# Life Beyond Set Agreement

David Yu Cheng Chan
Department of Computer Science
University of Toronto
10 King's College Road
Toronto, Ontario M5S3G4, Canada
davidchan@cs.toronto.edu

Vassos Hadzilacos Department of Computer Science University of Toronto 10 King's College Road Toronto, Ontario M5S3G4, Canada vassos@cs.toronto.edu Sam Toueg
Department of Computer Science
University of Toronto
10 King's College Road
Toronto, Ontario M5S3G4, Canada
sam@cs.toronto.edu

#### **ABSTRACT**

The set agreement power of a shared object O describes O's ability to solve set agreement problems: it is the sequence  $(n_1, n_2, \ldots, n_k, \ldots)$ such that, for every  $k \ge 1$ , using O and registers one can solve the kset agreement problem among at most  $n_k$  processes. It has been shown that the ability of an object O to implement other objects is not fully characterized by its consensus number (the first component of its set agreement power) [1, 3, 14]. This raises the following natural question: is the ability of an object O to implement other objects fully characterized by its set agreement power? We prove that the answer is no: every level  $n \ge 2$  of Herlihy's consensus hierarchy has two objects that have the same set agreement power but are not equivalent, i.e., at least one cannot implement the other. We also show that every level  $n \ge 2$  of the consensus hierarchy contains a *deterministic* object  $O_n$  with some set agreement power  $(n_1, n_2, \ldots, n_k, \ldots)$  such that being able to solve the k-set agreement problems among  $n_k$  processes, for all  $k \ge 1$ , is not enough to implement  $O_n$ .

#### **KEYWORDS**

asynchronous system, shared memory, set agreement, consensus hierarchy

#### 1 INTRODUCTION

**Background and Motivation.** The most widely studied problem in distributed computing is consensus [8] and its natural generalization, k-set agreement [5]. With the k-set agreement problem, each process has a proposal value and must decide on one of the proposed values such that there are at most k distinct decision values.

In this paper we consider distributed systems where asynchronous processes may apply operations to wait-free shared objects and fail by crashing [10]. The k-set agreement number of a shared object O is the largest integer  $n_k$  such that instances of O and registers can solve the k-set agreement problem among  $n_k$  processes, or it is  $\infty$  if instances of O and registers can solve the k-set agreement problem among any number of processes. Since 1-set agreement

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Permission to make digital or hard copies of part or all of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for third-party components of this work must be honored. For all other uses, contact the owner/author(s).

PODC'17, , July 25–27, 2017, Washington, DC, USA. © 2017 Association for Computing Machinery. ACM ISBN 978-1-4503-4992-5/17/07...\$15.00 http://dx.doi.org/10.1145/3087801.3087822 is just *consensus*, the 1-set agreement number of an object is its *consensus number* [10]. The *set agreement power* of an object O is the infinite sequence  $(n_1, n_2, \ldots, n_k, \ldots)$  where  $n_k$  is the k-set agreement number of O for all  $k \ge 1$ . Thus  $(n_1, n_2, \ldots, n_k, \ldots)$  describes the object O's ability to solve set agreement problems among various numbers of processes: for all  $k \ge 1$ , O can solve the k-set agreement problem among n processes if and only if  $n \le n_k$ .

Herlihy showed that instances of any object with consensus number n, together with registers, can implement in a wait-free manner any object that can be shared by up to n processes [10]. The consensus number of an object, however, does not fully characterize its ability to implement other objects: there are objects O and O' that have the same consensus number but instances of O, together with registers, cannot implement O' in a wait-free manner [1, 3, 14]. Since the consensus number of an object is only the *first* component of its set agreement power, this raises the following natural question: does the set agreement power of an object fully characterize its ability to implement other objects? To formulate this question more precisely, we define two objects to be *equivalent* if each can be implemented from instances of the other and registers in a wait-free manner. The question then is: are two objects equivalent if and only if they have the same set agreement power?

**Our Result.** In this paper, we show that the answer is no. In fact, we prove the following stronger result: every level  $n \geq 2$  of the well-known consensus hierarchy [10] contains a pair of objects that have the same set agreement power but are not equivalent.<sup>2</sup> We also show that every level  $n \geq 2$  of the consensus hierarchy contains a *deterministic* object  $O_n$  with some set agreement power  $(n_1, n_2, \ldots, n_k, \ldots)$  such that being able to solve the k-set agreement problems among  $n_k$  processes, for all  $k \geq 1$ , is not enough to implement  $O_n$ .

## 2 ROADMAP OF THE PROOF

In Section 3, we introduce the *n-pseudo-abortable consensus* (*n-PAC*) object, which is a non-abortable and deterministic version of the abortable *n*-DAC object introduced in [9].

In Section 4, we consider the n-DAC problem defined in [9], and prove that for  $n \ge 2$  the (n + 1)-DAC problem can be solved using (n + 1)-PAC objects, but cannot be solved using n-consensus objects, registers, and a strong version of 2-set agreement objects,

 $<sup>^{1}</sup>$ In [7], k-set agreement number and set agreement power are called k-set-consensus number and set consensus power.

<sup>&</sup>lt;sup>2</sup>Recall that an object O is at level n of the consensus hierarchy if instances of the object and registers can solve consensus among n, but not n + 1, processes, i.e., the consensus number of O is n.

denoted 2-SA objects. This implies:

for 
$$n \ge 2$$
, the  $(n + 1)$ -PAC object *cannot* be implemented using *n*-consensus objects, registers, and 2-SA objects. (1)

In Section 5, we introduce a combination of an n-PAC object and an m-consensus object, called the (n, m)-PAC object, and show that for all  $m \ge 2$ , (n, m)-PAC is at level m of the consensus hierarchy. In particular, for all  $n \ge 2$ , the (n + 1, n)-PAC object, denoted  $O_n$ , is at level n of this hierarchy. By definition  $O_n$  is at least as strong as the (n + 1)-PAC object, therefore, by (1):

for 
$$n \ge 2$$
,  $O_n$  cannot be implemented using  $n$ -consensus objects, registers, and 2-SA objects. (2)

Finally, in Section 6, for all  $n \ge 2$  we construct an object  $O'_n$  such that: (a)  $O'_n$  has the same set agreement power as  $O_n$ , and (b)  $O'_n$  can be implemented by n-consensus objects, registers, and 2-SA objects. By (2) and (b):

$$O_n$$
 cannot be implemented by  $O'_n$  objects and registers. (3)

So every level  $n \ge 2$  of the consensus hierarchy has two objects, namely,  $O_n$  and  $O'_n$ , that are not equivalent to each other, even though they have the same set agreement power.

Let  $(n_1, n_2, \ldots, n_k, \ldots)$  be the set agreement power of  $O_n$ . By construction, the set agreement power of  $O_n'$  is  $(n_1, n_2, \ldots, n_k, \ldots)$  as well. Thus,  $O_n'$  and registers can solve the k-set agreement problem among  $n_k$  processes, for all  $k \geq 1$ . By (3), this implies that the deterministic object  $O_n$  cannot be implemented from arbitrary solutions to the k-set agreement problems among  $n_k$  processes, for all  $k \geq 1$ , and registers.

# 3 PSEUDO-ABORTABLE CONSENSUS OBJECTS

In [9], Hadzilacos and Toueg introduced n-DAC objects that behave like n-consensus objects except for one key difference: operations can nondeterministically abort without taking effect as long as the following conditions are satisfied

- Every operation that aborts is concurrent with another operation
- No crashed process may cause infinitely many aborts.

In this section, we define n-pseudo-abortable consensus (n-PAC) objects that behave similarly to n-DAC objects, but are deterministic and not abortable. Intuitively, for all  $n \geq 1$ , the n-PAC object simulates an n-DAC object by supporting two operations: PROPOSE(v, i) and DECIDE(i), where i is an integer in [1..n] that we call the la-bel of the operation. A process can use these two operations to simulate a PROPOSE(v) operation on an n-DAC object by first applying a PROPOSE(v, i) operation and then applying a DECIDE(i) operation with the same label i on the n-PAC object. Whenever a PROPOSE(v, i) operation is performed on an n-PAC object, the object simulates the invocation of a propose operation with value v on the i-th port of the simulated n-DAC object, and returns done. Whenever a DECIDE(i) operation is performed, the n-PAC simulates the completion of the propose operation on the i-th port of the simulated n-DAC object, and returns the response.

Thus the propose and decide operations form matching pairs, with each decide operation needing a previous propose operation with the same label. If a  $\mathtt{DECIDE}(i)$  operation is performed without a matching  $\mathtt{PROPOSE}(-,i)$  operation performed beforehand, the n-PAC object becomes permanently upset. Similarly, if a pair of  $\mathtt{PROPOSE}(-,i)$  operations are performed without a  $\mathtt{DECIDE}(i)$  in between, the n-PAC object becomes permanently upset. Intuitively, this is because two operations were invoked concurrently on port i of the n-DAC object.

A (sequential) *history* of an *n*-PAC object is a sequence of propose and decide operations applied to that object. We say that the history of an *n*-PAC object is *legal* if for all  $i \in [1..n]$ , the history of operations with label i performed on the object is either empty or begins with a propose operation and alternates between propose and decide operations. Thus an *n*-PAC object becomes upset if and only if its history is not legal. For convenience, we say a PROPOSE(-,i) operation on the *n*-PAC object *decides* a value v' if it has a matching DECIDE(i) operation that returns v'. Once an *n*-PAC object becomes upset, it returns the special value  $\bot$  to all decide operations but still returns **done** to all propose operations.

To simulate the fact that an n-DAC object can abort when operations are concurrent, an n-PAC object that is not upset behaves as follows: if there is an operation between a propose operation and its matching decide operation, then the decide operation returns  $\bot$ . So intuitively, an n-PAC object returns  $\bot$  if it is upset or if it detects a concurrent operation.

We now describe the *n*-PAC object more precisely. The state of such an object consists of:

- A boolean *upset*, initially **false**.
- An array V[1..n], initially all Nil. Intuitively, V[i] = v if the last operation with label i is a Propose(v,i) operation.
- A variable L, initially NIL. Intuitively, L = i if the last operation is PROPOSE(-, i).
- A variable val, initially NIL. Intuitively, val contains the consensus value.

The sequential behaviour of the n-PAC object is given by Algorithm 1.

From the above description, it is clear that the sequential specification of n-PAC can be formally given in terms of a set of states, a set of operations, a set of responses, and a state transition relation. For brevity, we omit this formal definition here. The n-PAC object is linearizable [11], i.e., the operations PROPOSE(-, -) and DECIDE(-) are atomic. Thus, we consider only sequential histories of this object.

An n-PAC object is upset if its variable upset = **true**.

Observation 3.1. If an n-PAC object is upset at some time, it remains upset thereafter.

In the full paper we show the following properties of n-PAC objects.

Lemma 3.2. An n-PAC object is upset at time t if and only if the history of all operations that have been performed on the object by time t is not legal.

 $<sup>^3 {\</sup>rm The}~n\text{-DAC}$  has n ports through which operations are applied; in contrast, our n-PAC object has no ports.

 $<sup>^4\</sup>mbox{We}$  assume that processes do not propose the special values  $\perp$  and NIL.

#### **Algorithm 1** Sequential specification of the *n*-PAC object

```
1: procedure PROPOSE(v, i) { 1 \le i \le n }
         if V[i] \neq \text{NIL then } upset \leftarrow \text{true}
         if upset = false then
 3:
               L \leftarrow i
 4:
               V[i] \leftarrow v
 5:
         return done
 6:
 7: procedure DECIDE(i) \{ 1 \le i \le n \}
         if V[i] = NIL then upset \leftarrow true
 8:
         if upset = true then return \perp
 9:
         if L \neq i then
10:
               temp \leftarrow \bot
11:
12:
         else
              if val = NIL then val \leftarrow V[i]
13:
               temp \leftarrow val
14:
         L \leftarrow \text{nil}
15:
         V[i] \leftarrow \text{NIL}
16:
         return temp
17:
```

LEMMA 3.3. If an n-PAC object is not upset at time t, then for all  $i \in [1..n]$ ,

$$V[i] = \left\{ \begin{array}{ll} v & \textit{if, at time t, the last operation with} \\ & \textit{label i is a PROPOSE}(v,i) \textit{ operation.} \\ \textit{NIL} & \textit{otherwise.} \end{array} \right.$$

LEMMA 3.4. If an n-PAC object is not upset at time t,

$$L = \left\{ \begin{array}{ll} i & \textit{if, at time t, the last operation is PROPOSE}(-,i). \\ \textit{NIL} & \textit{otherwise.} \end{array} \right.$$

THEOREM 3.5. An n-PAC object satisfies the following properties:

- (a) Agreement: If a decide operation returns a value  $v \neq \bot$ , and another decide operation returns a value  $v' \neq \bot$ , then v = v'.
- (b) Validity: If a decide operation returns a value  $v \neq \bot$ , then a propose operation proposes v and decides v.
- (c) Nontriviality: A decide operation op returns ⊥ if and only if either (i) the n-PAC object is upset before op, or (ii) there is no operation before op, or the last operation before op is not a propose operation with the same label.

## 4 THE N-DAC PROBLEM

In [9], Hadzilacos and Toueg introduced the n-DAC problem, where  $n \geq 2$  processes start with binary inputs and are supposed to decide on a binary value; in addition, one of the n processes is a distinguished process p that can abort instead of deciding. An algorithm solves the n-DAC problem if every execution of the algorithm satisfies the following properties:

- Agreement: If a process q decides v and a process q' decides v', then v = v'.
- Validity: If a process decides v, then some process that does not abort has input v.
- Termination:
- (a) If the distinguished process p takes infinitely many steps, then p eventually decides or aborts.

- (b) If any process  $q \neq p$  takes infinitely many steps solo (i.e., not interleaved with steps of other processes), then q eventually decides.
- **Nontriviality:** If *p* aborts, then some process *q* ≠ *p* took at least one step.

#### **Algorithm 2** Solving the *n*-DAC problem using a single *n*-PAC $\mathcal{D}$

```
Code executed by the distinguished process p:

1: \mathcal{D}.\mathsf{PROPOSE}(v_p, p)

2: temp \leftarrow \mathcal{D}.\mathsf{DECIDE}(p)

3: if temp \neq \bot then

4: decide temp

5: else abort

Code executed by each process q \neq p:

6: while true do

7: \mathcal{D}.\mathsf{PROPOSE}(v_q, q)

8: temp \leftarrow \mathcal{D}.\mathsf{DECIDE}(q)

9: if temp \neq \bot then

10: decide temp

11: break
```

It is easy to solve the n-DAC problem with an n-PAC object: the processes numbered 1 to n execute Algorithm 2, where p is the distinguished process, and  $v_q$  is the initial value of process q (1  $\leq q \leq n$ ). The proof of the following theorem is straightforward and is given in the full paper.

THEOREM 4.1. For all  $n \ge 2$ , the n-DAC problem can be solved using a single n-PAC object.

We now define the strong 2-set agreement object, denoted 2-SA, that is designed to solve the 2-set agreement problem among any finite number of processes. The state of the 2-SA object is a set state, initially empty. The 2-SA object supports the  $\mathtt{PROPOSE}(v)$  operation, which adds v to state if state contains fewer than 2 elements, and returns a value arbitrarily selected from state. Consequently, a 2-SA object responds to operations with at most two distinct values, corresponding to the first two distinct values proposed to the object.  $^5$ 

Algorithm 3 gives the sequential behaviour of the 2-SA object.

### Algorithm 3 Sequential specification of the 2-SA object

```
1: procedure PROPOSE(v)
2: if |STATE| < 2 then STATE \leftarrow STATE \cup {v}
3: return arbitrary value from STATE
```

From the above, it is clear that the sequential specification of 2-SA can be formally given in terms of a set of states, a set of operations, a set of responses, and a state transition relation. The 2-SA object is linearizable, i.e., its PROPOSE(-) operation is *atomic*.

<sup>&</sup>lt;sup>5</sup>This object is "strong" because the 2-set agreement problem does not have this restriction: with the 2-set agreement problem, processes can decide *any* two of the proposed values.

It is straightforward to see that the 2-SA object solves the 2-set agreement problem among any finite number of processes, as required. A fortiori, the 2-SA object solves the k-set agreement problem among n processes for all  $k \ge 2$  and all  $n \ge 1$ .

In [9], it was shown that for all  $n \ge 2$ , the (n + 1)-DAC problem cannot be solved using n-consensus objects and registers. We strengthen this result by showing the following:

THEOREM 4.2. For all  $n \ge 2$ , the (n + 1)-DAC problem cannot be solved using n-consensus objects, registers, and 2-SA objects.<sup>6</sup>

PROOF. In the following, we use the bivalency technique introduced by Fischer et al. [8], and we assume the reader is familiar with the associated terminology. In particular, a configuration C is v-valent (for  $v \in \{0,1\}$ ) if starting from C no process can decide  $\overline{v} = 1 - v$ ; C is univalent if it is either 0-valent or 1-valent; and C is bivalent if it is not univalent [8, 10].

Assume, for contradiction, that there exists an algorithm  $\mathcal{A}$  that solves the (n+1)-DAC problem among n+1 processes using only n-consensus objects, registers, and 2-SA objects. Let I be the initial configuration where the input of the distinguished process p is 1 and the input of every other process is 0.

The following standard claim still holds for the (n + 1)-DAC problem:

CLAIM 4.2.1. No configuration reachable from an I is both 0-valent and 1-valent.

PROOF. Suppose a configuration C reachable from an I is both 0-valent and 1-valent. There are n+1>1 processes, so some process q is not the distinguished process p. By Termination (b) of the (n+1)-DAC problem, there exists a finite q-solo history H such that H is applicable to C and q decides some value  $v \in \{0,1\}$  in H(C). Then, since q decides v in H(C), H(C) is not  $\overline{v}$ -valent, where  $\overline{v} = 1 - v$ . Thus C is also not  $\overline{v}$ -valent, contradicting our assumption that C is both 0-valent and 1-valent.

CLAIM 4.2.2. If p aborts in a configuration C that is reachable from initial configuration I, then C is 0-valent.

PROOF. Suppose, for contradiction, that p aborts in a configuration C that is reachable from I, but C is not 0-valent. Then, there is a finite history H, applicable to C, such that some process decides 1 in H(C). Since p aborts in C, p also aborts in H(C), since aborting is irrevocable. Since C is reachable from I, so is H(C). This violates the Validity property of the (n + 1)-DAC problem, since p is the only process with input 1 in I.

The above claim immediately implies:

Observation 4.2.3. If p aborts or decides in a configuration C that is reachable from initial configuration I, then C is univalent.

CLAIM 4.2.4. I is bivalent.

PROOF. Starting from I, let p run solo until it either decides or aborts, which eventually happens by Termination (a). By Nontriviality, since p is the only process that takes steps, p does not abort. Furthermore, since p is the only process that takes steps, p does not know the input of any other process. Thus by Validity, p decides its own input 1, so I is not 0-valent.

Starting from I, let a process  $q \neq p$  run solo until it decides, which eventually happens by Termination (b). Since q is the only process that takes steps, q does not know the input of any other process. Thus by Validity, q decides its own input 0, so I is not 1-valent.

Since I is neither 0-valent nor 1-valent, I is bivalent.  $\Box$  Claim 4.2.4

CLAIM 4.2.5. There is a bivalent configuration C' that is reachable from I such that for every history H that is applicable to C' and ends with a step of the distinguished process p, H(C') is univalent.

PROOF. Suppose, for contradiction, that the claim is false. In other words, for every bivalent configuration C' that is reachable from I, there is a history H such that H is applicable to C', H ends with a step of p, and H(C') is bivalent. Since H(C') is itself a bivalent configuration that is reachable from I, a straightforward induction can be used to construct an infinite history that contains infinitely many steps of p but never reaches a univalent configuration. Thus from Observation 4.2.3, p takes infinitely many steps without deciding or aborting, violating Termination (a).

CLAIM 4.2.6. There is a configuration C reachable from I, a process  $q \neq p$ , and steps  $e_p$ ,  $e'_p$  of p and  $e_q$  of q, such that  $e_p$  and  $e_q e'_p$  are applicable to C,  $e_p(C)$  is v-valent and  $e_q e'_p(C)$  is  $\overline{v}$ -valent for some  $v \in \{0,1\}$ .

PROOF. Let C' be a configuration as in Claim 4.2.5. Let  $f_p$  be a step of p that is applicable to C'. By Claim 4.2.5,  $f_p(C')$  is univalent. Let  $v \in \{0,1\}$  be such that  $f_p(C')$  is v-valent.

Subclaim 4.2.6.1. There is a finite p-free history H and a step  $f'_p$  of p such that  $Hf'_p$  is applicable to C', and  $Hf'_p(C')$  is  $\overline{v}$ -valent.

PROOF. Since C' is bivalent, there is a configuration C'' that is reachable from C' such that some process decides  $\overline{v}$  in C'', and so C'' is  $\overline{v}$ -valent. Let H' be a history such that C'' = H'(C'). There are two cases:

Case 1. H' is p-free.

Then let H=H', and  $f_p'$  be a step of p applicable to C''=H(C'). Since C'' is  $\overline{v}$ -valent, so is  $f_p'(C'')=Hf_p'(C')$ . Thus H is a finite p-free history and  $f_p'$  is a step of p such that  $Hf_p'$  is applicable to C', and  $Hf_p'(C')$  is  $\overline{v}$ -valent as wanted.

Case 2. H' is not p-free.

Then let H be the longest p-free prefix of H', and let  $f_p'$  be the step of p in H' immediately after H. Thus  $Hf_p'$  is a prefix of H'. Since  $f_p'$  is a step of p and  $Hf_p'$  is applicable to C', by Claim 4.2.5,  $Hf_p'(C')$  is univalent. Then, since  $Hf_p'$  is a prefix of H' and H'(C') = C'', C'' is reachable from  $Hf_p'(C')$ . Finally, since C'' and  $Hf_p'(C')$  are both univalent, and C'' is  $\overline{v}$ -valent and reachable from  $Hf_p'(C')$ ,  $Hf_p'(C')$  is also  $\overline{v}$ -valent.

☐ Subclaim 4.2.6.1

Subclaim 4.2.6.2. The history H of Subclaim 4.2.6.1 is not empty.

<sup>&</sup>lt;sup>6</sup>Recall that an n-consensus object solves consensus among n but not n+1 processes. A precise specification of the n-consensus object as a deterministic linearizable object, for  $n \geq 2$ , is given in [12, 13]: for the first n propose operations, the n-consensus object returns the value of the first propose operation, and it returns a special value  $\bot$  to any subsequent propose operation.

PROOF. Suppose, for contradiction, that H is empty. Thus there are two steps of p,  $f_p$  and  $f'_p$ , such that  $f_p(C')$  is v-valent and  $f'_p(C')$  is  $\overline{v}$ -valent. Since processes are deterministic,  $f_p$  and  $f'_p$  are steps by p on the same object O. Since  $f_p(C')$  and  $f'_p(C')$  have opposite valence, O must be nondeterministic. Since the algorithm uses only n-consensus objects, registers, and 2-SA objects, and both n-consensus objects and registers are deterministic, O is a 2-SA object. Thus the steps  $f_p$  and  $f'_p$  of p are propose operations on the 2-SA object O. Furthermore, since p is deterministic, the value proposed by p in  $f_p$  is the same as in  $f'_p$ . From the sequential specification of the 2-SA object (Algorithm 3), the state of the 2-SA object only records values that are proposed to it, not values that it returns. Thus the state of O is the same in  $f_p(C')$  as in  $f'_p(C')$ . Consequently,  $f_p(C')$  and  $f'_p(C')$  differ only in the state of p.

Now, let q be a process other than p. By Termination (b), there exists a finite q-solo history  $\hat{H}$  such that  $\hat{H}$  is applicable to  $f_p(C')$  and q decides in  $\hat{H}$ . Since  $f_p(C')$  and  $f'_p(C')$  differ only in the state of p,  $\hat{H}$  is also applicable to  $f'_p(C')$ . Thus q decides the same value in  $f_p\hat{H}(C')$  as in  $f'_p\hat{H}(C')$ , contradicting the fact that  $f_p(C')$  and  $f'_p(C')$  are univalent configurations with opposite valence.

☐ Subclaim 4.2.6.2

Let H be a history as in Subclaim 4.2.6.1. By Subclaim 4.2.6.2, it is a non-empty history  $H = h_1h_2 \dots h_k$ , where  $h_1, h_2, \dots, h_k$  are steps of processes that are not p. Let  $C_0, C_1, \dots, C_k$  be the configurations such that  $C_0 = C'$  and  $C_i = h_i(C_{i-1})$  for all  $i, 1 \le i \le k$ . For each  $i, 0 \le i \le k$ , we now define a step  $h_{p,i}$  of p applicable to  $C_i$ . Let  $h_{p,0} = f_p, h_{p,k} = f'_p$ , and for 0 < i < k, let  $h_{p,i}$  be any step of p that is applicable to  $C_i$ .

By Claim 4.2.5,  $h_{p,i}(C_i)$  is univalent for all  $i, 0 \le i \le k$ . Since  $h_{p,0}(C_0) = f_p(C')$ ,  $h_{p,0}(C_0)$  is v-valent. Then, since  $h_{p,k}(C_k) = Hf'_p(C')$ ,  $h_{p,k}(C_k)$  is  $\overline{v}$ -valent. Thus, there is some  $i, 0 \le i < k$ , such that  $h_{p,i}(C_i)$  is v-valent and  $h_{p,i+1}(C_{i+1})$  is  $\overline{v}$ -valent. Let  $C = C_i$ , and let  $q \ne p$  be the process that executes step  $h_{i+1}$ ,  $e_q = h_{i+1}$ ,  $e_p = h_{p,i}$ , and  $e'_p = h_{p,i+1}$ . Then we have that  $e_p$  and  $e_q e'_p$  are applicable to C,  $e_p(C)$  is v-valent, and  $e_q e'_p(C)$  is  $\overline{v}$ -valent, as wanted.

Let configuration C, process q, steps  $e_p$ ,  $e'_p$ , and  $e_q$ , and  $v \in \{0, 1\}$  be as in Claim 4.2.6. Thus,

$$e_p(C)$$
 is  $v$ -valent, while  $e_q e_p'(C)$  is  $\overline{v}$ -valent. (4)

Since processes are deterministic,  $e_p$  and  $e'_p$  access the same object X and  $e_q$  accesses some object Y.

Claim 4.2.7. X = Y.

PROOF. Suppose, for contradiction, that  $X \neq Y$ . Then the step  $e_q$  of q that is applicable to C is also applicable to  $e_p(C)$ . There are two cases:

Case 1.  $e_p = e'_p$ .

Then  $e_p$  and  $e_q$  commute at C; i.e.,  $e_pe_q$  and  $e_qe_p$  are both applicable to C, and  $e_pe_q(C) = e_qe_p(C) = e_qe_p'(C)$ . Since  $e_pe_q(C)$  has the same valence as  $e_p(C)$ , this contradicts (4). **Case 2.**  $e_p \neq e_p'$ .

Since  $e_p \neq e'_p$ , X is a nondeterministic object, i.e., a 2-SA object. Furthermore, since processes are deterministic, the

value proposed by p to the 2-SA object X is the same in  $e_p$  as in  $e_p'$ . Then, since  $e_q$  is an operation on  $Y \neq X$ , the state of X is the same in  $e_p e_q(C)$  as in  $e_q e_p'(C)$ . Consequently,  $e_p e_q(C)$  and  $e_q e_p'(C)$  differ only in the state of p.

By Termination (b), there exists a finite q-solo history  $\hat{H}$  such that  $\hat{H}$  is applicable to  $e_p e_q(C)$  and q decides in  $e_p e_q \hat{H}(C)$ . Since  $e_p e_q(C)$  and  $e_q e_p'(C)$  differ only in the state of p,  $\hat{H}$  is also applicable to  $e_q e_p'(C)$ . Thus q decides the same value in  $e_p e_q \hat{H}(C)$  as in  $e_q e_p' \hat{H}(C)$  contradicting (4).  $\square$  Claim 4.2.7

CLAIM 4.2.8. X is not a register.

PROOF. Suppose, for contradiction, that X is a register. There are two cases to consider:

**Case 1.**  $e_p$  is a read step.

Since processes are deterministic,  $e_p'$  is also a read step. Since  $e_p$  is a read of X, the state of register X is the same in C as in  $e_p(C)$ . Thus, since  $e_q$  is applicable to C, it is also applicable to  $e_p(C)$ . Thus the configurations  $e_pe_q(C)$  and  $e_qe_p'(C)$  are identical except possibly in the state of p. By Termination (b), there is a finite q-solo history H such that H is applicable to  $e_pe_q(C)$  and q decides in  $e_pe_qH(C)$ .

Since  $e_p e_q(C)$  and  $e_q e_p'(C)$  are identical except possibly in the state of p, and H contains no steps of p, H is also applicable to  $e_q e_p'(C)$ . Therefore q decides the same value in  $e_p e_q H(C)$  as in  $e_q e_p' H(C)$ . This contradicts (4).

**Case 2.**  $e_p$  is a write step.

Since processes are deterministic,  $e'_p = e_p$ . Thus both  $e_p$  and  $e'_p$  write the same value into register X, and so configurations  $e_p(C)$  and  $e_q e'_p(C)$  are identical, except possibly in the state of q. By Termination (a), there is a finite p-solo history H such that p aborts or decides some value  $u \in \{0, 1\}$  in  $e_pH(C)$ .

Since  $e_p(C)$  and  $e_qe_p'(C)$  are identical, except possibly in the state of q, and H contains no steps of q, H is also applicable to  $e_qe_p'(C)$ . Therefore p aborts in both  $e_pH(C)$  and  $e_qe_p'H(C)$ , or p decides the same value  $u \in \{0,1\}$  in both  $e_pH(C)$  and  $e_qe_p'H(C)$ . If p aborts, then, by Claim 4.2.2, both  $e_pH(C)$  and  $e_qe_p'H(C)$  are 0-valent; if p decides  $u \in \{0,1\}$ , then both  $e_pH(C)$  and  $e_qe_p'H(C)$  are u-valent. This contradicts (4).

□ Claim 4.2.8

#### CLAIM 4.2.9. X is not an n-consensus object.

PROOF. Suppose, for contradiction, that X is an n-consensus object. Let  $e_q'$  be any step of q that is applicable to  $e_p(C)$ . From (4), the configuration  $C_v = e_p e_q'(C)$  and  $C_{\overline{v}} = e_q e_p'(C)$  have valence v and  $\overline{v}$ , respectively. Note that the consensus object X is the only object whose state in  $C_v$  may differ from its state in  $C_{\overline{v}}$ . Furthermore, since  $C_v$  and  $C_{\overline{v}}$  are configurations reached from C via steps of p and q on X, each process that is not p or q has the same state in  $C_v$  as in  $C_{\overline{v}}$ .

Let q' be a process that is not p or q; q' exists, since there are n+1>2 processes. Let R be the set of n-2 processes that are not p, q or q' (if n=2 the set R is empty). We construct a history H that is applicable to  $C_{\mathcal{V}}$  as follows. Starting from  $C_{\mathcal{V}}$ , let each process  $r \in R$  (in some arbitrary order), run solo until it is about to

*perform an operation on X*: this must happen because  $r \neq p$ , so by Termination(b), when r runs solo it must eventually decide some value, but r cannot distinguish between the univalent configurations  $C_{\overline{v}}$  and  $C_{\overline{v}}$  with opposite valence without accessing X. Note that in history H, no process performs an operation on X, which is the only object whose state in  $C_{\overline{v}}$  may differ from its state in  $C_{\overline{v}}$ . Thus H is also applicable to  $C_{\overline{v}}$ , each process  $r \in R$  has the same state in  $H(C_{\overline{v}})$  as in  $H(C_{\overline{v}})$ , and X is the only object whose state in  $H(C_{\overline{v}})$  may differ from its state in  $H(C_{\overline{v}})$ .

Starting from  $H(C_v)$ , let each process  $r \in R$  take one step (in some arbitrary order), and let  $C_v'$  be the resulting configuration. Similarly, starting from  $H(C_{\overline{v}})$ , let each process  $r \in R$  take one step (in some arbitrary order), and let  $C_{\overline{v}}'$  be the resulting configuration. Note that from the construction of H, every process  $r \in R$  is about to perform an operation on X at  $H(C_v)$  and  $H(C_{\overline{v}})$ . Thus X is the only object whose state in  $C_v'$  may differ from its state in  $C_v'$ . Furthermore, in both  $C_v'$  and  $C_{\overline{v}}'$ , at least n operations have been performed on X (because every process, except possibly q', has performed at least one operation on X). Since X is an n-consensus object, after n operations have been performed on it, X is no longer useful in differentiating between configurations  $C_v'$  or  $C_v'$  more precisely, any operation that is applied to X after reaching configurations  $C_v'$  or  $C_v'$  returns the special value  $\bot$ . Note also that  $C_v'$  and  $C_v'$  are configurations with opposite valence.

CLAIM 4.2.10. X is not a 2-SA object.

PROOF. Suppose, for contradiction, that X is a 2-SA object. Thus p and q are both invoking propose operations on X. Let  $v_p$  and  $v_q$  be their respective proposal values. Since processes are deterministic,  $e_p'$  also proposes  $v_p$ .

Subclaim 4.2.10.1. There exists a value  $\hat{v}$  such that  $\hat{v}$  is in X.State in both  $e_p(C)$  and  $e_qe_p'(C)$ .

PROOF. If *X*.STATE is not empty at *C*, we are done, since values are never removed from *X*.STATE. Otherwise, *X*.STATE contains  $v_p$  in both  $e_p(C)$  and  $e_q e_p'(C)$ .

Now, let q' be a process other than p and q; q' exists, since there are n+1>2 processes. Let  $\hat{v}$  be a value as in Subclaim 4.2.10.1, and let  $\hat{H}$  be the finite history where, starting from  $e_p(C)$ , q' runs solo while X responds to all operations with  $\hat{v}$ , until q' decides, which eventually happens by Termination (b). Since the state of q' is the same in  $e_p(C)$  as in  $e_q e_p'(C)$ , and  $\hat{v}$  is in X.STATE in  $e_q e_p'(C)$ , this q'-solo history  $\hat{H}$  is also applicable to  $e_q e_p'(C)$ . Thus q' decides the same value in both  $e_p\hat{H}(C)$  and  $e_q e_p'\hat{H}(C)$ . This contradicts (4).

□ Claim 4.2.10

By Claims 4.2.8, 4.2.9, and 4.2.10, X is not an n-consensus object, register, or 2-SA object, contradicting our assumption that Algorithm  $\mathcal{A}$  uses only such objects.  $\Box$  Theorem 4.2

Theorems 4.1 and 4.2 imply:

THEOREM 4.3. For all  $n \ge 2$ , (n + 1)-PAC objects cannot be implemented using n-consensus objects, registers, and 2-SA objects.

# 5 (N, M)-PAC IS AT LEVEL M OF THE CONSENSUS HIERARCHY

In this section, we first define a "boosted" version of the n-PAC object: for all  $n \ge 1$  and  $m \ge 1$ , the (n, m)-PAC object is a combination of an n-PAC object P and an m-consensus object P. The (n, m)-PAC object supports three operations:

- PROPOSEC(v), which redirects the operation PROPOSE(v) to C
  and returns the response.
- PROPOSEP(v, i), which redirects the operation PROPOSE(v, i) to P and returns the response.
- DECIDEP(i), which redirects the operation DECIDE(i) to P and returns the response.

Note that for all  $n \ge 1$  and  $m \ge 1$ , (n, m)-PAC objects are deterministic, since both n-PAC objects and m-consensus objects are deterministic.

Observation 5.1. For all  $n \ge 1$  and  $m \ge 1$ ,

- (a) An (n, m)-PAC object can be implemented using an n-PAC object and an m-consensus object.
- (b) An (n, m)-PAC object can implement an n-PAC object.
- (c) An (n, m)-PAC object can implement an m-consensus object.

We now show that for  $m \ge 2$ , (n, m)-PAC objects are at level m of the consensus hierarchy.

THEOREM 5.2. For all  $n \ge 1$  and  $m \ge 2$ , (n, m)-PAC objects and registers cannot be used to solve the (m + 1)-consensus problem.

PROOF. By Observation 5.1(a), the (n,m)-PAC object can be implemented using an n-PAC object and an m-consensus object. Thus it suffices to show that, for all  $n \ge 1$  and  $m \ge 2$ , the (m+1)-consensus problem cannot be solved using only n-PAC objects, m-consensus objects, and registers.

As in the proof of Theorem 4.2, we use the bivalency technique and terminology introduced in [8]. Assume, for contradiction, that there exists a wait-free algorithm  $\mathcal A$  that solves binary consensus among m+1 processes using only m-consensus objects, registers, and n-PAC objects. We omit the proofs of Claims 5.2.1 to 5.2.5 since they are standard.

Claim 5.2.1. The algorithm has an initial bivalent configuration  $C_{init}$ .

CLAIM 5.2.2. There is a bivalent configuration  $C_{bi}$ , reachable from  $C_{init}$ , such that if any process takes a step, the resulting configuration is univalent.

CLAIM 5.2.3. At  $C_{bi}$ , all m+1 processes are about to perform an operation on the same object  $\mathcal{D}$ .

Claim 5.2.4.  $\mathcal{D}$  is not a register.

Claim 5.2.5.  $\mathcal{D}$  is not an m-consensus object.

It remains to show that  $\mathcal{D}$  is not an n-PAC object. To do so, we use the following two claims.

CLAIM 5.2.6. There does not exist a pair of reachable univalent configurations C and C' with opposite valence such that (i) there is a process that has the same state in C as in C', (ii) there is an n-PAC object D that is upset in both C and C', and (iii) every object other than D has the same state in C as in C'.

PROOF. Suppose, for contradiction, that there exists such a pair of configurations C and C'. Let p denote the process with the same state in C as in C', and let D denote the n-PAC object that is upset in both C and C'. Since C and C' have opposite valence, process p must be undecided in both C and C'. Consider a run R starting from C where p takes steps solo until it decides (this must eventually happen by the Termination property of the consensus problem). Since D is upset in C, all decide operations by p on D in R return  $\bot$ . Furthermore, all propose operations by p return **done**. Since p has the same state in C as in C', and D is upset in both C and C', it is clear that there is an identical p-solo run R' that starts from C'. Thus p decides the same value in both R and R', contradicting that C and C' have opposite valence.

CLAIM 5.2.7. There does not exist a pair of reachable univalent configurations C and C' with opposite valence such that (i) there are two processes that have the same state in C as in C', and (ii) with the possible exception of a single n-PAC object D, every object has the same state in C as in C'.

PROOF. Suppose, for contradiction, that such a pair exists. Let  $q_0$  and  $q_1$  denote the two processes that have the same state in C as in C'. Since C and C' have opposite valence, processes  $q_0$  and  $q_1$  must be undecided in both C and C'. Let D denote the n-PAC object whose state may be different in C than in C'.

Construct a run *R* starting from *C* as follows:

- (1) First let  $q_0$  take steps solo until it is about to perform a DECIDE(i) operation on D for some label i. This must eventually happen, since D is the only object whose state in C is not the same as its state in C', and all PROPOSE(-, -) operations on D return the value **done** regardless of the state of D.
- (2) Then let  $q_1$  take steps solo until it is about to perform a decide(i') operation on D for some label i'.

By the same argument, this must eventually happen.

Since  $q_0$  and  $q_1$  have the same state in C as in C', and propose operations on D always return **done**, it is clear that there is an identical run R' that starts from C'.

Let  $C_{clash}$  and  $C'_{clash}$  denote the configurations resulting from R and R' respectively. Since R is identical to R', (i)  $q_0$  and  $q_1$  have the same state in  $C_{clash}$  as in  $C'_{clash}$ , and (ii) all objects except possibly D have states that are the same in  $C_{clash}$  as in  $C'_{clash}$ . Note that  $q_0$  and  $q_1$  are about to perform a DECIDE(i) operation and a DECIDE(i') operation on D, respectively, in both  $C_{clash}$  and  $C'_{clash}$ . Furthermore, since they began from C and C' respectively,  $C_{clash}$  and  $C'_{clash}$  are univalent configurations with opposite valence. There are two cases:

#### **Case 1.** i = i'.

Consider the following configurations:

- The configuration  $\overline{C}$  reached from  $C_{clash}$  by letting first  $q_0$  and then  $q_1$  perform their DECIDE(i) operations.
- The configuration  $\overline{C}'$  reached from  $C'_{clash}$  by letting first  $q_0$  and then  $q_1$  perform their DECIDE(i) operations.

Since  $C_{clash}$  and  $C'_{clash}$  are univalent configurations with opposite valence,  $\overline{C}$  and  $\overline{C}'$  are univalent configurations with opposite valence. However, since two  $\mathtt{DECIDE}(i)$  operations were performed consecutively on the n-PAC object D, D is upset in both  $\overline{C}$  and  $\overline{C'}$ . Furthermore, when going from  $C_{clash}$  to  $\overline{C}$  and also when going from  $C'_{clash}$  to  $\overline{C}'$ ,  $q_1$  received  $\bot$  for its  $\mathtt{DECIDE}(i)$  operation because either this decide operation upsets D or D was already upset. Consequently,  $q_1$  has the same state in  $\overline{C}$  as in  $\overline{C}'$ . In other words,  $\overline{C}$  and  $\overline{C}'$  are a pair of reachable univalent configurations with opposite valence such that (i) there is a process, namely  $q_1$ , that has the same state in  $\overline{C}$  as in  $\overline{C}'$ , (ii) there is an n-PAC object, namely D, that is upset in both  $\overline{C}$  and  $\overline{C}'$ , and (iii) every object other than D has the same state in  $\overline{C}$  as in  $\overline{C}'$ . This contradicts Claim 5.2.6.

#### Case 2. $i \neq i'$ .

From the sequential specification of an n-PAC object D (given in Algorithm 1), it is clear that a  $\mathsf{DECIDE}(i)$  operation on D returns  $\bot$  if either D is upset, or i is not equal to the value of the variable D.L of the state of D.

Consider the state of D in configuration  $C_{clash}$ . If D is upset, then both  $q_0$  and  $q_1$  can perform their decide operations on D and receive  $\bot$ . Now suppose that D is not upset in  $C_{clash}$ , and consider the value of D.L in  $C_{clash}$ . Since  $i \neq i'$ , either  $D.L \neq i$  or  $D.L \neq i'$ . Without loss of generality, suppose that  $D.L \neq i$ . Let  $q_0$  perform Decide(i). Since  $D.L \neq i$ ,  $q_0$  receives the reply  $\bot$  from D. Moreover, from the sequential specification of D shown in Algorithm 1,  $q_0$ 's decide operation either causes D to become upset, or it sets D.L to NIL. Now let  $q_1$  perform Decide(i') on D. Since either D is upset or  $D.L = NIL \neq i'$ ,  $q_1$  also receives the reply  $\bot$  from D.

From the above, we conclude that starting from configuration  $C_{clash}$ , there is an order in which  $q_0$  and  $q_1$  can each perform their decide operation such that they both receive  $\bot$  from D. Let  $\overline{C}$  be the resulting configuration.

By a symmetric argument, starting from  $C'_{clash}$ , there is an order in which  $q_0$  and  $q_1$  can each perform their decide operation such that they both receive  $\bot$  from D. Let  $\overline{C}'$  be the resulting configuration.

Since  $C_{clash}$  and  $\overline{C}'_{clash}$  are univalent configurations with opposite valence,  $\overline{C}$  and  $\overline{C}'$  are univalent configurations with opposite valence. Furthermore, since  $q_0$  and  $q_1$  have the same state in  $C_{clash}$  as in  $C'_{clash}$ , and both  $q_0$  and  $q_1$  receive the same response in going from  $C_{clash}$  to  $\overline{C}$  as in going from  $C'_{clash}$  to  $\overline{C}'$ , they also have the same state in  $\overline{C}$  as in  $\overline{C}'$ . In other words,  $\overline{C}$  and  $\overline{C}'$  are a pair of reachable univalent configurations with opposite valence such that (i) there are two processes, namely  $q_0$  and  $q_1$ , that have the same state in  $\overline{C}$  as in  $\overline{C}'$ , and (ii) with the possible exception of a single

*n*-PAC object, namely  $\mathcal{D}$ , every object has the same state in  $\overline{C}$  as in  $\overline{C}'$ .

Let  $C_1 = C$  and  $C'_1 = C'$ . Since we have proven that Case 1 never occurs, a straightforward induction on Case 2 allows us to construct for all j > 1 a pair of reachable univalent configurations  $C_j$  and  $C'_j$  with opposite valence such that (i) there are two processes, namely  $q_0$  and  $q_1$ , that have the same state in  $C_j$  as in  $C'_j$  (so they are both undecided in  $C_j$  and in  $C'_j$ ), (ii) with the possible exception of a single n-PAC object, namely  $\mathcal{D}$ , every object has the same state in  $C_j$  as in  $C'_j$ , and (iii)  $C_j$  and  $C'_j$  are reached from  $C_{j-1}$  and  $C'_{j-1}$ , respectively, via runs in which both  $q_0$  and  $q_1$  take at least one step. Thus, this induction constructs runs in which both  $q_0$  and  $q_1$  take infinitely many steps without ever deciding — a violation of the Termination property of consensus.

CLAIM 5.2.8.  $\mathcal{D}$  is not an n-PAC object.

PROOF. Suppose, for contradiction,  $\mathcal{D}$  is an n-PAC object. Recall that by Claim 5.2.3, at  $C_{bi}$ , all m+1 processes are about to perform an operation on  $\mathcal{D}$ .

Subclaim 5.2.8.1. At  $C_{bi}$ , every process is about to perform a decide operation on  $\mathcal{D}$ .

PROOF. Suppose, for contradiction, that some process  $p_0$  is about to perform a propose operation on  $\mathcal{D}$  at  $C_{bi}$ . Without loss of generality, suppose the resulting configuration  $C_0$  is 0-valent. By Claim 5.2.2, there must exist another process  $p_1$  such that if  $p_1$  takes a step at  $C_{bi}$ , the resulting configuration  $C_1$  is 1-valent. Consider the 1-valent configuration  $C'_1$  reached by having  $p_0$  take a step at  $C_1$ . Since propose operations always return **done**,  $p_0$  has the same state in  $C_0$ as in  $C'_1$ . Furthermore, since there are at least m + 1 > 2 processes, there exists a process  $p_2$  that is neither  $p_0$  nor  $p_1$ , and since  $p_2$ has not taken any steps since  $C_{bi}$ ,  $p_2$  has the same state in  $C_0$  as in  $C'_1$ . Thus  $C_0$  and  $C'_1$  are a pair of reachable univalent configurations with opposite valence such that: (i) there are two processes, namely  $p_0$  and  $p_2$ , that have the same state in  $C_0$  as in  $C'_1$ , and (ii) with the possible exception of a single n-PAC object, namely  $\mathcal{D}$ , every object has the same state in  $C_0$  as in  $C'_1$ . This contradicts Claim 5.2.7.

Subclaim 5.2.8.2. If a process performs a decide operation on  $\mathcal D$  at  $C_{bi}$ , the reply of  $\mathcal D$  is not  $\bot$ .

PROOF. Suppose, for contradiction, that a process  $p_0$  performs a decide operation on  $\mathcal{D}$  at  $C_{bi}$  and receives  $\bot$  from  $\mathcal{D}$ . Let  $C_0$  be the resulting configuration. Without loss of generality, suppose that  $C_0$  is 0-valent. By Claim 5.2.2, there exists another process  $p_1$  such that if  $p_1$  takes a step at  $C_{bi}$ , the resulting configuration  $C_1$  is 1-valent. By Subclaim 5.2.8.1, this step of  $p_1$  is a decide operation on  $\mathcal{D}$ , so either it sets  $\mathcal{D}.L \leftarrow \text{NIL}$ , or  $\mathcal{D}$  is upset in  $C_1$ . Consider the 1-valent configuration  $C_1'$  reached by having  $p_0$  perform its decide operation at  $C_1$ . Since either  $\mathcal{D}.L = \text{NIL}$ , or  $\mathcal{D}$  is upset in  $C_1$ , process  $p_0$  receives  $\bot$  for this decide operation. Thus  $p_0$  has the same state in  $C_0$  as in  $C_1'$ . The rest of the proof is now as in Subclaim 5.2.8.1

Recall that a  $\mathtt{DECIDE}(i)$  operation on  $\mathcal D$  returns  $\bot$  if either  $\mathcal D$  is upset, or i is not equal to the value of the variable  $\mathcal D.L$  of the state of  $\mathcal D$ . Consequently, from Subclaim 5.2.8.1 and Subclaim 5.2.8.2, every process is about to perform a  $\mathtt{DECIDE}(i)$  operation at  $C_{bi}$ , such that  $i = \mathcal D.L$  in  $C_{bi}$ . So, from Claim 5.2.2, there exist two processes  $p_0$  and  $p_1$  such that if  $p_0$  performs  $\mathtt{DECIDE}(i)$  at  $C_{bi}$ , the resulting configuration  $C_0$  is 0-valent, and if  $p_1$  performs  $\mathtt{DECIDE}(i)$  at  $C_{bi}$ , the resulting configuration  $C_1$  is 1-valent. Consider the following configurations:

- The 0-valent configuration  $C'_0$  reached from  $C_{bi}$  by first letting  $p_0$  and then  $p_1$  perform their DECIDE(i) operations.
- The 1-valent configuration C'<sub>1</sub> reached from C<sub>bi</sub> by first letting p<sub>1</sub> and then p<sub>0</sub> perform their DECIDE(i) operations.

Since two consecutive decide operations with the same label i were performed,  $\mathcal{D}$  is upset in both  $C_0'$  and  $C_1'$ . Furthermore, since there are m+1>2 processes, there exists a process  $p_2$  that is neither  $p_0$  nor  $p_1$ , and since  $p_2$  has not taken any steps since  $C_{bi}$ ,  $p_2$  has the same state in  $C_0'$  as in  $C_1'$ . Thus  $C_0'$  and  $C_1'$  are a pair of reachable univalent configurations with opposite valence such that (i) there is a process, namely  $p_2$ , that has the same state in  $C_0'$  as in  $C_1'$ , (ii) there is an n-PAC object, namely  $\mathcal{D}$ , that is upset in both  $C_0'$  and  $C_1'$ , and (iii) every object other than  $\mathcal{D}$  has the same state in  $C_0'$  as in  $C_1'$ . This contradicts Claim 5.2.6.

By Claim 5.2.4, Claim 5.2.5, and Claim 5.2.8,  $\mathcal{D}$  is neither an m-consensus object, nor an n-PAC object, nor a register. This contradicts that algorithm  $\mathcal{A}$  uses only such objects.  $\Box$  Theorem 5.2

By Observation 5.1(c) and Theorem 5.2, we have:

THEOREM 5.3. For all  $n \ge 1$ ,  $m \ge 2$ , (n, m)-PAC objects are at level m of the consensus hierarchy.

# 6 SET AGREEMENT POWER DOES NOT DETERMINE OBJECT EQUIVALENCE

We now prove the main result of this paper. This proof relies on (n, k)-SA objects, which allow up to n processes to solve the k-set agreement problem [2, 6]. With an (n, k)-SA object, each of n processes can apply a PROPOSE(v) operation and receive a value that satisfies the (n, k)-set agreement problem requirements.

Definition 6.1. For each  $n \ge 2$ , let  $O_n$  be the (n+1, n)-PAC object.

We now define an object  $O'_n$  that "embodies" the set agreement power of  $O_n$ . Let  $n \geq 2$ , and  $(n_1, n_2, \ldots, n_k, \ldots)$  be the set agreement power of  $O_n$ . Let  $C_n$  be the collection of  $(n_k, k)$ -SA objects for all  $k \geq 1$ , i.e.,  $C_n = \bigcup_{k=1}^{\infty} \{(n_k, k)\text{-SA}\}$ . The object  $O'_n$  combines all the set agreement objects in  $C_n$  as follows: for all  $k \geq 1$ ,  $O'_n$  supports the PROPOSE(v, k) operation, which redirects the operation PROPOSE(v) to the  $(n_k, k)$ -SA object of  $C_n$ , and returns that object's response. Note that, by construction and the definition of set agreement power,  $O'_n$  has the same set agreement power as  $O_n$ , namely,  $(n_1, n_2, \ldots, n_k, \ldots)$ .

By Theorem 5.3, we have:

Observation 6.2. For each  $n \ge 2$ ,  $O_n$  has consensus number n.

From Theorem 4.3 and Observation 5.1(b), we also have:

Observation 6.3. For each  $n \ge 2$ ,  $O_n$  cannot be implemented by n-consensus objects, registers, and 2-SA objects.

Lemma 6.4. For each  $n \ge 2$ ,  $O'_n$  can be implemented by n-consensus objects and 2-SA objects.

PROOF. Let  $n \geq 2$  and  $(n_1, n_2, \ldots, n_k, \ldots)$  be the set agreement power of  $O_n$ . Recall that  $O'_n$  can be implemented by the objects in  $C_n = \bigcup_{k=1}^{\infty} \{(n_k, k)\text{-SA}\}$ . By Observation 6.2,  $O_n$  has consensus number n, so  $n_1 = n$ . Thus the  $(n_1, 1)$ -SA object in  $C_n$  can be implemented by an n-consensus object. In addition, for all  $k \geq 2$ , the  $(n_k, k)$ -SA object in  $C_n$  can be implemented by the 2-SA object. So every object in the collection  $C_n = \bigcup_{k=1}^{\infty} \{(n_k, k)\text{-SA}\}$  can be implemented by an n-consensus object or a 2-SA object. Thus  $O'_n$  can be implemented by n-consensus objects and 2-SA objects.

Theorem 6.5. For all  $n \geq 2$ ,  $O_n$  cannot be implemented by  $O'_n$  objects and registers.

PROOF. Let  $n \ge 2$ . Suppose, for contradiction, that  $O_n$  can be implemented by  $O'_n$  objects and registers. So, by Lemma 6.4,  $O_n$  can be implemented by n-consensus objects, 2-SA objects, and registers — contradicting Observation 6.3.

By Observation 6.2,  $O_n$  is at level n of the consensus hierarchy. Since  $O'_n$  has the same set agreement power as  $O_n$ , it is also at level n of the consensus hierarchy. So by Theorem 6.5, we have:

COROLLARY 6.6. In every level  $n \geq 2$  of the consensus hierarchy, there is a pair of objects, namely  $O_n$  and  $O'_n$ , that have the same set agreement power but are not equivalent.

COROLLARY 6.7. In every level  $n \ge 2$  of the consensus hierarchy, there is a deterministic object, namely  $O_n$ , that has set agreement power  $(n_1, n_2, \ldots, n_k, \ldots)$  but cannot be implemented from arbitrary solutions to the k-set agreement problems among  $n_k$  processes, for all  $k \ge 1$ , and registers.

PROOF. Let  $n \geq 2$  and consider the objects  $O_n$  and  $O'_n$ . Let  $(n_1, n_2, \ldots, n_k, \ldots)$  be the set agreement power of  $O_n$ , and therefore of  $O'_n$  as well. So  $O'_n$  objects and registers can solve the k-set agreement problem among  $n_k$  processes for all  $k \geq 1$ . Suppose, for contradiction, that  $O_n$  can be implemented from arbitrary solutions of the k-set agreement problems among  $n_k$  processes, for all  $k \geq 1$ , and registers. Thus  $O_n$  can be implemented from  $O'_n$  objects and registers — contradicting Theorem 6.5.

#### 7 A RELATED RESULT

Qadri posed the following question in [13]: can (m + 1)-consensus objects and registers implement every deterministic object at level m of the consensus hierarchy? Using PAC objects, we show that the answer is no. In fact, we show the following more general result:

Theorem 7.1. For all  $m \ge 2$  and  $n \ge m+1$ , level m of the consensus hierarchy contains a deterministic object, namely the (n+1,m)-PAC object, that cannot be implemented using n-consensus objects and registers.

PROOF. Let  $m \ge 2$  and  $n \ge m + 1$ . Consider the deterministic object (n + 1, m)-PAC, which by Theorem 5.3, is at level m of the

consensus hierarchy. We claim that the (n+1,m)-PAC object cannot be implemented using n-consensus objects and registers. Suppose, for contradiction, that the (n+1,m)-PAC object can be implemented using n-consensus objects and registers. By Observation 5.1(b), the (n+1,m)-PAC object can solve the (n+1)-DAC problem, thus the (n+1)-DAC problem can be solved using n-consensus objects and registers — contradicting Theorem 4.2.

#### 8 CONCLUSION

This paper proves that the set agreement power of an object does not fully characterize its ability to implement other objects: every level  $n \geq 2$  of the consensus hierarchy has objects that have the same set agreement power but are not equivalent (Corollary 6.6). The paper also shows that every level  $n \geq 2$  of the consensus hierarchy has a *deterministic* object, namely  $O_n$ , with set agreement power  $(n_1, n_2, \ldots, n_k, \ldots)$  such that being able to solve the k-set agreement problems among  $n_k$  processes, for all  $k \geq 1$ , is not enough to implement  $O_n$  (Corollary 6.7). This result seems to refute a conjecture mentioned in [7], namely that the "computational power" of a deterministic object can be captured by its set agreement power.

We say that an object O is equivalent to its set agreement power if *O* is equivalent to the collection of objects  $C = \bigcup_{k=1}^{\infty} \{(n_k, k)\text{-SA}\},$ where  $(n_1, n_2, ..., n_k, ...)$  is the set agreement power of O. Corollary 6.6 implies that there are objects that are not equivalent to their set agreement power. Consider now the subset  $\mathcal{R}$  of objects that are equivalent to their set agreement power. In other work [4], we use a result of [7] to prove that the restriction of Herlihy's hierarchy to  $\mathcal{R}$  is *robust* in the following sense [12]: in  $\mathcal{R}$ , for every positive integer n, any set of objects with consensus number at most *n* cannot be used to implement any object with consensus number n' > n. In fact, we prove the following a more general result: roughly speaking, for any integer  $\ell \geq 1$ , if we classify objects in  $\mathcal{R}$  based on the first  $\ell$  components of their set agreement power sequence (i.e., if we place in the same class all the objects whose set agreement powers are identical for the first  $\ell$  components), we obtain a classification that is also robust.

In [4] we also prove that the universe  $\mathcal U$  of all shared-memory objects contains uncountably many objects with distinct computational power: the equivalence relation between objects (defined in Section 1) partitions  $\mathcal U$  into uncountably many equivalence classes. Thus, the computational power of objects cannot be fully described by a countable scheme such as a finite vector of integers.

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