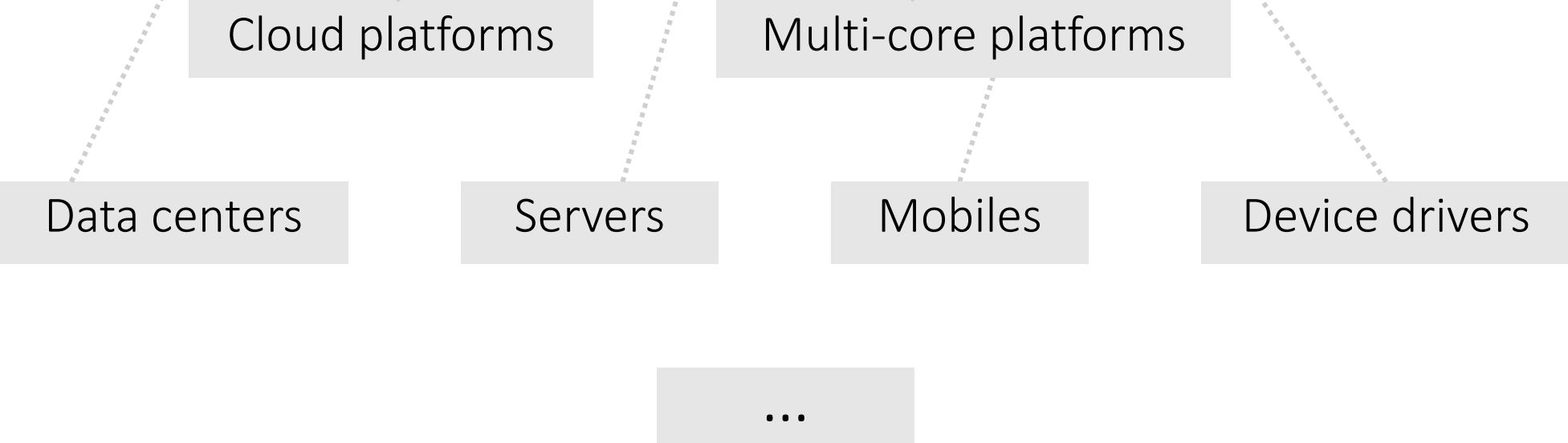


Computer-aided Concurrent Programming

Roopsha Samanta

PURDUE
UNIVERSITY

Concurrent programs are everywhere!



Concurrency bugs are subtle and hard to debug



Therac-25 radiotherapy machine overdose
6 deaths. **Race conditions**, overflow error.



North American power blackout
11 deaths. \$6 billion loss. **Race condition**.

Many concurrency bugs are due to synchronization errors

Atomicity violation

Race condition

Ordering violation

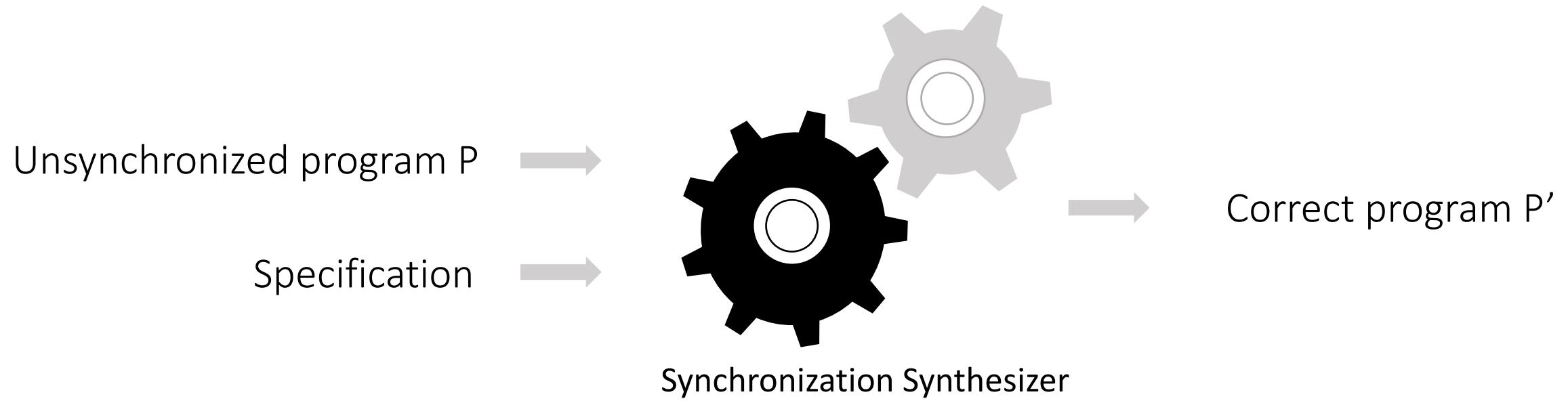
Deadlock

Livelock

Starvation

...

Computer-aided Concurrent Programming



Assumption: Programmer ensures P is correct when executed sequentially

A seminal paper

A cool paper

A modern approach

A seminal paper

A cool paper

A modern approach

A seminal paper

Clarke



Emerson



*Design and Synthesis of Synchronization
Skeletons using Branching-Time Temporal Logic.
Workshop on Logics of Programs 1981.*

DESIGN AND SYNTHESIS OF SYNCHRONIZATION SKELETONS USING BRANCHING TIME TEMPORAL LOGIC

Edmund M. Clarke
E. Allen Emerson
Aiken Computation Laboratory
Harvard University
Cambridge, Mass. 02138, USA

1. INTRODUCTION

We propose a method of constructing concurrent programs in which the *synchronization skeleton* of the program is automatically synthesized from a high-level (branching time) Temporal Logic specification. The synchronization skeleton is an abstraction of the actual program where detail irrelevant to synchronization is suppressed. For example, in the synchronization skeleton for a solution to the critical section problem each process's critical section may be viewed as a single node since the internal structure of the critical section is unimportant. Most solutions to synchronization problems in the literature are in fact given as synchronization skeletons. Because synchronization skeletons are in general finite state, the propositional version of Temporal Logic can be used to specify their properties.

Our synthesis method exploits the (bounded) *finite model property* for an appropriate propositional Temporal Logic which asserts that if a formula of the logic is satisfiable, it is satisfiable in a finite model (of size bounded by a function of the length of the formula). Decision procedures have been devised which, given a formula of Temporal Logic, f , will decide whether f is satisfiable or unsatisfiable. If f is satisfiable, a finite model of f is constructed. In our application, unsatisfiability of f means that the specification is inconsistent (and must be reformulated). If the formula f is satisfiable, then the specification it expresses is consistent. A model for f with a finite number of states is constructed by the decision procedure. The synchronization skeleton of a program meeting the specification can be read from this model. The finite model property ensures that any program whose synchronization properties can be expressed in propositional Temporal Logic can be realized by a system of concurrently running processes, each of which is a finite state machine.

Initially, the synchronization skeletons we synthesize will be for concurrent programs running in a shared-memory environment and for monitors. However, we believe that it is also possible to extend these techniques to synthesize distributed programs. One such application would be the automatic synthesis of network communication protocols from propositional Temporal Logic specifications.

Previous efforts toward parallel program synthesis can be found in the work of [LA78] and [RK80]. [LA78] uses a specification language that is essentially predicate logic. This work was partially supported by NSF Grant MCS-7908365.

Algorithmic framework to check and synthesize synchronization for temporal properties of finite-state transition systems



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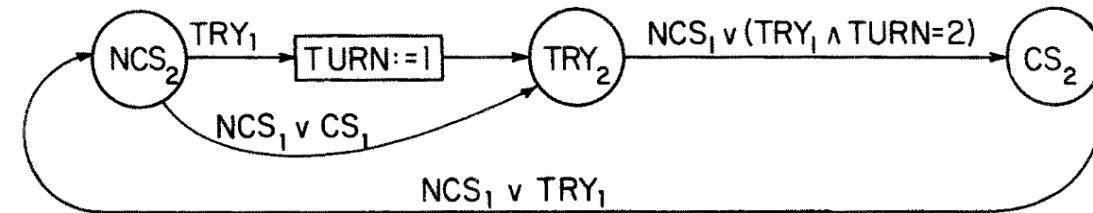
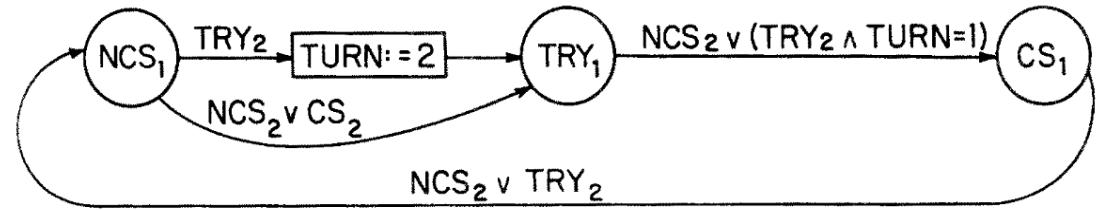
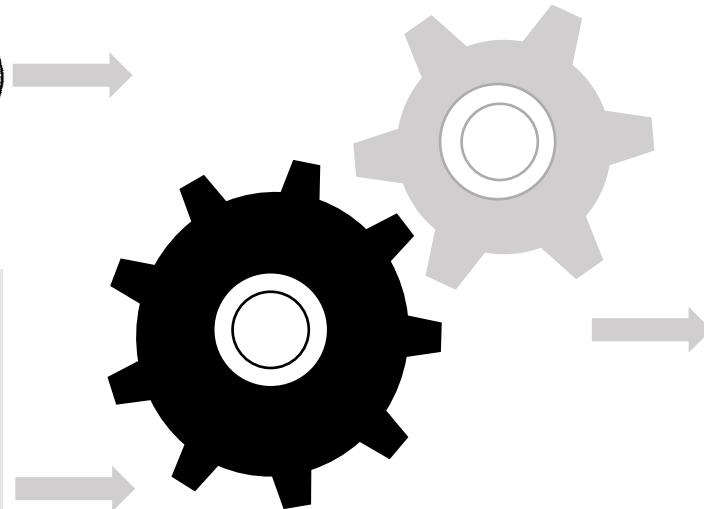
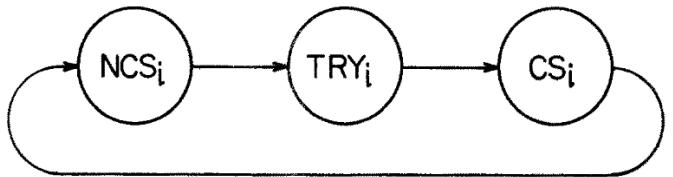
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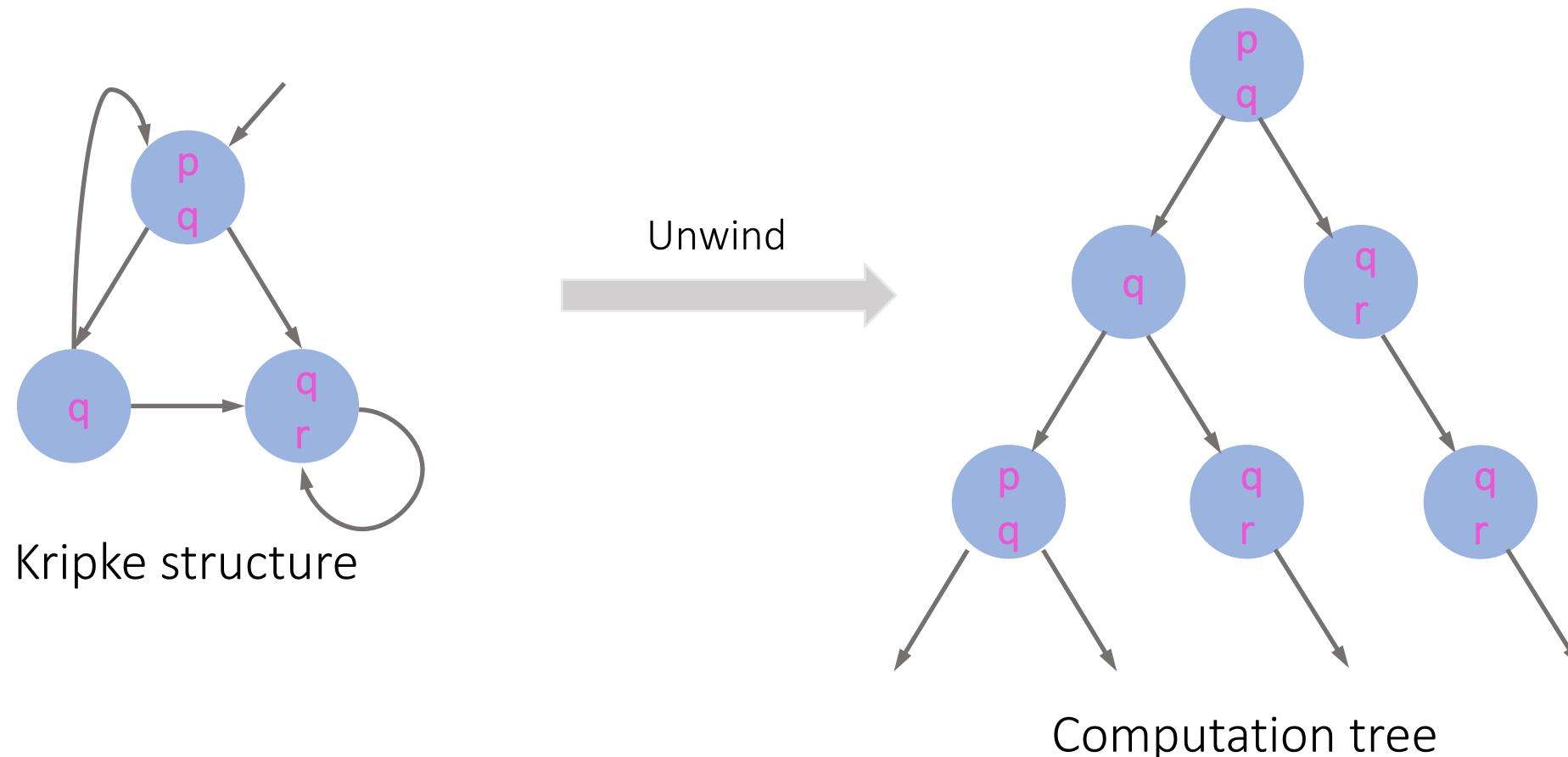
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Temporal logic primer



Temporal logics describe properties of infinite computation trees

Syntax of CTL

CTL /State formula

$$g ::= p \mid \neg g \mid g_1 \vee g_2 \mid g_1 \wedge g_2 \mid A f \mid E f$$

Path quantifiers

Always

Exists

Path formula:

$$f ::= X g \mid F g \mid G g \mid g_1 \cup g_2$$

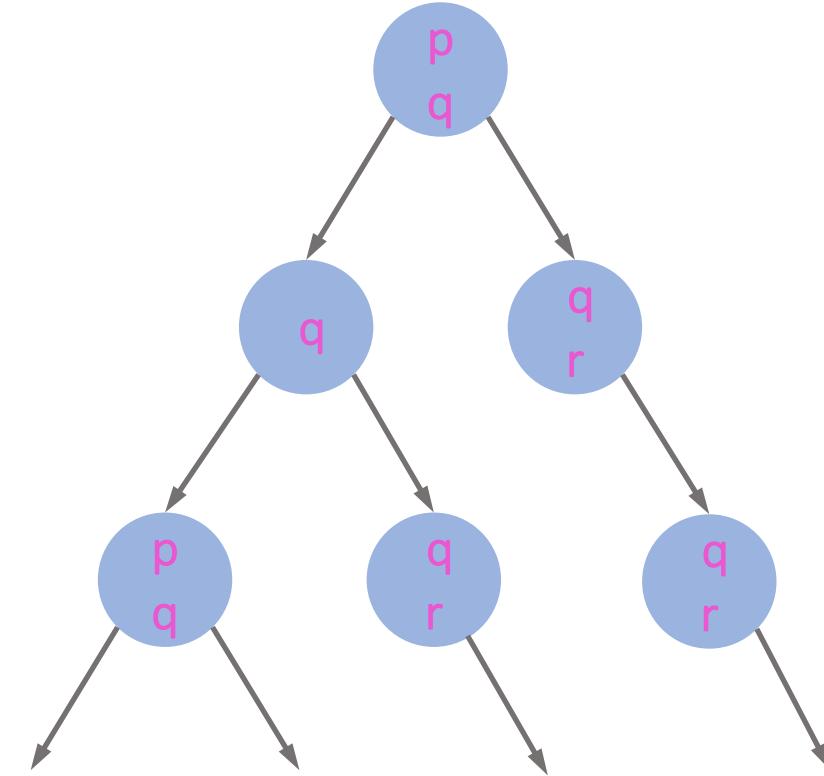
Temporal operators

Nexttime

Eventually

Globally

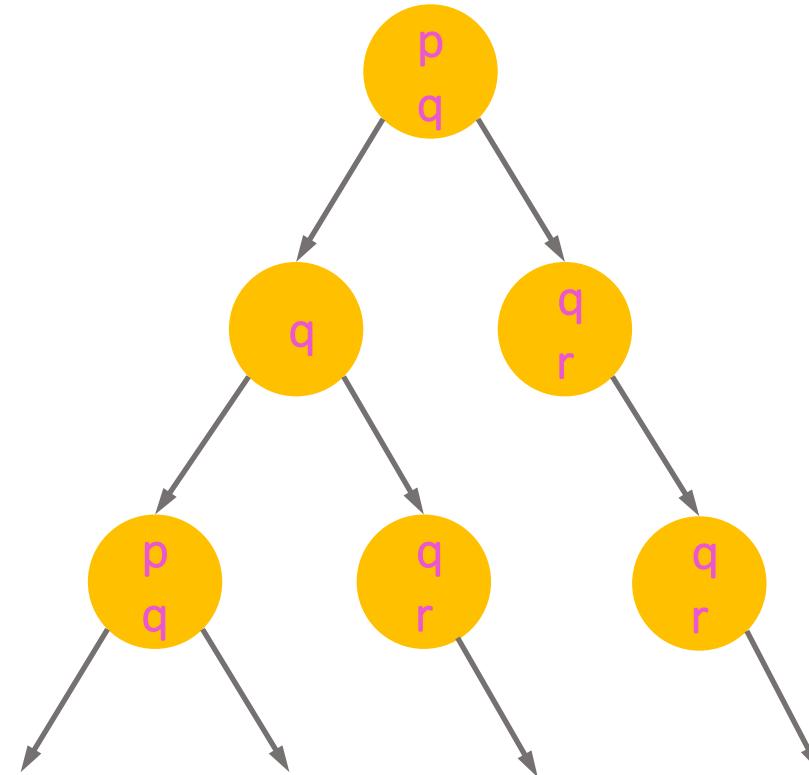
Until



Computation tree

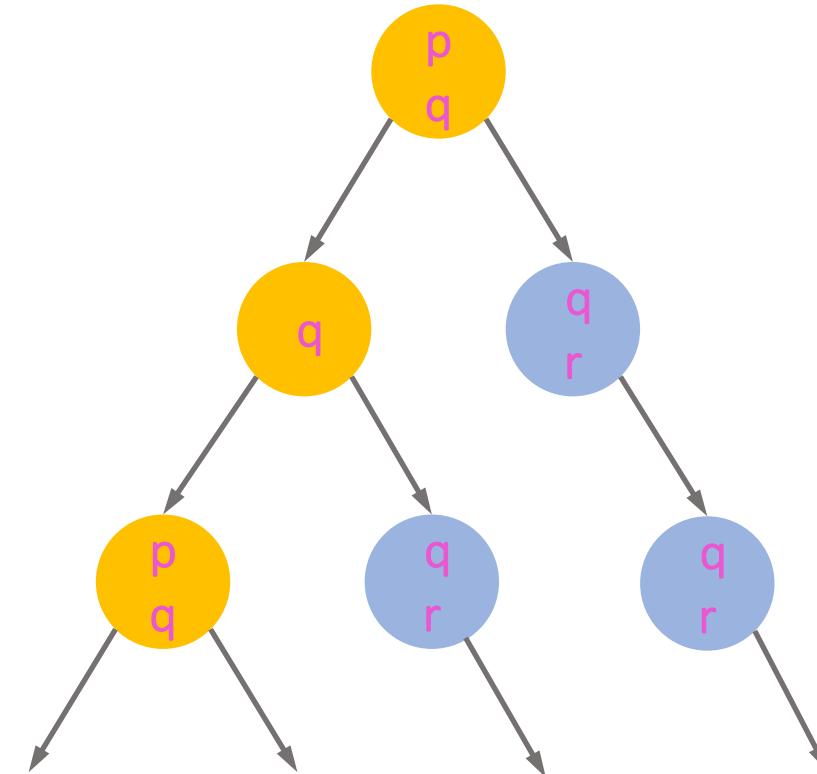
AG q

Along all paths, q holds in every state



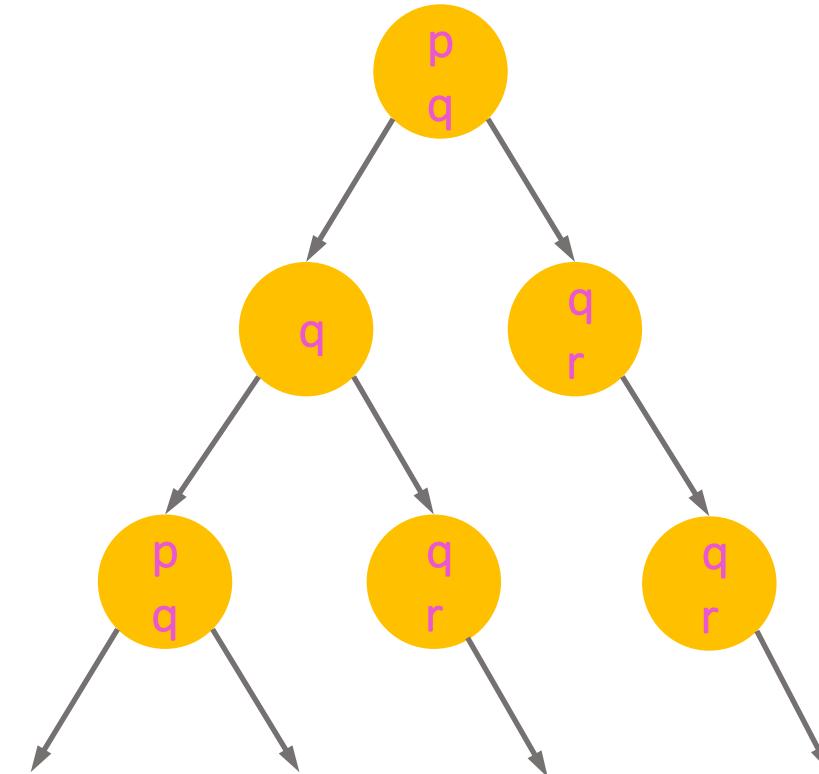
$\text{EF } p$

Exists a path, p holds eventually



EF AG q \wedge r

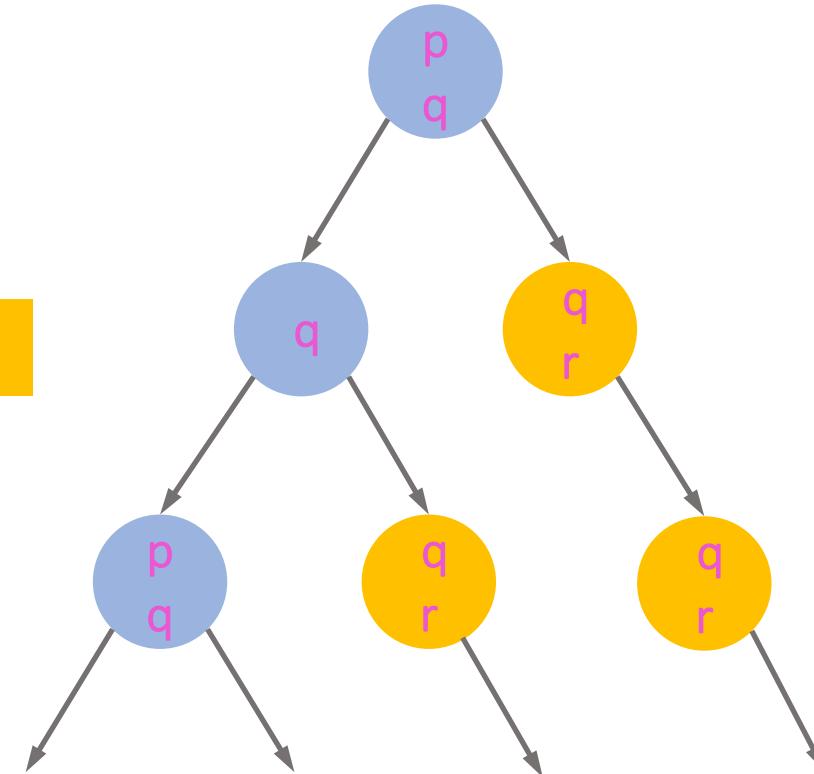
Exists a path, AG q \wedge r holds eventually



$\text{EF AG } q \wedge r$

$q \wedge r$

Exists a path, $\text{AG } q \wedge r$ holds eventually

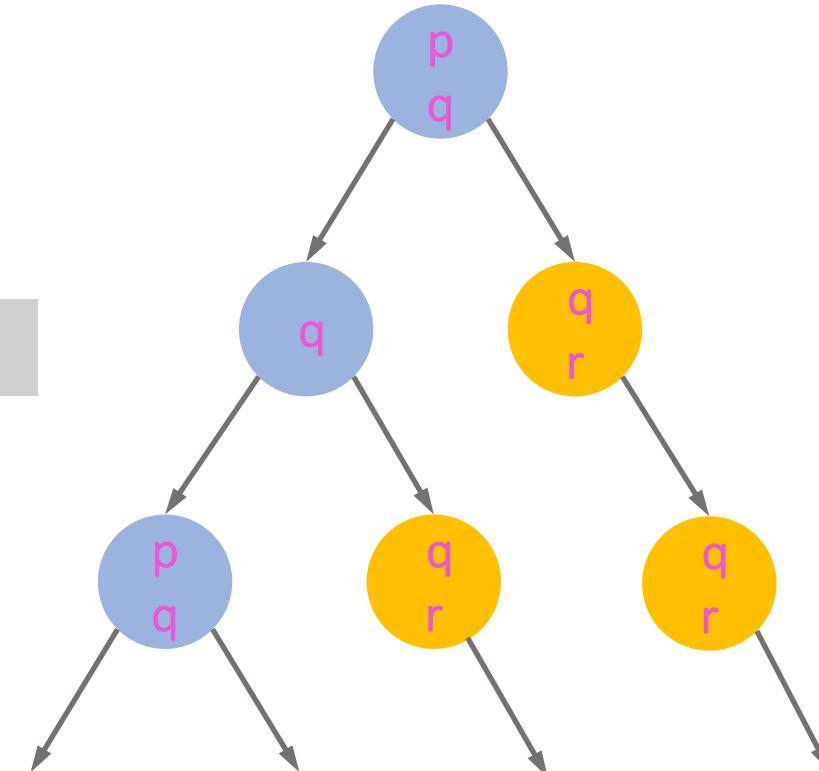


$\text{EF AG } q \wedge r$

$\text{AG } q \wedge r$

$q \wedge r$

Exists a path, $\text{AG } q \wedge r$ holds eventually

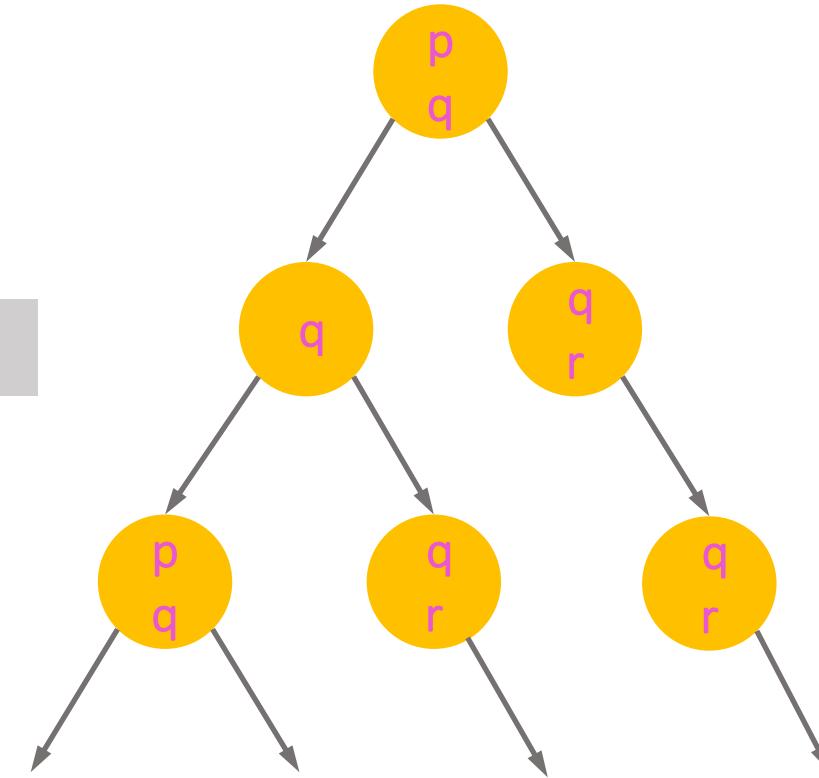


EF AG $q \wedge r$

AG q $\wedge r$

q $\wedge r$

Exists a path, **AG q** $\wedge r$ holds eventually



CTL synthesis decision procedure

Input: CTL formula f

Output: SAT + a finite model of f , or, UNSAT

- ▶ Build a tableau encoding potential models of f
- ▶ Delete inconsistent portions
- ▶ If root node is deleted, return UNSAT
- ▶ Extract model of f from tableau. Return SAT + model

OR node

Tableau for $\text{EF } p \wedge \text{EF } \neg p$

AND node

node $\models f$ for all $f \in \text{label}(\text{node})$

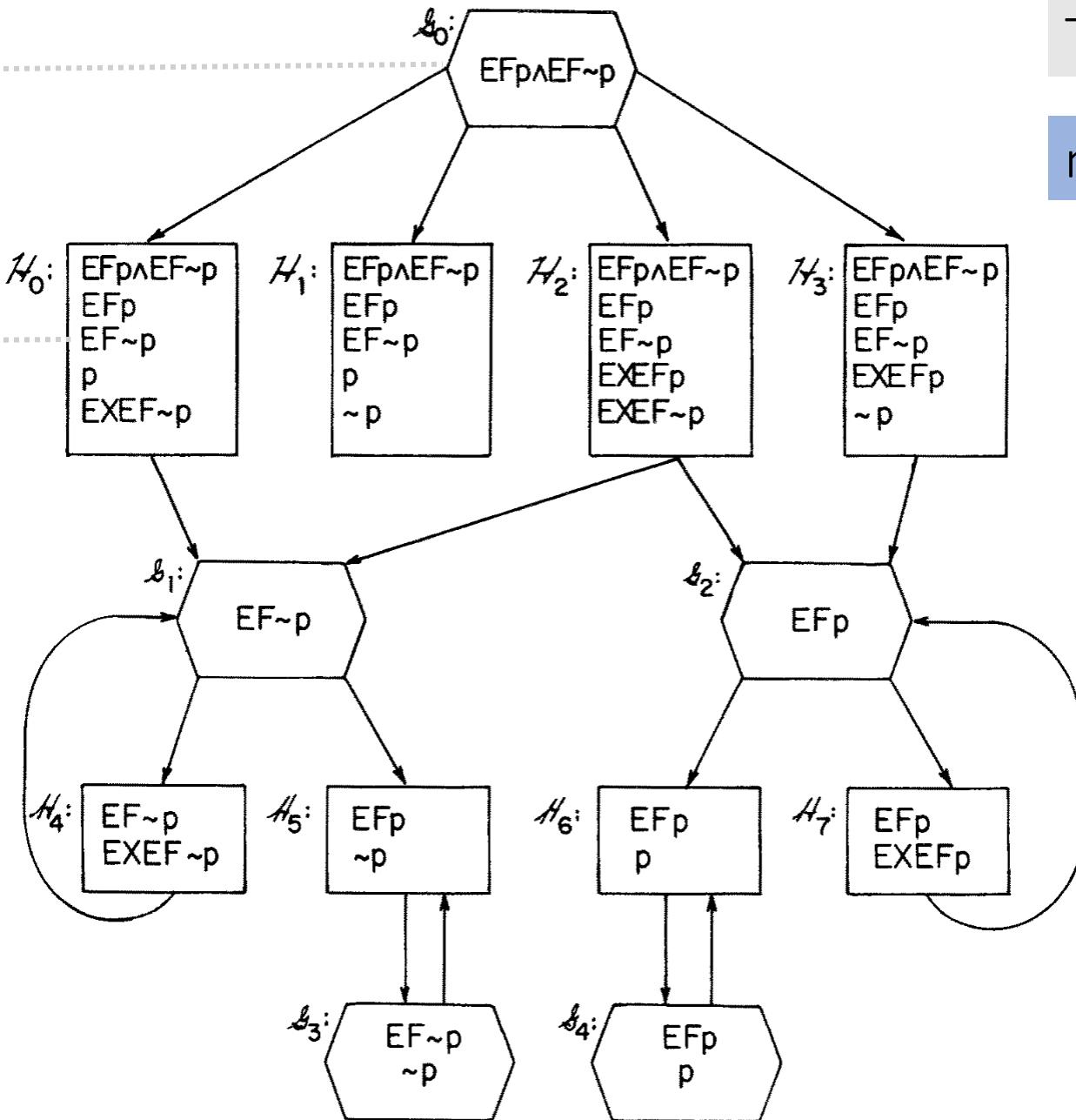
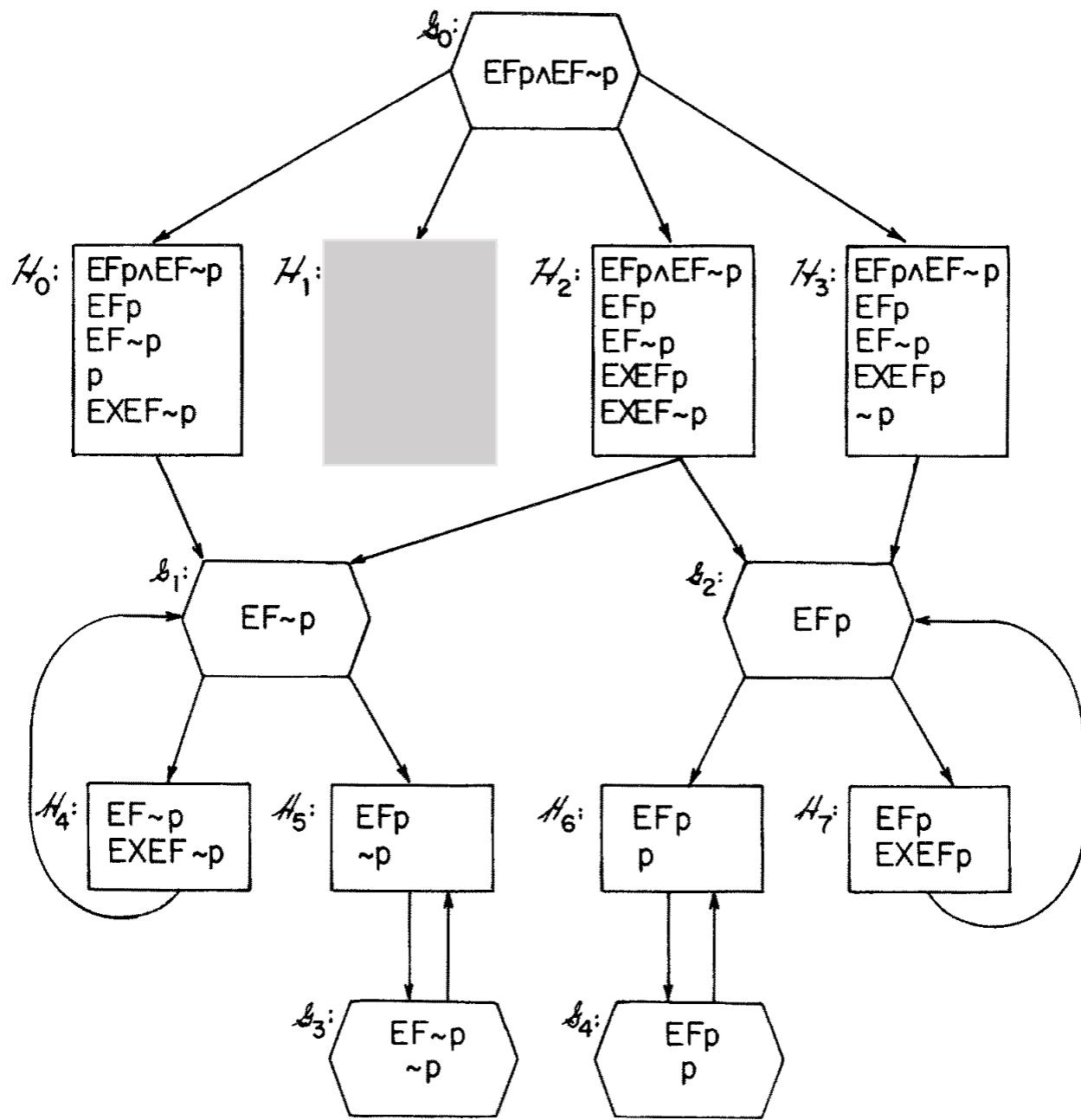
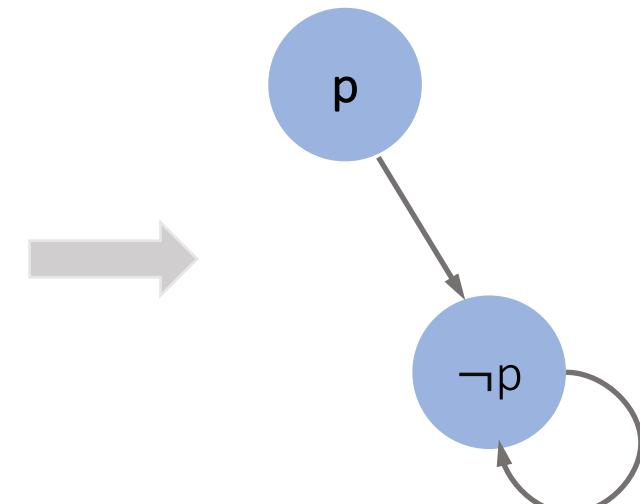
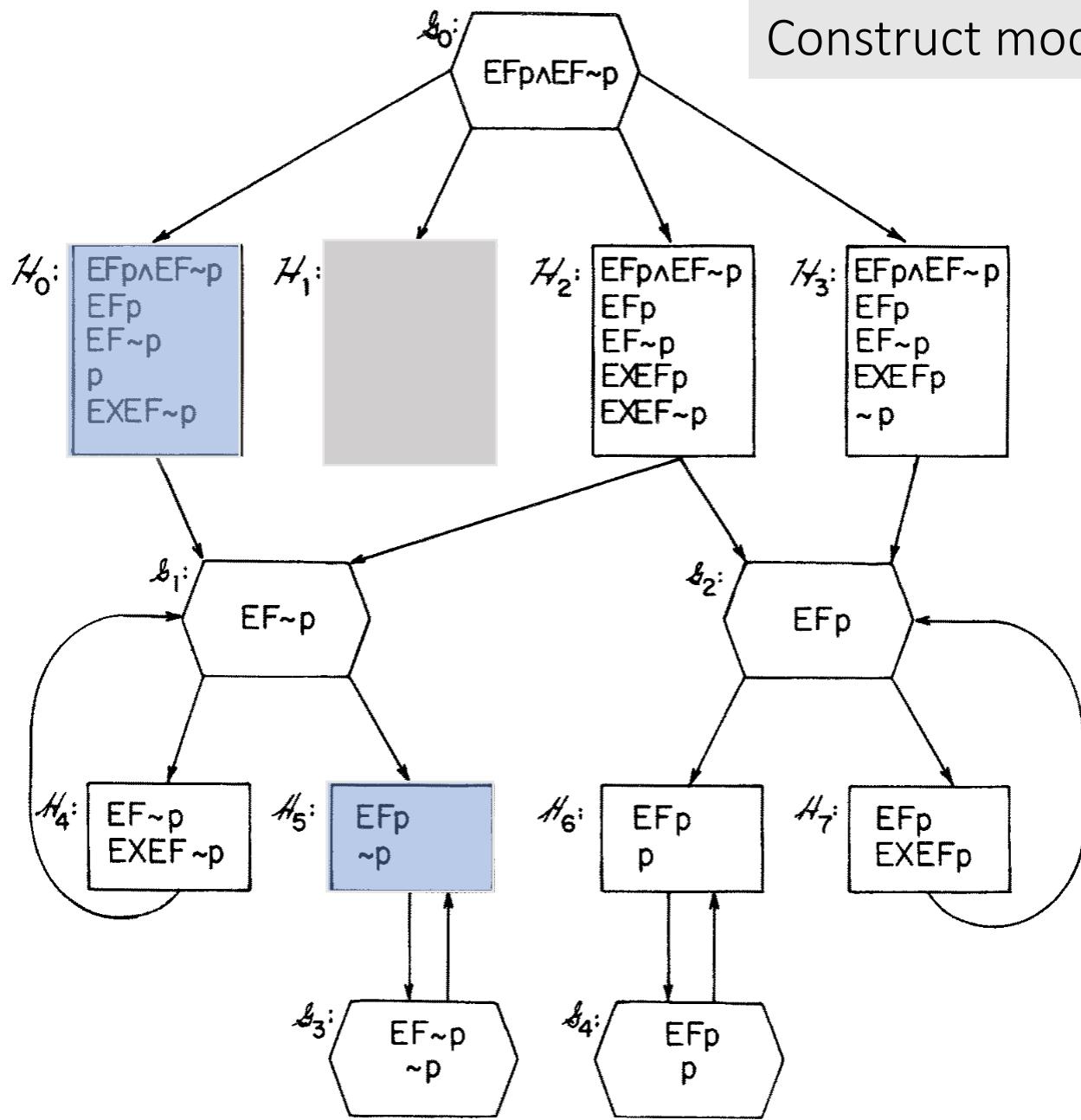


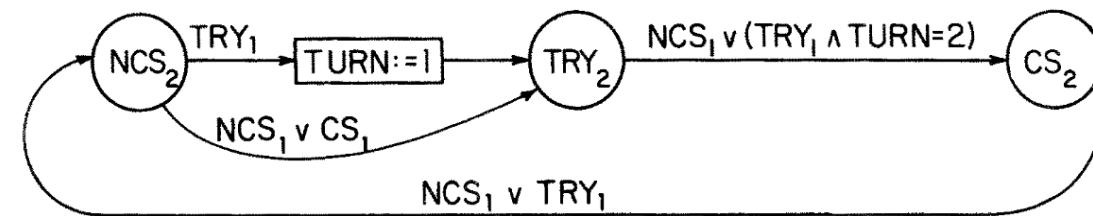
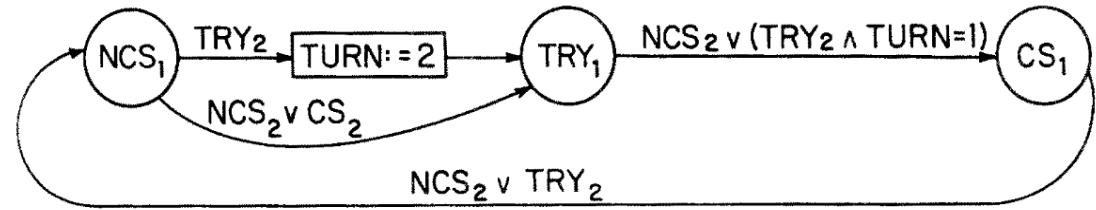
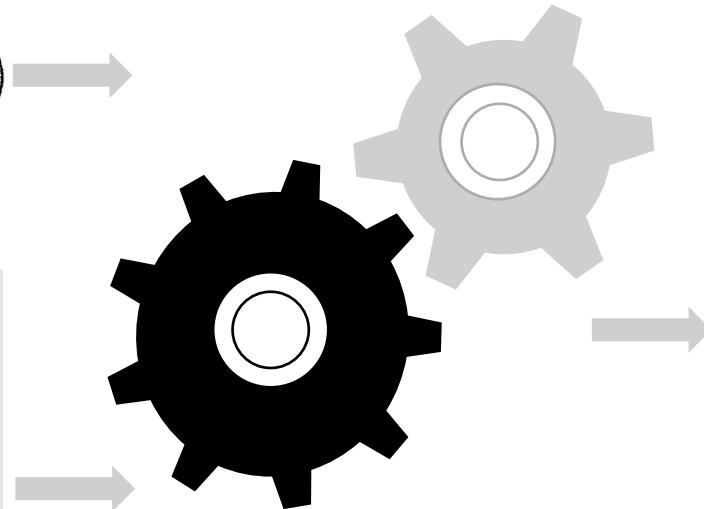
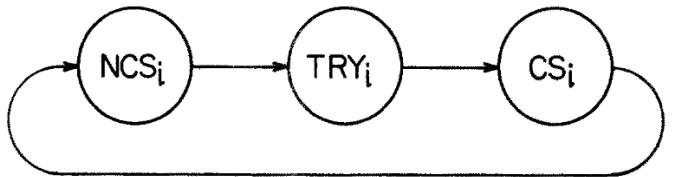
Figure from [CE81]

Delete inconsistent portions



Construct model from AND-nodes of tableau





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- ▶ Needs complete specification
- ▶ Finite-state processes
- ▶ Interleaving explosion

A seminal paper

A cool paper

A modern approach

A cool paper

Vechev



Yahav



Yorsh



*Abstraction-Guided Synthesis of Synchronization.
POPL 2010.*

Abstraction-Guided Synthesis of Synchronization

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IBM Research

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Abstract

We present a novel framework for automatic inference of efficient synchronization in concurrent programs, a task known to be difficult and error-prone when done manually.

Our framework is based on abstract interpretation and can infer synchronization for infinite state programs. Given a program, a specification, and an abstraction, we infer synchronization that avoids all (abstract) interleavings that may violate the specification, but permits as many valid interleavings as possible.

Combined with abstraction refinement, our framework can be viewed as a new approach for verification where both the program and the abstraction can be modified on-the-fly during the verification process. The ability to modify the program, and not only the abstraction, allows us to remove program interleavings not only when they are known to be invalid, but also when they cannot be verified using the given abstraction.

We implemented a prototype of our approach using numerical abstractions and applied it to verify several interesting programs.

Categories and Subject Descriptors D.1.3 [*Concurrent Programming*]; D.2.4 [*Program Verification*]

General Terms Algorithms, Verification

Keywords concurrency, synthesis, abstract interpretation

1. Introduction

We present *abstraction-guided synthesis*, a novel approach for synthesizing efficient synchronization in concurrent programs. Our approach turns the one dimensional problem of verification under abstraction, in which only the abstraction can be modified (typically via abstraction refinement), into a two-dimensional problem, in which *both the program and the abstraction can be modified* until the abstraction is precise enough to verify the program.

Based on abstract interpretation [10], our technique synthesizes a symbolic characterization of *safe schedules* for concurrent infinite-state programs. Safe schedules can be realized by modifying the program or the scheduler:

- **Concurrent programming:** by automatically inferring minimal atomic sections that prevent unsafe schedules, we assist the programmer in building correct and efficient concurrent software, a task known to be difficult and error-prone.
- **Benevolent runtime:** a scheduler that always keeps the program execution on a safe schedule makes the runtime system more reliable and adaptive to ever-changing environment and safety requirements, without the need to modify the program.

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Given a program P , a specification S , and an abstraction function α , verification determines whether $P \models_\alpha S$, that is, whether P satisfies the specification S under the abstraction α . When the answer to this question is negative, it may be the case that the program violates the specification, or that the abstraction α is not precise enough to show that the program satisfies it.

When $P \not\models_\alpha S$, abstraction refinement approaches (e.g., [3, 8]) share the common goal of trying to find a finer abstraction α' such that $P \models_{\alpha'} S$. In this paper, we investigate a complementary approach, of finding a program P' such that $P' \models_\alpha S$ under the original abstraction α and P' admits a subset of the behaviors of P . Furthermore, we combine the two directions — refining the abstraction, and restricting program behaviors, to yield a novel abstraction-guided synthesis algorithm.

One of the main challenges in our approach is to devise an algorithm for obtaining such P' from the initial program P . In this paper, we focus on *concurrent programs*, and consider changes to P that correspond to restricting interleavings by adding synchronization.

Although it is possible to apply our techniques to other settings, concurrent programs are a natural fit. Concurrent programs are often correct on most interleavings and only miss synchronization in a few corner cases, which can be then avoided by synthesizing additional synchronization. Furthermore, in many cases, constraining the permitted interleavings reduces the set of reachable (abstract) states, possibly enabling verification via a coarser abstraction and avoiding state-space explosion.

The AGS algorithm, presented in Section 4, iteratively eliminates invalid interleavings until the abstraction is precise enough to verify the program. Some of the (abstract) invalid interleavings it observes may correspond to concrete invalid interleavings, while others may be artifacts of the abstraction. Whenever the algorithm observes an (abstract) invalid interleaving, the algorithm tries to eliminate it by either (i) modifying the program, or (ii) refining the abstraction.

To refine the abstraction, the algorithm can use any standard technique (e.g., [3, 8]). These include moving through a pre-determined series of domains with increasing precision (and typically increasing cost), or refining within the same abstract domain by changing its parameters (e.g., [4]).

To modify the program, we provide a novel algorithm that generates and solves *atomicity constraints*. Atomicity constraints define which statements have to be executed atomically, without an intermediate context switch, to eliminate the invalid interleavings. This corresponds to limiting the non-deterministic choices available to the scheduler. A solution of the atomicity constraints can be implemented by adding atomic sections to the program.

Our approach separates the process of identifying the space of solutions (generating the atomicity constraints) from the process of choosing between the possible solutions, which can be based on a quantitative criterion. As we discuss in Section 6, our approach provides a solution to a *quantitative synthesis* problem [5], as it

Abstraction-based approach to infer synchronization to ensure safety properties of infinite-state concurrent programs



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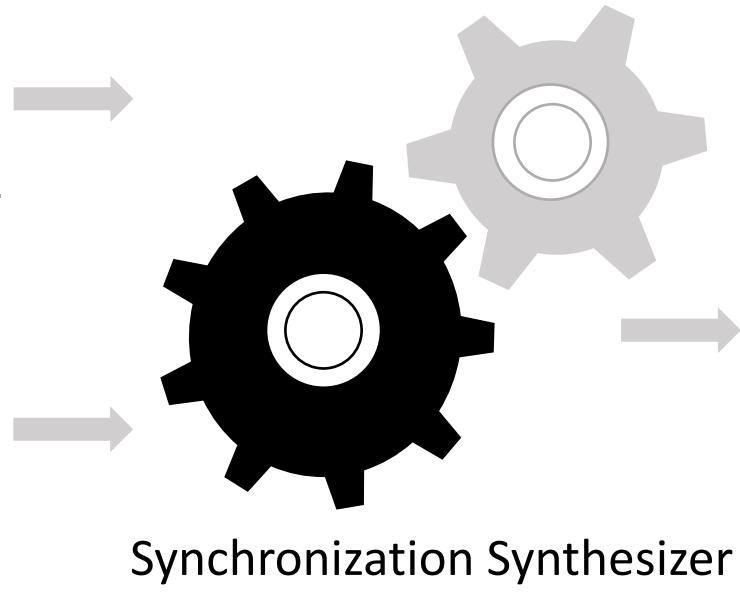
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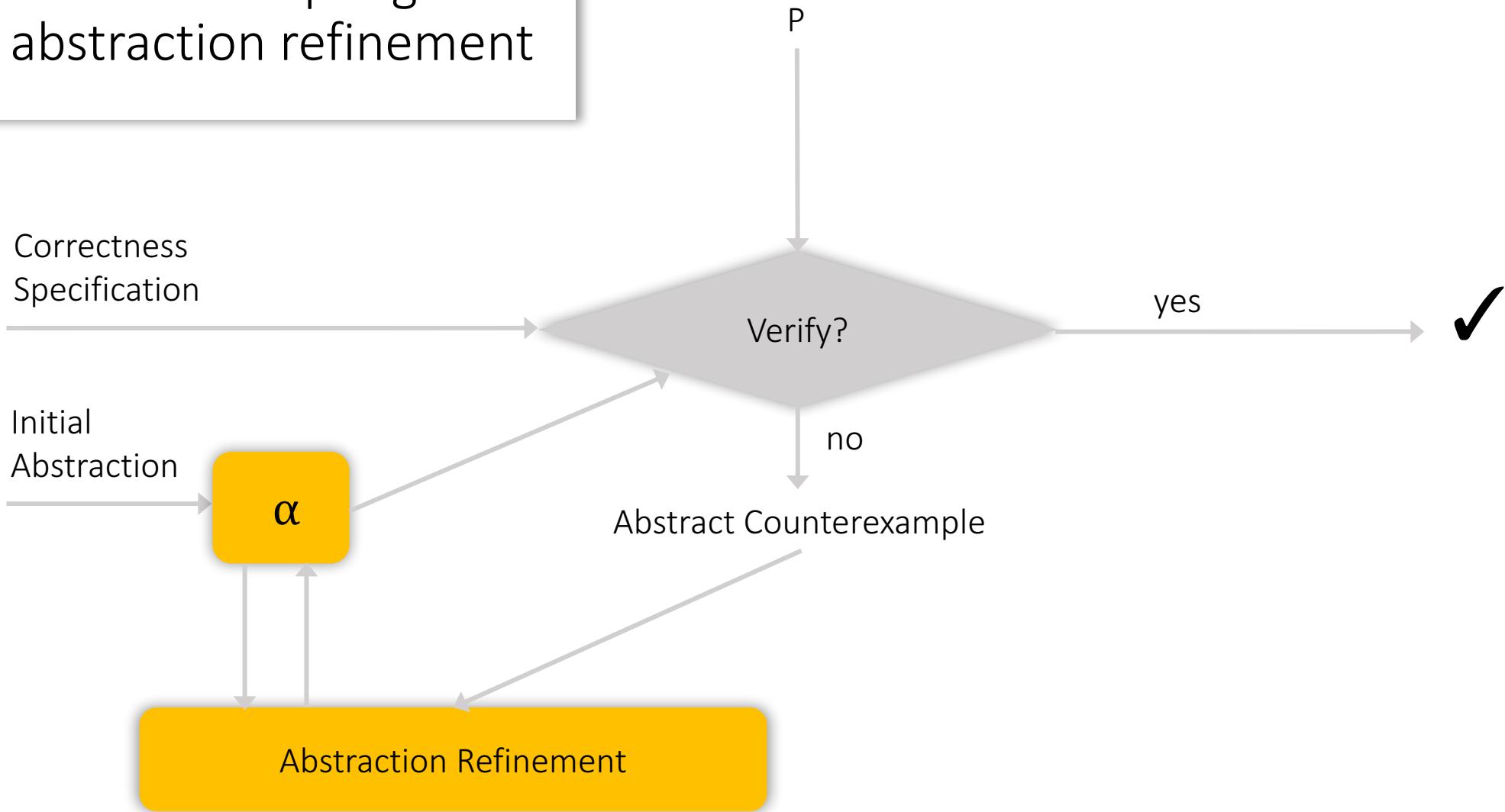
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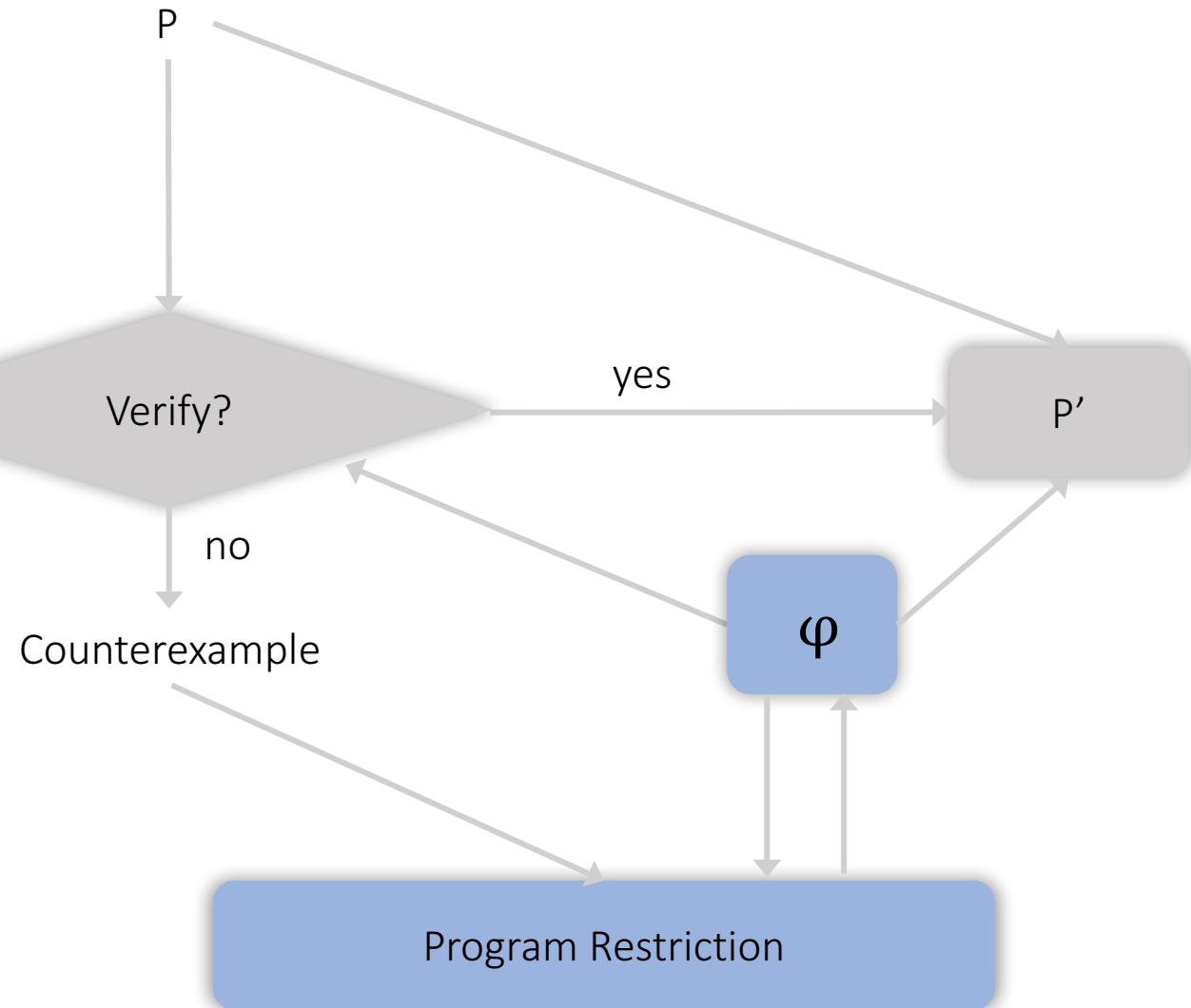
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Counterexample-guided abstraction refinement

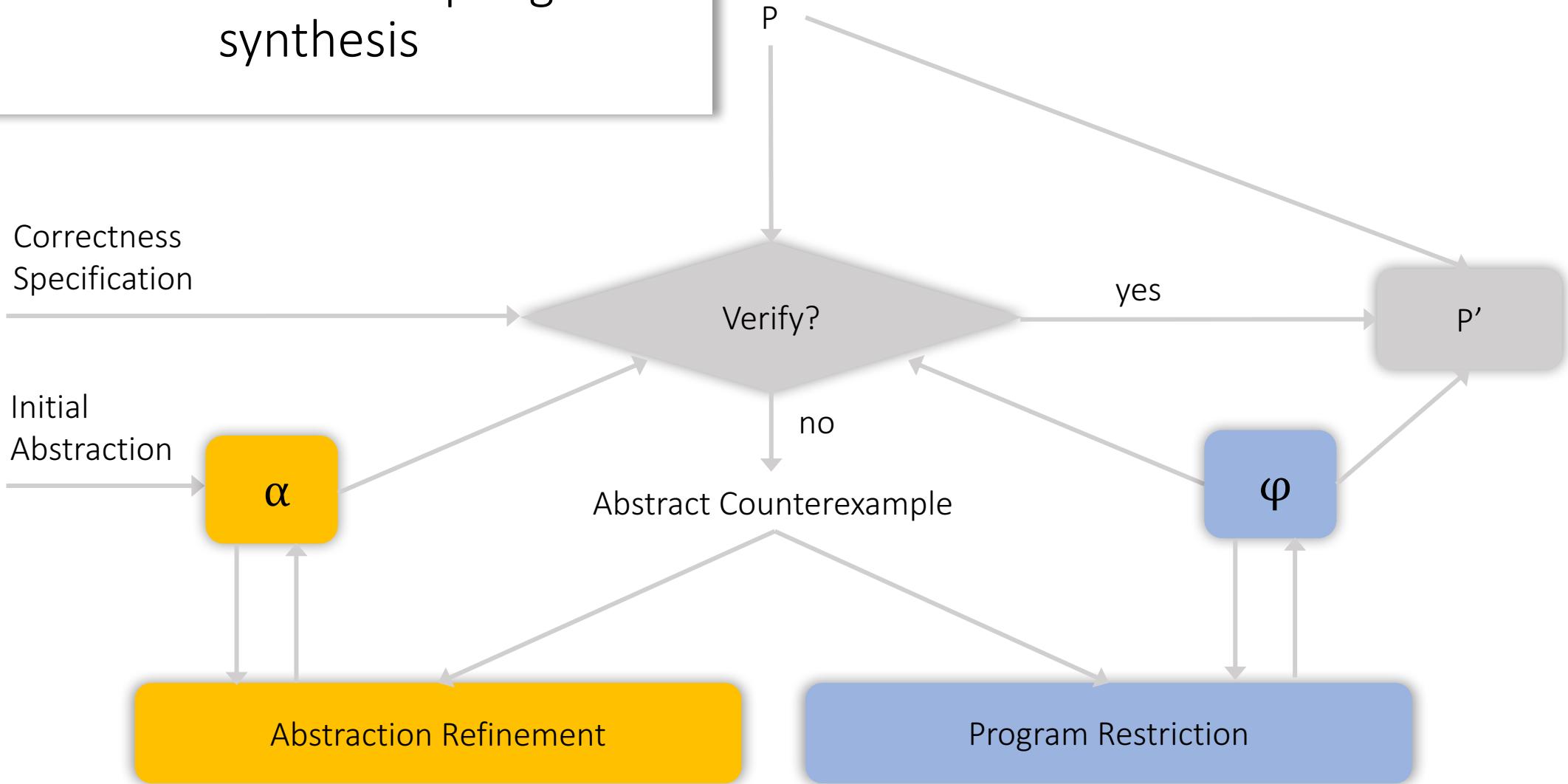


Counterexample-guided repair/synthesis

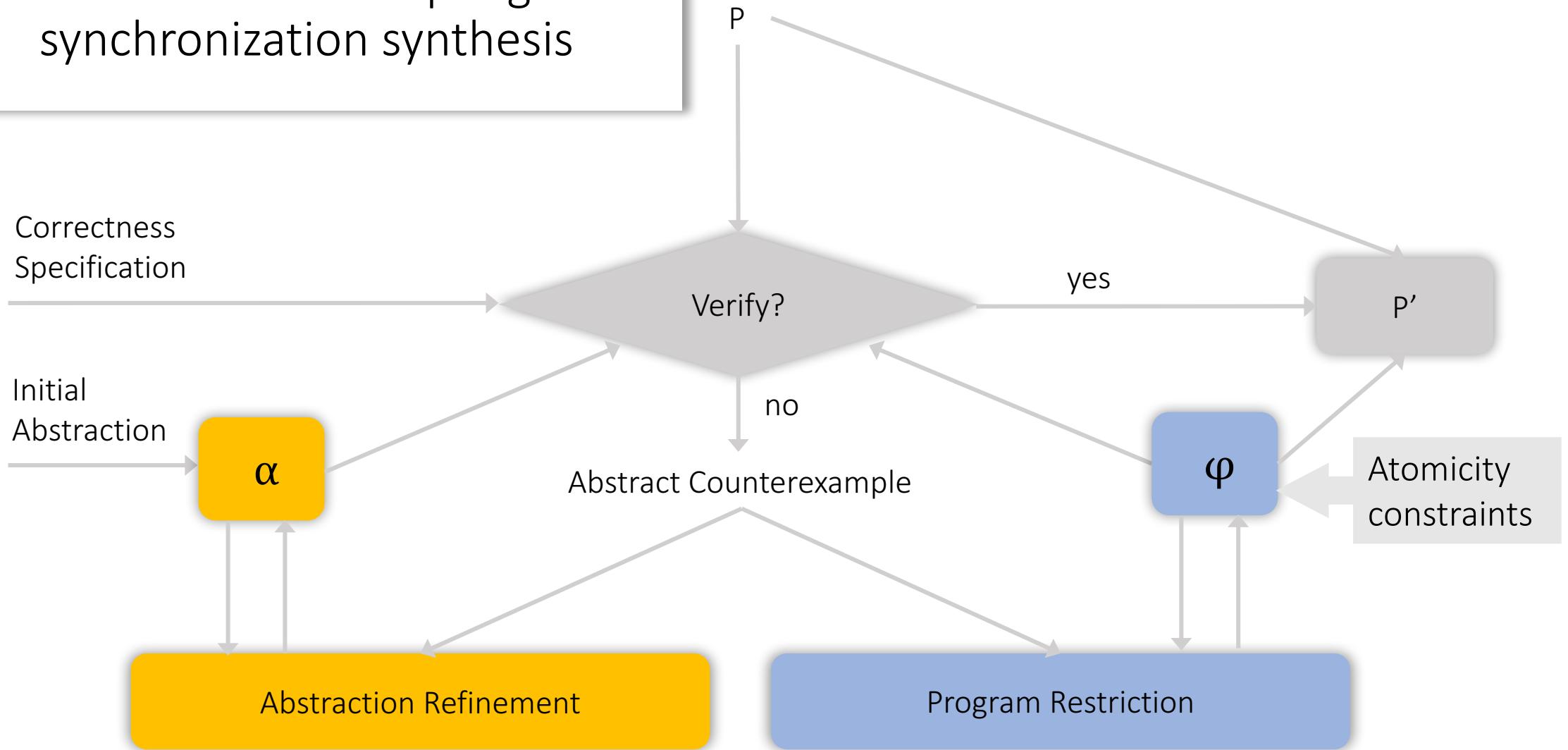
Correctness
Specification



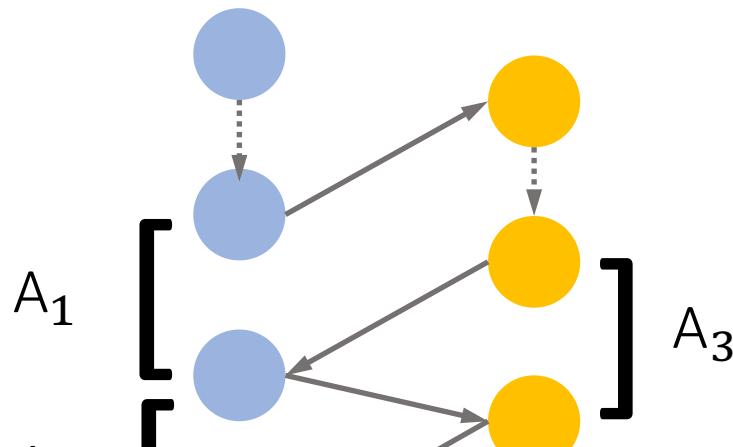
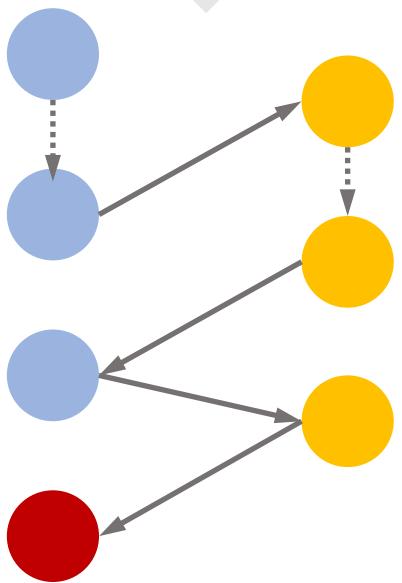
Abstract counterexample-guided synthesis



Abstract counterexample-guided synchronization synthesis



Abstract
counterexample

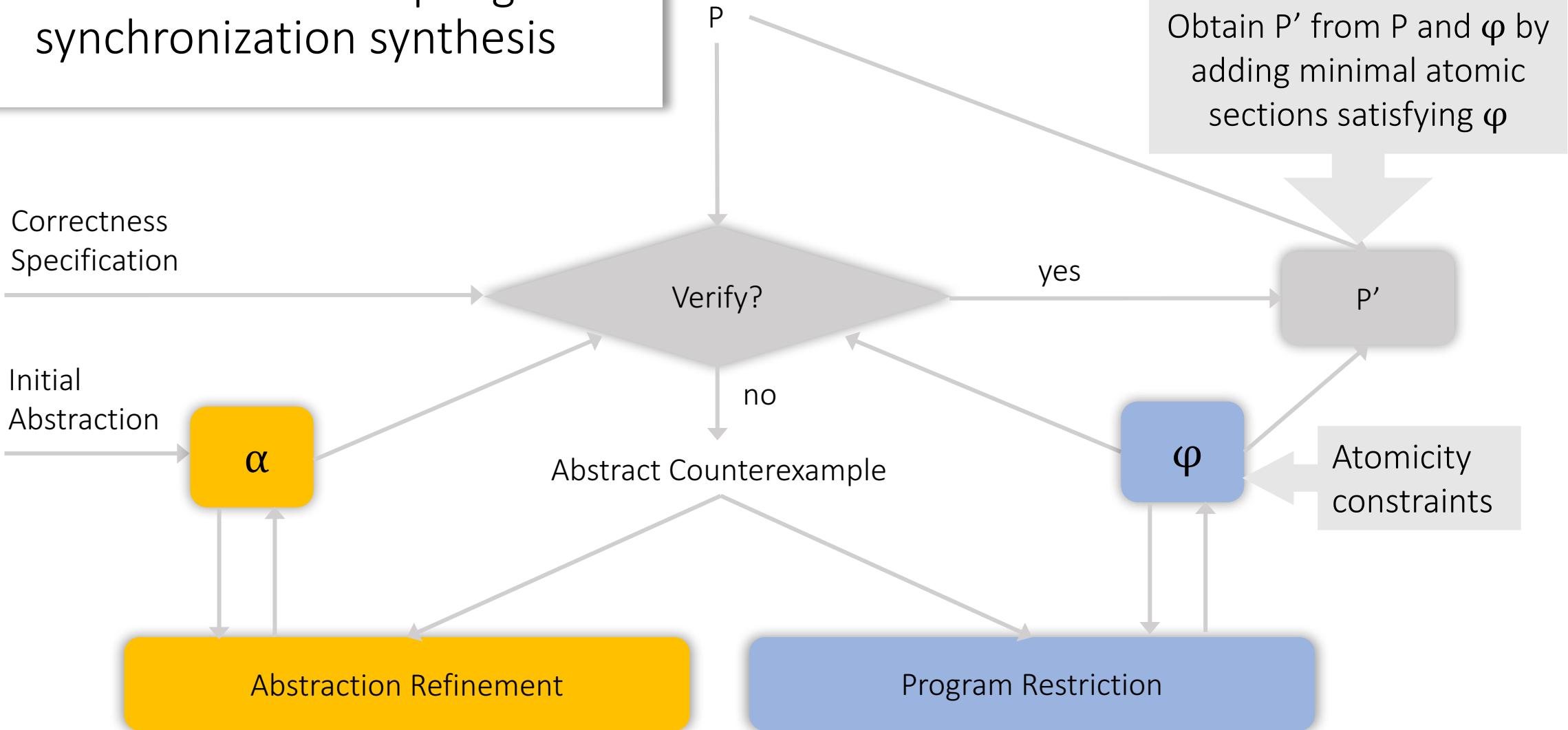


$A_1 \vee A_2 \vee A_3$

Atomicity constraint

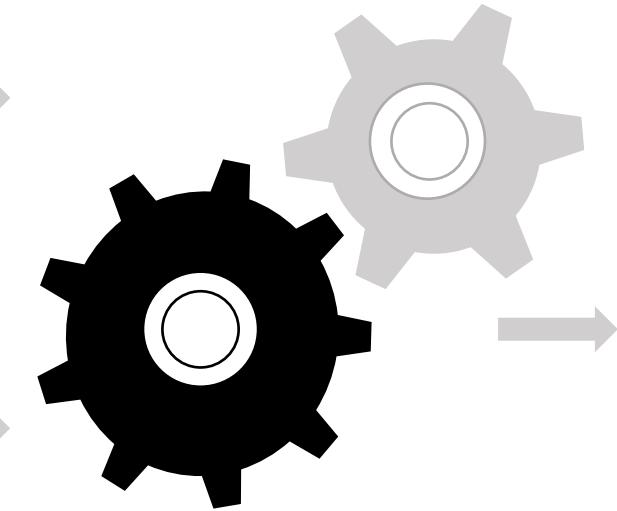
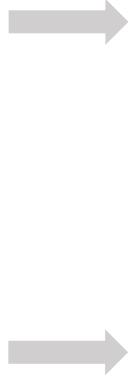
Atomicity
predicate

Abstract counterexample-guided synchronization synthesis



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- ▶ Someone needs to write a specification
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A seminal paper

A cool paper

A modern approach

A modern approach

Černý



Clarke



Henzinger



Radhakrishna



Ryzhyk



Samanta



Tarrach



*From Non-preemptive to Preemptive Scheduling
using Synchronization Synthesis.* CAV 2016.

From Non-preemptive to Preemptive Scheduling using Synchronization Synthesis *

Pavol Černý¹, Edmund M. Clarke², Thomas A. Henzinger³, Arjun Radhakrishna⁴, Leonid Ryzhyk², Roopsha Samanta³, and Thorsten Tarrach³

¹ University of Colorado Boulder

² Carnegie Mellon University

³ IST Austria

⁴ University of Pennsylvania



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Succinct Representation of Concurrent Trace Sets *

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1. Introduction

Sets of concurrent traces containing permutations of events from a given concurrent trace are useful for predictive analysis (e.g., [24, 34, 35, 41]) and synchronization synthesis (e.g., [8, 9]) of shared-memory concurrent programs. Most approaches using such trace sets are restricted to specific aspects of reasoning about concurrent programs such as data race detection [24, 34], detection of safety violations [35, 41] and fixing assertion failures [8, 9]. Moreover, the representations of trace sets and exploration strategies used in some of these approaches [8, 9, 35] underapproximate the target trace sets. In this paper, we present a succinct, complete representation of such concurrent trace sets, which can drive diverse verification, fault localization, repair, and synthesis techniques for concurrent programs. The representation is complete in the sense that it encodes every trace in the trace set of interest.

Concurrent trace sets. First, we fix some terminology. An *execution* π of a concurrent program \mathcal{P} is an alternating sequence of variable valuations and events corresponding to a feasible interleaving of instructions from the threads of \mathcal{P} . An execution is *good* if it satisfies a given specification, and *bad* otherwise. A *trace* is a sequence of events corresponding to an interleaving of instructions from the threads of \mathcal{P} . The trace of an execution π is the sequence of events within π . The language $\mathcal{L}(\tau)$ of a trace τ is the set of all executions with trace τ . A trace τ is feasible if $\mathcal{L}(\tau)$ is non-empty, and infeasible otherwise. A feasible trace τ is good if all executions in $\mathcal{L}(\tau)$ are good, and bad otherwise.

We group traces into *neighbourhoods*. The neighbourhood \mathcal{N}_τ of a trace τ contains all permutations of τ that preserve τ 's intra-thread event order. The *good neighbourhood* \mathcal{N}_τ^g of a trace τ is the set containing all the good traces in \mathcal{N}_τ . The *bad neighbourhood* \mathcal{N}_τ^b of a trace τ is a set containing all the bad traces in \mathcal{N}_τ . The languages $\mathcal{L}(\mathcal{N}_\tau)$, $\mathcal{L}(\mathcal{N}_\tau^g)$ and $\mathcal{L}(\mathcal{N}_\tau^b)$ are the unions of the languages of all traces in \mathcal{N}_τ , \mathcal{N}_τ^g and \mathcal{N}_τ^b , respectively.

Representation of concurrent trace sets. There are multiple ways to represent trace sets. Some representations may be more expressive or useful for reasoning about concurrent programs than others. A candidate representation that has been used for certain trace sets is a partial order over events [8, 9, 41]. The neighbourhood of a trace, as defined above, can also be represented as a partial order. However, the good neighbourhood or the bad neighbourhood of a trace is, in general, not a partial order. For instance, for the

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Abstract

We present a method and a tool for generating succinct representations of sets of concurrent traces. We focus on trace sets that contain all correct or all incorrect permutations of events from a given trace. We represent trace sets as *HB-formulas* that are Boolean combinations of *happens-before* constraints between events. To generate a representation of incorrect interleavings, our method iteratively explores interleavings that violate the specification and gathers generalizations of the discovered interleavings into an HB-formula; its complement yields a representation of correct interleavings.

We claim that our trace set representations can drive diverse verification, fault localization, repair, and synthesis techniques for concurrent programs. We demonstrate this by using our tool in three case studies involving synchronization synthesis, bug summarization, and abstraction refinement based verification. In each case study, our initial experimental results have been promising.

In the first case study, we present an algorithm for inferring missing synchronization from an HB-formula representing correct interleavings of a given trace. The algorithm applies rules to rewrite specific patterns in the HB-formula into locks, barriers, and wait-notify constructs. In the second case study, we use an HB-formula representing incorrect interleavings for bug summarization. While the HB-formula itself is a concise counterexample summary, we present additional inference rules to help identify specific concurrency bugs such as data races, define-use order violations, and two-stage access bugs. In the final case study, we present a novel predicate learning procedure that uses HB-formulas representing abstract counterexamples to accelerate counterexample-guided abstraction refinement (CEGAR). In each iteration of the CEGAR loop, the procedure refines the abstraction to eliminate multiple spurious abstract counterexamples drawn from the HB-formula.

Categories and Subject Descriptors D [2]: 4—Formal methods

Keywords Trace Generalization; Concurrent Programs; Synchronization Synthesis; Bug Summarization; CEGAR

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From non-preemptive to preemptive scheduling using synchronization synthesis

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Arjun Radhakrishna⁴ · Leonid Ryzhyk⁵ · Roopsha Samanta⁶ ·
Thorsten Tarrach³ 

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Abstract We present a computer-aided programming approach to concurrency. The approach allows programmers to program assuming a friendly, non-preemptive scheduler, and our synthesis procedure inserts synchronization to ensure that the final program works even with a preemptive scheduler. The correctness specification is implicit, inferred from the non-preemptive behavior. Let us consider sequences of calls that the program makes to an external interface. The specification requires that any such sequence produced under

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Trace generalization-based framework to infer synchronization for an implicit specification of infinite-state concurrent programs

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- ▶ **Process:**
Infinite-state program
- ▶ **Communication Model:**
Shared-memory, interleaving-based
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Implicit (behavior under non-preemptive scheduler), safety property
- ▶ **Synchronization:**
Locks, wait-notify etc.
- ▶ **Procedure:**
Counterexample generalization

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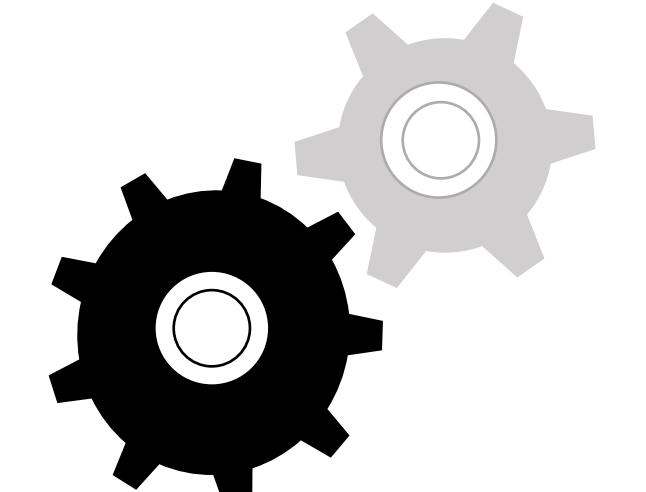
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P :

```
void open_dev()
  if (open==0)
    power_up();
  open := open+1;
  yield;
```

```
void close_dev()
  if (open>0)
    open := open-1;
  if (open==0)
    power_down();
  yield;
```



Synchronization Synthesizer

P' :

```
void open_dev()
  lock(1)
  if (open==0)
    power_up();
  open := open+1;
  unlock(1)
  yield;
```

```
void close_dev()
  lock(1)
  if (open>0)
    open := open-1;
  if (open==0)
    power_down();
  unlock(1)
  yield;
```

Preemption-safety

$$\llbracket P' \rrbracket^{\text{preempt}} \subseteq \llbracket P \rrbracket^{\text{nonpreempt}}$$

Assumption: Programmer ensures P is correct for a non-preemptive scheduler

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- ▶ Counterexample generalization
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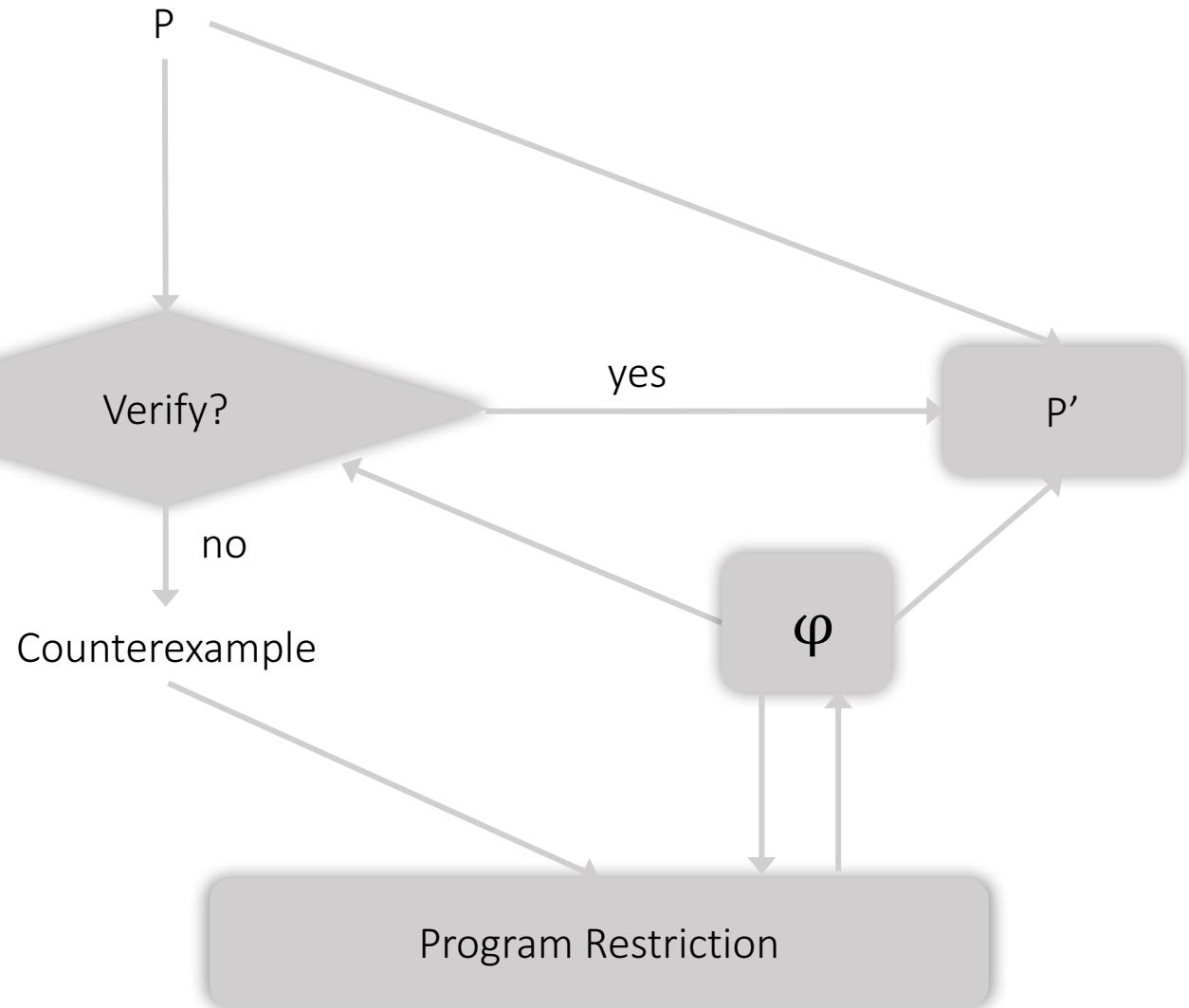
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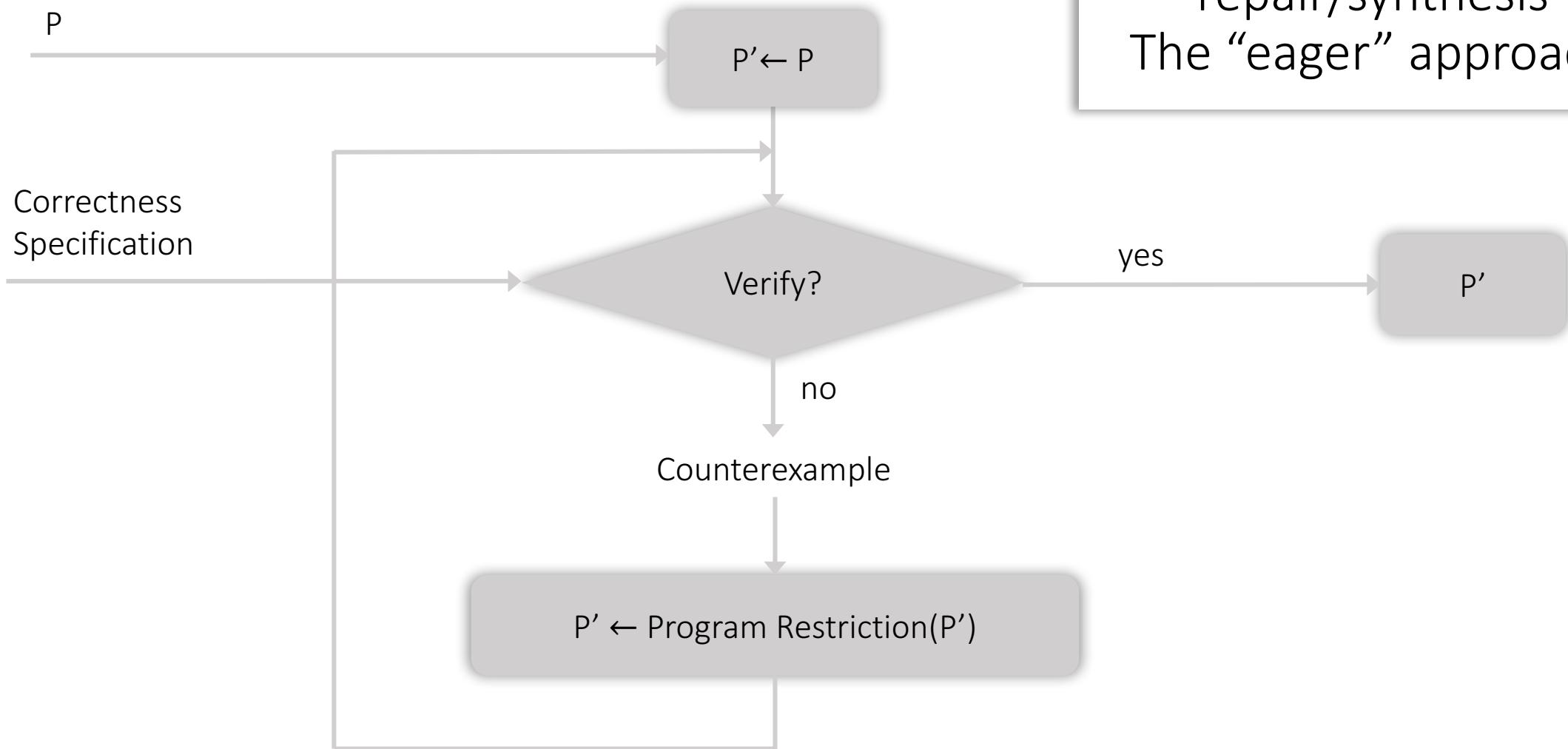
Counterexample-guided repair/synthesis

The “lazy” approach

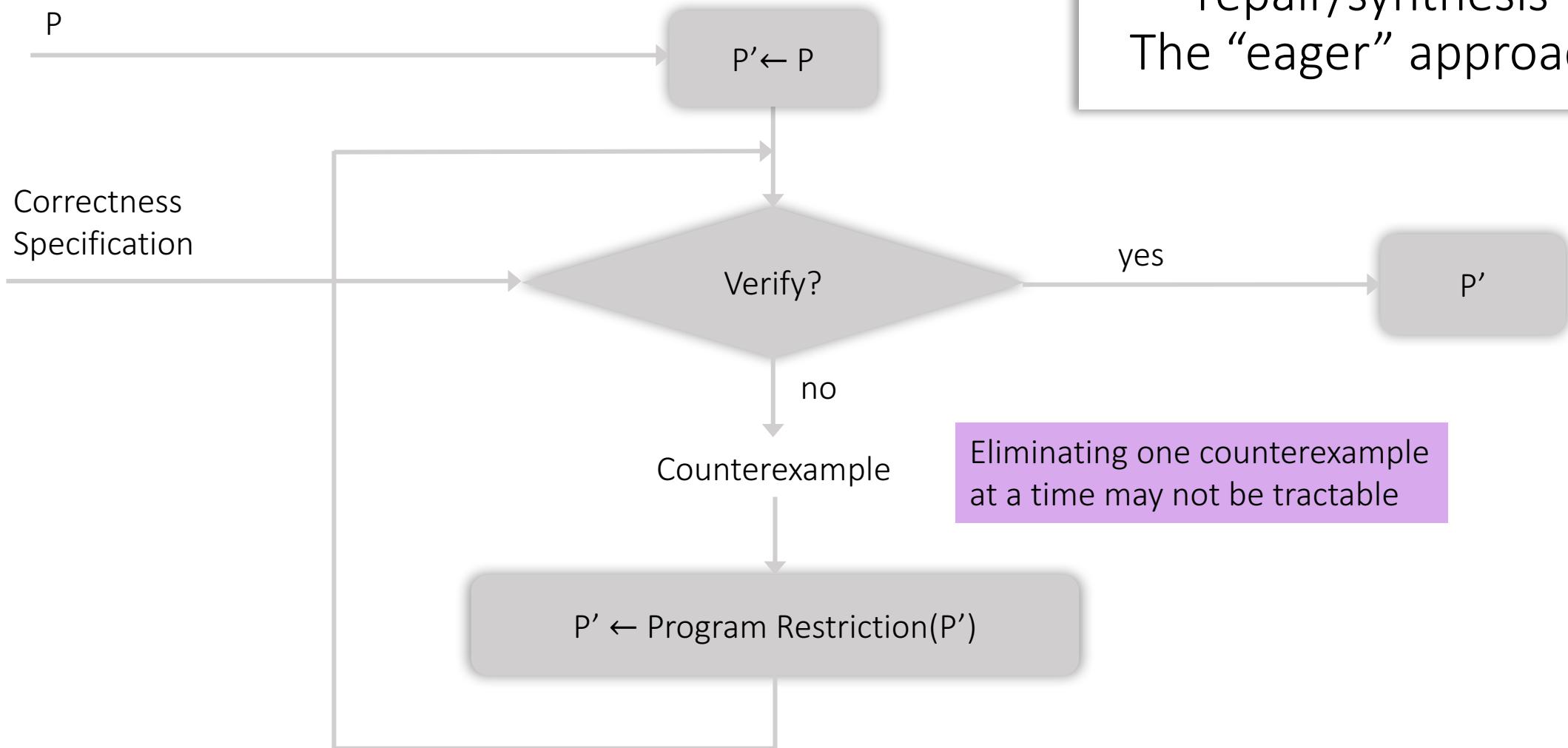
Correctness
Specification

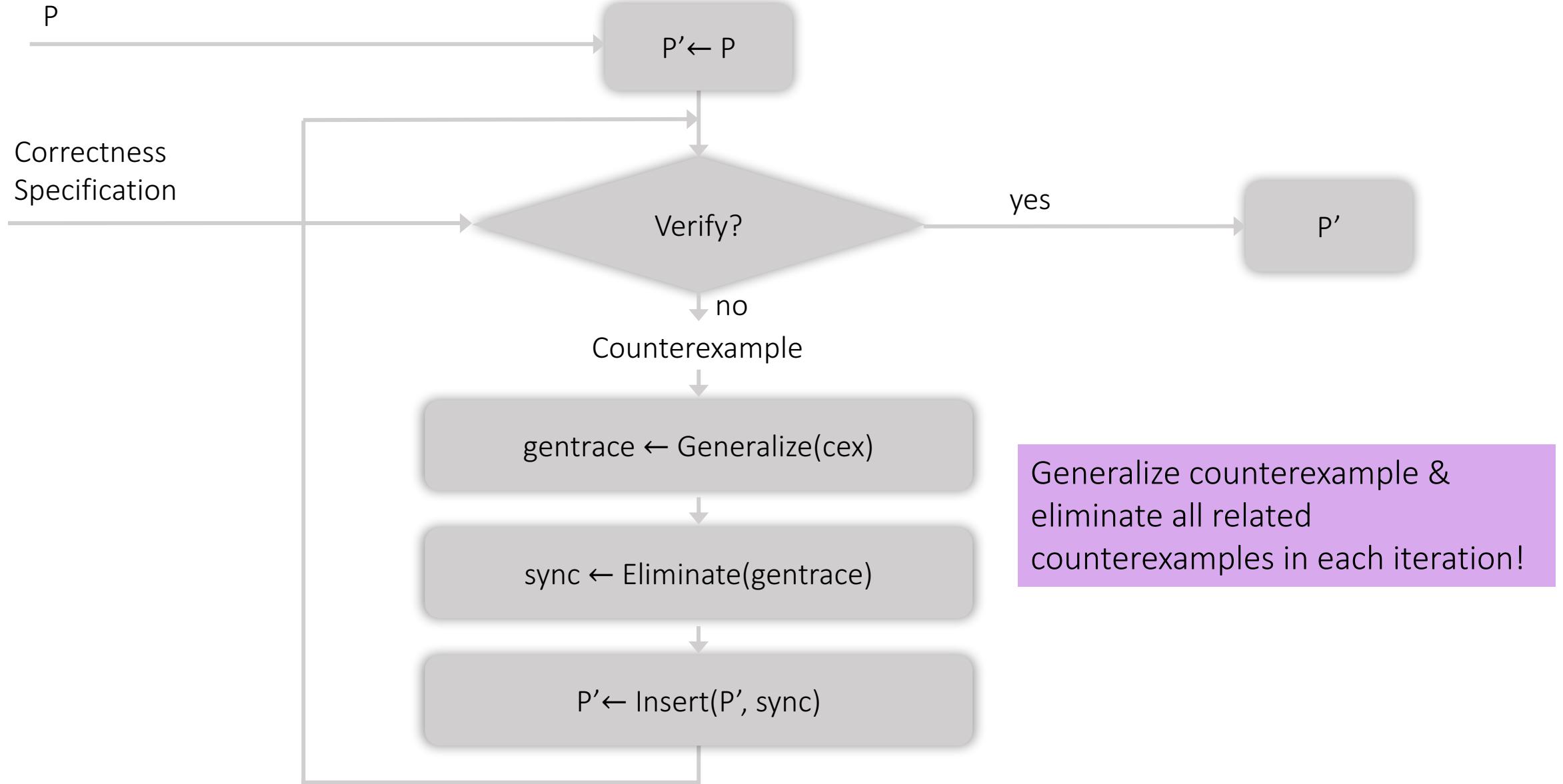


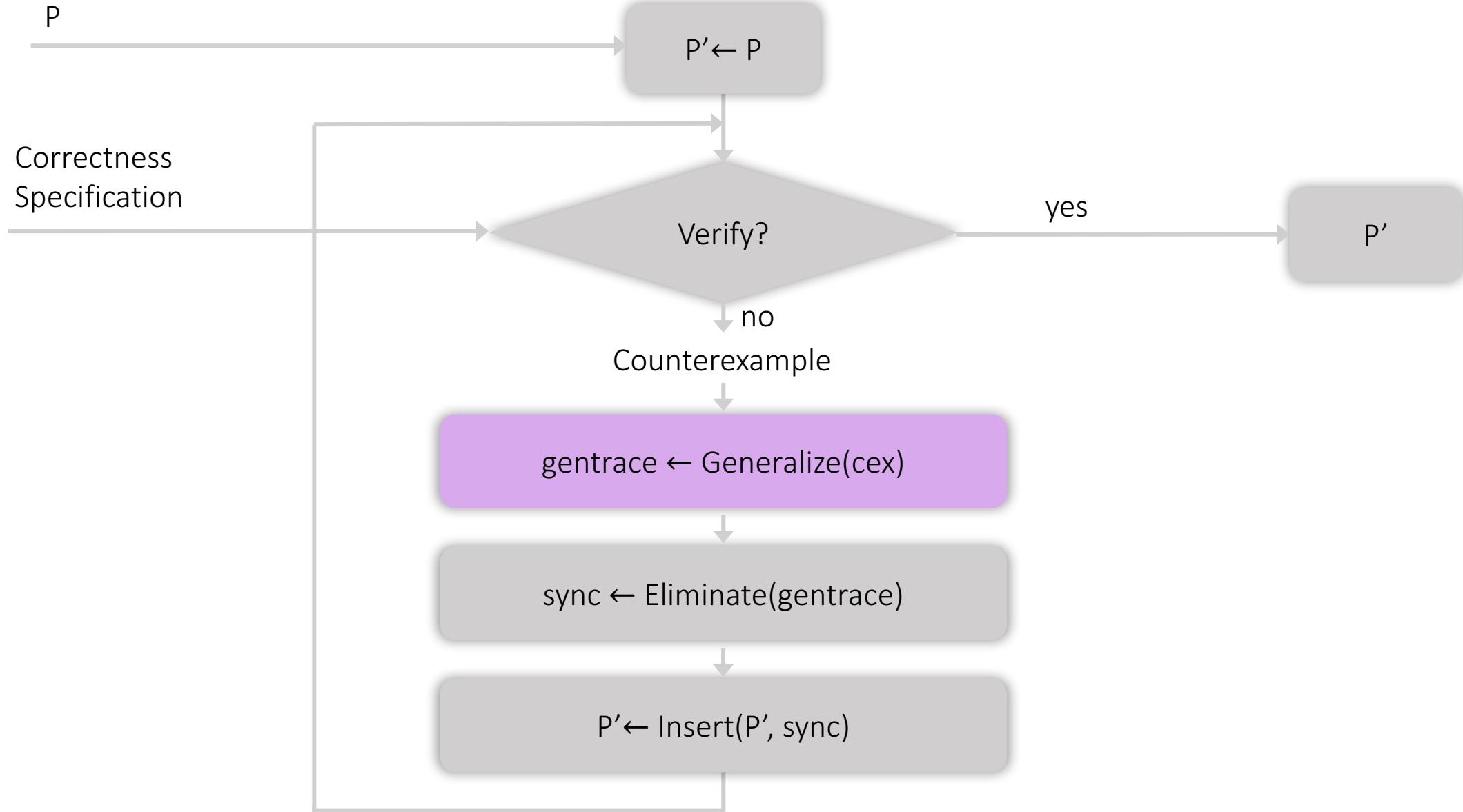
Counterexample-guided repair/synthesis The “eager” approach



Counterexample-guided repair/synthesis The “eager” approach







trace → Happens Before-formula

A1: $b1 = bal$

A2: $b1 = b1 + 10$

A3: $bal = b1$

C1: $bal = init$

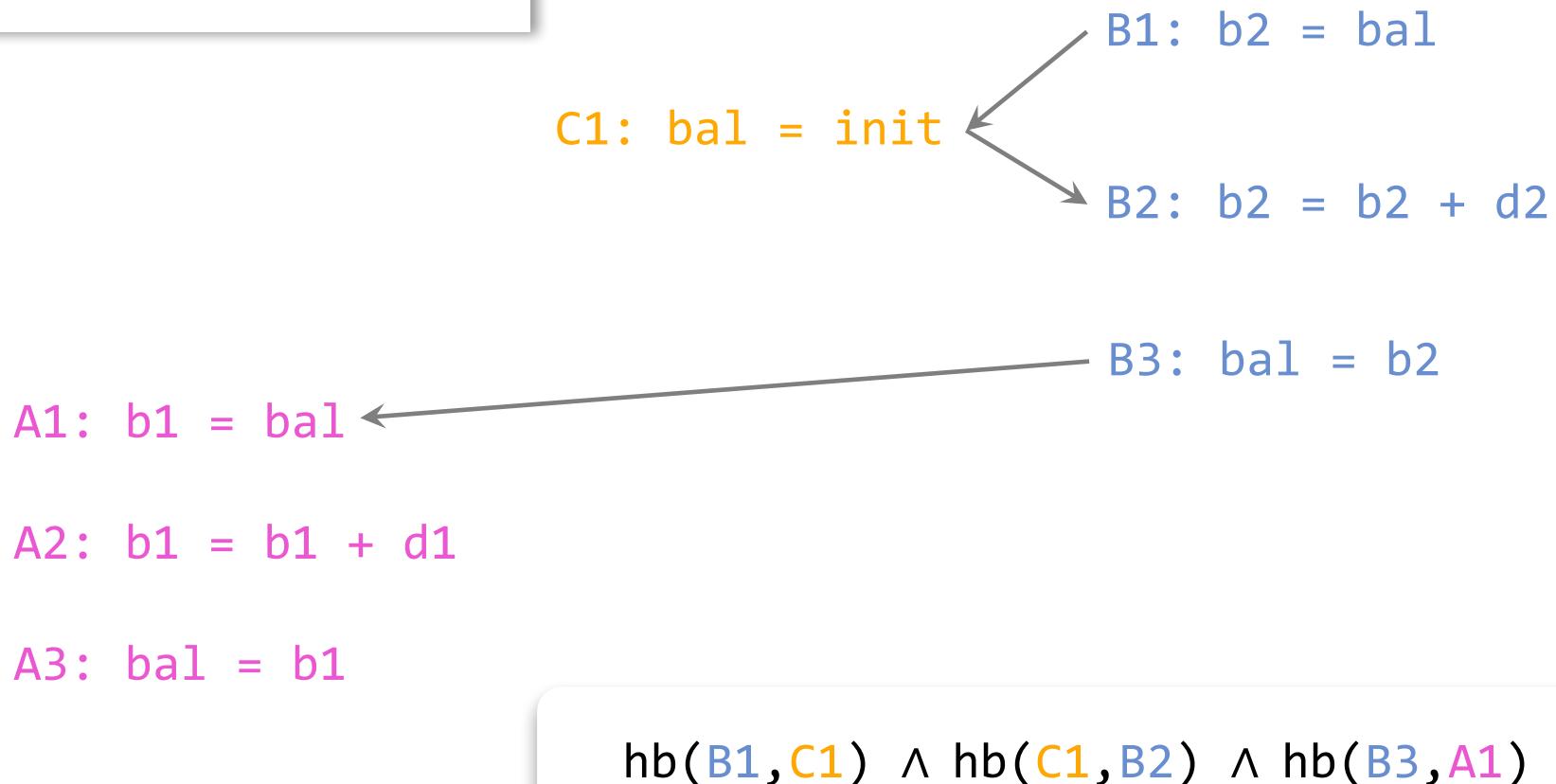
B1: $b2 = bal$

B2: $b2 = b2 + 20$

B3: $bal = b2$

$$bal_new \equiv init + 30$$

Trace generalization



Trace generalization

A1: $b1 = bal$

A2: $b1 = b1 + d1$

A3: $bal = b1$

C1: $bal = init$

B1: $b2 = bal$

B2: $b2 = b2 + d2$

B3: $bal = b2$

hb(B1, C1)

A1: $b1 = bal$

A2: $b1 = b1 + 10$

A3: $bal = b1$

C1: $bal = init$

B1: $b2 = bal$

B2: $b2 = b2 + 20$

B3: $bal = b2$

$$bal_new \equiv init + 30$$

All incorrect related traces,
no correct related traces

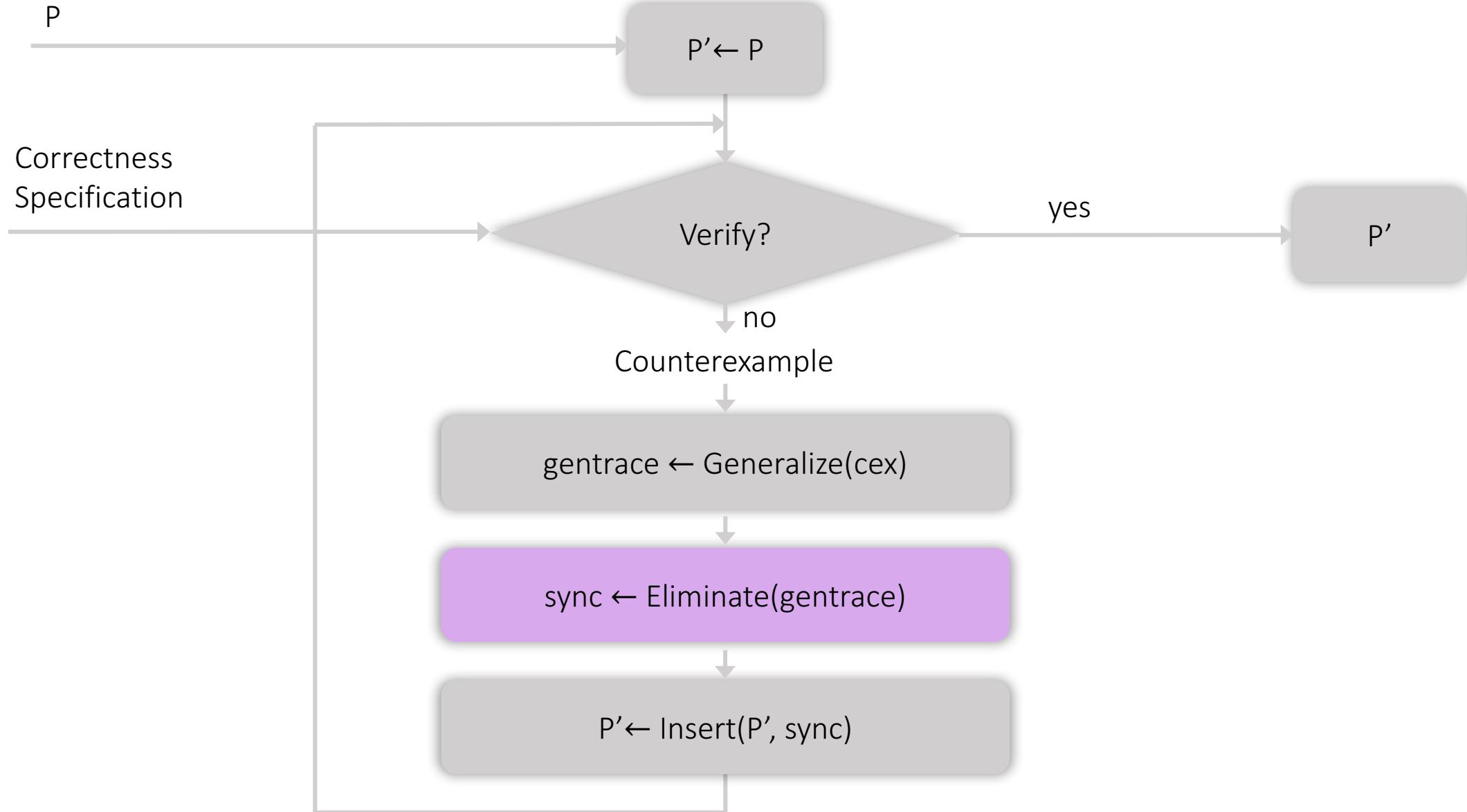
$hb(B1, C1)$

\vee

$hb(A1, C1)$

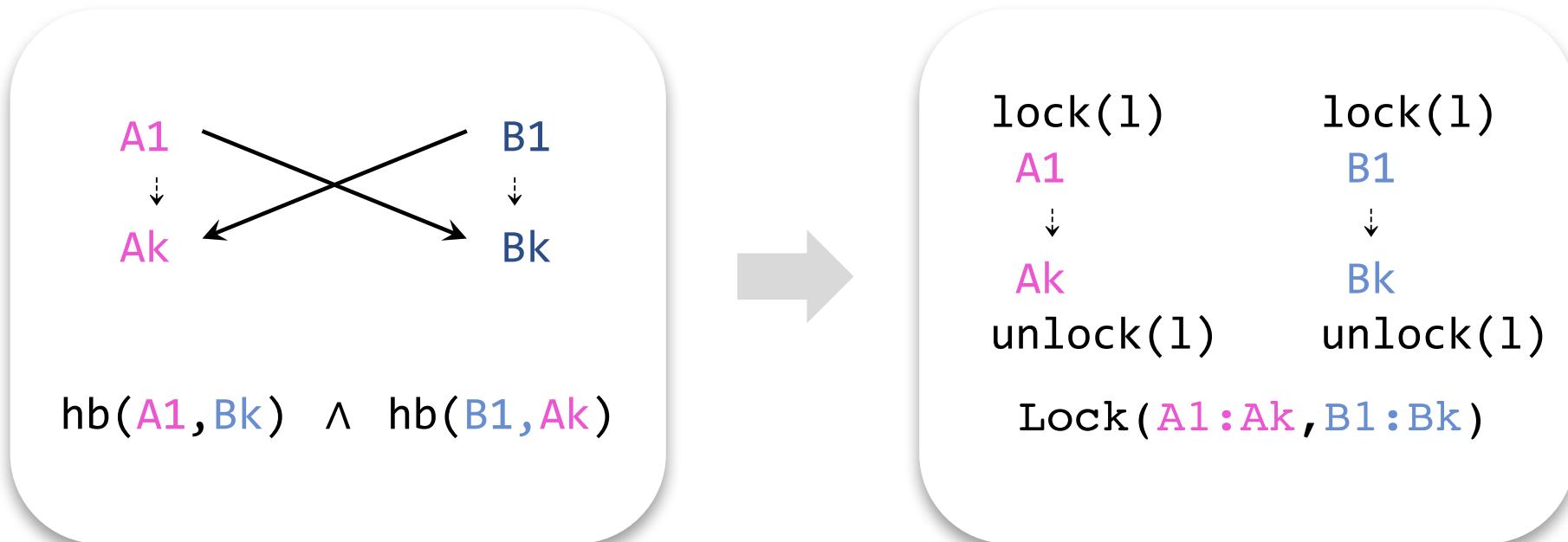
\vee

$hb(A1, B3) \wedge hb(B1, A3)$

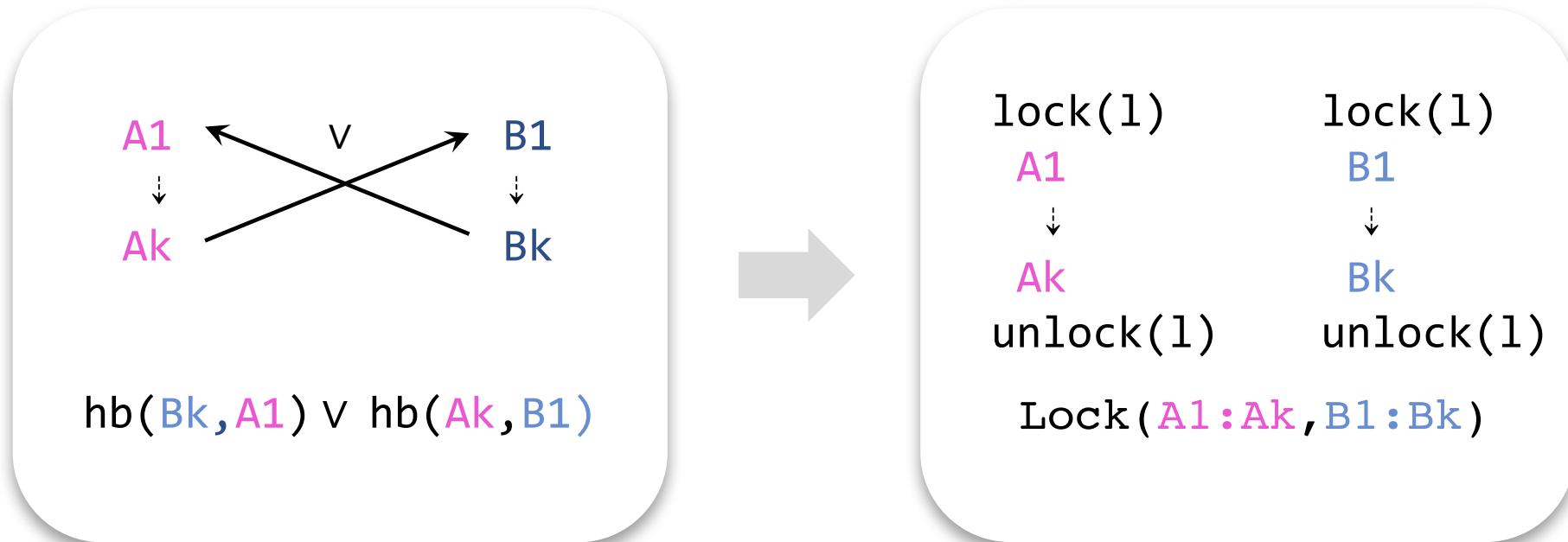


HB-formula pattern → Synchronization primitive

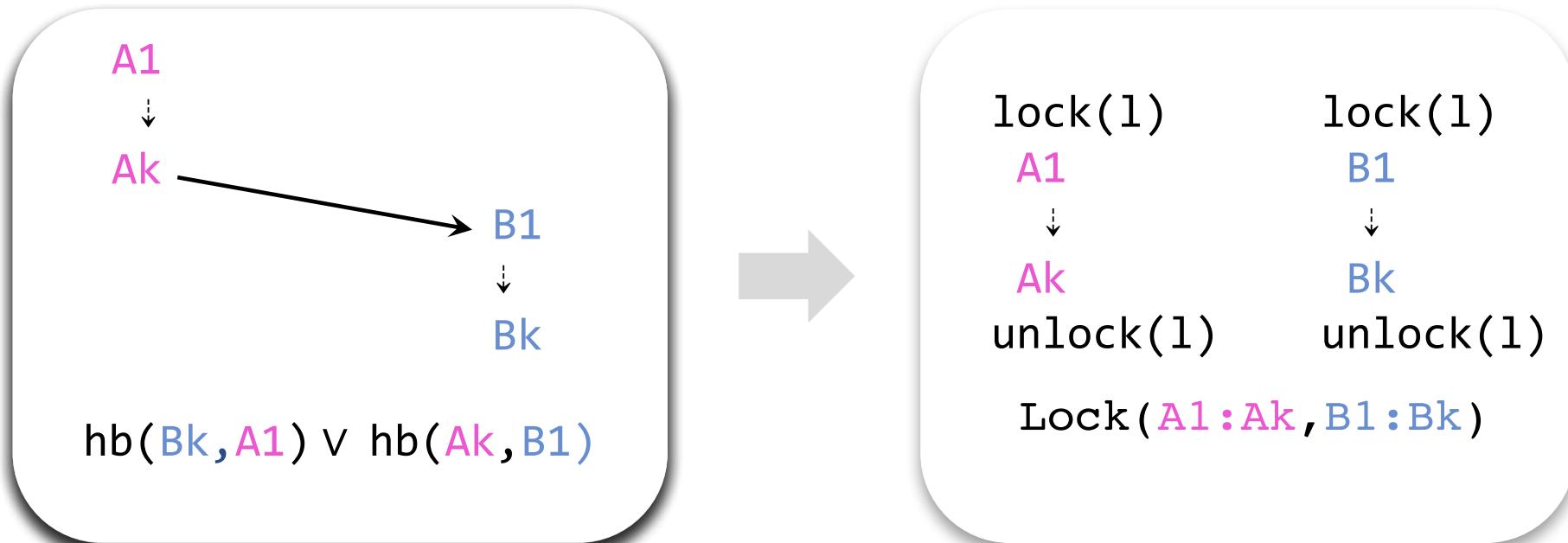
The Lock rewrite rule



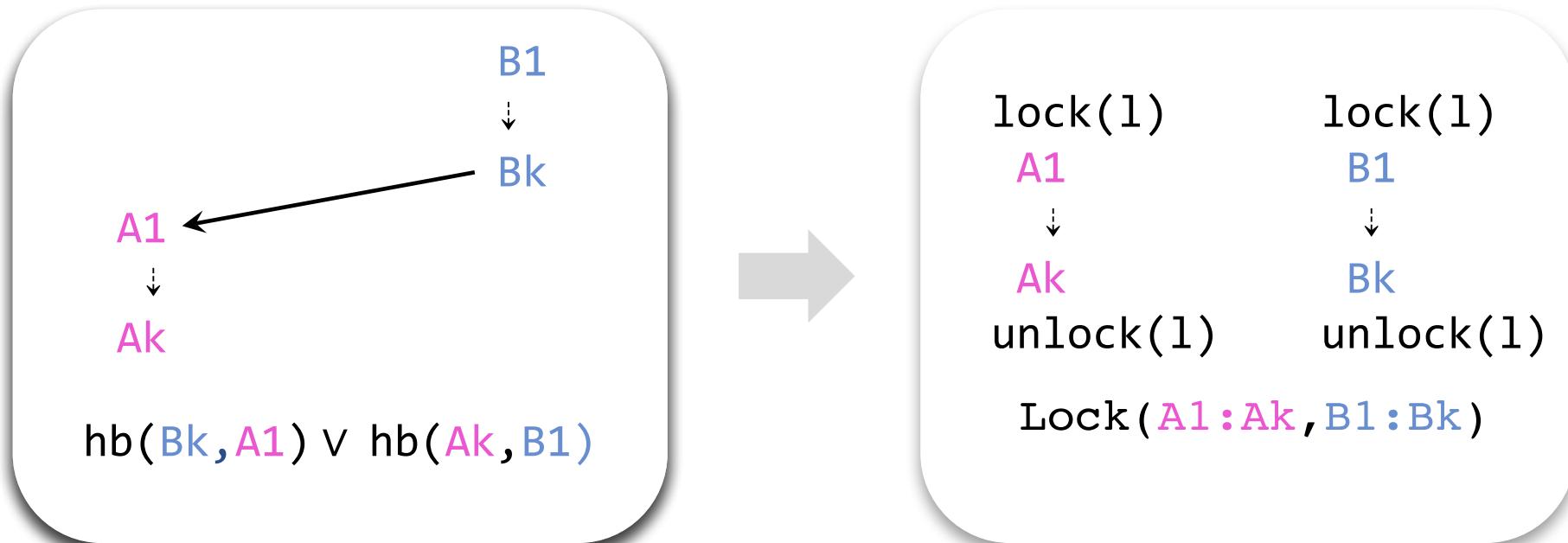
The Lock rewrite rule



The Lock rewrite rule



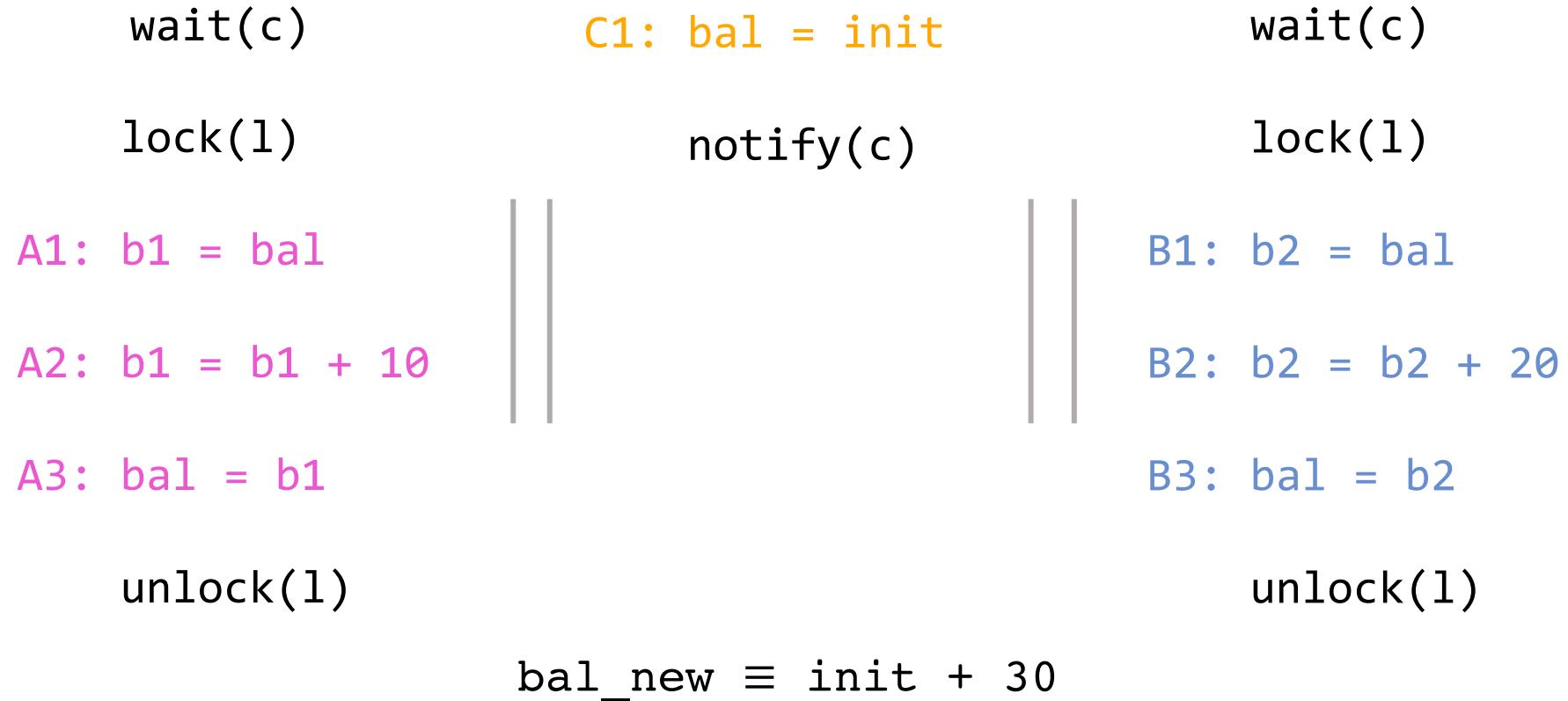
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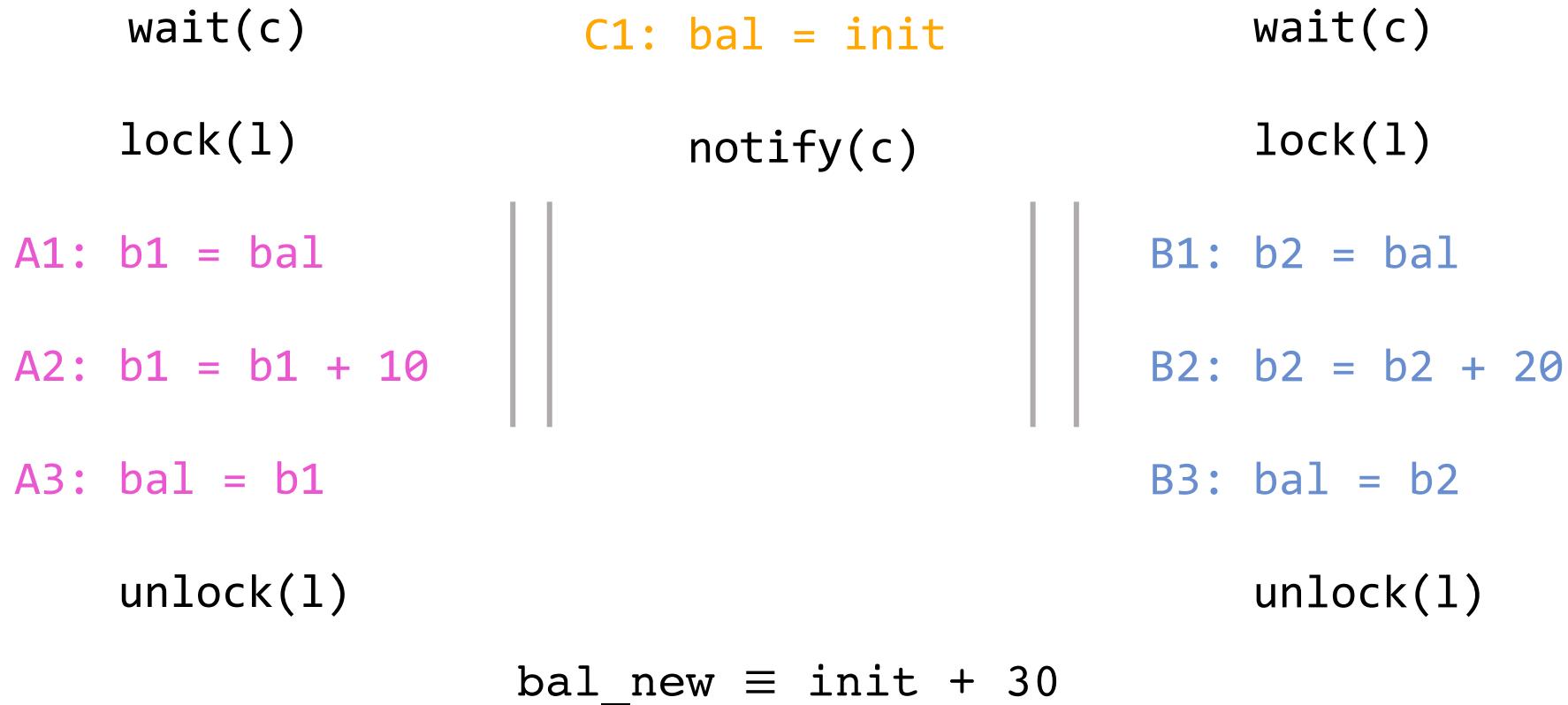


$hb(A1, C1)$
∨
 $hb(B1, C1)$
∨
 $hb(A1, B3) \wedge hb(B1, A3)$

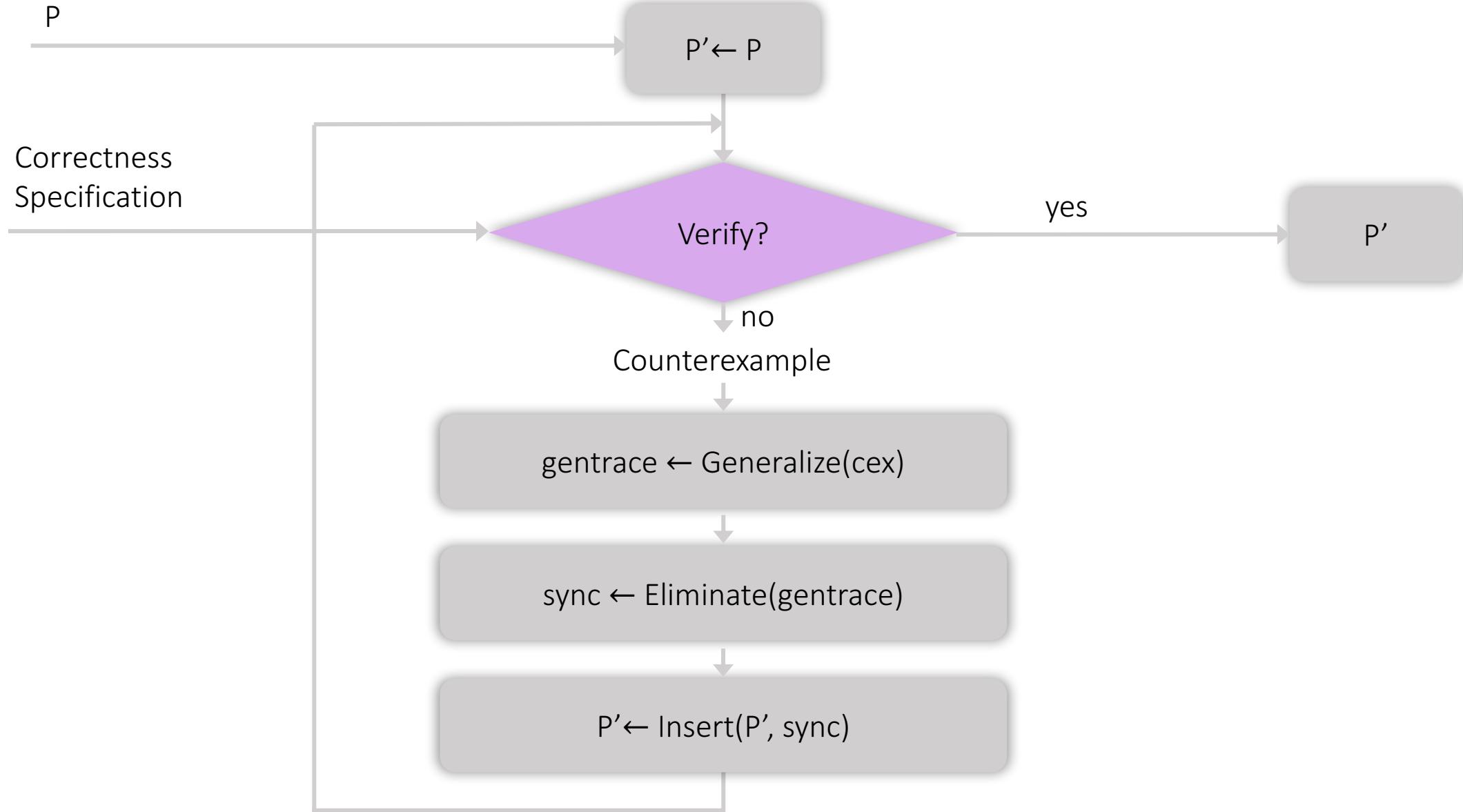


$WaitNotify(A1, C1)$
∧
 $WaitNotify(B1, C1)$
∧
 $Lock(A1:A3, B1:B3)$





Guaranteed to eliminate all incorrect related traces



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- ▶ Implicit specification is not universal
- ▶ Verification is computationally expensive



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A seminal paper

A modern approach

A trace-based approach

We have come a long way ...

- ▶ Diverse specifications
- ▶ Infinite-state programs
- ▶ Diverse synchronization primitives
- ▶ Pushed scalability
- ▶ Performance-aware synthesis
- ▶ ...



A seminal paper

A modern approach

A trace-based approach

... but we have miles to go.

- ▶ Assume sequential consistency
- ▶ Simple program models
- ▶ Simple performance models
- ▶ No optimistic concurrency control
- ▶ Scalability remains a challenge
- ▶ Fixed number of threads
- ▶ ...



A seminal paper

A modern approach

A trace-based approach

Ongoing work

Jaber



Jacobs



Kulkarni



Samanta



- ▶ **Process:**
Finite-state synchronization skeleton
- ▶ **Communication Model:**
Message-passing, partially asynchronous
- ▶ **Specification:**
Temporal logic
- ▶ **Synchronization:**
Guarded commands
- ▶ **Procedure:**
Counterexample-based

*Parameterized Synthesis for
Distributed Applications with Consensus.*

- ▶ Parameterized verification
- ▶ Parameterized synthesis
- ▶ Abstract primitive for consensus protocols