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# Chapter 1

# Reconstruction of Physics Object

To allow the use of all the information enclosed in a bunch crossing, the collection of all ATLAS detector signals needs to be translated in more user friendly object, the reconstruction of the event is carryed out by the ATLAS event reconstruction software framework ATHENA [45].

Physical particle like electron, muons, hadronic jets, ecc, are all described by means of off line software reconstructed object. In section ?? the electron reconstruction algorithm is briefly described, for more details on reconstructed object and their performance see [53]

## 1.1 Tracks and Vertex Reconstruction

The reconstruction of charged particles tracks and interaction vertex is based on Inner Detector information, charged particle bends in the transverse plane due to the magnetic field of the Inner Detector and this allow to measure their transverse momentum, they can only be reconstructed whithin  $|\eta| < 2.5$ . To fully carachterize a track other parameters need to be measured and those are: the  $\phi$  and  $\theta$  angles to define its direction, the impact parameter is the distance of clostest approach o the track to the beam axis calculated with respect to the origin of coordinate,  $d_0$  is the impact parameter in the x-y plane, while  $z_0$  is along the z axis.

Track Reconstruction Tracks are reconstructed by the Inner Detector track reconstruction software [48]. First raw data from the pixel and SCT detectors are transformed in three dimensional space points which are called "hits", while the TRT detector information is translated into drift circles. Thn, track seeds are formed from a combination of space-points in the three pixel layers and the first SCT layer, these seeds are then extended throughout the SCT to form track candidates. The tracks candidate are fitted using a Kalman filter algorothm [49], ambiguities in the cluster-to-track association are resolved and fake tracks are rejected. The selected tracks are then extended to the TRT and finally refitted with the full information of all three detectors. To help improve tracking efficiency for secondary tracks coming from photon conversion or decays of long-lived particles (like kaons), a complementary algorithm searches foir unused track segments in the TRT, which will be then extended towards the SCT and the pixel in a very similar way as described for the default algorithm. All tracks found with  $P_T > 100$  MeV are written to the database.

#### Vertex Reconstruction Bla on vertex

The vertex finding is performed by an iterative approach, a vertex seed is found by looking for the global maximum in the distribution of z coordinates of the tracks computed with respect the averange position of the collision point. The position of the averange interaction point is computed every few minutes with the method described in [50]. An adaptive vertex fitting algorithm [51] determines the vertex position taking as input the vertex seed position and the tracks around it. Tracks that are incompatible with the found vertex by more than seven standard deviation are used to seed the next vertex, the iteration continues untill no tracks are left or no additional vertex can be found.

The vertex with the larger sum of tracks  $P_T$  associated is identified as the *primary vertex* (PV), i.e. the interaction point of the hard scattering of the event. All the other vertices are assumed to result from minimum bias interaction and are called *pile-up* vertices. In data recorded during 2012, an averange of 21 multiple interaction are occurred per bunch crossing. A correct pile-up description is crucial for MC simulation, events are weighted in simulation according to pile-up condition in data.

### 1.1.1 Electrons

This analysis uses electrons found by the standard electron identification algorithms [53] that pass the Medium++ criteria. A preselection is applied to the electrons to ensure that the electron cluster has a transverse energy of  $E_T > 15 \text{GeV}$ , is within the pseudorapidity range  $|\eta| < 2.47$ , but is outside of the region  $1.37 < |\eta| < 1.52$ . The first requirement ensures that the selected electrons are within a range of  $E_T$ where the electron reconstruction and trigger efficiencies are well understood. The further requirements ensure that the electron is reconstructed within the acceptance of the ATLAS tracking, but outside of the transition region between the barrel and end-cap calorimeters. In addition, the author is required to be either one or three, to ensure that the electron was reconstructed with either the standard electron algorithm or both the standard and soft electron algorithms, respectively. Finally, to ensure that the electron is not reconstructed within a region of the calorimeter with readout problems, dead or non-nominal high voltage conditions or suffering from high noise, the electron is rejected if the cluster  $\eta$  and  $\phi$  position match a flagged region in the Object Quality maps (OQ maps) provided by the egamma performance group [?].

For the electrons used in this analysis, the four-vector of the particle is defined using the energy of the electron calorimeter cluster and the direction of the electron track. Selections that involve the electron position in the calorimeter, in this analysis the  $\eta$  and the OQ map selections, are however made using a four-vector built entirely from the electron cluster properties. Both the energy scale and resolution of the electrons used in this analysis are corrected, following the recommendations of the EGamma performance group, by using the egammaAnalysiUtils package[?]. Energy scale corrections are applied to electrons in data, whereas an additional smearing is applied to the electron energy in MC.

In addition to the preselection defined above, isolation criteria are defined to select electrons with little or no activity around them. The calorimetric isolation,  $E_T(\text{cone})$ , is calculated as the sum of the transverse energy of the additional topological clusters in the electromagnetic and hadronic calorimeters in a cone of  $\Delta R < 0.2$  around an electron<sup>1</sup>. The summed transverse energy is corrected, as a function of the number of primary vertices in the event, to reduce the dependence on pileup. In addition, the track isolation,  $p_T(\text{cone})$ , is defined as the scalar sum of the  $P_T$  of all additional tracks with  $P_T > 1 \text{GeV}$  in a cone of radius  $\Delta R < 0.4$  around an electron. In this analysis, an electron with  $E_T(\text{cone})/P_T < 0.08$  and  $p_T(\text{cone})/P_T < 0.06$  is considered isolated.

#### 1.1.2 Muons

Muons reconstructed by the STACO algorithm [53] are used in this analysis - those passing the STACO Loose quality criteria are considered at the preselection stage, whereas the more stringent STACO Combined quality criteria are required for the

<sup>&</sup>lt;sup>1</sup>The  $\Delta R$  variable is defined by  $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}$ , where  $\Delta \eta$  and  $\Delta \phi$  correspond to the difference between the pseudorapidities and azimuthal angles of the objects considered, respectively.

final muon selection. Muons with a transverse momentum  $P_T > 10 \text{GeV}$  and within the pseudorapidity range  $|\eta| < 2.5$  are selected. The difference between the z position of the muon track extrapolated to the beam line and the primary vertex z position must be less than 10 mm.

Further quality criteria, as recomended by the Muon Combined Performance Group, are placed on the Inner Detector track of the muon candidate to ensure that it is well reconstructed and to reduce the fake rate due to decays of hadrons in flight. These requirements ensure that multiple hits are found on the track in the various layers of the ID, but take into account that dead or uninstrumented regions may be crossed by the muon. Firstly, if the muon passes through a section of the b layer of the Pixel detector that is instrumented and not suffering from detector problems, there should be one or more b layer hits on the track. The sum of the number of hits on the track in the Pixel detector and the number of crossed dead Pixel detector layers should be at least one. The sum of the number of hits within the SCT detector and the number of dead SCT modules crossed should be five or greater. The total number of crossed dead Pixel detector and SCT detector layers should be less than three. When within the angular region  $|\eta| < 1.9$ , the sum of the TRT hits and outliers on the track must be greater than five and the ratio of TRT outlier hits to the total number of TRT hits must be less than 0.9. When the muon track is in the region  $|\eta| \geq 1.9$ , the ratio of TRT outlier hits to the total number of TRT hits must be less than 0.9 only if the sum of the TRT hits and outliers on the track is be greater than five.

The momentum scale and resolution of the muons in this analysis are corrected in MC following the recommendations of the Muon Combined Performance group. The momentum corrections were measured by comparing the di-muon mass peak position and resolution between data and MC at the Z resonance. Smearings are applied in a coherent manner to the ID, MS extrapolated and combined momenta of the transverse momentum of the muon. In addition, a scale correction is applied to the combined momentum momentum.

As for the electrons used in this analysis, both calorimeteric and track based isolation are used to require little or no activity around a muon in addition to the preselection above. The muon  $E_T(\text{cone})$  and  $p_T(\text{cone})$  variables are defined as for the electron case and are calculated in cones of  $\Delta R < 0.2$  and  $\Delta R < 0.4$  around the muon, respectively. Once more  $E_T(\text{cone})$  is corrected as a function of the number of primary vertices in the event, to reduce the dependence on pileup. In this analysis, a muon with  $E_T(\text{cone})/P_T < 0.04$  and  $p_T(\text{cone})/P_T < 0.06$  is considered isolated.

#### 1.1.3 Jets

The jets used in this analysis are reconstructed using the Anti- $k_T$  algorithm [58] with the distance parameter R=0.4 taking topological clusters as inputs. The reconstructed jets are calibrated to the Local Cluster Weighting (LCW) scale [?]. In addition, the effect of pileup on the reconstructed energy is reduced by applying a further correction based on the pile-up area method with a final in-situ calibration also applied.

A preselection is then applied that requires the reconstructed jets to have a

transverse momentum of  $P_T > 30 \text{GeV}$  after calibration and to be within the pseudorapidity range  $|\eta| < 4.5$ . The effect of pileup on the reconstructed jets is further reduced by requiring that jets with the pseudorapidity range  $|\eta| < 2.4$  and a transverse momentum of  $P_T < 50 \text{GeV}$  have a absolute value of the Jet Vertex Fraction (JVF) of greater than 0.5.

A separate set of preselected jets is defined that are used only for b-tagging (henceforth known as "taggable jets"). Such jets are reconstructed and calibrated as for the standard preselected jets. However, the taggable jets are required to have a transverse momentum of  $P_T > 20 \text{GeV}$  and to have a reconstructed pseudorapidity of  $|\eta| < 2.5$ . The second requirement ensures that charged particles within the jets pass through the tracking volume and hence can be used for b-tagging of the jet. Finally, the same JVF selection as the standard preselected jets is applied to the taggable jets.

## 1.1.4 b-Tagging

The tagging of jets due to the hadronisation of b-quarks is performed using the MV1 b-tagging algorithm [?]. This neural network based algorithm uses the output weights of the JetFitter+IP3D, IP3D and SV1 b-taggers as inputs. The working point that gives a nominal b-tagging efficiency of 70% on  $t\bar{t}$  samples is used.

### 1.1.5 Taus

Hadronically decaying tau candidates are reconstructed using clusters in both the electromagnetic and hadronic calorimeters. A preselection is applied to the candidates that requires the reconstructed  $\tau$  candidates to have a transverse momentum of  $P_T > 20 \,\mathrm{GeV}$  and to have a reconstructed pseudorapidity of  $|\eta| < 2.5$ . Furthermore, it is required that the candidates have either one or three tracks within a cone of  $\Delta R < 0.2$  associated to them and have a charge of  $\pm 1$ . Finally, the preselected tau candidates should pass the BDT-Medium multivariant tau identification selection as well as the dedicated electron and muon vetoes for hadronically decaying tau candidates.

# 1.1.6 Overlap Removal

After the preselection of the physics objects needed for this analysis, an overlap removal between the different objects is then applied to avoid double-counting. The distance between two objects in rapidity  $\Delta \eta$  and polar angle  $\Delta \phi$  is defined as  $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}$ . Overlap removal is then applied in the following order:

- preselected electrons are removed if they overlap with a preselected muon within  $\Delta R < 0.2$ ,
- preselected taus are removed if they overlap with a preselected muon or electron within  $\Delta R < 0.2$ ,

• preselected jets are removed if they overlap with a preselected muon, electron or tau within  $\Delta R < 0.2$ .

## 1.1.7 Missing Transverse Energy

The missing transverse energy,  $E_T^{miss}$ , is calculated using the RefFinal method, which takes the energy deposited in the calorimeter, the muons reconstructed in the muon spectrometer and tracks reconstructed in the inner detector as inputs. For this, the energy deposits are calibrated based upon the high-pt physics object they are associated to, with an order of preference of electrons, photons, hadronically decaying taus, jets and finally muons. Any unassociated energy deposits are combined into the so-called "soft-term". To reduce the effect of pileup on the  $E_T^{miss}$  calculation, corrections are applied to both the jets in an event and to the soft-term. Firstly, any jet with a pseudorapidity of  $|\eta| < 2.4$  that enters the  $E_T^{miss}$  calculation is weighted by it's JVF. Similarly, the soft-term is weighted by the soft-term-vertex-fraction (STVF) of the event - the ratio given by

$$STVF = \frac{\sum_{track,PV} P_T}{\sum_{track} P_T} \tag{1.1}$$

where  $\sum_{track,PV} P_T$  is the sum of the transverse momentum of all tracks in the event associated to the primary vertex, but unmatched to physics objects, and  $\sum_{track} P_T$  is the sum of the transverse momentum of all tracks in the event unmatched to physics objects. Any calibration applied to the energy or direction of the physics objects in the final analysis is also propagated to the  $E_T^{miss}$ .

### 1.1.8 Vertices

In this analysis vertices are selected that have a minimum of three associated tracks: this helps to ensure that the selected vertices come from beam-beam interactions rather than, for instance, cosmic muons.

## 1.1.9 Event Cleaning

In addition to the data quality requirements described in section ??, further selections are applied to veto events where bad jets are identified as arising from detector effects (coherent noise in the EM and Tile calorimeters or spikes in the HEC calorimeter), cosmics or beam based background. To reject events, the recommendations of the JetEtMiss performance group [?] are followed: Events are rejected if at least one AntiKt4LCTopo jet with  $p_T > 20$ GeV, that passes the overlap removal with electrons, muons and taus described in section 1.1.6, fails the BadLooseMinus selection or points towards the hot Tile Calorimeter cells identified in data taking periods B1 and B2 [?].

Physics Object	Preselection
Electrons	$P_T > 15 \mathrm{GeV}$
Licetions	$ \eta  < 1.37 \text{ or } 1.52 <  \eta  < 2.47$
	Medium++
	Author = 1  or  3
	Pass Object Quality Flag
Muons	$P_T > 10 \text{GeV}$
	$ \eta  < 2.5$
	isLoose STACO muon
	Inner Detector track quality requirements
	Inner Detector track $ z_0^{PV}  < 10$ mm
Jets	$P_T > 30 { m GeV}$
	$ \eta  < 4.5$
	$ JVF  > 0.5$ for jets with $ \eta  < 2.4$ and $P_T < 50$ GeV
Jets (taggable)	$P_T > 20 { m GeV}$
	$ \eta  < 2.5$
	$ JVF  > 0.5$ for jets with $ \eta  < 2.4$ and $P_T < 50$ GeV
Taus	$P_T > 20 { m GeV}$
	$ \eta  < 2.5$
	BDT Medium
	$N_{tracks} = 1 \text{ or } 3$ Author = 1 or 3
	Author = 1 or 3  Muon and Electron Veto
$E_T^{miss}$	RefFinal with STVF correction
$\frac{E_T}{\text{Vertices}}$	$N_{tracks} \geq 3$
<b>V</b> C1 01CC5	± tracks ≤ 9

Table 1.1: Summary of the preselections used for physics objects in this analysis

#### 1.1.10 Monte Carlo Corrections

The MC samples used on this analysis are corrected to account for differences between the simulation and data in the trigger, lepton reconstruction and identification and b-tagging efficiencies. Furthermore, the MC is reweighted so that the vertex multiplicity distribution agrees with that in the data.

#### **Trigger Efficiency corrections**

Correction factors are applied to the simulated trigger efficiency for both the single electron,

EF\_e24vhi\_medium1, and combined electron-muon, EF\_e12Tvh\_medium1\_mu8, triggers used in this analysis. The trigger efficiency for the EF\_e24vhi\_medium1 has been measured with respect to offline electrons using a tag and probe method in  $Z \to ee$  events [57]. Scale factors are derived from the ratio of the trigger efficiency measured in data and MC, measured as a function of electron  $P_T$  and  $\eta$ .

For the EF\_e12Tvh\_medium1\_mu8 trigger, correction factors are measured separately for the two individual legs of the trigger, EF\_e12Tvh\_medium1 and EF\_mu8 [?]. The product of the two correction factors is then used as the overall scaling factor. The trigger efficiency for the EF\_e12Tvh\_medium1 leg has been measured with respect to offline electrons using a tag and probe method for  $Z \to ee$  events in both data and MC. Likewise, the EF\_mu8 trigger efficiency scale factors are derived using a tag and probe measurement with  $Z \to \mu\mu$  events. Oncemore, scale factors are derived from the ratio of the trigger efficiency measured in data and MC, measured as a function of electron  $P_T$  and  $\eta$ .

#### Lepton Reconstruction Efficiency Corrections

Further correction factors are applied to the MC samples to account for differences in the lepton reconstruction and identification efficiencies between data and simulation. Scale factors for the electron identification and reconstruction efficiencies are measured separately using a combination of  $Z \to ee$  and  $J/\psi \to ee$  tag and probe measurements [?]. Both sets of scale factors are measured as a function of the electron  $E_T$  and  $\eta$ .

Similarly, muon reconstruction efficiency scale factors have been measured, using a  $Z \to \mu\mu$  tag and probe analysis, as a function of the muon  $P_T$ ,  $\eta$ ,  $\phi$  and charge [55].

#### b-tagging Efficiency Corrections

Corrections are applied to the b-tagging efficiency and mistag rate in MC, using a combination of the System8 and likelihood scale factor measurements [74]. Separate scale factors are applied, as a function of the jet  $P_T$  and  $\eta$ , based on the origin of the jet at truth level - ie. depending on if the jet originates from a b quark, a c quark, a  $\tau$  lepton or a light quark.

### Pileup Reweighting

Differences between the distribution of the average number of interactions per bunch crossing,  $<\mu>$ , in MC and data are corrected by reweighting the MC  $<\mu>$  distribution to that in the full considered dataset. An additional scaling of  $1.1\times<\mu>$  is applied to the MC, which has been shown to improve the description of the number of primary vertices distribution of the data.

# Chapter 2

# Search for neutral MSSM Higgs Bosons in the

$$A/h/H \to \tau^+\tau^- \to e\mu + 4\nu$$
 decays

Under the light of the recent discovery of a Higgs boson with mass of 125 GeV [], remains an open question wheter this new particle constitute all the pieces of the Higgs sector or wheter it is only one of several bosons predicted in some theories that go beyond the SM. The most recent measurements [] of its properties shows it to be, within experimental uncertainties, perfectly compatible with the SM Higgs boson, however such a new particle can be accommodated within several beyond the standard model (BSM) theories, this is particularly true for Super Symmetry.

There are two approach to explore the Higgs sector: one can study the cupling of the Higgs boson with vector bosons and fermions, those measure in fact are sensitive to new physics and can determine if this particle is fully responsible for the generation of all the SM particles masses. Another approach is to directly search for for additional Higgses in a well defined model, which is the approach followed in this thesis where new neutral bosons are sought within the MSSM (see chapter ??).

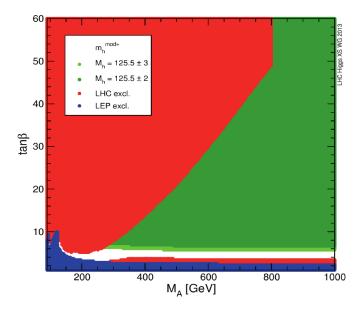


Figure 2.1: Excluded and allowed regions of the  $m_A - \tan\beta$  parameter space for the  $m_h^{mod+}$  benchmark scenario. Excluded region are determined based on direct Higgs boson searches at LEP (blue) and LHC (red). The two green bands corresponds to the parameter regions which are compatible with the assumption that the lightest Higgs boson, h, has a mass respectively of  $M_h = 125.5 \pm 2$  or  $\pm 3$  GeV. For more detail see [34].

# 2.1 Introduction

In the Minimal Supersymmetric extension of the Standard Model (MSSM) [7, 8] the Higgs sector is composed of two Higgs doublets of opposite hyper-charge, resulting in five observable Higgs bosons. Two of these Higgs bosons are neutral and CP-even (h,H), one is neutral and CP-odd (A) and two are charged  $(H^{\pm})$ . At tree level their properties such as masses, widths and branching ratios can be predicted in terms of only two parameters, often chosen to be the mass of the CP-odd Higgs boson  $m_A$ , and the ratio of the vacuum expectation values of the two Higgs doublets  $\tan \beta$  (for more details see chapter ??).

The MSSM predicts the existence of a Higgs boson with properties that resemble those of a SM Higgs boson in large regions of its parameter space. It is technically impossible, for an experimental search, to explore the full parameter space of the model, several benchmark scenarios are then introduced by fixing the parameters to values typical for most interesting physics scenarios. With the recent Higgs boson discovery, bechmark scenarios of the MSSM have been updated to accommodate for new experimental constraints (see chapter ??). As an example, Figure 2.1 shows the currently excluded and allowed regions of the MSSM parameter space for the  $m_h^{mod+}$  updated benchmark scenario. In this scenario a supersymmetric SM-like Higgs boson is assumed, large region of the  $m_A$ -tan $\beta$  parameter space is compatible with this assumption and remains still unexplored.

This chapter presents the search for the neutral MSSM Higgs bosons decaying

into pairs of tau leptons in the fully leptonic final state. The search is based on 20.3 fb<sup>-1</sup> of 8 TeV data recorded by the ATLAS experiment during 2012 at the Large Hadron Collider (LHC) [1]. Higgs boson candidated events are selected based on the topological properties of the Higgs boson production and decay. The two dominant Higgs boson production modes are via gluon-gluon fusion and in association with b-quarks, the search take advantage of that being performed separately for events without or with b-tagged jets in the final state, respectively. Two of the dominat background contributions,  $Z \to \tau \tau$  and multi-jet process, are predicted with the corresponding signal-depleted control data samples. The final statistical interpretation of the data is based on the comparison of the observed invariant mass distributions, exclusion limits are set by means of a binned likelihood ratio test statistic and interpreted either in the MSSM  $m_h^{max}$  scenario and in a model independed.

In the MSSM for large region of parameter space one found that one of the CP-even neutral Higgses has properties that resemble the one of the SM Higgs, this is usually the case for the lightest Higgs, h, the other two, H and A, tend to be degenerate in mass and decouple from gauge bosons. An interesting fenomenological consequence is that the coupling of the latter two Higgses with down (up) type fermions are enhanced (suppressed) by  $\tan \beta$ , meaning that for large  $\tan \beta$  bottom-quark and  $\tau$  lepton will play a more important role than in the SM case either for production and decay.

The production of the neutral CP-even MSSM Higgs bosons at hadron colliders proceeds via the same processes as for the SM Higgs production. However, the pseudoscalar A instead cannot be produced in association with gauge bosons or in vector boson fusion (VBF) at tree-level, as this coupling is forbidden due to CP-invariance. At the LHC one of the most relevant production mechanisms for the MSSM Higgs bosons is gluon-gluon fusion,  $gg \to A/H/h$ . In addition, the production in association with b-quarks becomes important for large value of tan  $\beta$ . Those are the two production mechanism that are considered in this analysis, Figure 2.2 shows the Feynman-diagram for those processes, while Figure 2.3 shows the production cross section of the neutral MSSM Higgses via these two processes. The search is divided in two category which are optimized for the two different production mode considered, in the gluon-fusion category is required a b-jet veto (for definition of b-tagging algorithm see chapter ??), in fact no b-jet in the final state are present for this production mode. In contrast a a b-jet tag is required for b-associated production, this category is expected to be very sensitive to  $\tan \beta$ . The two category are ortogonal and present different backgrounds contributions, which can be optimized separately.

The decays of the neutral MSSM Higgs bosons (in the assumption that all supersymmetric particle are heavy enough) are the same as for the SM one with the already cited exception of A. Figure 2.3 shows the decay branching fractions for H and A as a function of the mass, the decay into tau pair is the most important after  $b\bar{b}$  and the one used in this analysis. The decay channel in  $b\bar{b}$  is in fact very challenging due to the huge background from QCD multi-jet. In this thesis only cases in which the taus decay one in  $e + 2\nu$  and the other in  $\mu + 2\nu$  are considered, This final state corresponds to a total  $\tau^+\tau^-$  branching ratio of approximately 6%.

The signal topology described in the previous section is common to many other processes, unfortunately, those have higher cross section than the sought signal and a set of additional selections is needed to enhance the sensitivity of the search. The most important backgrounds to this search are the production of  $Z \to \tau\tau$  + jets, the top quark ( $t\bar{t}$  and single top production is intended), diboson production (like WW or ZZ events) and Drell-Yan process or events with non-prompt leptons coming solely from hadron decay (in short QCD multi-jet). Vector bosons production like  $W \to \ell \nu$  or  $Z \to \ell \ell$  + jets (with  $\ell$  here meaning either e or  $\mu$ ) are also considered, however those processes have a limited impact.

In section 2.1 an introduction to experimental searches and to the strategy of this particular analysis is given, in section 2.2 the background model estimation is described, while in section 2.3 methods to evaluate systematics uncertaities are discussed, finally in section 2.4 the result of the sarch are presented.

## 2.1.1 Simulated Event Samples

Signal production via the gluon fusion process,  $gg \to A/H/h$ , was simulated with POWHEG [30] and the associated  $b\bar{b}A/H/h$  production with SHERPA [31]. The pseudoscalar Higgs boson samples were generated in the mass range from 90 GeV to 300 GeV and at  $\tan \beta = 20$ , the same kinematics are assumed for A/h/H Higgs bosons decay products and at other  $\tan \beta$  values, appropriate reweighting is applied according to the different cross-sections. The  $m_h^{\rm max}$  MSSM benchmark scenario [35] is assumed.

The production of W and  $Z/\gamma^*$  bosons in association with jets was simulated with the ALPGEN [23] generator. The  $t\bar{t}$  process was generated using the POWHEG generator. The single-top (s-channel, Wt) processes were generated using MC@NLO [25], while single-top (t-channel) processes were generated with AcerMC [26]. The production of diboson (WW, WZ, ZZ) were generated with HERWIG [27]. For all ALPGEN and MC@NLO samples described above, the parton shower and hadronisation were simulated with HERWIG and the activity of the underlying event with JIMMY [28]. Different parton density functions (PDFs) sets are used depending on the generator - CTEQ6L1 [32] is used by ALPGEN and AcerMC while CT10 [33] is used by SHERPA, POWHEG and MC@NLO.

TAUOLA [37] and PHOTOS [38] are used to model the tau lepton decay and additional photon radiation from charged leptons in the leading-log approximation, respectively, except for SHERPA samples.

All MC event samples were passed through the full simulation of the ATLAS detector using GEANT4 [39, 40] The effects of the simultaneous recording of several events from the same or neighbouring bunch crossings (pile-up) are considered in the simulation.

The cross-sections of the MC event samples used in this note are summarised in Table 2.1. The  $W/Z+{\rm jets}$  and  $b\bar{b}A/H/h\to \tau\tau$  cross sections are calculated to NNLO. Those for  $t\bar{t}$  comes from direct cross section measurement []. The single top and diboson cross sections are calculated at NLO for single top and dibosons. Finally, the direct  $gg\to A/H/h\to \tau\tau$  signal cross sections are calculated at NNLO and NLO for the top loop and the bottom loop and top/bottom loops interference,

Process	Cross-section (pb) $[\times BR]$
$W \to \ell + \text{jets} \ (\ell = e, \mu, \tau)$	$12.22 \times 10^3$
$Z/\gamma^* \to \ell\ell + \mathrm{jets} \ (m_{\ell\ell} > 60 \ \mathrm{GeV})$	$1.15 \times 10^{3}$
$Z/\gamma^* \to \ell\ell + \mathrm{jets} \ (10 < m_{\ell\ell} < 60 \ \mathrm{GeV})$	$4.35 \times 10^{3}$
$tar{t}$	137.3
Single top $t$ -, $s$ - and $Wt$ -channels	28.4, 1.8, 22.4
Diboson WW, WZ and ZZ	20.6,  6.8,  1.55
Signal ( $m_A = 150 \text{ GeV}$ , $\tan \beta = 20$ , $m_h^{max}$ scenario)	
$gg \to A \times BR(A \to \tau\tau) \times BR(\tau\tau \to e\mu + 4\nu)$	$16.8 \times 0.118 \times 0.062$
$gg \to H \times BR(H \to \tau\tau) \times BR(\tau\tau \to e\mu + 4\nu) \ (m_H = 151 \text{ GeV})$	$18.4 \times 0.119 \times 0.062$
$gg \to h \times BR(h \to \tau \tau) \times BR(\tau \tau \to e\mu + 4\nu) \ (m_h = 129 \text{ GeV})$	$13.7\times0.110\times0.062$
$b\bar{b}A \times \mathrm{BR}(A \to \tau\tau) \times \mathrm{BR}(\tau\tau \to e\mu + 4\nu)$	$39.4 \times 0.118 \times 0.062$
$b\bar{b}H \times \text{BR}(H \to \tau\tau) \times \text{BR}(\tau\tau \to e\mu + 4\nu) \ (m_H = 151 \text{ GeV})$	$35.7 \times 0.119 \times 0.062$
$b\bar{b}h \times BR(h \to \tau\tau) \times BR(\tau\tau \to e\mu + 4\nu) \ (m_h = 129 \text{ GeV})$	$4.71 \times 0.110 \times 0.062$

Table 2.1: The cross sections (multiplied by the relevant branching ratios (BR)) used in this note. Signal cross sections are shown for  $m_A = 150$  GeV and  $\tan \beta = 20$ 

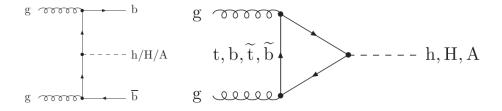


Figure 2.2: Feynman diagram for b-associated production and gluon-gluon fusion for MSSM neutral Higgs.

respectively.

# 2.1.2 Event Selections and Categorizzation

The signal events considered in this search are characterised by the presence of one electron, one muon and four neutrinos, the latter are associated to missing transverse energy of the event. Furthermore, additional b-tagged jets may be present if the Higgs boson is produced in association with b-quark. According to the signal events caractheristics, each event either data and MC should satisfy the following selection criteria, these selections are shared by both analysis category and therefore referred in the following as "common selections":

- (i) Trigger requiring the presence of an electron with  $P_T > 24$  GeV, or alternativaley, an electron with  $P_T > 12$  GeV togheter with a muon with  $P_T > 8$  GeV. Note to Sandra: should I mention that the trigger is a selection during data taking? Or is the trigger is supposed common knowledge?
- (ii) Exactly one reconstructed electron and one muon of opposite charge should be present in the event. The muon is required to have  $P_T > 10$  GeV, while the electron should have  $P_T > 15$  or 25 GeV depending on the trigger that

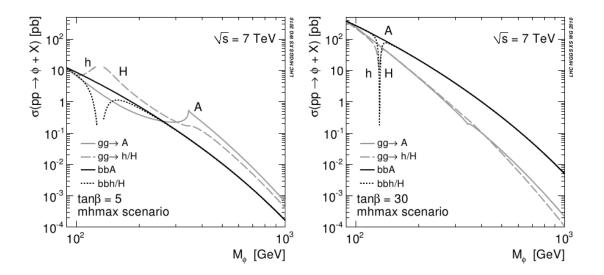


Figure 2.3: Production cross section for the h/H/A MSSM neutral Higgs bosons via b-associated production and gluon-gluon fusion production mode. The calculation are for the  $m_h^{max}$  scenario and for tan  $\beta = 5$  (left) and tan  $\beta = 30$  (right).

selected the event. For definition of reconstructed electron and muon object see chapter ??.

- (iii) The two leptons should be isolated, meaning that in a cone around the lepton there should be little energy deposit (should not be sorraunded by other particle, common of non-promt leptons coming from jets). For more detail about isolation properties see section 2.2.
- (iv) The events is rejected if at least one jet from hadronic  $\tau$  decay is found with  $P_T > 15$  GeV.
- (v) The invariant mass of the sum of the electron and muon 4-vectors should be greather than 30 GeV.

This set of selection all togheter are referred in the following as preselection. More detail on preselections are reported in table 2.2, for details on object reconstruction and quality requirements see chapter ??. The two analysis category, b-tag and b-veto, are defined adding on top of the preselections the request of "exactly one b-tagged jet" or "no b-tagged jet" in the event respectively, to be taggable a jet should have  $P_T > 20$  GeV and  $|\eta| < 2.5$ .

### **Event Category**

The final state of Higgs decaying into tau pair coincide with the one from  $Z \to \tau\tau$  process, this is then an irreducible background. Exploiting the different kinematics of the Higgs decay with respect to other backgrounds it possible to disentangle between the two. In the Higgs decaying into  $\tau^+\tau^- \to e \mu + 4\nu$  the taus are highly boosted and this feature is transferred to the final state leptons, their kinematics

Channel	Selection
	17 1 1 1 1 1 1
Preselection	Trigger
	At least one reconstructed vertex
	Event cleaning
	Tau Veto
	Exactly one tight isolated electron with $P_T > 15$ or 25 GeV (trigger dependent)
	Exactly one Combined isolated muon with $P_T > 10 \text{ GeV}$
	Opposite charge between the leptons
b-Tag	Exactly one b-tagged taggable jet
	$\Delta \phi(e-\mu) > 2$
	$\sum \cos \Delta \phi > -0.2$
	$\sum H_T < 100 \text{ GeV}$
	$\sum L_T + E_T^{miss} < 100 \text{ GeV}$
	Good MMC solution
b-Veto	Exactly zero b-tagged taggable jets
	$\Delta \phi(e-\mu) > 1.6$
	$\sum \cos \Delta \phi > -0.4$
	Good MMC solution

Table 2.2: Summary of the preselection and the full selections used for the b-tag and b-veto channels.

then result to be significantly different with respect to process like diboson or  $t\bar{t}$ . A first difference is that e and  $\mu$  from the Higgs decay will be more likely "back-to-back", as it is shown in Figure 2.4(a) where the angle between the leptons in the transverse plane  $\Delta \phi = |\phi_e - \phi_{\mu}|$  is reported. Furthermore the neutrinos will be more likely collinear with the charged leptons: this feature can be matematically seen as the sum of scalar product between missing energy and the leptons four-vectors in the transverse plane, if the vectors are normalised to unit versors then what remains is a relation only between angles:

$$\hat{E}_T^{miss} \cdot (\hat{P}_T^{\mu} + \hat{P}_T^e) = \cos(\Delta \phi_{E_T, \mu}) + \cos(\Delta \phi_{E_T, e}) = \sum_{\ell} \cos(\Delta \phi_{E_T, \ell})$$

collinearity implies this sum to be equal to zero as it is shown in Figure 2.4(b). These two feature can be used to distinguish between mu-e coming from decay from highly boosted object and the one coming from W decays in top or in dibosons backgrounds which will have a more spread distribution. In b-veto category these two variables are sufficient to suppress contribution from dibosons, no other selection is applied in this category because it has been shown to not bring significant improvement.

In the b-tag category the situation is different, the request of b-jet enhance backgrounds with high jet activity as top production, given the relatively low jet activity of Higgs events (also in the case of b-associated production) it is possible to separate them from top production which instead is very likely to have two or more highly enegetic jets in the event. Little jet activity is achieved by requesting requesting the sum of the jets  $P_T$  in the event to be small, this variable is called  $H_T$  and is shown in Figure 2.4(c). Another feature that distinguish top pair production from Higgs is the much higher invariant mass of the former final state, in the transverse plane all the leptons will tend to have a higher momentum, the sum of

lepton  $P_T$  and  $E_T^{miss}$  is then used as a discriminating variable. Figure 2.4(d) shows the distribution of this last analysis variable.

The above described variables defines the signal region in the b-tag and b-veto category, in table 2.2 a summary of the preselection and all the selection variable used with their optimized cut values is reported. Figure 2.5 shows the final state invariant mass distribution (here the  $MMC_{mass}$  discriminating variable is used see section 2.1.3) as a function of the selection stage, while in tables 2.4-2.3 the number of events that survises at each cut stage for different background is reported.

## 2.1.3 Mass Reconstruction with MMC Technique

Acurate invariant mass reconstruction of a di- $\tau$  resonace is a challenging task due to the presence of neutrinos from the  $\tau$  leptons decay. In case of leptonic decay of both  $\tau$  leptons a pair of neutrinos for each of them are involved in the final state, the system presents then eight uknowns, which corresponds to the four-momentum of the neutrinos pairs. Four additional kinematic constraint are set by the following equations:

$$\vec{E}_{T}^{miss} = \vec{P}_{T}^{mis_{1}} + \vec{P}_{T}^{mis_{2}}$$

$$M_{\tau_{i}}^{2} = m_{mis_{i}}^{2} + m_{vis_{i}}^{2} + 2\mathbf{P}_{vis_{i}} \cdot \mathbf{P}_{mis_{i}}$$
(2.1)

where the index i runs over the two  $\tau$  leptons of the event and assumes the values of 1 or 2,  $\vec{P}_T^{mis_i}$ ,  $m_{mis_i}$  and  $\mathbf{P}_{mis_i}$  are respectively the transverse momentum, the invariant mass and the four momentum of the pair of neutrinos related to the  $\tau$  lepton decay i with mass  $M_{\tau}$ , the subscript vis indicates insetad quantities related to the charged lepton from  $\tau$  lepton decay. The system has still four degrees of freedom, several approximation are possible to further constraint the momentum carried by neutrinos, for example assuming them collinear to the electron or muon from  $\tau$  lepton decay, however those approximation suffers of mass resolution limitations.

In this analysis, the so-called "Missing Mass Calculator" (MMC) algorithm is used to calculate the most likely di- $\tau$  system invariant mass given the event topology, the implementation of the method in this search is based on [64]. The concept of the MMC is to solve equation 2.1 assigning values to the yet undetermined variables, performing a "scan" over a four dimensional parameter space. The four independent variables are chosen to be  $m_{mis_i}^2$  and  $cos\theta_i^*$ , the latter defined as the angle between the charged lepton from the  $\tau$  lepton decay and the boost direction of the  $\tau$  lepton. The di- $\tau$  invariant mass of the event can be calculated for each given point of the parameter space that solves equations 2.1, however, the solutions are not all equally likely and the probability of a given  $\tau$  decay configuration can be predicted by means of simulation (PYTHIA supplemented with TAUOLA package is used). Each point in the parameter space, corresponding to a particular di- $\tau$  invariant mass, is then weighted by its probability to occur. The estimator for the final discriminant, the mass of the di-tau system  $MMC_{mass}$ , is the maximum of the weighted invariant mass distribution calculated for the scanned points.

The missing energy plays an important role in the MMC method and its resolution has an impact on the calculation of the invarian mass. To improve  $E_T^{miss}$  resolution, a scan over a six dimensional parameter space is performed in a similar

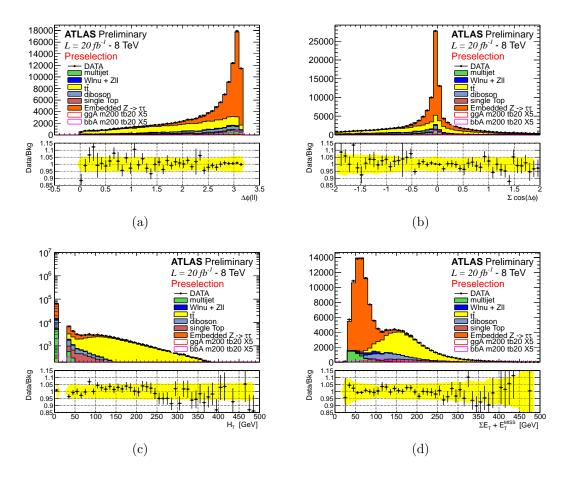


Figure 2.4: Distribution of analysis variable after preselection.

way as described above, in this case however,  $\vec{E}_T^{miss}$  is also considered uknown and value are assigned to it according to its uncertainty. The probability of each solution is calculated and the final missing transverse energy is given by the weighted mean of the scanned points.

The final procedure consist in obtaining first an estimated for  $E_T^{miss}$  by means of a six dimensional scan over the solution of equations 2.1, successively a four dimensional scan is performed fixing  $E_T^{miss}$  to the updated value and calculating the most likely invariant mass of the di- $\tau$  system. Figure....

# 2.2 Background Modeling and Validation

This section describes the strategies for background modeling and validation. Monte Carlo (MC) simulation is extesively used for model either background and signal, in section 2.1.1 a brief description of the simulated sample used is given.

Monte Carlo simulations of any process are usually prone to systematics uncertainties due to non-perfect descriptions of pileup effects, underlying event and detector performance, therefore, data-driven background esimation method are employed for the estimate of  $Z \to \tau \tau$  and QCD multijet backgrounds, described respectively in section 2.2.3 and 2.2.2. Other background processes, such as  $t\bar{t}$ , single top, dibosons,  $Z \to ll$  + jets (where  $l=e,\mu$ ) and W + jets, are estimated using MC predictions. Given the particular importance of  $t\bar{t}$  a dedicated study to validate this background has been made and described in section 2.2.1.

In this section we make use of analysis tools, quality requirements and object definition (like electrons, jet and muon) described in chapter ??. Furthermore a set corrections is applied to simulated events to take into the non perfect description of detector performance and response, full detail on those corrections is reported in appendix ??. Systematic uncertainties on the background model predictions are detailed in Section 2.3.

A good agreement between data and background model is found after preselections, this is supported by figure 2.6 which shows few kinematic variables and figure 2.4 which shows analysis selection variables after preselection.

# 2.2.1 Top Quark Pair Production Validation

The background from top quark pair production is estimated using a sample of events from the POWHEG-PYTHIA MC generator. Since this is one of the major backgrounds for this analysis a careful validation is needed, for this purpose a  $t\bar{t}$  rich control region is defined using events passing the preselection with the additional requirement of two b-tagged jets. Figures 2.7 and 2.8 show a set of kinematic and analysis selection variables in this CR, for both data and the MC prediction, good agreement between data and the background model is found: an overall data to background ratio of 0.998  $\pm$  0.011(stat.)  $\pm$  0.110(sys.) is observed. The total systematic uncertainty on the ratio is dominated by the uncertainty on the b-tagging efficiency.

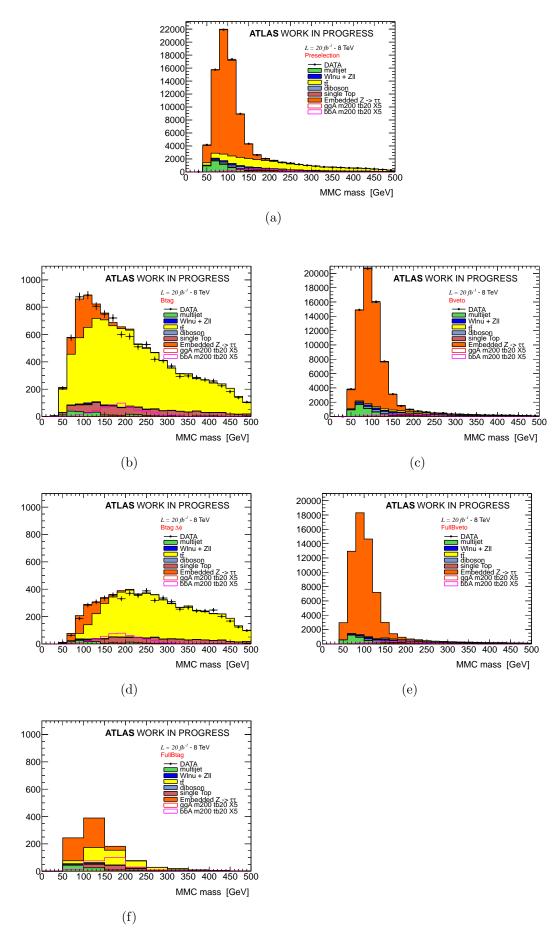


Figure 2.5: Distribution of the  $MMC_{mass}$  for different cuts stage, see text. Left column corresponds to b-tag category, right column to b-veto.

	${ m Preselection}$	n(b-jet)=1	$\Delta \phi(e-\mu) > 2$	$\sum \cos \Delta \phi > -0.2$	$n(b-jet)=1$ $\Delta\phi(e-\mu) > 2$ $\sum \cos \Delta\phi > -0.2$ $\sum L_T + E_T^{miss} < 100 \text{ GeV}$	$50~{ m GeV}$ $\sum H_T < 100~{ m GeV}$	$\mathrm{mmc}$
Data	125886	23352	_	-	_	-	_
Multijet	$6700 \pm 500$	$330 \pm 40$	$208 \pm 27$	$135\pm22$	$114\pm17$	$100 \pm 15$	$100 \pm 15$
$Z  o \ell \ell$	$570 \pm 50$	$5.2 \pm 1.8$	$2.3 \pm 1.1$	$2.3 \pm 1.1$	$1.7\pm1.0$	$0.9 \pm 0.8$	$0.9 \pm 0.8$
$W  o \ell  u$	$1630 \pm 150$	$20 \pm 6$	$15 \pm 6$	$13 \pm 6$	$10 \pm 6$	$10 \pm 6$	$10 \pm 6$
Diboson	$9340 \pm 50$	$99 \pm 5$	$63 \pm 4$	$36.4 \pm 3.0$	$14.8\pm1.8$	$13.3\pm1.8$	$13.1 \pm 1.8$
$tar{t}$	$40630 \pm 110$	$19810 \pm 70$	$9680 \pm 50$	$6450 \pm 50$	$808 \pm 15$	$350 \pm 10$	$330 \pm 10$
Single Top	$4450 \pm 40$	$2456 \pm 33$	$1223\pm23$	$784 \pm 18$	$122\pm7$	$99 \pm 7$	$90 \pm 6$
Z  o  au au	$61500 \pm 70$	$952 \pm 9$	$625\pm7$	$540 \pm 7$	$482 \pm 6$	$421\pm 6$	$418 \pm 6$
$\operatorname{Signal}$			1	1	ı	1	ı

Table 2.3: Number of data and background events in the b-tag channel.

	Preselection	n(b-jet)=0	$\Delta\phi(e-\mu) > 1.6$	$\sum \cos \Delta \phi > -0.4$	$\mathrm{mmc}$
Data	125886	89155	-	-	_
Multijet	$6693 \pm 456$	$6357\pm461$	$5322 \pm 438$	$4137 \pm 339$	$3934 \pm 335$
$Z  o \ell \ell$	$569 \pm 48$	$564\pm48$	$516 \pm 47$	$434 \pm 44$	$432\pm44$
$W \to \ell \nu$	$1625\pm155$	$1604\pm155$	$1145\pm125$	$714\pm101$	$656 \pm 100$
Diboson	$9338 \pm 48$	$9235 \pm 48$	$7358 \pm 43$	$4002 \pm 31$	$2925\pm27$
$tar{t}$	$40632 \pm 106$	$7707\pm46$	$5044 \pm 37$	$3416 \pm 31$	$2159\pm24$
Single Top	$4449 \pm 44$	$1664 \pm 27$	$1124\pm22$	$682 \pm 18$	$435\pm14$
Z  o  au au	$61503 \pm 68$	$60440 \pm 67$	$58078 \pm 65$	$55303 \pm 64$	$54683 \pm 63$
Signal			-	-	-

Table 2.4: Number of data and background events in the b-veto channel.

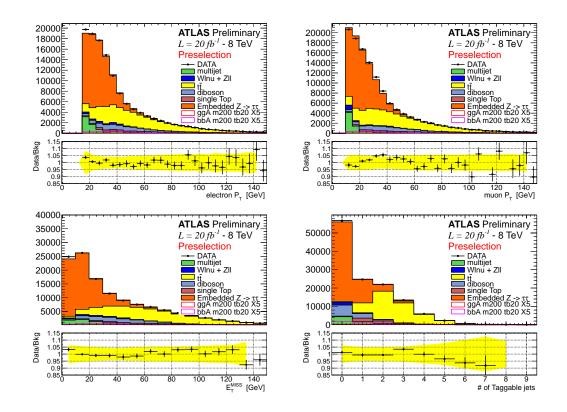


Figure 2.6: Distribution of some kinematic variables after preselection.

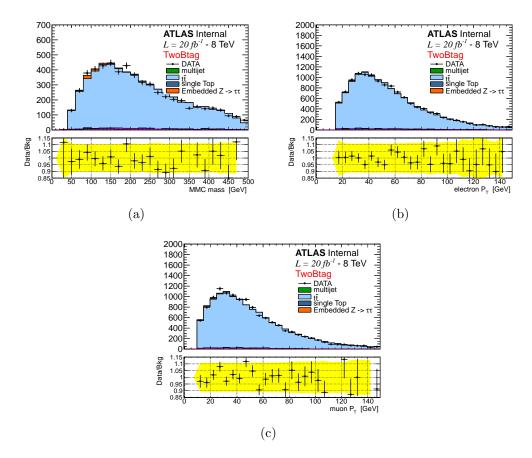


Figure 2.7: Distributions of a) the MMC mass, b) the transverse momentum of the electron  $P_T(e)$  and c) the transverse momentum of the muon  $P_T(\mu)$ , for both data and MC in the  $t\bar{t}$  control region. The uncertainties on the points for the ratio plot show the statistical uncertainty on the data to background ratio, whereas the yellow band show the total systematic uncertainty on this ratio.

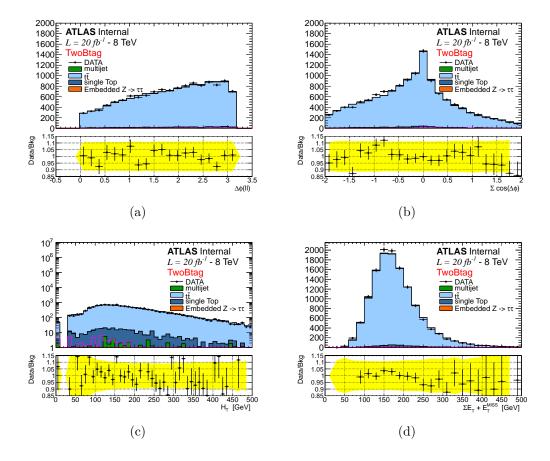


Figure 2.8: Distributions of a)  $\Delta\phi(e-\mu)$ , b)  $\sum\cos\Delta\phi$ , c)  $\sum L_T + E_T^{miss}$  and d)  $H_T$ , for both data and MC in the  $t\bar{t}$  control region. The uncertainty on the points for the ratio plot show the statistical uncertainty on the data to background ratio, whereas the yellow band show the total systematic uncertainty on this ratio.

# 2.2.2 Multi-jet Background

The QCD multi-jet background represents an important background, especially in the b-veto category, due to its high cross-section and the relatively low cut on lepton  $P_T$  used in this analysis. This background is evaluated by a data-driven technique, the so-called ABCD method. The ABCD method consists of splitting the data sample in four regions: the signal region (SR) and three control regions (CR), where the control regions are mutually orthogonal and designed to be enriched in multi-jets events. The four regions are defined by using the charge correlation between the leptons and isolation selections. With isolation is intended the sum of the energy deposit in a cone of fixed size around the lepton, this variable can be defined using calorimetric energy deposition or track momentum measurement done by the inner detector. To obtain regions rich in multi-jet background, the selections on both the calorimetric and tracking isolation are inverted with respect to the nominal ones defining anti-isolated leptons, is then possible to define four regions: opposite sign (OS) or same sign (SS) with respectively isolated or anti-isolated leptons. Historically the letters A-D are assigned to this regions for a

Region	Lepton Charge	Lepton Isolation
A (signal region)	OS	isolated
В	SS	isolated
$\mathbf{C}$	OS	anti-isolated
D	SS	anti-isolated

Table 2.5: QCD background estimation control regions, defined by having leptons with opposite signs (OS) or same signs (SS) and by having the leptons either isolated or anti-isolated.

quicker reference as defined in Table 2.5.

An assumption of the ABCD method is that multi-jet backgrounds populate the OS and SS events independently of lepton isolation criteria, or in other words that the ratio of OS/SS events is uncorrelated with the lepton isolation selections. In this case, the number of QCD events in the signal region A can be estimated from the yield of multijet events in the control regions B, C and D, using the equation

$$N_A = N_B \times \frac{N_C}{N_D} = N_B \times R_{QCD} \tag{2.2}$$

To obtain the multijet yields in the data CRs, the contamination from electroweak (W+jets, Z+jets and dibosons) and top processes ( $t\bar{t}$  and single top production) are subtracted in each control region using the MC prediction for their event yield. Tables 2.6 and 2.7 show the event yield for each CR throughout the full cut-flows along with the predictions of non-QCD multi-jets events which are subtracted. Signal contamination has been checked in all the three control regions for different mass points. For the range of  $m_A$  and  $\tan\beta$  considered in this analysis, the highest signal contamination is seen in region B for the mass point  $m_A = 300$  GeV and  $\tan\beta = 50$ , where a contamination of 0.2% is observed<sup>1</sup>.

Shapes of kinematic distributions for QCD events are taken from region B, this region is expected to have similar kinematic property to the SR, however, suffers of either lower statistics and higher contamination with respect to region C or D. This choice is made to avoid a shape bias due to isolation requirements at trigger level (only the single-electron trigger ask for isolation), figure 2.9 shows the comparison between the electron  $P_T$  distributions in region B and D, in the latter high  $P_T$  electrons are suppressed, they do not pass trigger selections. Eventually the trigger isolation requirement could bias also the ratio OS/SS, this possibility has been checked carefully in a dedicated study and reported in Appendix ??: to a good approximation, such trigger effects cancel out in the ratio OS/SS and no additional systematic is needed.

To test the ABCD method predictions an additional control region has been defined with the following selections:

- $E_T^{miss} < 20 \text{ GeV}$
- $H_T < 70 \text{ GeV}$  and  $\sum L_T + E_T^{miss} < 50 \text{ GeV}$

This value is mainly due to b-associated production and, as it scales with the cross section, for  $\tan \beta = 20$  would be an order of magnitude smaller.

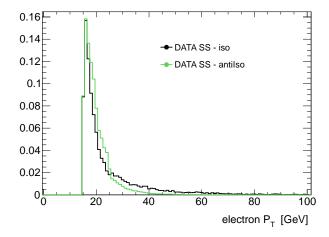


Figure 2.9: Comparison of the electron  $P_T$  distribution in region B and region D, showing the bias due to the trigger. The histograms are normalised to the same area.

### • $0 < MMC_{mass} < 80 \text{ GeV}$

This control region is designed to enhance multi-jet background with respect to  $Z \to \tau\tau$  keeping the final state kinematics as similar as possible to the SR. Figure 2.10 shows the  $MMC_{mass}$  distribution for this CR with and without b-tagging requirements, agreement between data and the background model is found within statistical and detector related systematics uncertainty.

Systematic uncertainties are assigned on the scaling factor  $R_{QCD}$  and on the shape of the discriminating variable  $MMC_{mass}$  to take into account any correlation between isolation and charge of the leptons, details on the systematic uncertainty evaluation are addressed in Section 2.3.

# 2.2.3 $Z \rightarrow \tau\tau$ + Jets Background: Embedding Technique

The background from  $Z \to \tau \tau$  decays is the major background to this analysis, a good uderstanding of it is then crucial. Unfortunately, for a light Higgs boson, it is impossible to completely separate  $Z \to \tau \tau$  decays from the signal and a signal free data control region cannot be defined. However, thanks to the small Higgs coupling to muons,  $Z \to \mu \mu$  decays provide a good starting point to model  $Z \to \tau \tau$  events in a data-driven way. An hybrid Data-MC sample, known as "Embedding" is used to model the  $Z \to \tau \tau$  background:  $Z \to \mu \mu$  candidates are selected in data, then, the two muons from the Z decay are substituted with the decay products from simulated taus, this means that the energy deposit and tracks in a cone around the muon are subtracted and substituted with the one from  $\tau$  decay, those taus have the same kinematics as the original muons. Further details on this technique may be found in [41, 42].

Trigger is not simulated in the embedding samples, the event yield is normalised to ALPGEN  $Z \to \tau\tau$  at preselection stage. Furthermore a set of corrections, as described in [43], are applied to unfold from the original  $Z \to \mu\mu$  trigger and

Selection		В	С	D	$R_{QCD}$
Preselection	Data	6189	604628	312901	$1.929 \pm 0.004$
	non-QCD	$2510\pm180$	$1090 \pm 30$	$730\pm35$	
B-tag	Data	419	44619	27257	$1.64 \pm 0.01$
	non-QCD	$215 \pm 10$	$310 \pm 12$	$277 \pm 13$	
$\Delta\phi(e-\mu)$	Data	230	38810	23316	$1.67 \pm 0.01$
	non-QCD	$104 \pm 6$	$200 \pm 10$	$175\pm7$	
$\sum \cos \Delta \phi$	Data	149	31379	18779	$1.67 \pm 0.02$
	non-QCD	$67 \pm 5$	$127\pm8$	$114\pm 6$	
$\sum H_T$	Data	83	27781	15626	$1.78 \pm 0.02$
	non-QCD	$23 \pm 4$	$25 \pm 3$	$22 \pm 3$	
$\sum L_T + E_T^{miss}$	Data	71	27735	15590	$1.78 \pm 0.02$
	non-QCD	$10 \pm 3$	$22 \pm 3$	$18 \pm 2$	
$\overline{MMC_{mass}} > 0.$	Data	70	27634	15522	$1.78 \pm 0.02$
	non-QCD	$9 \pm 3$	$20 \pm 3$	$17\pm2$	

Table 2.6: QCD background estimation as a function of the analysis selections for the b-tagged category. The yields for the different control regions, as well as the scaling factor  $R_{QCD}$ , are reported. The error on the  $R_{QCD}$  is statistical only.

Selection		В	С	D	$R_{QCD}$
Preselection	Data	6189	604628	312901	$1.929 \pm 0.004$
	non-QCD	$2510\pm180$	$1090 \pm 30$	$730\pm35$	
B-veto	Data	5673	558217	284847	$1.960 \pm 0.004$
	non-QCD	$2220 \pm 180$	$710 \pm 30$	$415\pm30$	
$\Delta \phi(e-\mu)i$	Data	4610	532583	271404	$1.962 \pm 0.005$
	non-QCD	$1700 \pm 170$	$580 \pm 30$	$345\pm30$	
$\sum \cos \Delta \phi$	Data	3417	486747	247712	$1.965 \pm 0.005$
	non-QCD	$1120 \pm 100$	$370 \pm 20$	$230\pm20$	
$\overline{MMC_{mass}} > 0.$	Data	3177	479967	244276	$1.965 \pm 0.005$
	non-QCD	$1000\pm100$	$300\pm17$	$190 \pm 20$	

Table 2.7: QCD background estimation as a function of the analysis selections for b-veto category. The yields for the different control regions, as well as the scaling factor  $R_{QCD}$ , are reported. The error on the  $R_{QCD}$  is statistical only.

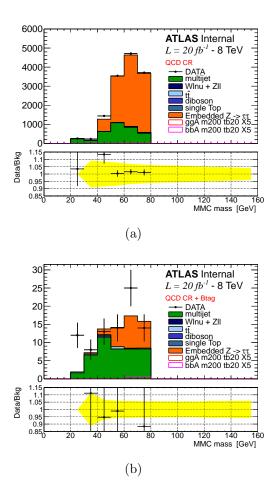


Figure 2.10:  $MMC_{mass}$  distribution for QCD cross check regions defined in section 2.2.2 (a) and for the same CR when in addition one b-tagged jet is required (b).

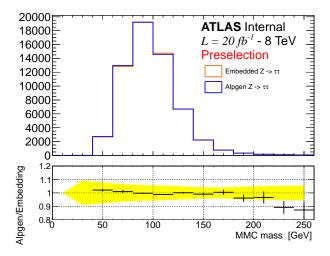


Figure 2.11: Comparison between the embedded  $Z \to \tau\tau$  and ALPGEN for  $MMC_{mass}$  distributions.

reconstruction efficiency, then trigger and reconstruction efficiency for a  $e - \mu$  final state are emulated by means of event weight.

The Embedding technique has been validated in several studies, detailed in [41, 43], which show a good description of data and  $Z \to \tau\tau$  MC by Embedding. In the context of this analysis, figures 2.11 and 2.12 show comparisons of various kinematic variables between data, embedding and ALPGEN  $Z \to \tau\tau$  events at preselection. No significant deviation is seen between the  $MMC_{mass}$  distribution of the embedding and ALPGEN samples, however other relevant variables for this analysis, such as the  $E_T^{miss}$  and the number of b-jets, are slightly better described by embedding.

The Embedding sample is based on selecting  $Z \to \mu\mu$  candidates in data, the selections assure a rather pure  $Z \to \mu\mu$  sample, however further selections used in this analysis, for example the b-tagging requirements, could enhance the contamination fraction from other processes. Dedicated studies have been made to estimate the  $t\bar{t}$  and QCD multi-jet contamination in the embedding sample. The  $t\bar{t}$  contamination is estimated by evaluating the embedding yield in a two b-tag control region (as described in Section 2.2.1), these events are assumed to be solely from  $t\bar{t}$  and their yield in the signal region is extrapolated using MC simulation. Table 2.8 shows a summary for the top contamination in embedding The multi-jet contamination can be estimated starting from the embedding yield in (ABCD) region C, assuming all events in this CR as QCD multi-jet events, the contamination in the SR can be estimated by means of the ABCD method (see Section2.2.2). The  $R_{QCD}$  factor, in this case, is evaluated using a  $\mu - \mu$  final state with same kinematic selections as for embedding  $Z \to \mu\mu$  candidate. Table 2.9 shows the estimated contamination of QCD multi-jet in embedding. We consider contamination effects negligible.

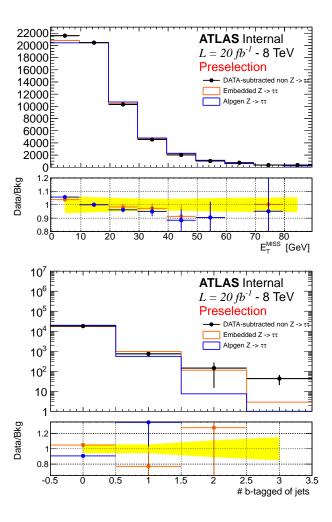


Figure 2.12: Comparison between embedded  $Z \to \tau\tau$  and ALPGEN for  $E_T^{miss}$  and the number of b-tagged jets distributions. Data are superimposed, with the contribution of non- $Z \to \tau\tau$  are subtracted.

	Embedding yield in CR	Transfer factor	Estimated events in SR	Contamination
b-tag	$84 \pm 9 \\ 84 \pm 9$	$(2.6 \pm 0.1) \times 10^{-2}$	$2.2 \pm 0.2$	0.5 %
b-veto		$(1.74 \pm 0.02) \times 10^{-1}$	$15 \pm 2$	0.03 %

Table 2.8: Evaluating embedding  $t\bar{t}$  contamination using a two b-tag CR. The transfer factor is the multiplicative factor that allows to estimate events in SR from the CR.

	Embedding yield in CR	Transfer factor	Estimated events in SR	Contamination
B-tag	$12 \pm 3$	$(7 \pm 1) \times 10^{-3}$	$(8.4 \pm 0.3) \times 10^{-2}$	$0.03~\% \ 0.02~\%$
B-veto	$390 \pm 20$	$(2.5 \pm 0.1) \times 10^{-2}$	$10.0 \pm 0.5$	

Table 2.9: Evaluating embedding contamination due to QCD multi-jet using ABCD method, the CR here is with OS anti-isolated events (region C). The transfer factor is the multiplicative factor that allows to estimate events in SR from the CR, in this case is  $N_B/N_D$  and is evaluated using mu-mu final state with the same kinematic selection used in the definition of the embedding sample.

# 2.3 Systematic Uncertainties

This section describes the range of systematic uncertainties that are relevant for this analysis. To account for differences in the detector responses between simulation and data a set of corrections are applied either at object reconstruction level and at event level, the uncertainties on such corrections are considered as detector-related systematic uncertainties and are detailed in section 2.3.1. For samples which rely on MC simulation, theory-related systematics, which include uncertainties on the cross-section and uncertainties on the acceptance of analysis selections, are described in section 2.3.2. Further systematic uncertainties related to data-driven methods for backgrounds estimation are described in section 2.3.3 and 2.3.4.

Each single systematic can contribute separately to the uncertainty on the final event yield and on the shape of the  $MMC_{mass}$  distribution which is used as discriminating variable in limit derivation. Shape systematics are documented in appendix ??, they are found to be negligible for all the samples except Embedding, for which significant deviation are found only in the b-veto category. Systematic uncertainties that do not effect the mass shape distribution and have an impact on the event yield of less than 0.5% (per sample) are neglected in the final limit calculations.

# 2.3.1 Detector-related Systematics Uncertainties

Here systematic uncertainty related to object reconstruction and event corrections are addressed, those corrections are based on the measure of some relevant parameter, each of those parameters correspond to a "nuissance paremeter" in our probability model as described in Section ??. Each parameter is variated independently (one sigma up or down) according with its uncertainty and the impact on the analysis yield for each sample is evaluated. In the following, detector related uncertainty are described with some more details, table 2.10 and 2.11 briefly summarize the impact on the samples yield for the most significant systematic uncertainty considered.

**Luminosity** The integrated luminosity of the 8 TeV data recorded at ATLAS during 2012 is measured to be 20.3  $fb^{-1}$  [76], its uncertainty is 2.8%.

**Pileup** Simulated events are re-weighted to reproduce the average interactions per bunch crossing,  $\langle \mu \rangle$ , seen in data. Those event weights has an uncertainty wich is propagated to each simulated sample.

**Trigger Efficiency** is corrected in simulation to match (as a mean value) the one in data, those correction weights are evaluated as a function of  $P_T$  and  $\eta$  of the leptons and have assciated uncertainties. Systematic uncertainties on both the single electron and electron-muon trigger efficiency are considered independently, those uncertainty range approximately 1-2%.

In the embedding sample, the trigger is emulated by applying weights to the event topology in order to recover the right trigger efficiency, those weights are related to the one just described above and have similar uncertainty. Trigger efficiency uncertainty for Embedding are considered uncorrelated with the one of other samples.

**Electrons** Two types of uncertainty on reconstructed electron objects are considered: the first are related to electron identification and reconstruction efficiencies ("Electron ID"), the second type are related to electron energy scale and resolution corrections. The energy scale uncertainties are split into a set of six different nuisance parameters, however, only few of them give a non negligible contribution. Two of them are found to effect the shape of the  $MMC_{mass}$  distribution and are considered independently, those are the uncertainty that arise from the  $Z \to ee$  momentum measurement ("Electron Zee") and the one related to low momentum electrons ("Electron LOWPT"). All the other uncertainties related to energy scale and resolution are summed in quadrature ("Electron E").

Muons The uncertainty on muon identification efficiency depends on the charge and momentum of the muon. Typically these uncertainties are of the order of a fraction of percent, and are referred as "Muon ID". The uncertainties on the muon energy scale and resolution are considered independently for the inner detector and muon spectrometer measurements, then are added in quadrature to eastimate the final effect ("Muon E").

**Taus** Hadronic tau object are only used in the analysis as a veto. Uncertainties on both tau energy scale and identification efficiency have been investigated and are found to be negligible for this analysis.

Jets The systematic uncertainties on the Jet Energy Scale (JES) are split up into multiple sets of nuisance parameters, which are related to different effects and components, for example the sensitivity to pileup or to the flavour composition of the jet. The overall uncertainty on the JES ranges between 3% and 7%, depending on the  $P_T$  and  $\eta$  of the jet. To give an idea of the effect that these uncertainty have on the analisis yield their sum in quadrature is reported in table 2.10 and 2.11 as "JES", however this is just a simplification for illustration purposes and in the limits extraction those uncertainties are considered uncorrelated. Systematic uncertainty

b-tag category uncertainties (%)					
Source	Signal bbH	Signal ggH	$Z \to \tau \tau$	Top	Other
Electron ID	2.3	2.6	2.8	1.8	2.0
Electron E	0.7	1.2	0.5	0.5	0.9
Electron LOWPT	0.4	0.0	0.4	0.1	0.4
Electron Zee	0.3	0.6	0.4	0.6	0.5
Muon ID	0.3	0.3	0.3	0.3	0.3
Muon E	0.5	0.8	0.1	0.1	0.2
Trigger Single Ele.	0.7	0.5	0.5	0.8	0.8
Trigger Dilepton	1.0	1.2	1.4	0.6	0.6
Embedding MFS	-	-	0.0	-	-
Embedding Iso.	-	-	1.3	-	-
JES	2.7	7.3	-	10.0	7.0
JER	1.4	6.3	-	2.9	3.0
B Eff	10.2	3.1	-	2.6	5.0
C Eff	0.2	4.3	-	0.0	1.2
L Eff	0.4	8.0	-	0.1	1.2
Pileup	0.4	0.7	0.4	0.4	0.9
MET	0.7	0.5	0.2	1.0	1.2
Luminosity	2.8	2.8	2.8	2.8	2.8

Table 2.10: Summary of the effect of the experimental systematic uncertainties on the yields of the different samples used in the b-tag channel. Here "Other" refers to the sum of all the remaining samples:  $W \to \ell \nu$ , diboson,  $Z \to \ell \ell$  and single top. The signal samples listed here are b-associated production and gluon fusion with  $m_A = 120$  GeV and  $\tan \beta = 20$ .

due to jet resolution ("Jet Resolution") are obtained by smearing the jet energy according to its uncertainty.

**b-Tagging** is described in chapter ??. Corrections are applied to simulation to match b-tagging efficiency in data, uncertainties on the knowledge of the b-tagging efficiencies for the 70% working point of the MV1 b-tagger are considered. The effect of those uncertainties is evaluated independently in the cases of b-quark, c-quark and light or gluon initiated jets and referred respectively to as "B Eff", "C Eff" and "L Eff". The tagging and mistagging efficiency uncertainties are considered to be totally anti-correlated.

Missing Transverse Energy The effect of the energy scale uncertainties for all the physics objects is propagated to the  $E_T^{miss}$  calculation. In addition uncertainty on the energy scale and resolution due to the remaining calorimeter energy deposit, the so called "soft-terms", are considered. All the uncertainty on  $E_T^{miss}$  are independently propagated through the analysis and are added in quadrature, this final term is referred as "MET" uncertainty.

b-veto category uncertainties (%)							
Source	Signal bbH	Signal ggH	Z  o  au au	Top	Other		
Electron ID	2.4	2.3	2.9 (s)	1.4	1.6		
Electron E.	0.4	0.5	0.4	0.5	0.9		
Electron LOWPT	0.3	0.5	0.4 (s)	0.0	1.2		
Electron Zee	0.4	0.4	0.4 (s)	0.1	0.3		
Muon ID	0.3	0.3	0.3	0.3	0.3		
Muon E.	0.1	0.1	0.1	0.5	0.5		
Trigger Single Ele.	0.6	0.6	0.5	0.9	0.9		
Trigger Dilep.	1.0	1.0	1.3	0.2	0.3		
Embedding MFS	-	-	0.1 (s)	-	-		
Embedding Iso.	-	-	$0.0 \ (\mathbf{s})$	-	-		
JES	0.6	0.7	-	1.0	1.2		
JER	0.5	0.3	-	0.6	0.3		
B Eff	1.8	0.0	-	12.0	0.8		
C Eff	0.0	0.1	-	0.1	0.0		
L Eff	0.0	0.1	-	0.2	0.1		
Pileup	0.5	0.8	0.4	0.3	0.3		
MET	0.2	0.8	0.1	0.2	0.5		
Luminosity	2.8	2.8	2.8	2.8	2.8		

Table 2.11: Summary of the effect of the experimental systematic uncertainties on the yields of the different samples used in the b-veto channel. Here "Other" refers to the sum of all the remaining samples:  $W \to \ell \nu$ , diboson,  $Z \to \ell \ell$  and single top. The signal samples listed here are b-associated production and gluon fusion with  $m_A = 120$  GeV and  $\tan \beta = 20$ . Shape uncertainty are noted with the symbol (s).

#### 2.3.2 Theoretical Uncertainties

Uncertainties on the cross-sections that have been used to normalise simulation samples to data are reported in Table 2.13. These uncertainties include contributions due to parton distribution functions (PDFs), the choice of the value of strong coupling constant, and the renormalisation and factorisation scales. Furthermore the uncertainties on signal cross-section depends on  $\tan \beta$ , the Higgs boson type (A/h/H) and mass.

The effect of systematic uncertainties due to various MC tuning parameters, underlying event and lepton kinematic description is considered. Since the effect on the invariant mass distribution of the di-tau system from these systematic uncertainties is negligible (as an example see Figure 2.13), only the variation in acceptance is considered as systematic uncertainty. The acceptance uncertainties for the ALPGEN Z MC, used for the normalisation of the embedded sample, are estimated at lepton preselection to be 4\% [73]. Since additional selections are applied directly to the embedded sample, no further acceptance uncertainties is considered. Acceptance systematics on  $t\bar{t}$  simulated events are estimated to be of 2%. The acceptance uncertainties on diboson and single top production are assumed to be 2%. Uncertainties on signal acceptance have been estimated by producing samples with varied MC generator parameters and evaluating, at truth-level, the effect of analysis selections on leptons, taus and jets. This truth-level study is implemented within the Rivet framework [78], where additionally b-tagging is performed by identifying b-quarks and applying a weighting according to the estimated ATLAS b-tagging efficiencies [74]. The variation of the acceptance with respect to the nominal MC tune has been considered as a source of systematic uncertainty. For signal a total acceptance uncertainty varies from 4% to 30% depending on  $m_A$ , production process and on the analysis category.

### 2.3.3 $Z \rightarrow \tau \tau$ Embedding Systematics

An important element of the embedding method is the subtraction of the calorimeter cells associated with the muons in the original  $Z \to \mu\mu$  event and their substitution with those from the simulated tau decays. To make a conservative estimate of the systematic uncertainty on this procedure, the energy of the subtracted cells is scaled up or down by 30%. The analysis is repeated with those modified samples and the relative uncertainty is referred as "EMB\_MFS", this uncertainty affects mainly the shape of the  $MMC_{mass}$  distribution, shown in figure 2.14.

In the selection of the  $Z \to \mu\mu$  sample only a loose requirement on muon track isolation is required. A different selection on the muon isolation may effect the selected sample by modifying the topology of the event, changing the non- $Z \to \mu\mu$  contamination or the activity in the calorimeter. To estimate the importance of these effects in our embedding sample, the isolation selection on the muons in the original  $Z \to \mu\mu$  events is tightened, a looser selection would have limited impact because of isolation requirements at trigger level. The resulting uncertainty, referred to as "EMB\_ISO", affects both the yield and the  $MMC_{mass}$  shape of the embedding samples, as shown in figure 2.14.

Finally, because the normalisation of the embedding sample is determined by the

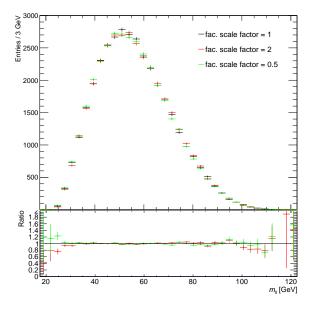


Figure 2.13: Comparison of the visible mass of tau decay products after factorisation scale variation for the b-veto category on a gluon fusion signal sample.

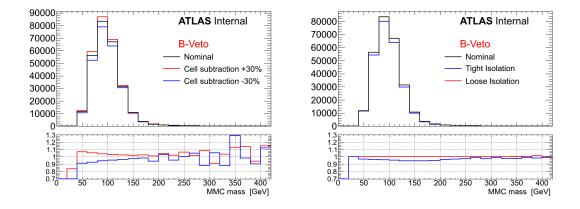


Figure 2.14: Impact of EMB\_MFS (left) and EMB\_ISO (right) systematic uncertainties  $MMC_{mass}$  distribution for Embedding sample. Only the b-veto category report significant deviations.

use of the ALPGEN sample, the relative cross section and luminosity uncertainties are assigned. In addition all the detector-related systematic uncertainties relevant to the decay products of the simulated tau decay are propagated to the embedding sample.

#### 2.3.4 QCD Multi-Jet Systematics

In this analysis the QCD multi-jet background is estimated via the ABCD method, as described in Section 2.2.2. This technique relies strongly on the assumption that the lepton isolation variables are independent from the charge correlation between the two leptons. Systematic uncertainties are assigned to take into account deviations from this assumption. First the correlation between  $R_{QCD}$  and the lepton isolation selections is considered, then the result is compared with an auxiliary method.

Figure 2.15 shows the  $R_{QCD}$  factor, the ratio between the QCD yields in region C and D, as a function of the lepton isolation selections (red points). As described previously, the expectation from non-QCD backgrounds is subtracted from the data in regions C and D. To estimate the uncertainty on the value of  $R_{QCD}$  an additional transfer factor is defined as follows:  $R_{QCD}^{iso} = \hat{A}/\hat{B}$ , where  $\hat{A}$  and  $\hat{B}$  are semiisolated OS and SS regions defined with the lepton isolation larger than the standard requirement, but less than a sliding cut. Once more, the non-QCD contributions are subtracted from the data yields. The regions A and B are chosen to be semiisolated due to the high contamination of non-QCD background and possible signal in region A and B. Figure 2.15 shows  $R_{QCD}^{iso}$  as a function of the lepton isolation selections (black points). The difference between  $R_{QCD}$  and  $R_{QCD}^{iso}$  in the vicinity of the standard cut value is then assigned as a systematic uncertainty on  $R_{QCD}$ . Using the point where the cuts on the lepton isolation are twice their standard values, a systematic uncertainty of 15% is found. The plot in Figure 2.15 is made at preselection level, similar plots using the full selection for the two categories are in Appendix ??.

An additional method, used as a crosscheck, considers calculating  $R_{QCD}$  as the ratio between the estimated QCD contributions in region A and B. Here the non-QCD contributions are once more subtracted from data. However the large contribution of this non-QCD background, along with lack of statistics and possible signal contamination, lead to this method being only used as a cross check. Table 2.12 shows a comparison between  $R_{QCD}$  and  $R_{QCD}^{AB}$  for the two categories at the preselection stage of the cutflow, where signal contamination is negligible. Agreement is seen between  $R_{QCD}$  values in the two regions, within statistical uncertainties.

The difference in  $MMC_{mass}$  shape observed between the OS and SS anti-isolated regions (C and D) is shown in Figure 2.16. This effect is within the uncertainty on  $R_{QCD}$  of the ABCD method, hence no correction factor is applied to the mass shape. We assume, however, that there could be the same shape difference in the isolated regions, a shape uncertainty is then assigned to region B to take into account this deviation. Further shape uncertainties due to non-QCD background subtraction are found to be negligible. The uncertainty due to the use of an isolation requirement at trigger level is discussed in Appendix ?? and is found to be negligible.

Selection	$R_{QCD}$	$R_{QCD}^{AB}$	$R_{QCD}^{iso}$
Preselection	$1.929 \pm 0.004$	$2.12 \pm 0.17$	$2.22 \pm 0.16$
B-veto	$1.965 \pm 0.005$	$2.10 \pm 0.16$	$2.22 \pm 0.16$
B-tag	$1.78 \pm 0.02$	$1.9 \pm 0.9$	$2.0 \pm 0.8$

Table 2.12: Comparison between  $R_{QCD}$ ,  $R_{QCD}^{AB}$  and  $R_{QCD}^{iso}$  for early stage in the cutflow, only b-tag and b-veto requirement are applied after preselections. Reported is statistical uncertainty only.

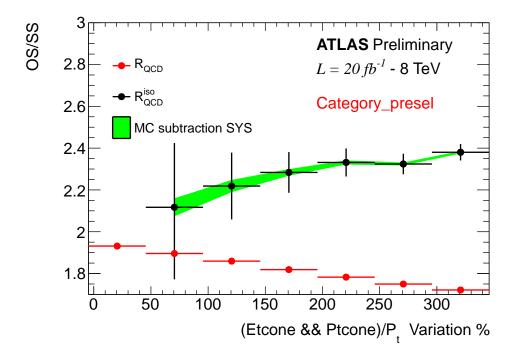


Figure 2.15: OS/SS ratio as a function of lepton isolation variable selections. The selections are varied as a percentage relative to the standard lepton isolation cut values (0 in the plot). The red points show the anti-isolated scale factor  $R_{QCD}$ , i.e. the ratio between regions C and D. The black points show the isolated scale factor, which is defined as the ratio between region  $\hat{A}$  and  $\hat{B}$ , where the leptons have isolation values larger than the nominal value but smaller than the sliding cut on X axis.

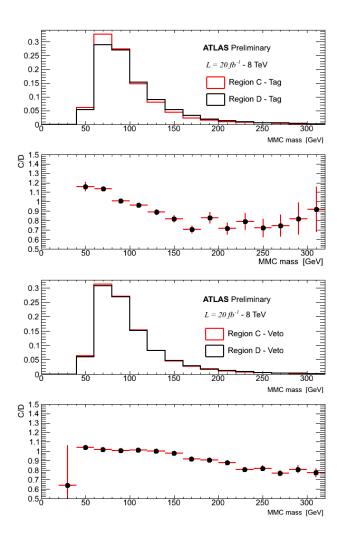


Figure 2.16: Shape differences for the b-tag and b-veto categories between the ABCD regions C and D.

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Generator	Process	Uncertainty
ALPGEN	$Z \to \tau \tau / ee/\mu \mu$	$\pm 5\%$
POWHEG	$t ar{t}$	$\pm 5.5\%$
ALPGEN	$W  o  au  u / e  u / \mu  u$	$\pm 5\%$
AcerMC	single top	$\pm 13\%$
HERWIG	dibosons	$\pm 6\%$
SHERPA	$bbA/h/H \ (m_A \ge 120 \ {\rm GeV})$	-(<20)%, $+(<9)%$
SHERPA	$bbA/h/H$ ( $m_A = 110 \text{ GeV}$ )	-(<25)%, $+(<9)%$
SHERPA	$bbA/h/H$ ( $m_A = 100 \text{ GeV}$ )	-(<28)%, $+(<9)%$
SHERPA	$bbA/h/H \ (m_A = 90 \ \text{GeV})$	-(<30)%, $+(<9)%$
POWHEG	$ggA/h/H \ (m_A \le 300 \text{ GeV})$	< 15%

Table 2.13: Cross-section uncertainties for background and signal samples. The reported signal samples are all for  $\tan \beta = 20$ .

## 2.4 Results

#### 2.4.1 LHC Procedure For Limits Setting

A detailed description of the LHC procedure for Higgs search can be found in [?, ?], in the following a brief summary is given. Statistical tests are used for quantify an observation or to set an exclusion limit, in search for new phenomena, hypotesis testing is performed by means of two hypotesis: the background only  $H_0$  and and the signal+background  $H_1$ . As its has allready been outlined in section ??, any statistical test is based on probability distribution, once a probability density function (p.d.f.) is defined, one can calculate its value for a given set of data obtaining what is called a "likelihood". Taking the marked Poisson p.d.f. in equation (??) one obtain the following likelihood function:

$$\mathcal{L}(\text{data}|\mu, \boldsymbol{\theta}) = \text{Poisson}(\text{data}|\mu \cdot s(\boldsymbol{\theta}) + b(\boldsymbol{\theta})) \cdot f(\boldsymbol{\theta}|\hat{\boldsymbol{\theta}})$$
 (2.3)

this now describes how likely are the data under a certain hypotesis and it is only function of the parameter  $\mu$  and of the nuissance parameter  $\theta$ . If the hypotesis under test is unlikely to happen with the given dataset the value of  $\mathcal{L}$  is decreasing, one can define which is the best value of a parameter that describes the data via maximising the likelihood, obtaining a so called maximum likelihood estimator. The Poisson distribution in equation (2.3) stands for a product of Poisson probabilities to observe events in the bin i of an histogram:

$$\prod_{i} \frac{(\mu s_i + b_i)^{n_i}}{n_i!} e^{-\mu s_i - b_i}$$

while the  $f(\boldsymbol{\theta}|\hat{\boldsymbol{\theta}})$  is the p.d.f. for a given set of nuissance parameter  $\boldsymbol{\theta}$  with their best estimate  $\hat{\boldsymbol{\theta}}$ .

To compute the compatibility of the data with the  $H_0$  and  $H_1$  hypotesis and then exclusion limits, one needs to define a test statistic. The test statistic, which has already been mentioned in section ??, is a function of the data which returns a

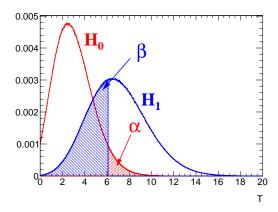


Figure 2.17: Example of a test statistic which in this case is just the total number of events of a counting experiment. Under the hypotesis  $H_0$  are expected four events, while under the  $H_1$  seven events are expected.

real value. One can in principle use any test statistic, however, given the size of the test (probability to reject the null hypotesis when is true) one would like to have a test statistic which has the highest power  $1-\beta$  possible (probability to reject the null hypotesys when it is false). Figure 2.17 shows an example of the distribution of an hipotetical test statistic for two hypotesis. It has been shown by Neuman-P [] that in case of simple hyptesis (probability model without any parameter), then the test statistic with the highest power is the ratio of the likelihood calculated with the two hypotesis. The standard procedure at the LHC is to use the following test statistic [] based on the likelihood ratio:

$$\tilde{q}_{\mu} = -2 \ln \frac{\mathcal{L}(\text{data}|\mu, \hat{\boldsymbol{\theta}}_{\mu})}{\mathcal{L}(\text{data}|\hat{\mu}, \hat{\boldsymbol{\theta}})}$$
 with the constraint  $0 \le \hat{\mu} \le \mu$ 

where  $\hat{\mu}$  and  $\hat{\boldsymbol{\theta}}$  are the maximum likelihood estimators for  $\mu$  and  $\boldsymbol{\theta}$  given the data, whereas  $\hat{\boldsymbol{\theta}}_{\mu}$  is the maximul likelihood estimator of  $\boldsymbol{\theta}$  given the data but considering a signal streight of value  $\mu$ ,  $\tilde{q}_{\mu}$  is increasing with increasing disagreement between data and the  $\mu$  hypotesis under test. The procedure for limits setting follows five steps:

- 1. The signal hypotesis with signal streight  $\mu$  is assumed, under this assumption a set of *pseudo-data* is generated for different values of  $\mu$ .
- 2.  $\tilde{q}_{\mu}$  is calculated for each of the *pseudo-dataset* and each signal hypotesis generating the expected probability density function for  $\tilde{q}_{\mu}$  given  $\mu$ ,  $f(\tilde{q}_{\mu} \mid \mu, \hat{\boldsymbol{\theta}}_{\mu}, H_1)$ .
- 3. One does the same thing for the null hypotesis, generate pseudo-data with the distribution of background only and obtain the  $f(\tilde{q}_{\mu} \mid \mu = 0, \hat{\theta}_0, H_0)$ .
- 4. Once the p.d.f. for the signal and signal + background hypotesis is obtained, one can define for a given dataset (that can be this time real data or again pseudodata) two p-values for any given value of  $\mu$ , which are the probability

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to obtain data less compatible with the hypotesis in consideration:

$$p_{s+b} = P(\tilde{q}_{\mu} > \tilde{q}_{\mu}^{observed} \mid H_1)$$

$$p_b = P(\tilde{q_\mu} > \tilde{q_\mu}^{observed} \mid H_0)$$

The ratio of this two probability is what is called the  $CL_s = p_{s+b}/p_b$  [].

5. If for a given  $\mu$  is obtained  $CL_s \leq \alpha$  one states that the signal hypotesis (with that  $\mu$ ) is excluded with  $(1 - \alpha)$   $CL_s$  confidence level. To get the 95% confidence level upper limit on  $\mu$ , denoted as  $\mu^{95}$  one adjust  $\mu$  until  $CL_s = 0.05$ .

This is a quite complicated prescription, however its interpretation is not so different from the usual Neyman Costruction [] of confidence intervals: for each  $\mu$  is possible to define  $\tilde{q}_{\mu}^{95}$  for which the probability  $P(\tilde{q}_{\mu} \geq \tilde{q}_{\mu}^{95} \mid \mu, H_1) = 5\%$ , this means that if  $H_1$  is true one expects  $\tilde{q}_{\mu} \geq \tilde{q}_{\mu}^{95}$  in 5% of the cases. With this definition  $\mu^{95}$  would be the value of  $\mu$  that for the observed data gives  $\tilde{q}_{\mu} = \tilde{q}_{\mu}^{95}$ , or in other words a p-value of 5%. By costruction, rejecting  $\mu > \mu^{95}$  the hypotesis  $H_1$  will be rejected, when is true, at most 5% of the time, given the fact that  $\tilde{q}_{\mu}$  is increasing with increasing discrepancy of the hypotesis with data. The difference with the  $CL_s$  prescription is that there the ratio of p-values is used to define  $\mu^{95}$ : it has been shown that this choice protect the upper limit from down fluctuation of the data, giving a conservative estimate in any case.

The expected median exclusion upper-limit and its error are evaluated by generating a large sample of *bacground only* pseudo-data and calculating  $CL_s$  and  $\mu^{95}$  for each of them, from the distribution of  $\mu^{95}$  one can get the mean excluded value and its error.

The actual implementation in the limit framework of the ABCD method follows that suggested in [71]. Here three free parameters are fitted: number of multi-jet events in region B,  $N_B^{QCD}$ , factor that extrapolates from SS region to OS regions,  $R_{QCD}$ , and the factor that extrapolates from isolated to anti-isolated regions  $R_{BD}$ . Neglecting signal contributions, the following equations can be written for the event yield of the B,C and D control regions:

$$N_B = N_B^{BKG} + N_B^{QCD}$$
 
$$N_C = N_C^{BKG} + N_B^{QCD} \times R_{QCD} \times R_{BD}$$
 
$$N_D = N_D^{BKG} + N_B^{QCD} \times R_{BD}$$

where  $N^{BKG}$  represent the prediction of non-QCD background in the relative regions. The estimate of multi-jet event yield in SR will be then  $N_B^{QCD} \times R_{QCD}$ . This method is particularly powerful because in the best fit of  $R_{QCD}$  the statistical and systematics uncertainty for non-QCD backgrounds and data will be considered.

Sample	b-tag category			b-vet	b-veto category		
	N(event)	Stat.	Syst.	N(event)	Stat.	Syst.	
$Z \to \tau \tau$	418	± 6		54680	$\pm 60$		
$t \overline{t}$	330	$\pm 10$		2228	$\pm 25$		
Multijet	100	$\pm 15$		3940	$\pm 330$		
$W \to \ell \nu$	10	$\pm 6$		650	$\pm 100$		
Diboson	13.1	$\pm 1.8$		2921	$\pm 27$		
Single Top	90	$\pm 6$		443	$\pm 15$		
$Z  o \ell \ell$	0.9	$\pm 0.8$		430	$\pm 40$		
Total	962	$\pm$ 16		65290	$\pm$ 180		
Signal							
Data	-	<b>-</b>	-	-	-	-	

Table 2.14: Comparison between yield in data and the one expected from our background model, b-tag and b-veto category are reported separately.

#### 2.4.2 Exclusion Limits

The procedure described in section 2.4.1 is the one used for the SM Higgs, for the MSSM further complication arises: one has to consider in the signal model three Higges, in a particular scenario the masses and cross section are defined for a given point in the  $\tan \beta - m_A$  plane, so the procedure described previously has to be repeated for each point in that plane. For the  $m_h^{max}$  scenario exclusion limits are derived by calculating 95% CLs limits on the cross section of  $bb/gg \to A/H/h \to$  $\tau_{lep}\tau_{lep}$  for 15 tan $\beta$  values (between<sup>2</sup> tan $\beta = 5$  and tan $\beta = 60$ ), a point in the  $\tan \beta - m_A$  plane is excluded if  $\mu^{95} \leq 1$  for that point, a linear interpolation is used to determine the tan  $\beta$  excluded for a given  $m_A$ . The procedure is followed for a set of different CP-odd Higgs masses  $m_A$ : 90, 100, 110, 120, 125, 130, 140, 150, 170, 200, 250 and 300 GeV. The event yield has been compared between data and background expectation in bins of the  $MMC_{mass}$  distribution. The bin sizes were chosen such that there are enough events left for the asymptotic approximation [79] to hold. Table 2.14 compares yields between data and background model for the two categories at the final stage of the cut flow. Additionally, figure 2.18 shows the  $MMC_{mass}$  distributions for the full b-tag and b-veto categories.

The resulting exclusion limit on the MSSM parameter space ( $m_A$  vs tan  $\beta$  plane) are interpreted within the  $m_h^{max}$  benchmark scenario [35] and shown in Figure 2.19. The expected and observed 95% confidence-level limits are shown as solid and dashed black lines, the green and yellow bands correspond to the  $1\sigma$  and  $2\sigma$  error bands. The analysis is sensitive to MSSM Higgs production of tan  $\beta \geq 13$  for the range  $90 < m_A < 200$  GeV. The observed limit is presently unknown.

The outcome of the search is also interpreted in the generic case of a scalar boson produced in the  $pp \to gg \to \phi$  or  $pp \to bb\phi$  mode and decaying to a di-tau pair. These limits are shown in Figure 2.20 for the b-associated and the gluon-gluon

<sup>&</sup>lt;sup>2</sup>The set of  $\tan\beta$  values used is 5, 8, 10, 13, 16, 20, 23, 26, 30, 35, 40, 45, 50, 55, 60

2.4. RESULTS 47

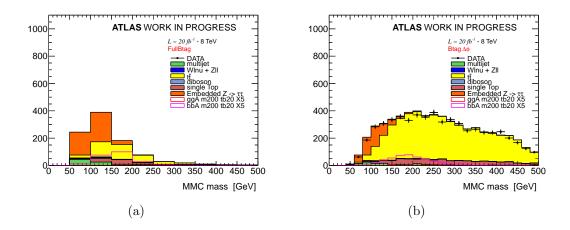


Figure 2.18: Distributions of the  $MMC_{mass}$  mass for (a) the full b-tag category selection and (b) the full b-veto selection.

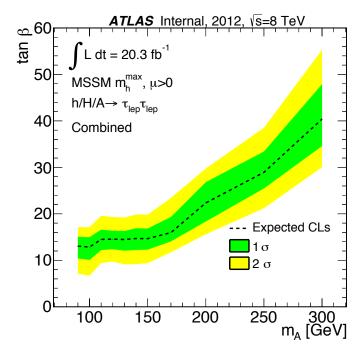


Figure 2.19: Expected exclusion limits for MSSM Higgs boson production in the MSSM  $m_A$  vs  $\tan\beta$  parameter space. Combination between b-tag and b-veto category.

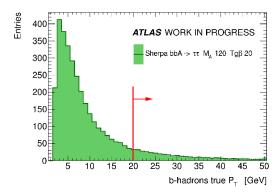
Figure 2.20: Limits on the production of a scalar particle decaying to a di-tau pair and produced in association with b quarks (left) or via gluon-gluon fusion (right). Still not produced...

fusion production mechanisms separately. All signal systematic uncertainties are implemented in the likelihood for this limit derivation, more information about the limits and their validation can be found in Appendix ??.

# Chapter 3

# Prospects for Neutral MSSM Higgs Search Improvement

The neutral MSSM Higgs boson search, described in the previous chapter, suffers strongly of poor b-tagging performance due to the particular phase space required, this bound the potential of this search, improving b-tagging would result in a major improvement of the search sensitivity. This chapter investigates an alternative to the commonly used calorimeter jets in ATLAS, which is trackjets b-tagging. The prospects for successfully use trackjets b-tagging in the future neutral MSSM Higgs boson search are reported, b-tagging on trackjets was never attempted before. In section 3.1 an introduction to the b-tagging challenges of the analysis and to trackjets is given. Section 3.2 presents trackjets performance on b-tagging in comparison with calorimeter jets, preliminary results on the impact of trackjets to the analysis are also described here. Finally, in section 3.3 an evaluation of trackjets systematic uncertainties is presented.



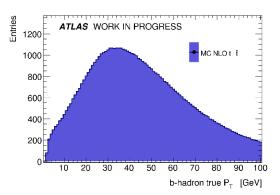


Figure 3.1: Comparison of simulated b-hadron distribution for signal b-associated production events (left) and  $t\bar{t}$  events (right). The red line in the figure shows the acceptance region due to calibrated jet  $P_T$  requirements.

## 3.1 Introduction to Trackjets

The neutral MSSM Higgs search, as described in chapter 2, splits the dataset in two category by means of the presence or the absence of a b-tagged jet, the b-tagged category is optimized for the b-associated production mechanism, in which the Higgs is produced in association with two b-jets. Figure 3.1 shows a comparison between the  $P_T$  spectrum of simulated b-hadron in bb/A/h/H production and  $t\bar{t}$  events, the signal prefers b-hadron with relatively low transverse momentum, which is actually the major challenge for the b-tag category. Due to the high amount of pileup and ambient energy density in the events, calorimeter jets are not calibrated below 20 GeV in  $P_T$  (see chapter ??), systematic uncertainties and performance are also not evaluated below this threshold, this means that, currently, the low transverse momentum phase space is not accessible to canonical calorimeter jets (calojets in the following). Calojets are then inconvenient for bb/A/h/H production and one of the major reason for sensitivity lost in the b-tag category. Another challenge to this search is the drop in b-tagging performance at low transverse momentum, the MV1 tagger (see chapter ??) efficiency, in fact, decreases rapidly with jet  $P_T$ , reaching a minimum of 50% at 20 GeV [74, 75] (using the tagging point with 70% efficiency).

A solution to access jets with low transverse momentum in to use trackjets instead of calojets. Trackjets are anti-kt jets (see chapter??) reconstructed by clustering inner detector tracks, for them it is possible to take advantage of the tracks longitudinal (z) impact parameter information and build trackjets in three dimensions  $\eta - \phi - z$ . Trackjets will then contains only tracks originating from the same interaction point (reconstructed vertex), this feature make them very robust with respect to pileup. B-tagging has never been tested before on trackjets, in section 3.2 the first study of b-tagging over trackjets performances is reported.

Trackjets are builded in the ATLAS reconstruction software by the *TrackZTool*, this runs the anti-kt clustering algorithm on a subset of tracks which can be defined by the user. For the purposes of this thesis trackjets are reconstructed out of tracks that passes the following quality selection criteria:

Process	MC Generator	Purpose
Minimum bias	Pythia	Systematics study
$bar{b}$	Alpgen	Performance for low $P_T$ b-tagging
$Z \to \tau \tau$	Pythia	Impact on the MSSM Higgs search
$tar{t}$	MC@NLO	Impact on the MSSM Higgs search
MSSM bb/A/h/H	Sherpa	Impact on the MSSM Higgs search

Table 3.1: Monte Carlo simulation sample produced for the studies reported in this chapter.

- $|z_{track} z_{PV}| < 2$  mm, The track should be associated to the primary vertex (PV).
- $|z_{PV} * sin(\theta)| < 1.5$  mm, which is a measure of how much the track is pointing to the PV in the plane that contain the beam axis.
- $d_{PV} < 1.5$  mm, where  $d_{PV}$  is the distance of minimum approach of the track to the primary vertex in the plane orthogonal to the beam axis.
- At least one pixel hit and at least 6 SCT hits (including SCT holes).
- At least one b-layer hit if expected (i.e. the module passed by the track was active).
- $|\eta| < 2.5$
- $P_T > 300 \text{ MeV}$
- To build a trackjets is necessary to cluster at least two tracks
- A trackjet is produced and stored if the sum of its tracks has  $P_T > 2$  GeV.

it has been shown that those selections, together with a maximum cone size for clustering of  $\Delta R = 0.6$ , are the best compromise between quality requirements, aimed to control fake tracks, and b-hadron reconstruction efficiency. Several MC simulation samples has been produced with the purpose of studying trackjets performance, trackjets were reconstructed and b-tagged using an ad-hoc implementation of the TrackZTool within the ATLAS software framework, table 3.1 reports a summary of the produced samples along with their usage in this thesis.

## 3.2 Trackjet Performance

Many analysis could profit from an enhanced b-jet reconstruction efficiency at low  $P_T$ , the studies presented in this section are aimed to compare performance of common b-tagging algorithm and b-jet reconstruction efficiency between calojets and trackjets, these studies are specially focused on low transverse momentum.

Despite trackjets are more robust with respect to pileup, which makes them appealing, they can only reconstruct the charged part of the jet, the neutral part is

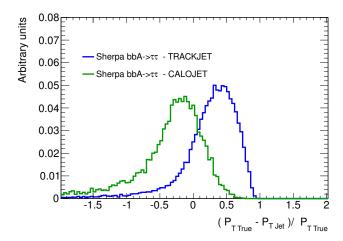


Figure 3.2: Residuals comparison of trackjet and calojet  $P_T$  with respect simulated jet  $P_T$ .

lost. According to isospin invariance the expected charged fraction in a jet is roughly 2/3 of the total, the trackjet momentum will be then shifted and its direction will have a larger uncertainty. Figure 3.2 shows a comparison of trackjet and calojet transverse momentum residuals with respect to truthjet  $P_T$  (reconstructed jets from truth particle), here truthjet are matched with jets within a  $\Delta R$  cone of 0.4 (jet splitting effect are resolved by matching with the nearest jet). The trackjets energy shift may be critical for b-tagging algorithm since some of them strongly rely on the measurement of jet axis and jet  $P_T$ .

To compare performance of trackjet and calojet an anti-kt cone size of  $\Delta R = 0.4$  is chosen, if a reconstructed jets lies within  $\Delta R < 0.3$  from a simulated b-hadron in the event, this jet is said to *match* with a b-hadron. Reconstruction efficiency is then defined as the ratio between the number of matched b-hadron and the total number of b-hadron within inner detector acceptance. Figure 3.3 compare b-hadron reconstruction efficiency between calojet and trackjets, the latter shows a higher reconstruction efficiency for low transverse momentum due to their robustness to pileup.

## 3.2.1 B-tagging on Trackjets

Performance of b-tagging algorithms are usually described by means of tagging efficiency and rejection power. The tagging efficiency is the fraction of matched jets which passes a determined selection on a tagging algorithm, i.e. which are tagged. The rejection is the inverse of the misidentifying rate, i.e. the inverse of the fraction of the jets which are not matched with a b-hadron or c-hadron, but are tagged. Fixing the selection value for a given tagging algorithm will fix a point in the efficiency-rejection plane, this is a convenient way to compare performance of b-tagging algorithms and is shown in figure 3.4 for trackjets. Figure 3.5 instead shows the rejection as a function of trackjets  $P_T$  for the tagging point which gives 50% tagging efficiency. Mistagging rate is rapidly increasing for low transverse momentum trackjets, revealing the necessity of a dedicated tagging algorithm for

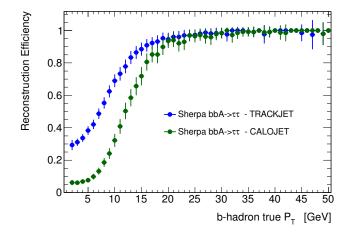


Figure 3.3: Comparison of b-hadron reconstruction efficiency for trackjet and calojet as a function of the simulated b-hadron  $P_T$ . Note that calojet and trackjet have a requirement at reconstruction level to be respectively with  $P_T > 7$  and 2 GeV, a fair comparison in this plot is only possible above 10 GeV in  $P_T$ .

low  $P_T$  jets.

The previously introduced rejection and tagging efficiency do not allow a fair comparison between trackjets and calojets, the latter, in fact, can be reconstructed also in case no tracks are associated with them, in this case any tagging algorithm would likely fail altering the rejection distribution. It is convenient to use instead the following quantities: effective rejection, which is the inverse of the number of mistagged jets per event, and the b-hadron reconstruction efficiency, which is defined above. Figure 3.6 shows a comparison between calojets and trackjets for the two variables just defined, for a given b-hadron reconstruction efficiency trackjets can achieve higher rejection, which is quite promising. For a fair comparison with calojets, trackjets in figure 3.6 are selected in the transverse momentum range between 4 and 33 GeV, while calojets between 8 and 50 GeV, this corresponds to the same range: figure 3.2 in fact, is only valid for low  $P_T$  jets and the fraction of momentum lost approaches 1/3 for high  $P_T$  trackjets. In conclusion, thanks to the higher b-hadron reconstruction efficiency, trackjets are more suitable than calojets for low transverse momentum b-tagging.

## 3.2.2 Impact of Trackjet on the Analysis

The impact of trackjets on the neutral MSSM Higgs search is tested in a preliminary study and reported in what follows. Preselections<sup>1</sup>, as defined in in section 2.1.2, are applied to MC samples of signal and backgrounds with the following exceptions on the definition of taggable jets:

- Calorimeter taggable jets should have  $|\eta| < 2.5$  and  $20 < P_T < 50$  GeV.
- Track taggable jets should have  $|\eta| < 2.5$  and  $5 < P_T < 33$  GeV.

<sup>&</sup>lt;sup>1</sup>This study has not been updated with the newest version of the object reconstruction selections and corrections, a difference of the order of 10% is expected with respect the numbers in table 2.3.

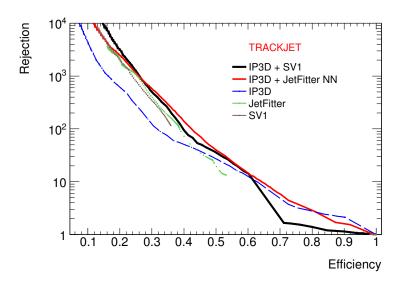


Figure 3.4: Rejection as a function of the tagging efficiency for different ATLAS tagging algorithm tested on trackjets.

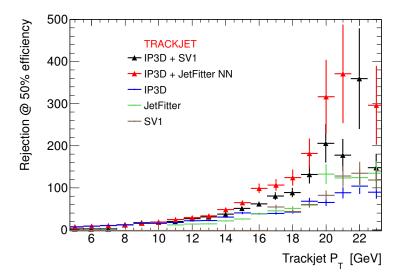


Figure 3.5: Rejection as a function of the transverse momentum of the trackjet for the tagging point which gives 50% tagging efficiency for that  $P_T$  value. Different ATLAS tagging algorithm are reported.

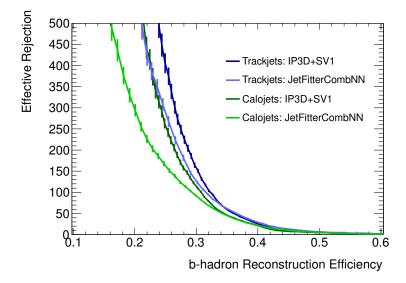


Figure 3.6: Effective rejection as a function of b-hadron reconstruction efficiency, trackjet and calo jets are compared for two different ATLAS tagging algorithms. Trackjets are selected in the transverse momentum range between 4 and 33 GeV, while calojets between 8 and 50 GeV.

• The tagging algorithm used is "IP3D+SV1" at its 70% tagging efficiency point.

the event yields for bbA/h/H production,  $Z \to \tau\tau$  and  $t\bar{t}$  (the two most important backgrounds of the b-tag category) are reported in table 3.2 normalized to an integrated luminosity of 1  $fb^{-1}$ . Along with the preselection yields other interesting selections are reported, those are X for the characterization of jet and b-tagging impact on the analysis, calojets and trackjets yields are compared for each of them. As expected, after requiring exactly one b-tagged jet, trackjets presents higher efficiency on signal and higher rejection of top background, which is the most important background for the b-tag category. However, lower transverse momentum requirements on trackjets implies higher tagging fake rates, which is seen as an increase of  $Z \to \tau\tau$  background, this may be also a serious issue for QCD multi-jet background, even tough this is a minor background in b-tag category.

Concluding, the use of trackjets in the b-tag category is very promising and can bring up to twice better sensitivity<sup>2</sup>, however, to exploit the full power of this technique a dedicated b-tagging calibration on trackjets is needed, study on algorithm improvements for low  $P_T$  b-tagging are also desirable, furthermore, systematics uncertainty on trackjets need to be evaluated. A preliminary study, addressing one of the most important systematics uncertainty for trackjets, is reported in section 3.3.

<sup>&</sup>lt;sup>2</sup>Note that this estimate is done according to  $s/\sqrt{b}$  ratio, considering a counting experiment without systematic uncertainties and only two backgrounds, it represent then the upper limit to the gain in sensitivity with the current b-tagging performance.

Selection	Signal $bbA/H/h$		Z  o  au au			
Preselection	$127.2 \pm 2.2$		$3017 \pm 8$		$2066 \pm 5$	
	Calojet	Trackjet	Calojet	Trackjet	Calojet	Trackjet
At least one taggable jet	$47.3 \pm 0.8$	$106.9 \pm 1.8$	$1146 \pm 3$	$2513 \pm 7$	$1804 \pm 4$	$2014 \pm 5$
Exactly one jet matched b-hadron	$18.4 \pm 0.3$	$46.7 \pm 0.8$	$4.5 \pm 0.3$	$18.2 \pm 0.5$	$1054 \pm 3$	$959.1 \pm 2.3$
Exactly one tagged jet	$10.2 \pm 0.1$	$21.0 \pm 0.6$	$37.3 \pm 0.5$	$107\pm1$	$777 \pm 4$	$630 \pm 4$

Table 3.2: Impact of trackjets on the analysis, the event yield is compared between trackjets and calojets. For signal b-associated production is simulated for  $\tan \beta = 20$ . The yields are normalized to an integrated luminosity of 1  $fb^{-1}$ , all the selections are meant after preselection.

#### 3.2.3 A Novel Technique for low- $P_T$ b-Tagging

this small paragraph will be added if I manage to access trackjets data on MDTRaid16, I just need to reproduce one plot which is missing.

## 3.3 Systematic Uncertainties on Trackjets

## 3.3.1 Introduction to Trackjet Systematics

There are several sources of systematic uncertainties on trackjets that may contribute to physics observables mismodeling, those effects are briefly summarized in what follows, the focus is on energy scale and reconstruction efficiency systematic uncertainties.

Uncertainty can arise from MC generator details, like the particular choice of PDF and fragmentation functions, or details of the parton shower and underlying event, challenging to simulate for low transverse momentum object. Those uncertainty can be evaluated by means of a dedicated MC Rivet [78] analysis, they will be dependent on the specific use of trackjets and need to be evaluated case by case.

Energy scale and resolution for single tracks is found to be very well modeled by simulation for tracks above 500 MeV [82], thus, uncertainty on the energy scale and resolution that arise form mismodeling of the pattern recognition algorithm are considered to be negligible.

In dense track environment different tracks may share same hits and this can generate degradation of resolution, fake tracks, loss of track efficiency. Mismodeling of tracks shared hits may affect in general trackjet energy scale, resolution and reconstruction efficiency. This kind of effects has been checked in [84], where calojet energy scale uncertainty are measured using tracks, it has been shown that effects due to tracks hit merging are negligible for jets with  $P_T < 300$  GeV.

Mismodeling of the inner detector material budged leads to track reconstruction efficiency mismodeling, which strongly affects trackjets. A methodology to estimate energy scale and reconstruction efficiency uncertainty on trackjets, due to material budget mismodeling, is presented for the first time in section 3.3.2.

### 3.3.2 Trackjets Uncertainty from Material Budget

An obvious, but rather inconvenient way, to estimate uncertainty due to inner detector (ID) material budget mismodeling, is to produce the relevant MC samples of a given analysis modifying the ID material budget in them. It can be shown that the primary effect of material budget mismodeling influences mainly track reconstruction efficiency (see section 3.3.3), an alternative approach would be then to modify the track efficiency in a given sample according to its uncertainty [83, 85] and build trackjets out of the new collection of tracks. A tool has been made which randomly removes tracks according to reconstruction efficiency uncertainty, trackjets which are build out of this subset of tracks are called in the following INEF-trackjets.

A minimum bias MC simulation sample is reproduced containing standard trackjets and INEF-trackjets. A set of "isolated" trackjet with cone size  $\Delta R = 0.4$  are selected, isolated means that no other trackjet should be reconstructed within a distance of  $\Delta R = 1$ . INEF-trackjets are then matched with the original trackjet via cone matching in an event by event basis, the matching fails if no INEF-trackjet is found within  $\Delta R = 0.8$  from the original one. Result on the deterioration of the trackjets efficiency and of the energy scale are presented respectively in figure 3.7 and 3.8, these results are based on the current knowledge of inner detector material budged [83]. For low transverse momentum trackjets, uncertainty on the material budget translates into an energy scale shift of 2-4% and in a reduction of the mean number of tracks. This method can only simulate excess of material (reduced track efficiency) but not a lack of material (increased track efficiency), however, for the latter case a symmetric effect is expected.

#### 3.3.3 Track Subtraction Method Validation

The method described in section 3.3.2 depends strongly on the assumption that hadronic secondary interaction, within the inner detector, leads manly to lost of tracks and only in a marginal way to a decrease of tracks quality, a consequence is that material budget mismodeling influences mainly track reconstruction efficiency. In this section, effect of material budget uncertainty on tracks resolution and fake rate are evaluated, this is achieved by means of a simulated sample of minimum bias events, where extra material is added to the ID increasing uniformly of 10% the interaction length.

The requirement on tracks are the ones defined in section 3.1, furthermore a

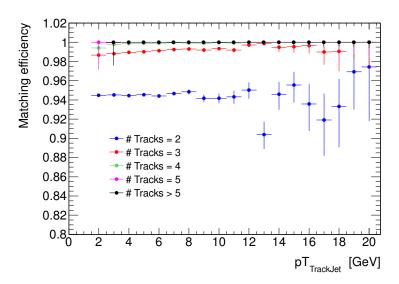


Figure 3.7: INEF-Trackjets are matched with standard trackjets, here is reported the matching efficiency as a function of  $P_T$  and number of track of standard trackjet.

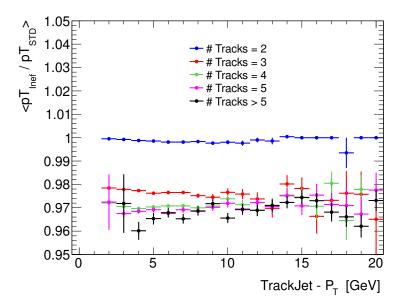


Figure 3.8: INEF-Trackjets are matched with standard trackjets, here is reported the effect on the energy scale as a function of  $P_T$  and of the number of tracks of the standard trackjet.

track should be matched within  $\Delta R < 0.1$  with a stable<sup>3</sup> simulated particle which should be responsible (alone) of at least 80% of the track hits, tracks that do not fulfil these requirements are called fakes. Fake tracks are tracks that come from a random combination of hits generated from different particles. The track fake rate, shown in figure 3.10, is about 1-3%, the extra material sample has a total increase of the track fake rate of permille. Resolution as shown in figure 3.9 is about 1% for large range of tracks  $P_T$ , the total increase of resolution in the extra material sample is also of the order of permille. The deterioration of the tracks resolution and fake rate due to extra material is then negligible compared to the one of track reconstruction efficiency, which undergo to a total decrease in the extra material sample of 1-2%, decrease in efficiency has serious impact on trackjet energy scale. Figure 3.11 shows the ratio of the track reconstruction efficiency of primary particle between the standard and extra material sample.

Results from INEF-trackjets (builded in a standard sample) are also directly compared with trackjets from extra material sample, the comparison is done by means of trackjet-to-truthjet matching (see section 3.2 for truthjets matching) and is reported in figure 3.12 and 3.13 for reconstruction efficiency and energy scale respectively. INEF-trackjets shows to reproduce correctly the effect of extra material either on reconstruction efficiency and energy scale, giving, in most of the cases, a conservative estimate.

<sup>&</sup>lt;sup>3</sup>Here is intended a Generator stable and interacting particle, which means a charged particle with decay length greater than 1m, also stable particle from secondary interactions are considered.

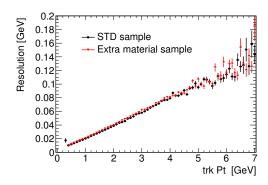


Figure 3.9: Track resolution with respect to matched truth particle as a function of truth particle  $P_T$ , for standard Pythia minimum bias sample and 10% inner detector extra material sample.

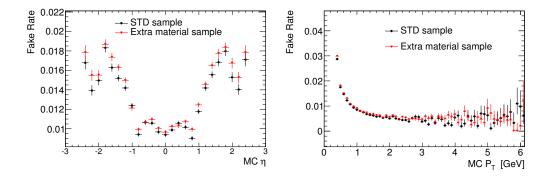


Figure 3.10: Track fake rate resolution as a function of track  $\eta$  (left) and track  $P_T$  (right), for standard Pythia minimum bias sample and 10% inner detector extra material sample.

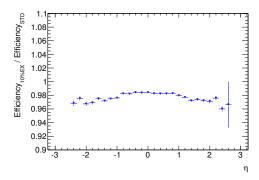


Figure 3.11: Track efficiency with respect to primary truth particle as a function of truth particle  $\eta$ , reported is the ratio between standard Pythia minimum bias sample and 10% inner detector extra material sample.

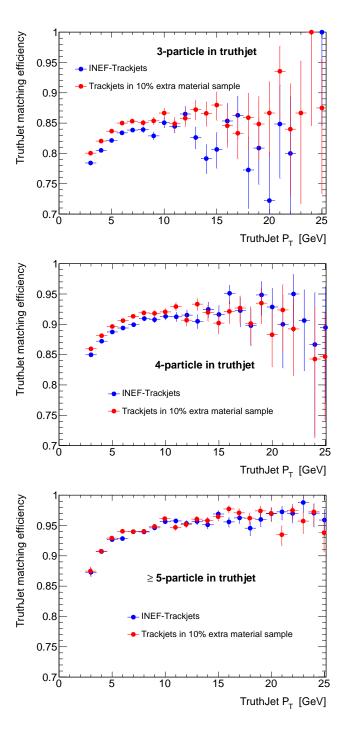


Figure 3.12: Jet reconstruction efficiency with respect to truthjet for INEF-trackjets and trackjets in a 10% extra material sample, in case of 3,4 and  $\geq$  5 truth-particle. INEF-trackjets always reproduce correctly the inefficiency or give a conservative estimate.

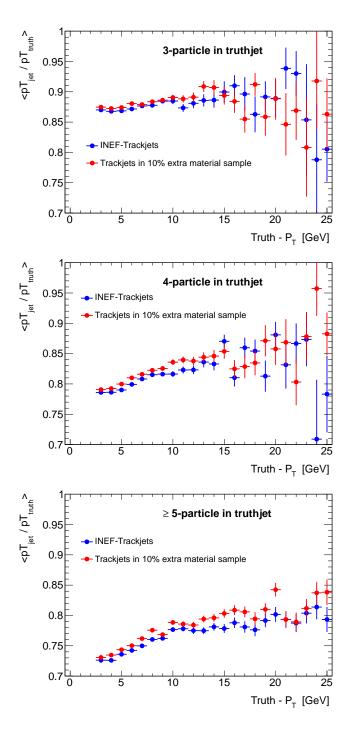


Figure 3.13: Fraction jet transverse momentum with respect to truthjet for INEF-trackjets and trackjets in a 10% extra material sample, in case of 3,4 and  $\geq$  5 truth-particle. INEF-trackjets always reproduce correctly the inefficiency or give a conservative estimate.

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