

Medicaid Coverage Waivers

Section 1115 demonstrations permit states to waive certain Medicaid statutory requirements to advance state policy priorities and test innovations in their Medicaid programs, provided the Secretary of Health and Human Services finds that the demonstration "furthers the goals of the Medicaid program" and is budget neutral. States have used 1115 waiver authority to implement demonstrations ranging from Medicaid managed care programs to delivery system and payment reform initiatives. In recent years, states have leveraged 1115 waivers to modify features of Medicaid coverage for the expansion population under the Affordable Care Act (ACA) as well as traditional Medicaid populations.

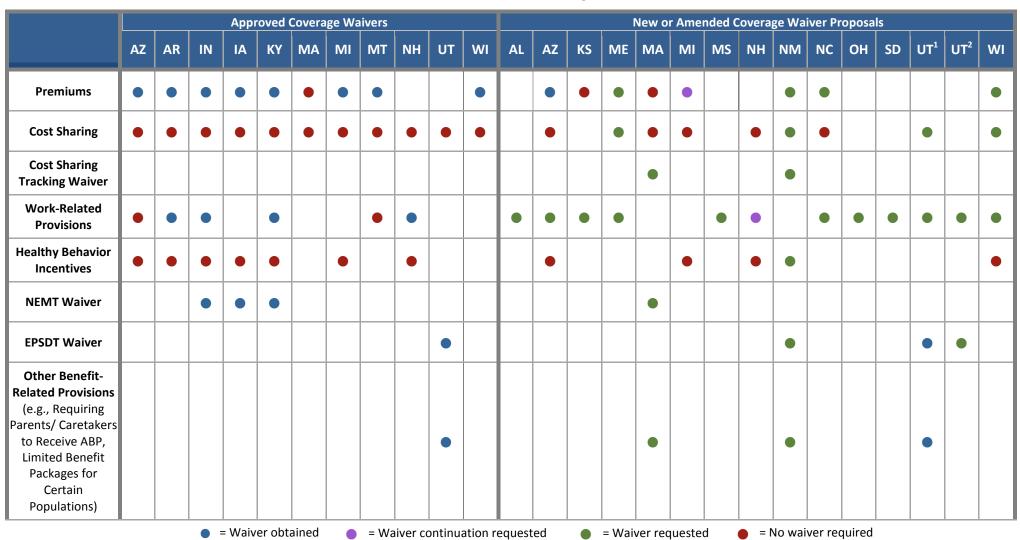
The Trump Administration is encouraging states to pursue flexibility in administering their Medicaid programs through 1115 demonstrations that modify eligibility requirements and benefit design. In January 2018, the Centers for Medicare and Medicaid Services (CMS) released long-anticipated guidance on crafting and implementing a work and community engagement requirement as a condition of Medicaid eligibility. Following the release of the guidance, CMS approved 1115 waivers from Arkansas, Indiana, Kentucky, and New Hampshire, the first four waivers to permit such requirements in the Medicaid program, and on June 1, 2018, Arkansas became the first state to launch its work and community engagement requirement.

While the Trump Administration has encouraged flexibility, it has recently begun to establish some guardrails on the changes to Medicaid that it will permit through 1115 waivers. In recent months, CMS has denied Kansas's request to impose lifetime Medicaid enrollment limits, and in approving Arkansas's work and community engagement requirements proposal, CMS did not respond to Arkansas's request to partially expand Medicaid, while continuing to receive the enhanced match rate under the ACA. The Administration's priorities will continue to emerge as CMS issues decisions on recently submitted state waiver proposals, including requests for work and community engagement requirements in non-expansion states, drug testing as a condition of Medicaid eligibility, asset tests, and Section 1927 waivers for closed drug formularies, among others.

This document inventories and compares the features of state Medicaid coverage waivers.

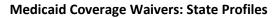


Overview of Medicaid Coverage Waivers



¹ Utah has two pending waiver amendments. This column refers to the August 2017 request.

 $^{^{2}}$ Utah has two pending waiver amendments. This column refers to the May 2018 request.





			Approved Coverage Waivers						New or Amended Coverage Waiver Proposals																	
	ΑZ	AR	IN	IA	КҮ	МА	MI	МТ	NH	UT	wı	AL	AZ	KS	ME	MA	MI	MS	NH	NM	NC	ОН	SD	UT ¹	UT²	wı
IMD Exclusion Waiver ³			•		•	•				•			•	•		•	•		•	•	•			•	•	•
Retroactive Eligibility Waiver		•	•	•	•	•			4	•			•		•	•			•	•				•		
Prompt Enrollment Waiver			•		•															•						
Elimination of Presumptive Eligibility															•									•		
Asset Test															•				•							
Drug Testing																										•
Limits on Enrollment Duration													•											•		•
Partial Expansion																•									•	
12-Month Continuous Eligibility								•																		

³ This chart does not provide a full list of states have obtained or are seeking a waiver of the IMD exclusion. States marked in this chart are limited to those that have an approved or pending coverage waiver. In some cases (e.g., Michigan and New Hampshire), states are seeking waivers of the IMD exclusion under a separate 1115 demonstration.

⁴ New Hampshire received a conditional waiver of retroactive eligibility.



Medicaid Coverage Waivers: State Profiles

				Appr	oved	Covera	ge Wa	ivers								New o	r Ame	nded C	overa	ge Wa	iver Pr	oposa	ls			
	ΑZ	AR	IN	IA	КҮ	MA	МІ	МТ	NH	UT	WI	AL	AZ	KS	ME	MA	MI	MS	NH	NM	NC	ОН	SD	UT ¹	UT ²	WI
Other Eligibility- Related Provisions (e.g., Modified Open Enrollment Periods, Changes to Frequency of Redeterminations)			•		•	•							•			•			•	•				•		
Section 1927 Waiver																•										
Health Savings-Like Accounts	•		•		•		•						•	•			•									
QHP Premium Assistance		•					•		•								•						•			
ESI Premium Assistance/HIPP				•	•	•			•	•						•			•				•	•	•	

= Waiver obtained

= Waiver continuation requested

= Waiver requested

= No waiver required





ALABAMA

Waiver Request to be Submitted to CMS

N/ 1	State of Alabama Medicaid Workforce Initiative
Waiver Status	Waiver request completed public comment on 4/2/2018; waiver not submitted to CMS as of 6/13/2018
Waiver Design	FFS
Summary	■ Parents and caretakers with incomes from 0-18% FPL
Premiums	No
Cost-Sharing	No
Healthy Behavior	No
Incentives	
Benefit-Related	No
Provisions	
Eligibility-Related Provisions	No
Work and Community Engagement Provisions	 Requests ability to condition Medicaid eligibility for non-disabled adults ages 19-59 on work/CE requirements Individuals subject to work/CE requirements must participate in community engagement activities (e.g., employment, on-the-job training, job search and job-readiness activities, education, volunteering, or technical training); number of hours required varies based on the age of their dependent children: 20 hours/week for individuals with dependent children under age 6 and 35 hours/week for all other individuals subject to the requirement Proposed exempt populations include: individuals with a disability (includes individuals receiving SSI or SSDI), medically frail individuals, individuals with a medical condition that prevents them from complying with work/CE requirements, pregnant women, women who recently gave birth, individuals required to care for a disabled child or adult, individuals participating in active SUD treatment, and individuals enrolled in and compliant or exempt from TANF work requirements (includes individuals who meet a good cause exemption under TANF) Individuals who do not comply with work/CE requirements or demonstrate proof of an exemption within 90 days of becoming subject to the work requirement are dis-enrolled After initial 90-day period, individuals must comply with the work requirement each month;
	individuals who fail to comply with work/CE requirements in a given month are dis-enrolled after a 30-day grace period
Health Savings-Like	No
Account	N-
ESI Premium Assistance	No
Section 1927	No
Provisions	
Reference Material	Original Waiver Application
Features Not	N/A
Approved as	
Proposed	





ARIZONA

Approved through 9/2021 Waiver Amendment Requests Undergoing CMS Review

	Arizona Health Care Cost Containment System (AHCCCS)	Proposed Changes in AHCCCS Amendment Requests
Waiver Status	Waiver extension approved on 9/30/2016; effective 10/1/2016 to 9/30/2021	Waiver amendment request submitted to CMS on 12/19/2017; second amendment request for waiver of retroactive coverage submitted to CMS on 4/6/2018
Waiver Design Summary	Nearly all Medicaid enrollees with limited exceptions AHCCCS CARE Accounts (similar to an HSA) funded by enrollee and/or third-party contributions	MMC New proposed waiver features apply to expansion adults with incomes from 0-138%
	 AHCCCS Choice Accountability Responsibility Engagement (CARE) coverage features apply to: Expansion adults with incomes from 100-138% FPL Expansion adults and previously eligible parents/caretakers and childless adults with incomes ≤100% FPL, medically frail individuals with incomes >100% FPL, and expansion adults with incomes >100% FPL who have an SMI are exempt Exempt populations may opt-in to AHCCCS CARE coverage features to gain access to an AHCCCS CARE Account, but are not subject to premiums, penalties for failure to pay premiums, or cost-sharing 	
Premiums	 For individuals with incomes >100% FPL (medically frail individuals and individuals with a SMI are exempt) Lesser of 2% of income or \$25 In the form of a contribution to AHCCCS Care Account Individuals who fail to pay premiums within a two-month grace period dis-enrolled; may reenroll at any time and do not have to pay back premiums Individuals may request a hardship exemption if a household member dies or household has qualifying expenses >10% of household income during the previous month Only individuals making timely premium payments may access AHCCCS CARE Account 	No proposed changes



	Arizona Health Care Cost Containment System	Proposed Changes in AHCCCS
	(AHCCCS)	Amendment Requests
	funds	Amendment Requests
	Tulius	
Cost-Sharing	 For expansion adults with incomes > 100% FPL (medically frail individuals and individuals with a SMI are exempt) Co-payments up to 3% of income Co-payments limited to non-emergency use of the ER, opioids, specialist services without PCP referral, and brand name drugs when a generic is available Co-payments charged on a retrospective basis Only individuals making timely co-payments may access AHCCCS CARE Account funds 	No proposed changes
Healthy	 May eliminate premiums and cost-sharing for 	No proposed changes
Behavior	six months	
Incentives	 May access AHCCCS CARE Account funds (exempt individuals who opt-in to AHCCCS CARE coverage features do not have to achieve healthy behavior standards to access AHCCCS CARE Account funds) May rollover AHCCCS CARE Account funds 	
Benefit-	No	Requests waiver of the IMD exclusion for
Related Provisions		individuals receiving mental health and SUD services regardless of length of stay (as part of separate waiver amendment application)
Eligibility- Related Provisions	No	 Requests waiver of retroactive coverage Requests five-year lifetime Medicaid enrollment limit for individuals subject to work/CE requirements; months that an individual complies with work requirement or is exempt do not count towards limit⁵ Proposes twice annual redeterminations for individuals subject to work/CE requirements
Work and Community Engagement Provisions	 Outside the demonstration, individuals may choose to participate in the AHCCCS Works program, a work search and job training program 	 Requests ability to condition Medicaid eligibility for adults ages 19-54 on work/CE requirements Individuals subject to work/CE requirements must participate in ≥20 hours/week of employment or education activities (e.g.,

⁵ According to media <u>reports</u>, Arizona's Medicaid Deputy Director has indicated that the state will no longer pursue its request to impose a lifetime limit on Medicaid enrollment as a result of CMS negotiations to date. The state had not formally withdrew the request as of 6/6/18.



	Arizona Health Care Cost Containment System	Proposed Changes in AHCCCS
	(AHCCCS)	Amendment Requests
Health	Yes (AHCCCS CARE Account)	employment, job-search activities, education, or participation in Employment Support and Development courses); individuals transitioning from the justice system, living in areas with high-unemployment, or facing barriers to finding work may participate in community service to meet requirement Proposed exempt populations include: American Indians, women who recently gave birth, former foster care children under age 26, individuals with SMI, individuals receiving temporary or permanent disability benefits, full time students, victims of domestic violence, homeless individuals, individuals recently impacted by a catastrophic event, parents or caregivers of a child under age 13, caregivers of a family member enrolled in the Arizona Long-Term Care System, and medically frail individuals Individuals who initially fail to comply with work/CE requirements dis-enrolled after a 6-month grace period; may re-enroll upon meeting work/CE requirements for 30 consecutive days Individuals who report a change in circumstances that results in non-compliance with work/CE requirements (e.g., job loss) or are determined non-compliant at redetermination will have 3-month grace period to comply prior to disenrollment; State proposes conducting redetermination at the end of the 3-month grace period Requests expenditure authority for federal match for costs associated with the design, development, installation, operation, and administration of systems (both Medicaid and non-Medicaid) necessary to implement work/CE requirements Requests ability to condition Medicaid eligibility on completion of Medicaid application questions on compliance with work requirements and work requirements
Savings-Like		
Account		
ESI Premium	No	No proposed changes
Assistance		
Section 1927	No	No proposed changes
Provisions		





	Arizona Health Care Cost Containment System	Proposed Changes in AHCCCS
	(AHCCCS)	Amendment Requests
Reference	Original Waiver Application; Demonstration	Waiver Amendment Request; IMD Exclusion
Material	Approval	Waiver Amendment Request; Retroactive
		Coverage Waiver Amendment Request
Features Not	Premiums and Cost-Sharing	N/A
Approved as	 Individuals with incomes ≤100% FPL subject to 	
Proposed	both premiums and co-payments	
	 Six-month lockout period for individuals with 	
	incomes >100% who fail to make timely	
	premium payments	
	 Debt to the state for individuals with incomes 	
	≤100% FPL who fail to make timely premium	
	payments	
	 Up to \$25 co-payment for non-emergency ER 	
	visits	
	Liability for co-payments for services that	
	would have been rendered at a missed	
	appointment	
	Benefit and Eligibility Variations	
	 Waiver of NEMT for individuals with incomes 	
	>100% FPL	
	Five-year lifetime Medicaid enrollment limit	
	 Requirement to verify income on a monthly 	
	basis	
	 One-year dis-enrollment for individuals who 	
	"knowingly" failed to report a change in	
	income	
	Employment-Related Provisions	
	 Requirement that individuals be employed, 	
	actively seeking employment, attending	
	school, or participating in a job training	
	program	
	Requirement to verify work status on a	
	monthly basis	
	 One-year dis-enrollment of individuals who 	
	"knowingly" misreport adherence to work/CE	
	requirements	
	 Access to AHCCCS CARE Account funds 	
	conditioned on AHCCCS Works program	
	participation	
	participation	<u>l</u>





ARKANSAS

	Arkansas Works
Waiver Status	• Waiver initially approved on 9/27/2013; effective 1/1/2014 to 12/31/2016
	• Waiver extension and amendment request approved on 12/8/2016; effective 1/1/2017 to
	12/31/2021
Maine a Design	Waiver amendment request approved on 3/5/2018 OUR Provision Assistance
Waiver Design	QHP Premium Assistance
Summary	 Expansion adults with incomes from 0-138% FPL Medically frail individuals are excluded
Premiums	For individuals with incomes >100% FPL For individuals with incomes >100% FPL
Premiums	
	Up to 2% of incomePayment is not a condition of eligibility
	Nonpayment results in debt to the state
Cost-Sharing	For individuals with incomes >100% FPL
Cost-Sharing	Cost-sharing subject to maximum permitted Medicaid cost-sharing levels
Healthy Behavior	 Individuals may receive incentive benefit for engaging with a PCP if authorized via a
Incentives	subsequent waiver amendment
Interitives	 Individuals with incomes >100% FPL must also make timely premium payments to be eligible
	for incentive benefit
Benefit-Related	No coverage of non-emergency use of the ER for expansion adults
Provisions	
Eligibility-Related	 Limited waiver of retroactive coverage; state must provide retroactive coverage for 30 days
Provisions	instead of 3 months
Work and Community	 Conditions Medicaid eligibility for adults ages 19-49 on work/CE requirements
Engagement	 Individuals subject to work/CE requirements must participate in ≥80 hours/month of
Provisions	work/CE activities (e.g., employment, job search and training activities, education, vocational
	training, community service or volunteering, health care-related classes, activities available
	through the Arkansas Department of Workforce Services, or employment activities available
	under SNAP or TANF); individuals must report on compliance via electronic portal
	Exempt populations include pregnant women, women who recently gave birth, medically
	frail individuals, full-time students, individuals exempt from work/CE requirements in SNAP
	or TANF, individuals who receive TANF benefits, individuals with a short-term incapacitation,
	individuals who are medically certified as physically or mentally unable to work, individuals
	with an acute medical condition that prevents them from complying with the work requirement, individuals caring for an incapacitated person, individuals living with a minor
	dependent child under age 17, individuals receiving unemployment benefits, and individuals
	in active SUD treatment
	 Individuals who fail to comply with work/CE requirements for 3 consecutive or non-
	consecutive months within a plan year are dis-enrolled; individuals may not re-enroll until
	start of next plan year unless they turn age 50, qualify for another Medicaid eligibility
	category, or would have qualified for a good cause exemption but could not report it due to a
	catastrophic event/circumstances beyond their control
	 Months in which an individual meets a good cause exemption (e.g., disability, hospitalization,
	hospitalization of household member, birth or death of a household member, severe
	inclement weather, or family emergency) do not count as a month of non-compliance
	State must submit eligibility and enrollment monitoring plan to CMS within 90 days of waiver





	Automore Mantes
	Arkansas Works
	approval to "allow CMS to track Arkansas' compliance with the assurances described in the
	STCs, including several related to eligibility and application processing systems," state may
	not dis-enroll any individuals for non-compliance with work requirement until CMS approves
	plan
Health Savings-Like	No
Account	
ESI Premium	No
Assistance	
Section 1927	No
Provisions	
Reference Material	Original Waiver Proposal; Waiver Extension Application; Waiver Amendment Request;
	<u>Demonstration Approval</u>
Features Not	Features Not Approved as Proposed in 2018:
Approved as	
Proposed	Partial Expansion
	 Reduction of eligibility level for expansion adults to 100% FPL, while continuing to receive the enhanced FMAP for expansion adults with incomes from 0-100% FPL
	Benefit and Eligibility Variations
	 Request for waiver of requirement to provide retroactive coverage (state received limited
	waiver requiring it provide retroactive coverage for only 30 days instead of the 3 months
	required by Medicaid law)
	Administrative Requirements
	 Request to eliminate requirements related to conducting administrative renewals in the event of a waiver phase-out





INDIANA

	Healthy Indiana Plan (HIP)
Waiver Status	 Waiver approved on 1/27/2015; effective 2/1/2015 to 1/31/2018 One-month waiver extension approved on 1/22/2018; effective 1/31/2018 to 2/28/2018
	 Waiver extension and amendment request approved on 2/1/2018; effective 2/1/2018 to 12/31/2020
Waiver Design Summary	High-Deductible MMC Plan With Health Savings-Like Account Expansion adults with incomes from 0-138% FPL Parents and caretakers Parents and caretakers eligible for TMA Pregnant women Medically frail individuals are included SUD waiver features apply to broader Medicaid population
Premiums	 For individuals with incomes from 0-138% FPL In the form of sliding scale contributions to the POWER account (similar to an HSA) Range from \$1/month to \$20/month based on an individual's income; premiums are <2% of income, except for tobacco users 50% premium surcharge for tobacco users (i.e., premiums range from \$1.50 to \$30/month); surcharge waived in first year of enrollment and eliminated if individuals notify State that they no longer use tobacco Mandatory for individuals with incomes >100% FPL who are not medically frail or pregnant; individuals who fail to pay monthly contributions within 60-day grace period dis-enrolled and are not be permitted to re-enroll for 6 months Optional for individuals with incomes ≤100% FPL; individuals who make contributions receive an enhanced benefit package
Cost-Sharing	 Individuals with incomes ≤100% FPL who do not contribute to POWER account subject to maximum permitted Medicaid cost-sharing Individuals with incomes >100% FPL subject to maximum permitted Medicaid cost-sharing for non-emergency use of the ER
Healthy Behavior Incentives	May reduce or eliminate POWER account contributions
Benefit- Related Provisions	 Offers three benefit packages; access to each package subject to income, eligibility category, and contributions to POWER account Waiver of NEMT Waiver of the IMD exclusion for enrollees receiving SUD services regardless of length of stay
Eligibility-Related Provisions	 Retroactive coverage waived No prompt enrollment requirement; coverage begins the first day of month of first POWER account contribution or 60 days after POWER account invoice for individuals with income ≤100% FPL who do not make a POWER account contribution; individuals may make a \$10 "fast track pre-payment" to gain coverage the first day of the month of their eligibility determination Dis-enrolls individuals who do not complete annual redetermination process (STCs establish goal of State completing at least 75% of renewals ex parte); dis-enrolled individuals who do not submit paperwork within 90 days subject to three-month lockout after end of 90-day period; individuals can re-enroll early if they meet a "good cause" exception (e.g.,



	Healthy Indiana Plan (HIP)
	hospitalization, disability, death or hospitalization of immediate family member, enrollment in or loss of private insurance coverage, domestic violence, relocation from another state, disaster); medically frail individuals, low-income parents and caretakers, pregnant women, and women ≤60 days post-partum exempt
Work and Community Engagement Provisions	 Conditions Medicaid eligibility for adults ages 19-59 on work/CE requirements (i.e., work, education, and other activities) Individuals subject to community engagement requirements must participate in up to 20 hours/week (phased in from date of implementation) of activities over 8 months of the eligibility period (e.g., subsidized or unsubsidized employment, participation in MCO employment activities, job search and training activities, education, vocational training, community service or volunteering, caregiving for a non-dependent relative or other person with chronic disabling health condition, compliance with or exemption from SNAP requirements, participation in tribal workforce program, etc.); compliance assessed annually Exempt populations include: pregnant women, medically frail individuals, primary caregivers of a dependent child under school age or who is disabled, individuals with temporary illness or incapacitation, individuals in active SUD treatment, individuals who meet or are exempt from TANF requirements, homeless individuals, and individuals who were recently incarcerated Individuals who fail to comply with requirements have their benefits suspended until their redetermination date; individuals who are not in compliance at their redetermination date have their coverage terminated Individuals may re-activate suspended coverage by meeting requirements for 1 month, meeting an exemption, becoming eligible for a Medicaid eligibility group not subject to work/CE requirements, or meeting a good cause exemption (e.g., disability, hospitalization, hospitalization of household member, domestic violence)
Health Savings-Like Account	Yes (POWER account)
ESI Premium Assistance	No
Section 1927 Provisions	No
Reference Material	Original Waiver Application; Waiver Extension Request; Amendment to Waiver Extension Request; One-Month Waiver Extension Approval; Demonstration Approval
Features Not Approved as Proposed	Premiums Indiana proposed somewhat higher premiums than approved Benefit and Eligibility Variations Waiver of requirement to provide EPSDT for 19- and 20-year olds Six-month lockout for individuals who do not submit annual redetermination paperwork within specified timeframes Employment-Related Provisions: Referral to state Gateway to Work job search and job training program as a condition of Medicaid eligibility for unemployed individuals and those working <20 hours/week; individuals would be required to acknowledge referral on HIP application





Healthy Indiana Plan (HIP)
Features Not Approved as Proposed in 2018:
Cost-Sharing
 Up to \$25 co-payment for non-emergency use of the ER (authority not extended in waiver amendment and extension)





IOWA

	Iowa Wellness Plan	
Waiver Status	 Waiver initially approved on 12/10/2013; effective 1/1/2014 to 12/31/2016 	
	■ Waiver extension request approved on 11/23/2016; effective 1/1/2017 to 12/31/2019	
	 Waiver amendment request approved on 10/27/2017 	
Waiver Design	MMC ¹	
Summary	 Expansion adults with incomes from 0-138% FPL 	
	Medically frail individuals are included	
	ESI Premium Assistance	
	 Voluntary for expansion adults with incomes from 0-138% FPL 	
Premiums	■ For individuals with incomes >50% FPL beginning in second year of enrollment in	
	demonstration (medically frail individuals exempt)	
	 Up to \$5/month for individuals with incomes from 50-100% FPL; up to \$10/month for 	
	individuals with incomes from 100-138% FPL	
	 Individuals with incomes >100% FPL may be dis-enrolled if they do not pay premiums for 90 	
	days and do not request a hardship waiver; individuals may re-enroll at any time	
	 Payment is not a condition of eligibility for individuals with incomes from 50-100% FPL; 	
	nonpayment results in debt to state	
Cost-Sharing	For individuals with incomes from 0-138% FPL	
	Limited to \$8 co-payment for non-emergency use of the ER	
Healthy Behavior	May eliminate premium obligations	
Incentives	May receive an enhanced dental benefit package	
Benefit-Related	Waiver of NEMT	
Provisions		
Eligibility-Related	Retroactive coverage waived for all state plan Medicaid beneficiaries, regardless of whether	
Provisions	they are covered by the demonstration (pregnant women and infants <1 year old exempt);	
	State required to conduct public and provider education regarding applications for and	
	receipt of Medicaid coverage	
Work and Community	No	
Engagement		
Provisions		
Health Savings-Like	No	
Account		
ESI Premium	Yes	
Assistance		
Section 1927	No	
Provisions		
Reference Material	Original Waiver Proposal; Waiver Extension Request; Waiver Amendment Request;	
	<u>Demonstration Approval</u>	

¹ Iowa transitioned to a statewide MMC delivery system on April 1, 2016. Previously, newly eligible individuals with incomes between 0-100% FPL were enrolled in coverage through MMC or FFS. Individuals with incomes between 100-138% FPL had the option of receiving coverage through MMC or Medicaid FFS or enrolling in a QHP through premium assistance.





	Iowa Wellness Plan
Features Not	Premiums
Approved as	 Dis-enrollment of individuals with incomes >50% FPL who fail to pay premiums timely and do
Proposed	not request a hardship waiver
	Cost-Sharing
	 Calculation of cost-sharing cap on an annual versus quarterly basis
	Benefit and Eligibility Variations
	 Waiver of requirement to provide EPSDT for 19- and 20-year olds
	 Waiver of retroactive coverage so that Medicaid coverage could not begin until first day of
	month following the month of the eligibility determination
	 Waiver of free choice of family planning providers
	 Waiver to permit QHPs to exclude some FQHCs/RHCs from their networks
	Other
	 Waiver of requirement to pay FQHCs/RHCs the PPS rate





KANSAS

Waiver Extension Request Undergoing CMS Review

	KanCare Demonstration	KanCare 2.0 Extension Request
Waiver	 Waiver initially approved on 12/29/2014; 	 Waiver extension request submitted to CMS on
Status	effective 12/29/2014 to 12/31/2017	12/26/2017; requests extension through
	 Waiver extension request approved on 	12/31/2023
	10/13/2017; effective 1/1/2018 to 12/31/2018	
Waiver	MMC	No proposed changes
Design	 Nearly all Medicaid enrollees with limited 	
Summary	exceptions	
Premiums	 Working individuals ages 16-64 with a disability with incomes between 100-300% FPL who participate in Medicaid buy-in program Sliding scale from \$55-\$152/month for individuals in one-person household 	No proposed changes
Cost-Sharing	No	No proposed changes
Healthy	No	No proposed changes
Behavior		
Incentives		
Benefit	No	 Requests waiver of the IMD exclusion for
Variations		individuals receiving behavioral health services
		regardless of length of stay
Eligibility	No	No proposed changes
Variations		
Employment-	No	 Requests to condition Medicaid eligibility for
Related		non-disabled adults ages 19-64 on work/CE
Provisions		requirements
		 Individuals in one-adult households who are
		subject to work/CE requirements must
		participate in 20-30 hours/week of work/CE
		activities (e.g., employment, job search and
		training activities, education, and community
		service); number of hours varies based on
		whether there is a child under age 6 in the
		household
		 Individuals in two-adult households must
		jointly participate in 35-55 hours/week of
		work/CE; number of hours varies based on
		whether there is a child under age 6 in the
		household
		Proposed exempt populations include: individuals using large terms are individuals.
		individuals using long-term care, individuals
		with a disability who are receiving SSI,
		individuals enrolled in or on the waiting list for
		a HCBS waiver, pregnant women, individuals
		receiving SSI, caretakers for dependent





	KanCare Demonstration	KanCare 2.0 Extension Request
Health	No	children under age 6 or a household member with disabilities, individuals only receiving retroactive coverage, individuals only presumptively eligible for Medicaid, individuals covered under the Medicare Savings Program, PACE participants, and individuals with HIV or a TBI, and Breast and Cervical Cancer enrollees State to offer voluntary work opportunities to populations with disabilities Yes (Independence Accounts) for TMA enrollees
Savings-Like Account		res (maepenaense / leccames) for final centeres
ESI Premium Assistance	No	No proposed changes
Section 1927 Provisions	No	No proposed changes
Reference Material	<u>Demonstration Approval;</u> <u>One-Year Waiver</u> <u>Extension Approval</u>	Waiver Extension Request; CMS Rejection of Lifetime Enrollment Limit
Features Not Approved as Proposed	N/A	Lifetime Enrollment Limit ■ 36-month lifetime enrollment limit ²

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² While CMS has not yet responded to other components of Kansas's waiver application, it issued a <u>letter</u> to the State in May 2018 indicating that it will not approve the lifetime limit request.





KENTUCKY

Waiver approved on 1/12/2018; effective 1/12/2018 to 9/30/2023 Waiver Design Summary Expansion adults with incomes from 0-138% FPL		
Waiver Design Summary Expansion adults with incomes from 0-138% FPL	Kentucky Helping to Engage and Achieve Long Term Health (KY HEALTH) Waiver approved on 1/12/2018; effective 1/12/2018 to 9/30/2023	
Expansion adults with incomes from 0-138% FPL Parents and caretakers Individuals eligible for TMA Pregnant women with incomes from 0-195% FPL Former foster care youth up to age 26 Medically frail individuals are included My Rewards Account (similar to an HSA) funded by Medicaid; individuals may earn funds a avoiding non-emergency ED visits, completing healthy behaviors, or exceeding work/CE requirements; may be used for additional benefits (e.g., vision or dental services, over-the counter medications, gym memberships) Deductible Account funded \$1000 annually by Medicaid Limited number of waiver features apply to broader Medicaid population Premiums charged at the household level instead of on a per-person basis if all household members subject to premiums are enrolled in the same MMC plan For households with incomes from 0-138% FPL (medically frail individuals, former foster cyouth, and pregnant women exempt) Range from \$1/month to 4% of household income if all household members are enrolled in the same MMC plan; premiums may exceed 4% of household income if charged on a perperson basis (i.e. household members are not enrolled in the same plan) Premium amounts may vary based on income or Medicaid enrollment duration Premium are mandatory for households with incomes >100% FPL; households that fail to pay premiums within 60-day grace period will be dis-enrolled and will not be permitted to enroll for six months unless they pay up to two months of back premiums plus the next month's premium (i.e. up to three months premium in total) and complete a health or financial literacy course, or meet a "good cause" exception (e.g., hospitalization, disability death of immediate family member, eviction or homelessness, national disaster)		
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= Drawitting and autional for bostock and swith incomes <1000/ EDL, bostock and that fail to us		
■ Premiums are optional for households with incomes ≤100% FPL; households that fail to pa	-	
premiums subject to state plan-level co-payments and lose access to My Rewards Account		
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literacy course, or meet a "good cause" exception (e.g., hospitalization, disability, death o	f	
immediate family member, eviction or homelessness, national disaster)		
Cost-Sharing Individuals with incomes ≤100% FPL that do not pay premiums are subject to state plan co	st-	
sharing (medically frail individuals, former foster care youth, and pregnant women exemp		
 Households that reach the 5% quarterly cap on cost-sharing are required to pay \$1/month 	•	
premiums until end of quarter		
Healthy Behavior • Enrollees may accumulate My Rewards Account funds for completing healthy behaviors		
Incentives		
Benefit-Related • Waiver of NEMT for expansion adults		
Provisions • Waiver of NEMT for all Medicaid enrollees traveling to methadone treatment (medically fi	ail	
individuals, former foster care youth, pregnant women, and individuals subject to EPSDT		



	Kentucky Helping to Engage and Achieve Long Term Health (KY HEALTH)
	exempt)
	 Waiver of the IMD exclusion for all Medicaid enrollees receiving SUD services regardless of
	length of stay
Eligibility-Related Provisions	Waiver of retroactive coverage (former foster care youth and pregnant women exempt) Waiver of prompt appellments excessed begins the first day of month of first promium.
FIOVISIONS	■ Waiver of prompt enrollment; coverage begins the first day of month of first premium payment or 60 days after premium invoice for individuals with income ≤100% FPL who do not make a premium payment (medically frail individuals, former foster care youth, pregnant women, and individuals found presumptively eligible exempt)
	 Individuals may pre-pay initial premium amount at application to expedite the start of coverage
	 Dis-enrolls individuals who do not complete annual redetermination process (State required
	to complete at least 75% of renewals <i>ex parte</i>); dis-enrolled individuals who do not submit paperwork within 90 days subject to six-month lockout; individuals can re-enroll early if they pay one month's premium <u>and</u> complete a financial or health literacy course, or meet a
	"good cause" exception (e.g., hospitalization, disability, death of immediate family member, enrollment in or loss of private insurance coverage during determination reporting period,
	eviction or homelessness, national disaster); medically frail individuals, former foster care youth, and pregnant women exempt
	 Individuals who fail to report a change in circumstance that would lead to loss of Medicaid eligibility are dis-enrolled and will not be permitted to re-enroll for six months; individuals can re-enroll early if they pay one month's premium and complete a financial or health
	literacy course, or meet a "good cause" exception (e.g., hospitalization, disability, death of
	immediate family member, enrollment in or loss of private insurance coverage during
	determination reporting period, eviction or homelessness, national disaster); medically frail
W 1 10 ''	individuals, former foster care youth, and pregnant women exempt
Work and Community	Conditions Medicaid eligibility for adults ages 19-64 on work/CE requirements (i.e., work,
Engagement Provisions	education, and other activities) ■ Individuals subject to community engagement requirements must participate in ≥80
Tiovisions	hours/month of work/CE activities (e.g., employment, job search and training activities,
	education, community service, compliance with SNAP and TANF work requirements, and SUD treatment)
	 Exempt populations include: pregnant women, medically frail individuals, primary caregivers of a dependent including a disabled adult dependent (limited to one exemption per
	household), individuals with an acute medical conditions, and full-time students
	 Individuals who fail to comply with requirements have their benefits suspended after 1- month grace period; coverage will not be suspended for individuals who meet a "good
	cause" exception (e.g., disability, birth or death of a household member, severe inclement
	weather, family emergency) at least 10 days prior to the end of the 1-month grace period
	 Individuals may re-activate their suspended coverage by meeting requirements for 1 month,
	completing a health or financial literacy course, meeting an exemption, or becoming eligible
	for a Medicaid eligibility group not subject to work/CE requirements
Health Savings-Like	Yes (My Rewards Account)
Account	State has authority to deduct from My Rewards Account for each non-emergency ER visit
ESI Premium	Yes Aligns individuals' Medicaid annual renowal dates with their open enrollment date for ESI
Assistance Section 1927	 Aligns individuals' Medicaid annual renewal dates with their open enrollment date for ESI No
Provisions	
Reference Material	Original Waiver Application; Amendment to Waiver Application; Demonstration Approval
Features Not	Benefit Variations
Approved as	 Waiver of the IMD exclusion for individuals receiving mental health services (waiver of the





	Kentucky Helping to Engage and Achieve Long Term Health (KY HEALTH)
Proposed	IMD exclusion for individuals receiving SUD services approved)
	Health Savings-Like Account Request to deduct up to \$75 from My Rewards Account for each non-emergency ER visit (STCs do not specify amount of deduction)





MAINE

Waiver Request Undergoing CMS Review

	MaineCare Demonstration
Waiver Status	Waiver request submitted to CMS on 8/1/2017
Waiver Design	FFS
Summary	 Parents and caretakers with incomes from 0-105% FPL Individuals eligible for TMA with incomes from 0-185% FPL Individuals eligible for family planning services with incomes from 0-209% FPL Reasonable classifications of individuals under age 21 with incomes from 0-156% FPL Medically needy individuals ages 18-20 with incomes from 0-156% FPL Medically needy parents and caretakers with incomes from 0-100% FPL Special benefits waiver (HIV) population with incomes from 0-250% FPL Former foster care children up to age 26
Premiums Cost-Sharing	 For individuals with incomes from ≥51% FPL \$10/month for individuals with incomes from 51-100% FPL; \$20/month for individuals with incomes from 101-150% FPL; \$30/month for individuals with incomes from 151-200% FPL; \$40/month for individuals with incomes ≥201% FPL (HIV waiver enrollees exempt; other exemptions same as work/CE requirements) Individuals who fail to fail to pay premiums dis-enrolled with no grace period and are not permitted to re-enroll for 90-days unless they pay all past due premiums State plan cost-sharing \$10 co-pay for non-emergency ER visits
Healthy Behavior	No
Incentives	L
Benefit-Related Provisions	No
Eligibility-Related Provisions	 Requests waiver of retroactive coverage with the exception of individuals applying for coverage of long-term care Requests waiver to eliminate hospital presumptive eligibility (pregnant women exempt) Requests waiver to implement \$5,000 asset test for MAGI-eligible individuals
Work and Community Engagement Provisions	 Requests ability to condition Medicaid eligibility for adults ages 19+ on participation in work/CE activities Individuals subject to work/CE requirements must participate in ≥20 hours/week of work/CE activities (e.g., employment, education, and community service) Proposed exempt populations include: pregnant women, individuals receiving disability benefits, or individuals physically or mentally unable to work ≥20 hours per week, among others¹ Individuals who fail to comply with work/CE requirements for 3 months within 36-month
Health Savings-Like Account	time period dis-enrolled; may re-enroll upon meeting work/CE requirements No

¹ Full list of exempt populations includes: pregnant women, individuals receiving disability benefits, individuals who are physically or mentally unable to work 20 hours or more per week, individuals participating in a SUD rehabilitation program, individuals residing in an institutional residential facility, or individuals caring for a young child or incapacitated adult





	MaineCare Demonstration
ESI Premium	No
Assistance	
Section 1927	No
Provisions	
Reference Material	Original Waiver Application
Features Not	N/A
Approved as	
Proposed	





MASSACHUSETTS

Approved through 6/2022 Waiver Amendment Requests Undergoing CMS Review

		Proposed Changes in
	MassHealth	MassHealth Amendment Requests
Waiver Status	Waiver extension and amendment request approved on 11/4/2016; effective 7/1/2017 to 6/30/2022	Waiver amendment request submitted to CMS on 6/26/2017; second waiver amendment request submitted to CMS on 9/8/2017
Waiver Design Summary	 FFS, MMC and/or ACO Nearly all Medicaid enrollees with limited exceptions Current mandatory MMC enrollees may choose to enroll in ACO and/or current MMC options 	Same as current waiver with the following changes: Requests ability to limit income eligibility for expansion adults and non-disabled parents and caretaker relatives to 100% FPL while obtaining ACA's enhanced match rate
	ESI and Student Health Insurance Premium Assistance ■ Mandatory for all Medicaid enrollees with access to cost-effective ESI or student health insurance	
Premiums	 For disabled adults and children with incomes >150% FPL Sliding scale based on income 	No proposed changes
Cost-Sharing	 Adults subject to state plan cost-sharing Cost-sharing subject to maximum permitted Medicaid cost-sharing levels 	 Proposes tracking cost-sharing on annual instead of quarterly basis Requests imposing cost-sharing >5% for individuals with incomes >300% FPL (i.e., certain children and adults with disabilities)
Healthy Behavior Incentives	No	No proposed changes
Benefit- Related Provisions	 IMD exclusion waived for individuals receiving diversionary behavioral health services (e.g., and SUD services) 	Same as current waiver with the following additions and changes: Requests ability to transition non-disabled parents and caretaker relatives ages 21-64 with incomes ≤100% FPL to ABP Requests NEMT waiver for childless, non-pregnant and non-disabled individuals ages 21 to 64 with incomes from 0-138% FPL; waiver would not apply for transport to SUD services Requests waiver of "all restrictions on payments to IMDs" for individuals receiving mental health and SUD services
Eligibility- Related Provisions	 90-day retroactive coverage waived; most enrollees eligible for 10-day retroactive coverage If state is unable to verify eligibility through 	Same as current waiver with the following additions: Seeks to eliminate emergency Medicaid for individuals eligible to purchase \$0/month





		Proposed Changes in
	MassHealth	MassHealth Amendment Requests
	electronic data sources and applicant information not reasonably compatible with electronic data, individuals eligible for 90-day provisional eligibility while state verifies applicant information	premium subsidized QHPs on the Marketplace regardless of length of stay Requests ability to eliminate 90-day provisional eligibility when income cannot be verified for adults ages 21+ (pregnant women, HIV-positive adults with incomes <200% of the FPL, and individuals ages <65 with breast and cervical cancer and incomes <250% FPL would still be able to obtain 90-day provisional eligibility)
Work and Community Engagement Provisions	No	No proposed changes
Health Savings-Like Account	No	No proposed changes
ESI Premium Assistance	Yes	Same as current waiver with the following change: Requests elimination of cost-sharing wrap for individuals enrolled in ESI or student health insurance premium assistance who receive care from a non-Medicaid participating provider
Section 1927 Provisions	No	 Requests waiver to give state additional flexibility to exclude drugs from its formulary
Reference	Original Waiver Application; Demonstration	Original Waiver Amendment Request; Second
Material	Approval	Waiver Amendment Request
Features Not	N/A	N/A
Approved as		
Proposed		





MICHIGAN

Approved through 12/2018 Waiver Extension Request Undergoing CMS Review

	Healthy Michigan Plan	
Waiver Status	 Waiver approved on 12/30/2013; effective 4/1/2014 to 12/31/2018 Waiver amendment request approved on 12/17/2015 to permit a choice of delivery systems for expansion adults with incomes >100% FPL beginning on 4/1/2018 Waiver extension request submitted to CMS on 12/6/2017; requests extension through 12/31/2021 (no programmatic changes requested) 	
Waiver Design Summary	MMC ■ Expansion adults with incomes ≤100% FPL	
Summary	Medically frail individuals are included	
	QHP Premium Assistance or MMC	
	 Expansion adults with incomes from 100-138% FPL will have the option of QHP premium assistance or MMC 	
	 Only individuals who have completed a healthy behavior may enroll in MMC Medically frail individuals are excluded 	
Premiums	■ For individuals with incomes >100% FPL	
	>100% enrolled in QHP: 2% of income	
	>100% enrolled in MMC: Up to 2% of income (subject to CMS approval of MI Health Account	
	operational protocol) Payment is not a condition of eligibility; nonpayment results in debt that can be collected by	
	QHP, MMC plan, or the state	
Cost-Sharing	 Individuals with incomes from 0-138% FPL >100% enrolled in QHP: consistent with state plan; cost-sharing subject to maximum permitted Medicaid cost-sharing levels ≤100% FPL enrolled in MMC: annually, up to 2% of income (subject to CMS approval of MI Health Account operational protocol) >100% enrolled in MMC: annually, up to 3% of income (subject to CMS approval of MI Health Account operational protocol) 	
Healthy Behavior	■ Individuals with incomes ≤100% FPL: may reduce co-payments once co-payments reach 2% of	
Incentives	 income (subject to CMS approval of MI Health Account operational protocol) Individuals with incomes >100% enrolled in QHP: may shift to MMC with completion of a healthy behavior Individuals with incomes >100% enrolled in MMC: only individuals who have completed a healthy behavior may enroll in MMC; may reduce co-payments once co-payments reach 3% of income (subject to CMS approval of MI Health Account operational protocol) 	
Benefit-Related Provisions ²	No	
Eligibility-Related Provisions	No	

² Michigan is seeking an IMD exclusion waiver for individuals receiving SUD services under a separate <u>demonstration</u>.





	Healthy Michigan Plan
Work and Community	No
Engagement	
Provisions	
Health Savings-Like	Yes (MI Health Account)
Account	
ESI Premium	No
Assistance	
Section 1927	No
Provisions	
Reference Material	Original Waiver Application; Waiver Amendment Request; Demonstration Approval; Draft
	Operational Protocol for MI Health Accounts Submitted to CMS; Waiver Extension Request
Features Not	Premiums and Cost-Sharing
Approved as	■ Premiums of up to 3.5% of income for individuals >100% FPL enrolled in MMC
Proposed	■ Cost-sharing cap of 7% of income for individuals >100% FPL enrolled in MMC





MISSISSIPPI

Waiver Request Undergoing CMS Review

	Modicaid Workforce Training Initiative
Waiver Status	Medicaid Workforce Training Initiative Waiver request submitted to CMS on 1/16/2018
Waiver Design	MMC
Summary	 Parents and caretakers with incomes from 0-23% FPL
,	■ Individuals eligible for TMA
Premiums	No
Cost-Sharing	No
Healthy Behavior	No
Incentives	
Benefit-Related	No
Provisions	
Eligibility-Related	No
Provisions	
Work and Community	Requests ability to condition Medicaid eligibility for non-disabled adults ages 19-64 on
Engagement	work/CE requirements
Provisions	 Individuals subject to work/CE requirements must participate in paid employment or self-
	employment ≥20 hours/week, participate in Office of Employment Security activities,
	volunteer with an approved agency, participate in drug or alcohol treatment, or comply with
	SNAP and TANF work requirements
	 Proposed exempt populations include: individuals diagnosed with mental illness, individuals
	receiving disability benefits, primary caregivers of for a person who cannot care for
	him/herself, individuals physically or mentally unable to work, individuals who applied for or
	are receiving unemployment insurance, individuals participating in drug or alcohol treatment,
	individuals receiving cancer treatment, and some students exempt; pregnant women not
	subject to work/CE requirements
	 Individuals who fail to comply with work/CE requirements dis-enrolled; may re-enroll upon meeting work/CE requirements
	Requests expenditure authority to obtain 90% enhanced matching rate for costs not
	otherwise matchable associated with work/CE requirements
Health Savings-Like	No
Account	
ESI Premium	No
Assistance	
Section 1927	No
Provisions	
Reference Material	Original Waiver Application
Features Not	N/A
Approved as	
Proposed	





MONTANA

	Health and Economic Livelihood Partnership (HELP) Program	
Waiver Status	 Waiver approved on 11/2/2015; effective 1/1/2016 to 12/31/2020 	
	 Waiver amendment request approved on 12/20/2017 	
Waiver Design	FFS Program	
Summary	 Expansion adults with incomes from 0-138% FPL 	
	Medically frail individuals excluded	
Premiums	■ For individuals with incomes from 50-138% FPL	
	■ 2% of income	
	 Nonpayment results in debt to the state collected through state tax returns 	
	 Individuals with incomes from 100-138% FPL who fail to pay premiums are dis-enrolled from 	
	after a 90-day grace period and may re-enroll upon payment of past due premiums or	
	Department of Revenue quarterly debt assessment	
Cost-Sharing	■ For all individuals with incomes from 50-138% FPL	
	 Cost-sharing subject to maximum permitted Medicaid cost-sharing levels 	
	 Co-payment exemptions for primary, secondary, and tertiary preventive health services; 	
	immunizations; and medically necessary health screenings	
Healthy Behavior	No	
Incentives		
Benefit-Related	No	
Provisions		
Eligibility-Related	12-month continuous eligibility for expansion adults	
Provisions		
Work and Community	Outside the demonstration, state will: identify workforce development opportunities; gather	
Engagement	information from state agencies on existing workforce development programs; and establish a	
Provisions	workforce development program	
Health Savings-Like	No	
Account		
ESI Premium	No	
Assistance		
Section 1927	No	
Provisions		
Reference Material	Original Waiver Application; Demonstration Approval; Waiver Amendment Request; Waiver	
	Amendment Approval	
Features Not	Premiums and Cost-Sharing	
Approved as	90-day grace period added as a condition prior to dis-enrollment for failure to pay premiums	
Proposed		





NEW HAMPSHIRE

Approved through 12/2018
Waiver Extension and Amendment Request Undergoing Public Comment

	New Hampshire Health Protection Program (NHHPP) Premium Assistance	Proposed Changes in Granite Advantage Extension and Amendment Request
Waiver Status	 Waiver approved on 3/4/2015; effective 1/1/2016 to 12/31/2018 Most recent waiver amendment approved 5/7/2018 	Waiver extension and amendment request posted for public comment on 5/8/2018
Waiver Design Summary	 QHP Premium Assistance ■ Mandatory for expansion adults with incomes from 0-138% FPL ■ Medically frail individuals are excluded ESI Premium Assistance ■ Voluntary for expansion adults with incomes from 0-138% FPL 	MMC ■ Seeks to transition individuals enrolled in QHP premium assistance to MMC; enrollment will be mandatory ■ Medically frail individuals are included ESI Premium Assistance ■ Voluntary for expansion adults with incomes from 0-138% FPL
Premiums	No	No proposed changes
Cost-Sharing	 For individuals with incomes >100% FPL Cost-sharing subject to maximum permitted Medicaid cost-sharing levels 	 Co-payments limited to pharmaceuticals Cost-sharing subject to maximum permitted Medicaid cost-sharing levels
Healthy Behavior Incentives	 Legislation requires "personal responsibility" provisions, to greatest extent practicable 	 MCO contracts will include provisions to incentivize healthy behaviors
Benefit- Related Provisions	No	No proposed changes
Eligibility- Related Provisions	 Retroactive coverage conditionally waived pending submission of sufficient data showing that the state is providing "seamless coverage" 	 Seeks to remove conditions associated with implementing waiver of retroactive coverage Requests waiver to require applicants to submit additional proof of citizenship and state residency as a condition of obtaining an eligibility determination Requests waiver to implement asset test
Work and Community Engagement Provisions	 Conditions Medicaid eligibility for adults ages 19-64 on work/CE requirements Individuals subject to work/CE requirements must participate ≥100 hours of work/CE activities per month (e.g., employment, job search and training activities, education) Exempt populations include individuals who: are temporarily incapacitated; are participating in a state-certified drug court program; are parent/caretaker of a child under age 6 (can only apply to one parent/caretaker per household) or a child with disabilities; are pregnant or 60 days post-partum; are 	Same as current waiver with the following additions: Plans to implement Granite Workforce pilot program to subsidize employers in certain regions of the state and reduce barriers to employment



	New Hampshire Health Protection Program	Proposed Changes in Granite Advantage
	(NHHPP) Premium Assistance	Extension and Amendment Request
	medically frail; are disabled and cannot comply	Extension and Amendment nequest
	because of disability related reasons; have a	
	family member with a disability and cannot	
	comply because of that disability; experience a	
	hospitalization or serious illness or have a	
	family member with a hospitalization or	
	1	
	serious illness; and are exempt from SNAP/TANF work/CE requirements	
	l '	
	 Individuals who fail to comply with work/CE requirements have their benefits suspended 	
	· · · · · · · · · · · · · · · · · · ·	
	after 1-month grace period; coverage will not	
	be suspended for individuals who meet a	
	"good cause" exception (e.g., disability, birth	
	or death of a household member, severe	
	inclement weather, family emergency) prior to	
	the end of the 1-month grace period	
	 Individuals may re-activate suspended 	
	coverage by completing missed hours, meeting	
	an exemption, becoming eligible for a	
	Medicaid eligibility group not subject to	
	work/CE requirements, or meeting a good	
	cause exemption	
	 Individuals who are not in compliance at their 	
	redetermination date have their coverage	
	terminated	
Health	No	No proposed changes
Savings-Like		
Account		
ESI Premium	Yes	No proposed changes
Assistance		
Section 1927	No	No proposed changes
Provisions		
Reference	Original Waiver Application; Demonstration	Waiver Amendment Request
Material	Approval; Waiver Amendment Request; CMS	
	Waiver Amendment Response; Waiver	
	Amendment Request	
Features Not	Features Not Approved as Proposed in 2016:	N/A
Approved as	Cost-Sharing	
Proposed	 Up to \$25 co-payment for non-emergency use 	
	of the ER	
	Benefit and Eligibility Variations:	
	New requirements to verify citizenship and NH	
	residency as a condition of eligibility	
	Permission for all veterans who are NH	
	residents to receive medical and medical-	
	related services from any NH hospital currently	
	providing services to the NHHPP population	
	Features Not Approved as Proposed in 2018:	





New Hampshire Health Protection Program (NHHPP) Premium Assistance	Proposed Changes in Granite Advantage Extension and Amendment Request
Work/CE Provisions	
 Completion of up to 30 hours/week of work/CE 	
activities to meet work/CE requirement	





NEW MEXICO

Waiver Approved through 12/2018 Waiver Extension Request Undergoing CMS Review

	Centennial Care	Centennial Care 2.0
Waiver Status	Waiver approved on 11/18/2014; effective 1/1/2014 to 12/31/2018	Waiver extension request submitted to CMS on 12/6/2017; requests extension through 12/31/2023
Waiver Design Summary	 MMC Expansion adults with incomes from 0-138% FPL Parents and caretakers Individuals eligible for TMA Pregnant women with incomes from 138-235% FPL Children ages 0-18 in families with incomes from 0-185% FPL (including CHIP enrollees) Former foster care children up to age 26 Women needing treatment for breast or cervical cancer Adults who are aged, blind, or disabled, including working disabled individuals Individuals eligible for Refugee Medical Assistance 	Same as current waiver with the following changes: ■ Include individuals ages ≤50 eligible for family planning eligibility category in waiver; outside of waiver, eliminate family planning eligibility for individuals ages 51+ with limited exceptions
Premiums	No	 For expansion adults with incomes >100% FPL In first year of waiver: \$10/month; in subsequent years of waiver: \$20/month Individuals who fail to fail to pay premiums disenrolled after 3-month grace period; individuals are and not permitted to re-enroll for 3 months and cannot re-enroll until making a premium payment State to develop hardship waiver process
Cost-Sharing	State plan cost-sharing for children enrolled in CHIP and working disabled individuals	 \$25 co-pay for non-emergency ER visits (individuals receiving hospice exempt) \$10 co-pay for non-preferred prescription drugs (psychotropic and family planning drugs exempt) \$5 charge for third missed appointment Requests waiver of requirement to track cost- sharing against 5% cap
Healthy Behavior Incentives	 May receive credits to be used for health and wellness-related items in a Centennial Rewards catalog 	Same as current waiver with the following changes: May reduce or eliminate premium obligations Requests waiver to disregard any money obtained through healthy rewards from countable income when determining Medicaid eligibility





	Centennial Care	Centennial Care 2.0
Benefit- Related Provisions	No	 Requests that parents/caretakers be required to receive the ABP (medically frail individuals exempt) Requests waiver of EPSDT for 19- and 20-year old expansion adults and parents/caretakers (medically frail individuals exempt) Requests waiver of the IMD exclusion for individuals receiving SUD and psychiatric services with stays of up to 30 days
Eligibility- Related Provisions	No	 Requests waiver of retroactive coverage; proposes to reduce retroactive coverage to 1 month in 2019 and eliminate retroactive coverage in 2020 (Native American enrollees and nursing facility enrollees exempt) Requests waiver of prompt enrollment; coverage begins the first day of month following month of first premium payment Requests eliminating TMA for parents/caretakers Requests limiting eligibility for family planning eligibility category to individuals ages ≤50
Work and Community Engagement Provisions	No	No
Health Savings-Like Account	No	No
ESI Premium Assistance	No	No
Section 1927 Provisions	No	No proposed changes
Reference Material	<u>Current Demonstration</u>	Waiver Extension Request
Features Not Approved as Proposed	N/A	N/A





NORTH CAROLINA

Waiver Request Undergoing CMS Review

	North Carolina's Medicaid Reform Demonstration
Waiver Status	Waiver request submitted to CMS on 6/1/2016; amendment to waiver request submitted to CMS on 11/20/2017
Waiver Design	MMC
Summary	 Nearly all Medicaid enrollees with limited exceptions Expansion adults with incomes from 0-138% FPL, pending State legislative authority to implement expansion
Premiums	 Premiums at 2% of income applied for expansion adults with incomes from 50-138% FPL (individuals with medical or financial hardship, members of a federally recognized tribe, and veterans in transition seeking employment exempt) Individuals who fail to pay premiums are dis-enrolled after a 60-day grace period and may reenroll upon payment of past due premiums
Cost-Sharing	State plan cost-sharing
Healthy Behavior Incentives	No
Benefit-Related	 Requests waiver of the IMD exclusion for individuals receiving behavioral health and SUD
Provisions	services regardless of length of stay
Eligibility-Related Provisions	No
Work and Community Engagement Provisions	 Requests ability to condition Medicaid eligibility for expansion adults with incomes from 0-138% FPL on participation in employment activities (e.g., employment, education, and job training) Proposed exempt populations include: individuals caring for a dependent child, adult disabled child or disabled parent, individuals receiving SUD treatment, and medically frail
Health Savings-Like Account	No
ESI Premium	No
Assistance	
Section 1927	No
Provisions	
Reference Material	Original Waiver Application; Amendment to Original Waiver Application
Features Not	N/A
Approved as Proposed	





OHIO

Waiver Request Undergoing CMS Review

	Ohio Group VIII Work Requirement and Community Engagement 1115 Demonstration Waiver
Waiver Status	Waiver request submitted to CMS on 4/30/2018
Waiver Design	MMC
Summary	■ Expansion adults with incomes from 0-138% FPL
Premiums	No
Cost-Sharing	No
Healthy Behavior	No
Incentives	
Benefit-Related	No
Provisions	
Eligibility-Related	No
Provisions	
Work and Community Engagement Provisions	 Requests ability to condition Medicaid eligibility for non-disabled adults ages 19-49 on work/CE requirements Individuals subject to requirements must participate in ≥80 hours/month of work/CE activities (e.g., employment, job search and training (no more than 30 days), education and training activities, compliance with SNAP work requirement) Proposed exempt populations include: pregnant women, individuals physically or mentally unable to work, individuals caring for a household member who is disabled or incapacitated, individuals residing in the same house as a minor child, individuals who applied for or are receiving unemployment benefits, students in school at least half time, individuals in SUD treatment, individuals subject to and complying with TANF work requirement, individuals who applied for or are receiving SSI, individuals exempt from SNAP work requirement, individuals participating in Specialized Recovery Services Program (i.e., some individuals with a serious and persistent mental illness, a diagnosed chronic condition, or actively on the organ or soft tissue transplant waiting list), and eligible incarcerated individuals State may suspend requirements for individuals residing in counties with an average unemployment rate over 24 months that was >120% of the national unemployment rate or that do not have a sufficient number of available jobs State conducts "appraisal" interviews to determine if individuals meet an exemption or are in compliance with the requirement; individuals who are not exempt or compliant are connected to beneficiary supports (state may offer reasonable modifications to the requirement for individuals without access to sufficient beneficiary supports)
	 Individuals who report that they are not meeting work/CE requirements due to a change in circumstances will undergo re-appraisal to identify if they were not meeting work requirement due to a good cause exemption or other exemption; individuals who fail to meet work requirement going forward are dis-enrolled
	Requests expenditure authority for federal match for beneficiary supports
Health Savings-Like	No
Account	No.
ESI Premium	No
Assistance	No.
Section 1927	No
Provisions	





	Ohio Group VIII Work Requirement and Community Engagement 1115 Demonstration Waiver	
Reference Material	Original Waiver Application	
Features Not	N/A	
Approved as		
Proposed		





SOUTH DAKOTA

Waiver Request Undergoing Public Comment

	Career Connector	
Waiver Status	Waiver request undergoing public comment until 6/19/2018	
Waiver Design	FFS	
Summary	■ Parents and caretakers with incomes from 0-57% FPL	
	 Waiver request limited to Minnehaha and Pennington counties 	
	QHP or ESI premium assistance	
	■ Individuals with incomes ≤100% FPL whose TMA coverage has expired, who continue to	
	comply with work/CE requirements, and who meet healthy behavior standards	
	Premium assistance amount would be equal to PMPM cost of TMA coverage; State proposes no cost sharing wrap.	
	no cost-sharing wrap • Limited to one-year	
Premiums	No	
Cost-Sharing	No	
Healthy Behavior	Yes	
Incentives		
Benefit-Related	No	
Provisions		
Eligibility-Related	No	
Provisions		
Work and Community	 Requests ability to condition Medicaid eligibility for non-disabled adults ages 19-59 and who 	
Engagement	reside in targeted counties on work/CE requirements	
Provisions	• Individuals subject to requirements must participate in ≥80 hours/month of work or work-	
	related activities in their individualized employment and training plan (e.g., health insurance	
	or financial literacy courses, disease management courses, treatment for chronic conditions,	
	BH treatment, education, volunteering, job search, or job training) Proposed exempt populations include: individuals working >80 hours/month, full-time	
	 Proposed exempt populations include: individuals working >80 hours/month, full-time students, pregnant women, individuals determined disabled by the SSA, medically frail 	
	individuals, individuals participating in a state workforce program (e.g., SNAP, TANF, or	
	unemployment program), parents of dependent children < age 1, and primary caregivers of	
	elderly or disabled individuals living in the same household	
	 Individuals who do not comply with work/CE requirements for 3 months during the coverage 	
	year will be disenrolled unless they meet a "good cause" exemption (e.g., hospitalization,	
	serious illness, death of family member, etc.); after disenrollment, they have 30 days to take	
	"corrective action" and reactive their coverage. If they do not take corrective action within	
	the 30-day window, they will be locked out for 90 days	
	State's Department of Labor and Regulations (DLR) will conduct an individual employment	
	assessment for each individual subject to the work/CE requirements, identify an "integrated	
	resource team," develop an individualized employment and training plan, and track/verify	
	compliance with the work requirements; case managers will also support individuals	
	 Individuals may continue to work with a case manager/DLR on implementing individualized employment and training plan until income reaches 150% FPL as long as individual continues 	
	to meet work/CE requirements	
Health Savings-Like	No	
Health Javillgs-Like	NO .	





	Career Connector
Account	
ESI Premium	Yes
Assistance	
Section 1927	No
Provisions	
Reference Material	Original Waiver Application
Features Not	N/A
Approved as	
Proposed	





UTAH

Waiver Approved through 6/2022; Waiver Amendment Requests Undergoing CMS Review or to be Submitted to CMS

		Proposed Changes in PCN Demonstration
	Primary Care Network (PCN) Demonstration	Amendment Request
Waiver Status	Waiver extension approved on 10/31/2017; effective 11/1/2017 to 6/30/2022	 Waiver amendment request submitted to CMS on 8/15/2017 Second waiver amendment request completed public comment on 5/31/2018; waiver not submitted to CMS as of 6/13/2018
Waiver	MMC or FFS (varies by county)	August 2017 Waiver Amendment
Design Summary	 Parents and caretakers with incomes from 0-60% FPL receive full benefits Individuals eligible for TMA receive full benefits Up to 25,000 parents and caretakers with incomes between 60-100% FPL and childless adults with incomes from 0-100% FPL receive limited benefits (e.g., primary care visits, 4 prescriptions/month, immunizations, routine lab services, etc.) FFS Childless adults ages 19-64 with incomes <5% FPL who are chronically homeless or in need of SUD or mental health treatment (including justice-involved populations) receive State Plan benefits 	 No proposed changes May 2018 Waiver Amendment Requests ability to expand parent/caretaker and childless adult income eligibility to 100% FPL (after 5% disregard) while obtaining ACA's enhanced match rate Requests waiver to cap enrollment for partial expansion group Partial expansion would end demonstration program providing limited benefit package to up 25,000 parents and caretakers with incomes between 60-100% FPL and childless adults with incomes from 0-100% FPL; instead partial expansion group would obtain full benefits
	ESI Premium Assistance Individuals ages 19-64 with incomes from 0-200% FPL with access to cost-effective ESI that would cost more than 5% of their household income, their spouse, and their children up to age 26 COBRA Premium Assistance Individuals ages 19-64 with incomes from 0- 200% FPL with access to cost-effective COBRA coverage, their spouses, and children up to age 19; premium assistance amount varies	 MMC Parents and caretakers with incomes from 0-60% FPL Individuals eligible for TMA PFS Parents and caretakers with incomes from 60-100% FPL Childless adults with incomes from 0-100% FPL, including childless adults ages 19-64 with incomes <5% FPL who are chronically homeless or in need of SUD or mental health treatment (including justice-involved populations) ESI Premium Assistance Mandatory for individuals with incomes from 0-100% with access to ESI No proposed changes for individuals with



	Primary Care Network (PCN) Demonstration	Proposed Changes in PCN Demonstration Amendment Request
		COBRA Premium Assistance No proposed changes
Premiums	No	No proposed changes
Cost-Sharing	State plan cost-sharing	August 2017 Waiver Amendment Requests ability to impose \$25 co-payment for non-emergency use of the ER for parents and caretaker relatives with incomes from 0-60% FPL who are receiving full benefits May 2018 Waiver Amendment No proposed changes from August 2017 amendment
Healthy	No	No proposed changes
Behavior		
Incentives		
Benefit-	Full benefit package for parents/caretakers	August 2017 Waiver Amendment
Related Provisions	with incomes from 0-60% FPL is somewhat different than State Plan (e.g., does not cover	No proposed changes
	 long-term care but covers case management) Individuals with incomes from 0-100% FPL receiving limited benefit package have coverage for: primary care visits, 4 prescriptions/month, routine dental care, immunizations, eye exam, routine lab services and x-rays, emergency use of the ER, emergency medical transportation, birth control, and diabetes management services EPSDT waived for adults ages 19- or 20-years old IMD exclusion waived for individuals with "short-term residential stays" receiving SUD services 	 May 2018 Waiver Amendment Partial expansion group would receive full benefits; parents/caretakers with incomes from 60-100% FPL would receive same benefit package as parents/caretakers with incomes from 0-60% FPL (e.g., would not cover long-term care but would cover case management) and childless adults with incomes from 0-100% FPL would receive State Plan benefit package ■ Seeks waiver of EPSDT for adults ages 19- or 20-years old who would enroll through partial expansion
Eligibility- Related Provisions	 Retroactive coverage waived for parents and caretakers receiving limited benefits; childless adults receiving limited benefits; and individuals enrolled in the ESI premium assistance program 	August 2017 Waiver Amendment Same as current waiver with the following additions: Requests ability to implement 60-month lifetime enrollment limit for individuals with incomes from 0%-100% FPL receiving limited benefits and childless adults receiving full benefits who are chronically homeless or in need of SUD or mental health treatment (any month an individual meets job search and training requirement do not count toward enrollment limit) Requests ability to eliminate presumptive eligibility for parents and caretakers with incomes from 0-60% FPL; would not implement presumptive eligibility for childless adults who are chronically homeless or in need



	D: 0 N / 1/2000 D / //	Proposed Changes in PCN Demonstration
	Primary Care Network (PCN) Demonstration	Amendment Request
		of SUD or mental health treatment Requests authority to implement future eligibility changes to the demonstration via state administrative rules instead of waiver amendment submissions May 2018 Waiver Amendment Retroactive coverage would resume and presumptive eligibility would continue
		No other proposed changes from August 2017
Manda and	N-	amendment
Work and Community Engagement Provisions	No	 August 2017 and May 2018 Waiver Amendments Requests ability to condition Medicaid eligibility for adults ages 19-59 with incomes from 0-100% FPL on participation in online job search and training program within first three months of coverage year; individuals only required to participate once per year to maintain eligibility Proposed exempt populations include individuals who are: determined mentally or physically unable to work; primary caregivers of a child under age 6 or incapacitated person; in active SUD treatment; half-time students; receiving TANF, SNAP, or unemployment benefits; working >30 hours per week; and pregnant Individuals who fail to comply with work/CE requirements dis-enrolled unless they meet a good cause exemption (e.g., has a disability preventing them from complying, has a hospitalization or serious illness, birth or death of household member, etc.); may re-enroll upon completing online job search and training program or meeting an exemption
Health	No	No proposed changes
Savings-Like		
Account		
ESI Premium	Yes	August 2017 Waiver Amendment
Assistance	 12-months guaranteed coverage Provides subsidies of up to \$150/month for adults and up to \$140/month for CHIP-eligible children with access to ESI 	 No proposed changes May 2018 Waiver Amendment For adults with incomes 0-100%, premium assistance would cover full ESI premium amount and would wrap cost sharing and benefits not provided by ESI No proposed changes for individuals with

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 $^{^{\}mathrm{1}}$ Provisions related to good cause exemptions are only in the May 2018 waiver amendment application.





	Primary Care Network (PCN) Demonstration	Proposed Changes in PCN Demonstration Amendment Request
		incomes from 100-200% FPL
Section 1927 Provisions	No	No proposed changes
Reference Material	<u>Current Demonstration</u>	August 2017 Waiver Amendment Request; May 2018 Waiver Amendment Request
Features Not Approved as Proposed	N/A	N/A





WISCONSIN

Waiver Approved through 12/2018; Waiver Amendment and Extension Requests Undergoing CMS Review

	BadgerCare Reform	Proposed Changes in BadgerCare Reform Amendment Request
Waiver Status	Waiver approved on 12/30/2013; effective 1/1/2014 to 12/31/2018	 Waiver amendment request submitted to CMS on 6/7/2017 Waiver extension request submitted to CMS on 1/19/2018; requests extension through 12/31/2023 (no programmatic changes requested other than those included in amendment application)
Waiver	MMC	No proposed changes
Design	 Childless adults with incomes from 0-100% FPL 	
Summary	Individuals eligible for TMA	
Premiums	 For individuals with incomes >100% FPL eligible for TMA Sliding scale based on income TMA enrollees with incomes from 100-133% FPL subject to premiums starting 6 months after enrollment; those with incomes >133% FPL subject to premiums from date of enrollment TMA enrollees who fail to pay premiums disenrolled after a 30-day grace period and are not be permitted to re-enroll for 3 months, unless they pay all past due premiums 	Same as current waiver with the following additions: \$8/month for households with incomes from 51-100% FPL (premiums charged at the household level instead of on a per-person basis) Individuals who fail to make timely premium payments dis-enrolled and are not be permitted to re-enroll for 6 months, unless they pay all past due premiums
Cost-Sharing	State plan cost-sharing	Same as current waiver with the following addition: \$\$ co-pay for ER visits (emergency or non-emergency)
Healthy	No	 Individuals required to complete health risk
Behavior Incentives		assessment annually 51-100% FPL: may reduce premiums if they attest that they do not exhibit a "health risk behavior" (e.g., tobacco use, being overweight, etc.);have a "health risk behavior," but are taking action to reduce their risk; or have a "condition beyond their control"
Benefit-	No	Requests waiver of the IMD exclusion for stays
Related Provisions		of up to 90 days for individuals receiving SUD services
Eligibility- Related Provisions	No	 Requests ability to require as a condition of eligibility that: individuals complete annual drug use screening; individuals with a positive screening who do not indicate a willingness to enter SUD treatment complete a drug test; and



		Proposed Changes in BadgerCare Reform
	BadgerCare Reform	Amendment Request
Work and		individuals with positive drug test complete SUD treatment. Failure to complete drug screening, drug test, or SUD treatment would result in dis-enrollment; individuals required to consent to drug treatment before re-enrolling. Individuals who indicate willingness to obtain SUD treatment not required to complete drug screening as a condition of eligibility Requests ability to limit enrollment to 48 months for individuals ages 19-49; after 48 months, individuals dis-enrolled and not permitted to re-enroll for 6 months unless eligible for a different Medicaid eligibility category (populations exempt from work requirement not subject to enrollment limit)
Work and Community Engagement Provisions	No	 Proposes that any month an individual participates in ≥80 hours/month employment or job training activities does not count toward 48-month enrollment limit (populations that may have difficulty meeting requirement would be exempt)² Requests expenditure authority to obtain matching funds for employment training
Health Savings-Like Account	No	No proposed changes
ESI Premium Assistance	No	No proposed changes
Section 1927 Provisions	No	No proposed changes
Reference Material	<u>Current Demonstration</u>	Waiver Amendment Request; Waiver Extension Request
Features Not Approved as Proposed	N/A	N/A

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² Exempt populations include individuals with mental illness, individuals who are obtaining higher education on at least a half-time basis, or individuals who are caregivers for an individual who cannot care for him/herself, individuals receiving SSDI, individuals unable to work for physical or mental reasons, individuals who are receiving or have applied for unemployment insurance, individuals who are participating in an alcohol or drug treatment program, or individuals attending high school on at least a half-time basis.





Abbreviations

ABD = Aged, Blind, and Disabled	MAGI = Modified adjusted gross income
ACA = Affordable Care Act	MMC = Medicaid managed care
ACO = Accountable care organization	N/A = Not applicable
ABP = Alternative benefit plan	NEMT = Non-emergency medical transportation
CMS = Centers for Medicare & Medicaid Services	PMPM = Per member per month
CHIP = Children's Health Insurance Program	PACE = Programs of All-Inclusive Care for the Elderly
CE = Community engagement	PCP = Primary care provider
COBRA = Consolidated Omnibus Budget	PPS = Prospective payment system
Reconciliation Act	QHP = Qualified health plan
EPSDT = Early and Periodic Screening, Diagnostic, and Treatment	RHC = Rural health center
ER = Emergency room	SMI = Serious mental illness
ESI = Employer-sponsored insurance	SPA = State Plan Amendment
FPL = Federal poverty level	SSA = Social Security Administration
FFS = Fee-for-service	SSDI = Social Security Disability Insurance
FMAP = Federal Medical Assistance Percentage	SSI = Supplemental Security Income
FQHC = Federally-qualified health center	SUD = Substance use disorder
HCBS = Home- and-community-based services	TANF = Temporary Assistance for Needy Families
HIPP = Health Insurance Premium Payment program	TMA = Transitional Medical Assistance
HSA = Health savings account	TPA = Third-party administrator
IMD = Institution for mental diseases	



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