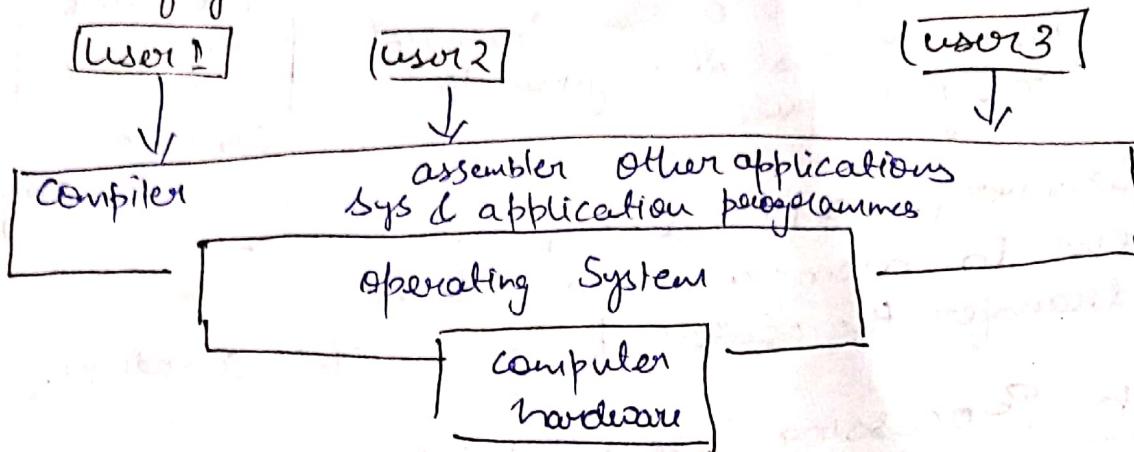


# OS

- It acts as an intermediary b/w h/w & user.
- It is resource manager - manages system resources in an unbiased fashion both h/w & s/w.
- It provides a platform on which other applications programmes are installed

## Abstract view of Sys



## Goals of OS

Primary Goal

↳ convenience/  
user friendly

Secondary Goal

↳ Efficiency

## Functions of OS

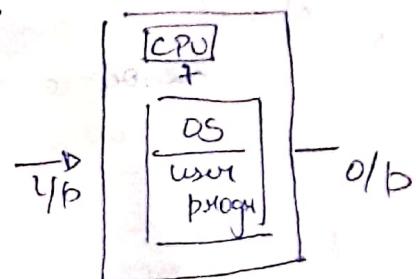
- Process management
- Memory management
- I/O device management
- File management
- Network management
- Security & Protection

# OS Kaise improve Hua

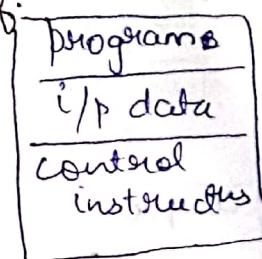
Earlier .

In starting mainframe computers

- com i/p & o/p ; were card readers & tape drives
- user prepared a job which consisted of the programme i/p data & control instructions.
- all 3 were given in the start itself.



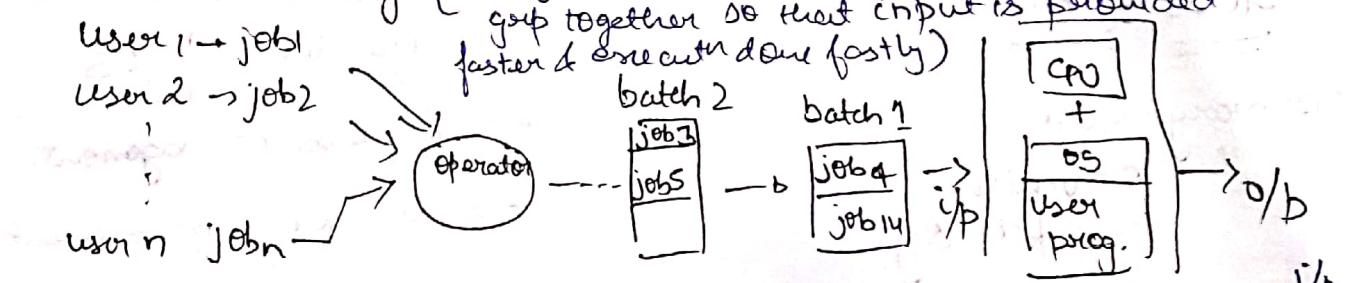
Job → Some input, program and control instructions that were given to early computers.



- OS was very simple, always present in memory major task is to transfer the control from one job to another.

## Batch Processing

(~~all~~ jobs which had similar needs were grouped together so that input is provided faster & execution done fastly)



- \* remember CPU is very fast if we take 1 sec. to provide data it takes  $\frac{1}{1000}$  second to process is thus when we were providing data manually most of the time CPU was idle & it caused delay in O/P generation.

① Jobs with similar needs are batched together & executed through the processor as a grp.

② Operator sorts job, as a deck of punch cards into batch with similar needs

Adv. Eg -> COBOL batch, FORTRAN batch etc.

→ In a batch job executes one after another saving time from activities like loading compiler.

→ during execution no manual intervention needed.

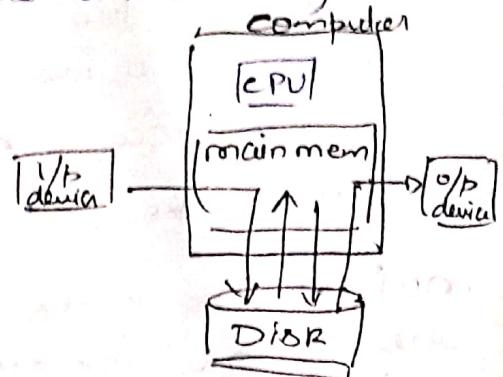
## Disadvantages of Batch

- memory limitation → interaction of I/O & CPU devices directly with CPU

## SPooling

(Simultaneous peripheral operation online.)

- Data CPU ab disk se data le raha hai  
So the time waste which was earlier due to manual I/O given (batches) thus time improved.



- Data stored in disk & CPU interacts with Disk (digital) via M.U.

- Spooling is capable of overlapping I/O operations for one job with CPU operations of other jobs.  
as input ~~is in~~ disk is ~~not~~ & CPU takes from disk.

Adv.

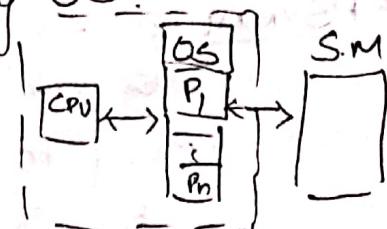
- no interaction of I/O & O/P <sup>device</sup> with CPU.

- CPU utilization is more as CPU is busy most of the time.

disadv.

In starting spooling was uniprogramming

## Multiprogramming OS



- more than one process in main memory when which are ready to execute.

- Maximising CPU utilisation.

- Process generally requires CPU time & I/O time

So if a running process I/O or some other event which don't require CPU then instead of waiting idle CPU switches to some other process.

- CPU never idle unless there is no process ready to execute or at time of context switching.

Adv.

- High CPU utilisation
- Less waiting time,
- May be extended to multiple user

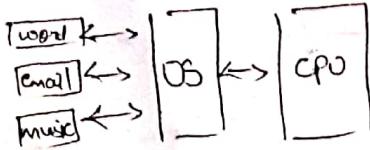
- Difficult scheduling
- Main memory management is req.
- Memory fragmentation
- Paging (Non-contiguous, memory allocation)

Disadv.

## Multi-tasking OS / Time Sharing / Fair-Share

Multi-programming with Round-Robin

- multitasking is multiprogramming with time-sharing.



- Only one CPU but switches b/w processes so quickly that it appears that all ~~are~~ operations are being executed at same time.
- Better response time & executing multiple process together.

multitasking keeps jumping from one task to other whereas multiprogramming unless programme to wait or halted we didn't switch operation.

## MULTIPROCESSING OS.

→ 2 or more CPU in a single computer communicating or sharing same system bus, memory etc.

→ True parallel execution

# SYMMETRIC → one OS controls all CPU ; each CPU has equal rights

# ASYMMETRIC → master slave architecture  
eg one CPU controls I/O etc. control processing

- easy to design
- less efficient.

### Adv.

- Increased throughput
- Graceful degradation
- Increased reliability (if Kharab to one will work)
- cost efficient
- battery efficient
- True parallel

### Disadv.

- more complex
- overheated or coupling reduces throughput
- large main memory.

## (inp) CPU Scheduling

→ A process execution consists of a cycle of CPU execution and I/O execution.

{ We usually don't focus on I/O execution  
but it does contribute in process & Here we consider it }

→ Normally every process begins with CPU burst, then may be followed by I/O burst, then another CPU burst, then I/O burst and so on eventually at last ends up on CPU burst.

CPU bound processes :- These are those processes which require most of the time on CPU.

I/O bound processes :-

These processes require most of the time on I/O devices.

\* In this chapter we focus on CPU scheduling.

A good CPU scheduling idea should choose the mixture of both so that both I/O & CPU can be utilised effectively.

## CPU Scheduling

Non-Pre-emptive  
(Koi processor snatch nahi kar sakte irrespective of operations priority)

→ apni marzi se CPU leaves process

Pre-emptive.  
(Priority bases ke leaves CPU for higher priority process).  
→ Jabardast CPU thikam out

## CPS Scheduling Terminologies

1) Burst time / Execution time / running time :-

time process requires for running on CPU.

2) Waiting time :- time spent by a process in ready state waiting for CPU.

3) Arrival time :- when sys. a process enters ready state

4) Exit time :- when process completes execution & exists sys.

5) Turn Around Time :- total time spent by a process in sys.

$$TAT = ET - AT = B.T + w.T$$

Response time :- Time between a process enters a ready state and get scheduled on the CPU for the first time  
 (Pehli baar attend hone me kitna time)

CPU Scheduling Criteria :-

- ① Average waiting time
- ② Average response time
- ③ CPU utilization
- ④ Throughput

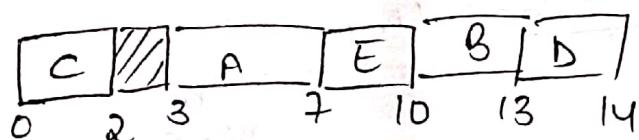
FIRST COME FIRST SERVE (FCFS)

→ Simplest scheduling algorithm, it assigns CPU to the process which arrives first.

→ Easy to understand and can easily be implemented using queue data structure.

→ Always non-preemptive in nature

Gantt chart



Process	AT	BT
A	3	4
B	5	3
C	0	2
D	5	1
E	4	3

Here we started with 0 when C arrived it took BT of 2 then 2-3 no processes idle then A has arrived then E and lastly B & D together which is practically not possible but for answers sake we consider it.  
 In such situations take that process which is first in table.

$$TAT = BT + WT$$

Process Scheduling

Process	AT	BT	TAT = ET - AT	WT = TAT - BT
A	3	4	7 - 3 = 4	4 - 4 = 0
B	5	3	13 - 5 = 8	8 - 3 = 5
C	0	2	2 - 0 = 2	2 - 2 = 0
D	5	1	14 - 5 = 9	9 - 1 = 8
E	4	3	10 - 4 = 6	6 - 3 = 3

## CONVOY effect & Convoy Matlab Kaifla

Small processes have to wait for long time for bigger processes to release CPU.

Proc	AT	BT	WT
P <sub>1</sub>	0	100	0
P <sub>2</sub>	1	1	99 (huge wait)

Proc	AT	BT	WT
P <sub>1</sub>	1	100	0
P <sub>2</sub>	0	1	100 (less wait)

### Advantages of FCFS

- Simple easy to use
- easy to understand
- easy to implement
- must be used for background processes where execution isn't urgent

Starvation (tabhi hoga when processor is biased)

In FCFS it is convoy effect, not Starvation.

(SJF)

### SHORTEST JOB FIRST scheduling (non-pre-emptive)

OR

Shortest remaining time first (SRTF) (Pre-emptive).

- Out of all available processes, CPU is assigned to the process having smallest burst time requirement (no priority, no seniority).
- If tie, FCFS is used to break tie.
- Can be used with both non-pre-emptive & pre-emptive approach.
- (SRTF) is pre-emptive, is also called as optimal as it guarantees minimal average waiting time.

Eg (Non Preemption)

	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
Proc.	AT	BT	TAT	WT	
P <sub>1</sub>	3	1	7-3=4	4-1=3	
P <sub>2</sub>	1	4	16-1=15	15-4=11	
P <sub>3</sub>	4	2	9-4=5	5-2=3	
P <sub>4</sub>	0	6	6-0=6	6-6=0	
P <sub>5</sub>	2	3	12-2=10	10-3=7	

Proc	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
Proc	0	1	3	4	5

Proc	AT	BT	TAT	WT
P <sub>1</sub>	3	1	4-3=1	1-1=0
P <sub>2</sub>	1	4	16-1=15	5-1=4
P <sub>3</sub>	4	2	8-4=4	4-2=2
P <sub>4</sub>	0	6	16-0=16	16-6=10
P <sub>5</sub>	2	3	11-2=9	9-3=6

Q) Note in both pre-emptive & non-pre-emptive  
Shortest Job first end time is same 16.  
In ~~non~~ pre-emptive we see after every clock  
if some other process available with less B.T, we  
do context switching to that process  
i.e. this is absolute greedy

\* SRTF is pre-emptive version guarantees minimal  
Waiting time. (is better seq. waiting time KOF schedule  
than aata).

Advantages of SRTF  
(pre-emptive)

- Provides a standard for other algo in terms of avg. waiting time.
- Better avg. response time compare to FCFS.

Disadvantages:

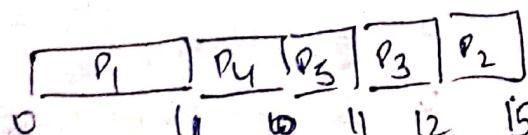
- Algo can't be implemented as there is no way to know the burst time of a process.
- Process with longer CPU burst time requirement will go to into starvation.
- No idea of priority, process with large burst time have poor response time.

\* Starvation of processes is ~~bad~~ biased.

### PRIORITY ALGO

- Here a priority is associated with each process.
- At any instance of time out of all available processes, CPU is allocated to the process with highest priority.
- Tie broken with FCFS
- No importance of AT or BT
- ~~works~~ Supports both non-pre-emptive & pre-emptive.

Process	AT	BT	Priority	TAT	WT
P <sub>1</sub>	0	4	2		
P <sub>2</sub>	1	3	3		
P <sub>3</sub>	2	1	4		
P <sub>4</sub>	3	5	5		
P <sub>5</sub>	4	2	5		



(Non-pre-emptive)

\* remember how table is filled

① at 0 only  $P_1$  was available though it has least priority but only this was available. Thus it is runned.

② after  $P_1$ , we are at 4 & at 4<sup>th</sup> clock all processes have arrived. Now based on priority processes run

Now Pre-emptive of Priority algorithm

$P_1$	0	1	2	3	4	8	10	12	15
-------	---	---	---	---	---	---	----	----	----

$P_i$	AT	BT	Priority	TAT	WT
$P_1$	0.	4	3	$15 - 0 = 15$	$15 - 4 = 11$
$P_2$	1.	3	2	$12 - 1 = 11$	$11 - 3 = 8$
$P_3$	2	1	4	$3 - 2 = 1$	$1 - 1 = 0$
$P_4$	3	5	5.	$0 - 3 = 5$	$6 - 5 = 1$
$P_5$	4	2	15	$6 - 4 = 2$	$6 - 2 = 4$

Advantages of Prior. Algo.

- Provides a facility of priority scheduling for system processes
- Allow to run important processes first even if it is a user process.

Aging → It is a technique of gradually increasing the priority of process that waits in the system for long..  
(Age badhe ke saath we increase its priority taki if gets CPU)

Disadvantages of Priority Algo.

- Process with shorter/smaller priority may starve for CPU
- no idea of response time or waiting time.

### ROUND ROBIN

This algo is designed for time sharing systems, where it is not necessary to complete one process and then start another, but to be responsive and divide time of the CPU among the process in the ready state.

- Here ready queue will be treated as circular queue.
- We fix a time quantum up to which one process can hold the CPU in one go, with in which either a process terminates or process must release the CPU and enter in the circular queue. and wait for the next chance.
- RR is always pre-emptive in nature.

to solve gantt chart of Round Robin

(1) make a queue yourself which helps in ~~queue~~ given  $T_q = 2$

Q -  $P_1, P_2, P_3, P_1, P_4, P_5, P_2, P_1, P_5$

$P_1$	$P_2$	$P_3$	$P_1$	$P_4$	$P_5$	$P_2$	$P_1$	$P_5$
0	2	4	6	7	9	11	12	13

	Pn	AT	BT	TAT	WT
-	$P_1$	0	8.2	$13.0 - 1.2$	
-	$P_2$	1	2.1	$12.1 - 1.1$	
-	$P_3$	2	1	$13.2 - 3$	
-	$P_4$	3	2	$14.3 - 6$	
-	$P_5$	4	3.1	$14.4 - 4 = 10$	

One  $P_1$  is opted as it was available at 0 time, we have  $T_q = 2$  thus CPU is with it for 2 clock cycles.

Now since 2 cycles done  $P_2$  &  $P_3$  would have arrived and would be in queue already.

& so on this cycle continues

### Advantages

- o Performs best in terms of Average response time
- o Works well in case of time sharing sys, client server architecture & interactive server
- o Kind of SJF implementation

### Disadvantages.

- o longer process may starve
- o Performance depends heavily on time as constant
- o no idea of priority.

Deadlock :- in a multi-programming sys, a number of processes compete for limited number of resources and if a resource is not available at that instance then process enters into waiting state.

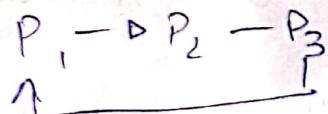
- o If a process unable to change its waiting state indefinitely because the resources requested by it are held by another waiting process, then sys enters in Deadlock.

### SYSTEM MODEL

- o every process will request for the resource
- o if granted then process will use the resource
- o Process must release the resource after use.

## #Deadlock Ki 4 conditions

- ① Mutual Exclusion: At least one resource type in the system which can be used in non-shareable mode i.e. mutual exclusion (one-at-a-time / one-by-one)  
e.g. Printer.
- ② Held & Wait: A process is currently holding at least one resource and is requesting additional resources which are being held by other processes.
- ③ ~~③ Pre-emption~~. No-pre-emption: A resource can not be pre-empted from a process by any other process.
  - Resource can be released by only voluntarily by the holding it.
- ④ Circular Wait: Each process must be waiting for a resource which is being held by the another process, which in turn is waiting for the first process to release the resource.



\* For Deadlock all 4 conditions must be satisfied.

## #Deadlock Handling (Deadlock EXIT in INT)

- ① Prevention :- means design such a sys. which violates at least one of 4 necessary conditions of deadlock & ensures independence from deadlock.
- ② Avoidance :- System maintains a set of data using which it takes a decision whether to entertain a new request or not, to be on safe side.
- ③ Detection & Recovery :- Here we wait until deadlock occurs & once it is detected, we recover from it.
- ④ Ignorece/Ostrich :- We ignore the problem as if it doesn't exist.

## Deadlock Prevention :-

### ① Mutual exclusion

(not possible to avoid this in prevent since some resources are such that they can't be shared e.g.: a printer can print out file at a time but not combination of 2).

### ② Hold & Wait

#### (a) Conservative approach :- Process is start to execution if only if it has to acquire all the resources.

(if imagine P<sub>1</sub> needs R<sub>1</sub>R<sub>2</sub>, P<sub>1</sub> has R<sub>1</sub>, when it goes of R<sub>2</sub>, P<sub>2</sub> captures R<sub>1</sub>, thus P<sub>1</sub> deprived of R<sub>1</sub>, to avoid this we wait till P<sub>1</sub> gains both R<sub>1</sub>R<sub>2</sub> & run only once it has both)

(less efficient, not implementable, easy deadlock independence)

#### (b) Do not hold :- Process will coacquire only desired resources, but before making any fresh request it must release all the resources it currently holds.

(efficient, implementable)

### ③ Wait Timeout :- We place a time limit upto which a process can wait, after this time it has to release all the helded resources.

(if it takes more time than timeout then it need to release all the resources)

### ④ No Pre-emption

#### (a) Forceful pre-emption :- we allow a process to forcefully preempt the resource held by other process.

→ This method may be used by high priority process or system process.

→ The process which are in waiting state must be selected as a victim instead of process in running state.

#### ④ Circular Wait :

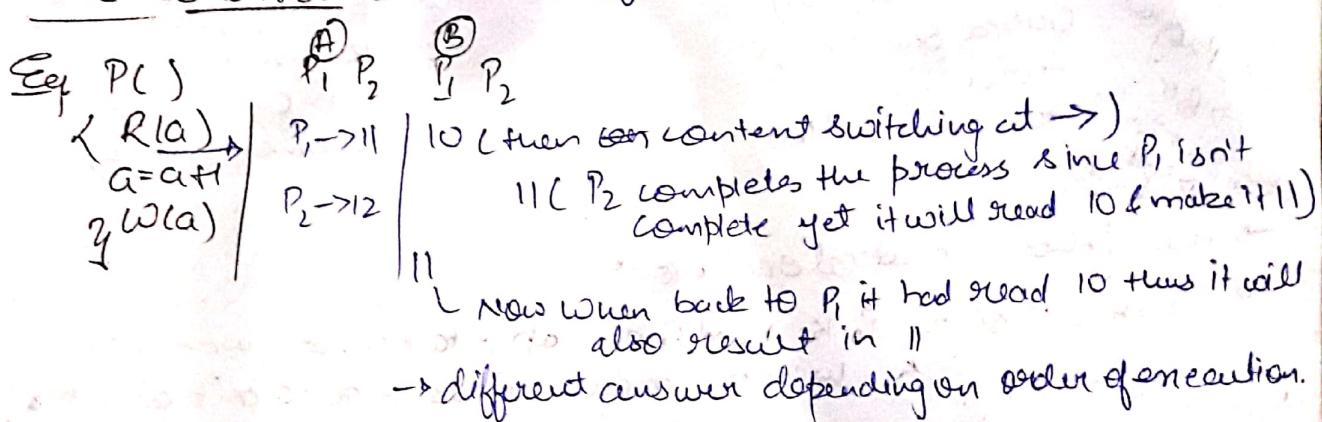
- S.t. can be eliminated by first giving a natural number of every resource.
- Allow every process to either only in increasing or decreasing order of resource number.
- If a process requires a lesser no. (in case of inc. order) then it must first release all the larger numbered resources than req. no.

Eg.  $P_1 \quad R_1 \quad R_2$  (Here  $P_1$  takes  $R_1$  &  $P_2$  takes  $R_2$ )  
 $R_1 \quad R_2 \quad R_1$   
 $R_2 \quad R \quad R_2$  Now  $P_1$  waits for  $R_2$   
 $R \quad R_1 \quad R_2$  &  $P_2$  for  $R_1$   
 Dead lock happens

$P_1 \quad P_2$  (Here whoever gets  $R_1$  first completes the job then other does its job)  
 $R_1 \quad R_2$   
 $R_2 \quad R_1$   
 $R \quad R_1 \quad R_2$   
 Dead lock resolved

#### PROCESS SYNCHRONISATION

Race Condition (when order of execution can change result).



Critical Section :- Part in process where it access shared execution resource.

\* If a resource is shareable, and is shared in one-by-one fashion it is of no problem (A) else it may cause problem (B).

Q How many different values can shared resource B have

C1	C2	C3	C4	C5	C6
1,2,3,4	3412	1342	3124	1324	3124
C=2-1=1	D=24	C=1	D=4	C=1	D=4
B=2	B=3	D=4	C=1	D=4	C=1
D=4	C=02	B=3	B=2	Z=2	B=2
$B=3$	$D=34$	$B=2$	$B=3$	$B=3$	$B=3$

$$\begin{array}{ll} 1. C=B-1 & 3. D=2+B \\ 2. Z=2*C & 4. B=D-1; \\ B=2 \text{ initially} & \end{array}$$

(Race condition  
same code  
diff. answer  
on diff. execn)

## # Critical Section problem

{ A resource can be sharable, but at a time ~~howek~~ ek process operate karta hoga on it }

To solve 3 critical section Problem  
it must follow following 3 condtn

- (1) Mutual exclusion (mandatory) { critical sectn excised in mutually exclusive manner }
- (2) Progress

{ Only those processes must be entered into critical section, which need to enter,

we should no do round-robin on all the processes.

- (3) Bounded wait (not-necessary)

{ a max time aft. limit after which a process can enter critical sectn }

Eg

This is twin variable while(1)  
while (turn = 0);  
critical section  
turn = 1;  
remainder section

P<sub>0</sub>

P<sub>1</sub>

white(1)  
{ white (turn != 0);  
critical section  
turn = 0;  
remainder section; }

→ this follows mutual exclusion

→ Progress → since P<sub>0</sub> ke baad P<sub>1</sub> abne ap jata hai, soit we don't ask whether process wants to go to critical section or not.

This not valid.

Rectified.

P<sub>0</sub> flag [F] F

P<sub>1</sub>

flag[1] = T  
white (flag[0]);  
critical sectn  
flag[0] = F

white(1)\*  
{ white (turn != 0);  
critical sectn  
flag[0] = F }

But if we do context switching at \* we get deadlock.

- (4) Should not have restrictions like

can should run only on windows or only on linux  
but be universal

- No assumption related to fl/w and 821 point ko

Semaphore P<sub>0</sub>

```

while(1)
{
    flag[0]=T
    turn=1
}

```

while (turn==1 & !flag[1]==T);

critical sectn

flag[0]=F

}

turn=1  
flag [F] [F]

while(1)

{ flag[1]=T

turn=0

while (turn==0 &

flag[0]==T);

critical sectn

flag[1]=F

}

→ → →

Semaphores. Uses

- ① Critical sectn problem ( $S=1$ )
- ② Order of execution b/w processes
- ③ Resource management.

### ① Critical sectn.

Semaphore is an integer ~~int~~ variable that apart from initialization, is accessed only through 2 standard atomic operations

(1) wait (S)

(reduces value by 1)

wait (S)

{ while (S<0);

S = S - 1

}

(2) signal (S)

(increases value by 1).

signal (S)

{ S = S + 1;

}

P, do { entry sectn

// critical sectn

exit sectn

// remainder sectn

{ while (T)

P, do { wait (S);

// critical sectn

signal (S);

// remainder sectn

{ while (T)

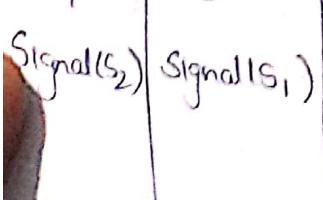
{ Koi bhi Process kabhi bhi content  
change kar sakte hai in OS }

## Process Synchronization :-

(Semaphores)

for deciding Order

wait(S<sub>1</sub>) P<sub>2</sub> → P<sub>1</sub> → P<sub>3</sub> execution  
exit(S<sub>2</sub>)



Since S<sub>1</sub>, S<sub>2</sub>=0 first P<sub>2</sub> executed even if content switched S<sub>1</sub>, S<sub>2</sub>=0 thus only P<sub>2</sub> can be executed 1st

Signal  $\Rightarrow$  V(L)

Wait  $\Rightarrow$  P(L)

For managing std. order.

P<sub>1</sub>, P<sub>2</sub>

wait(S)

cS

sig(S)

RS

g

5 processes initially

If we have

S=5,

\* Here S is init value se initiation  
Kore jitne resources HO.

① lock based Solution  $\rightarrow$  but this gave didn't give Mutual-exclusion

E<sub>1</sub> -  $\boxed{\begin{array}{l} 1 \text{while } \text{lock} = 1; \\ 2 \text{lock} = 1 \end{array}}$  if after 1st instruction process P<sub>1</sub> gets preempted.

then P<sub>2</sub> will start & since P<sub>1</sub> left after 1st line. lock is still = 0 i.e. it will also proceed and enter critical section & make lock = 1

-> Now when P<sub>1</sub> resumes it makes lock again 1 and enters already occupied critical section thus RACE condition may occur

② Test and Set

Up to over come problem of lock combine line 1 & line 2 so that no preemption b/w 2 lines possibly

while ( $\text{test\_and\_set}(\&\text{lock})$ )

[CS]

lock = false

NO preemption possible now b/w as its single exec. b/w them either after or before this.

Boolean test\_and\_set (bool \*target)

{ bool R = \*target;

\*target = true;

return R;

g

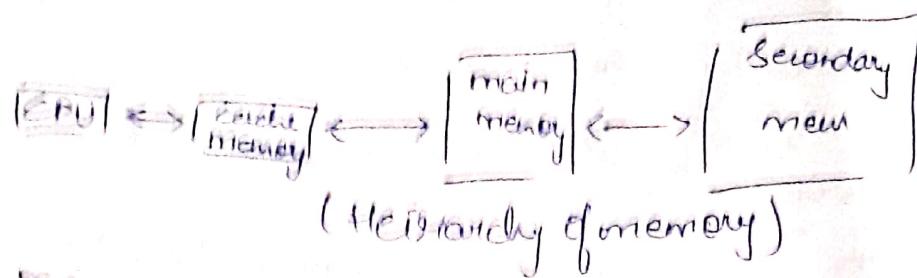
In test and Set

$\rightarrow$  Mutual Exclusion ✓

$\rightarrow$  Progress ✓

# Basics Of MEMORY MANAGEMENT

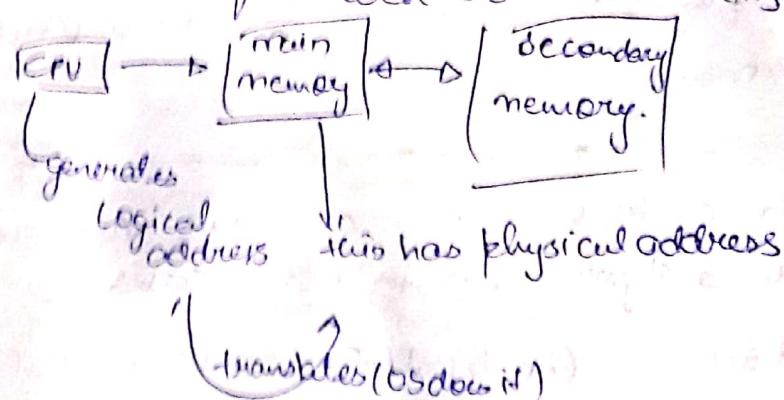
Characteristics: (1) Size(1) (2) Access time( $t_r$ ) (3) Per unit cost( $\$/$ )



main memory we it fetches current, info data so know access time less since it is small in size.

LOCALITY OF REFERENCE: most of the time instructions run sequentially or are used sequentially manner so we prefetch the data (in parallel) from ~~main~~ memory to main mem.

In OS Cache isn't of concern so structures

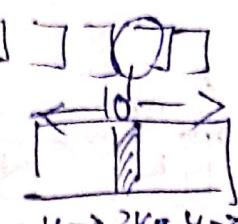


If Configures memory allocation (e.g. array)

↳ easy access

↳ address translation

Always suffers from internal fragmentation

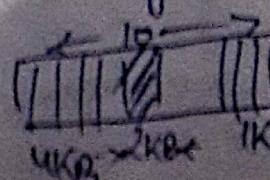


New if a 5KB process comes, we have 8KB still we can't use it to store 5KB data due to internal fragmentation

At Non-contiguous mem. allocn

↳ slow since to access any data we have to start from head & each seek point

e.g. (linked list)



(Here 5KB stored in parts 1KB+4KB since it wasn't contiguous)

# CONTIGUOUS MEM ALLOCATION

fixed size  
partitioning

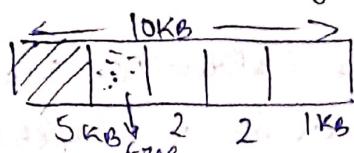
variable size  
partitioning

\* CPU can access only main memory.

(1) For a process to be runned, it must be first brought to main-memory.

## Fixed Size Partitioning

(Fixed partitions & fit  
Hence ka size may differ)



Now for a 4KB process

this ~~one~~ 1KB wasted this  
is internal fragmentation

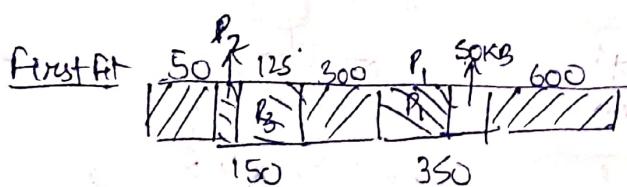
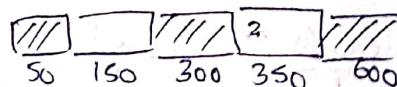
→ Easy to manage.

In Both contiguous & non-contiguous memory allocation  
there are 3 algos used in both cases

(1) First Fit

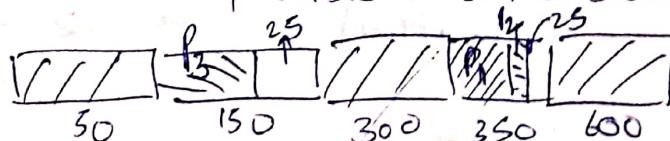
(2) Best Fit

(3) Worst Fit.



→ Start from start & searching, jahan  
space available waha inserted, variable size.

Best fit (Search all possible & use that block which is smallest)



thus these  
 $25+25=50$   
wasted.

Worst fit

I use largest block possible which can accommodate process.



Best Fit uses the ~~base~~ smallest block which can contain the ~~data~~ process i.e why 350 block space was used not 150 in best fit, since 350 is the smallest block ~~to~~ which can contain 300 bit process.

→ It uses smallest space so after use data remaining will be even smaller thus it is best suited for ~~Variable~~ fixed size partitioning me.  
Since fixed size partitioning me small spaces are wasted

Worst fit → Use that block which is largest in size.

In Variable size partitioning it is best suited since largest is necessary part for a process set up now still we can use the left oversize since it will be of ~~to inc. this~~ <sup>RAU/main mem size ↑</sup> big size.

\* Degree of Multiprogramming = ~~How many programs are there for main mem?~~  
no. of partitions fixed → size of partitions can be same  
fixed partition <sup>or</sup> diff.  
(~~size~~ fixed)  
→ Dynamic partition → Allocation / Deallocation block complex  
Ext frag  
↳ No internal fragmentation  
↳ No limitation of Process  
↳ No limitation on Process Size.

Configures  
(External  
frag)

Non configures

↳ Page  
↳ Multi

→ True

→ Segmen

→ Segmen

Configures need ↓  
(Ext. frags size) Fixed Dynamic  
Intern. (no internal  
frag)

1. ~~fixed size~~ same.

2. ~~sizes of partitions not~~ same

Now methods  
to allocate new config. memory

① First fit ② Best fit (choose ~~choose~~ <sup>left</sup> part of left)

③ Next fit ④ Worst fit (~~choose~~ choose part of left).

\* Ext. frag

as we copy data & paste it ~~in~~ again, we remove & left out empty spaces.

fixed Partition

↳ Internal frag.  
and size to after assign excess to leave some space left out

→ limit of process size

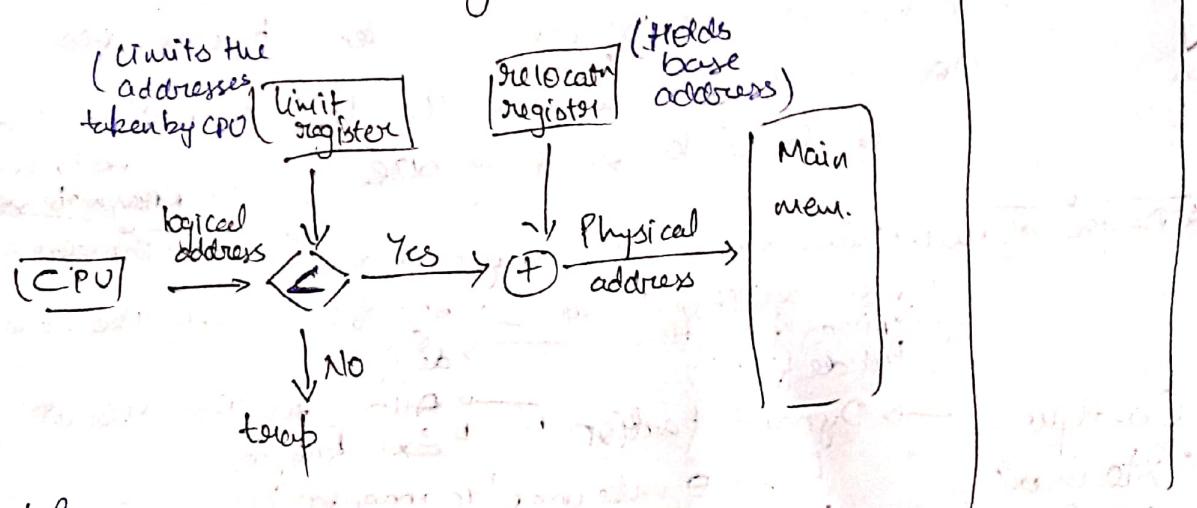
→ limit of degree of multiprogramming

Ex. frag.

→ process can't be taken down to utilize free mem.

## Address Translation

- \* CPU creates logical address for secondary memory thus we change logical address to physical address.
- \* Remember CPU interacts with main-memory only since we want fast processing, but CPU KE <sup>nli pata</sup> that instead of taking it to sec. mem we are taking it to main-memory ~~thus~~ Main mem can be read with physical address thus we convert logical address created by CPU for sec. mem to logical physical address which main-memory understands.



	LL	RR
P <sub>0</sub>	500	1200 [150] 450
P <sub>1</sub>	275	550 [x] 300
P <sub>2</sub>	212	880 [100] 210
P <sub>3</sub>	420	1400 [x] 450
P <sub>4</sub>	118	200 [200] 80

find if process legal or illegal.

Illegal addresses are when we let suppose fetch 100 bit data from sec mem to main mem. since it is contiguous. Now & lets say base address of memt data fetched is 500.

Now if CPU generates logical address 120, it will be illegal since we have fetched the seg. of data with size 100 only, so we won't be able to access (500+120) 620.

- \* External fragmentation is more freq problematic.
- + external fragmentation happens in contiguous areas allocated thus we sort to non-contiguous.

## NON-contiguous

### ①. PAGING

#### ① PAGING :-

Both memories are divided into partitions.

- Both MM & SM are divided into fragments of eq. sizes i.e. lets suppose SM divided into fragments of 1KB each then MM will also be divided into fragments of size 1KB each.

The fragments of S.M are called pages.

The fragments of M.M are called frames.

Translating L.A to P.A \* every process has its own page table.

- total entries in PageTable
- " No. of pages as base entry in PT stores if index

PT ~~has~~ as many fragments as there are in S.M

PT stores frames ki base address.

- NO external fragmentation
- Every process has different
- Internal fragmentation (DS)

-> fast

→ Instruction access time has de-

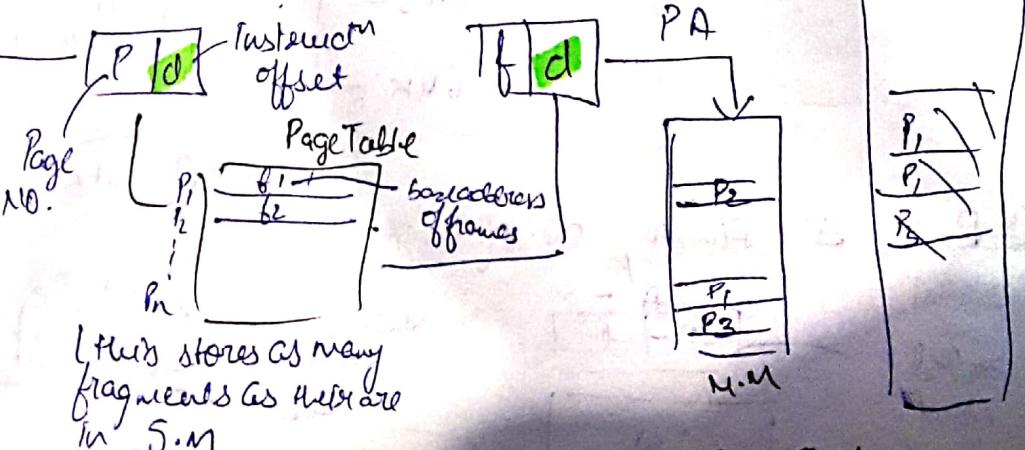
Non Conti → Since we have divided process into partitions = PAGE, before being into main Mem.

→ These Pages are created based on holes in mem, and these holes are dyn time concerned in finding them.

1) RAM/main Mem. also divided to hold Pages, these divisions of RAM = frame (Size of Page = Frame size)

No. of Pages =  $\frac{\text{Main Mem. Size}}{\text{Page Size}}$   
No. of frames =  $\frac{\text{Main Mem. Size}}{\text{Frame Size}}$   
separately.

(Page Size = Frame Size)



Page table me entries / row = No. of pages as how every entry / row will store address of that page.

Logical Add. → | Page No. | Page offset | Page offset is no. of bits req. to represent address of that page.

Phy. Add (related to Sec. Mem) the total no. of pages for 2 bytes offset - 1 for 8 byte offset = 3

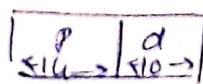
No. of locations in a page =  $1024$  ( $2^{10}$ )

Page size = frame size [ Page offset used for main mem  
frame is 16 bit used for main mem ]

∴ offset which used to tell where to get into page

No. of locations in a page =  $2^{10}$

∴ offset bit = 10 bit



← 24 →

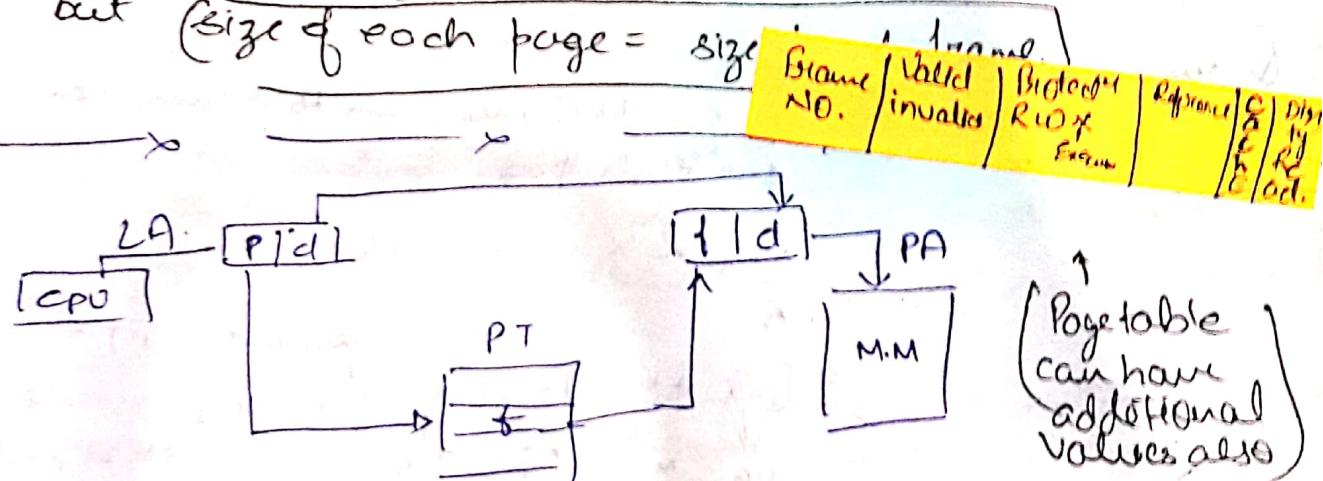


← 16 →

∴ total No. of pages =  $2^{14} = 16K = 2^4 \times 2^{10}$

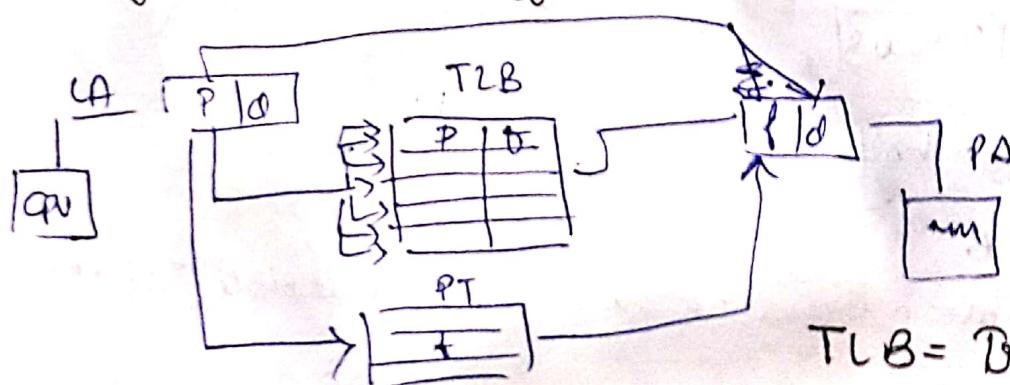
total No. of frames =  $2^6 = 64$

Note no. of frames & pages is different b/c since  
memory are diff. One sec. mem & other main mem  
but (size of each page = size of frame)



2 access to MM first for PA Page address since Page table is also in MM.  
Second for frame address

\* every process has diff. PT.



TLB = Translation Look aside Buffer

With TLB, we use the locality of reference i.e. most of the time instructions are executed in a sequential pattern, we use this prop.

thus for instead of going to PT each time for getting frame, we make a TLB which has (P, off) in it  
~~so after some time~~ (extra hardware (meta table small sized))

- \* This TLB has the seq. instructions in it to avoid cache miss it gives address of frames for a lot of segment instructions ahead in sequential manner.
- \* For every process or context switch we have to flush TLB since new frames & pages are to be stored for each process.

$\text{NM} = 400\text{us}$   $\text{Any}$  If no TLB, we have to access mem twice  
 $\text{TLB} = 50\text{us}$   
 $h = 90\%$ ,  
 $\therefore 2 \times 400\text{us} = 800\text{us}$

with TLB,

$$\text{Time} = 0.9 [50 + 400] + 0.1 [50 + 400 + 400]$$

Chances of TLB hit  $\downarrow$  TLB access time  $\downarrow$  NM access time  $\downarrow$  No hit  $\downarrow$  TLB for next time

processing PT

[Accessing final PA  
Instruction accessing

$$= 0.9 [450] + 0.1 [950]$$

$$\text{with TLB.} = \underline{[490\text{us}]}$$

As job TLB me jaenge tabhi page data ki TLB doesn't has page data.

Almost halved.

### Disadv. of TLB

- For multiple process we might need multiple TLB (expense)

\* Some part of TLB is given to OS (No process uses these) & is known as wired down entries.

Earlier when new process was called all values were flushed & TLB filled again from scratch.

but now, OS has a habit of gain access to thing for small fraction of time just to check whether they are functioning properly or not.

Q. Here when context switching OS makes the process continue it through its wired down entries and meanwhile all the entries are already present since context switch was accessed through it thus no need to flush TLB in this case.

### CONCLUSION

Paging had severe problem of speed.

To increase speed we used TLB.

TLB has additional cost

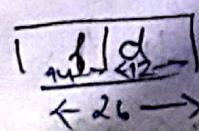
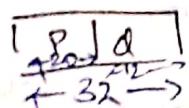
multiple context switch problematic

↳ this dealt with multiple TLB but this inc. cost

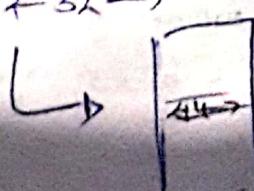
↳ or by using wired down entries (OS looks like today part).

### Multilevel Paging      PT Ka PT banane.

Q Find wastage/size of Page T.



$$\begin{aligned} Mm &= 64MB \\ LA &= 32b \\ PS &= 4KB \end{aligned}$$



each frame size = 1Mbit  
 $\approx 2B$ .

$$\begin{aligned} Mm &= 64MB \\ &= 2^6 \times 2^{20} = 2^{26} \end{aligned}$$

∴ PA has 26 bits.  
Now LA = 32b

$$\text{Page Size} = 4KB$$

$$= 2^2 \times 2^{10} B$$

1B (Size of each seg)

$$= 2^2$$

∴ 12 bits req. for offset

Now,  
Total address =  $2^{20} = 2MB$   
possible with 20 bits

$$\begin{aligned} \therefore \text{total size of PT} &= 1MB \times 2B \text{ frame} \\ &= \boxed{2MB} \end{aligned}$$

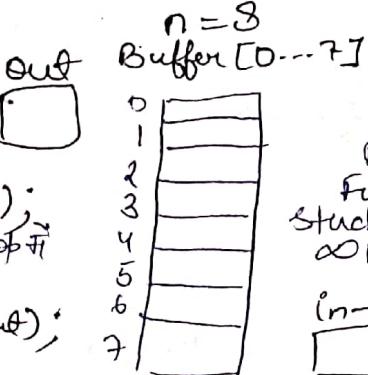
# Race condition problem

# Producer Consumer Problem [Process Synchronization Problem]

Cooperative process : Producer produces a item adds it into buffer & consumer takes item out of Buffer  
 → Buffer is being shared by both  
 count variable shared by both.

```

void consumer
{
    int itemc;
    while (true)
    {
        if (count == 0);
        // empty buffer case, no loop
        // stuck
        itemc = Buffer[out];
        out = (out + 1) % n
        count = count - 1;
    }
}
    
```



Process item (itemc);  
 ↓  
 into CPU

1. Load  $R_C, m[Count]$
2. DECR  $R_C$
3. Store  $m[Count], R_C$

Buffer Full case  
 stuck in loop.

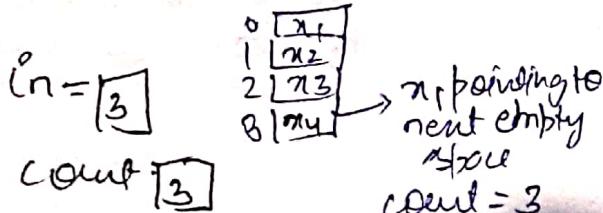
in-add increment  
 physical in buffer  
 $in = (in + 1) \% n;$   
 $count = count + 1;$

in=0 initially  
 \* in used to access buffer  
 in badha do agar we produce on item

in  
 1. load  $R_p, m[Count]$  / no reg.  
 2. INCR  $R_p$ ; - inc.  $R_p$  value by 1  
 3. Store  $m[Count], R_p$  - store back  
 $R_p$ 's value into count

out in consumer is the variable that points to the item that will be taken out of buffer.

e.g. if we add item  $x_1$  into buffer, we keep increasing  $in$ .  
 Let's suppose 3 items pushed in =  $\boxed{3}$  (i.e. index of next free).  
 Now for consumer we start using space in buffer.  
 These items initially 0<sup>th</sup> index buffer used;  $out = 0$  after taking out 1<sup>st</sup> index out inc & points to next item 1<sup>st</sup> index & 11<sup>th</sup> by



Now being 4th item  
 Buffer not full → proceed →  $x_4$  comes to 3rd index →  $in$  inc. → Now  $in$  =  $count + 1$ , CPU preempted after 2<sup>nd</sup> process that is  $R_p$  reg. /  $R_p$  value 4, but not loaded back in.

Now consumer,  $\Rightarrow$  Not empty  $\Rightarrow$  Buffer item at  $out = 0$   $x_1$ , but  $in = 2$  before 3<sup>rd</sup> last. i.e. it is preempted.

On resuming p. 3<sup>rd</sup> exec;  $\therefore R_p = 4$  &  $count = 4$  Now this process ends back to cons. 3<sup>rd</sup> exec.  $\therefore R_C = 3 - 1 = 2 = count$  Now this process ends &  $count = 2$  which is 10

Producer  $\rightarrow P_1 \rightarrow I_2 \rightarrow$  Consumer  $\rightarrow I_1 \rightarrow I_2 \rightarrow$  Producer  $I_3$   
~~Consumer~~  $\downarrow$   
~~Consumer~~  $\rightarrow$  Consumer  $I_3$

# # Printer Spooler problem of Synchronization

- \* IN is ~~also~~ shared variable  
it tells copy pos. in spooler

Process to print, this code been

1. Load  $R_i$ ,  $m[in]$

2. Store  $SD[R_i]$ , "F-N"  
(filename stored in spoolerdir. of  $R_i^{in}$  pos.)

3. PNCR  $R_i$

4. Store  $m[en], R_i$

when 2 process  $P_1$  &  $P_2$  come, ~~2nd~~ Kitne bhi process ac at a time single executed. both will seen.

the same code, sharing the same variable  $IN$ .  
assuming file already exist  $f_1=0$ ,  $f_2=1$ ,  $f_3=2$



$R_2 [3] 4$

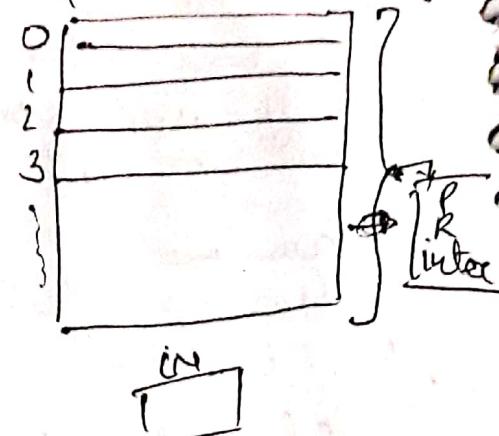
$P_1 P_2 I_3 | P_2 P_1 I_2 I_3 I_4 | P_i(I_u)$ .

$I_2 SD[3]$  pre empted stored for &  $R_i$  inc. to 4

$R_i = 4$ , till now

$P_1$  ke lie  $I_1$ ,  $P_2$  ke lie  $R_2$

## Spooler Directory



in stores next  
copy pos in spooler  
dir rec



this stores in [3] as  $R_1$   
 $I_2$  as "4"  
 $IN = 4$

\* Here  $P_1$  wanted to write  $f_4$  at 3rd SD but due to no-synchronization  $P_2$  stores  $fs$  & finally  $f_4$  lost.

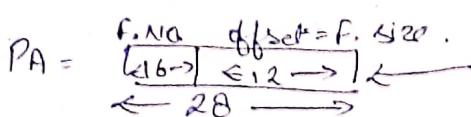
## 2 Level Paging Using Eg

We needed multi-level Paging as when PageTable > main memory frame size.  
i.e. Page fit nahi Hoga frame me. ∴ we do multi-level Paging.

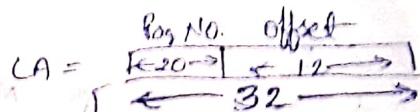
Phy-add space = 256MB this is for Phy add. =  $2^8 \times 2^{20}$   
Logical Address Space = 4GB Logical Add. =  $2^2 \times 2^{30}$

Because size = 4KB =  $2^2 \times 2^{10}$

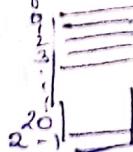
Page entry table = 2 B.



D.



∴ Page table must have  $2^{20}$  entries



Now each process of 4KB ∴ Total frames from PA =  $2^{16}$

Since  $2^{16}$  frames present ∴ we need 16 bit to represent each frame address ∵ frame address stored in Page table

Also already given PageTable entry size = 2 Byte = 16 Bit.

$$PT\text{-Size} = 2^{20} \times 2B = 2MB$$

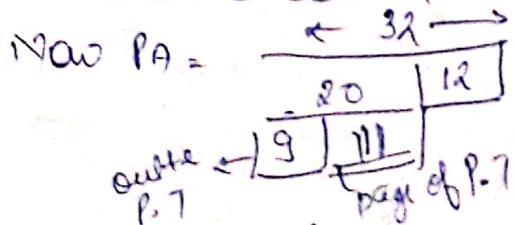
Now this PT has to be inserted in main memo frame  
∴ we need to further divide it.

$$\text{No. of division} = \frac{\text{Total Size}}{\frac{\text{Size of each page allowed}}{\text{Size of frame}}} = \frac{2^{20} \times 2B}{2^2 \times 2^{10} \times 2} = 2^3$$

∴ we make a further outer PT with  $2^3$  entries

each entry of O.TPT = 2B ∴ Size of O.TPT =  $2^3 \times 2 = 1KB < 4KB$

∴ we can put this page table into frames now.



→ Every Process has its Page table

→ Page table are kept in main mem. i.e. in frame

\*N

- \* In normal paging, page table was also stored in main mem. frame, which consumed crucial main mem. data to overcome this INVERTED Paging introduced.

→ In inverted Paging we make a global page table, maintained by OS.

CPU → [PId]

frame NO.	Page NO.	Process Id.
0	P0	P1
1	P1	P2
2	P0	P2
3	P1	P1
4	P0	P2

Here indexes show frame NO.  
and ~~the~~ table has  
Page NO's & Process Ids.

Page table of P1

0	f1
1	
2	

Page Tab of P2

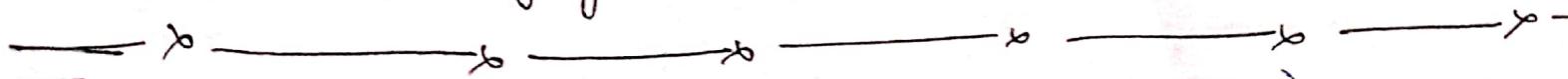
0	
1	
2	f2

Normal Page table had.  
Page NO. in index & inside  
table frame NO.

$$( \text{No. of entries} = \text{No. of frames} )$$

\* In inverted Page table ⇒ Page NO. & Process Id are both stored as ~~entry~~ every process has its page table ∴ there will be multiple ~~entry~~ values as each process can have 0<sup>th</sup> Page, 1<sup>st</sup> Page. Now to further classify what process is to be contacted Process Id is used.

\* Due to inverted Paging now time has increased.



THRASHING  $\rightarrow$  Process ke ek do page hi Main Mem ft late, to inc. degree of multi programming.

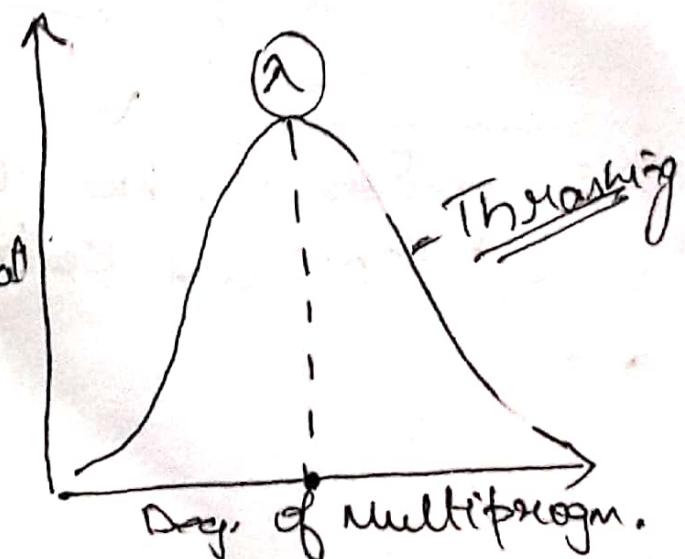
Page fault  $\rightarrow$  When CPU asks for a process and that process is not in Main mem.  
Page fault happens.  
 $\rightarrow$  It takes large amount of time to solve this problem.

$\Rightarrow$  To inc multiprog.  $\rightarrow$  Process ke few pages brought to M.M.  
Now when CPU asks for a page of a process that isn't in M.M  
Page fault occurs

- when we bring pages in ~~Main~~ M.Mem
- CPU utilization inc but after particular value suddenly all process will give Pagefault  $\rightarrow$  CPU utilization & CPU utilization will dec. drastically

Solut<sup>n</sup> (1) Inc. M.Mem. size

(2) Long term Scheduler.  $\rightarrow$  slow on 2nd diff  
(Process in M.Mem fi off in diff) less Process in M.Mem.



## SEGMENTATION

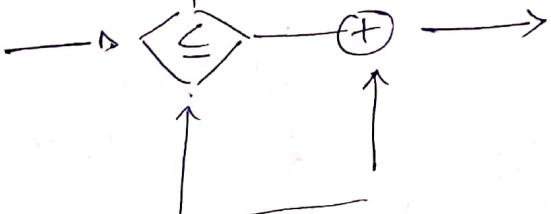
Here we divide process code depending on user's point of view. Like add function ka ek seg, sqrt ka ek seg.

- Note since segments done depending on user code.  
 $\therefore$  Segment size is not fixed.

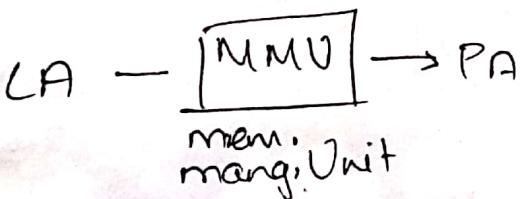
Seg No	Base Add.	Size
0	3300	200
1	1800	400
2	2700	600
3	2300	400
4	2200	100
5	1600	300

Base add. is start of that seg.  
Size is size of segment.

Total  
No



CPU generates LA



\* In segmentation related data kept together,  $\oplus$

$\xrightarrow{\oplus}$   $\xrightarrow{\oplus}$   $\xrightarrow{\oplus}$

# OVERLAY. ~~but~~ this ~~is~~ is used to

when previous size  $>$  size of partition in memory we can't put it into

OS	
1500	S2
1800	S1
2200	S4
2300	S3
2700	S2
3300	S6
3600	Mm

Eg we

10001	100000
-------	--------

(Ind seg. no.)  $\downarrow$  Seg size @.

we go to 1st index

& get base 1800 now.

d & amount  $1800 + 400$  after 1800

$$\therefore 1800 + 400 = 2200$$

take read direct

Also if  $d \geq 400$  then nahi kar sake as that seg is of only 400 thus not possible.

kept together,  $\rightarrow$

1800

$\times$

$\times$

$$\therefore 1800 \cdot 400 = 2200$$

take mod. 400

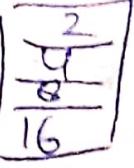
## # OVERLAY

at this it is used to  
but

also if  $a > 400$  then  
nali kar pale as that  
seg size of only 400 thus so  
not possible.

- when process size  $>$  size of partition in MM, ~~then~~ normally we can't put it into MM, but using overlay we can.

→ Used in ~~fixed~~ where process size  $>$  memory Karna. partition.

Eg  to bring a process of size  $> 16$  we will use Overlay

★ In overlay we divide the process, 3/2 of Part job  
chain tab take taken out and next part taken in

### Problem

↳ OS has no driver to decide which part of process should be brought in first. user divides it thus at time  $2^{\text{nd}}$  process brought before 1<sup>st</sup> then error occurs

→ Overlay used in embedded Sys. ~~with fixed functionalit~~  
not in PC as parts of process should be indep. also that is ek doosre pe dependent nahi

eg - washing machine

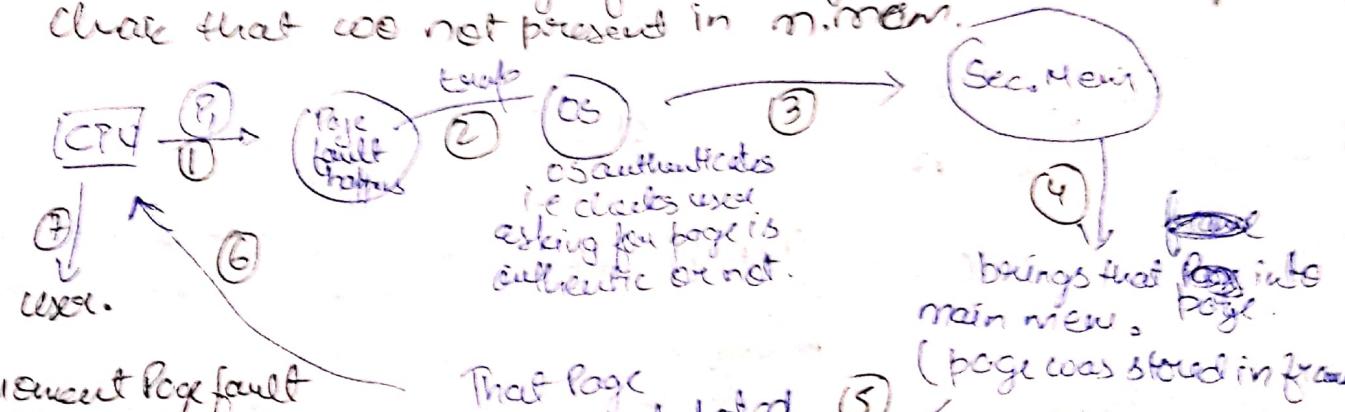
Virtual Mem. (larger process)  $\rightarrow$  M.size  
 off fed created b/c  
 it can be executed).

some are being only required  
 pages

we being seq. pages and along with it some extra sequential  
 pages brought into m.mem.

→ This keeps happening i.e. pages come off m.mem  
 if once those are more they out of m.mem  
 using RollIn/ SwapIn & RollOut/  
 SwapOut.

\* In virtual mem. Page fault may happen, i.e. off Page  
 check that are not present in m.mem.



\* Incorrect Page fault happens, therefore  
 control to OS.  
 after solving this error  
 control goes back to user

That page  
 address updated  
 (in page table)  
 that xyz page  
 present at xyz frame no.

$$\text{Effective Access time} = p \left( \frac{\text{Page fault service time}}{\text{No. of times page fault happens}} \right) + (1-p) (\text{access time})$$

(Ma). ns

## (Size fixed small) TLB (Translation Look aside Buffer)

In PT, ~~PT~~ PT is stored in m.m & page also stored in m.m

∴ Pehle  $n$  time to fetch PT from M.M then  $n$  time to fetch the page from a frame and in multiple Paging this  $n$  will multiply a lot of times.

→ To avoid this time, we use cache mem. (Cache/TLB faster than RAM)

\* In TLB we bring few pages sequentially in it

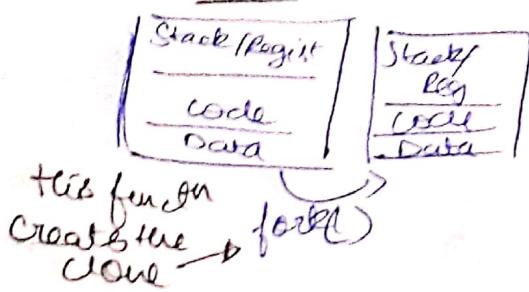
∴ first we look in TLB if not found then we use PT from M.M.

$$\therefore \text{EMAT} = \text{TLB hit} + \text{TLB miss}$$

to bring PT from M.M

to access page from frame in m.m.

## Process



→ SubKuch copied &  
it's created using  
fork() sys. call

- Sys. Calls involved in Process
- OS treats diff process diff.
- Diff. process have diff copies of data, file etc.
- $N \times \text{fork}()$   $\Rightarrow 2^N$  clones
- Context switching is slower
- Blocking a process will not block another process  
(Block == I/O req. or no more CPU req.)
- Independent

## User Level Thread

→ Application ki respons to create user level thread.

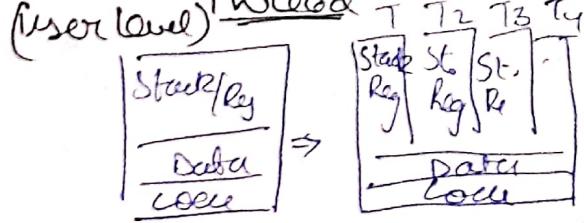
# These are managed by user level libraries

⇒ Faster

⇒ Context switching faster

⇒ If one user level thread performs blocking operation then entire

## (User Level) Thread



→ Here same data & code used but each thread has its own Stack & register

2 types of threads user level & Kernel level  
Here user level discussed.

- There is no sys call.
- All user level threads treated as single level for OS.
- Thread shares same copy of code and data
- Context switching faster
- Blocking a thread will block entire process.
- Interdependent

## Kernel level Thread

→ Kernel level threads are managed by OS (Sys. Calls)

⇒ Slower than user level.

⇒ Context switching is slower

⇒ If one kernel thread blocked, No effect on

## User Level Thread

→ Application Ki Resp to create user level thread.

⇒ These are managed by User level libraries

⇒ Faster

⇒ Context switching faster

⇒ If one user level thread performs blocking operation then entire process gets blocked.

## Kernel Level Thread

→ Kernel level threads are managed by OS (Sys. Calls)

→ Slower than user level.

⇒ Context Switching is slower.

⇒ If one Kernel Thread blocked, No effect on others.

Process > KLT > ULT

# Sys. Calls (CT)

to access any OS functionality we must shift to Kernel mode

We use sys. calls to do this. Eg when we want to access file in Harddisk, to access Harddisk we must go into Kernel mode

\* We can directly use sys. call or we can use an APC to do this sys. call eg `&printf()` will ~~use~~ sys call to access monitor

## Eg Brief

Running Process = Program in running mode

No process wants to use a file, it invokes sys. call as only kernel can access file Process  $\xrightarrow{\text{sys. call}}$  Kernel.

- File related sys. call Eg: open(), read(), write etc.
- Device related sys  $\Rightarrow$  ~~Read~~ Control, read, write.  
(to access Hardwares privilege given by OS)
- Information related sys  $\Rightarrow$  get Pid, get sys time & date
- Process Control sys calls = fork, wait, signal.
- Communication  $\Rightarrow$  pipe(), shmget (shared mem).

Fork()  $\leftarrow$   
0 child process  
+1 process itself  
-1 child process not created.

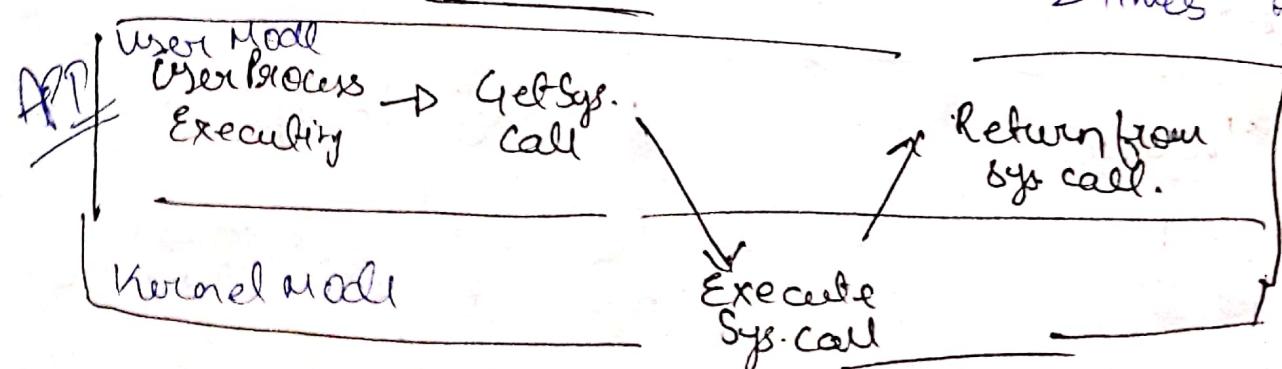
$(N \text{ fork creates} = 2^N + \text{child Process.})$

```
main(){  
    fork();  
    printf("Hello");
```

↓  
Process  
fork  
child 1  
both run  
parent  
hello.  
parent  
hello.

2 times Hello printed.

## User Mode Vs Kernel Mode



## PAGE Replacement Algo's (these help in reducing page faults also.)

- In virtual memory swap in & swap out done using these algo's:-

### ① FIFO

Frame wise  
FIFO pattern  
to replace the first filled frame with reqd. page

### ② Optimal Page Replacement

Replace page which is not used in largest dimension of time in future

### ③ Least Recently Used

Past में सबसे कम

कॉल्टरी Filled हो गए

उसे Replace करो.

### \* Belady's Anomaly

LD No. of frames badhae के नो. of faults (page faults) also inc. although expected EIT फ्रेम में more space होने से since more pages brought in main mem. more hits should happen & page faults should dec. but this didn't happen.

## # Optimal Page Replacement

जिसी जाति की pages के सबसे last में कॉल्टरी page हो जाएगी

Like	f <sub>1</sub>	2
current	f <sub>2</sub>	1
	f <sub>3</sub>	0
	f <sub>4</sub>	7

pg Study = 7 0 1 2 0 3, 0, 1, 4, 2, 3, 0, 3, 2, 1, 7, 0, 1

Here out of all pages in curri future frames 7 page will be used in most distant future thus replace it

## # LRU Least Recently Used

in above e.g. 7 0 1 2 0 3 0 4 2 -- , 7

2, 1, 0, 7 in frame out of these past

7 page was filled in frame Sabse kehle ?, if will be replaced

# MSR (Most Recently Used) GT Page just abhi use hua 321

MO

Taega

Paddha

All the best

Best of luck