

## CS201c Quiz 1 solutions

### Question 1.

First note that,  $\sin(\pi/2)=1$ ,  $\sin((3\pi)/2)=-1$ , and  $\sin(2\pi+x)=\sin(x)$ .

$f(1)=f(3)=f(5)=\dots=0$ . Thus,  $f(2k+1)=0$  for all  $k\geq 0$ .

$f(2)=2\cdot 2^2$ ,  $f(4)=2\cdot 4^2$ ,  $f(6)=2\cdot 6^2$ , .... Thus,  $f(2k)=2\cdot (2k)^2$  for all  $k\geq 1$ .

*First proof:*

(a) No.

Assume  $g(n) = O(f(n))$ .

$\Rightarrow$  There exists a constant  $c>0$ , such that  $g(n) \leq c\cdot f(n)$  for all  $n=1,2,3,\dots$

$\Rightarrow g(1) \leq c\cdot f(1)$

$\Rightarrow 1 \leq 0$  (a contradiction)

(b) Yes.

For  $k\geq 0$ :

$$f(2k+1) = 0 < 2\cdot (2k+1)^2 = 2\cdot g(2k+1)$$

For  $k\geq 1$ :

$$f(2k) = 2\cdot (2k)^2 = 2\cdot g(2k)$$

Thus,  $f(n) \leq 2\cdot g(n)$  for all  $n=1,2,3,\dots$

Hence,  $f(n)=O(g(n))$ .

*Second proof.*

Consider the set  $S=\{f(n)/g(n) \mid n=1,2,3,\dots\}$

For  $n$  odd,  $f(n)=0$  and  $g(n)=n^2$ , and hence  $f(n)/g(n)=0$ .

For  $n$  even,  $f(n)=2n^2$  and  $g(n)=n^2$ , and hence  $f(n)/g(n)=2$ .

Thus,  $f(n)/g(n)$  for  $n=1,2,3,\dots$  is the sequence  $0,2,0,2,0,2,\dots$

Thus,  $\text{l.u.b}(S)=2$  and  $\text{g.l.b}(S)=0$ .

Thus,  $f(n)=O(g(n))$ , but  $g(n)$  is not  $O(f(n))$ .

## **Question 2.**

Let  $M(h)$  denote the minimum possible length of a root-to-leaf path among all AVL trees of height exactly  $h$ .

Our inductive hypothesis (for  $h'=0,1,\dots$ ) is the following:

$P(h')$ :  $M(h) \geq \text{floor}(h/2)$  for all  $h \leq h'$ .

Base case:

$P(0)$  is true. The only AVL tree of height  $h=0$  consists of a single node, and in this case  $M(h) = 0 = \text{floor}(h/2)$ .

$P(1)$  is true. Further, there are exactly two AVL trees of height  $h=1$ . For both of them,  $M(h)=1 > \text{floor}(h/2)$ .

Suppose  $P(h')$  is true for some  $h' \geq 1$ .

We now derive  $P(h'+1)$ . Let  $T$  be an AVL-tree of height exactly  $(h'+1)$ . Let  $T_1$  and  $T_2$  denote the left and right subtrees of the root of  $T$ . Let  $h_1$  and  $h_2$  be their respective heights. By property of AVL tree (balance factor at root is  $-1, 0$ , or  $+1$ ), we get that:

$$\begin{aligned}\min(h_1, h_2) &\geq (h'-1) \\ \max(h_1, h_2) &= h'\end{aligned}$$

Thus, since  $P(h')$  is true:

- Any root-to-leaf path in  $T_1$  has length at least  $\text{floor}((h_1)/2)$ , which is at least  $\text{floor}((h'-1)/2)$ . [ $\text{floor}(x)$  is a non-decreasing function of  $x$ .]
- Similarly, any root-to-leaf path in  $T_2$  has length at least  $\text{floor}((h_2)/2)$ , which is at least  $\text{floor}((h'-1)/2)$ .

Therefore, any root to leaf path in  $T$  has length at least:

$$\begin{aligned}1 + \text{floor}((h'-1)/2) &= \text{floor}((h'-1)/2 + 1) \quad (\text{Using the equality, } \text{floor}(x)+1 = \text{floor}(x+1)) \\ &= \text{floor}((h'+1)/2).\end{aligned}$$

Since  $T$  was arbitrary, we obtain that  $M(h'+1) \geq \text{floor}((h'+1)/2)$ . Hence proved.

### Question 3.

Level	Number of keys in each node at this level	Number of nodes at this level	Total number of keys at this level
0	2	1	2
1	1	3	3
2	2	3.2	3.2 <sup>2</sup>
3	1	3 <sup>2</sup> .2	3 <sup>2</sup> .2
4	2	3 <sup>2</sup> .2 <sup>2</sup>	3 <sup>2</sup> .2 <sup>3</sup>
5	1	3 <sup>3</sup> .2 <sup>2</sup>	3 <sup>3</sup> .2 <sup>2</sup>

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Levels 0,2,...,2h ----> level 2k, 0<=k<=h.

Levels 1,3,5,...,2h-1 ----> level 2k+1, 0<=k<=h-1

Total number of keys

$$\begin{aligned} &= \sum_{k=0}^h [ 3^k.2^{k+1} ] + \sum_{k=0}^{h-1} [ 3^{k+1}.2^k ] \\ &= 2 \cdot \sum_{k=0}^h 6^k + 3 \cdot \sum_{k=0}^{h-1} 6^k \\ &= 2.6^h + 5 \cdot \sum_{k=0}^{h-1} 6^k \\ &= 2.6^h + 5 \cdot (6^h - 1)/(6 - 1) \quad (\text{By formula for sum of geometric series}) \\ &= 2.6^h + 6^h - 1 \\ &= 3.6^h - 1 \end{aligned}$$