



Date: DD / MM / YYYY

Place: \_\_\_\_\_

1. Name of Entity: \_\_\_\_\_

2. Customer ID (Applicable for existing customer): \_\_\_\_\_

3. a) Country of incorporation: \_\_\_\_\_

b) Place/City of incorporation: \_\_\_\_\_

c) Date of commencement of business : \_\_ / \_\_ / \_\_\_\_\_

4. a) Is the entity a tax resident of any country/ies outside India ☐ Yes ☐ No  
(If Yes, please fill Annexure 1)b) Is the entity incorporated or organized in the United States (including a Trust, if the trustee is a U.S. citizen or resident) ☐ Yes ☐ No (If Yes, please fill Annexure 1)**5. Questions relevant for entity FATCA and CRS classification(Please consult your professional tax advisor for further guidance on tax residency and FATCA & CRS classification)**

a. Is the entity a Governmental entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the above mentioned entity types

☐ Yes ☐ No (If yes, FATCA-CRS TERMS & CONDITIONS / declaration below will be applicable; If No, go to next question)b. Is the entity a Financial Institution<sup>1</sup> (FI) OR a Direct Reporting NFE ☐ Yes ☐ No (If Yes, please fill Annexure 1; If No, please go to next question)

c. Is the entity a publicly traded corporation/ a related entity of a publicly traded corporation

i. Publicly traded corporation ☐ Yes ☐ No (If Yes, please specify any one stock exchange upon which the stock is regularly traded)

Name of the stock exchange \_\_\_\_\_

ii. Related entity of a publicly traded corporation ☐ Yes ☐ No (If Yes, please provide below details):

Name of the listed company, the stock of which is regularly traded \_\_\_\_\_

Name of the stock exchange \_\_\_\_\_

Nature of relation: ☐ Subsidiary of the listed company ☐ Controlled by a listed company or under common control

(If answer to Q.5(c)(i) or Q.5(c)(ii) above is Yes, please sign the declaration below)

d. Does the entity have any ultimate beneficial owners (incl. controlling persons) who are tax residents (incl. U.S. citizens/green card holders) of countries other than India

☐ Yes ☐ No (If yes, please fill Annexure 1)**Note:** If the entity is not listed in any of the stock exchange, then please fill Authorized Signatory / Beneficial Owner Update Form mandatorily.<sup>1</sup> Including a Foreign Financial Institution**FATCA-CRS TERMS & CONDITIONS**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any **change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days**. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. **If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.**

**Certification**

Under penalty of perjury, I/we certify that:

I/We understand that HDFC Bank is relying on this information for the purpose of determining the status of the account holder named above in compliance with FATCA/CRS. HDFC Bank is not able to offer any tax advice on FATCA or CRS or its impact on the account holder. I/we shall seek advice from professional tax advisor for any tax questions.

I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

I/We agree that as may be required by domestic regulators/tax authorities, HDFC Bank may also be required to report, reportable details to CBDT or other authorities/agencies or close or suspend my account, as appropriate.

I/We have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and definitions in Part C to this Form) and hereby confirm that the information provided by me/us on this Form including the taxpayer identification number is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA Terms and Conditions below and hereby accept the same.

**Customer Signatures as per Mode of operation in the Account**

I/We confirm that all the details mentioned in consolidated annexure for opening the account are correct.

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Name: \_\_\_\_\_

# ANNEXURE – 1

(THIS ANNEXURE IS IN CONTINUATION TO THE “EXTENDED DECLARATION FOR ENTITIES” AND IS REQUIRED TO BE FILLED BASED ON RESPONSES IN THE MAIN FORM)

## PART A: Details required from all customers filling Annexure

6. a) Identification Number (please provide any one) ([Mandatory])

Select ID Type ☐ CIN ☐ Global Entity Identification No. ☐ TIN ☐ Other \_\_\_\_\_

Provide the ID Number for above \_\_\_\_\_

b) Identification Number issuing country \_\_\_\_\_

ADDRESS & CONTACT DETAILS (Mandatory)

7. Address for tax purpose: ☐ Same as registered Address ☐ Same as mailing Address

8. Address type for the above: ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

## PART B: To be filled as applicable

9. Details of foreign tax residency and associated TIN (please fill if answer to Q.4(a) or Q.4(b) is YES):

Country/(ies) of tax residency	Tax Identification Number <sup>6</sup>	Identification Type (TIN or Other <sup>6</sup> , please specify)

% In case Tax Identification Number is not available, kindly provide functional equivalent<sup>2</sup>

10. Is the entity a specified U.S. Person (please fill if answer to Q.4(b) is YES) ☐ Yes ☐ No (If No, please mention entity's exemption code<sup>3</sup>: \_\_\_\_\_)

11. Entity FATCA classification and other details (Mandatory):

### 11(A) - (to be filled by Financial Institutions or Direct Reporting NFEs)\* - please fill if answer to Q.5(b) is YES

1.	<p>We are a:</p> <p><input type="checkbox"/> Financial institution<sup>4</sup></p> <p>OR</p> <p><input type="checkbox"/> Direct reporting NFE<sup>5</sup> (please tick as appropriate)</p> <p>*If the entity is a Financial Institution and located outside India, please fill 9(B)</p>	<p>GIIN: _____</p> <p>Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below: Name of sponsoring entity: _____</p>	<p>GIIN not available (please tick as applicable):</p> <p>Following options available only for Financial Institutions:</p> <p><input type="checkbox"/> <b>Applied for</b></p> <p><input type="checkbox"/> <b>Not required to apply for</b> (Please specify sub-category<sup>6</sup> _____)</p> <p><input type="checkbox"/> <b>Not obtained</b></p>
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<sup>2</sup>It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

<sup>3</sup>Refer Part C, 3 (viii) of

<sup>4</sup>Refer 1(i), 1(ii) & 1(iv) of Part C

<sup>5</sup>Refer 3(vii) of Part C

<sup>6</sup>Refer 1A. of Part C (Please check above reference in FATCA-CRS Definition for Non-Individual Entities available on Form Center-HDFC Bank)

### 11(B) - (to be filled by Financial Institution that is a tax resident outside India) (Mandatory)

1.	Whether the Financial Institution is located in a CRS jurisdiction? <input type="checkbox"/> Yes <input type="checkbox"/> No (Please refer to the list of signatories to CRS given in the following link <a href="http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/">http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/</a> ) (If Yes, Q 2 and Q3 are not required. If No, please go to Qs. 2)
2.	Whether FI is an 'Investment Entity'? <input type="checkbox"/> Yes <input type="checkbox"/> No (Please refer definition 1(iii) of Part C of the FATCA-CRS declaration) (If yes, please go to Qs. 3)
3.	The entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity and the gross income of the entity is primarily attributable <sup>7</sup> to investing, reinvesting, or trading in financial assets. <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please additionally fill <b>Annexure 2</b> )

### 11(C) - (please fill ANY ONE as appropriate; to be filled by NFEs other than Direct Reporting NFEs) - please fill if answer to Q.5(d) is YES

<b>C1</b>	Is the Entity an active NFE <sup>8</sup>	<input type="checkbox"/> Yes <input type="checkbox"/> No Please specify the sub-category of Active NFE: _____ (Mention code – refer 2c of FATCA-CRS Definition for Non-Individual Entities available on Form Centre.
<b>C2</b>	Is the Entity a passive NFE <sup>9</sup> (if Yes, please fill <b>Annexure 2</b> )	<input type="checkbox"/> Yes <input type="checkbox"/> No

<sup>7</sup>Entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

(i) the three-year period ending on 31 March of the year preceding the year in which the determination is made; or

(ii) the period during which the entity has been in existence.

<sup>8</sup>Refer 2c of Part C

<sup>9</sup>Refer 3(ii) of Part C

(Please check above reference in FATCA-CRS Definition for Non-Individual Entities available on Form Center-HDFC Bank)

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## FATCA DECLARATION

Part A	Yes	No	Part B												
a). Are you citizen of any country other than India (dual/multiple) [Including Green Card]	<input type="checkbox"/>	<input type="checkbox"/>	Country of Birth: _____ Place/City of Birth: <b>(Mandatory for all. Even all answers are "No" in part A)</b> <table border="1"> <thead> <tr> <th>*Country of Tax Residency</th> <th>Tax Identification Number</th> <th>Tax Identification Document Type (TIN or Functional Equivalent)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table> 'It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers'	*Country of Tax Residency	Tax Identification Number	Tax Identification Document Type (TIN or Functional Equivalent)									
*Country of Tax Residency	Tax Identification Number	Tax Identification Document Type (TIN or Functional Equivalent)													
b). Is your country of birth, any other country other than India	<input type="checkbox"/>	<input type="checkbox"/>													
c). Are you Tax resident of ANY country/ies other than India	<input type="checkbox"/>	<input type="checkbox"/>													
d). Do you have POA or a mandate holder who has an address outside India	<input type="checkbox"/>	<input type="checkbox"/>													
e). Is your Address or telephone number outside India	<input type="checkbox"/>	<input type="checkbox"/>													
<b>If your answer to any of the above question is 'YES', please fill Part B</b>															

I/We hereby declare that, other than those declared above, thereis/ are no other beneficial owners holding \*\*Controlling ownership in the captioned Company/LLP/Firm/AoP/Bol/Trust/Society above the prescribed threshold limit.  
I/We hereby confirm that the information provided herein is accurate, complete and updated and we agree/ undertake to inform the changes, if any, to HDFC Bank within 30 days of such change.

## DECLARATION RELATED TO FATCA

**Certification:** I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided /available in the bank records will be used for CBDT reporting / Central KYC Registry. I hereby consent to receiving information from Central KYC Registry through SMS / Email.  
CBDT Terms and Conditions - The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.  
CBDT Instructions - If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.  
\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be nontax resident in the respective country, customer to provide relevant Curing Documents as mentioned below

## DEBIT CARD REQUEST (APPLICABLE ONLY IF RELATED PERSON IS AUS)

<input type="checkbox"/> New Card Request		Your Debit Card is activated for Domestic Usage (POS & ATM). Please login into NetBanking or IVR for Activating International / Domestic / Ecom & Contactless. If Other mention Card Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> To be filled by Bank Staff Only				
<table border="1"> <tr> <th>ATM Card</th> <th>Debit Card (Charges Applicable)</th> </tr> <tr> <td> <input type="checkbox"/> </td> <td> <input type="checkbox"/> Regular <input type="checkbox"/> Platinum <input type="checkbox"/> Others </td> </tr> </table>	ATM Card	Debit Card (Charges Applicable)	<input type="checkbox"/>	<input type="checkbox"/> Regular <input type="checkbox"/> Platinum <input type="checkbox"/> Others		
ATM Card	Debit Card (Charges Applicable)					
<input type="checkbox"/>	<input type="checkbox"/> Regular <input type="checkbox"/> Platinum <input type="checkbox"/> Others					

In terms of Reserve Bank of India (RBI) Directives vide RBI Circular having reference no. RBI/2017- 18/DBR No.Leg.BC.78/09.07.005/2017-18 dated July 6, 2017, the customer unconditionally agrees and gives consent that the Bank reserves the right to issue an ATM Card instead of a Debit Card to a customer, in case if the customer's mobile number is not registered / not available or present in the Bank records at any given point of time. The customer further unconditionally agrees and gives consent that the Bank can issue such ATM card during on-boarding / renewal / reissuance / upgrade stage, as may be applicable, if mobile number is not provided. Alternatively, Bank also reserve the right to replace customer's existing Debit Card with an ATM Card, if mobile number is not updated in the Bank's record to comply with RBI directives as mentioned above.

## APPLICATION FOR NETBANKING FINANCIAL RIGHTS (APPLICABLE ONLY IF RELATED PERSON IS AUS)

**Net Banking Access required:** ☐ Yes ☐ No (Valid Email ID and Mobile number is mandatory for Netbanking Access)  
**Financial Rights:** ☐ Yes ☐ No **Third Party Transfer Rights:** ☐ Yes ☐ No **TPT Limits (in Lakhs):** ☐ 5 Lakhs ☐ 10 Lakhs ☐ 25 Lakhs ☐ 50 Lakhs  
**Declaration:** 1) The Default TPT limit is Rs. 2 Lakhs. I understand and agree that the TPT limit is a daily limit and will be applicable to all TPT transactions. 2) I am also aware that the limit would be applicable to all accounts under my customer ID. 3) I agree that I will register for SecureAccess within a period of 30 days and (if not done) HDFC Bank may disable my TPT rights, at its sole discretion. 4) I also agree to all SecureAccess Terms and Conditions. 5) I request the Bank to update the above mentioned contact details (i.e. Email Id and mobile number) on its system. 6) I understand that Third Party Funds Transfer includes the following services: • Third Party Funds Transfer • Real Time Gross Settlement (RTGS) • National Electronic Funds Transfer (NEFT) • Third Party Demand Draft • IMPS • Any other services that comes under Third Party Transfer ambit/ services that the Bank decide to introduce under TPT ambit. 7) I have read and understood the Terms and Conditions applicable relating to opening of an account and various services including but not limited to Third Party Funds Transfer through NetBanking. I accept and agree to be bound by the said Terms and Conditions. 8) I agree that the Bank may debit my account(s) for service charges as applicable from time to time. 9) The internet is susceptible to a large number of frauds and misuse. While the Bank aims at providing utmost security, I shall not hold the Bank responsible for any lapse in the same or compromise at my end. 10) I hereby acknowledge that I am utilizing this Limit at my own risk. I am aware that I can reduce the limit up to Rs. 10,000 online myself. 11) All TPT requests submitted or sent to the bank need to be accompanied with self attested copy of photo ID proof and original of photo ID proof to be presented to bank staff for verification of the self attested copy

## NON DBT CONSENT FOR AADHAR

**Aadhaar:** I/We hereby submit voluntarily at my/our own discretion, the physical copy of Aadhaar card/physical e-Aadhaar / masked Aadhaar / offline electronic Aadhaar xml as issued by UIDAI (Aadhaar), to HDFC Bank for the purpose of establishing my/our identity / address proof and voluntarily give my/our consent to open account / process instructions for the said purpose with HDFC Bank in my/our name/s individual capacity/ies using my/our Aadhaar or as an authorized signatory in non-individual accounts and; hereby consent to HDFC Bank for verification of my/our Aadhaar to establish its genuineness through Quick Response (QR) code embedded in the Aadhaar card or through such other acceptable manner as per UIDAI or under any Act or law from time to time. The consent and purpose of collecting Aadhaar has been explained to me/us in local language. HDFC Bank has informed me/us that my/our Aadhaar submitted to the bank herewith shall not be used for any purpose other than mentioned above, or as per requirements of law. HDFC Bank has informed me/us that this consent and my/our Aadhaar will be stored along with my/our account details within the bank. I/We hereby declare that all the information voluntarily furnished by me/us is true, correct and complete. I/We will not hold HDFC Bank or any of its officials responsible in case of any incorrect information provided by me/us.

## CKYC CONSENT and Customer DECLARATION

- I request the bank to download the KYC documents from CKYCR (Central KYC Registry) based on the CKYC number mentioned on Page No. 01. I am aware that if the details as mentioned on Account Opening Form do not match with the documents downloaded, bank has right to reject the account opening basis CKYCR documents.  
- I/We hereby declare that, other than those declared above, there is/ are no other beneficial owners holding \*\*Controlling ownership in the captioned Company/LLP/Firm/AoP/Bol/Trust/Society above the prescribed threshold limit and I/We hereby confirm that the information provided herein is accurate, complete and updated and we agree/ undertake to inform the changes, if any, to HDFC Bank within 30 days of such change.

Name of the Related Person

Signature / Thumb impression with stamp

## BANK USE SECTION - ALL TICKS ARE MANDATORY

☐ MLM and Banned Dedupe check
☐ PAN Verification and all KYC Documents Original Seen and Verified  
☐ Customer Signed in my Presence and Verified
☐ Checked Existing Beneficial Owner Details (if any) in Bank Record  
☐ We have made best efforts to identify the beneficial owner(s) of the said entity. The details furnished above have been verified with the information available in - ☐ Probe 42 ☐ Partnership deed ☐ Other \_\_\_\_\_ (Please specify name of document/portal)  
(Branch to verify BO details given by customer and mandatorily select appropriate option from above)

Bank Seal

Branch Code:     MIS Code:     ☐ Re-KYC updation flag

Name of BM / BDA \_\_\_\_\_ Signature: \_\_\_\_\_ EMP Code: \_\_\_\_\_