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Chapter 1

The Search for neutral MSSM Higgs Bosons in the final state:

$$\tau^+ \tau^- \rightarrow e\mu + 4\nu$$

This chapter presents the search for the neutral MSSM Higgs bosons decaying in tau pairs and fully leptonic final state. This search is based on 20.3 fb^{-1} of 8 TeV data recorded by ATLAS experiment during 2012 at Large Hadron Collider (LHC) [1]. In this analysis two channels are employed by requiring the presence or absence of a b-tagged jet, this increases the sensitivity to the neutral MSSM Higgs boson via the b-associated and gluon-gluon fusion production modes, respectively. Data driven methods are used to estimate $Z \rightarrow \tau\tau$ and QCD multi-jet backgrounds.

The chapter is divided in four sections: in section 1.1 an introduction to experimental searches and to the strategy of this particular analysis is given, in section 1.2 the background model estimation is described, while in section 1.3 methods to evaluate systematic uncertainties are discussed, finally in section 1.4 the result of the search are presented.

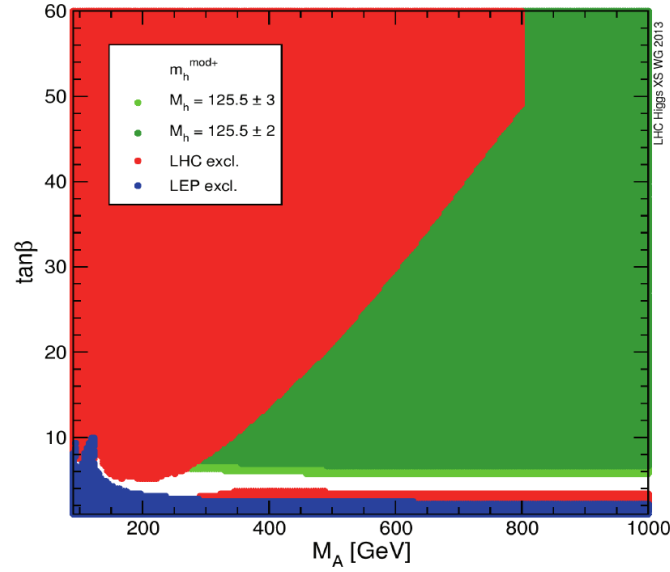


Figure 1.1: $m_A - \tan\beta$ plane for the m_h^{mod+} scenario, with excluded region from direct Higgs searches at Lep (blue) and LHC (red). The two green shades corresponds to the allowed parameter space for the assumption of $M_h = 125.5 \pm 2(3)$ GeV. For more detail see [1].

1.1 The Search Strategy

1.1.1 Motivation

Under the light of the recent discovery of a Higgs boson with mass of 125 GeV [1], remains an open question whether this new particle constitutes all the pieces of the Higgs sector or whether it is only one of several bosons predicted in some theories that go beyond the SM. The most recent measurements [2] of its properties shows it to be, within experimental uncertainties, perfectly compatible with the SM Higgs boson, however such a new particle can be accommodated within several beyond the standard model (BSM) theories, this is particularly true for Super Symmetry.

There are two approaches to explore the Higgs sector: one can study the coupling of the Higgs boson with vector bosons and fermions, those measures in fact are sensitive to new physics and can determine if this particle is fully responsible for the generation of all the SM particles masses. Another approach is to directly search for additional Higgses in a well defined model, which is the approach followed in this thesis where new neutral bosons are sought within the MSSM (see chapter ??).

In the MSSM a Higgs boson with properties that resembles the one for a SM Higgs boson occurs naturally in large regions of parameter space, for practical reasons however, it is useful to fix parameters of the model to achieve what is called a benchmark scenario. With the recent discovery, benchmark scenarios of the MSSM have been updated to fit with the new constraints (more details on benchmark scenarios are in chapter ??), as an example in figure 1.1 are reported the current

exclusion limits for one of those updated scenarios, m_h^{mod+} , the green area represents what is currently allowed in the $m_A - \tan\beta$ plane showing that there is still plenty of room for BSM Higgses.

1.1.2 How to search for new phenomena?

In experimental physics an observation of a new phenomena or its exclusion is characterized by means of statistical statements, in this sense one can say that statistic is the language of experimental physics. Search for new physics at the LHC extensively uses frequentistic statistical tests [?]. In this section an introduction to statistical tests is given, for more details see section 1.4.

A *statistical test* is a rule to reject or accept an hypothesis, where for hypothesis is meant a statement about the distribution of the data. Typically new physics searches are looking for a signal that is additive on top of the background, is then natural to compare two hypothesis: the background only hypothesis H_0 , or null hypothesis, and the signal plus background hypothesis H_1 , which is the alternative. In the process of decision making is common to define what is called a *signal region* (SR), which is simply a set of selections applied to data aimed to enhance signal with respect to the background, the observed number of events in the SR, N_{SR} , are used to make probabilistic statements about the two hypothesis. N_{SR} is in fact a random variable described by a Poisson distribution, where, in case the null hypothesis is true, ν_B events are expected, otherwise in case H_1 is true $\nu_B + \nu_S$ events are expected. The two probability distributions for the two hypothesis totally describes the *probability model* for this counting experiment. Is clear then that the evidence for a signal shows up as an excess of events, a way to quantify the compatibility of the null hypothesis with data is to make a *significance* test: calculate the probability that the background-only would produce at least as many as the observed events, which is the so called of p-value and in this case is expressed by the formula:

$$\text{p-value} = \sum_{n=N_{SR}}^{\infty} \text{Pois}(n|\nu_B)$$

Calculating p-values is a way to characterize an excess, in high energy physics the commonly accepted p-value that is qualified as a discovery is 2.87×10^{-7} , which is an extremely low probability for the null hypothesis to be true and corresponds to five standard deviation for a gaussian distribution.

In case no excess is observed, the procedure is to build a statistical test where the null hypothesis is accepted and at the same time the signal hypothesis is rejected with a fixed predetermined probability, called confidence level. A statistical test is a rule that defines a region in the space of data for which a given hypothesis can be accepted or rejected, often rather than using a full set of data \mathcal{D} , it is convenient to define a *test statistic*, T , which is usually a single number computed from the data, the two hypothesis implies different distributions for T , then one defines an acceptance region W in terms of the test statistic, if $T \in W$ the H_0 is rejected, H_1 accepted and vice versa, the probability with which one rejects H_1 or H_0 is then given by the choice of W and T . Neuman and Pearson provided a framework for hypothesis testing that addresses the choice of the test statistic [?].

A discriminating variable is often used to help separating signal and backgrounds, this can be any of the observables of the experiment, a usually chosen observable is for example the invariant mass of the final state particles. The expected distributions of this observable for the two hypothesis completes the above mentioned probability model, the actual implementation is achieved by means of an histograms, i.e. by discretizing the distribution of the observable and making a counting experiment for each bin of the histogram, the resulting probability model is then a product of Poissons:

$$\prod_i \text{Pois}(n_i^{\text{observed}} \mid s_i(\vec{\theta}) + b_i(\vec{\theta})) \quad (1.1)$$

where s_i and b_i are the number of expected signal and background events in the bin i , while n_i are the actual observed events in the bin i . Here is made explicit that the signal and backgrounds expectations depends also on a set of additional parameter $\vec{\theta}$, called *nuisance parameters*, those embed effects like detector mismodeling and theoretical uncertainty.

Summarizing, there are several ingredients that constitute a search for new physics:

- Definition of a signal region in data where signal is enhanced with respect to the backgrounds, detailed for this analysis in section 1.1.3 and 1.1.4.
- Definition of a discriminating variable which is usefull to disentangle between signal and backgrounds, see section 1.1.5.
- Definition of the probability model, i.e., the expectation for the distribution of the discriminating variable for signal and background hypothesis, this is one of the most importat point of a search and main part of the work of this thesis, detailed in section 1.2.
- Definition of a test statistics to quantify an observation or an exclusion, which is discussed for the LHC in section 1.4.

1.1.3 Signal Topology

This section describes the strategy to enhance the search sensitivity taking advantage of the signal topology. The Sensitivity of a search is the signal strenght that is expected to be excluded in case of no signal. If one is searching for a rare process, then the analysis strategy, i.e. the plan to enhance the signal sensitivity of the search, is crucial. This search is complementary to the Standard Model Higgs search in tau final state, this is also considered in the analysis strategy, the focus is then on phase space not explored from SM search.

In the MSSM for large region of parameter space one found that one of the CP -even neutral Higgses has properties that resemble the one of the SM Higgs, this is usually the case for the lightest Higgs, h , the other two, H and A , tend to be degenerate in mass and decouple from gauge bosons. An interesting fenomenological consequence is that the coupling of the latter two Higgses with down (up) type

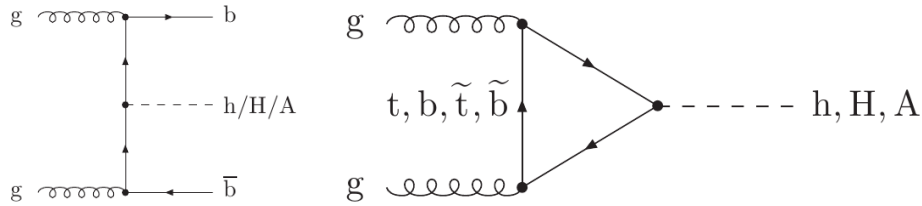


Figure 1.2: Feynman diagram for b-associated production and gluon-gluon fusion for MSSM neutral Higgs.

fermions are enhanced (suppressed) by $\tan \beta$, meaning that for large $\tan \beta$ bottom-quark and τ lepton will play a more important role than in the SM case either for production and decay.

The production of the neutral CP -even MSSM Higgs bosons at hadron colliders proceeds via the same processes as for the SM Higgs production. However, the pseudoscalar A instead cannot be produced in association with gauge bosons or in vector boson fusion (VBF) at tree-level, as this coupling is forbidden due to CP -invariance. At the LHC one of the most relevant production mechanisms for the MSSM Higgs bosons is gluon-gluon fusion, $gg \rightarrow A/H/h$. In addition, the production in association with b -quarks becomes important for large value of $\tan \beta$. Those are the two production mechanism that are considered in this analysis, figure 1.2 shows the feyninman-diagram for those processes, while figure 1.3 shows the production cross section of the neutral MSSM Higgses via these two processes. The search is divided in two category which are optimized for the two different production mode considered, in the gluon-fusion category is required a b-jet veto (for definition of b-tagging algorithm see chapter ??), in fact no b-jet in the final state are present for this production mode. In contrast a a b-jet tag is required for b-associated production, this category is expected to be very sensitive to $\tan \beta$. The two category are ortogonal and present different backgrounds contributions, which can be optimized separately.

The decays of the neutral MSSM Higgs bosons (in the assumption that all supersymmetric particle are heavy enough) are the same as for the SM one with the already cited exception of A . Figure 1.3 shows the decay branching fractions for H and A as a function of the mass, the decay into tau pair is the most important after $b\bar{b}$ and the one used in this analysis. The decay channel in $b\bar{b}$ is in fact very challenging due to the huge background from QCD multi-jet. In this thesis only cases in which the taus decay one in $e + 2\nu$ and the other in $\mu + 2\nu$ are considered, This final state corresponds to a total $\tau^+\tau^-$ branching ratio of approximately 6%.

Summarising, the signal topology is characterised by a final state with an electron, a muon, and missing transverse energy due to the presence of four neutrinos from the τ decays. Furthermore, the final state may be split by the presence or absence of a b -quark initiated jet, depending on the production process. This signature is achieved experimentally by requiring:

- (i) An OR between an elecron and an electron-muon trigger (EF_e24vhi_medium1 || EF_e12Tvh_medium1_mu8).

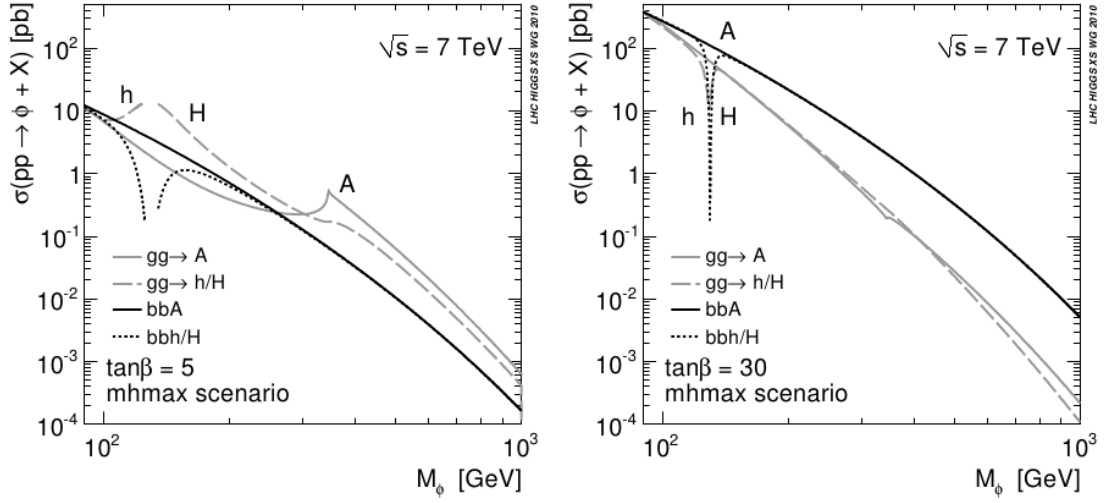


Figure 1.3: Production cross section for the $h/H/A$ MSSM neutral Higgs bosons via b -associated production and gluon-gluon fusion production mode. The calculation are for the m_h^{max} scenario and for $\tan\beta = 5$ (left) and $\tan\beta = 30$ (right).

- (ii) Exactly one reconstructed electron and one muon of opposite charge, the muon is required to have $p_t > 10$ GeV, while the electron should have $p_t > 15$ or 25 GeV depending on the trigger that selected the event. For electron and muon object definition see chapter ??.
- (iii) The two leptons should be isolated, meaning that in a cone around the lepton there should be little energy deposit (should not be surrounded by other particle, common of non-prompt leptons coming from jets). For more detail about isolation properties see section 1.2.
- (iv) Hadronic τ veto: the events is rejected if at least one hadronic τ is found with $p_t > 15$ GeV.
- (v) Lepton invariant mass greather than 30 GeV.

This set of selection all together are referred in the following as *preselection*. More detail on preselections are reported in table 1.1, for details on object reconstruction and quality requirements see chapter ?. The two analysis category, *b-tag* and *b-veto*, are defined adding on top of the preselections the request of "exactly one b -tagged jet" or "no b -tagged jet" in the event respectively, to be *taggable* a jet should have $p_t > 20$ GeV and $|\eta| < 2.5$.

1.1.4 How to deal with Backgrounds

The signal topology described in the previous section is common to many other processes, unfortunately, those have higher cross section than the sought signal and a set of additional selections is needed to enhance the sensitivity of the search. The most important backgrounds to this search are the production of $Z \rightarrow \tau\tau + \text{jets}$, the

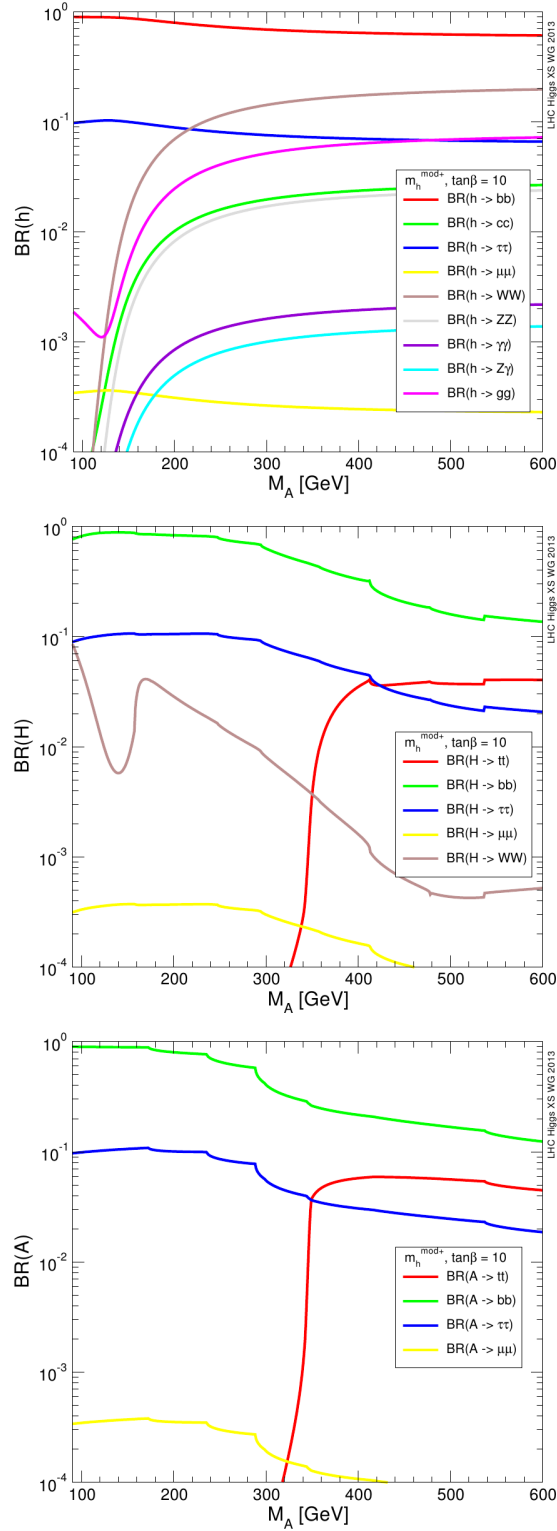


Figure 1.4: Branching fraction for the MSSM neutral higgses $h/H/A$ in the m_h^{mod+} scenario.

Channel	Selection
Preselection	Trigger At least one reconstructed vertex Event cleaning Tau Veto Exactly one tight isolated electron with $p_t > 15$ or 25 GeV (trigger dependent) Exactly one Combined isolated muon with $p_t > 10$ GeV Opposite charge between the leptons
b-Tag	Exactly one b-tagged taggable jet $\Delta\phi(e - \mu) > 2$ $\sum \cos \Delta\phi > -0.2$ $\sum H_T < 100$ GeV $\sum L_T + E_T^{miss} < 100$ GeV Good MMC solution
b-Veto	Exactly zero b-tagged taggable jets $\Delta\phi(e - \mu) > 1.6$ $\sum \cos \Delta\phi > -0.4$ Good MMC solution

Table 1.1: Summary of the preselection and the full selections used for the b-tag and b-veto channels.

top quark ($t\bar{t}$ and single top production is intended), diboson production (like WW or ZZ events) and Drell-Yan process or events with non-prompt leptons coming solely from hadron decay (in short QCD multi-jet). Vector bosons production like $W \rightarrow \ell\nu$ or $Z \rightarrow \ell\ell + \text{jets}$ (with ℓ here meaning either e or μ) are also considered, however those processes have a limited impact.

The final state of Higgs decaying into tau pair coincide with the one from $Z \rightarrow \tau\tau$ process, this is then an irreducible background. Exploiting the different kinematics of the Higgs decay with respect to other backgrounds it possible to disentangle between the two. In the Higgs decaying into $\tau^+\tau^- \rightarrow e\mu + 4\nu$ the taus are highly boosted and this feature is transferred to the final state leptons, their kinematics then result to be significantly different with respect to process like diboson or $t\bar{t}$. A first difference is that e and μ from the Higgs decay will be more likely "back-to-back", as it is shown in Figure 1.5(a) where the angle between the leptons in the transverse plane $\Delta\phi = |\phi_e - \phi_\mu|$ is reported. Furthermore the neutrinos will be more likely collinear with the charged leptons: this feature can be matematically seen as the sum of scalar product between missing energy and the leptons four-vectors in the transverse plane, if the vectors are normalised to unit versors then what remains is a relation only between angles:

$$\hat{E}_T^{miss} \cdot (\hat{P}_T^\mu + \hat{P}_T^e) = \cos(\Delta\phi_{E_T,\mu}) + \cos(\Delta\phi_{E_T,e}) = \sum_{\ell} \cos(\Delta\phi_{E_T,\ell})$$

collinearity implies this sum to be equal to zero as it is shown in figure 1.5(b). These two feature can be used to distinguish between mu-e coming from decay from highly boosted object and the one coming from W decays in top or in dibosons backgrounds which will have a more spread distribution. In b-veto category these two variables are sufficient to suppress contribution from dibosons, no other selection is applied in this category because it has been shown to not bring significant improvement.

In the b-tag category the situation is different, the request of b-jet enhance backgrounds with high jet activity as top production, given the relatively low jet activity of Higgs events (also in the case of b-associated production) it is possible to separate them from top production which instead is very likely to have two or more highly energetic jets in the event. Little jet activity is achieved by requesting the sum of the jets p_t in the event to be small, this variable is called H_T and is shown in figure 1.5(c). Another feature that distinguish top pair production from Higgs is the much higher invariant mass of the former final state, in the transverse plane all the leptons will tend to have a higher momentum, the sum of lepton p_t and E_T^{miss} is then used as a discriminating variable. Figure 1.5(d) shows the distribution of this last analysis variable.

The above described variables defines the signal region in the b-tag and b-veto category, in table 1.1 a summary of the preselection and all the selection variable used with their optimized cut values is reported. Figure 1.6 shows the final state invariant mass distribution (here the MMC_{mass} discriminating variable is used see section 1.1.5) as a function of the selection stage, while in tables 1.3-1.2 the number of events that survives at each cut stage for different background is reported.

1.1.5 Mass Reconstruction with MMC Technique

Reconstructing the invariant mass of a di-tau system from the tau decay products is a challenging task due to the presence of neutrinos in the final state. In a di-tau system in which both taus decays leptonically (with a total of four neutrinos) there are eight unknowns, those are the components of the two four-vectors that represents the missing momentum (carried out by neutrinos) in each tau decay. There are four additional constraint which come from the measures of E_T^{miss} and from the fact that each single decay should have invariant mass equal to the tau mass, therefore there are still four degrees of freedom in the system. Several approximation are possible to further constraint the momentum carried by neutrinos, for example assuming them collinear to the other leptons from tau decay, however those approximation suffers of limitations.

In this analysis, the so-called "Missing Mass Calculator" (MMC) [55] is used to calculate the di-tau system invariant mass from its decay products and the E_T^{miss} . This technique employs additional information from the well known tau decay to constraint the system: in the above mentioned four dimensional parameter space, in which lies the solution of the di-tau system, not all the points are equally likely for a given event topology, using Pythia simulation supplemented with TAUOLA package, a likelihood based on $\Delta R = \sqrt{\Delta\eta^2 + \Delta\phi^2}$ distribution between the missing energy and each of the two "visible" leptons is build, minimising the likelihood for a given event topology it is possible to determine the most likely point in the parameter space and solve the system. Effect of resolution are taken into account by producing a mass distribution for each of the scanned points in the parameter space. As a result this method give a more precise measurement of the di-tau system invariant mass and a considerable improvement in resolution. The invariant mass distribution calculated with the MMC technique is referred in the following as MMC_{mass} and is used as discriminating variable in the limits setting.

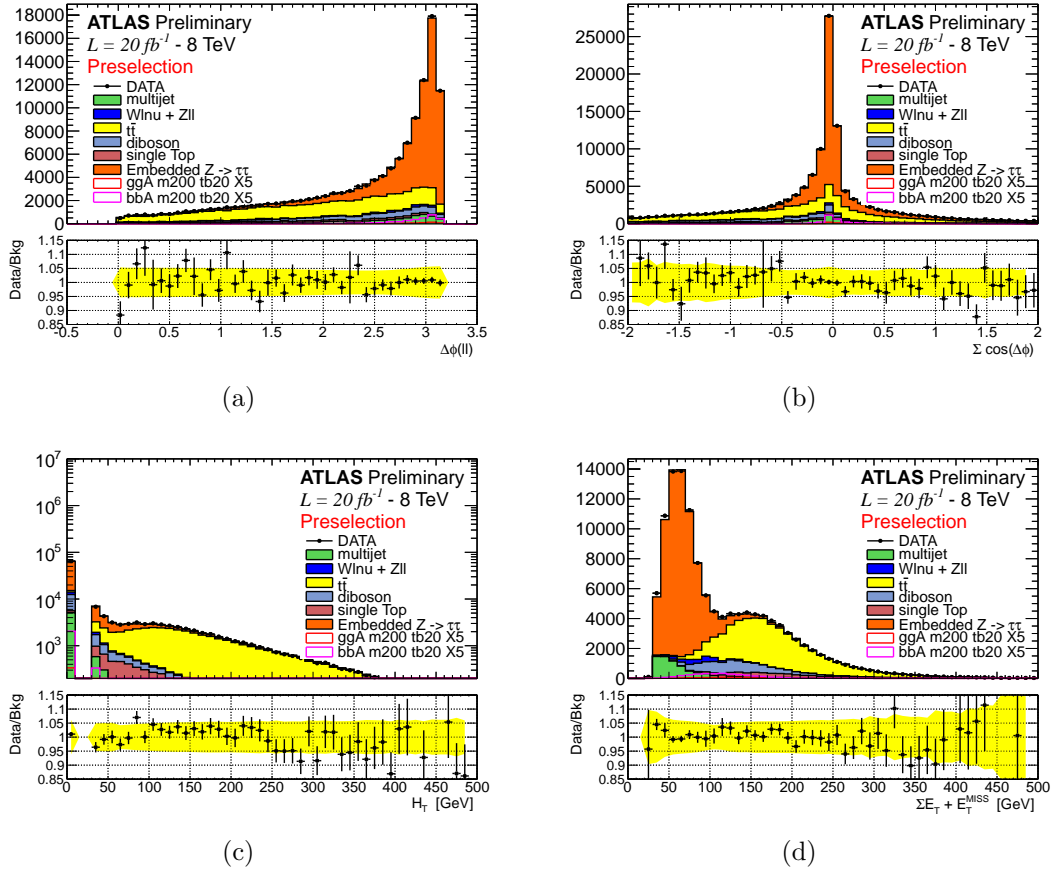
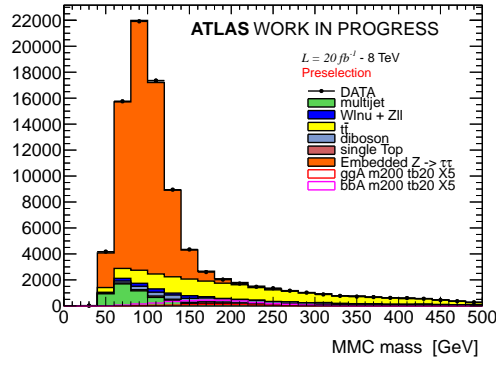
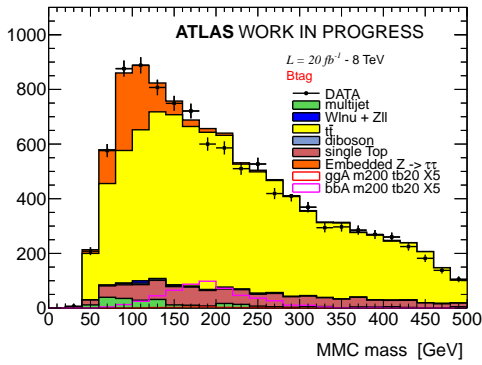


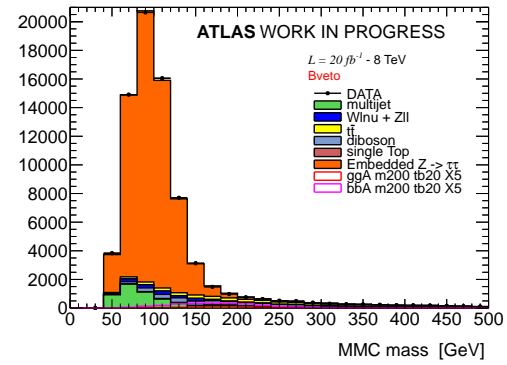
Figure 1.5: Distribution of analysis variable after preselection.



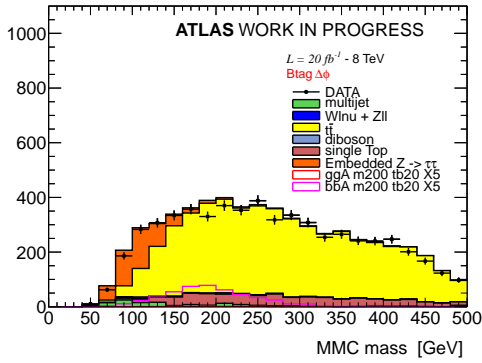
(a)



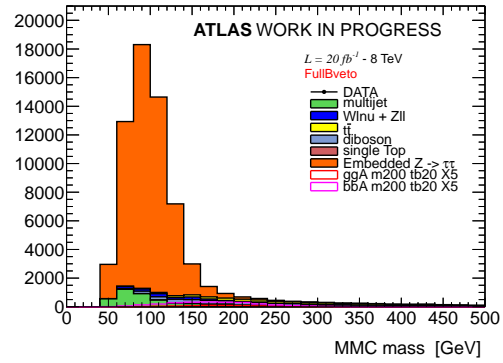
(b)



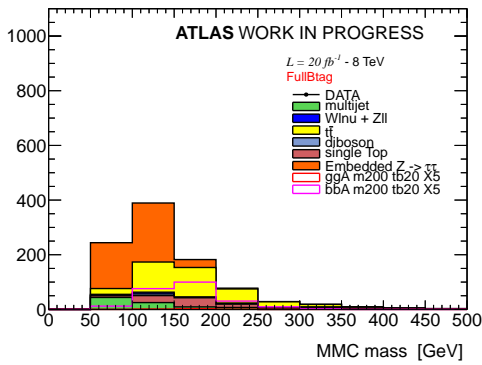
(c)



(d)



(e)



(f)

Figure 1.6: Distribution of the MMC_{mass} for different cuts stage, see text. Left column corresponds to b-tag category, right column to b-veto.

	Preselection	$n(\text{b-jet})=1$	$\Delta\phi(e-\mu) > 2$	$\sum \cos \Delta\phi > -0.2$	$\sum L_T + E_T^{miss} < 100 \text{ GeV}$	$\sum H_T < 100 \text{ GeV}$	mmc
Data	125886	23352	-	-	-	-	-
Multijet	6700 ± 500	330 ± 40	208 ± 27	135 ± 22	114 ± 17	100 ± 15	100 ± 15
$Z \rightarrow \ell\ell$	570 ± 50	5.2 ± 1.8	2.3 ± 1.1	2.3 ± 1.1	1.7 ± 1.0	0.9 ± 0.8	0.9 ± 0.8
$W \rightarrow \ell\nu$	1630 ± 150	20 ± 6	15 ± 6	13 ± 6	10 ± 6	10 ± 6	10 ± 6
Diboson	9340 ± 50	99 ± 5	63 ± 4	36.4 ± 3.0	14.8 ± 1.8	13.3 ± 1.8	13.1 ± 1.8
$t\bar{t}$	40630 ± 110	19810 ± 70	9680 ± 50	6450 ± 50	808 ± 15	350 ± 10	330 ± 10
Single Top	4450 ± 40	2456 ± 33	1223 ± 23	784 ± 18	122 ± 7	99 ± 7	90 ± 6
$Z \rightarrow \tau\tau$	61500 ± 70	952 ± 9	625 ± 7	540 ± 7	482 ± 6	421 ± 6	418 ± 6
Signal			-	-	-	-	-

Table 1.2: Number of data and background events in the b-tag channel.

	Preselection	n(b-jet)=0	$\Delta\phi(e-\mu) > 1.6$	$\sum \cos \Delta\phi > -0.4$	mmc
Data	125886	89155	-	-	-
Multijet	6693 ± 456	6357 ± 461	5322 ± 438	4137 ± 339	3934 ± 335
$Z \rightarrow \ell\ell$	569 ± 48	564 ± 48	516 ± 47	434 ± 44	432 ± 44
$W \rightarrow \ell\nu$	1625 ± 155	1604 ± 155	1145 ± 125	714 ± 101	656 ± 100
Diboson	9338 ± 48	9235 ± 48	7358 ± 43	4002 ± 31	2925 ± 27
$t\bar{t}$	40632 ± 106	7707 ± 46	5044 ± 37	3416 ± 31	2159 ± 24
Single Top	4449 ± 44	1664 ± 27	1124 ± 22	682 ± 18	435 ± 14
$Z \rightarrow \tau\tau$	61503 ± 68	60440 ± 67	58078 ± 65	55303 ± 64	54683 ± 63
Signal			-	-	-

Table 1.3: Number of data and background events in the b-veto channel.

1.2 Background Modeling and Validation

This section describes the strategies for background modeling and validation. Monte Carlo (MC) simulation is extensively used for model either background and signal, in section 1.2.1 a brief description of the simulated sample used is given.

Monte Carlo simulations of any process are usually prone to systematics uncertainties due to non-perfect descriptions of pileup effects, underlying event and detector performance, therefore, data-driven background estimation method are employed for the estimate of $Z \rightarrow \tau\tau$ and QCD multijet backgrounds, described respectively in section 1.2.4 and 1.2.3. Other background processes, such as $t\bar{t}$, single top, dibosons, $Z \rightarrow \ell\ell + \text{jets}$ (where $\ell = e, \mu$) and $W + \text{jets}$, are estimated using MC predictions. Given the particular importance of $t\bar{t}$ a dedicated study to validate this background has been made and described in section 1.2.2.

In this section we make use of analysis tools, quality requirements and object definition (like electrons, jet and muon) described in chapter ???. Furthermore a set of corrections is applied to simulated events to take into the non perfect description of detector performance and response, full detail on those corrections is reported in appendix ???. Systematic uncertainties on the background model predictions are detailed in Section 1.3.

A good agreement between data and background model is found after preselections, this is supported by figure 1.7 which shows few kinematic variables and figure 1.5 which shows analysis selection variables after preselection.

1.2.1 Simulated Event Samples

Signal production via the gluon fusion process, $gg \rightarrow A/H/h$, was simulated with POWHEG [30] and the associated $b\bar{b}A/H/h$ production with SHERPA [31]. The pseudoscalar Higgs boson samples were generated in the mass range from 90 GeV to 300 GeV and at $\tan\beta = 20$, the same kinematics are assumed for $A/h/H$ Higgs bosons decay products and at other $\tan\beta$ values, appropriate reweighting is applied according to the different cross-sections. The m_h^{max} MSSM benchmark scenario [34] is assumed.

The production of W and Z/γ^* bosons in association with jets was simulated with the ALPGEN [23] generator. The $t\bar{t}$ process was generated using the POWHEG generator. The single-top (s-channel, Wt) processes were generated

Process	Cross-section (pb) [\times BR]
$W \rightarrow \ell + \text{jets}$ ($\ell = e, \mu, \tau$)	12.22×10^3
$Z/\gamma^* \rightarrow \ell\ell + \text{jets}$ ($m_{\ell\ell} > 60$ GeV)	1.15×10^3
$Z/\gamma^* \rightarrow \ell\ell + \text{jets}$ ($10 < m_{\ell\ell} < 60$ GeV)	4.35×10^3
$t\bar{t}$	137.3
Single top t -, s - and Wt -channels	28.4, 1.8, 22.4
Diboson WW, WZ and ZZ	20.6, 6.8, 1.55
Signal ($m_A = 150$ GeV, $\tan\beta = 20$, m_h^{max} scenario)	
$gg \rightarrow A \times \text{BR}(A \rightarrow \tau\tau) \times \text{BR}(\tau\tau \rightarrow e\mu + 4\nu)$	$16.8 \times 0.118 \times 0.062$
$gg \rightarrow H \times \text{BR}(H \rightarrow \tau\tau) \times \text{BR}(\tau\tau \rightarrow e\mu + 4\nu)$ ($m_H = 151$ GeV)	$18.4 \times 0.119 \times 0.062$
$gg \rightarrow h \times \text{BR}(h \rightarrow \tau\tau) \times \text{BR}(\tau\tau \rightarrow e\mu + 4\nu)$ ($m_h = 129$ GeV)	$13.7 \times 0.110 \times 0.062$
$b\bar{b}A \times \text{BR}(A \rightarrow \tau\tau) \times \text{BR}(\tau\tau \rightarrow e\mu + 4\nu)$	$39.4 \times 0.118 \times 0.062$
$b\bar{b}H \times \text{BR}(H \rightarrow \tau\tau) \times \text{BR}(\tau\tau \rightarrow e\mu + 4\nu)$ ($m_H = 151$ GeV)	$35.7 \times 0.119 \times 0.062$
$b\bar{b}h \times \text{BR}(h \rightarrow \tau\tau) \times \text{BR}(\tau\tau \rightarrow e\mu + 4\nu)$ ($m_h = 129$ GeV)	$4.71 \times 0.110 \times 0.062$

Table 1.4: The cross sections (multiplied by the relevant branching ratios (BR)) used in this note. Signal cross sections are shown for $m_A = 150$ GeV and $\tan\beta = 20$

using MC@NLO [25], while single-top (t-channel) processes were generated with AcerMC [26]. The production of diboson (WW , WZ , ZZ) were generated with HERWIG [27]. For all ALPGEN and MC@NLO samples described above, the parton shower and hadronisation were simulated with HERWIG and the activity of the underlying event with JIMMY [28]. Different parton density functions (PDFs) sets are used depending on the generator - CTEQ6L1 [32] is used by ALPGEN and AcerMC while CT10 [33] is used by SHERPA, POWHEG and MC@NLO.

TAUOLA [36] and PHOTOS [37] are used to model the tau lepton decay and additional photon radiation from charged leptons in the leading-log approximation, respectively, except for SHERPA samples.

All MC event samples were passed through the full simulation of the ATLAS detector using GEANT4 [38, 39]. The effects of the simultaneous recording of several events from the same or neighbouring bunch crossings (pile-up) are considered in the simulation.

The cross-sections of the MC event samples used in this note are summarised in Table 1.4. The $W/Z + \text{jets}$ and $b\bar{b}A/H/h \rightarrow \tau\tau$ cross sections are calculated to NNLO. Those for $t\bar{t}$ comes from direct cross section measurement []. The single top and diboson cross sections are calculated at NLO for single top and dibosons. Finally, the direct $gg \rightarrow A/H/h \rightarrow \tau\tau$ signal cross sections are calculated at NNLO and NLO for the top loop and the bottom loop and top/bottom loops interference, respectively.

1.2.2 Top Quark Pair Production Validation

The background from top quark pair production is estimated using a sample of events from the POWHEG-PYTHIA MC generator. Since this is one of the major backgrounds for this analysis a careful validation is needed, for this purpose a $t\bar{t}$ rich control region is defined using events passing the preselection with the additional requirement of two b-tagged jets. Figures 1.8 and 1.9 show a set of kinematic

Region	Lepton Charge	Lepton Isolation
A (signal region)	OS	isolated
B	SS	isolated
C	OS	anti-isolated
D	SS	anti-isolated

Table 1.5: QCD background estimation control regions, defined by having leptons with opposite signs (OS) or same signs (SS) and by having the leptons either isolated or anti-isolated.

and analysis selection variables in this CR, for both data and the MC prediction, good agreement between data and the background model is found: an overall data to background ratio of $0.998 \pm 0.011(\text{stat.}) \pm 0.110(\text{sys.})$ is observed. The total systematic uncertainty on the ratio is dominated by the uncertainty on the b-tagging efficiency.

1.2.3 Multi-jet Background

The QCD multi-jet background represents an important background, especially in the b-veto category, due to its high cross-section and the relatively low cut on lepton p_t used in this analysis. This background is evaluated by a data-driven technique, the so-called ABCD method. The ABCD method consists of splitting the data sample in four regions: the signal region (SR) and three control regions (CR), where the control regions are mutually orthogonal and designed to be enriched in multi-jets events. The four regions are defined by using the charge correlation between the leptons and isolation selections. With isolation is intended the sum of the energy deposit in a cone of fixed size around the lepton, this variable can be defined using calorimetric energy deposition or track momentum measurement done by the inner detector. To obtain regions rich in multi-jet background, the selections on both the calorimetric and tracking isolation are inverted with respect to the nominal ones defining anti-isolated leptons, is then possible to define four regions: opposite sign (OS) or same sign (SS) with respectively isolated or anti-isolated leptons. Historically the letters A-D are assigned to this regions for a quicker reference as defined in Table 1.5.

An assumption of the ABCD method is that multi-jet backgrounds populate the OS and SS events independently of lepton isolation criteria, or in other words that the ratio of OS/SS events is uncorrelated with the lepton isolation selections. In this case, the number of QCD events in the signal region A can be estimated from the yield of multijet events in the control regions B , C and D , using the equation

$$N_A = N_B \times \frac{N_C}{N_D} = N_B \times R_{QCD} \quad (1.2)$$

To obtain the multijet yields in the data CRs, the contamination from electroweak (W+jets, Z+jets and dibosons) and top processes ($t\bar{t}$ and single top production) are subtracted in each control region using the MC prediction for their event yield. Tables 1.6 and 1.7 show the event yield for each CR throughout the full cut-flows

along with the predictions of non-QCD multi-jets events which are subtracted. Signal contamination has been checked in all the three control regions for different mass points. For the range of m_A and $\tan\beta$ considered in this analysis, the highest signal contamination is seen in region B for the mass point $m_A = 300$ GeV and $\tan\beta = 50$, where a contamination of 0.2% is observed¹.

Shapes of kinematic distributions for QCD events are taken from region B, this region is expected to have similar kinematic property to the SR, however, suffers of either lower statistics and higher contamination with respect to region C or D. This choice is made to avoid a shape bias due to isolation requirements at trigger level (only the single-electron trigger ask for isolation), figure 1.10 shows the comparison between the electron p_t distributions in region B and D, in the latter high p_t electrons are suppressed, they do not pass trigger selections. Eventually the trigger isolation requirement could bias also the ratio OS/SS, this possibility has been checked carefully in a dedicated study and reported in Appendix A: to a good approximation, such trigger effects cancel out in the ratio OS/SS and no additional systematic is needed.

To test the ABCD method predictions an additional control region has been defined with the following selections:

- $E_T^{miss} < 20$ GeV
- $H_T < 70$ GeV and $\sum L_T + E_T^{miss} < 50$ GeV
- $0 < MMC_{mass} < 80$ GeV

This control region is designed to enhance multi-jet background with respect to $Z \rightarrow \tau\tau$ keeping the final state kinematics as similar as possible to the SR. Figure 1.11 shows the MMC_{mass} distribution for this CR with and without b-tagging requirements, agreement between data and the background model is found within statistical and detector related systematics uncertainty.

Systematic uncertainties are assigned on the scaling factor R_{QCD} and on the shape of the discriminating variable MMC_{mass} to take into account any correlation between isolation and charge of the leptons, details on the systematic uncertainty evaluation are addressed in Section 1.3.

1.2.4 $Z \rightarrow \tau\tau$ + Jets Background: Embedding Technique

The background from $Z \rightarrow \tau\tau$ decays is the major background to this analysis, a good understanding of it is then crucial. Unfortunately, for a light Higgs boson, it is impossible to completely separate $Z \rightarrow \tau\tau$ decays from the signal and a signal free data control region cannot be defined. However, thanks to the small Higgs coupling to muons, $Z \rightarrow \mu\mu$ decays provide a good starting point to model $Z \rightarrow \tau\tau$ events in a data-driven way. An hybrid Data-MC sample, known as "Embedding" is used to model the $Z \rightarrow \tau\tau$ background: $Z \rightarrow \mu\mu$ candidates are selected in data, then, the two muons from the Z decay are substituted with the decay products from

¹ This value is mainly due to b-associated production and, as it scales with the cross section, for $\tan\beta = 20$ would be an order of magnitude smaller.

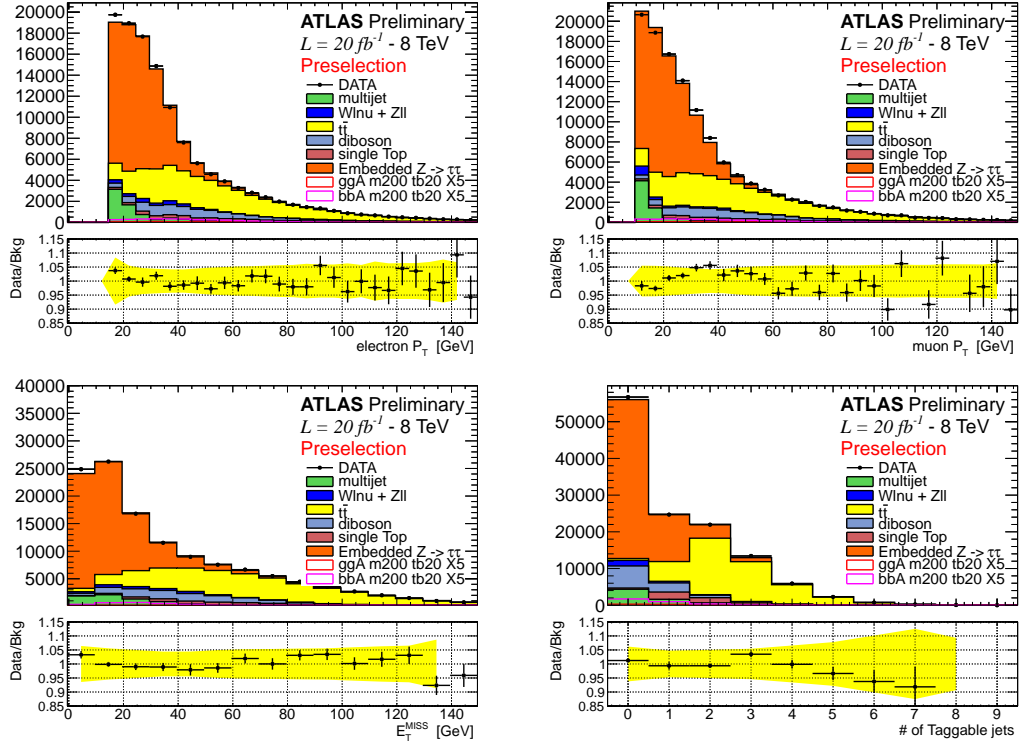


Figure 1.7: Distribution of some kinematic variables after preselection.

Selection		B	C	D	R_{QCD}
Preselection	Data	6189	604628	312901	1.929 ± 0.004
	non-QCD	2510 ± 180	1090 ± 30	730 ± 35	
B-tag	Data	419	44619	27257	1.64 ± 0.01
	non-QCD	215 ± 10	310 ± 12	277 ± 13	
$\Delta\phi(e - \mu)$	Data	230	38810	23316	1.67 ± 0.01
	non-QCD	104 ± 6	200 ± 10	175 ± 7	
$\sum \cos \Delta\phi$	Data	149	31379	18779	1.67 ± 0.02
	non-QCD	67 ± 5	127 ± 8	114 ± 6	
$\sum H_T$	Data	83	27781	15626	1.78 ± 0.02
	non-QCD	23 ± 4	25 ± 3	22 ± 3	
$\sum L_T + E_T^{miss}$	Data	71	27735	15590	1.78 ± 0.02
	non-QCD	10 ± 3	22 ± 3	18 ± 2	
$MMC_{mass} > 0.$	Data	70	27634	15522	1.78 ± 0.02
	non-QCD	9 ± 3	20 ± 3	17 ± 2	

Table 1.6: QCD background estimation as a function of the analysis selections for the b-tagged category. The yields for the different control regions, as well as the scaling factor R_{QCD} , are reported. The error on the R_{QCD} is statistical only.

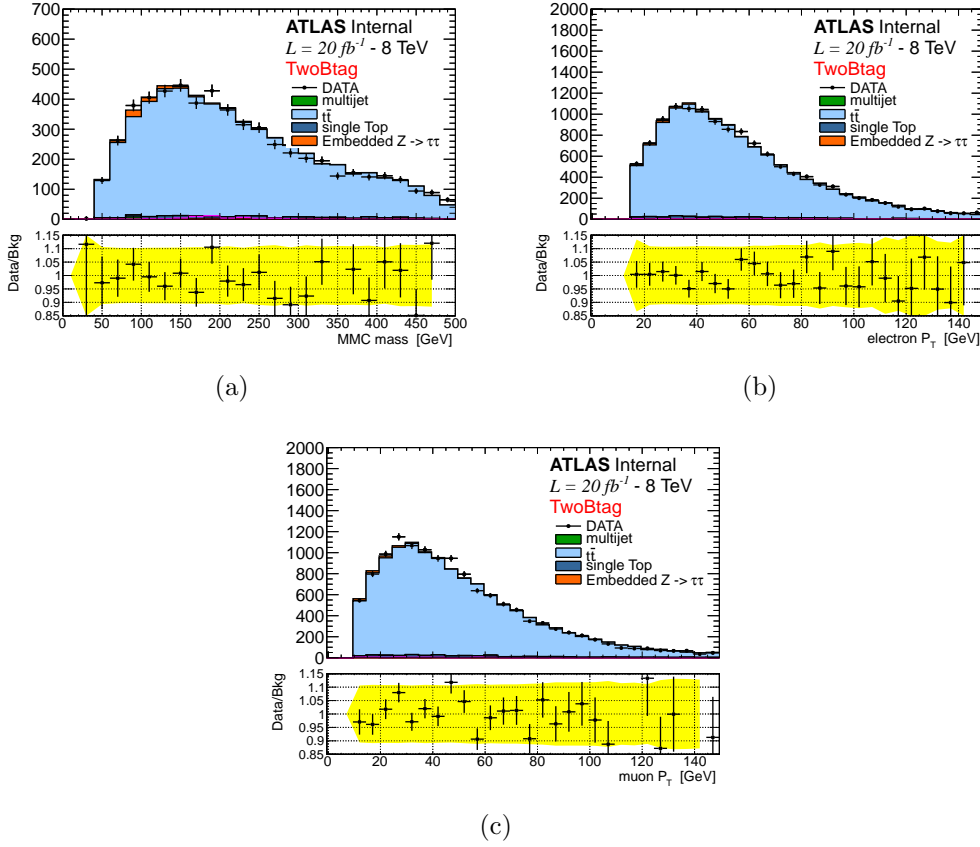


Figure 1.8: Distributions of a) the MMC mass, b) the transverse momentum of the electron $p_t(e)$ and c) the transverse momentum of the muon $p_t(\mu)$, for both data and MC in the $t\bar{t}$ control region. The uncertainties on the points for the ratio plot show the statistical uncertainty on the data to background ratio, whereas the yellow band show the total systematic uncertainty on this ratio.

Selection		B	C	D	R_{QCD}
Preselection	Data	6189	604628	312901	1.929 ± 0.004
	non-QCD	2510 ± 180	1090 ± 30	730 ± 35	
B-veto	Data	5673	558217	284847	1.960 ± 0.004
	non-QCD	2220 ± 180	710 ± 30	415 ± 30	
$\Delta\phi(e - \mu)i$	Data	4610	532583	271404	1.962 ± 0.005
	non-QCD	1700 ± 170	580 ± 30	345 ± 30	
$\sum \cos \Delta\phi$	Data	3417	486747	247712	1.965 ± 0.005
	non-QCD	1120 ± 100	370 ± 20	230 ± 20	
$MMC_{mass} > 0.$	Data	3177	479967	244276	1.965 ± 0.005
	non-QCD	1000 ± 100	300 ± 17	190 ± 20	

Table 1.7: QCD background estimation as a function of the analysis selections for b-veto category. The yields for the different control regions, as well as the scaling factor R_{QCD} , are reported. The error on the R_{QCD} is statistical only.

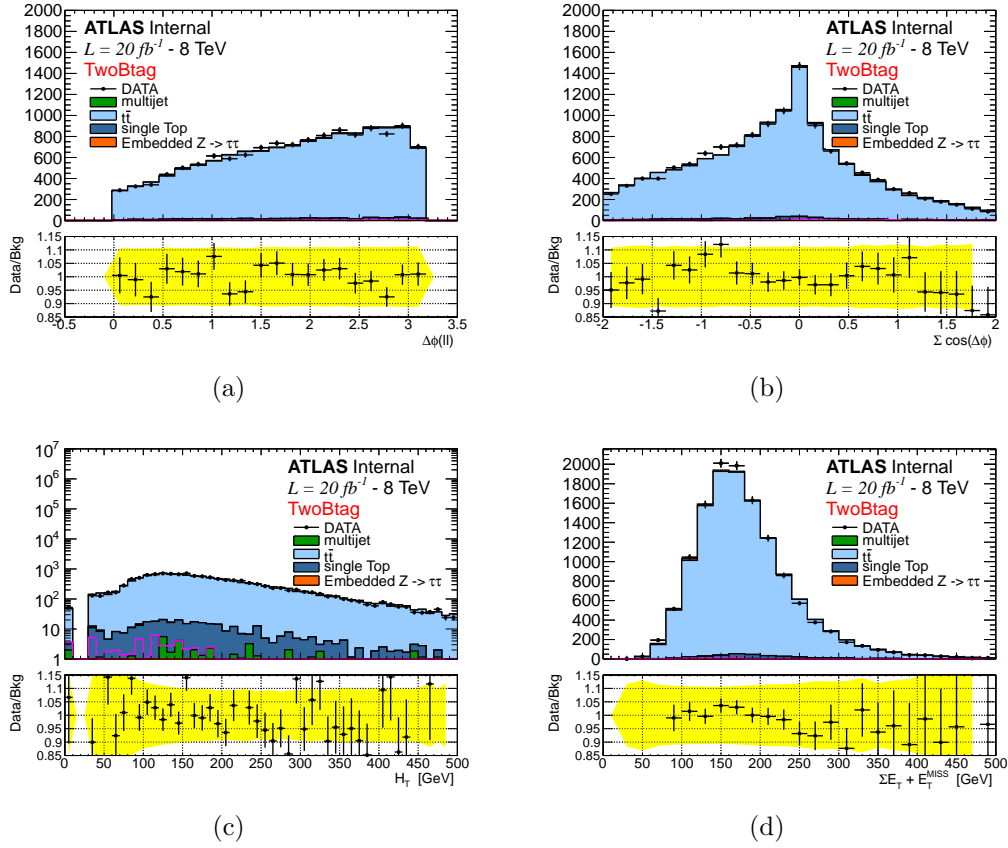


Figure 1.9: Distributions of a) $\Delta\phi(e - \mu)$, b) $\Sigma \cos \Delta\phi$, c) $\Sigma L_T + E_T^{miss}$ and d) H_T , for both data and MC in the $t\bar{t}$ control region. The uncertainty on the points for the ratio plot show the statistical uncertainty on the data to background ratio, whereas the yellow band show the total systematic uncertainty on this ratio.

simulated taus, this means that the energy deposit and tracks in a cone around the muon are subtracted and substituted with the one from τ decay, those taus have the same kinematics as the original muons. Further details on this technique may be found in [40, 41].

Trigger is not simulated in the embedding samples, the event yield is normalised to ALPGEN $Z \rightarrow \tau\tau$ at preselection stage. Furthermore a set of corrections, as described in [42], are applied to unfold from the original $Z \rightarrow \mu\mu$ trigger and reconstruction efficiency, then trigger and reconstruction efficiency for a $e - \mu$ final state are emulated by means of event weight.

The Embedding technique has been validated in several studies, detailed in [40, 42], which show a good description of data and $Z \rightarrow \tau\tau$ MC by Embedding. In the context of this analysis, figures 1.12 and 1.13 show comparisons of various kinematic variables between data, embedding and ALPGEN $Z \rightarrow \tau\tau$ events at preselection. No significant deviation is seen between the MMC_{mass} distribution of the embedding and ALPGEN samples, however other relevant variables for this analysis, such as the E_T^{miss} and the number of b-jets, are slightly better described

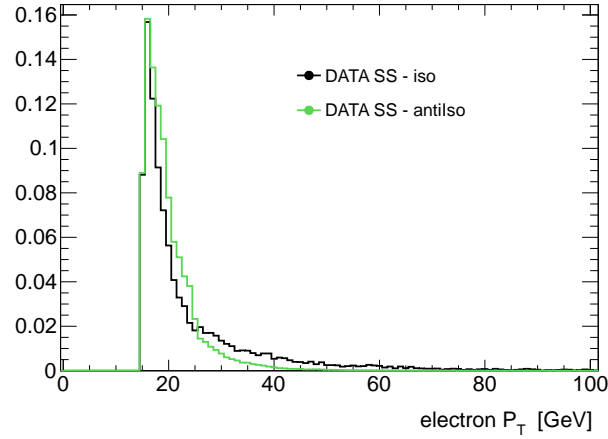
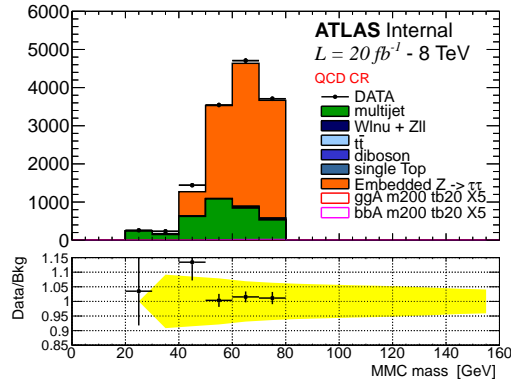
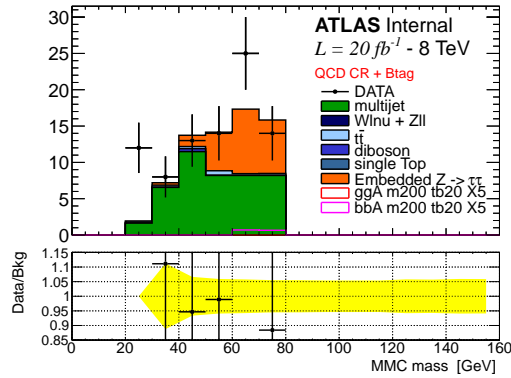


Figure 1.10: Comparison of the electron p_t distribution in region B and region D, showing the bias due to the trigger. The histograms are normalised to the same area.



(a)



(b)

Figure 1.11: MMC_{mass} distribution for QCD cross check regions defined in section 1.2.3 (a) and for the same CR when in addition one b-tagged jet is required (b).

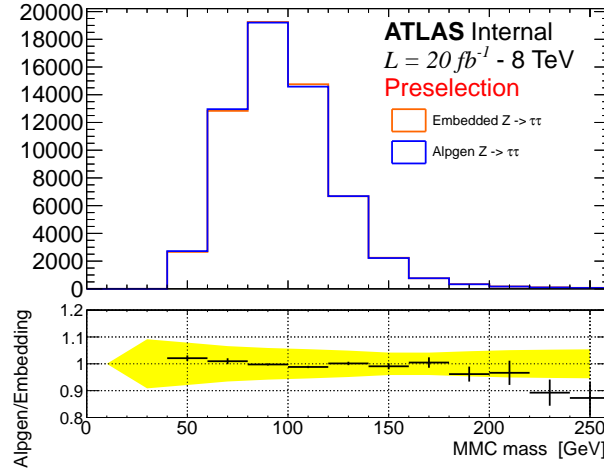


Figure 1.12: Comparison between the embedded $Z \rightarrow \tau\tau$ and ALPGEN for MMC_{mass} distributions.

	Embedding yield in CR	Transfer factor	Estimated events in SR	Contamination
b-tag	84 ± 9	$(2.6 \pm 0.1) \times 10^{-2}$	2.2 ± 0.2	0.5 %
b-veto	84 ± 9	$(1.74 \pm 0.02) \times 10^{-1}$	15 ± 2	0.03 %

Table 1.8: Evaluating embedding $t\bar{t}$ contamination using a two b-tag CR. The transfer factor is the multiplicative factor that allows to estimate events in SR from the CR.

by embedding.

The Embedding sample is based on selecting $Z \rightarrow \mu\mu$ candidates in data, the selections assure a rather pure $Z \rightarrow \mu\mu$ sample, however further selections used in this analysis, for example the b-tagging requirements, could enhance the contamination fraction from other processes. Dedicated studies have been made to estimate the $t\bar{t}$ and QCD multi-jet contamination in the embedding sample. The $t\bar{t}$ contamination is estimated by evaluating the embedding yield in a two b-tag control region (as described in Section 1.2.2), these events are assumed to be solely from $t\bar{t}$ and their yield in the signal region is extrapolated using MC simulation. Table 1.8 shows a summary for the top contamination in embedding. The multi-jet contamination can be estimated starting from the embedding yield in (ABCD) region C, assuming all events in this CR as QCD multi-jet events, the contamination in the SR can be estimated by means of the ABCD method (see Section 1.2.3). The R_{QCD} factor, in this case, is evaluated using a $\mu - \mu$ final state with same kinematic selections as for embedding $Z \rightarrow \mu\mu$ candidate. Table 1.9 shows the estimated contamination of QCD multi-jet in embedding. We consider contamination effects negligible.

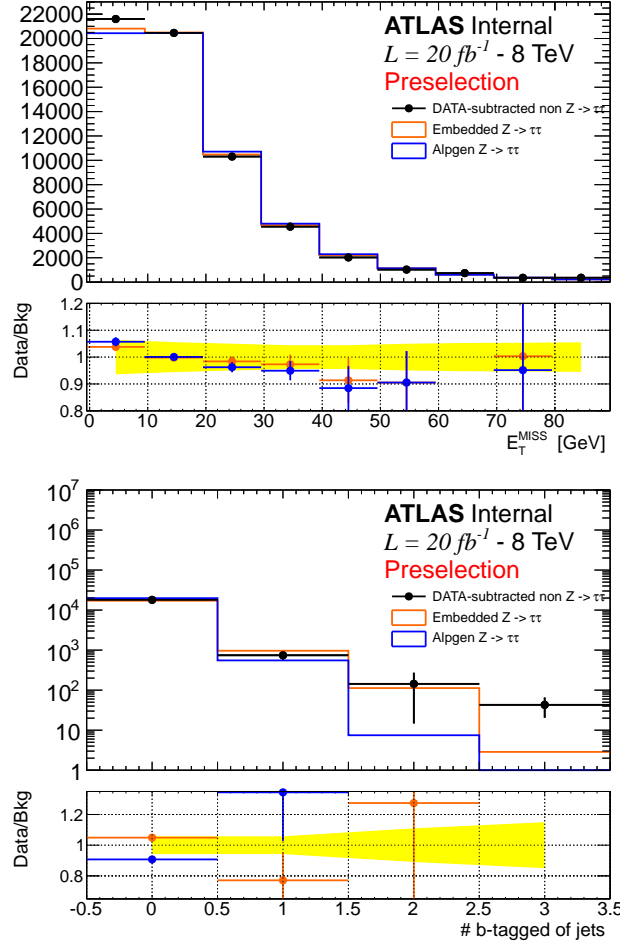


Figure 1.13: Comparison between embedded $Z \rightarrow \tau\tau$ and ALPGEN for E_T^{miss} and the number of b-tagged jets distributions. Data are superimposed, with the contribution of non- $Z \rightarrow \tau\tau$ are subtracted.

	Embedding yield in CR	Transfer factor	Estimated events in SR	Contamination
B-tag	12 ± 3	$(7 \pm 1) \times 10^{-3}$	$(8.4 \pm 0.3) \times 10^{-2}$	0.03 %
B-veto	390 ± 20	$(2.5 \pm 0.1) \times 10^{-2}$	10.0 ± 0.5	0.02 %

Table 1.9: Evaluating embedding contamination due to QCD multi-jet using ABCD method, the CR here is with OS anti-isolated events (region C). The transfer factor is the multiplicative factor that allows to estimate events in SR from the CR, in this case is N_B/N_D and is evaluated using mu-mu final state with the same kinematic selection used in the definition of the embedding sample.

1.3 Systematic Uncertainties

This section describes the range of systematic uncertainties that are relevant for this analysis. To account for differences in the detector responses between simulation and data a set of corrections are applied either at object reconstruction level and at event level, the uncertainties on such corrections are considered as detector-related systematic uncertainties and are detailed in section 1.3.1. For samples which rely on MC simulation, theory-related systematics, which include uncertainties on the cross-section and uncertainties on the acceptance of analysis selections, are described in section 1.3.2. Further systematic uncertainties related to data-driven methods for backgrounds estimation are described in section 1.3.3 and 1.3.4.

Each single systematic can contribute separately to the uncertainty on the final event yield and on the shape of the MMC_{mass} distribution which is used as discriminating variable in limit derivation. Shape systematics are documented in appendix ??, they are found to be negligible for all the samples except Embedding, for which significant deviation are found only in the b-veto category. Systematic uncertainties that do not effect the mass shape distribution and have an impact on the event yield of less than 0.5% (per sample) are neglected in the final limit calculations.

1.3.1 Detector-related Systematics Uncertainties

Here systematic uncertainty related to object reconstruction and event corrections are addressed, those corrections are based on the measure of some relevant parameter, each of those parameters correspond to a "nuisance parameter" in our probability model as described in Section ?. Each parameter is varied independently (one sigma up or down) according with its uncertainty and the impact on the analysis yield for each sample is evaluated. In the following, detector related uncertainty are described with some more details, table 1.10 and 1.11 briefly summarize the impact on the samples yield for the most significant systematic uncertainty considered.

Luminosity The integrated luminosity of the 8 TeV data recorded at ATLAS during 2012 is measured to be 20.3 fb^{-1} [66], its uncertainty is 2.8%.

Pileup Simulated events are re-weighted to reproduce the average interactions per bunch crossing, $\langle \mu \rangle$, seen in data. Those event weights has an uncertainty wich is propagated to each simulated sample.

Trigger Efficiency is corrected in simulation to match (as a mean value) the one in data, those correction weights are evaluated as a function of p_t and η of the leptons and have associated uncertainties. Systematic uncertainties on both the single electron and electron-muon trigger efficiency are considered independently, those uncertainty range approximately 1-2%.

In the embedding sample, the trigger is emulated by applying weights to the event topology in order to recover the right trigger efficiency, those weights are

related to the one just described above and have similar uncertainty. Trigger efficiency uncertainty for Embedding are considered uncorrelated with the one of other samples.

Electrons Two types of uncertainty on reconstructed electron objects are considered: the first are related to electron identification and reconstruction efficiencies ("Electron ID"), the second type are related to electron energy scale and resolution corrections. The energy scale uncertainties are split into a set of six different nuisance parameters, however, only few of them give a non negligible contribution. Two of them are found to effect the shape of the MMC_{mass} distribution and are considered independently, those are the uncertainty that arise from the $Z \rightarrow ee$ momentum measurement ("Electron Zee") and the one related to low momentum electrons ("Electron LOWPT"). All the other uncertainties related to energy scale and resolution are summed in quadrature ("Electron E").

Muons The uncertainty on muon identification efficiency depends on the charge and momentum of the muon. Typically these uncertainties are of the order of a fraction of percent, and are referred as "Muon ID". The uncertainties on the muon energy scale and resolution are considered independently for the inner detector and muon spectrometer measurements, then are added in quadrature to estimate the final effect ("Muon E").

Taus Hadronic tau object are only used in the analysis as a veto. Uncertainties on both tau energy scale and identification efficiency have been investigated and are found to be negligible for this analysis.

Jets The systematic uncertainties on the Jet Energy Scale (JES) are split up into multiple sets of nuisance parameters, which are related to different effects and components, for example the sensitivity to pileup or to the flavour composition of the jet. The overall uncertainty on the JES ranges between 3% and 7%, depending on the p_t and η of the jet. To give an idea of the effect that these uncertainty have on the analysis yield their sum in quadrature is reported in table 1.10 and 1.11 as "JES", however this is just a simplification for illustration purposes and in the limits extraction those uncertainties are considered uncorrelated. Systematic uncertainty due to jet resolution ("Jet Resolution") are obtained by smearing the jet energy according to its uncertainty.

b-Tagging is described in chapter ???. Corrections are applied to simulation to match b-tagging efficiency in data, uncertainties on the knowledge of the b-tagging efficiencies for the 70% working point of the MV1 b-tagger are considered. The effect of those uncertainties is evaluated independently in the cases of b-quark, c-quark and light or gluon initiated jets and referred respectively to as "B Eff", "C Eff" and "L Eff". The tagging and mistagging efficiency uncertainties are considered to be totally anti-correlated.

Source	b-tag category uncertainties (%)				
	Signal bbH	Signal ggH	$Z \rightarrow \tau\tau$	Top	Other
Electron ID	2.3	2.6	2.8	1.8	2.0
Electron E	0.7	1.2	0.5	0.5	0.9
Electron LOWPT	0.4	0.0	0.4	0.1	0.4
Electron Zee	0.3	0.6	0.4	0.6	0.5
Muon ID	0.3	0.3	0.3	0.3	0.3
Muon E	0.5	0.8	0.1	0.1	0.2
Trigger Single Ele.	0.7	0.5	0.5	0.8	0.8
Trigger Dilepton	1.0	1.2	1.4	0.6	0.6
Embedding MFS	-	-	0.0	-	-
Embedding Iso.	-	-	1.3	-	-
JES	2.7	7.3	-	10.0	7.0
JER	1.4	6.3	-	2.9	3.0
B Eff	10.2	3.1	-	2.6	5.0
C Eff	0.2	4.3	-	0.0	1.2
L Eff	0.4	8.0	-	0.1	1.2
Pileup	0.4	0.7	0.4	0.4	0.9
MET	0.7	0.5	0.2	1.0	1.2
Luminosity	2.8	2.8	2.8	2.8	2.8

Table 1.10: Summary of the effect of the experimental systematic uncertainties on the yields of the different samples used in the b-tag channel. Here "Other" refers to the sum of all the remaining samples: $W \rightarrow \ell\nu$, diboson, $Z \rightarrow \ell\ell$ and single top. The signal samples listed here are b-associated production and gluon fusion with $m_A = 120$ GeV and $\tan\beta = 20$.

Missing Transverse Energy The effect of the energy scale uncertainties for all the physics objects is propagated to the E_T^{miss} calculation. In addition uncertainty on the energy scale and resolution due to the remaining calorimeter energy deposit, the so called "soft-terms", are considered. All the uncertainty on E_T^{miss} are independently propagated through the analysis and are added in quadrature, this final term is referred as "MET" uncertainty.

1.3.2 Theoretical Uncertainties

Uncertainties on the cross-sections that have been used to normalise simulation samples to data are reported in Table 1.13. These uncertainties include contributions due to parton distribution functions (PDFs), the choice of the value of strong coupling constant, and the renormalisation and factorisation scales. Furthermore the uncertainties on signal cross-section depends on $\tan\beta$, the Higgs boson type ($A/h/H$) and mass.

The effect of systematic uncertainties due to various MC tuning parameters, underlying event and lepton kinematic description is considered. Since the effect on the invariant mass distribution of the di-tau system from these systematic uncertainties is negligible (as an example see Figure 1.14), only the variation in

Source	b-veto category uncertainties (%)				
	Signal bbH	Signal ggH	$Z \rightarrow \tau\tau$	Top	Other
Electron ID	2.4	2.3	2.9 (s)	1.4	1.6
Electron E.	0.4	0.5	0.4	0.5	0.9
Electron LOWPT	0.3	0.5	0.4 (s)	0.0	1.2
Electron Zee	0.4	0.4	0.4 (s)	0.1	0.3
Muon ID	0.3	0.3	0.3	0.3	0.3
Muon E.	0.1	0.1	0.1	0.5	0.5
Trigger Single Ele.	0.6	0.6	0.5	0.9	0.9
Trigger Dilep.	1.0	1.0	1.3	0.2	0.3
Embedding MFS	-	-	0.1 (s)	-	-
Embedding Iso.	-	-	0.0 (s)	-	-
JES	0.6	0.7	-	1.0	1.2
JER	0.5	0.3	-	0.6	0.3
B Eff	1.8	0.0	-	12.0	0.8
C Eff	0.0	0.1	-	0.1	0.0
L Eff	0.0	0.1	-	0.2	0.1
Pileup	0.5	0.8	0.4	0.3	0.3
MET	0.2	0.8	0.1	0.2	0.5
Luminosity	2.8	2.8	2.8	2.8	2.8

Table 1.11: Summary of the effect of the experimental systematic uncertainties on the yields of the different samples used in the b-veto channel. Here "Other" refers to the sum of all the remaining samples: $W \rightarrow \ell\nu$, diboson, $Z \rightarrow \ell\ell$ and single top. The signal samples listed here are b-associated production and gluon fusion with $m_A = 120$ GeV and $\tan\beta = 20$. Shape uncertainty are noted with the symbol (s).

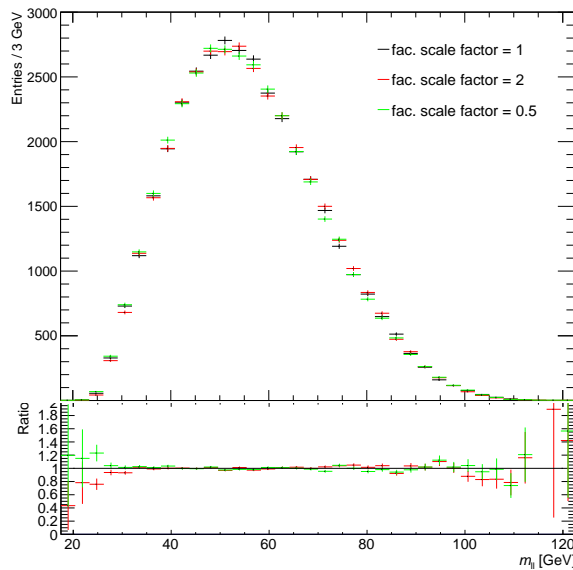


Figure 1.14: Comparison of the visible mass of tau decay products after factorisation scale variation for the b-veto category on a gluon fusion signal sample.

acceptance is considered as systematic uncertainty. The acceptance uncertainties for the ALPGEN Z MC, used for the normalisation of the embedded sample, are estimated at lepton preselection to be 4% [64]. Since additional selections are applied directly to the embedded sample, no further acceptance uncertainties is considered. Acceptance systematics on $t\bar{t}$ simulated events are estimated to be of 2%. The acceptance uncertainties on diboson and single top production are assumed to be 2%. Uncertainties on signal acceptance have been estimated by producing samples with varied MC generator parameters and evaluating, at truth-level, the effect of analysis selections on leptons, taus and jets. This truth-level study is implemented within the Rivet framework [68], where additionally b-tagging is performed by identifying b-quarks and applying a weighting according to the estimated ATLAS b-tagging efficiencies [65]. The variation of the acceptance with respect to the nominal MC tune has been considered as a source of systematic uncertainty. For signal a total acceptance uncertainty varies from 4% to 30% depending on m_A , production process and on the analysis category.

1.3.3 $Z \rightarrow \tau\tau$ Embedding Systematics

An important element of the embedding method is the subtraction of the calorimeter cells associated with the muons in the original $Z \rightarrow \mu\mu$ event and their substitution with those from the simulated tau decays. To make a conservative estimate of the systematic uncertainty on this procedure, the energy of the subtracted cells is scaled up or down by 30%. The analysis is repeated with those modified samples and the relative uncertainty is referred as "EMB_MFS", this uncertainty affects mainly the shape of the MMC_{mass} distribution, shown in figure 1.15.

In the selection of the $Z \rightarrow \mu\mu$ sample only a loose requirement on muon track

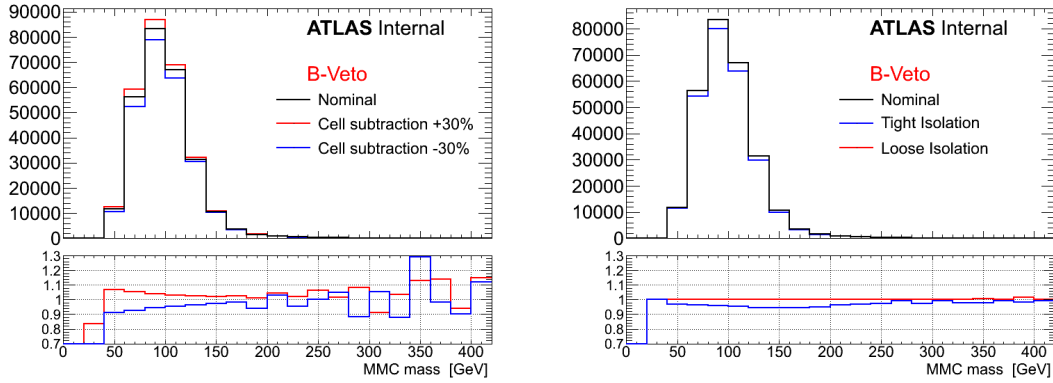


Figure 1.15: Impact of EMB_MFS (left) and EMB_ISO (right) systematic uncertainties MMC_{mass} distribution for Embedding sample. Only the b-veto category report significant deviations.

isolation is required. A different selection on the muon isolation may effect the selected sample by modifying the topology of the event, changing the non- $Z \rightarrow \mu\mu$ contamination or the activity in the calorimeter. To estimate the importance of these effects in our embedding sample, the isolation selection on the muons in the original $Z \rightarrow \mu\mu$ events is tightened, a looser selection would have limited impact because of isolation requirements at trigger level. The resulting uncertainty, referred to as "EMB_ISO", affects both the yield and the MMC_{mass} shape of the embedding samples, as shown in figure 1.15.

Finally, because the normalisation of the embedding sample is determined by the use of the ALPGEN sample, the relative cross section and luminosity uncertainties are assigned. In addition all the detector-related systematic uncertainties relevant to the decay products of the simulated tau decay are propagated to the embedding sample.

1.3.4 QCD Multi-Jet Systematics

In this analysis the QCD multi-jet background is estimated via the ABCD method, as described in Section 1.2.3. This technique relies strongly on the assumption that the lepton isolation variables are independent from the charge correlation between the two leptons. Systematic uncertainties are assigned to take into account deviations from this assumption. First the correlation between R_{QCD} and the lepton isolation selections is considered, then the result is compared with an auxiliary method.

Figure 1.16 shows the R_{QCD} factor, the ratio between the QCD yields in region C and D, as a function of the lepton isolation selections (red points). As described previously, the expectation from non-QCD backgrounds is subtracted from the data in regions C and D. To estimate the uncertainty on the value of R_{QCD} an additional transfer factor is defined as follows: $R_{QCD}^{iso} = \hat{A}/\hat{B}$, where \hat{A} and \hat{B} are semi-isolated OS and SS regions defined with the lepton isolation larger than the standard requirement, but less than a sliding cut. Once more, the non-QCD contributions

Selection	R_{QCD}	R_{QCD}^{AB}	R_{QCD}^{iso}
Preselection	1.929 ± 0.004	2.12 ± 0.17	2.22 ± 0.16
B-veto	1.965 ± 0.005	2.10 ± 0.16	2.22 ± 0.16
B-tag	1.78 ± 0.02	1.9 ± 0.9	2.0 ± 0.8

Table 1.12: Comparison between R_{QCD} , R_{QCD}^{AB} and R_{QCD}^{iso} for early stage in the cutflow, only b-tag and b-veto requirement are applied after preselections. Reported is statistical uncertainty only.

are subtracted from the data yields. The regions \hat{A} and \hat{B} are chosen to be semi-isolated due to the high contamination of non-QCD background and possible signal in region A and B. Figure 1.16 shows R_{QCD}^{iso} as a function of the lepton isolation selections (black points). The difference between R_{QCD} and R_{QCD}^{iso} in the vicinity of the standard cut value is then assigned as a systematic uncertainty on R_{QCD} . Using the point where the cuts on the lepton isolation are twice their standard values, a systematic uncertainty of 15% is found. The plot in Figure 1.16 is made at preselection level, similar plots using the full selection for the two categories are in Appendix B.

An additional method, used as a crosscheck, considers calculating R_{QCD} as the ratio between the estimated QCD contributions in region A and B. Here the non-QCD contributions are once more subtracted from data. However the large contribution of this non-QCD background, along with lack of statistics and possible signal contamination, lead to this method being only used as a cross check. Table 1.12 shows a comparison between R_{QCD} and R_{QCD}^{AB} for the two categories at the preselection stage of the cutflow, where signal contamination is negligible. Agreement is seen between R_{QCD} values in the two regions, within statistical uncertainties.

The difference in MMC_{mass} shape observed between the OS and SS anti-isolated regions (C and D) is shown in Figure 1.17. This effect is within the uncertainty on R_{QCD} of the ABCD method, hence no correction factor is applied to the mass shape. We assume, however, that there could be the same shape difference in the isolated regions, a shape uncertainty is then assigned to region B to take into account this deviation. Further shape uncertainties due to non-QCD background subtraction are found to be negligible. The uncertainty due to the use of an isolation requirement at trigger level is discussed in Appendix A and is found to be negligible.

1.4 Results

1.4.1 LHC Procedure For Limits Setting

A detailed description of the LHC procedure for Higgs search can be found in [?, ?], in the following a brief summary is given. Statistical tests are used to quantify an observation or to set an exclusion limit, in search for new phenomena, hypothesis testing is performed by means of two hypothesis: the *background only* H_0 and the *signal+background* H_1 . As it has already been outlined in section 1.1.2, any

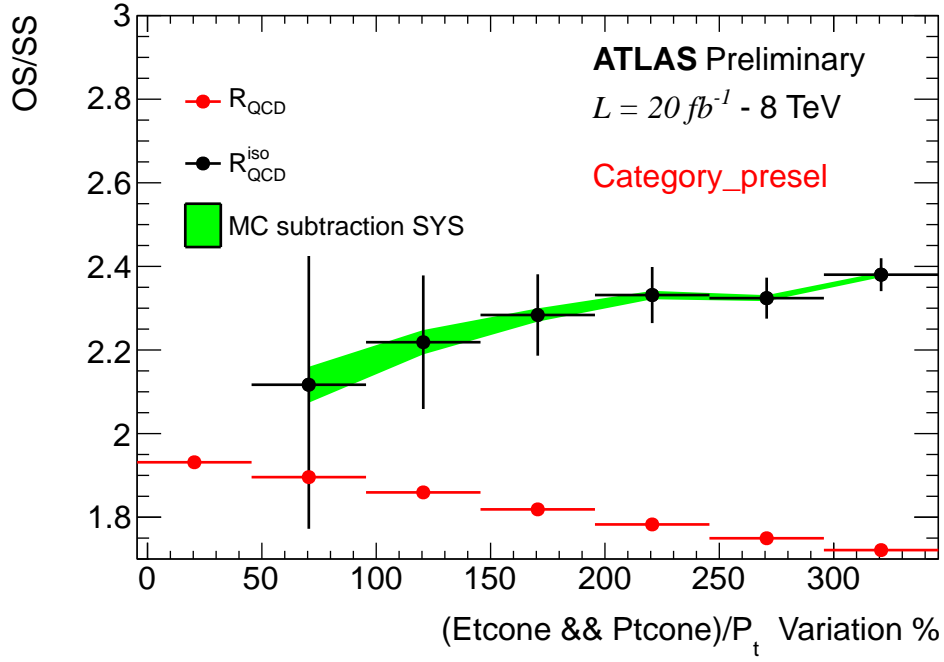


Figure 1.16: OS/SS ratio as a function of lepton isolation variable selections. The selections are varied as a percentage relative to the standard lepton isolation cut values (0 in the plot). The red points show the anti-isolated scale factor R_{QCD} , i.e. the ratio between regions C and D. The black points show the isolated scale factor, which is defined as the ratio between region \hat{A} and \hat{B} , where the leptons have isolation values larger than the nominal value but smaller than the sliding cut on X axis.

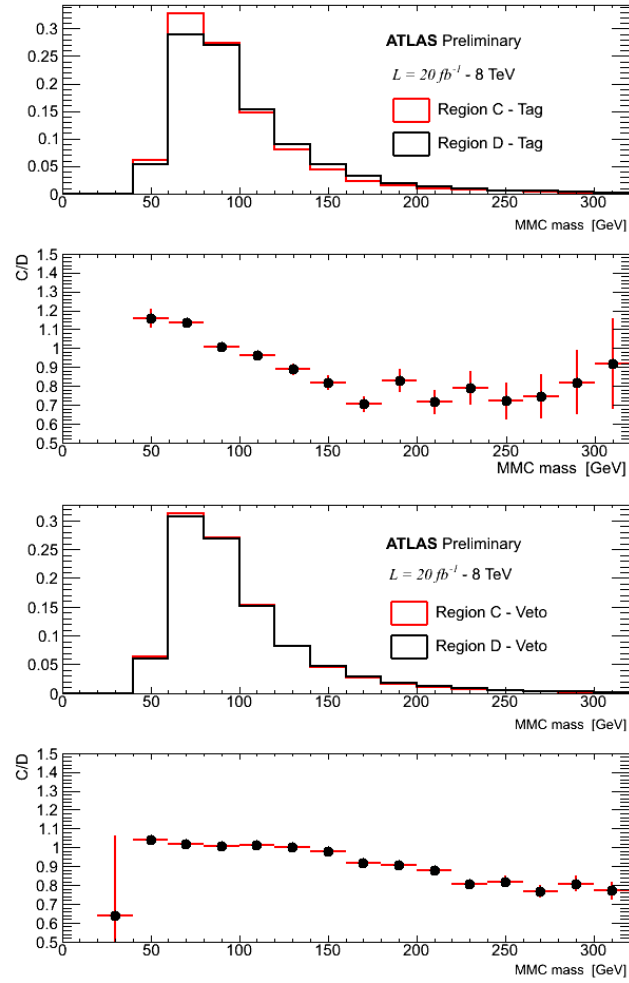


Figure 1.17: Shape differences for the b-tag and b-veto categories between the ABCD regions C and D.

Generator	Process	Uncertainty
ALPGEN	$Z \rightarrow \tau\tau/ee/\mu\mu$	$\pm 5\%$
POWHEG	$t\bar{t}$	$\pm 5.5\%$
ALPGEN	$W \rightarrow \tau\nu/e\nu/\mu\nu$	$\pm 5\%$
AcerMC	single top	$\pm 13\%$
HERWIG	dibosons	$\pm 6\%$
SHERPA	$bbA/h/H$ ($m_A \geq 120$ GeV)	$-(< 20)\%, +(< 9) \%$
SHERPA	$bbA/h/H$ ($m_A = 110$ GeV)	$-(< 25)\%, +(< 9) \%$
SHERPA	$bbA/h/H$ ($m_A = 100$ GeV)	$-(< 28)\%, +(< 9) \%$
SHERPA	$bbA/h/H$ ($m_A = 90$ GeV)	$-(< 30)\%, +(< 9) \%$
POWHEG	$ggA/h/H$ ($m_A \leq 300$ GeV)	$< 15\%$

Table 1.13: Cross-section uncertainties for background and signal samples. The reported signal samples are all for $\tan\beta = 20$.

statistical test is based on probability distribution, once a probability density function (p.d.f.) is defined, one can calculate its value for a given set of data obtaining what is called a "likelihood". Taking the marked Poisson p.d.f. in equation (1.1) one obtain the following likelihood function:

$$\mathcal{L}(\text{data}|\mu, \boldsymbol{\theta}) = \text{Poisson}(\text{data}|\mu \cdot s(\boldsymbol{\theta}) + b(\boldsymbol{\theta})) \cdot f(\boldsymbol{\theta}|\hat{\boldsymbol{\theta}}) \quad (1.3)$$

this now describes how likely are the data under a certain hypotesis and it is only function of the parameter μ and of the nuisance parameter $\boldsymbol{\theta}$. If the hypotesis under test is unlikely to happen with the given dataset the value of \mathcal{L} is decreasing, one can define which is the best value of a parameter that describes the data via maximising the likelihood, obtaining a so called maximum likelihood estimator. The Poisson distribution in equation (1.3) stands for a product of Poisson probabilities to observe events in the bin i of an histogram:

$$\prod_i \frac{(\mu s_i + b_i)^{n_i}}{n_i!} e^{-\mu s_i - b_i}$$

while the $f(\boldsymbol{\theta}|\hat{\boldsymbol{\theta}})$ is the p.d.f. for a given set of nuisance parameter $\boldsymbol{\theta}$ with their best estimate $\hat{\boldsymbol{\theta}}$.

To compute the compatibility of the data with the H_0 and H_1 hypotesis and then exclusion limits, one needs to define a test statistic. The test statistic, which has already been mentioned in section 1.1.2, is a function of the data which returns a real value. One can in principle use any test statistic, however, given the size of the test (probability to reject the null hypotesis when is true) one would like to have a test statistic which has the highest power $1 - \beta$ possible (probability to reject the null hypotesys when it is false). Figure 1.18 shows an example of the distribution of an hipotetical test statistic for two hypotesis. It has been shown by Neuman-P [that in case of simple hypotesis (probability model without any parameter), then the test statistic with the highest power is the ratio of the likelihood calculated with the two hypotesis. The standard procedure at the LHC is to use the following test

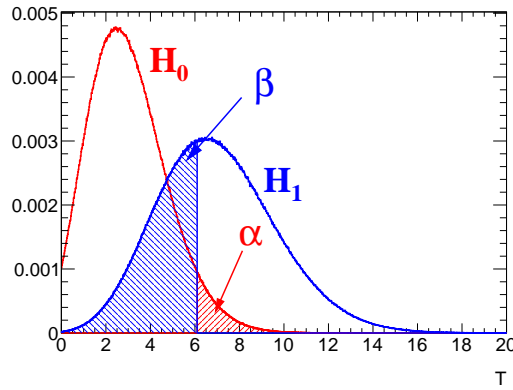


Figure 1.18: Example of a test statistic which in this case is just the total number of events of a counting experiment. Under the hypothesis H_0 are expected four events, while under the H_1 seven events are expected.

statistic \tilde{q} based on the likelihood ratio:

$$\tilde{q}_\mu = -2 \ln \frac{\mathcal{L}(\text{data} | \mu, \hat{\boldsymbol{\theta}}_\mu)}{\mathcal{L}(\text{data} | \hat{\mu}, \hat{\boldsymbol{\theta}})} \quad \text{with the constraint} \quad 0 \leq \hat{\mu} \leq \mu$$

where $\hat{\mu}$ and $\hat{\boldsymbol{\theta}}$ are the maximum likelihood estimators for μ and $\boldsymbol{\theta}$ given the data, whereas $\hat{\boldsymbol{\theta}}_\mu$ is the maximum likelihood estimator of $\boldsymbol{\theta}$ given the data but considering a signal strength of value μ , \tilde{q}_μ is increasing with increasing disagreement between data and the μ hypothesis under test. The procedure for limits setting follows five steps:

1. The signal hypothesis with signal strength μ is assumed, under this assumption a set of *pseudo-data* is generated for different values of μ .
2. \tilde{q}_μ is calculated for each of the *pseudo-dataset* and each signal hypothesis generating the expected probability density function for \tilde{q}_μ given μ , $f(\tilde{q}_\mu | \mu, \hat{\boldsymbol{\theta}}_\mu, H_1)$.
3. One does the same thing for the null hypothesis, generate pseudo-data with the distribution of background only and obtain the $f(\tilde{q}_\mu | \mu = 0, \hat{\boldsymbol{\theta}}_0, H_0)$.
4. Once the p.d.f. for the signal and signal + background hypothesis is obtained, one can define for a given dataset (that can be this time real data or again pseudodata) two p-values for any given value of μ , which are the probability to obtain data less compatible with the hypothesis in consideration:

$$p_{s+b} = P(\tilde{q}_\mu > \tilde{q}_\mu^{\text{observed}} | H_1)$$

$$p_b = P(\tilde{q}_\mu > \tilde{q}_\mu^{\text{observed}} | H_0)$$

The ratio of this two probability is what is called the $CL_s = p_{s+b}/p_b$.

5. If for a given μ is obtained $CL_s \leq \alpha$ one states that the signal hypothesis (with that μ) is excluded with $(1 - \alpha)$ CL_s confidence level. To get the 95% confidence level upper limit on μ , denoted as μ^{95} one adjust μ until $CL_s = 0.05$.

This is a quite complicated prescription, however its interpretation is not so different from the usual Neyman Costruction [] of confidence intervals: for each μ is possible to define \tilde{q}_μ^{95} for which the probability $P(\tilde{q}_\mu \geq \tilde{q}_\mu^{95} \mid \mu, H_1) = 5\%$, this means that if H_1 is true one expects $\tilde{q}_\mu \geq \tilde{q}_\mu^{95}$ in 5% of the cases. With this definition μ^{95} would be the value of μ that for the observed data gives $\tilde{q}_\mu = \tilde{q}_\mu^{95}$, or in other words a p-value of 5%. By costruction, rejecting $\mu > \mu^{95}$ the hypotesis H_1 will be rejected, when is true, at most 5% of the time, given the fact that \tilde{q}_μ is increasing with increasing discrepancy of the hypotesis with data. The difference with the CL_s prescription is that there the ratio of p-values is used to define μ^{95} : it has been shown that this choice protect the upper limit from down fluctuation of the data, giving a conservative estimate in any case.

The expected median exclusion upper-limit and its error are evaluated by generating a large sample of *background only* pseudo-data and calculating CL_s and μ^{95} for each of them, from the distribution of μ^{95} one can get the mean excluded value and its error.

The actual implementation in the limit framework of the ABCD method follows that suggested in [62]. Here three free parameters are fitted: number of multi-jet events in region B, N_B^{QCD} , factor that extrapolates from SS region to OS regions, R_{QCD} , and the factor that extrapolates from isolated to anti-isolated regions R_{BD} . Neglecting signal contributions, the following equations can be written for the event yield of the B,C and D control regions:

$$\begin{aligned} N_B &= N_B^{BKG} + N_B^{QCD} \\ N_C &= N_C^{BKG} + N_B^{QCD} \times R_{QCD} \times R_{BD} \\ N_D &= N_D^{BKG} + N_B^{QCD} \times R_{BD} \end{aligned}$$

where N^{BKG} represent the prediction of non-QCD background in the relative regions. The estimate of multi-jet event yield in SR will be then $N_B^{QCD} \times R_{QCD}$. This method is particularly powerful because in the best fit of R_{QCD} the statistical and systematics uncertainty for non-QCD backgrounds and data will be considered.

1.4.2 Exclusion Limits

The procedure described in section 1.4.1 is the one used for the SM Higgs, for the MSSM further complication arises: one has to consider in the signal model three Higgses, in a particular scenario the masses and cross section are defined for a given point in the $\tan\beta - m_A$ plane, so the procedure described previously has to be repeated for each point in that plane. For the m_h^{max} scenario exclusion limits are derived by calculating 95% CLs limits on the cross section of $bb/gg \rightarrow A/H/h \rightarrow \tau_{lep}\tau_{lep}$ for 15 $\tan\beta$ values (between² $\tan\beta = 5$ and $\tan\beta = 60$), a point in the $\tan\beta - m_A$ plane is excluded if $\mu^{95} \leq 1$ for that point, a linear interpolation is used to determine the $\tan\beta$ excluded for a given m_A . The procedure is followed for a set of different CP-odd Higgs masses m_A : 90, 100, 110, 120, 125, 130, 140, 150, 170, 200, 250 and 300 GeV. The event yield has been compared between data and

²The set of $\tan\beta$ values used is 5, 8, 10, 13, 16, 20, 23, 26, 30, 35, 40, 45, 50, 55, 60

Sample	b-tag category			b-veto category		
	N(event)	Stat.	Syst.	N(event)	Stat.	Syst.
$Z \rightarrow \tau\tau$	418	± 6		54680	± 60	
$t\bar{t}$	330	± 10		2228	± 25	
Multijet	100	± 15		3940	± 330	
$W \rightarrow \ell\nu$	10	± 6		650	± 100	
Diboson	13.1	± 1.8		2921	± 27	
Single Top	90	± 6		443	± 15	
$Z \rightarrow \ell\ell$	0.9	± 0.8		430	± 40	
Total	962	± 16		65290	± 180	
Signal						
Data	-	-	-	-	-	-

Table 1.14: Comparison between yield in data and the one expected from our background model, b-tag and b-veto category are reported separately.

background expectation in bins of the MMC_{mass} distribution. The bin sizes were chosen such that there are enough events left for the asymptotic approximation [69] to hold. Table 1.14 compares yields between data and background model for the two categories at the final stage of the cut flow. Additionally, figure 1.19 shows the MMC_{mass} distributions for the full b-tag and b-veto categories.

The resulting exclusion limit on the MSSM parameter space (m_A vs $\tan\beta$ plane) are interpreted within the m_h^{max} benchmark scenario [34] and shown in Figure C.12. The expected and observed 95% confidence-level limits are shown as solid and dashed black lines, the green and yellow bands correspond to the 1σ and 2σ error bands. The analysis is sensitive to MSSM Higgs production of $\tan\beta \geq 13$ for the range $90 < m_A < 200$ GeV. The observed limit is presently unknown.

The outcome of the search is also interpreted in the generic case of a scalar boson produced in the $pp \rightarrow gg \rightarrow \phi$ or $pp \rightarrow bb\phi$ mode and decaying to a di-tau pair. These limits are shown in Figure 1.21 for the b-associated and the gluon-gluon fusion production mechanisms separately. All signal systematic uncertainties are implemented in the likelihood for this limit derivation, more information about the limits and their validation can be found in Appendix C.

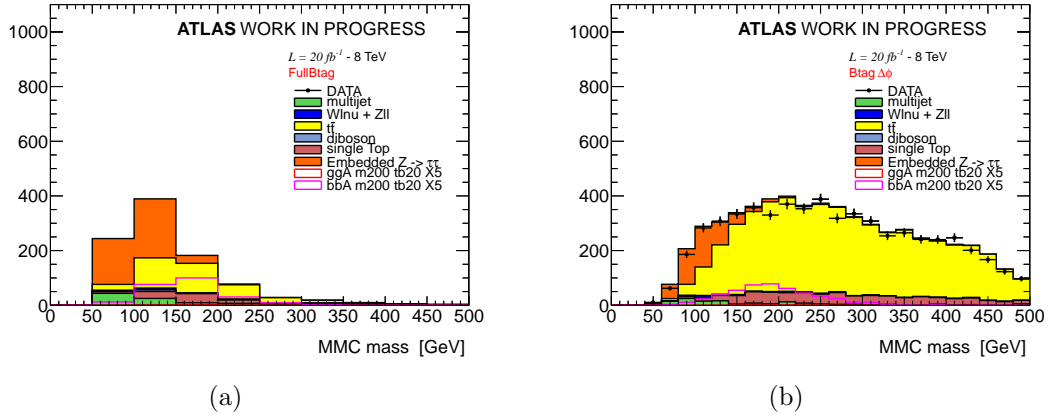


Figure 1.19: Distributions of the MMC_{mass} mass for (a) the full b-tag category selection and (b) the full b-veto selection.

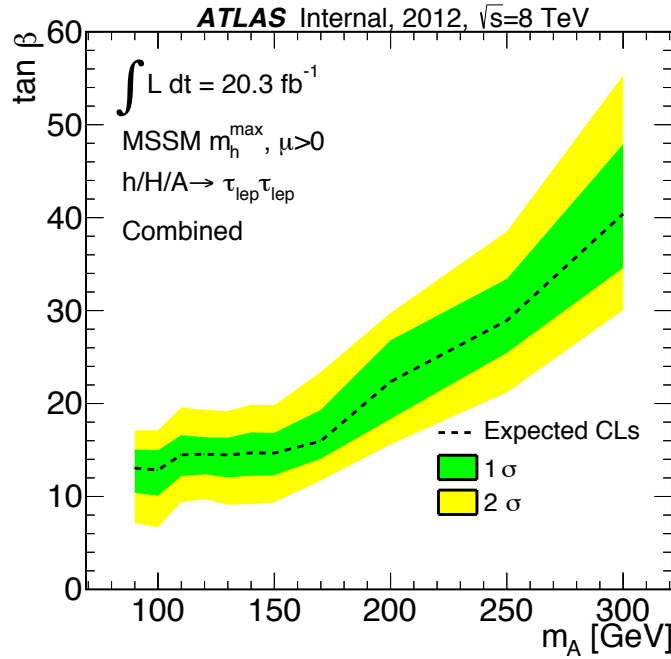


Figure 1.20: Expected exclusion limits for MSSM Higgs boson production in the MSSM m_A vs $\tan \beta$ parameter space. Combination between b-tag and b-veto category.

Figure 1.21: Limits on the production of a scalar particle decaying to a di-tau pair and produced in association with b quarks (left) or via gluon-gluon fusion (right). Still not produced...

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Appendix A

QCD Trigger bias

The single electron trigger (`EF_e24vhi_medium1`) used in this analysis includes the following isolation cut: $p_T(\text{cone})20/p_t < 0.1$. This means that the kinematical distributions in the anti-isolated ABCD regions will be biased due to a reduced efficiency for high p_t electrons. This unwanted feature may potentially effect the R_{QCD} factor, as the OS/SS ratio may differ due to different p_t spectrum. To check the effect on R_{QCD} the ABCD method has been repeated using the `EF_e24vh_medium1` trigger, which doesn't include isolation and hence is prescaled in 2012 8 TeV data. The prescale of a factor around 100 has been taken in consideration using trigger information stored in D3PD. Figure A.1 shows $p_T(\text{cone})$ distribution for the standard and test triggers. The comparable event yields in the region $p_T(\text{cone})20/p_t < 0.1$ show that the prescale normalisation for the test trigger has been correctly accounted for.

Figure A.2 shows the behaviour of R_{QCD} factor as a function of isolation variable for the two triggers under test. As the deviations are within statistical uncertainty, we conclude that the isolation requirement used at trigger level does not influence the OS/SS ratio. Hence no further systematic uncertainty is assigned.

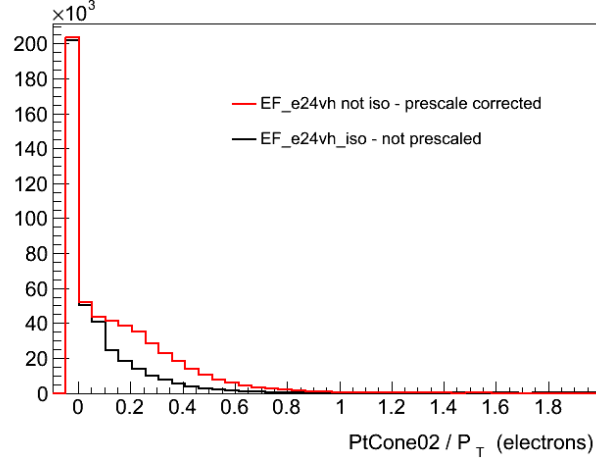


Figure A.1: $p_T(\text{cone}) / p_t$ distribution for the analysis standard trigger and its corrispective without isolation requirement, this second trigger is rescaled according to prescales information.

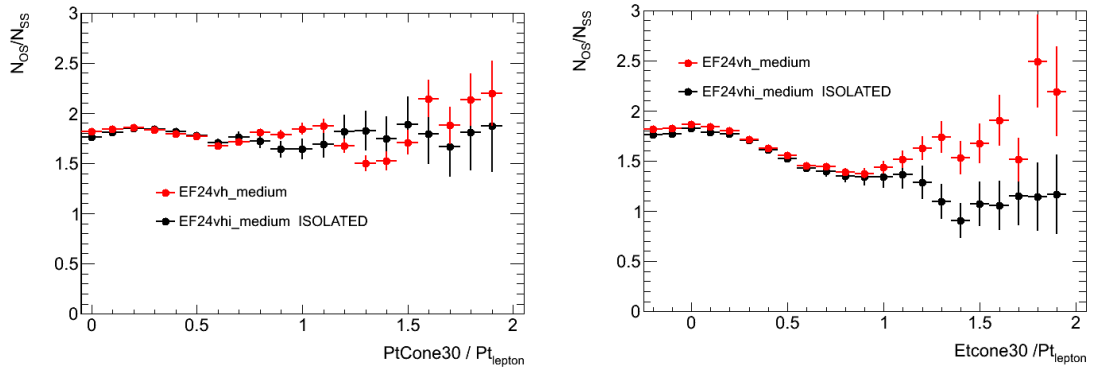


Figure A.2: R_{QCD} as a function of (a) $p_T(\text{cone}) / p_t$ and (b) $E_T(\text{cone}) / PT$ for the electron triggers with and without isolation requirement.

Appendix B

QCD Additional Plots

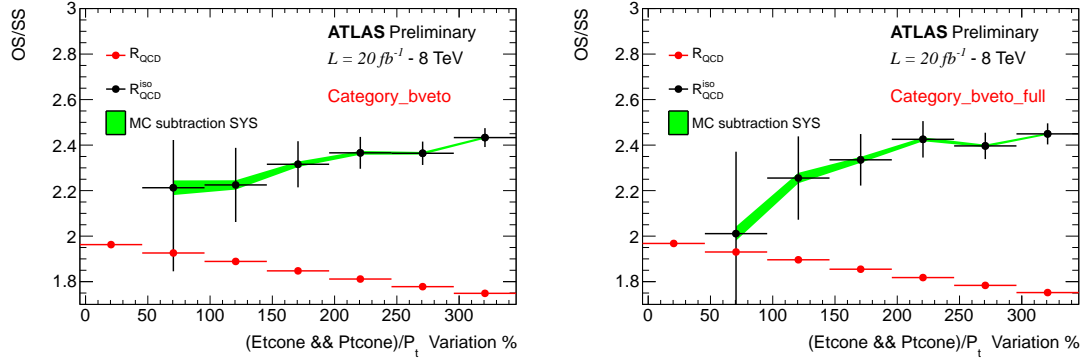


Figure B.1: OS/SS ratio as a function of lepton isolation variable selections (a) after the requirement of zero b-jets and (b) for the full b-veto selection. The isolation selections are varied as a percentage relative to the standard lepton isolation cut values (0 in the plot). The red points show the anti-isolated scale factor R_{QCD} , i.e. the ratio between regions C and D. The black points show the isolated SF, which is defined as the ratio between region \hat{A} and \hat{B} , where the leptons have isolation values larger than the nominal value but smaller than the sliding cut.

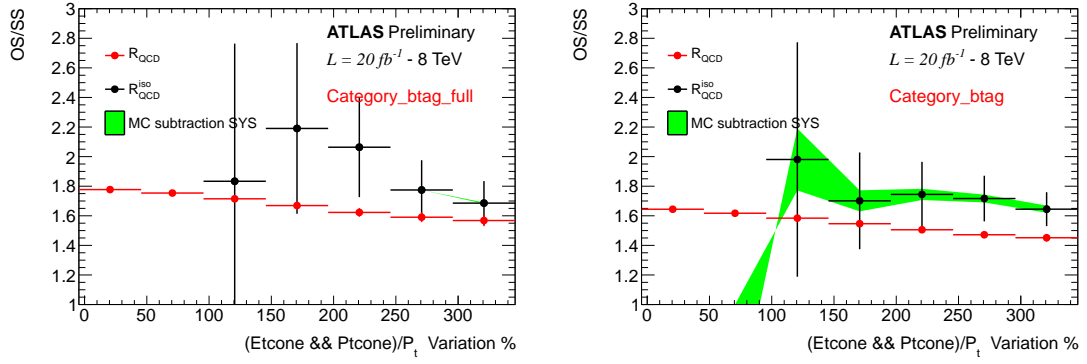


Figure B.2: OS/SS ratio as a function of lepton isolation variable selections (a) after the requirement of one b-jet and (b) for the full b-tag selection. The isolation selections are varied as a percentage relative to the standard lepton isolation cut values (0 in the plot). The red points show the anti-isolated scale factor R_{QCD} , i.e. the ratio between regions C and D. The black points show the isolated SF, which is defined as the ratio between region \hat{A} and \hat{B} , where the leptons have isolation values larger than the nominal value but smaller than the sliding cut.

Appendix C

Limits cross checks and additional plots

During the limit derivation, the systematic uncertainties (translated in term of nuisance parameter) are fitted to the data, several checks are have been performed to ensure the quality of our statistical model. If some of the nuisance parameters are significantly different from their nominal value (ie before fit), it can be symptomatic of an important mis-modelling and must be carefully scrutinised. Also the correlation between the nuisance parameter and the signal strength (which reflects the degeneracy of the fit) is an important element to keep under control, in fact it reflects how well the data can constraint the nuisance parameters. Finally, to have a feeling of the behaviour of the likelihood at its minimum one can check the negative log likelihood profile in each nuisance parameter direction. We performed all this checks using the package NuisanceCheck-00-00-05 described in [73].

The signal and background model with the signal normalisation free (unconditional fit) is fitted to the data, in the following example plots the signal is assumed for the mass point $m_A = 120$ GeV, $\tan\beta = 20$, The difference between the post fit and pre-fit value of the nuisance parameter along with their errors is shown in figure C.1-C.3, respectively for the b-veto, b-tag channel and the combination between them. Figure C.4-C.6 shows the correlation matrix between the nuisance parameters respectively for the veto category, tag category and the combination between the two channels. Figure C.7-C.9 shows the behaviour of the likelihood at its minimum for each of the nuisance parameters (while a nuisance parameter is investigated the other are kept constant) for the combination between the channels.

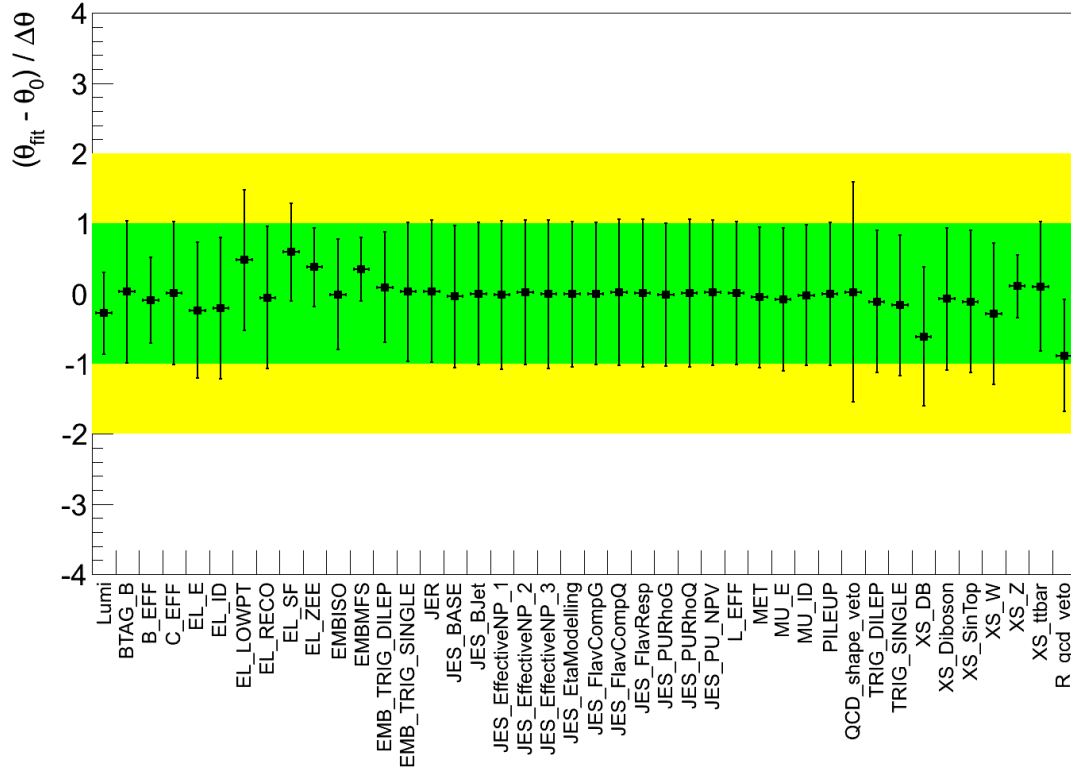


Figure C.1: Pulls for nuisance parameter considered in the fit, $m_A = 120$ GeV, $\tan\beta = 20$, for the veto channel.

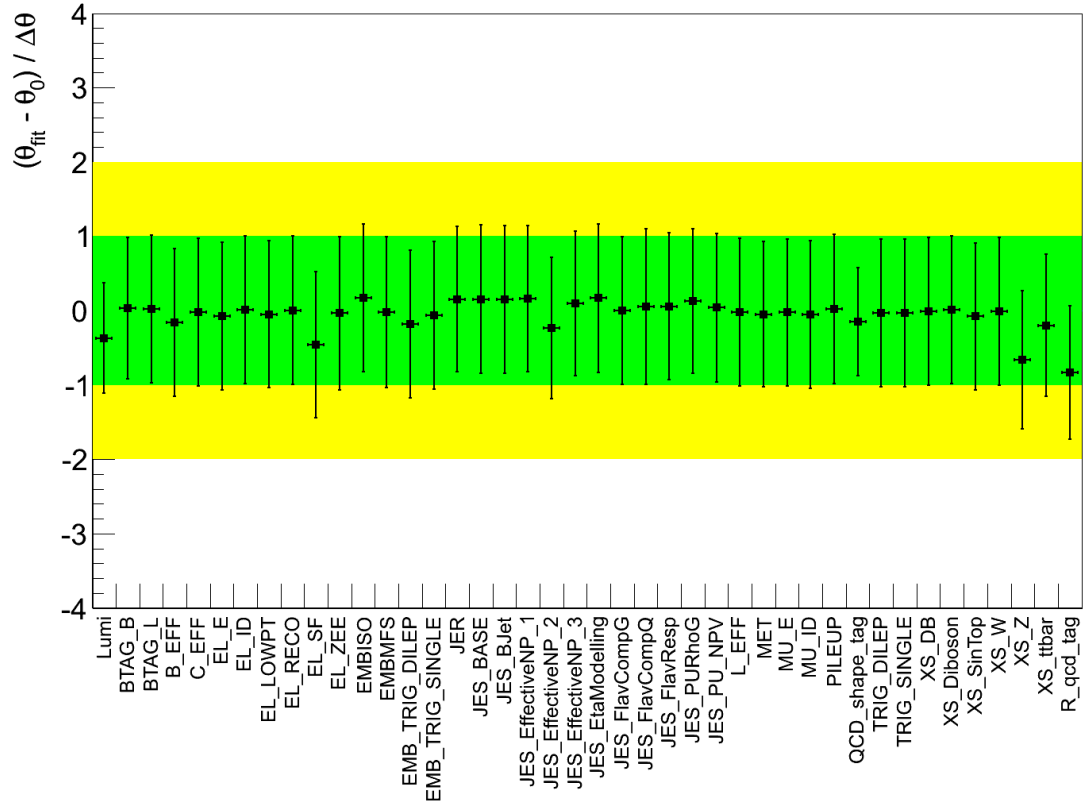


Figure C.2: Pulls for nuisance parameter considered in the fit, $m_A = 120$ GeV, $\tan\beta = 20$, for the tag channel.

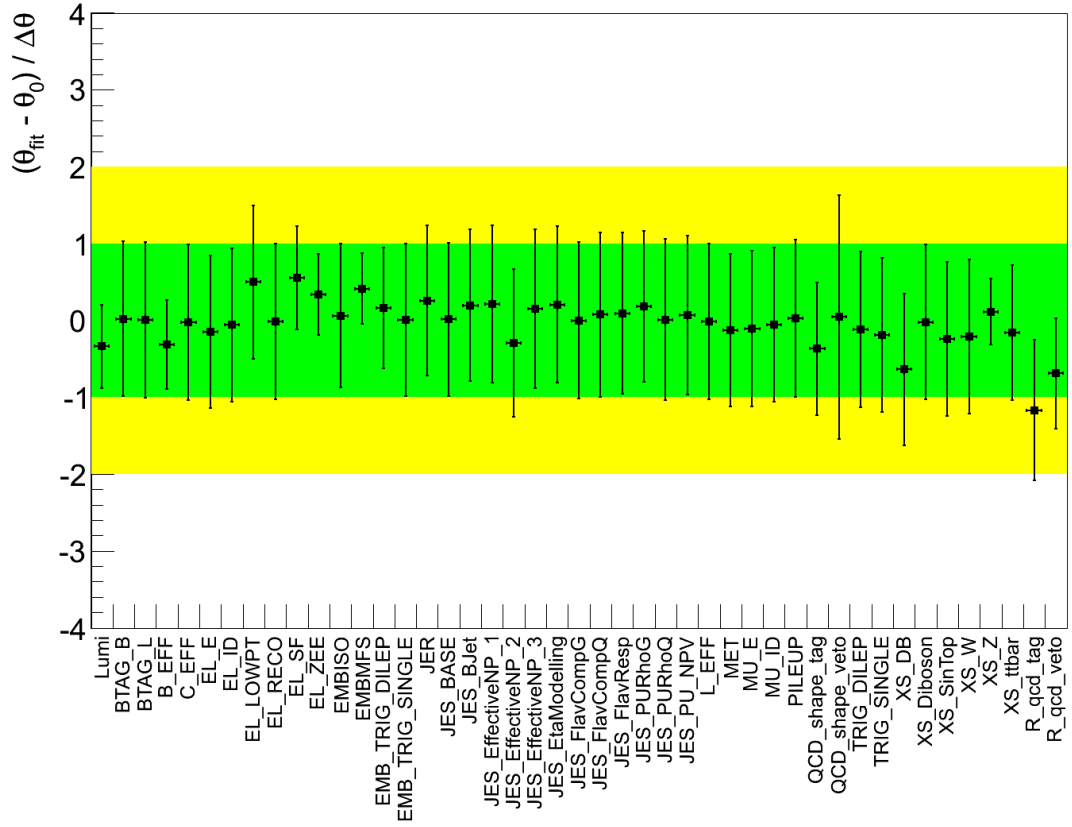


Figure C.3: Pulls for nuisance parameter considered in the fit, $m_A = 120$ GeV, $\tan\beta = 20$, combination between the two channel.

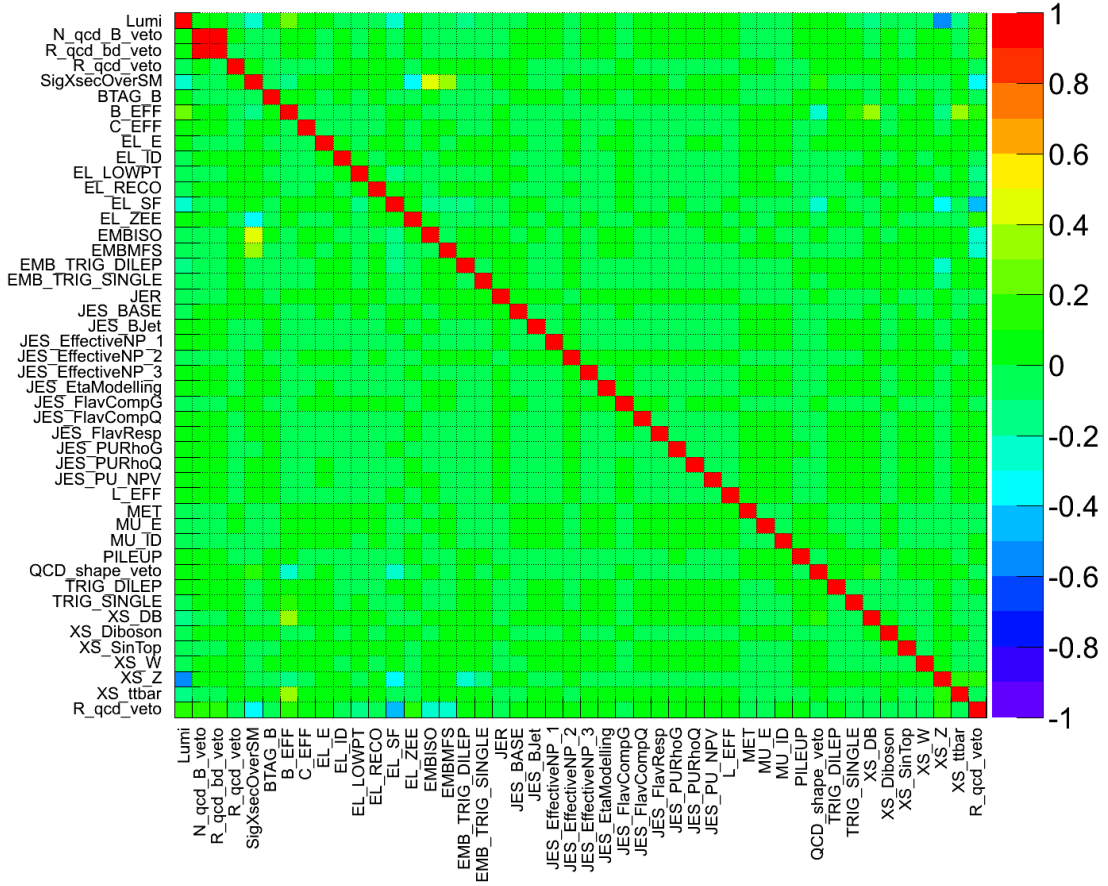


Figure C.4: Correlation matrix for nuisance parameters considered in the fit. The point $m_A = 120$ GeV and $\tan\beta = 20$ is considered for the tag channel.

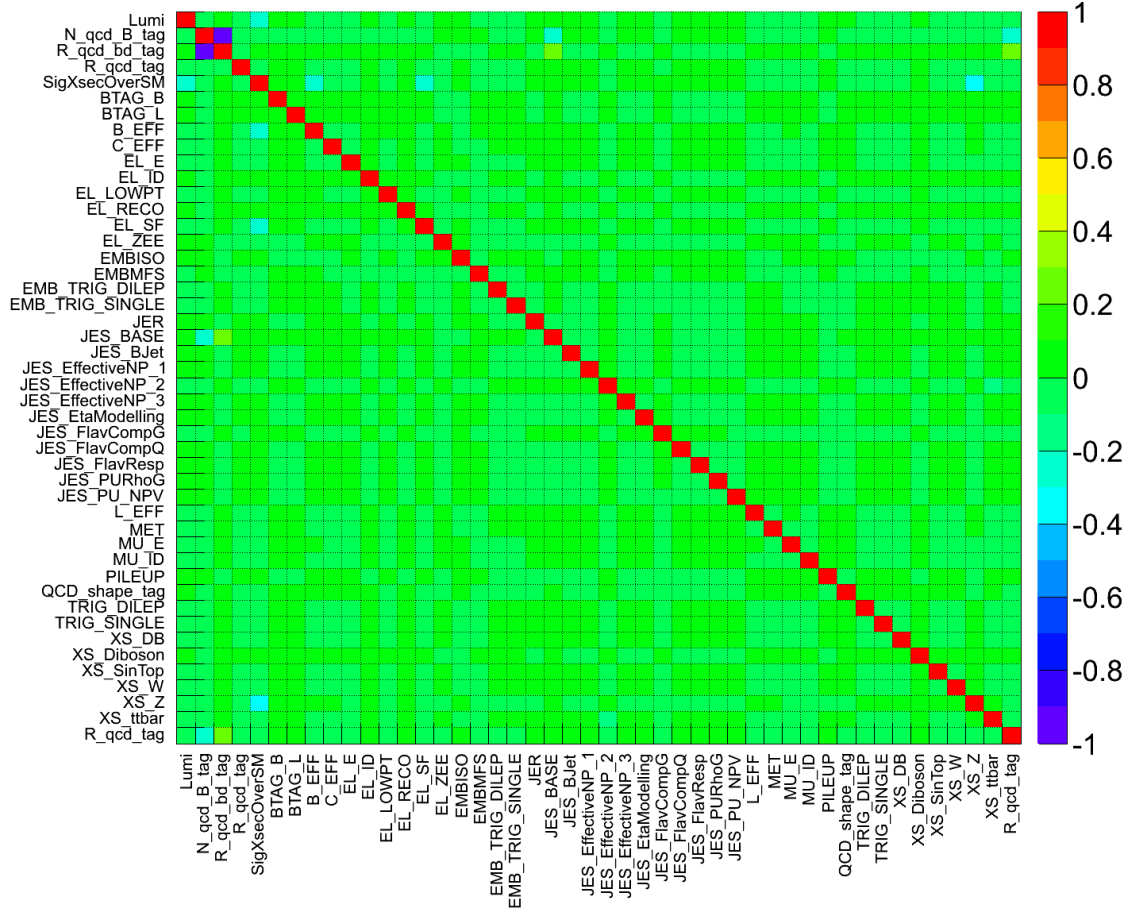


Figure C.5: Correlation matrix for nuisance parameters considered in the fit. The point $m_A = 120$ GeV and $\tan\beta = 20$ is considered for the tag channel.

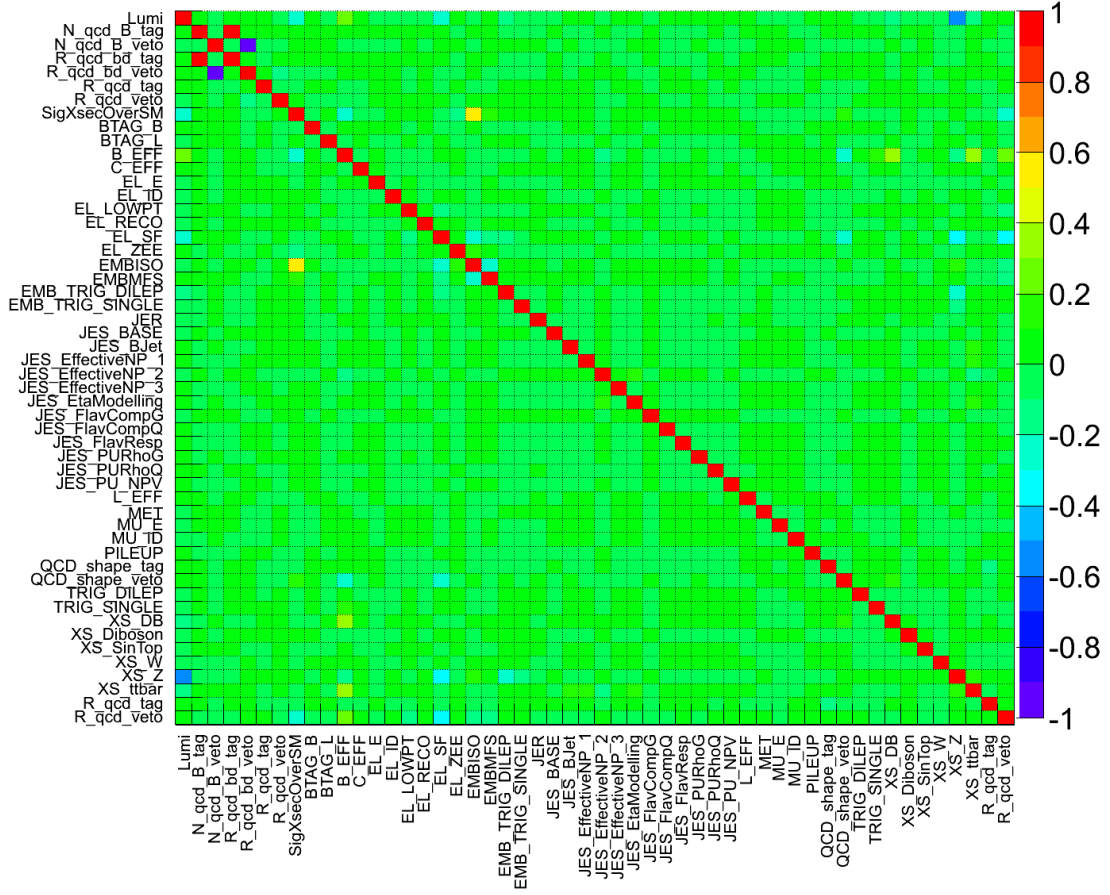


Figure C.6: Correlation matrix for nuisance parameters considered in the fit. The point $m_A = 120$ GeV and $\tan\beta = 20$ is considered for the combination of the b-tag and b-veto channels.

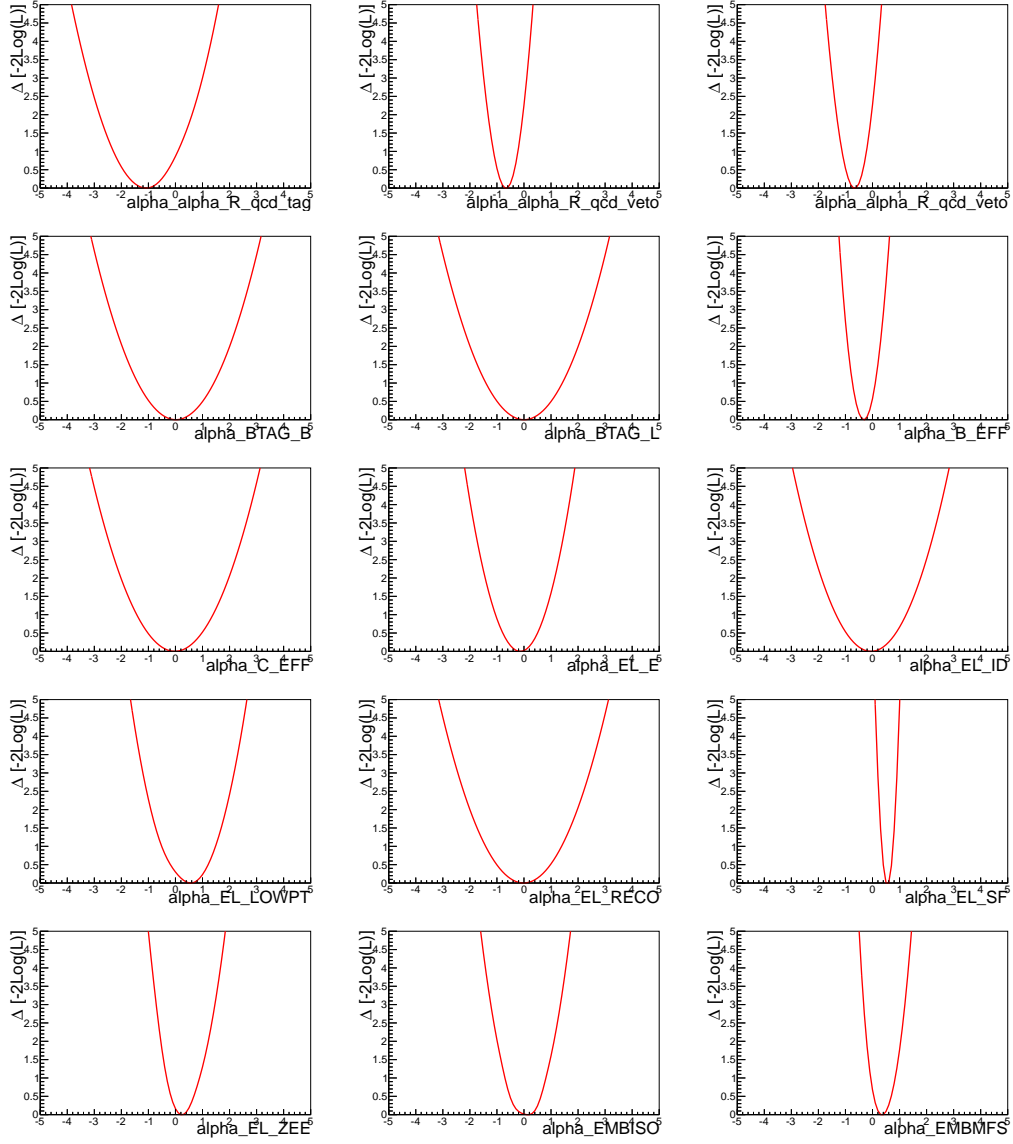


Figure C.7: Likelihood scans for nuisance parameter considered in the fit, $m_A = 120$ GeV, $\tan\beta = 20$, combination between the two channel.

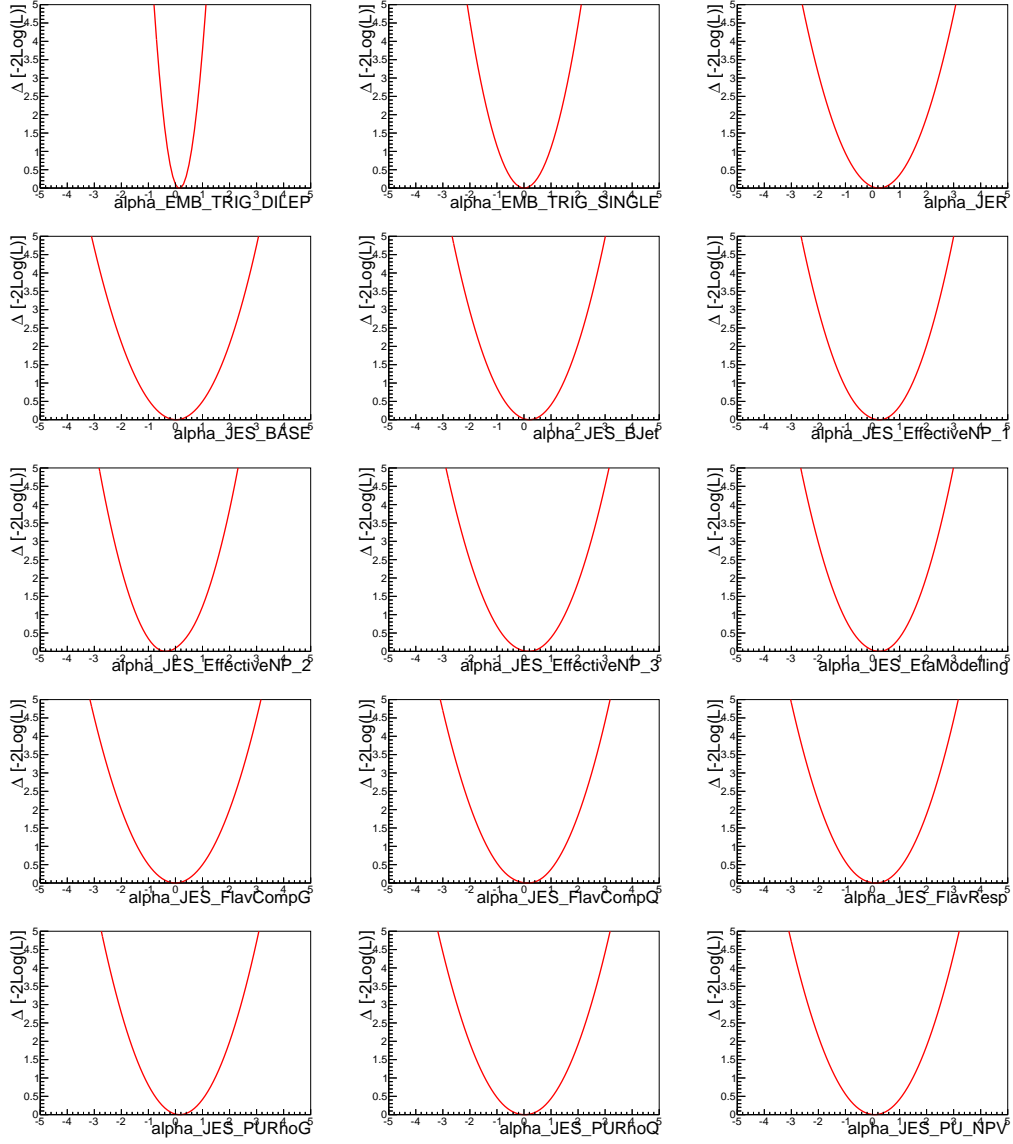


Figure C.8: Likelihood scans for nuisance parameter considered in the fit, $m_A = 120$ GeV, $\tan\beta = 20$, combination between the two channel.

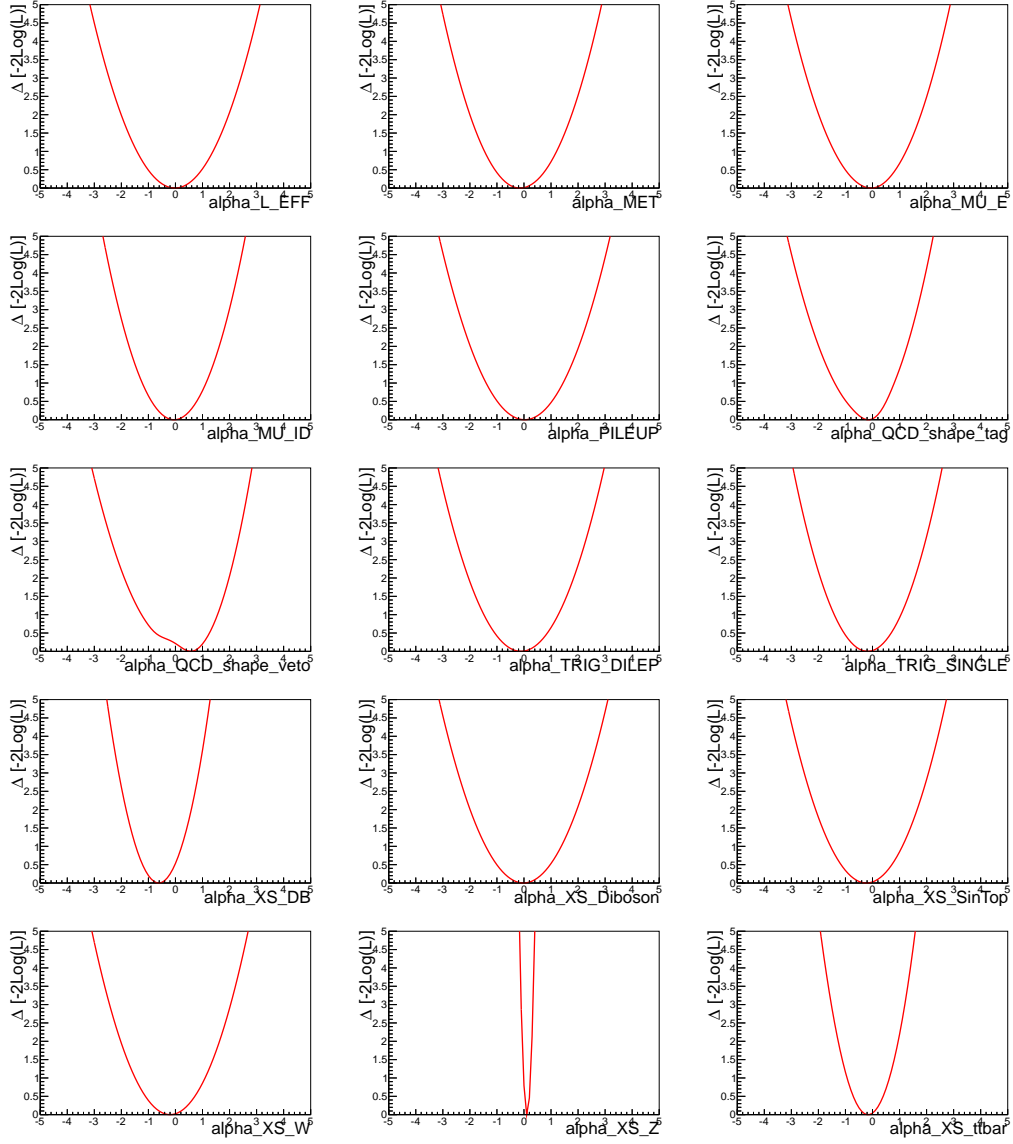


Figure C.9: Likelihood scans for nuisance parameter considered in the fit, $m_A = 120$ GeV, $\tan\beta = 20$, combination between the two channel.

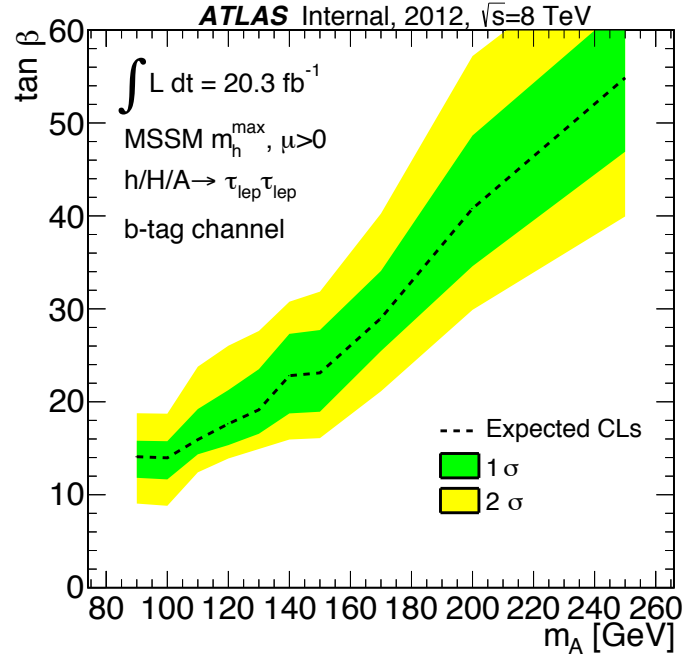


Figure C.10: Expected exclusion limits, using the b-tag channel, for MSSM Higgs boson production in the MSSM m_A vs $\tan \beta$ parameter space.

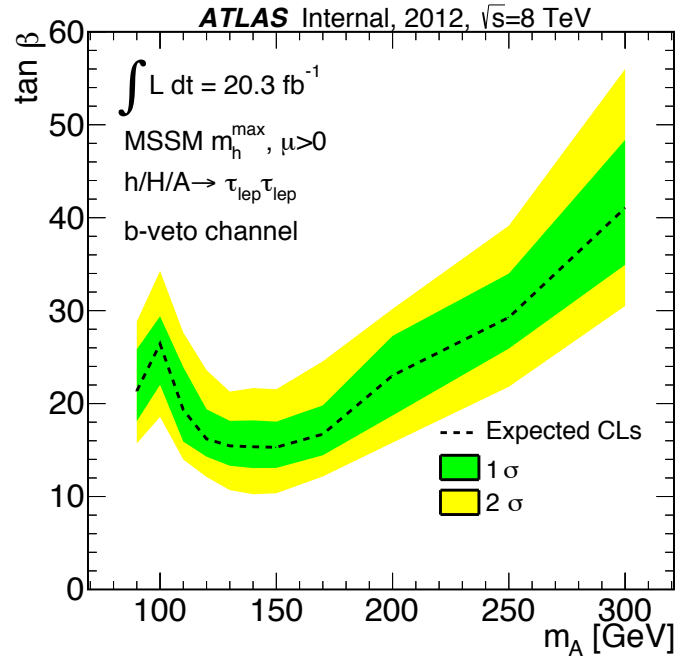


Figure C.11: Expected exclusion limits, using the b-veto channel, for MSSM Higgs boson production in the MSSM m_A vs $\tan \beta$ parameter space.

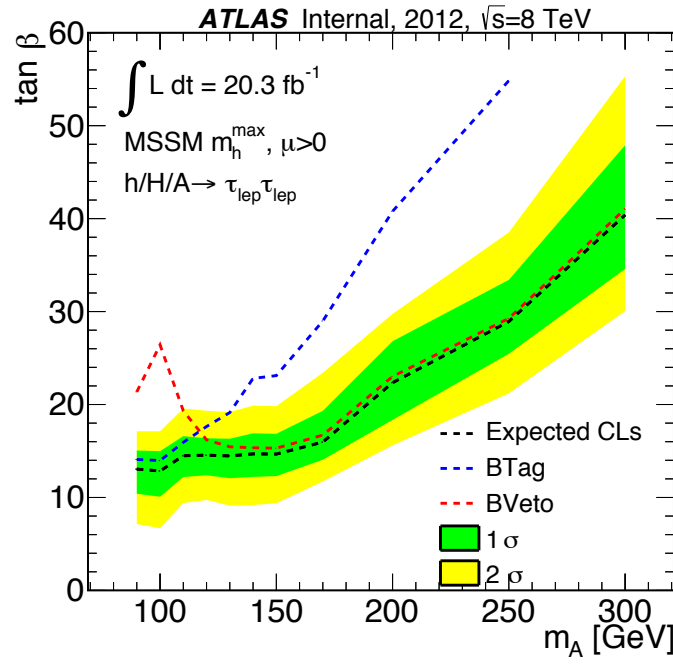


Figure C.12: Expected exclusion limits for MSSM Higgs boson production in the MSSM m_A vs $\tan \beta$ parameter space. Limits are compared for the b-tag and b-veto channel with the combined limit from both channels.