# **NLP Assignment-1**

### TASK-1

The code implements a WordPiece Tokenizer, commonly used in natural language processing tasks like language modeling and text classification. The algorithm focuses on breaking down words into subword units, allowing it to handle out-of-vocabulary words effectively. This approach is used in models like BERT.

- 1. Initialization (\_\_init\_\_ method)
  - vocab\_size: The desired vocabulary size (default 1000).
  - vocabulary: Dictionary to store tokens and their indices.
  - split\_corpus: Stores the corpus split into subword tokens for further processing
- 2. Preprocessing the Data (preprocess data method)
  - Converts text to lowercase to maintain uniformity.
  - Removes punctuation using regular expressions (re.sub).
  - Removes extra spaces and tokenizes the text by splitting on spaces.

Example:

Input: "Hello, World!!"
Output: ["hello", "world"]

- 3. Vocabulary Initialization (initialize vocabulary method)
  - Each word is split into characters. The first character remains unchanged, while the following characters are prefixed with "##" (indicating continuation).
  - Example: "word" → ["w", "##o", "##r", "##d"]
  - This initial set forms the base vocabulary, and special tokens like [UNK] (unknown token) and [PAD] (padding token) are added.
- 4. Building the Split Corpus (build split corpus method)
  - Constructs a list where each word is broken down into its character-level tokens (with "##" for suffixes).
- 5. Pair Counting (count\_pairs method)
  - Counts the frequency of consecutive token pairs in the corpus to identify which pairs occur most frequently.

- 6. Token Frequency Calculation (compute token frequencies method)
  - Calculates the frequency of each token in the split corpus.
- 7. Pair Scoring (calculate pair scores method)
  - Scores each token pair based on the formula

```
	ext{Score} = rac{	ext{Frequency of Pair}}{	ext{Frequency of First Token} 	imes 	ext{Frequency of Second Token}}
```

- This helps prioritize merging pairs that frequently occur together.
- 8. Merging Token Pairs (merge\_pair method)
  - The highest-scoring pair is merged into a new subword token. The "##" prefix is retained if necessary. And removed if it is coming between merging.
  - Example: Merging ("b", "##u") → "bu"
  - The split corpus is updated to reflect this merge, and the new token is added to the vocabulary.
- 9. Vocabulary Construction (construct\_vocabulary method)
  - The tokenizer continues merging pairs until the vocabulary reaches the specified vocab\_size or no high-scoring pairs are left.
  - Writes the final vocabulary to a text file (vocabulary\_20.txt), listing each token in order of its index.
- 10. Tokenization (tokenize method)
  - Tokenizes new sentences based on the learned vocabulary:
    - Tries to find the longest matching subword in the vocabulary.
    - o If no match is found, [UNK] is used.
    - o Example:

Input: "jumble"

Output: ["jum", "##bl", "##e"] (if these subwords exist in the vocabulary)

### **11. MAIN**

Reads the corpus from corpus.txt.  $\rightarrow$  Trains the tokenizer  $\rightarrow$  Saves the vocabulary  $\rightarrow$  Tokenizes sentences from sample\_test.json  $\rightarrow$  Output in Vocabulary.json file

### Outputs:

- 1. vocabulary 20.txt
  - Lists all the tokens in the final vocabulary after training.

### tokenized 20.json

• A JSON file where each sentence in sample\_test.json is tokenized into subwords.

Performance Metrices:

Training completed in 96.76 seconds

Vocabulary size: 1000

Test file processing completed in 0.04 seconds

Total execution time: 96.83 seconds

### TASK-2

The code implements a Word2Vec model (CBOW - Continuous Bag of Words) using PyTorch. This model basically helps to create a model which helps to predict a word given a sentence through the neural network. In the Neural network The word embeddings(features of words) are also created.

### 1. Load and Preprocess Corpus:

- Reads sentences from corpus.txt.
- Uses WordPieceTokenizer (from Task 1) to tokenize sentences.
- Each sentence is tokenized, but only *full words* are retained (tokens not starting with ##). The goal is to build a vocabulary focusing on complete words rather than fragmented subwords.

# 2. Vocabulary Creation

- Counter(tokens): Counts the frequency of each token to understand word importance.
- Mapping:
  - Each word is assigned a unique index (word\_to\_idx).
  - Special tokens: [PAD] (padding) as 0 and [UNK] (unknown words) as 1.
- Reverse Mapping:
  - idx\_to\_word allows mapping indices back to words for interpretation during output generation.

# 3. Dataset Preparation (Word2VecDataset Class)

- Context-Target Pairs:
  - For each target word, the surrounding words within a window of size 4 are considered as context.
  - The context words are one-hot encoded and averaged to form a single context vector representing the sentence's local context.
- Why Context Averaging?
  - Instead of treating each context word separately, averaging helps in generalizing the local meaning of the target word.

# 4. Model Architecture (Word2VecModel Class)

- Layer 1 (fc1): Maps the high-dimensional one-hot vector to a lower-dimensional embedding (embed size = 50).
- Batch Normalization (bn1): Stabilizes learning by normalizing the outputs of the first layer.
- Dropout (dropout1): Prevents overfitting by randomly deactivating neurons during training.
- Layer 2 (fc2): Maps the embedding back to the vocabulary space to predict the target word.

# 5. Model Training

- Loss Function: CrossEntropyLoss compares the predicted word distribution with the actual target word.
- Optimizer: Adam is used for efficient gradient-based optimization.
- Learning Rate Scheduler: Reduces the learning rate when the validation loss stops improving, enhancing convergence.
- Early Stopping: Stops training if the validation loss doesn't improve for 3 consecutive epochs to avoid overfitting.

# 6. Finding Word Triplets (Semantic Similarity)

- Extracting Embeddings:
  - The weights of the first layer (fc1) are treated as the learned word embeddings.
- Cosine Similarity:
  - Measures how similar two words are based on the angle between their embedding vectors.
- Triplet Generation:
  - For each target word:
    - Similar Words: Two words with the highest cosine similarity.
    - Dissimilar Word: One word with the lowest cosine similarity.
- Ignored Words: Common words like "the," "is," "and," etc., are skipped to focus on more meaningful content.

### **Outputs:**

Printed the outputs to See how the sentences are tokenized.

# Training loss and validation loss

```
Epoch 1/10, Train Loss: 4.2388, Val Loss: 3.5451
Epoch 2/10, Train Loss: 3.5440, Val Loss: 3.5317
Epoch 3/10, Train Loss: 3.5186, Val Loss: 3.5345
Epoch 4/10, Train Loss: 3.5037, Val Loss: 3.5345
Epoch 5/10, Train Loss: 3.4873, Val Loss: 3.5352
Early stopping.
```

Early Stopping is implemented for fast running and to prevent overfitting.

Train Loss is decreasing, indicating the model is learning. Validation Loss plateaus around Epoch 3-4.



# Triplets:

```
Word Triplets:
Triplet 1: Target: s, Similar: ['coupl', 'no'], Dissimilar: wh
Triplet 2: Target: h, Similar: ['v', 'd'], Dissimilar: ca
Triplet 3: Target: f, Similar: ['about', 'm'], Dissimilar: b
Triplet 4: Target: empty, Similar: ['c', 'kids'], Dissimilar: lov
Triplet 5: Target: c, Similar: ['oth', 'empty'], Dissimilar: not
```

The model finds **semantically related words** based on cosine similarity.

Some words are meaningless like s, h etc this happening because of large corpus size and the and the small vocab size i.e. 1000 compared to corpus.

### TASK-3

This code trains and evaluates three variations of a Neural Language Model (Neural LM) for next-word prediction using a pre-trained WordPiece Tokenizer and Word2Vec embeddings.

### Preprocessing the Corpus

- Reads sentences from corpus.txt.
- Tokenizes sentences using a WordPiece Tokenizer.
- Converts words into indices using a vocabulary.
- Forms context-target pairs for training.

### Dataset Creation (NeuralLMDataset Class)

- Uses a context window of size 3 (each training sample consists of 3 words as input and 1 word as output).
- Converts words into integer indices for model training.
- Returns (context, target) pairs for training and validation.

### Three Neural LM Variations

- NeuralLM1: Simple 2-layer feedforward model with ReLU activation.
- NeuralLM2: 3-layer model with an extra hidden layer and dropout to improve generalization.
- NeuralLM3: 4-layer model with Tanh & ReLU activation and a larger dropout to prevent overfitting.

# **Training Function**

- Uses CrossEntropyLoss and Adam optimizer.
- Computes training & validation loss, accuracy, and perplexity.
- Implements learning rate scheduling and early stopping.

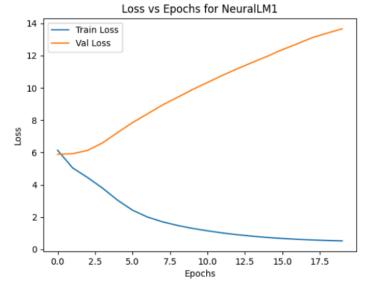
### **Next-Word Prediction Pipeline**

- Reads test.txt.
- Predicts three tokens for each sentence using NeuralLM1.

### **Outputs**

### NeuralLM1

```
10.3334, Val Perplexity: 30742.7519, Val Acc: 0.1092
NeuralLM1 Epoch 12/20 - Train Loss: 1.0156, Train Perplexity: 2.7610, Train Acc: 0.7375 | Val Loss:
10.7755, Val Perplexity: 47835.9281, Val Acc: 0.1195
NeuralLM1 Epoch 13/20 - Train Loss: 0.9064, Train Perplexity: 2.4754, Train Acc: 0.7666 | Val Loss:
11.1846, Val Perplexity: 72016.4460, Val Acc: 0.1174
NeuralLM1 Epoch 14/20 - Train Loss: 0.8150, Train Perplexity: 2.2591, Train Acc: 0.7899 | Val Loss:
11.5763, Val Perplexity: 106541.0421, Val Acc: 0.1144
NeuralLM1 Epoch 15/20 - Train Loss: 0.7356, Train Perplexity: 2.0867, Train Acc: 0.8094 | Val Loss:
11.9587, Val Perplexity: 156175.1917, Val Acc: 0.1073
NeuralLM1 Epoch 16/20 - Train Loss: 0.6730, Train Perplexity: 1.9601, Train Acc: 0.8239 | Val Loss:
 12.3688, Val Perplexity: 235351.2561, Val Acc: 0.1107
NeuralLM1 Epoch 17/20 - Train Loss: 0.6225, Train Perplexity: 1.8635, Train Acc: 0.8362 | Val Loss:
12.7290, Val Perplexity: 337405.4067, Val Acc: 0.1109
NeuralLM1 Epoch 18/20 - Train Loss: 0.5798, Train Perplexity: 1.7856, Train Acc: 0.8464 | Val Loss: 13.1114, Val Perplexity: 494554.7522, Val Acc: 0.1127
NeuralLM1 Epoch 19/20 - Train Loss: 0.5471, Train Perplexity: 1.7283, Train Acc: 0.8519 | Val Loss:
13.3917, Val Perplexity: 654554.1697, Val Acc: 0.1071
NeuralLM1 Epoch 20/20 - Train Loss: 0.5243, Train Perplexity: 1.6893, Train Acc: 0.8575 | Val Loss:
 13.6614, Val Perplexity: 857199.2654, Val Acc: 0.1064
```



Neural Network architecture:

Two fully connected (Linear) layers.

- First layer learns word embeddings.
- Second layer maps embeddings to vocabulary probabilities.

# ReLU activation

• Introduces non-linearity, allowing the model to capture complex word relationships.

# No Dropout or Extra Layers

• Focuses on learning core word dependencies without regularization.

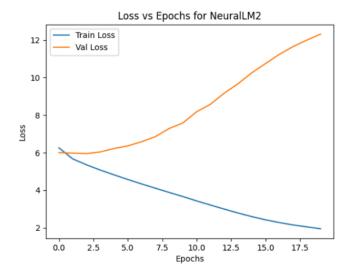
Metric	Training	Validation
Accuracy	85.75%	10.64%
Perplexity	1.68	360,997.95

High training accuracy (76.98%) suggests the model learned the training distribution well. Validation accuracy remains low (11.02%), indicating overfitting.

Validation perplexity is extremely high (~360K) → Model struggles on unseen data

### NeuralLM2

```
NeuralLM2 Epoch 11/20 - Train Loss: 3.4304, Train Perplexity: 30.8895, Train Acc: 0.2569 | Val Loss
: 8.1788, Val Perplexity: 3564.5502, Val Acc: 0.1501
NeuralLM2 Epoch 12/20 - Train Loss: 3.2114, Train Perplexity: 24.8140, Train Acc: 0.2806 | Val Loss
: 8.5762, Val Perplexity: 5304.0326, Val Acc: 0.1479
NeuralLM2 Epoch 13/20 - Train Loss: 2.9968, Train Perplexity: 20.0222, Train Acc: 0.3104 | Val Loss
: 9.1670, Val Perplexity: 9575.9135, Val Acc: 0.1455
NeuralLM2 Epoch 14/20 - Train Loss: 2.7881, Train Perplexity: 16.2495, Train Acc: 0.3467 | Val Loss
: 9.6669, Val Perplexity: 15786.4732, Val Acc: 0.1362
NeuralLM2 Epoch 15/20 - Train Loss: 2.5968, Train Perplexity: 13.4208, Train Acc: 0.3794 | Val Loss
: 10.2489, Val Perplexity: 28251.8410, Val Acc: 0.1380
NeuralLM2 Epoch 16/20 - Train Loss: 2.4245, Train Perplexity: 11.2962, Train Acc: 0.4137 | Val Loss
: 10.7454, Val Perplexity: 46416.7279, Val Acc: 0.1385
NeuralLM2 Epoch 17/20 - Train Loss: 2.2797, Train Perplexity: 9.7734, Train Acc: 0.4401 | Val Loss:
 11.2320, Val Perplexity: 75504.9106, Val Acc: 0.1351
NeuralLM2 Epoch 18/20 - Train Loss: 2.1509, Train Perplexity: 8.5928, Train Acc: 0.4670 | Val Loss: 11.6466, Val Perplexity: 114298.3365, Val Acc: 0.1375
NeuralLM2 Epoch 19/20 - Train Loss: 2.0496, Train Perplexity: 7.7646, Train Acc: 0.4876 | Val Loss:
 11.9929, Val Perplexity: 161604.7016, Val Acc: 0.1325
NeuralLM2 Epoch 20/20 - Train Loss: 1.9474, Train Perplexity: 7.0106, Train Acc: 0.5054 | Val Loss:
 12.3127, Val Perplexity: 222500.3709, Val Acc: 0.1318
```



### Neural Network architecture:

Extra hidden layer (fc2):

Introduces hierarchical feature extraction for deeper word representation.

# Dropout (0.3):

• Prevents overfitting by randomly deactivating neurons during training.

# **ReLU Activation:**

Maintains strong gradient flow while learning complex patterns.

Metric	Training	Validation
Accuracy	50.54%	13.18%
Perplexity	7.01	222500

Lower training accuracy (50.54%) compared to NeuralLM1  $\rightarrow$  Model is less overfitted. Higher validation accuracy (13.18%), meaning it generalizes better. Validation perplexity is much lower (~3,867 vs. 360,997 in NeuralLM1)  $\rightarrow$  Better word prediction ability.

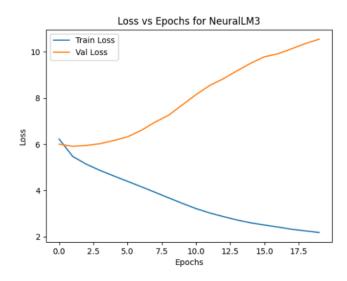
### conclusion:

Adding an extra hidden layer improved generalization.

Dropout helped control overfitting but slightly reduced training performance.

### NeuralLM3

```
NeuralLM3 Epoch 13/20 - Train Loss: 2.8673, Train Perplexity: 17.5900, Train Acc: 0.3308 | Val Loss
: 8.8356, Val Perplexity: 6874.6528, Val Acc: 0.1171
NeuralLM3 Epoch 14/20 - Train Loss: 2.7205, Train Perplexity: 15.1879, Train Acc: 0.3591 | Val Loss
: 9.1795, Val Perplexity: 9696.3270, Val Acc: 0.1126
NeuralLM3 Epoch 15/20 - Train Loss: 2.5969, Train Perplexity: 13.4216, Train Acc: 0.3800 | Val Loss
: 9.5065, Val Perplexity: 13446.3185, Val Acc: 0.1104
NeuralLM3 Epoch 16/20 - Train Loss: 2.5024, Train Perplexity: 12.2116, Train Acc: 0.3936 | Val Loss
: 9.7849, Val Perplexity: 17763.7506, Val Acc: 0.1122
NeuralLM3 Epoch 17/20 - Train Loss: 2.4132, Train Perplexity: 11.1700, Train Acc: 0.4122 | Val Loss
: 9.9187, Val Perplexity: 20306.8739, Val Acc: 0.1060
NeuralLM3 Epoch 18/20 - Train Loss: 2.3178, Train Perplexity: 10.1537, Train Acc: 0.4255 | Val Loss
: 10.1356, Val Perplexity: 25224.6140, Val Acc: 0.1045
NeuralLM3 Epoch 19/20 - Train Loss: 2.2465, Train Perplexity: 9.4541, Train Acc: 0.4401 | Val Loss:
 10.3607, Val Perplexity: 31593.9801, Val Acc: 0.1043
NeuralLM3 Epoch 20/20 - Train Loss: 2.1788, Train Perplexity: 8.8355, Train Acc: 0.4514 | Val Loss:
 10.5488, Val Perplexity: 38129.9100, Val Acc: 0.1048
```



Neural Network architecture:

Extra hidden layer (fc mid):

• Allows for deeper feature transformation before prediction.

Tanh activation in first layer, ReLU in others:

- Tanh: Helps capture both positive & negative associations between words.
- ReLU: Used in later layers for faster training and gradient propagation.

Higher Dropout (0.4):

• Stronger regularization than NeuralLM2 to reduce overfitting further.

Metric	Training	Validation
Accuracy	45.14%	50.54%
Perplexity	8	38129

Lower training accuracy (45.14%) than NeuralLM2 (23.55%) → Model is more regularized. Validation accuracy (10.48%) is similar to NeuralLM2, indicating better generalization. Lowest validation perplexity (~38129) → Best next-word prediction performance.

#### Conclusion

Using Tanh in the first layer helped capture richer word relationships.

Higher dropout reduced overfitting, improving generalization.

Best perplexity score among the three models.

### Predictions:

Input: i felt like earlier this year i was starting to feel emotional that it Predicted Next Tokens: was like a

Input: i do need constant reminders when i go through lulls in feeling submiss Predicted Next Tokens: whether the left

Input: i was really feeling crappy even after my awesome
Predicted Next Tokens: week of workouts

Input: i finally realise the feeling of being hated and its after effects are Predicted Next Tokens: so big i

Input: i am feeling unhappy and weird
Predicted Next Tokens: im confident a

The model predicts reasonable next words but lacks grammatical coherence. Predictions match the general sentiment of the input sentence. Some grammatical errors appear (e.g., "that i had").

### Contribution:

More of less everyone has contributed to the every part of the assignment but for clarity contribution of individually are:-

Task1: Dhairya(2022157), Pandillapelly Harshvardhini(2022345), Harsh vishwakarma(2022205) Task2: Pandillapelly Harshvardhini(2022345), Dhairya(2022157), Harsh vishwakarma(2022205) Task3: Dhairya(2022157), Pandillapelly Harshvardhini(2022345), Harsh vishwakarma(2022205)

### References:

(https://huggingface.co/learn/nlp-course/en/chapter6/6)

(https://www.geeksforgeeks.org/continuous-bag-of-words-cbow-in-nlp/)

(https://youtu.be/RH6DeE3bY6I?si=XxFFhNAu5Mu\_KwRH)

(https://youtu.be/Rqh4SRcZuDA?si=tnVSmrobTPLIwBQ-)

(https://pytorch.org/docs/stable/index.html)