## Machine Unlearning

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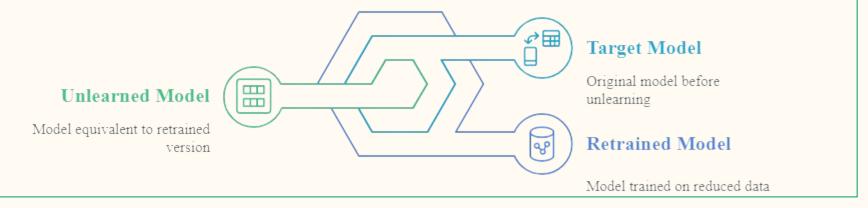
Prof. Rachit Chhaya

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#### What is Machine Unlearning?

- Machine unlearning can be broadly described as removing the influences of training data from a trained model.
- At its core, unlearning on a *target model* seeks to produce an *unlearned model* that is equivalent to—or at least "behaves like"—a *retrained model* that is trained on the same data of target model, minus the information to be unlearned.



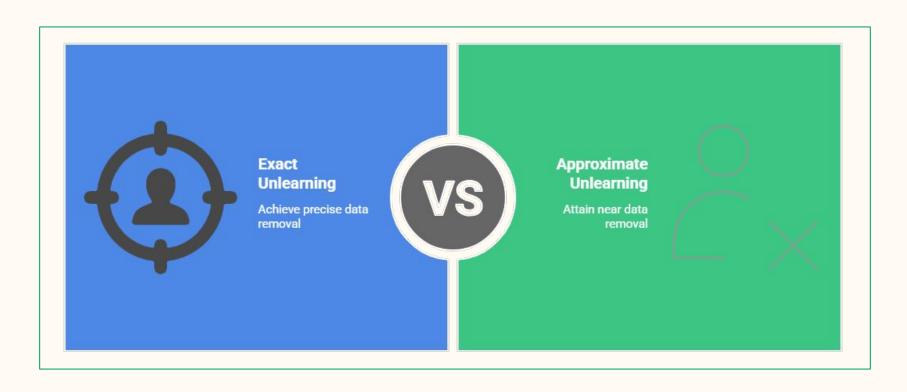
#### Importance of Machine Unlearning

- Security: Adversarial data can poison models—especially dangerous in healthcare. Machine unlearning helps detect and erase it fast.
- Privacy: Laws like GDPR protect against data leaks and accidental exposure (e.g., DNA markers).
   Users want control.
- Usability: Systems that remember wrong data create annoying, outdated recommendations. Forgetting is key to good UX.
- **Fidelity**: Biased training data skews AI fairness. Unlearning flawed data helps fight discrimination and build trust

#### Importance of Machine Unlearning

- In a world where **trust is everything**, the ability to forget isn't a flaw—it's a **feature**.
- From **security** to **fairness**, giving users control over their data isn't just ethical—it's essential.
- Systems that can't forget risk being the ones we choose to forget.

#### Types of Machine Unlearning Algorithms



#### Exact Unlearning

- Goal: Make the model behave as if the target data was never used during training.
- **Definition**: The final model should be **statistically identical** to one trained from scratch **without** the removed data.
- How It Works:
  - 1. Most direct method: Full retraining on the remaining data.
  - 2. Guarantees clean and provable removal of the data's influence.

#### Approximate Unlearning

- Goal: Make the model behave similarly to if the data had never been seen—without full retraining.
- **Definition**: Efficiently estimate and reduce the data's influence using **lightweight model** updates.
- How It Works:
  - 1. Techniques like **fine-tuning** on the remaining data.
  - 2. Uses tools like **influence functions** to reverse learned effects.

#### Trade Offs: Exact Vs Approximate

• Depends on the Use Case:

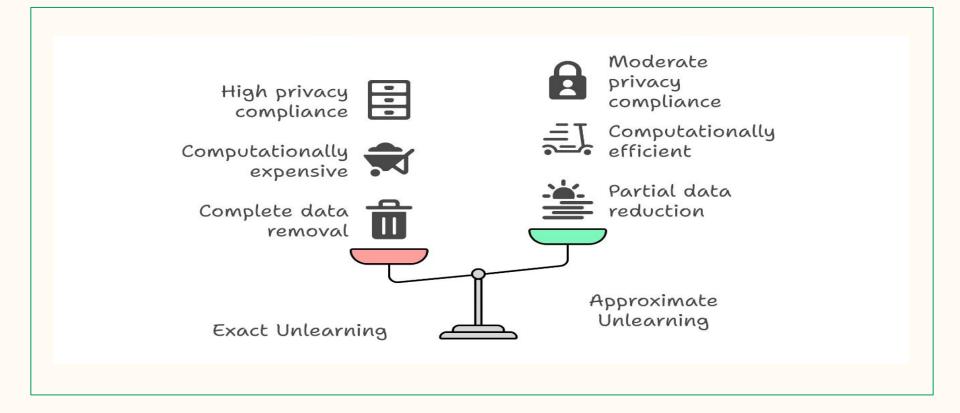
Exact Unlearning: Best for high-stakes domains—medical, legal, finance. Approximate Unlearning: Ideal for large-scale, fast-changing systems—e.g., recommendation engines.

• Trade-Off:

Exact = High certainty, low efficiency.

Approximate = High efficiency, low guarantees

#### Conclusion



#### Naive Unlearning: Simple but Slow

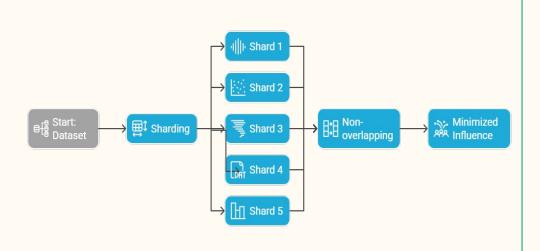
- A method to remove a data point's influence by retraining the entire model from scratch.
- **Pros** of Naive Unlearning:
  - 1. Complete Isolation
  - 2. Model Consistency
  - 3. Simplicity
  - 4. Universality
- Cons of Naive Unlearning:
  - 1. High Resource Demand
  - 2. Scalability Issues

#### SISA: A Game-Changer for Unlearning

- Stands for: Sharded, Isolated, Sliced, Aggregated.
- Inspired by ensemble learning and distributed training.
- **Key Idea:** Reorganize dataset to minimize retraining scope.
- Applicability: Works for any incrementally trained model (e.g., deep neural networks via gradient descent).

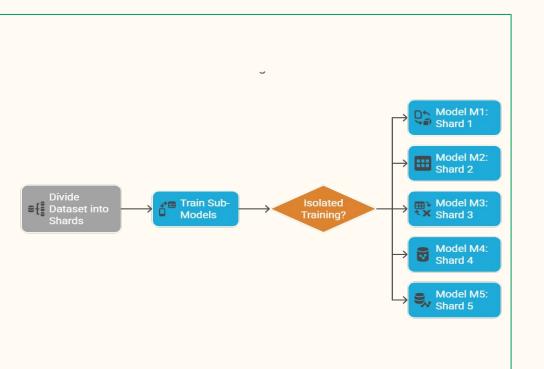
#### Step 1 - Sharding

- What: Split dataset (e.g., 100 photos) into equal-sized, non-overlapping shards (e.g., 5 shards of 20 photos).
- Why: Limits each data point's influence to one shard (unlike traditional ensembles where data may overlap).
- Example: Photo #5 resides only in shard-1.



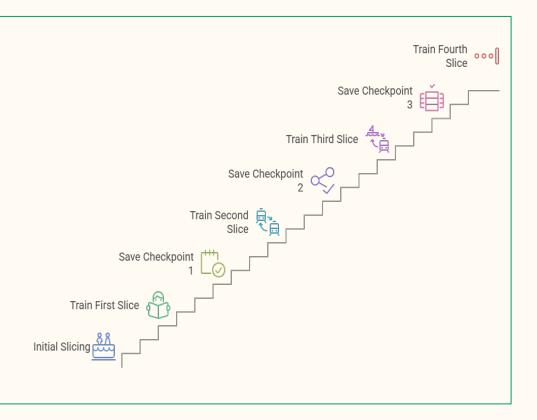
#### Step 2 - Isolation

- What: Train a separate model for each shard (e.g., M1 for shard 1, M2 for shard 2, ..., M5).
- How: Each model trains only on its shard's data—no data sharing.
- Benefit: Photo #5 affects only M1;
   M2–M5 remain untouched.



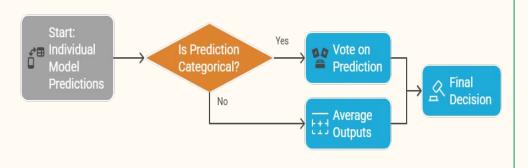
#### Step 3 - Slicing

- What: Subdivide each shard into slices (e.g., shard 1's 20 photos into 4 slices of 5).
- How: Train incrementally slice by slice, saving model checkpoints after each.
- Why: Enables restarting from checkpoint before slice containing photo #5, skipping it.
- SISA without slicing still works for all models (e.g., decision trees).



#### Step 4 - Aggregation

- What: Combine sub-model predictions for final output.
- Methods:
  - a. Classification: Majority vote (e.g., M1–M5 vote "cat" or "dog").
  - b. Regression: Average outputs (e.g., price predictions).
- Benefit: Robust, collective decision (comparable accuracy to single model).



#### Unlearning with SISA

- Process for Deleting Photo #5:
  - a. Locate shard (e.g., shard 1).
  - b. Retrain M1:
    - i. Without slicing: Retrain M1 from scratch, excluding photo #5.
    - ii. With slicing: Start from checkpoint before photo #5's slice, skip it.
  - c. Update ensemble with new M1.
- Result: Model forgets photo #5 with minimal retraining.

#### Challenges

- 1. The spectrum of unlearning hardness
- 2. Copyright protection
- 3. Retrieval-based AI systems
- 4. AI safety

#### The spectrum of unlearning hardnesses

The difficulty of unlearning lies on a **spectrum**:

- 1. **Easiest to unlearn**: Rare, isolated facts (e.g., a minor car accident in Palo Alto) that have minimal connections to other knowledge in the model.
- 2. **Harder to unlearn**: Common but not foundational facts (e.g., "Biden is the US president"), which are referenced more widely.
- 3. **Most difficult to unlearn**: Fundamental truths (e.g., "the sun rises every day"), which are deeply embedded in the model's structure and interconnected with many other facts.

Ultimately, **memorization**, **forgetting**, **and unlearning are deeply interconnected** but not yet fully understood.

#### Copyright Protection

- 1. Goal: Unlearning aims to remove memorized copyrighted content from models.
- 2. Challenge: Current techniques lack strong guarantees; legal standards are still evolving.
- 3. **Alternatives**: Prompt controls, moderation tools, and alignment strategies (e.g., OpenAI's approach) reduce risk.
- 4. **Other Solutions**: Economic options like retraining or indemnification (e.g., OpenAI's "Copyright Shield") offer protection.

While unlearning seems promising, it needs legally enforceable mechanisms to become a viable solution."

#### Retrieval-based AI Systems

- 1. **How It Works**: Sensitive content is stored externally and retrieved when needed—making deletion easy via database removal.
- 2. **Challenges**: Paraphrased or summarized copyrighted content can evade detection. Style and preferences aren't easily retrieved.
- 3. Security Risks: Supplying protected content during inference may expose vulnerabilities.
- 4. **Trade-off**: Retrieval reduces memorization risks but can't fully replace the depth and performance of trained models.

### AI Safety

- 1. **Purpose**: Unlearning is being explored to remove dangerous knowledge, behaviors, or capabilities.
- 2. Complexity: Model poisons, backdoors, and biases are hard to detect and even harder to erase.
- 3. **Abstract Risks**: Traits like power-seeking aren't tied to specific data—making them tough to unlearn.
- 4. **Cost**: Even when targets are clear, unlearning is slow, expensive, and may reduce model performance.

#### Conclusion

- → Machine unlearning is emerging as a vital component of responsible AI, but it remains a complex challenge spanning technical, legal, and ethical fronts.
- To truly harness its potential, unlearning must be built into AI systems from the start—enabling models to adapt, respect user rights, and navigate evolving privacy and safety demands.
- → A proactive approach will be essential to ensuring AI remains trustworthy, fair, and future-ready.

#### References

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# THANK YOU!