

Lecture 16 Dynamic Programming

CS 161 Design and Analysis of Algorithms
Ioannis Panageas

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- [CLRS] Chapter 15

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- [CLRS] Chapter 15
- [Kleinberg and Tardos], Chapter 6

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 - ► This requires careful indexing of subproblems

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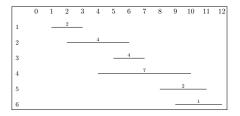
	D&C /	Memoized	Dynamic
	Recursion	Recursion	Programming
Basic approach	recursion	recursion	iteration
Use of recurrence	top-down	top-down	bottom-up
Store subproblem solutions	No	Yes	Yes
Space needed for stack	Yes	Yes	No

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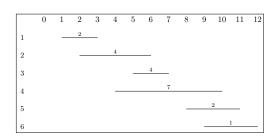
- ▶ Input: Collection of *n* Intervals represented by Start Time, Finish Time, and Value: (s(j), f(j), v(j)).
- Problem: Find a non-overlapping set of intervals that maximizes the total value.
- Example:

j	s(j)	f(j)	v(j)
1	1	3	2
1 2 3	2	6	4
3	5	7	4
4	4	10	7
4 5	8	11	2
6	9	12	1



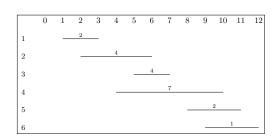
1. Sort the intervals by finishing time.

j	s(j)	f(j)	v(j)
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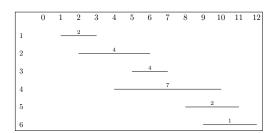
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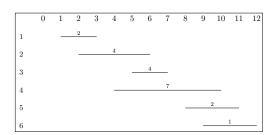
- 1. Sort the intervals by finishing time. (Here they are already sorted).
- 2. For each interval j, define p(j) to be:

j	s(j)	f(j)	v(j)
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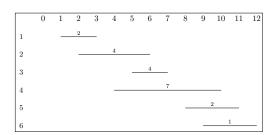
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 - ► The highest-numbered interval i < j that does not overlap interval j (if such an interval exists)

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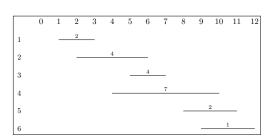
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Weighted interval scheduling problem: Preprocessing

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def OPT(j):
    if j = 0: return 0
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def Memoized_OPT(j):
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- Run a post-processing step that uses this additional information

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```
def Iterative_OPT:
    M[0] = 0
    for j = 1 to n:
        if v(j)+M[p(j)] > M[j-1]:
            M[j] = v(j)+M[p(j)]
            keep[j] = True
    else:
        M[j] = M[j-1]
        keep[j] = False
```

Computing the Optimal Set of Intervals, continued

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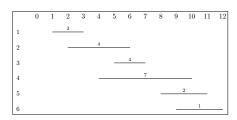
Once we have computed the two arrays M[] and keep[]:

Computing the Optimal Set of Intervals, continued

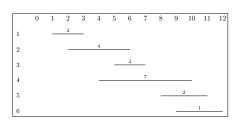
Once we have computed the two arrays M[] and keep[]:

```
def PrintSolution(j):
    if j = 0: return;
    if keep[j]:
        PrintSolution(p(j))
        print(j)
    else:
        PrintSolution(j-1)
PrintSolution(n)
```

j	s(j)	f(j)	v(j)	p(j)
1	1	3	2	0
2	2	6	4	0
3	5	7	4	1
4	4	10	7	1
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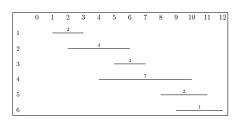


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Selected intervals: $\{1,4\}$.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
1		_	2	_									
2			_		4		_						
3						_	4	_					
4					_			7			_		
5									_		2	_	
6										_		1	_

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The array M contains the solutions of the subproblems. We will refer to this as the memoization table

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 - ▶ A recurrence on subproblem solution that enable the solution to any subproblem *P* to be computed from the solutions to some of the subproblems that precede *P* in the ordering

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We saw this in the case of the weighted interval scheduling problem.

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- 3. The goal: the solution to the original problem, expressed in terms of certain values of the function from item #2.
- 4. The initial value(s) / condition(s): values of the function from item #2 for small subproblems that do not need to be decomposed further.

- 1. The subproblem domain: the set of indices of the subproblems.
- A precise definition of of what the function mapping each subproblem to its solution represents. (Equivalently, a precise definition of what each entry in the memoization table represents.)
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- The recurrence: a formula describing how to compute the solution of a subproblem from the solutions to smaller subproblems.

The solution to a Dynamic Programming Solution is specified by writing:

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- The recurrence: a formula describing how to compute the solution of a subproblem from the solutions to smaller subproblems.

Here, "smaller" means "earlier in the ordering"

Solution to Weighted-Interval Scheduling

1. Subproblem domain: $\{0,\ldots,n\}$

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- 3. Goal: M(n)
- 4. Initial value: M(0) = 0
- 5. Recurrence: $M(j) = \max(v(j) + M(p(j)), M(j-1))$ for $j \ge 1$. Here, p(j) is a precomputed function defined by

$$p(j) = \begin{cases} & \text{The highest-numbered interval } i < j \text{ that does not} \\ & \text{overlap interval } j \text{ if such an interval exists} \\ & \text{0 otherwise} \end{cases}$$

(Note: This problem is usually called the subset-sum problem, but sometimes that name is used for a different problem. Here we call it the truck-loading problem.)

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Problem definition:

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- Truck has weight limit of W.
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1. Heaviest boxes first:

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$$W = 100$$
, $w_1 = 51$, $w_2 = 50$, $w_3 = 50$

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2. Lightest boxes first:

1. Heaviest boxes first:

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, $w_1 = 51$, $w_2 = 50$, $w_3 = 50$

2. Lightest boxes first:

$$W = 100$$
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Suppose we have i boxes and a truck with weight capacity j.

Either the optimum solution contains the last box or it doesn't.

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We will express this more formally on the next slide.

Let OPT(i,j) be the maximum weight we can get by loading from boxes 1 through i, up to the weight limit j.

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- Note that if $w_i > j$, we can't use box i, so only the second choice is available.
- ► This recurrence equation gives us the dynamic programming solution (specified on next slide)

1. Subproblem domain: $\{0,\ldots,n\}\times\{0,\ldots,W\}$

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- 2. Function / Memoization table definition: OPT(i,j) is the value of the best way of loading a subset of the first i boxes into a truck with maximum capacity j.

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- 2. Function / Memoization table definition: OPT(i,j) is the value of the best way of loading a subset of the first i boxes into a truck with maximum capacity j.
- 3. Goal: OPT(n, W)

Specifying the Solution

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- 4. Initial values:

$$\mathsf{OPT}(i,0) = 0 \quad \mathsf{for all} \ i \ge 0$$

 $\mathsf{OPT}(0,j) = 0 \quad \mathsf{for all} \ j \ge 0$

Specifying the Solution

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$$\mathsf{OPT}(i,0) = 0 \quad \mathsf{for all} \ i \ge 0$$

 $\mathsf{OPT}(0,j) = 0 \quad \mathsf{for all} \ j \ge 0$

5. Recurrence:

$$\mathsf{OPT}(i,j) = \left\{ \begin{array}{ll} \mathsf{max} \big(w_i + \mathsf{OPT}(i-1,j-w_i), \mathsf{OPT}(i-1,j) \big) & \text{if } w_i \leq j \\ \mathsf{OPT}(i-1,j) & \text{if } w_i > j \end{array} \right.$$

Truck Loading Problem DP Pseudocode: compute OPT Matrix

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This tells us the maximum possible weight, but we need to also compute which boxes to load to achieve this maximum weight ...

Introduce an new array keep[i,j], which tells us whether we keep box i when we solve the subproblem with i boxes and capacity j.

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```
def compute_opt_strategy(w):
  for i = 0 to n: OPT[i,0] = 0
  for j = 0 to W: OPT[0,j] = 0
  for i = 1 to n:
     for j = 1 to W:
        if (w[i] > j) or (w[i] + OPT[i-1, j-w[i]] \le OPT[i-1, j])
           OPT[i,j] = OPT[i-1,j]
           keep[i,j] = False
        else:
           OPT[i,j] = w[i] + OPT[i-1,j-w[i]]
           keep[i,j] = True
     return (OPT, keep)
```

Introduce an new array keep[i,j], which tells us whether we keep box i when we solve the subproblem with i boxes and capacity j.

```
def compute_opt_strategy(w):
  for i = 0 to n: OPT[i,0] = 0
  for j = 0 to W: OPT[0,j] = 0
  for i = 1 to n:
     for j = 1 to W:
        if (w[i] > j) or (w[i] + OPT[i-1, j-w[i]] \le OPT[i-1, j])
           OPT[i,j] = OPT[i-1,j]
           keep[i,j] = False
        else:
           OPT[i,j] = w[i] + OPT[i-1,j-w[i]]
           keep[i,j] = True
     return (OPT, keep)
```

Running time: $O(n \cdot W)$

```
def print_solution(OPT,keep,i,j):
    if i == 0:         return
    if keep[i,j]:
        print_solution(OPT,keep,i-1,j-w[i])
        print (i)
    else:
        print_solution(OPT,keep,i-1,j)

// Main program starts here
(OPT,keep) = compute_opt_strategy(w)
print_solution(OPT,keep,n,W)
```

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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j

	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
1	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
3	-	F	F	F	F	F	F	Т	Т	F	F	Т	Т

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j

	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ū	-	-	-	-	-	-	_	-	-	-	-	_	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
1	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
	-	F	F	F	F	F	F	Т	Т	F	F	Т	Т

Solution:

i

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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J		

	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ū	-	-	-	-	_	_	_	-	-	-	-	_	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
1	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
3	-	F	F	F	F	F	F	Т	Т	F	F	Т	T

Solution:

i

Maximum weight = 11

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
_	-	F	F	F	F	F	F	F	F	Т	T	T	T
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
J	-	F	F	F	F	F	F	Т	Т	F	F	T	T

Solution:

i

Maximum weight = 11

Keep box 3.

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	_	-	_	-	-	-	_	-	_
1	0	0	0	0	0	0	0	0	0	9	9	9	9
_	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	T	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
3	-	F	F	F	F	F	F	Т	Т	F	F	Т	Т

Solution:

i

Maximum weight = 11

Keep box 3. $\Rightarrow i = 2, j = 12 - 7 = 5$

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
1	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
J	-	F	F	F	F	F	F	Т	Т	F	F	Т	T

Solution:

i

Maximum weight = 11

Keep box 3. $\Rightarrow i = 2, j = 12 - 7 = 5$ Keep box 2.

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ü	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
1	-	F	F	F	F	F	F	F	F	Т	T	T	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
J	-	F	F	F	F	F	F	Т	Т	F	F	Т	T

Solution:

i

Maximum weight = 11

Keep box 3.
$$\Rightarrow i = 2, j = 12 - 7 = 5$$

Keep box 2.
$$\Rightarrow i = 1, j = 5 - 4 = 1$$

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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. J	
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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
1	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
	-	F	F	F	F	F	F	Т	T	F	F	Т	Т

Solution:

i

Maximum weight = 11

Keep box 3. $\Rightarrow i = 2, j = 12 - 7 = 5$

Keep box 2. $\Rightarrow i = 1, j = 5 - 4 = 1$

Do not keep box 1.

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
_	-	F	F	F	F	F	F	F	F	Т	Т	Т	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
3	-	F	F	F	F	F	F	Т	Т	F	F	Т	T

Solution:

i

Maximum weight = 11

Keep box 3. $\Rightarrow i = 2, j = 12 - 7 = 5$

Keep box 2. $\Rightarrow i = 1, j = 5 - 4 = 1$

Do not keep box 1. $\Rightarrow i = 0, j = 1$

3 boxes with weights 9, 4, and 7. Truck capacity = 12.

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	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
_	-	F	F	F	F	F	F	F	F	Т	T	T	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	Т	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
J	-	F	F	F	F	F	F	Т	Т	F	F	Т	T

Solution:

i

Maximum weight = 11

Keep box 3. $\Rightarrow i = 2, j = 12 - 7 = 5$

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Do not keep box 1. $\Rightarrow i = 0, j = 1 \Rightarrow Done$

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J	

	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
O	-	-	-	-	-	-	-	-	-	-	-	-	-
1	0	0	0	0	0	0	0	0	0	9	9	9	9
_	-	F	F	F	F	F	F	F	F	Т	T	T	Т
2	0	0	0	0	4	4	4	4	4	9	9	9	9
2	-	F	F	F	Т	T	Т	Т	Т	F	F	F	F
3	0	0	0	0	4	4	4	7	7	9	9	11	11
3	-	F	F	F	F	F	F	Т	Т	F	F	Т	Т

Solution:

i

Maximum weight = 11

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Boxes 2 and 3

▶ We have a knapsack with limited capacity. We need to decide which items to put in the knapsack.

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- ▶ There are *n* items: item *i* has weight w_i , value v_i .

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- Knapsack can handle a total weight of at most W.

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- We want to put in items with maximum total value, subject to the weight restriction.
- We can put all of an item in the knapsack, or none of it (fractional items have no value.)
- Recall: If fractional items can be taken, greedy heuristic works:

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- Recall: If fractional items can be taken, greedy heuristic works:
 - Order items according to value per unit weight.

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- Knapsack can handle a total weight of at most W.
- We want to put in items with maximum total value, subject to the weight restriction.
- We can put all of an item in the knapsack, or none of it (fractional items have no value.)
- Recall: If fractional items can be taken, greedy heuristic works:
 - Order items according to value per unit weight.
 - ▶ This does not work if we can only take whole items.

- We have a knapsack with limited capacity. We need to decide which items to put in the knapsack.
- ▶ There are n items: item i has weight w_i , value v_i .
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 - V W = 100
 - Item 1: $w_1 = 20$, $v_1 = 80$
 - Item 2: $w_2 = 90$, $v_2 = 90$.

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5. Recurrence:

$$\mathsf{OPT}(i,j) = \left\{ \begin{array}{ll} \max \left(v_i + \mathsf{OPT}(i-1,j-w_i), \mathsf{OPT}(i-1,j) \right) & \text{if } w_i \leq j \\ \mathsf{OPT}(i-1,j) & \text{if } w_i > j \end{array} \right.$$

Pseudocode for DP Solution to 0/1 Knapsack Problem

```
def compute_opt_strategy(w,v):
  for i = 0 to n: OPT[i,0] = 0
  for j = 0 to W: OPT[0, j] = 0
  for i = 1 to n:
     for j = 1 to W:
        if (w[i] > j) or (v[i] + OPT[i-1, j-w[i]] \le OPT[i-1, j])
           OPT[i,j] = OPT[i-1,j]
           keep[i,j] = False
        else:
           OPT[i,j] = v[i] + OPT[i-1,j-w[i]]
           keep[i,j] = True
     return (OPT, keep)
```

Pseudocode for DP Solution to 0/1 Knapsack Problem [continued]

```
def print_solution(OPT,keep,i,j):
    if i == 0:         return
    if keep[i,j]:
        print_solution(OPT,keep,i-1,j-w[i])
        print (i)
    else:
        print_solution(OPT,keep,i-1,j)

// Main program starts here
(OPT,keep) = compute_opt_strategy(w,v)
print_solution(OPT,keep,n,W)
```

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Parenthesization Matters

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 - Cost of final multiplication:
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 - $(A_{k+1} \times \cdots \times A_j) \text{ is } d_k \times d_j$
 - ▶ Cost of multiplication is $d_{i-1}d_kd_i$
 - ► Total cost is $M(i,k) + M(k+1,j) + d_{i-1}d_kd_i$.
- Choose the best index k:

$$M(i,j) = \min_{i < k < i-1} (M(i,k) + M(k+1,j) + d_{i-1}d_kd_j)$$

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```
def optMatrixChain(d):
    for i = 1 to n:
        M[i,i] = 0
    for len = 2 to n:
        for i = 1 to n - len + 1:
            j = i + len - 1
            M[i,j] = +\infty
            for k = i to j-1:
                x = M[i,k] + M[k+1,j] + d[i-1]*d[k]*d[j]
                 if x < M[i,j]:
                     M[i,j] = x
    return M
```

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                x = M[i,k] + M[k+1,j] + d[i-1]*d[k]*d[j]
                if x < M[i,j]:
                     M[i,j] = x
                     S[i,j] = k
    return M,S
```

 $A_1: 10 \times 15$ $A_2: 15 \times 5$ $A_3: 5 \times 60$ $A_4: 60 \times 100$ $A_5: 100 \times 20$ $A_6: 20 \times 40$

 $A_7: 40 \times 47$

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 $d_7 = 47$

A_1	:	10×15
A_2	:	15×5
A_3	:	5×60
A_4	:	60×100
A_5	:	100×20
A_6	:	20×40
A_7	:	40×47

d_0	=10
d_1	=15
d_2	=5
d_3	=60
d_4	=100
d_5	=20
d_6	=40
d_7	=47

			J				
1	2	3	4	5	6	7	
0	750 1	3750 2	35750 2	41750 2	46750 2	56500 2	1
	0	4500 2	37500 2	41500 2	47000 2	56925 2	2
		0	30000 3	40000 4	44000 5	53400 6	3
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d_1	=15
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Optimal value is 56500

A_1	:	10×15
A_2	:	15×5
A_3	:	5×60
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A_5	:	100×20
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-	
d_1	=15
d_2	=5
d_3	=60
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 $d_0 = 10$

			Ĵ				
1	2	3	4	5	6	7	
0	750 1	3750 2	35750 2	41750 2	46750 2	56500 2	1
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Optimal grouping is:

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A_2	:	15×5
A_3	:	5×60
A_{A}		60×100

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d_2	=5
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 $d_0 = 10$ =15=5=60=100=20=40

=47

		,				
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750 1	3750 2	35750 2	41750 2	46750 2	56500 2	1
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Δ.		60×100

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Optimal Binary Search Trees Given (as input):

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 Compute a binary search tree that minimizes the weighted lookup cost.

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- $c_i = \text{cost of accessing node } i = 1 + \text{depth(node } i)$

Suppose we have the following data values and frequency values:

i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

Suppose we have the following data values and frequency values:

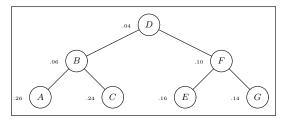
i	Data	pi	
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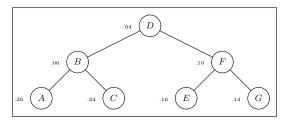
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One possible binary search tree:



Weighted lookup cost is 2.76:

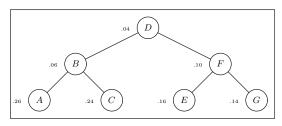
i	Node	pi	Ci	$p_i c_i$
1	Α	.26	3	.78
2	В	.06	2	.12
3	C	.24	3	.72
4	D	.04	1	.04
5	Ε	.16	3	.48
6	F	.10	2	.20
7	G	.14	3	.42
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One possible binary search tree: (non-optimal)



Weighted lookup cost is 2.76:

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- ➤ The generalization to allowing unsuccessful searches is discussed in [CLRS].

Define E(i,j) = the weighted lookup cost of the binary search tree with lowest weighted lookup cost on the keys K_i, \ldots, K_i .

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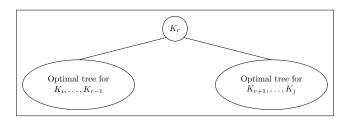


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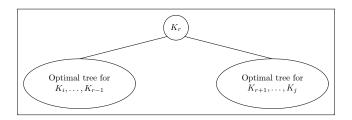
We need to develop a recurrence equation . . .

To build the optimal binary tree on the set of keys K_i, \ldots, K_i :

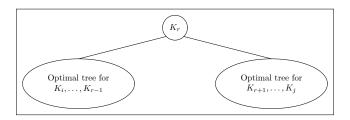
▶ Suppose the root is K_r , where $i \le r \le j$



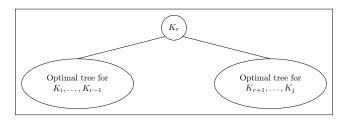
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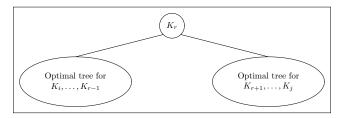
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 - Note that if r = i, this is an empty tree

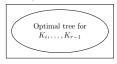


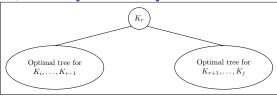
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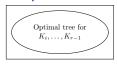


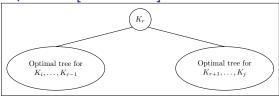
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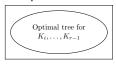


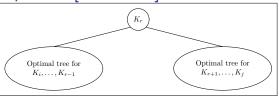






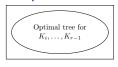
Observation:

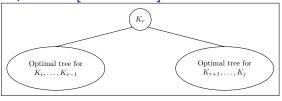




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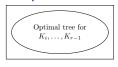
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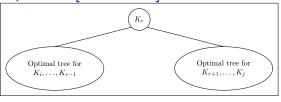




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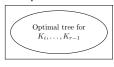


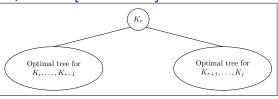


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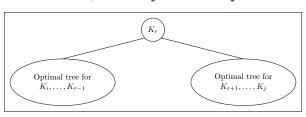
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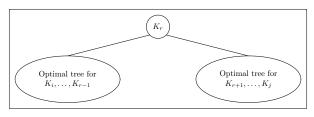
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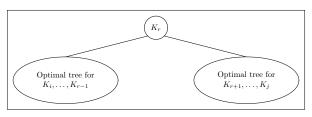
▶ Similarly, the total weighted cost of the nodes K_{r+1}, \ldots, K_i is

$$E(r+1,j) + p_{r+1} + \ldots + p_i$$





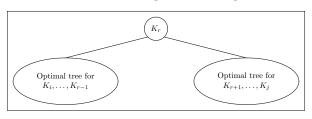
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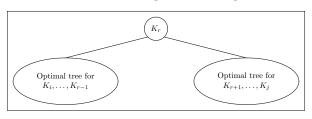
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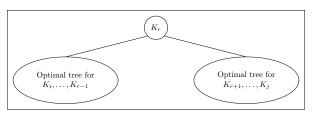
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The weighted cost of the root node is $1 \cdot p_r = p_r$.



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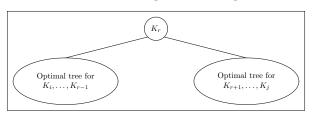
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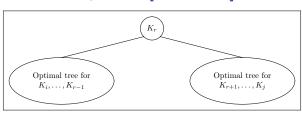
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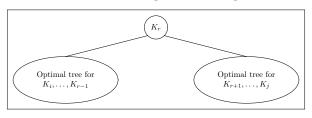
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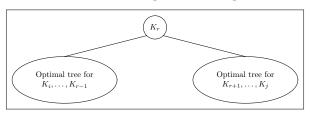
$$E(i, r-1) + E(r+1, j) + p_i + \ldots + p_{r-1} + p_r + p_{r+1} + \ldots + p_j$$





▶ We have just seen that weighted cost of the tree is:

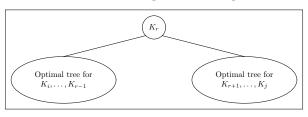
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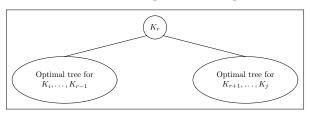


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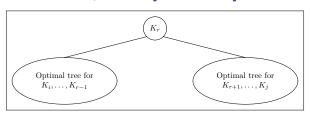
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$$E(i,j) = \min_{i < r < i} (E(i,r-1) + E(r+1,j) + W(i,j)),$$

- 1. Subproblem domain $\{(i,j): 1 \le i \le n+1 \text{ and } i-1 \le j \le n\}$
 - Note: The pair (i,j) = (n+1,n) needs to be handled, which is why the upper limit on the range of i is n+1 rather than n.
- 2. Function / Memoization table definition: E(i,j) is the minimum weighted lookup cost for a binary search tree on the keys K_i, \ldots, K_j , where p_i is the frequency of key K_i .
- 3. Goal: E(1, n)
- 4. Initial values: E(i, i-1) = 0
 - Note: Earlier we stated an additional set of initial values: $E(i,i) = p_i$. Since these values follow from the stated initial values and the recurrence equation, they do not need to be given as initial values.
- 5. Recurrence:

$$E(i,j) = \min_{i \le r \le j} \left(E(i,r-1) + E(r+1,j) + W(i,j) \right),$$
 where $W(i,j) = p_i + \dots + p_i$.

Computation of W(i,j)

Computation of W(i,j)

► The values of

$$W(i,j) = p_i + \cdots + p_i$$

can be precomputed in $O(n^2)$ time:

Computation of W(i,j)

▶ The values of

$$W(i,j) = p_i + \cdots + p_i$$

can be precomputed in $O(n^2)$ time:

```
for i = 1 to n+1:
    W[i,i-1] = 0
    for j = i to n
     W[i,j] = W[i,j-1] + p[j]
```

Code to compute the cost of the optimal tree

Code to compute the cost of the optimal tree

```
def OptimalTreeCost(p):
    for i = 1 to n+1:
        E[i,i-1] = 0
        W[i,i-1] = 0
        for j = i to n
            W[i,j] = W[i,j-1] + p[j]
    for size = 1 to n:
        for i = 1 to n - size + 1 do
            j = i + size - 1
            E[i,j] = +\infty;
            for r = i to j:
                x = E[i,r-1] + E[r+1,j] + W[i,j]
                if x < E[i,j]:
                     E[i,j] = x
    return(E)
```

Modified code to compute the root of each optimal subtree

Modified code to compute the root of each optimal subtree

To compute the optimal tree, we need to store the root of each optimal subtree. We compute a second array **root** which tells us the best root of the tree for the keys K_i, \ldots, K_i :

Modified code to compute the root of each optimal subtree

To compute the optimal tree, we need to store the root of each optimal subtree. We compute a second array **root** which tells us the best root of the tree for the keys K_i, \ldots, K_i :

```
def OptimalTreeCost(p):
    for i = 1 to n+1:
        E[i,i-1] = 0
        W[i,i-1] = 0
        for j = i to n
            W[i,j] = W[i,j-1] + p[j]
    for size = 1 to n:
        for i = 1 to n - size + 1 do
            j = i + size - 1
            E[i,j] = +\infty;
            for r = i to j:
                x = E[i,r-1] + E[r+1,j] + W[i,j]
                 if x < E[i,j]:
                     E[i,j] = x
                     root[i,j] = r
    return(E, root)
```

Code generate the optimal tree

Code generate the optimal tree

Once we have computed the arrays E and root, the following pseudocode computes the optimal binary tree:

```
def OptimalTree(root,keys):
    // keys is the array of key values, indexed from 1 to n
    def buildTree(i,j):
        if j < i : return null
        r = root[i,j]
        node = new binary tree node
        node.key = keys[r]
        node.leftchild = buildTree(i,r-1)
        node.rightchild = buildTree(r+1,j)
        return node
    return buildTree(1.n)
```

i	Data	pi
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

i	Data	pi
1	A	.26
2	В	.06
3	C	.24
4	D	.04
5	E	.16
6	F	.10
7	G	.14
1	G	.14

	j						
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20
	0 —	0.06 2	0.36	0.44	0.80	1.10 3	1.52 5
		0 —	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0 —	0.14 7
							0

i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7
							0

i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0 —	0.10 6	0.34 7
						0	0.14 7
							0

[1, 7]

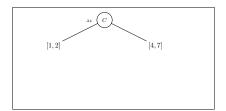
i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

					j			
_	0	1	2	3	4	5	6	7
	0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
		0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
			0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
				0	0.04 4	0.24 5	0.44 5	0.82 5
					0	0.16 5	0.36 5	0.70 6
						0 —	0.10 6	0.34 7
							0	0.14 7
								0

[1, 7]

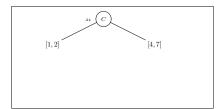
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0 —	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14



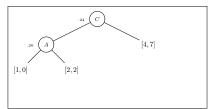
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0 —	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



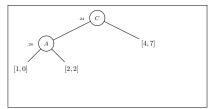
i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14



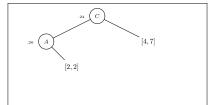
i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j				
0	1	2	3	4	5	6	7	
<u>0</u>	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3	
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5	
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5	
			0	0.04 4	0.24 5	0.44 5	0.82 5	
				0 —	0.16 5	0.36 5	0.70 6	
					0	0.10 6	0.34 7	
						0	0.14	



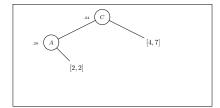
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20
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		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0 —	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14



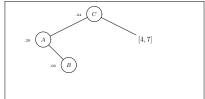
i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
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				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
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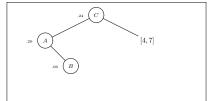
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
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				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
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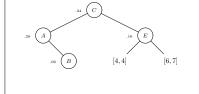
i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36	0.44	0.80	1.10	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14



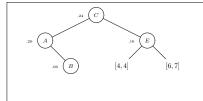
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0 —	0.06 2	0.36	0.44	0.80	1.10	1.52 5
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				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



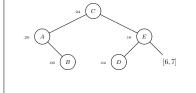
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36	0.44	0.80	1.10	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14



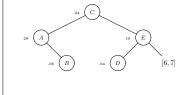
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38	0.92 1	1.02 3	1.38 3	1.68 3	2.20
	0	0.06 2	0.36	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0 —	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



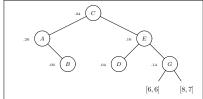
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0 —	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



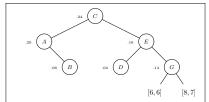
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
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				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
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			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



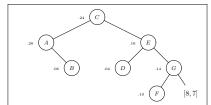
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1	Α	.26
2	В	.06
3	С	.24
4	D	.04
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7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
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i	Data	p_i
1	Α	.26
2	В	.06
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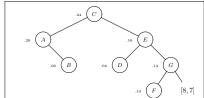
				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
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			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14



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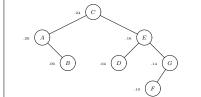
i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20 3
	0	0.06 2	0.36	0.44 3	0.80 3	1.10 3	1.52 5
		0 —	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
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i	Data	p_i
1	Α	.26
2	В	.06
3	С	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

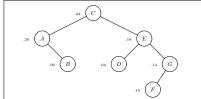
				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68	2.20 3
	0	0.06 2	0.36 3	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



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i	Data	p_i
1	Α	.26
2	В	.06
3	C	.24
4	D	.04
5	Ε	.16
6	F	.10
7	G	.14

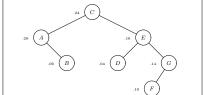
				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68	2.20 3
	0 —	0.06 2	0.36	0.44 3	0.80 3	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0 —	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



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				j			
0	1	2	3	4	5	6	7
0	0.26 1	0.38 1	0.92 1	1.02 3	1.38 3	1.68 3	2.20
	0 —	0.06 2	0.36	0.44	0.80	1.10 3	1.52 5
		0	0.24 3	0.32 3	0.68 3	0.96 5	1.34 5
			0	0.04 4	0.24 5	0.44 5	0.82 5
				0	0.16 5	0.36 5	0.70 6
					0	0.10 6	0.34 7
						0	0.14 7



Weighted lookup cost = 2.20