of tracks are called in the following *INEF-trackjets*.

A minimum bias MC simulation sample is reproduced containing standard trackjets and INEF-trackjets. A set of "isolated" trackjet with cone size $\Delta R = 0.4$ are selected, isolated means that no other trackjet should be reconstructed within a distance of $\Delta R = 1$. INEF-trackjets are then matched with the original trackjet via cone matching in an event by event basis, the matching fails if no INEF-trackjet is found within $\Delta R = 0.8$ from the original one. Result on the deterioration of the trackjets efficiency and of the energy scale are presented respectively in figure 1.7 and 1.8, these results are based on the current knowledge of inner detector material budget [93]. For low transverse momentum trackjets, uncertainty on the material budget translates into an energy scale shift of 2-4% and in a reduction of the mean number of tracks. This method can only simulate excess of material (reduced track efficiency) but not a lack of material (increased track efficiency), however, for the latter case a symmetric effect is expected.

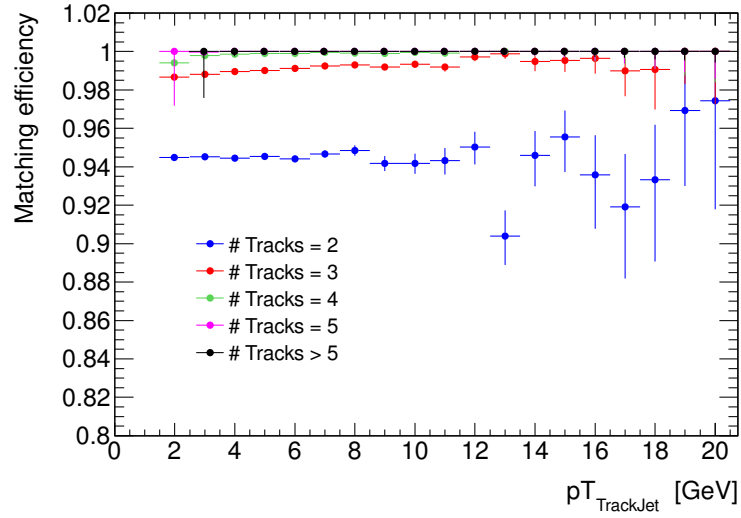


Figure 1.7: INEF-Trackjets are matched with standard trackjets, here is reported the matching efficiency as a function of P_T and number of track of standard trackjet.

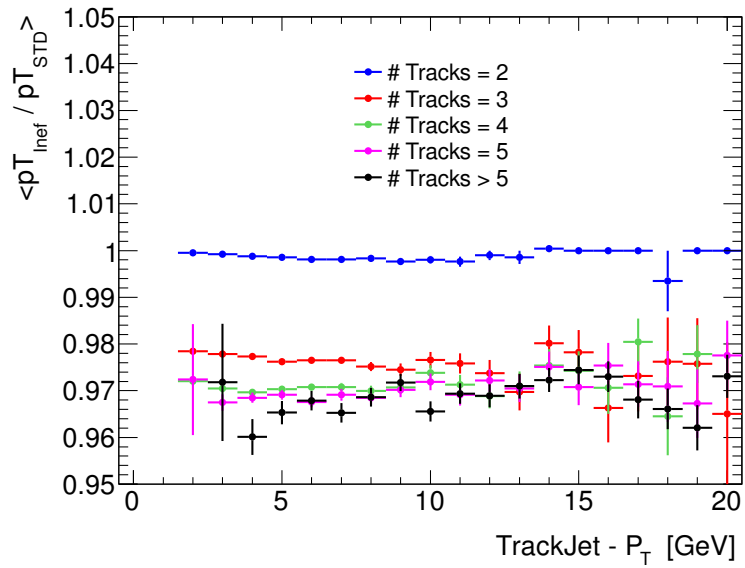


Figure 1.8: INEF-Trackjets are matched with standard trackjets, here is reported the effect on the energy scale as a function of P_T and of the number of tracks of the standard trackjet.

1.3.3 Track Subtraction Method Validation

The method described in section 1.3.2 depends strongly on the assumption that hadronic secondary interaction, within the inner detector, leads mainly to lost of tracks and only in a marginal way to a decrease of tracks quality, a consequence is that material budget mismodeling influences mainly track reconstruction efficiency. In this section, effect of material budget uncertainty on tracks resolution and fake rate are evaluated, this is achieved by means of a simulated sample of minimum bias events, where extra material is added to the ID increasing uniformly of 10% the interaction length.

The requirement on tracks are the ones defined in section 1.1, furthermore a track should be matched within $\Delta R < 0.1$ with a stable³ simulated particle which should be responsible (alone) of at least 80% of the track hits, tracks that do not fulfil these requirements are called fakes. Fake tracks are tracks that come from a random combination of hits generated from different particles. The track fake rate, shown in figure 1.10, is about 1-3%, the extra material sample has a total increase of the track fake rate of permille. Resolution as shown in figure 1.9 is about 1% for large range of tracks P_T , the total increase of resolution in the extra material sample is also of the order of permille. The deterioration of the tracks resolution and fake rate due to extra material is then negligible compared to the one of track reconstruction efficiency, which undergo to a total decrease in the extra material sample of 1-2%, decrease in efficiency has serious impact on trackjet energy scale. Figure 1.11 shows the ratio of the track reconstruction efficiency of primary particle between the standard and extra material sample.

Results from INEF-trackjets (builded in a standard sample) are also directly compared with trackjets from extra material sample, the comparison is done by means of trackjet-to-truthjet matching (see section 1.2 for truthjets matching) and is reported in figure 1.12 and 1.13 for reconstruction efficiency and energy scale respectively. INEF-trackjets shows to reproduce correctly the effect of extra material either on reconstruction efficiency and energy scale, giving, in most of the cases, a

³Here is intended a Generator stable and interacting particle, which means a charged particle with decay length greater than 1m, also stable particle from secondary interactions are considered.

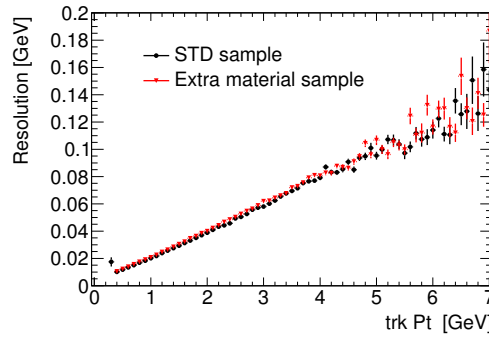


Figure 1.9: Track resolution with respect to matched truth particle as a function of truth particle P_T , for standard Pythia minimum bias sample and 10% inner detector extra material sample.

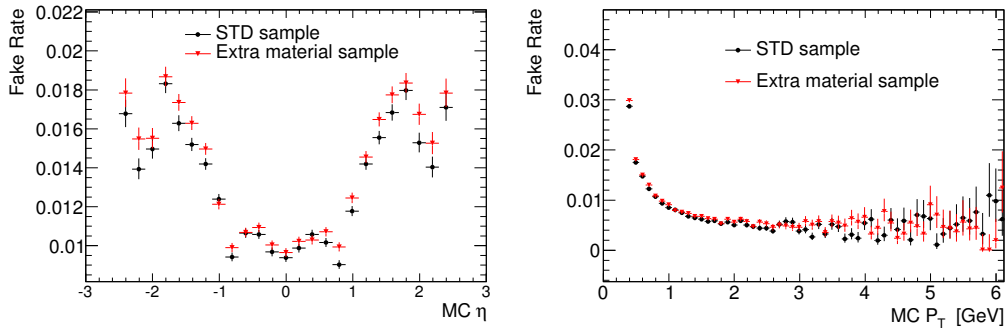


Figure 1.10: Track fake rate resolution as a function of track η (left) and track P_T (right), for standard Pythia minimum bias sample and 10% inner detector extra material sample.

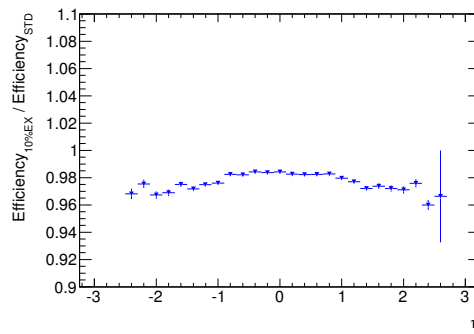


Figure 1.11: Track efficiency with respect to primary truth particle as a function of truth particle η , reported is the ratio between standard Pythia minimum bias sample and 10% inner detector extra material sample.

conservative estimate.

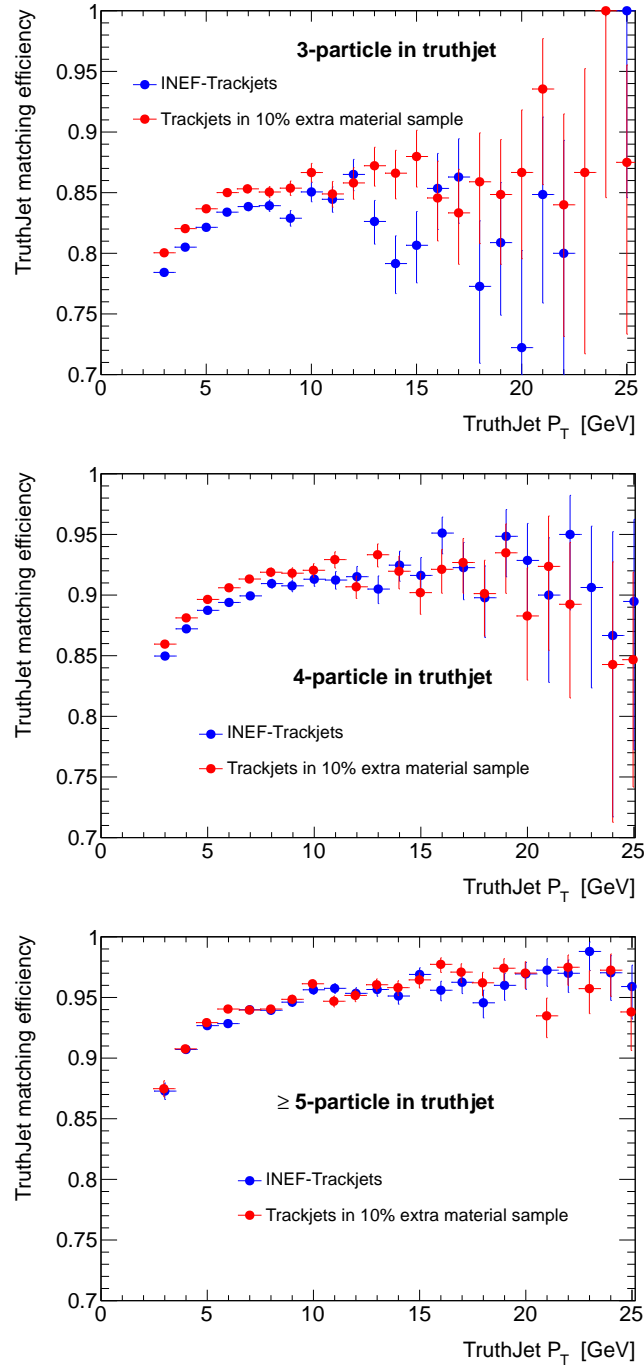


Figure 1.12: Jet reconstruction efficiency with respect to truthjet for INEF-trackjets and trackjets in a 10% extra material sample, in case of 3,4 and ≥ 5 truth-particle. INEF-trackjets always reproduce correctly the inefficiency or give a conservative estimate.

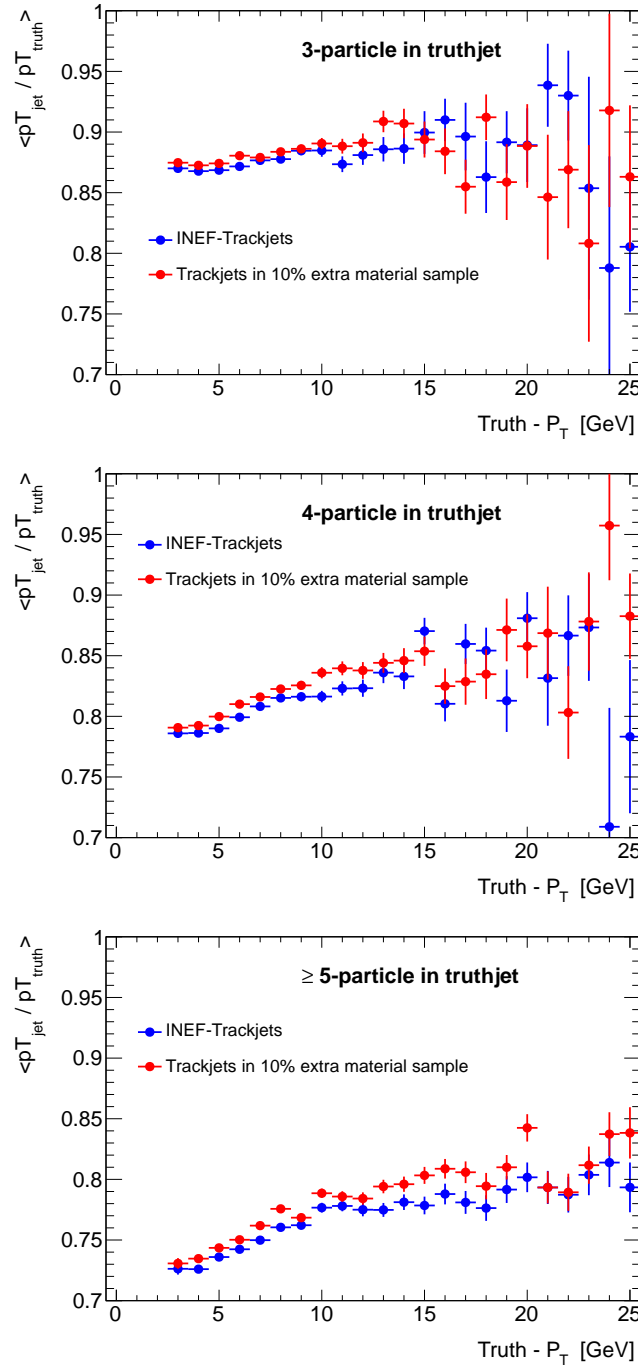


Figure 1.13: Fraction jet transverse momentum with respect to truthjet for INEF-trackjets and trackjets in a 10% extra material sample, in case of 3,4 and ≥ 5 truth-particle. INEF-trackjets always reproduce correctly the inefficiency or give a conservative estimate.

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