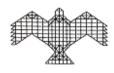
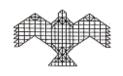
Exponential Sums and Enumeration of Permutation Polynomials

Francesco Pappalardi

Conference on Zeta Functions in honor of Prof. K. Ramachandra on his 70th birthday



National Institute of Advanced Studies
NIAS



Bangalore December 13 - 15, 2003



Ramachandra's birthday

 $oxed{Notations}$



[Notations]

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$$f \equiv f_{\sigma} \bmod x^q - x$$









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$$D_k(x,a) = \sum_{j=0}^{[k/2]} \frac{k}{k-j} {k-j \choose j} (-a)^j x^{k-2j}$$

Ramachandra's birthday

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$$L(x) = \sum_{s=0}^{r-1} \alpha_s x^{q^s}$$
 is a PP \Leftrightarrow $\det(\alpha_{i-j}^{q^j}) \neq 0$







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Dickson-Diffie-Hellmann Key Exchange

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Problem Find new classes of PP







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Enumeration of PP with given degree

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Ramachandra's birthday

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S. Konyagin, FP (2002), P. Das (2002)

$$|\#M_q - (q-1)!| \le \sqrt{2e/\pi}q^{q/2}$$







Ramachandra's birthday

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Theorem C. Malvenuto, FP (2002)

- If $C \neq [2], [3], [2\ 2], \text{ then}$ $M_{C}(q) = \frac{\#C}{q} + O_{C}\left(\frac{1}{q^{2}}\right) \quad \text{if} \quad \operatorname{char} \mathbb{F}_{q} \to \infty$
- Explicit Formulas for $M_{\mathcal{C}}(q)$ if $c_{\mathcal{C}} \leq 6$







$$\begin{array}{rcl} M_{[4]}(q) & = & \frac{1}{4}q(q-1)\left(q-5-2\eta(-1)-4\eta(-3)\right) \\ M_{[2\ 2]}(q) & = & \frac{1}{8}q(q-1)(q-4)\left\{1+\eta(-1)\right\} \\ M_{[5]}(q) & = & \frac{1}{5}q(q-1) \ q^2-(9-\eta(5)-5\eta(-1)+5\eta(-9))\,q++26+5\eta(-7)+15\eta(-3)+15\eta(-1) \\ M_{[2\ 3]}(q) & = & \frac{1}{6}q(q-1) \ q^2-(9+\eta(-3)+3\eta(-1))q+(24+6\eta(-3)+18\eta(-1)+6\eta(-7)) \\ M_{[6]}(q) & = & \frac{q(q-1)}{6}\left\{q^3-14\ q^2+\left[68-6\ \eta(5)-6\ \eta(50)\right]q-\left[154+66\ \eta(-3)+93\ \eta(-1)\right.\right. \\ & & +12\eta(-2)+54\eta(-7)\right]\right\} \\ M_{[4\ 2]}(q) & = & \frac{q(q-1)}{8}\left(q^3-\left[14-\eta(2)\right]q^2+\left[71+12\eta(-1)+\eta(-2)+4\eta(-3)-8\eta(50)\right]q \\ & & -\left[148+100\eta(-1)+24\eta(-2)+44\eta(-3)+40\eta(-7)\right]\right) \\ M_{[3\ 3]}(q) & = & \frac{q(q-1)}{18}\left(q^3-13\ q^2+\left[62+9\eta(-1)+4\eta(-3)\right]q-\left[150+99\eta(-1)+42\eta(-3)+72\eta(-7)\right]\right) \\ M_{[2\ 2\ 2]}(q) & = & \frac{q(q-1)}{48}\left(q^3-\left[14+3\eta(-1)\right]q^2+\left[70+36\eta(-1)+6\eta(-2)\right]q-\left[136+120\eta(-1)+48\eta(-2)+8\eta(-2)+8\eta(-3)\right]\right) \end{array}$$

 $\operatorname{char}(\mathbb{F}_q) > 3$ and η is the quadratic character



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Theorem C. Malvenuto, FP (to appear)

• If
$$q \equiv 1 \mod k$$

then
$$m_{[k]}(q) \ge \frac{\varphi(k)}{k} q(q-1)$$

• If
$$\operatorname{char}(\mathbb{F}_q) \geq 2 \cdot 3^{[k/3]-1}$$

then
$$m_{[k]}(q) \le \frac{(k-1)!}{k} q(q-1)$$



$$\mathcal{N}_d = \# \{ \sigma \in \mathcal{S}(\mathbb{F}_q) \mid \partial(f_\sigma) < q - d - 1 \}$$



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Theorem S. Konyagin, FP

Let $\alpha = (e-2)/3e = 0.08808 \cdots$ and $d < \alpha q$. Then

$$\left| \mathcal{N}_d - \frac{q!}{q^d} \right| \le 2^d dq^{2+q-d} \binom{q}{d} \left(\frac{2d}{q-d} \right)^{(q-d)/2}$$

It follows that

$$\mathcal{N}_d \sim rac{q!}{q^d}$$

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Note: The best possible value for α in the theorem is 0.5. In fact $\partial f_{\sigma} \neq (q-1)/2$ if q is odd. Therefore

$$\mathcal{N}_{(q-1)/2} = 0$$





The coefficient of x^j in $f_{\sigma}(x) := \sum_{c \in \mathbb{F}_q} \sigma(c) \left(1 - (x - c)^{q-1}\right)$ is 0 if and only if





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"Inclusion-Exclusion" implies

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Need to evaluate n_S . Let $e_p(u) = e^{\frac{2\pi i u}{p}}$ and $\text{Tr}(\alpha) \in \mathbb{F}_p$ be the trace of $\alpha \in \mathbb{F}_q$.





$$n_{S} = \frac{1}{q^{d}} \sum_{(a_{1},...,a_{d}) \in \mathbb{F}_{q}^{d}} \sum_{f:\mathbb{F}_{q} \to S} e_{p} \left(\sum_{c \in \mathbb{F}_{q}} \operatorname{Tr}(f(c) \sum_{i=1}^{d} a_{i} c^{q-i-1}) \right)$$

$$= \frac{1}{q^{d}} \sum_{(a_{1},...,a_{d}) \in \mathbb{F}_{q}^{d}} \prod_{c \in \mathbb{F}_{q}} \sum_{t \in S} e_{p} (\operatorname{Tr}(t \sum_{i=1}^{d} a_{i} c^{q-i-1}))$$

$$= \frac{|S|^{q}}{q^{d}} + R_{S}$$

$$(2)$$



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where

$$|R_S| \le \frac{q^d - 1}{q^d} \max_{(a_1, \dots, a_d) \in \mathbb{F}_q^d \setminus \{\underline{0}\}} \prod_{c \in \mathbb{F}_q} \left| \sum_{t \in S} e_p(\operatorname{Tr}(t \sum_{i=1}^d a_i c^{q-i-1})) \right|$$

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$$|R_{S}| \leq \max_{(a_{1},...,a_{d})} \prod_{c \in \mathbb{F}_{q}} \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(t \sum_{i=1}^{d} a_{i} c^{q-i-1})) \right| \leq \max_{(a_{1},...,a_{d})} \left(\frac{1}{q} \sum_{c \in \mathbb{F}_{q}} \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(t \sum_{i=1}^{d} a_{i} c^{q-i-1})) \right|^{2} \right)^{q/2} \leq \left(\frac{1}{q} \sum_{f \in \mathbb{F}_{q}} (q-2) \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(tf)) \right|^{2} \right)^{q/2} = ((q-2))$$
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Replace the estimate for $|R_S|$ in (2) and then in (1) obtaining:



$$|R_{S}| \leq \max_{(a_{1},...,a_{d})} \prod_{c \in \mathbb{F}_{q}} \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(t \sum_{i=1}^{d} a_{i} c^{q-i-1})) \right| \leq \max_{(a_{1},...,a_{d})} \left(\frac{1}{q} \sum_{c \in \mathbb{F}_{q}} \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(t \sum_{i=1}^{d} a_{i} c^{q-i-1})) \right|^{2} \right)^{q/2} \leq \left(\frac{1}{q} \sum_{f \in \mathbb{F}_{q}} (q-2) \left| \sum_{t \in S} e_{p}(\operatorname{Tr}(tf)) \right|^{2} \right)^{q/2} = ((q-2)|S|)^{q/2}.$$
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$$\left| n_S - \frac{|S|^q}{q^d} \right| \le ((q-2)|S|)^{q/2}$$

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$$\left| \mathcal{N}_d - \sum_{S \subseteq \mathbb{F}_q} \frac{(-1)^{q-|S|}}{q^d} |S|^q \right| = \left| \mathcal{N}_d - \frac{q!}{q^d} \right|$$

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The proof of the Theorem is an evolution of this method.



[Key Lemmas 1/2]



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, $\mu(P) := \min_{T \subset \mathbb{F}_q, |T| = d} |P(T)|$.



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$$\sum_{\substack{P \in \mathbb{F}_q[x], \\ P(0)=0, \partial(P)=d}} \prod_{\substack{c \in \mathbb{F}_q \\ t \in S}} \sum_{\substack{t \in S \\ \theta_p(\text{Tr}(tP(c))) = \sum_{\mu \le d} \\ \partial(P)=d, \mu(P)=\mu}} \prod_{\substack{c \in \mathbb{F}_q \\ t \in S}} \sum_{\substack{t \in S \\ \theta_p(\text{Tr}(t)) = \mu}} \sum_{\substack{t \in S \\ \theta_p(\text{Tr}(t)) = \mu}} e_p(\text{Tr}(t))$$

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Lemma 2. If
$$d \le q/3$$
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$$\prod_{c \in \mathbb{F}_q} \sum_{t \in S} e_p(\operatorname{Tr}(tP(c))) \le \left(\frac{q}{2}\right)^{(q+d)/2} \left(\frac{d}{\mu-1} \frac{q}{q-d}\right)^{(q-d)/2}$$







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with root $\alpha \approx 0.03983478542171344979957755901$









$ig({f Corollaries} ig)$

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Planning to adopt the method of for arbitrary k_1, \ldots, k_d where d grows slowly as $q \to \infty$

