

# Predictive 3D modelling of free oblique cutting introducing an ANN-based material flow law with experimental validation over a wide range of conditions

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## Abstract

Modelling of the cutting process needs to move from 2D to 3D configurations to get closer to industrial applications. This study introduces a predictive 3D finite element model of free orthogonal and oblique cutting with an Artificial Neural Network (ANN)-based material flow law and experimental validation in strictly the same conditions (cutting and geometrical). The flow law based on a neural network allows simulating the cutting process based on data coming from the material characterization tests without requiring any postulate concerning the expression of the flow law. The developments are applied to the formation of continuous chips for the titanium alloy Ti6Al4V and an unseen broad range of 36 cutting conditions is considered: 2 cutting edge inclinations, 3 uncut chip thicknesses and 6 cutting speeds. The predictive performance of the model (i.e., the evaluation of the trends of fundamental variables with the absence of tuning of both numerical parameters and model features when cutting conditions are significantly modified) is high for the forces, mainly cutting and passive, and the chip thickness ratio on all 36 cutting conditions. The accuracy of the main cutting force is excellent: the average difference with the experiments is 4 %, within the experimental dispersion. No significant degradation of the results is brought by the apparition of the third, out-of-plane, force, which shows the ability of the model to handle orthogonal and oblique cutting configurations.

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## 1. Introduction

Selection of the tools and the cutting conditions in machining are still difficult to achieve because of the high level of complexity and the related nonlinear phenomena. Comprehension of the influence of the process parameters on the quality of a component and its optimization are also a challenge for the same reasons. In the frame of digital manufacturing and Industry 4.0, modelling the cutting process supports them, while remaining a challenging task. As highlighted by Arrazola et al. [1], most finite element (FE) models are developed in 2D (orthogonal cutting configuration usually) although industrial applications require 3D modelling.

The behaviour of the machined material is one of the key aspects of a FE model [1, 2]. Research is very intense in this area, leading to a growing number of constitutive material models ranging from empirical models to physical models, some including microstructure effects [2]. The empirical thermo-elasto-viscoplastic Johnson-Cook (JC) model [3] is still the most widely used to this day:

$$\sigma^y = \left( A + B \varepsilon^{p^n} \right) \left( 1 + C \ln \frac{\dot{\varepsilon}^p}{\dot{\varepsilon}_0^p} \right) \left( 1 - \left[ \frac{T - T_{\text{room}}}{T_{\text{melt}} - T_{\text{room}}} \right]^m \right) \quad (1)$$

In this model, the flow stress,  $\sigma^y$ , is a function of the plastic strain,  $\varepsilon^p$ , the plastic strain rate,  $\dot{\varepsilon}^p$ , and the temperature,  $T$ . It is composed of 3 terms describing independently the plastic, viscous and thermal aspects. One of the points in favour of its adoption is the rather limited number of parameters to be identified, 5:  $A$ ,  $B$ ,  $C$ ,  $m$  and  $n$ . Here,  $\dot{\varepsilon}_0^p$  is the reference plastic strain rate, while  $T_{\text{room}}$  and  $T_{\text{melt}}$  are respectively the ambient (room) and melting temperatures. More recent models developed on this basis, such as that of Calamaz et al. [4], increase this number of parameters (for the particular Calamaz model to 9). Other authors have also used Zerilli-Armstrong model to simulate cutting processes [5]. The best description (in theory) of the behaviour is obtained at the cost of a greater complexity of the identification process and a reduction of the link with the physical meaning of the model.

One of the problems of modelling material behaviour for cutting simulation is the identification of parameters, especially as the experimental equipment does not allow the high levels of strain, strain rate and temperature of machining to be

31 achieved [2]. Inverse identification is an alternative, but the uniqueness of the so-  
32 lution is not always guaranteed [1, 2]. Early work by Özel and Altan [6] used the  
33 least squares method to identify the input parameters of a FE model in an inverse  
34 manner. Shrot and Bäker [7] then used the Levenberg-Marquardt algorithm for  
35 their identification of the material parameters. They showed that similar results  
36 (cutting forces and chip morphology) could be obtained by different sets of pa-  
37 rameters and thus highlighted the non-uniqueness of the solution of the inverse  
38 problem. In addition to the flow stress parameters, Klocke et al. [8] also identi-  
39 fied the damage parameters. In more recent work, such as Bosetti et al. [9] and  
40 Denkena et al. [10], the approach to the inverse identification problem is shifting  
41 from optimization to Artificial Intelligence (AI) based methods. The Downhill  
42 Simplex Algorithm (DSA) is adopted by Bergs et al. [11] and by Hardt et al. [12]  
43 for AISI 1045. Stampfer et al. [13] also chose DSA when treating AISI 4140  
44 quenched at 3 different temperatures. In [14], Hardt et al. showed that Parti-  
45 cle Swarm Optimization (PSO) was more efficient in solving the inverse problem  
46 than DSA, even though the computational time is still significant. In order to re-  
47 duce the computational time, an Efficient Global Optimization algorithm (EGO)  
48 was recently introduced by Kugalur Palanisamy et al. [15]. They identified simul-  
49 taneously the parameters of the material constitutive model and the friction model  
50 for Ti6Al4V. The identified parameters showed good performance when applied  
51 to a different FE model [16]. Most of these works highlight the non-uniqueness  
52 of the identification and they all require the definition of the analytical expression  
53 of the constitutive model.

54 ANN (Artificial Neural Network)-based material models have been introduced  
55 to avoid postulating or knowing the analytical expression of the material be-  
56 haviour. Gorji et al [17] recently reviewed the use of recurrent neural networks  
57 for material models, while Jamli and Farid [18] reviewed their application in FE  
58 simulation of material forming. When compared to classical analytical and em-  
59 pirical models, such as JC model, they proved to be more powerful to represent  
60 the experimental behaviour [19]. Use of these ANN-based models in FE simula-  
61 tion of forming processes also turned out to provide better results than the classical  
62 JC model [20] and to handle complex phenomena such as dynamic recrystallisa-  
63 tion [21]. No application of these ANN-based models in FE simulation of cutting  
64 currently exists.

65 Lagrangian and Eulerian formulations are the most used for FE modelling of  
66 the cutting process. Combinations of formulations, such as Arbitrary Lagrangian-  
67 Eulerian (ALE) and Coupled Eulerian-Lagrangian (CEL), are increasingly being  
68 used to avoid (or reduce) mesh distortions [22]. The CEL formulation has recently

been successfully applied to the modelling of cutting (in 2D orthogonal configuration): it provides accurate results with realistic chip shape and no mesh distortion. The first 3D applications are found in recent works [23–27]. They cover orthogonal (free) cutting or a simple 3D operation, while free oblique cutting has yet to be studied.

Experimental validation of a model is a crucial step in modelling the cutting process. The experimental configuration should be as close as possible to the simulation. For the validation of orthogonal cutting, a rotational motion usually generates the cutting speed. This is often done in turning [28] or milling [23] and the diameter of the rotating workpiece must be large enough to reduce the influence of curvature on the results. Experimental configurations under strictly orthogonal cutting conditions are less often adopted, for example on broaching machines [29] or milling machines [30, 31]. If they remove the assumptions related to the rotary cutting motion, they generally allow lower cutting speeds (except on a dedicated machine, as in Afrasiabi et al. [32]). Free oblique cutting with a straight cutting edge has not yet been studied: all efforts have been concentrated on orthogonal cutting (mainly for validation of 2D FE models).

This paper fills the gap in the oblique cutting literature by investigating both orthogonal and free oblique 3D cutting configurations, both experimentally and numerically. An ANN, introduced in Pantalé et al. [33], is implemented in a FE cutting model for the first time in place of the JC analytical law. A wide range of cutting speeds (6), uncut chip thicknesses (3) and cutting edge inclination angles (2) resulting in 36 different conditions are considered to demonstrate the predictive capability of the FE model for the fundamental variables. The developments are applied to the formation of continuous chips of the titanium alloy Ti6Al4V.

## 2. Experimental setup

A 3-axis GF Mikron VCE 600 Pro milling machine is used to perform dry orthogonal and oblique cutting tests on Ti6Al4V (grade 5 annealed at 750 °C for 1 h followed by air cooling) with the same kinematics as a shaper. As shown in Figure 1, the tungsten carbide tool (modified LCGN160602-0600-FG, CP500 from SECO) is fixed on a dedicated holder (modified CFHN-06 from SECO) and the sample to be cut is clamped in the spindle (no rotation is allowed during the test). The top of the sample has 3 ribs of 1 mm width (the width of the tool is 6 mm) and 10 mm length. The test consists of removing the top layer (its height is the uncut chip thickness,  $h$ ) of a rib at the prescribed cutting speed,  $v_c$ . The cutting speed is provided by the feed rate,  $v_f$ , of the machine (maximum value of 40 m/min). The



are amplified by a Kistler 5070A charge amplifier. Acquisition is performed at 3 kHz using a Kistler 5697A2 data acquisition system and DynoWare software. The recorded forces are then filtered with a second-order low-pass Bessel filter at 750 Hz before calculating the average value of the steady state signal.

All chips are collected and observed with a Dino Lite digital microscope AM7013MZT (5 MP, magnification 20 $\times$  – 250 $\times$ ). Each chip is measured 3 times along its length in order to obtain an average value representative of the whole chip.

### 3. Finite element model

#### 3.1. Modelling choices

The main objectives of a predictive model are the accurate modelling of trends in results as conditions change and the good agreement of predicted values with experimental values (exact values are not expected due to experimental dispersions of at least 10 % around the mean values). This type of model is intended to support future choices and developments without the need for experimental data. No assumptions are made about the geometry of the workpiece in the model (i.e., its width is the same as in experiments), while keeping the calculation time relevant for industrial applications. The CEL formulation is adopted to model the dry orthogonal and free oblique cutting tests with Abaqus/Explicit 2020. The 3D model is composed of a fixed Lagrangian tool and a Eulerian part (Figure 2). Chip formation occurs by plastic flow through the Eulerian domain without mesh distortion. The Eulerian formulation allows for chip formation without damage properties, by removing modelling assumptions. These two features contribute to the cutting models providing accurate results and realistic chips [22].

As shown in Figure 3, the full width of the workpiece (1 mm), i.e., one rib in the experiments, is modelled. To allow for chip formation and lateral flow, the Eulerian domain is wider (it includes the volume in which the material can move). The volume above the initial part is also meshed with Eulerian elements for the same reasons. As in the experiments and to satisfy the assumption of an orthogonal and oblique free cut, the tool is wider than the workpiece (it is 1.1 mm in the model and 6 mm in the experiments). It is very important to note that the models are the same for both inclination angles: they differ only in the rotation of the tool by 6° around the Y axis as in the experiments (Figure 3). This, together with the absence of assumptions when developing the models, contributes to make the models predictive: no input is changed when the cutting conditions are changed.

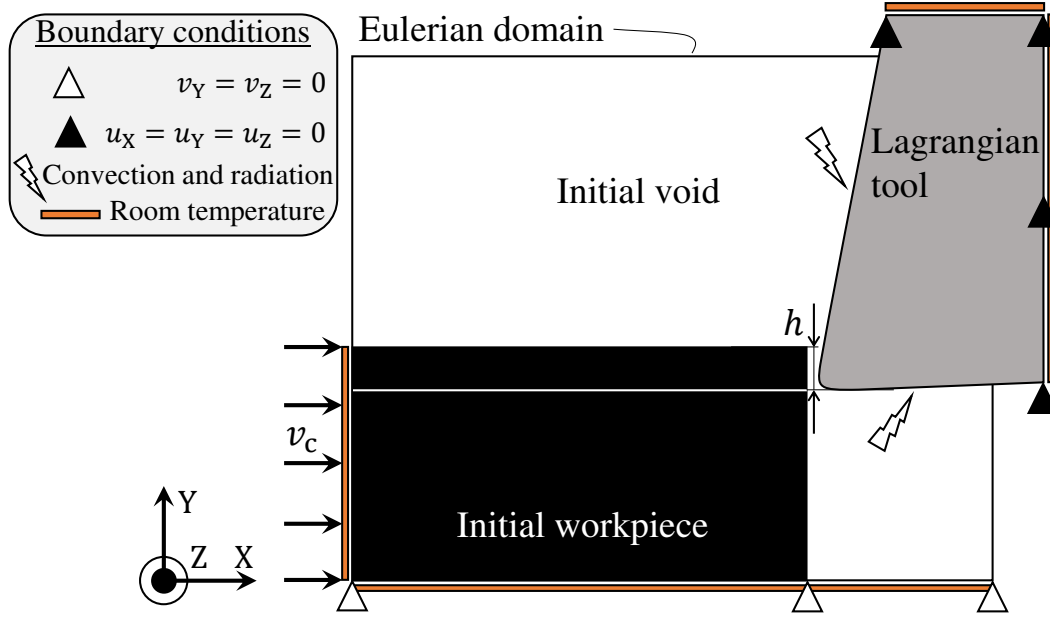


Figure 2: Boundary conditions and schematic initial geometry of the model

148 According to a previous sensitivity study of the mesh in orthogonal cutting  
 149 with the CEL formulation [24], the edge size of the elements is  $5\mu\text{m}$  in the  
 150 plane parallel to the cutting speed. In the direction perpendicular to this plane,  
 151 it is  $5\mu\text{m}$  in the areas close to the lateral boundaries of the Eulerian domain  
 152 and  $50\mu\text{m}$  in the middle of the part. To reduce the computation time, the size  
 153 of the model depends on the value of the uncut chip thickness. This results  
 154 in a Eulerian domain (EC3D8RT 8-node 3D linear Eulerian elements, coupled  
 155 mechanical-thermal behaviour and reduced integration) composed of 216 550 to  
 156 273 350 nodes and a Lagrangian domain (C3D8T 8-node 3D linear Lagrangian  
 157 elements, coupled mechanical-thermal behaviour) of 4650 nodes.

158 The Ti6Al4V part is assumed to be thermo-elasto-viscoplastic (isotropic) and  
 159 the inelastic thermal fraction is 0.9. The JC parameters set of Seo et al. [35]  
 160 is adopted because the value of  $A$  corresponds to the value of the typical yield  
 161 strength of Ti6Al4V and this set was found to provide the best results among the  
 162 20 sets available in the literature [36]. The TiN coated tungsten carbide (WC) tool  
 163 is assumed to have linear elasticity. The material properties are given in Table 2.

164 According to the experimental results of Rech et al. [39], it is assumed that  
 165 Coulomb friction occurs at the tool-piece interface and that the coefficients of

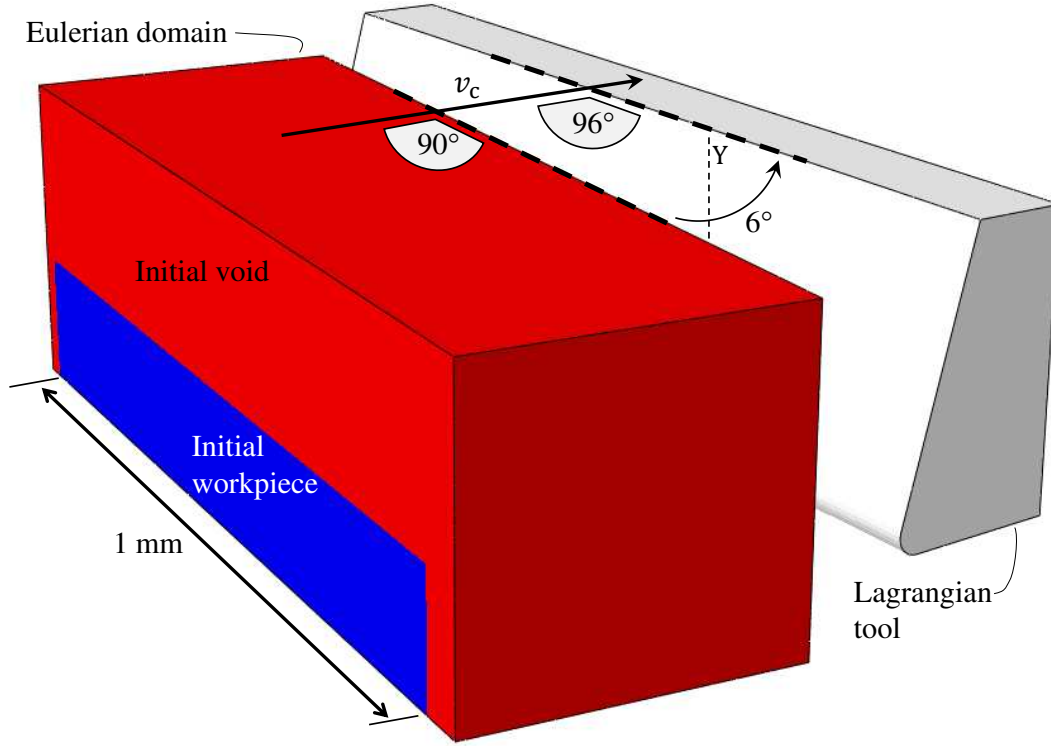


Figure 3: Configuration of the FE model for  $\lambda_s = 6^\circ$

friction,  $\mu$ , and heat partition,  $\beta$ , depend on the cutting speed. The limiting shear stress,  $\tau_{\max}$ , is included and is given by:

$$\tau_{\max} = \frac{\text{yield stress}}{\sqrt{3}} = \frac{A}{\sqrt{3}} \quad (2)$$

All the friction energy is converted into heat. Table 3 shows the friction coefficients adopted in this study.

An ambient temperature of 293 K is imposed on the top and right surfaces of the tool and on the left and bottom surfaces of the workpiece (Figure 2). It is assumed that radiation and convection occur on the rake and clearance faces of the tool. The initial temperature of the tool and workpiece is set to the room temperature (293 K). The heat transfer coefficients are provided in Table 3.

### 3.2. Material model of Ti6Al4V

In the numerical simulations presented in Section 4, a thermo-elasto-viscoplastic material model for Ti6Al4V is employed, which utilizes a flow criterion based on



Table 2: Materials properties [35, 37, 38]

Young’s modulus, $E$ (GPa)	Ti6Al4V	113.8 <sup>†</sup>
	WC	650
Poisson’s ratio, $\nu$	Ti6Al4V	0.34
	WC	0.2
Density, $\rho$ (kg/m <sup>3</sup> )	Ti6Al4V	4430
	WC	14 850
Conductivity, $k$ (W/m K)	Ti6Al4V	6.3 <sup>†</sup>
	WC	100
Expansion, $\alpha$ (1/K)	Ti6Al4V	8.6E−6 <sup>†</sup>
	WC	5E−6
Specific heat, $c_p$ (J/kg K)	Ti6Al4V	531 <sup>†</sup>
	WC	202
JC flow stress	$A$ (MPa)	997.9
	$B$ (MPa)	653.1
	$C$	0.0198
	$m$	0.7
	$n$	0.45
	$\dot{\epsilon}_0$ (1/s)	1
	$T_{\text{room}}$ (K)	293
	$T_{\text{melt}}$ (K)	1873

<sup>†</sup>: Dependence on the temperature, value provided at 293 K

an Artificial Neural Network (ANN) identified for the material. This ANN is implemented in the Abaqus/Explicit code through a Fortran VUHARD subroutine, as proposed by Pantalé et al. [20, 33], to compute the flow stress  $\sigma^y$  as a function of the plastic strain  $\epsilon^p$ , the plastic strain rate,  $\dot{\epsilon}^p$ , and the temperature  $T$ . The approach replaces the analytical formulation of the flow law, typically based on Johnson-Cook or Zerilli-Armstrong type models, with a multi-layer ANN serving as a universal approximator. This enables the direct identification of the neural network parameters from experimental data without postulating a behavioral model, simplifying the procedure and providing greater flexibility in model definition.

In contrast to the classic approach, which involves conducting experiments on a material, postulating an analytical form for the flow law, and identifying the parameters that best fit the experimental data, the use of ANN allows for direct

Table 3: Friction and heat transfer coefficients [37, 39]

Cutting speed, $v_c$ (m/min)	$\mu$	$\beta$
5	0.24	1
7.5	0.22	0.89
10	0.21	0.80
20	0.19	0.63
30	0.18	0.55
40	0.17	0.50
Limiting shear stress, $\tau_{\max}$ (MPa)	576	
Convection, $U$ (W/m <sup>2</sup> K)	50	
Radiation, $\epsilon$	0.3	

191 identification of the law from experimental data without the need to postulate the  
 192 analytical form of the flow law. This method also enables the computation of the  
 193 three derivatives of the flow stress  $\sigma^y$  with respect to the three input variables of  
 194 the model, which is necessary for implementing the model as a flow law in the  
 195 form of a VUHARD subroutine in the FEM code Abaqus/Explicit. The same  
 196 network architecture and identified trained parameters are used to compute the  
 197 flow stress  $\sigma^y$  and the derivatives in a one-step procedure [20, 33].

198 In order to verify the influence of the neural network complexity on the nu-  
 199 merical results of the simulation and on the computation time, several ANN ar-  
 200 chitectures (i.e. hyperparameters of the ANN) are tested afterwards (in 3.4). The  
 201 chosen global architecture has 2 hidden layers with a variable number of neurons  
 202 for the first hidden layer ( $\zeta = 9$  to 17) and 7 neurons for the second hidden layer, 3  
 203 inputs (the plastic strain,  $\varepsilon^p$ , the plastic strain rate,  $\dot{\varepsilon}^p$ , and the temperature,  $T$ ) and  
 204 one output (the yield strength,  $\sigma^y$ ). The global architecture of this type of ANN is  
 205 given in Figure 4 for 9 neurons in the first hidden layer. According to Pantalé et  
 206 al. [33], this ANN is referred to as ANN 3-9-7-1-sig, as it has 3 inputs, 9 neurons  
 207 in the first hidden layer, 7 neurons in the second hidden layer, 1 output and a sig-  
 208 moid activation function. The selection of an architecture with two hidden layers  
 209 was made based on the conclusions drawn in Pantalé et al. [33]. Additionally,  
 210 the decision to use the sigmoid activation function was guided by the findings in  
 211 Pantalé [40], who identified the most efficient and accurate activation functions  
 212 for finite element simulations in thermomechanical forming.

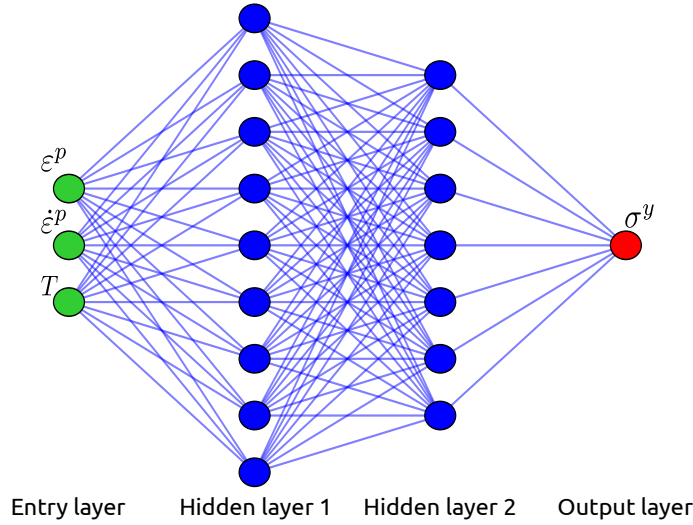


Figure 4: Architecture of the ANN 3-9-7-1-sig used for the flow law

213 In a preliminary phase, after having selected the global architecture of the neu-  
 214 ral network, it is necessary to proceed to its training from some inputs. The inputs  
 215 for this application were generated from the Johnson-Cook flow law expression  
 216 reported in Equation (1) and the identified parameters reported in Table 2. This  
 217 approach was chosen to demonstrate the ability of the neural network flow law to  
 218 replace a classically formulated flow law such as Johnson-Cook's for the simu-  
 219 lation of metal cutting. In future developments, experimental tests on a Gleeble  
 220 thermomechanical simulator will be used to generate this network training data.  
 221 The training data, presented in the form of a data table containing the plastic strain  
 222  $\varepsilon^p$ , the plastic strain rate  $\dot{\varepsilon}^p$ , the temperature  $T$  and the flow stress  $\sigma^y$ , is processed  
 223 by a learning algorithm, developed at LGP, in Python, using the Tensorflow li-  
 224 brary [41]. One hour of training on a Dell XPS13 7390 laptop running Ubuntu  
 225 20.04 64 bits with 16 GiB of Ram and an Intel 4-core i7-10510U processor allow  
 226 obtaining the converged parameters of the ANN model.

227 Once this learning phase is completed, the neural network parameters result-  
 228 ing from the learning process are used directly by a Python program, in charge  
 229 of automatically generating the Fortran source code of the VUHARD subroutine  
 230 in order to compute the flow stress  $\sigma^y$  and its three derivatives, required for the  
 231 explicit Abaqus FEM code.

232 The main advantage of this approach (the use of an ANN), after the learning  
 233 phase, is that, for example, the output  $\sigma^y$  of the network is now linked to the inputs

234  $\varepsilon^p$ ,  $\dot{\varepsilon}^p$ , and  $T$  by the equations (3) to (7) for a two hidden layers neural network  
 235 with a sigmoid activation function as proposed previously.

236 Thus, in the VUHARD subroutine, the computation of the flow stress  $\sigma^y$  from  
 237 the 3 input variables  $\varepsilon^p$ ,  $\dot{\varepsilon}^p$ , and  $T$  is performed using the following procedure.  
 238 The first step is to scale the input data to the interval  $[0, 1]$  using the following  
 239 equation:

$$\vec{x} = \begin{cases} x_1 = \frac{\varepsilon^p - [\varepsilon^p]_{min}}{[\varepsilon^p]_{max} - [\varepsilon^p]_{min}} \\ x_2 = \frac{\ln(\dot{\varepsilon}^p) - [\ln(\dot{\varepsilon}^p)]_{min}}{[\ln(\dot{\varepsilon}^p)]_{max} - [\ln(\dot{\varepsilon}^p)]_{min}} \\ x_3 = \frac{T - [T]_{min}}{[T]_{max} - [T]_{min}} \end{cases} \quad (3)$$

240 where quantities  $[ ]_{min}$  and  $[ ]_{max}$  are the boundaries of the range of the corre-  
 241 sponding field during the training phase. Corresponding values, for the proposed  
 242 case, are given in Appendix A. According to the architecture of the network,  
 243 the outputs of the neurons of the first hidden layer  $\vec{y}_1$  are given by the following  
 244 equation:

$$\vec{y}_1 = \text{sig}(\mathbf{W}_1 \cdot \vec{x} + \vec{b}_1) \quad (4)$$

245 where,  $\mathbf{W}_1$  and  $\vec{b}_1$  are the weights and biases associated with the first hidden layer  
 246 and  $\text{sig}()$  is the sigmoid activation function defined by the equation (5) :

$$\text{sig}(x) = \frac{1}{1 + e^{-x}} \quad (5)$$

247 Then, the output of the neurons of the second hidden layer is given by the  
 248 equation (6) :

$$\vec{y}_2 = \text{sig}(\mathbf{W}_2 \cdot \vec{y}_1 + \vec{b}_2) \quad (6)$$

249 where,  $\mathbf{W}_2$  and  $\vec{b}_2$  are the weights and biases associated with the second hidden  
 250 layer. Finally, the  $\sigma^y$  output of the ANN is thus given by the equation (7) :

$$\sigma^y = ([\sigma^y]_{max} - [\sigma^y]_{min}) (\vec{w}^T \cdot \vec{y}_2 + b) + [\sigma^y]_{min} \quad (7)$$

251 where,  $\vec{w}$  and  $b$  are the weights and the bias associated with the output layer.

252 On the other hand, the three derivatives of the yield stress  $\sigma^y$  with respect to  
 253 the three input variables  $\varepsilon^p$ ,  $\dot{\varepsilon}^p$ , and  $T$  are given by the equation (8):

$$\begin{cases} \partial \sigma^y / \partial \varepsilon^p = s'_1 \frac{[\sigma^y]_{max} - [\sigma^y]_{min}}{[\varepsilon^p]_{max} - [\varepsilon^p]_{min}} \\ \partial \sigma^y / \partial \dot{\varepsilon}^p = s'_2 \frac{[\sigma^y]_{max} - [\sigma^y]_{min}}{[\ln(\dot{\varepsilon}^p)]_{max} - [\ln(\dot{\varepsilon}^p)]_{min}} \dot{\varepsilon}^p \\ \partial \sigma^y / \partial T = s'_3 \frac{[\sigma^y]_{max} - [\sigma^y]_{min}}{[T]_{max} - [T]_{min}} \end{cases} \quad (8)$$

254 where  $s'_i$  is the  $i^{th}$  component of the vector  $\vec{s}'$  defined by the equation (9):

$$\vec{s}' = \mathbf{w}_1^T \cdot \left[ \mathbf{w}_2^T \cdot \left( \frac{\vec{w} \circ \mathbf{e}^{-\vec{y}_2}}{[1 + \mathbf{e}^{-\vec{y}_2}]^2} \right) \circ \left( \frac{\mathbf{e}^{-\vec{y}_1}}{[1 + \mathbf{e}^{-\vec{y}_1}]^2} \right) \right] \quad (9)$$

255 and  $\circ$  is the elements-wise product, known as the Hadamard product. In equa-  
 256 tions (3) to (9), quantities  $\mathbf{w}_1$ ,  $\mathbf{w}_2$ ,  $\vec{w}$ ,  $\vec{b}_1$ ,  $\vec{b}_2$  and  $b$  are evaluated by the training  
 257 procedure of the ANN. Corresponding values for an ANN containing 9 neurons  
 258 in the first hidden layer and 7 neurons in the second hidden layer are reported in  
 259 Appendix A. The set of equations (3) to (9), together with the network paramet-  
 260 ers identified in the learning phase, is automatically translated into a VUHARD  
 261 Fortran subroutine used by the FEM code Abaqus to simulate the cutting model.

262 Because of the large number of identified parameters for all the ANN models  
 263 (from 114 to 202 for 9 and 17 neurons for the first hidden layer, respectively), the  
 264 other 4 sets of ANN parameters used in this publication can be found in [42].

### 265 3.3. Sensitivity study of the results to mass scaling

266 FE modelling of the cutting process is very expensive in terms of CPU time  
 267 due to the coupling of many nonlinear phenomena and the large amount of tiny  
 268 finite elements. Mass scaling (MS) is introduced into the model to reduce the CPU  
 269 computation time while checking that it does not influence the results (forces and  
 270 energies) via a mass scaling sensitivity study. MS factors,  $MS_f$ , ranging from  
 271 1E6 (theoretical CPU time scale of  $\sqrt{MS_f} = 1000$ ) to 1 (no scale) were used for  
 272 a cutting condition ( $\lambda_s = 0^\circ$ ,  $v_c = 30$  m/min and  $h = 60$   $\mu$ m). The same signal  
 273 processing procedure is applied to the numerical forces as to the experimental  
 274 forces (cf. 2): they are filtered with a second-order low-pass Bessel filter at 750 Hz  
 275 before calculating the steady state average value. Table 4 gives the results of the  
 276 model with MS normalized ( $\hat{F}_i$ ) by those of the model without MS:

$$\hat{F}_i = \frac{F_i \text{ with MS}}{F_i \text{ without MS}} \quad (10)$$

277 with  $i = c$  for the cutting force and  $i = f$  for the feed force. As expected, the  
 278 real speed-up does not increase linearly with the  $MS_f$ , but it remains significant.  
 279 A  $MS_f$  of 1E6 leads to an unstable computation and a  $MS_f$  of 1E5 leads to erratic  
 280 force evolutions. These results are confirmed by high values of the ratio of the  
 281 kinetic ( $KE$ ) to the internal ( $IE$ ) energies (it should not exceed a few % [43, 44]).  
 282 A value of  $MS_f$  of 1E3 is chosen as it offers a good balance between reducing the

283 computation time and the impact on the forces, while keeping the  $\frac{KE}{IE}$  below 1 %.  
 284 To provide an order of magnitude of CPU computation time, between 10 h and  
 285 50 h (depending on the value of  $h$ ) are required on 4 cores of an Intel i7-5700HQ  
 CPU at 2.7–3.5 GHz.

Table 4: MS sensitivity study (selected MS factor,  $MS_f$ , in bold,  $\hat{F}_c$ : normalized cutting force,  $\hat{F}_f$ : normalized feed force,  $KE$ : kinetic energy,  $IE$ : internal energy)

$MS_f$	CPU scaling	Speed-up	$\hat{F}_c$	$\hat{F}_f$	$\frac{KE}{IE}$ (%)
1	1	1	1	1	2.3E−4
1E2	10	9	1.006	0.982	2.2E−2
<b>1E3</b>	<b>32</b>	<b>21</b>	<b>1.008</b>	<b>0.940</b>	<b>2.2E−1</b>
1E4	100	61	1.012	0.921	2.4
1E5	316	173	Erratic	Erratic	22
1E6	1000	207	Unstable	Unstable	58

286

#### 287 3.4. Sensitivity study of the results to the number of neurons

288 The number of neurons in the hidden layers may influence the results. A  
 289 sensitivity study on the number of neurons of the first hidden layer,  $\zeta$ , is performed  
 290 in order to select the ANN offering the best balance between CPU computation  
 291 time and quality of the results. The results of the study are provided in Table 5.  
 292  $\check{F}_i$  corresponds to the results of the model with ANN normalized by those of the  
 293 model with the built-in JC model:

$$\check{F}_i = \frac{F_i \text{ with ANN}}{F_i \text{ with JC}} \quad (11)$$

294 They show no influence on the numerical results for the forces compared to the  
 295 built-in Johnson-Cook model, only the computation time is influenced by the num-  
 296 ber of neurons in the first hidden layer and increases with it. This increase in com-  
 297 putation time is not only due to the increasing complexity of the neural network  
 298 with the number of neurons, but also to the need to go through a VUHARD user  
 299 subroutine. A first hidden layer of 9 neurons is therefore selected as it leads to the  
 300 smallest increase in CPU computation time, without influence on the final result.

Table 5: Sensitivity of the forces to the number of neurons of the first layer,  $\zeta$  (selection in bold,  $\check{F}_c$ : normalized cutting force,  $\check{F}_f$ : normalized feed force)

$\zeta$	Time increase (%)	$\check{F}_c$	$\check{F}_f$
Built-in	0	1.000	1.000
<b>9</b>	<b>6</b>	<b>1.000</b>	<b>0.999</b>
11	6	1.001	1.000
13	7	1.000	0.998
15	8	1.001	1.001
17	10	1.000	1.000

#### 301 4. Experimental and numerical results

302 An example of the temporal evolution of the numerical and experimental  
303 forces is plotted for the 3 directions in Figure 5 at  $\lambda_s = 6^\circ$ ,  $v_c = 10$  m/min and  
304  $h = 40$  m/min. The FE models are calculated up to a few microseconds after the  
305 stationary state is reached. Then, a linear extrapolation (dashed line between the  
306 last two markers in Figure 5) is used to provide numerical values for the same  
307 time range as the experimental values. The average and standard deviation ( $2\sigma$ )  
308 are calculated from the 3 experimental values. The resulting dispersion is shown  
309 in Figure 5 around the average values of each force. Steady state takes longer to  
310 be reached for the experiments than for the numerical model, in particular for the  
311 cutting force. The dispersion around the evolution of the average force is greater  
312 for the feed force than for the cutting force, while the average value of the feed  
313 force is 46 % of the average value of the cutting force. The numerical cutting force  
314 is very close to the experimental average cutting force; it is only 4 % higher. This  
315 difference,  $\Delta j$ , is calculated by :

$$\Delta j = \frac{|j^{(\text{sim})} - j^{(\text{exp})}|}{j^{(\text{exp})}} \times 100 \quad (12)$$

316 where  $j$  is the cutting force, the feed force, the passive force or the chip thick-  
317 ness.  $j^{(\text{sim})}$  is the average value from the simulation, while  $j^{(\text{exp})}$  is the average  
318 experimental value.

319 The numerical feed force is underestimated by the model, but is within the  
320 95 % experimental confidence interval. The numerical passive force difference is  
321 also underestimated and is not within the narrower experimental dispersion. The

322 difference between the average values of the experimental and numerical feed and  
 323 passive forces is 25 %. A less well modelled feed force than the cutting force is  
 324 typical of FE models of the cutting process and the difference with the experi-  
 325 mental value is similar to other studies for a narrower range of cutting conditions  
 326 [32, 45–48]. Hardt and Bergs [27] also obtained larger differences for feed and  
 327 passive force than for cutting force. The difference for passive force was higher  
 328 than for feed force, which is the opposite observation of this work.

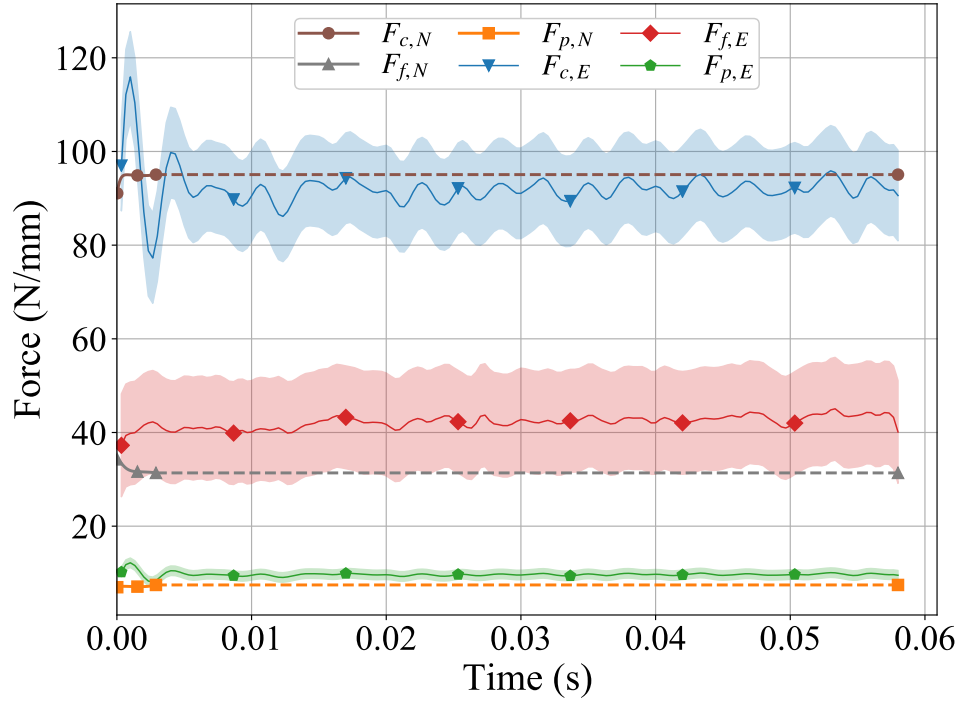


Figure 5: Temporal evolutions of experimental (E) and numerical (N) forces at  $\lambda_s = 6^\circ$ ,  $v_c = 10$  m/min and  $h = 40 \mu\text{m}$  with dispersion around average experimental values (linear extrapolation of numerical values in dashed)

329 Numerical chips at  $v_c = 10$  m/min and  $h = 40 \mu\text{m}$  for  $\lambda_s = 0^\circ$  and  $\lambda_s = 6^\circ$  are  
 330 provided in Figures 6 and 7. When the inclination of the cutting edge is  $0^\circ$ , both  
 331 sides of the chip are identical and a symmetry plane can be drawn in the middle of  
 332 the workpiece (Figure 7 (a)). On the other hand, for an inclination of the cutting  
 333 edge of  $6^\circ$ , the chip is no longer aligned with the workpiece. The chip bends to one



side due to the orientation of the tool and symmetry is lost in both the geometry and the thermal and mechanical fields, as shown in figure 7 (b). This produces helical chips for the inclination angle of  $6^\circ$  as in the experiments. Figure 8 shows the variation of the chip thickness across its width: it is thicker in the middle (i.e., the body of the chip) than on its sides. This underlines the importance of 3D modelling, even for the orthogonal cutting configuration as highlighted earlier [24]. The 3D modelling also allows reproducing the lateral flow that occurs in the experiments for both values of cutting edge inclination (Figure 6), unlike a 2D model [23–25]. Although this leads to higher computation times, future cutting models should be in 3D, even when orthogonal cutting is considered. In this case, it is recommended to take advantage of the symmetry of the configuration to reduce the computation time. This simplification has not been included in this study to avoid any difference in the FE models between the 2 inclinations of the cutting edge.

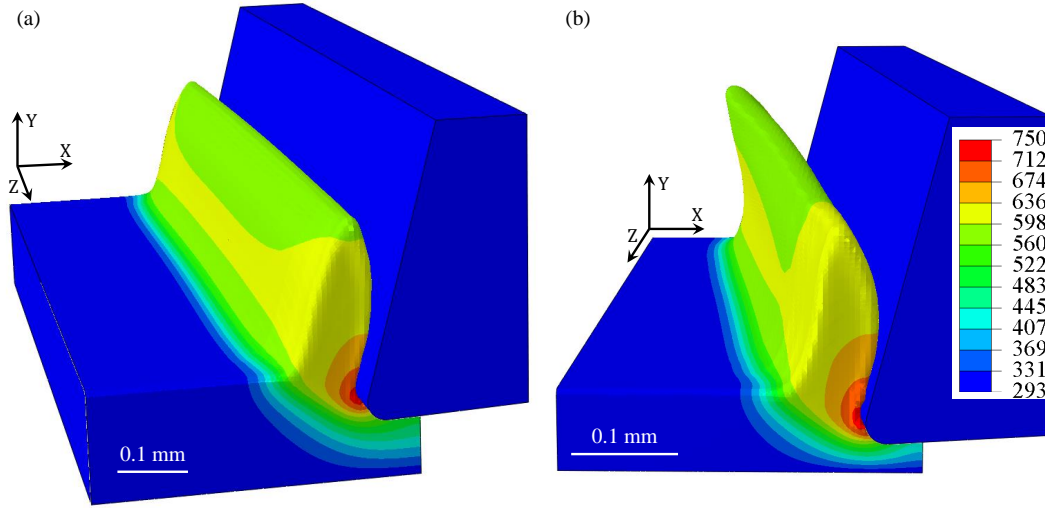


Figure 6: Temperature contours (in K) of the numerical chip after 1.5 ms at  $v_c = 10$  m/min,  $h = 40$   $\mu$ m and (a)  $\lambda_s = 0^\circ$ , (b)  $\lambda_s = 6^\circ$

Average values of the experimental forces and their dispersion are shown in Figures 9 to 13 together with the average numerical values. Passive force values are of course only plotted for  $\lambda_s = 6^\circ$  as they are equal to zero when  $\lambda_s = 0^\circ$ .

The increase in cutting force with uncut chip thickness is clearly observed in Figures 9 and 10 for both experimental and numerical results at the 2 inclination angles, as well as the decrease in force with increasing cutting speed. This shows

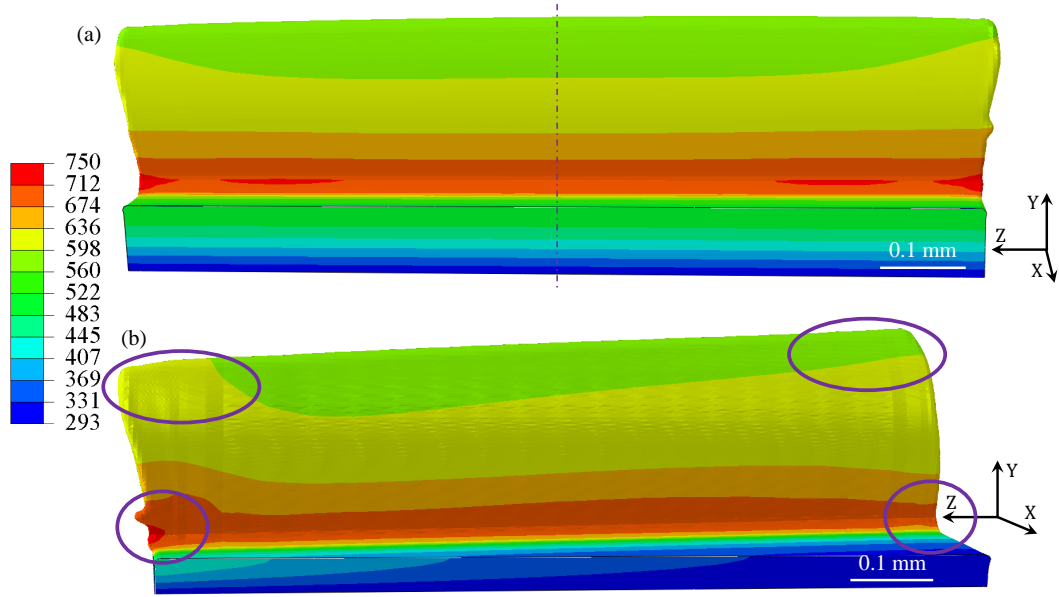


Figure 7: Temperature contours (in K) of the back of the numerical chip (tool is removed) after 1.5 ms at  $v_c = 10$  m/min,  $h = 40$   $\mu$ m and (a)  $\lambda_s = 0^\circ$ , (b)  $\lambda_s = 6^\circ$

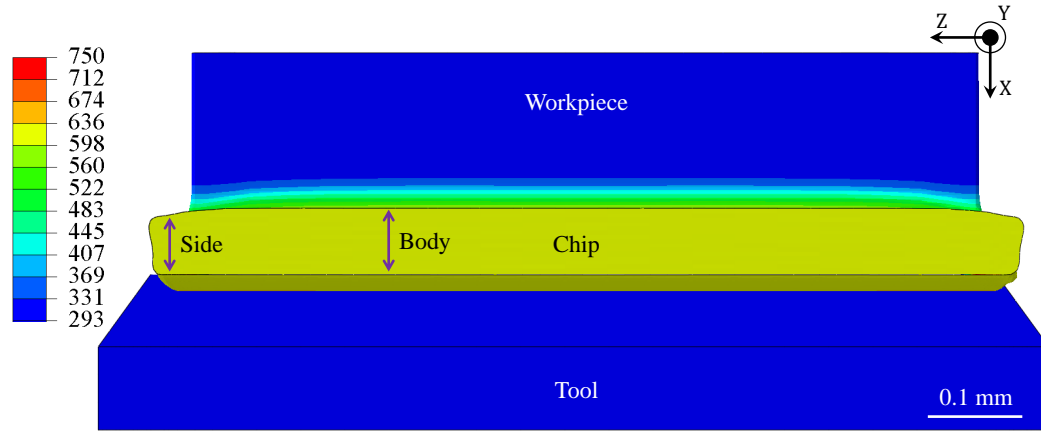


Figure 8: Temperature contours (in K) of the top of the numerical chip after 1.5 ms at  $v_c = 10$  m/min,  $h = 40$   $\mu$ m and  $\lambda_s = 0^\circ$

354 that temperature softening dominates strain rate hardening for Ti6Al4V and is  
 355 accurately modelled. Increasing the inclination angle from  $0^\circ$  to  $6^\circ$  slightly re-  
 356 duces the cutting force; this is well captured by the model. For cutting speeds of

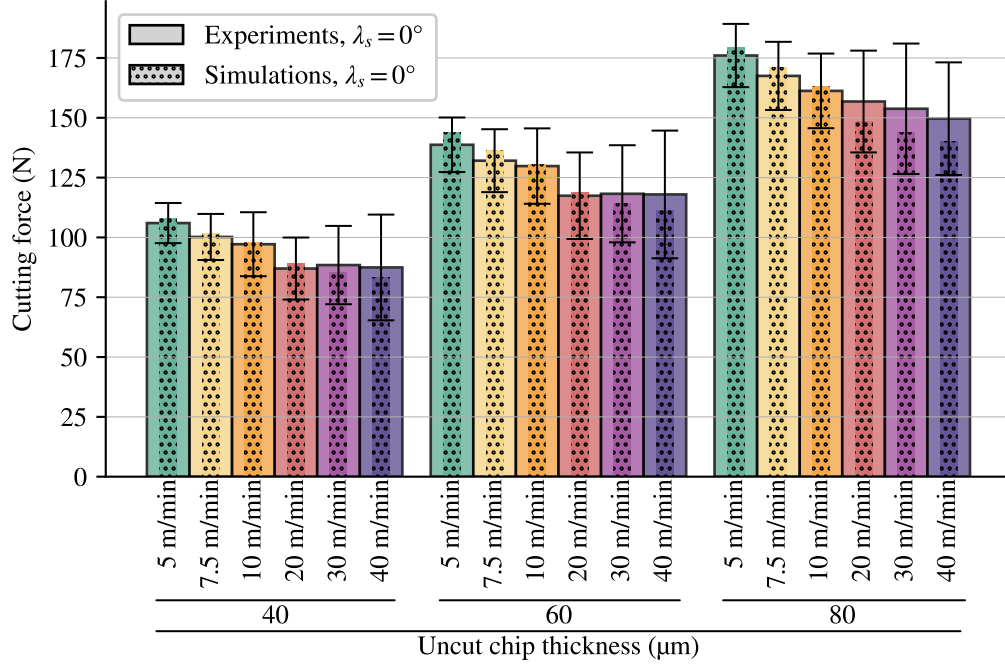


Figure 9: Comparison of experimental and numerical cutting forces at the cutting edge inclination of  $0^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

20–40 m/min and an inclination angle of  $0^\circ$ ,  $F_c$  is almost constant with cutting speed for uncut chip thicknesses of  $40\text{ }\mu\text{m}$  and  $60\text{ }\mu\text{m}$ , while it decreases slightly for  $80\text{ }\mu\text{m}$ ; this small stabilization is less marked for the model.

An increase in the deviation around the average value with the cutting speed is noted for values above 10 m/min. All numerical values are within 95 % confidence of the experiments (35 of the 36 conditions are within 68 % confidence). The average difference with the experiments is 4 %, which is remarkable, also considering the wide range of cutting conditions considered and the absence of model tuning. This underlines the predictive ability and accuracy of the FE model for both inclination angles.

Figures 11 and 12 show the results for the feed force, where the two clearest trends for the experiments are its decrease with the inclination angle and its increase with the uncut chip thickness (even though it is lower than expected). For  $80\text{ }\mu\text{m}$ ,  $F_f$  decreases overall with  $v_c$  in the experiments. For  $40\text{ }\mu\text{m}$  and  $60\text{ }\mu\text{m}$ , the force decreases at lower  $v_c$ , then increases for  $0^\circ$ , while a decrease is observed at all  $v_c$  for  $6^\circ$  (the experimental dispersion is high for both inclination angles, but the

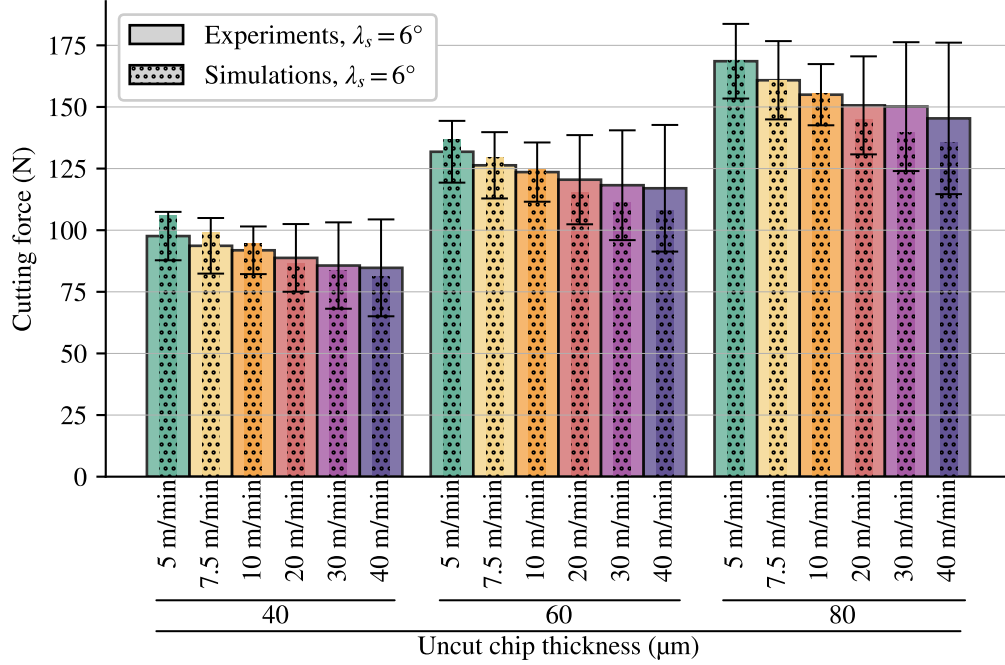


Figure 10: Comparison of experimental and numerical cutting forces at the cutting edge inclination of  $6^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

average trend with cutting speed is clear at  $6^\circ$ , not at  $0^\circ$ ). For the numerical values, the overall trend is the same for the 3 uncut chip thicknesses and the two inclination angles: a decrease for the lowest values of  $v_c$  and then an increase. It should be noted that the numerical model does not correctly handle the trends of the feed forces: as Figure 12 clearly shows, the numerical forces have an overall increasing trend with the cutting speed, while their average value mainly decreases when the uncut chip thickness increases. The differences between the average numerical and experimental values increase with the uncut chip thickness: the forces are closer at  $40\ \mu\text{m}$  than at  $80\ \mu\text{m}$ . The numerical values are generally not within the 95 % confidence interval (they do not clearly change with the cutting conditions). Coupled with the differences in trends, this shows that  $F_f$  is less well modelled (the average difference is 39 %) than  $F_c$  as usual in FE modelling of the cutting process and even more so in 3D [27]. The influence of the uncut chip thickness on the feed force should therefore be improved. The parameters of the material model are known to have an impact on the forces (and on the chip morphology) [15, 36]. The friction model should also be improved to strengthen the results

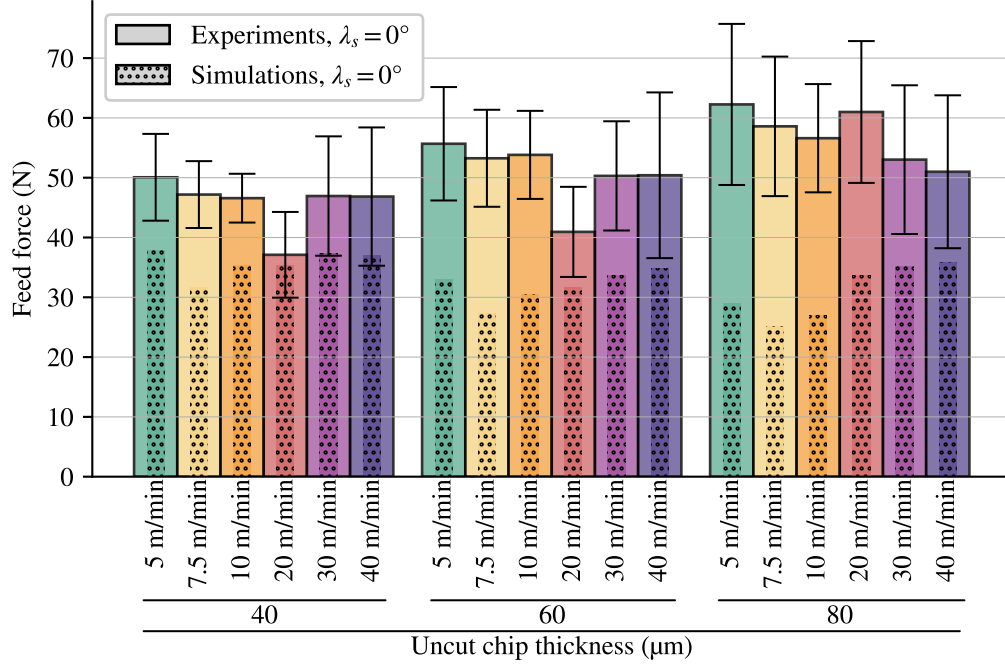


Figure 11: Comparison of experimental and numerical feed forces at the cutting edge inclination of  $0^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

[27].

The passive force is non-zero for the inclination angle of  $6^\circ$  (Figure 13). Like the cutting force, it increases with the uncut chip thickness and decreases with the cutting speed. The comparison with experiments is broadly the same as for  $F_c$ , except for a greater difference in the magnitude of  $F_p$  (the average difference is 26 %, but it is small in absolute terms – less than 5 N). Most of the numerical values do not fall within the experimental 95 % confidence interval. A lower magnitude of the passive force from the simulation than from the experiments with the correct trends when the cutting conditions change was also observed by Hardt and Bergs [27]. The differences were mainly attributed to differences in cutting edge radius, friction modelling and material model. In this work, the impact of the cutting edge radius can be neglected as it is the same in the model as in the experiments.

As far as the chip morphology is concerned, all chips are continuous. For both

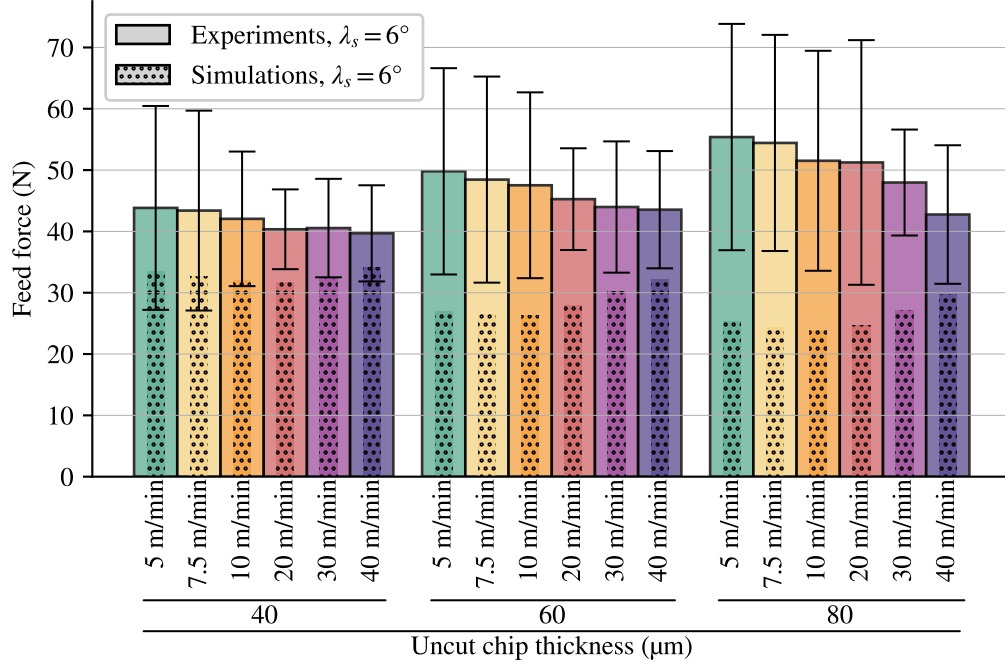


Figure 12: Comparison of experimental and numerical feed forces at the cutting edge inclination of  $6^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

the simulation and the experiments, the chip thickness ratio,  $\lambda_h$  :

$$\lambda_h = \frac{h'}{h} \quad (13)$$

with  $h$  the uncut chip thickness and  $h'$  the chip thickness, is almost independent of the uncut chip thickness (Figures 14 and 15). It is slightly reduced from  $\lambda_s = 0^\circ$  to  $\lambda_s = 6^\circ$ , which means that the chip thickness decreases with the inclination angle. This influence is underestimated by the model: the reduction of  $\lambda_h$  is smaller than in the experiments. The average difference between the experimental and numerical  $\lambda_h$  is 17 % over the whole range of cutting conditions. The chip thickness ratio decreases with cutting speed due to the reduction in friction, which is correctly accounted for by the model. As with the feed force, the results should be improved by more complex friction models and a set of material parameters for which the identification includes forces and chip thickness: [15].

The differences calculated according to the equation (12) are presented in Table 6 to provide a quantitative overview of the results. The cutting force is the best

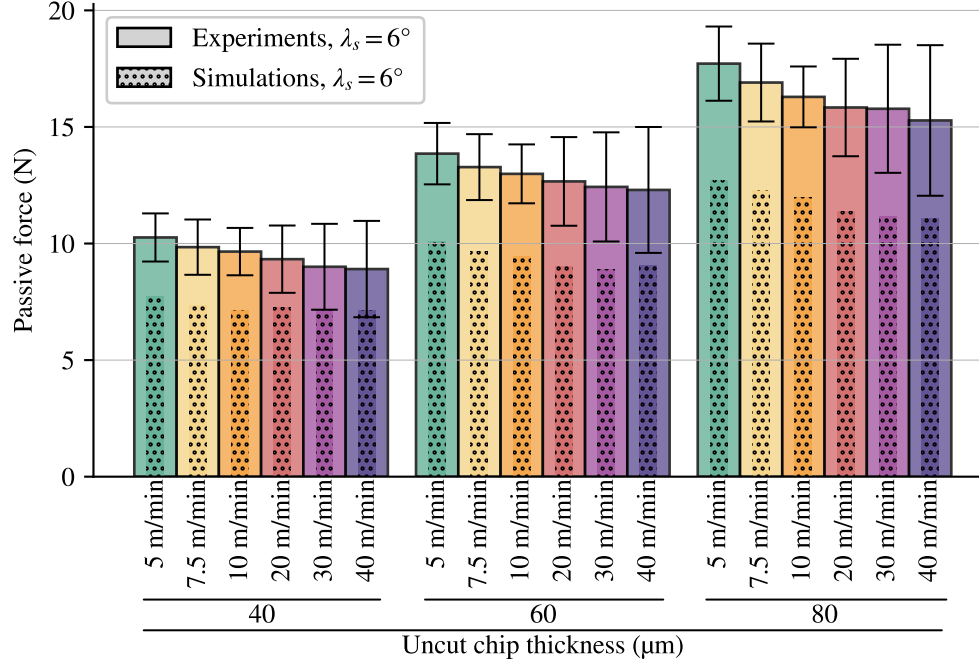


Figure 13: Comparison of experimental and numerical passive forces at the cutting edge inclination of  $6^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

416 modelled quantity as observed in the literature. This result was to be expected  
 417 as the parameter set of the material model was selected mainly due to its good  
 418 approximation of the cutting force [36]. As this selection was made with a 2D  
 419 model, the results show the ability of the model to correctly handle the third (pas-  
 420 sive) force. Based on the average differences, the performance of the model is very  
 421 close for the cutting and feed forces for both cutting edge inclinations, although  
 422 a small degradation (1 % and 2 %, respectively) is noted for  $6^\circ$ . This degradation  
 423 is more important (7 %) for the chip thickness ratio and must be linked to the dif-  
 424 ference in passive force. Indeed, the chip thickness and out-of-plane force models  
 425 are deeply linked. Improving the friction at the tool-workpiece interface should  
 426 be a key point. It should be noted that the chip thickness is very well modelled un-  
 427 der certain cutting conditions with a minimum difference of 2 %. The difference  
 428 is larger for the feed force than for the passive force, a trend opposite to that of  
 429 Hardt and Bergs [27]. The average and range (min – max) of the differences are  
 430 larger for the feed force. The smaller range of the passive force confirms a shift  
 431 for all cutting conditions, similar to the results of Hardt and Bergs [27]. Again,

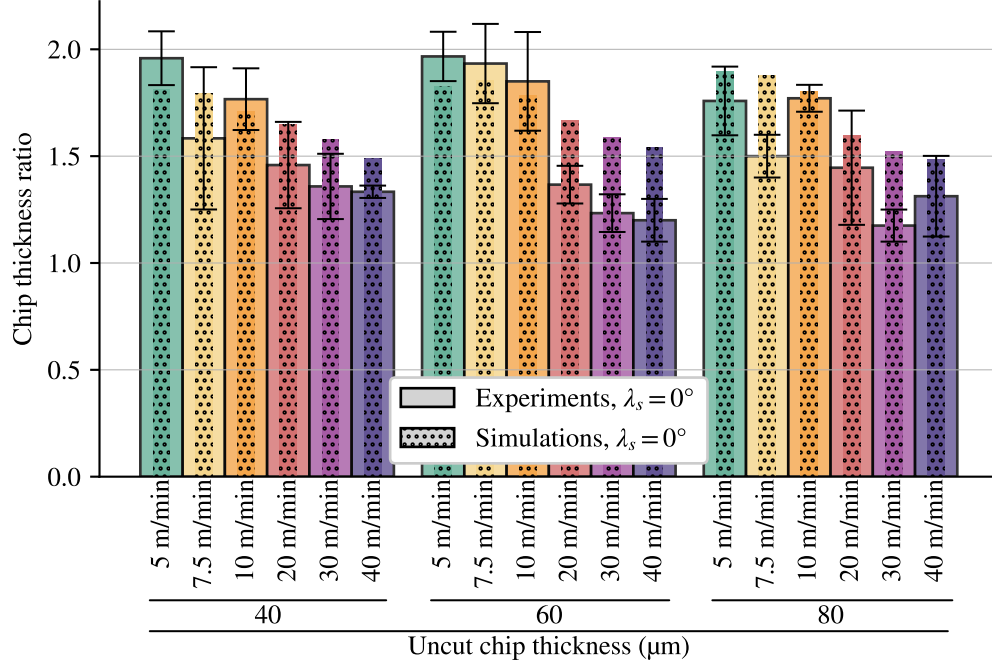


Figure 14: Comparison of experimental and numerical chip thickness ratios at the cutting edge inclination of  $0^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

432 the friction modelling should be the first aspect of the model to be improved in  
433 future developments.

Table 6: Synthetic quantitative overview of the results: differences between the experimental and the numerical results (average difference for each cutting edge inclination, and maximal, minimal and average differences for all the conditions) for the cutting force,  $\Delta F_c$ , the feed force,  $\Delta F_f$ , the passive force,  $\Delta F_p$ , and the chip thickness ratio,  $\Delta \lambda_h$

Difference	$\Delta F_c$ (%)	$\Delta F_f$ (%)	$\Delta F_p$ (%)	$\Delta \lambda_h$ (%)
Average $\lambda_s = 0^\circ$	3	38	–	14
Average $\lambda_s = 6^\circ$	4	40	26	21
Max. global	10	60	29	38
Min. global	1	10	19	2
Average global	4	39	26	17



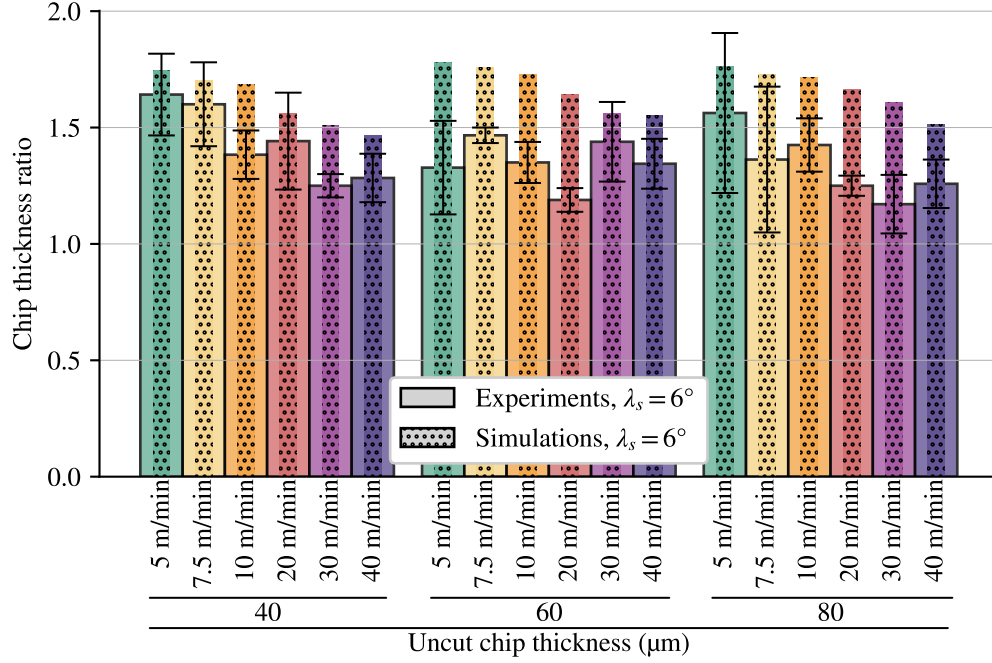


Figure 15: Comparison of experimental and numerical chip thickness ratios at the cutting edge inclination of  $6^\circ$  for the 3 uncut chip thicknesses and the 6 cutting speeds

## 5. Conclusions

An experimental and numerical study of the orthogonal and oblique free cutting of Ti6Al4V was carried out for a wide range of cutting conditions using an ANN-based flow law. The following main conclusions are drawn:

- The experimental study was carried out with the same set-up in free orthogonal and free oblique cutting for the titanium alloy Ti6Al4V (the only change is the cutting edge inclination). This is a reference to evaluate the performance of the FE 3D model introducing an ANN-based flow law developed under the same conditions. An unpreviously seen wide range of cutting conditions, 36, is considered, including 2 cutting edge inclinations.
- A major novelty of this work is the accurate evaluation of the fundamental variables and their trends in 3D, without the need to adjust the numerical parameters and the model characteristics when the cutting conditions and the inclination angle are changed significantly. The mere fact of changing

the inclination angle from free orthogonal cutting to oblique cutting while maintaining the quality of the results has no equivalent in the current literature, especially since no studies (experimental or numerical) on free oblique cutting are available.

- Taking into account the material's flow law by means of a neural network makes it possible to overcome the limitations of conventional flow laws and to reduce the approximations associated with the establishment of an analytical formulation of the flow law as conventionally adopted. The numerical model is then able to better reproduce the real behaviour of the material and to take into account thermomechanical transformations which are sources of non-linearities, difficult to take into account with an analytical flow law model. Current work, using a Gleeble thermomechanical simulator, on the behaviour of a modified carbon alloy AISI P20 shows the advantages of this approach compared to models in the literature such as Johnson-Cook, Zerilli-Armstrong [5] or Hansel-Spittel [49], insofar as one is then able to better reproduce more complex material behaviours.
- The cutting force is the best modelled quantity with an average difference of 4 % with the experiments. Chip thickness ratio and passive force show a larger deviation from the experiments (17 % and 26 %, respectively), but their trends as the cutting conditions change are accurate. This is in line with the expected results provided by a predictive model. The deviation for feed force is higher (39 %), and opposite trends compared to the experimental reference are observed. The lack of influence of uncut chip thickness on friction in the model seems to be one of the aspects to be included as a priority in future work. The model is found to handle the occurrence of the third force, out of plane, well without significant degradation of the results.
- The predictive capabilities of the model make it suitable for the development of straight-edged tools, for example. This work also demonstrates the ability to model material behaviour with ANN and opens up possibilities in this promising direction.

## **6. Statements & Declarations**

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## Competing Interests

The authors have no relevant financial or non-financial interests to disclose.

## Author Contributions

François Ducobu contributed to Data curation, Formal analysis, Investigation, Methodology, Software, Supervision, Validation, Visualization, Writing – original draft and review & editing (focussing on non ANN-related aspects). Olivier Pantalé contributed to Data curation, Formal analysis, Investigation, Methodology, Software, Validation, Visualization, Writing – original draft and review & editing (focussing on ANN-related aspects). Bert Lauwers contributed to Supervision and Writing – review & editing. All authors read and approved the final manuscript.

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## Appendix A. Coefficients of the ANN 3-9-7-1-sig

In this appendix, we present the values obtained after the training phase of an ANN containing 9 neurons in the first hidden layer and 7 neurons in the second hidden layer. Conforming to [33], this one is referred ANN-3-9-7-1-sig. The training of the neural network was performed using a dataset containing 3430 data points defined by:

- 70 equidistant values for  $\varepsilon^p \in [0, 3]$ , so that  $[\varepsilon^p]_{min} = 0$  and  $[\varepsilon^p]_{max} = 3$ .
- 7 plastic strain rates  $\dot{\varepsilon}^p \in [1/s, 10/s, 50/s, 500/s, 5000/s, 50\,000/s, 500\,000/s]$ , so that  $[\ln(\dot{\varepsilon}^p)]_{min} = 0$  and  $[\ln(\dot{\varepsilon}^p)]_{max} = 13.12236$ .
- 7 temperatures  $T \in [293\text{ K}, 400\text{ K}, 500\text{ K}, 700\text{ K}, 900\text{ K}, 1200\text{ K}, 1500\text{ K}]$ , so that  $[T]_{min} = 293\text{ K}$  and  $[T]_{max} = 1500\text{ K}$ .

Stresses in the training dataset ranges from  $[\sigma^y]_{min} = 171.4\text{ MPa}$  to  $[\sigma^y]_{max} = 2606.1\text{ MPa}$ . The results of the training process are given here after for the ANN quantities  $\mathbf{W}_1$ ,  $\mathbf{W}_2$ ,  $\vec{w}$ ,  $\vec{b}_1$ ,  $\vec{b}_2$  and  $b$ . The weight matrix for the first hidden layer  $\mathbf{W}_1$  is a  $9 \times 3$  matrix:



$$\mathbf{W}_1 = \begin{bmatrix} -0.87229 & -0.47675 & -1.50771 \\ -0.95762 & -0.25619 & 1.65222 \\ -10.61660 & 0.22003 & -0.11539 \\ 3.67883 & 0.37146 & -1.51069 \\ -63.39468 & 0.15466 & -0.95431 \\ 0.54807 & 0.25959 & -5.44355 \\ -1.33883 & 0.36089 & -1.66735 \\ -0.68125 & 1.02121 & 0.34242 \\ 0.08740 & 0.18764 & -41.32542 \end{bmatrix}$$

669 The weight matrix for the second hidden layer  $\mathbf{W}_2$  is a  $7 \times 9$  matrix:

$$\mathbf{W}_2^T = \begin{bmatrix} 1.66285 & -0.59645 & -3.17333 & 0.20706 & 1.18760 & 2.01250 & -0.82147 \\ -0.26237 & -2.50330 & -1.45941 & -1.59833 & 4.05169 & -1.21146 & 1.05610 \\ -0.12958 & 0.67119 & -5.85989 & -2.55061 & 4.85245 & 4.31876 & 3.24070 \\ -2.12890 & 0.68296 & 0.71183 & 0.81706 & -0.09405 & 0.34919 & -1.41223 \\ 2.33631 & -0.08089 & 14.65789 & 0.12531 & 23.66363 & 2.55872 & 2.15338 \\ 0.11567 & 1.77629 & -1.80448 & 0.77825 & -1.58254 & 1.90442 & 1.23152 \\ 1.49265 & 0.41821 & -3.53803 & -0.48705 & -0.23671 & 0.75887 & -0.37441 \\ 0.95990 & 0.69041 & 0.43870 & 0.28393 & -1.40101 & -0.64569 & -0.38964 \\ 5.89937 & -0.13015 & 2.99264 & 1.78534 & -3.90189 & 1.17494 & -3.78854 \end{bmatrix}$$

670 The weight vector for the output layer  $\vec{w}$  is a 7 components vector:

$$\vec{w} = \begin{bmatrix} 0.34701 \\ 1.42079 \\ -0.96564 \\ 0.62467 \\ -0.56322 \\ 0.40960 \\ -0.42810 \end{bmatrix}$$

671 The biases of the first hidden layer  $\vec{b}_1$  is a 9 components vector:

$$\vec{b}_1 = \begin{bmatrix} 2.57141 \\ 0.22673 \\ -1.16985 \\ -0.11246 \\ -0.82210 \\ -2.13264 \\ 0.78794 \\ 1.20434 \\ -3.48681 \end{bmatrix}$$

672 The biases of the second hidden layer  $\vec{b}_2$  is a 7 components vector:

$$\vec{b}_2 = \begin{bmatrix} -0.36566 \\ -1.14445 \\ -0.79065 \\ -0.50670 \\ 1.30136 \\ 0.04521 \\ -0.29995 \end{bmatrix}$$

673 The bias of the output layer  $b$  is a scalar:

$$b = 0.04213$$

674 The corresponding coefficients for the other networks identified during this  
675 work (ANN-3-11-7-1-sig, ANN-3-13-7-1-sig, ANN-3-15-7-1-sig and ANN-3-17-  
676 7-1-sig) can be found in [42].