



PURE BROKING PVT. LTD.

YOUR FINANCIAL BROKER



Equities



Derivatives



Currency



Diamond
Derivatives



Mutual Funds



IPO



Depository
Services

APPLICATION KIT

FOR INDIVIDUAL

CLIENT NAME :

CLIENT CODE :

CLIENT ID :

DOCUMENTS REQUIRED

- ✓ PAN Card
- ✓ Aadhar Card
- ✓ Cancelled Cheque
- ✓ Income Proof

Instructions / Checklist For Filling KYC Form

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients including Promoters /Partners / Karta / Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.

2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any documents is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.

3. If any proof of identity or address is in a foreign language, then translation into English is required.

4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

5. If correspondence & permanent address are different, then proofs for both have to be submitted.

6. Sole proprietor must make the application in his individual name & capacity.

7. For non-residents and foreign nationals. (allowed to trade subject to RBI and FEMA guidelines.) copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.

8. For foreign entities. CIN is optional: and in the absence of DIN no. for the directors, their passport copy should be given.

9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.

10. For opening an account with Depository participant or Mutual Fund. for a minor photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate Must be provided or PAN of minor if available.

11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior Government /judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof Of Identity (POI):

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhar)/Passport/Voter ID card/Driving licence.

2. PAN card with clear photograph. This is a Mandatory requirement for all the applicants except those who are specifically exempt from obtaining PAN (listed in Section D)

3. Identity card / document with applicant's photo issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc. to their Members and Credit cards/Debit cards issued by banks.

C. Proof of Address (POA) : List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport / Voters identity Card / Ration Card/Registered Lease or Sale Agreement of Residence / Driving Licence / Flat Maintenance bill / Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.

3. Bank Account Statement / Passbook - Not more than 3 months old.

4. Self-declaration by High Court and Supreme Court judges giving the new address in respect of their own accounts.

5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected Representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. of Statutory Authority.

6. Identity card/document with address issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.

7. For FII / sub account Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.

8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.):

1. In case of transactions undertaken on behalf of Central Government and / or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver, etc.

2. Investors residing in the state of Sikkim.

3. UN entities / Multilateral agencies exempt from paying taxes / filling tax returns in India.

4. SIP of Mutual Funds up to Rs. 50,000/- p.a.

5. In case of institutional clients, namely FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA & Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).

2. In case of NRIs authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulant General in the country where the client resides are permitted to attest the documents.

F. Additional Documents in case of trading in derivatives segments - illustrative list:

» Copy of ITR Acknowledgment	» Copy of Annual Accounts
» In case of salary income - Salary Slip, Copy of Form 16	» Net worth certificate
» Copy of demat account holding statement.	» Bank account statement for last 6 months
» Any other relevant documents substantiating ownership of Assets.	» Self declaration with relevant supporting documents.

*** In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time**

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent. MICR code or / and IFSC Code of the bank should be submitted.

(i) Photocopy of the cancelled cheque having the name of the account holder where the chequebook is issued, (or)

(ii) Photocopy of the bank statement having name and address of the BO, (or)

(iii) Photocopy of the passbook having name and address of the BO, (or)

(iv) Letter from the Bank.

» In case of the options (ii), (iii) and (iv) above, MICR code of the branch should be mentioned / present on the document.

H. Demat master or recent holding statement issued by DP bearing name of the client.

I. For Individuals:

a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.

b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'in-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker Indian Embassy / Consulate General in the country where the client resides may be permitted.



Account opening Kit Index

Sr.No.	Name of Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI / EXCHANGES / DEPOSITORY			
1	Account Opening Form	A. KYC form-Documents captures the basic information about the constituent, IPV, an instruction/checklist. B. Document captures the additional information about the Constituent relevant to trading account.	(I) , 1, 2 3, 4
2	Tariff Schedule	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) and Important Rules cum Tariff Sheet.	5, 6
3	Demat Account opening form	Document captures the basic information of client for opening a Demat account and DP related instructions.	7, 8
4	Nomination	Nomination form for Trading and Demat Account.	9
5	Tariff Structure	Tariff Structure containing the rate of charges and other statutory levied charges/tariff for depository services.	13
6	FATCA & CRS Declaration	FATCA & CRS Declaration	20, 21
7	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member/sub broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Ann.- A
8	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Ann.- B
9	Guidance note	Document detailing do's and don'ts for trading on exchange for the education of the investors.	Ann.- C
10	Policies and Procedures	Document describing significant policies and procedures of Stock Broker.	Ann.- D
11	Rights and Obligations of BO&DP	Rights and Obligations of Beneficial Owner & Depository Participant as prescribed by SEBI & Depositories	Ann.- E
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
12	Undertaking	Document Captures voluntary types of undertakings by the client with respect to Pure Broking.	10, 11
13	Running Account Authorization and Declaration	Letter of Authorization for maintaining a Running Account and client declaration letter for Mobile/Email ID and defaulter declaration.	12
14	Power of Attorney	Document captures limited purpose PoA to the stock broker.	14, 15
15	DIS booklet and Debit Mandate Form	Option for issue of DIS booklet and Mandate form duly acknowledged by the Client.	16
16	BSDA facility	Declaration for availing of Basic Services Demat Account (BSDA) facility.	17
17	Mandate Form & Mutual Fund Trading Services	Document captures client's request regarding sending various documents through email for trading as well as Demat and Trading facility in Mutual Fund Segment of BSE Star MF & NSE MFSS.	18
18	Client Acknowledgement & Notes	Document captures client's acknowledgement regarding understanding of documents and the notes forming part of the Form.	19
19	Other Voluntary documents	Trading and Demat related other voluntary documents, anti-money laundering policy.	Ann.- F to Ann.- I

Name of the Trading Member:		Pure Broking Pvt. Ltd.				
CIN No. :		U67120GJ2013PTC098401				
Name of the Clearing Member:	Cash Segment	NSE, BSE : Pure Broking Pvt. Ltd.				
	Derivatives	Edelweiss Securities Ltd.	NSE:	F&O: INF231193310 CDS: INE231193310	BSE:	F&O: INF011193332 CDS: INE011193332
	Commodities Derivatives	Globe Commodities Ltd.				

SEBI Registration Number :

Trading Member : Pure Broking Pvt. Ltd.		
NSE CM: INZ000018531 BSE CM: INZ000018531	F&O: INZ000018531 F&O: INZ000018531	CDS: INZ000018531 CDS: INZ000018531

Correspondence/Corporate Office Address:

O-402, Milestone leone, Athwagate, Surat 395 001, Phone : 0261-2600300 Web site : www.purebroking.com
Compliance Officer Name: Agam Mehta, Email ID: compliance@purebroking.com, Phone : 0261-2600303.

Registered Office Address:

O-402, Milestone leone, Athwagate, Surat 395 001, Phone : 0261-2600300 Web site : www.purebroking.com
For any grievance, please contact Pure Broking at the above address or email: complaints@purebroking.com or Phone: 0261-2600300.

In case not satisfied with the response, please contact the concerned exchange at:

1. NSE : ignse@nse.co.in or contact at 022-26598100
2. BSE : is@bseindia.com or contact at 022-22728097
3. CDSE : complaints@cdslindia.com or contact at 022-22723333
4. ICSE : grievance@icexindia.com or contact at 022-40381500

Know Your Client (KYC) Application Form – for Individuals

Application No.	
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(Please fill this form in **English** and in **BLOCK** Letters)

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

For office use only (To be filled by the financial institution)

Application Type* ☐ New ☐ Update KYC Number

Account Type* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small

A. IDENTITY DETAILS

A. IDENTITY DETAILS		Prefix	First Name	Middle Name	Last Name
□ 1. Name*(Same as ID proof)					
1a. Maiden Name (if any*)					
2. Father / Spouse Name*					
2a. Mother Name*					
3a. Date of Birth*	<div style="display: flex; align-items: center; gap: 5px;"> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">D</div> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">D</div> - <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">M</div> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">M</div> - <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">Y</div> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">Y</div> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">Y</div> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">Y</div> </div>				
3b. Gender*	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender				
3c. Marital Status*	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others_____				
4a. Citizenship	<input type="checkbox"/> Indian <input type="checkbox"/> Other_____(ISO 3166 Country Code__ __)				
4b. Residential Status	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Person of India Origin <input type="checkbox"/> Foreign National				
4c. Occupation (Please tick Anyone and give details):					
Private Sector	<input type="checkbox"/>	Public Sector	<input type="checkbox"/>	Government Service	<input type="checkbox"/>
Business	<input type="checkbox"/>	Professional	<input type="checkbox"/>	Agriculturist	<input type="checkbox"/>
Retired	<input type="checkbox"/>				
Housewife	<input type="checkbox"/>	Student	<input type="checkbox"/>	Self Employed	<input type="checkbox"/>
Others (please specify)	<input type="checkbox"/>				

Photograph
 Please affix your recent passport size photograph and sign across it

1/20

Photograph

Please affix your recent passport size photograph and sign across it

1/20

Tick if Applicable ☐ Residence for tax purpose in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence Place of Birth _____

Tax Identification Number or Equivalent ISO 3166 Country Code of Birth

[illegible]

6. Proof of Identity Submitted : *Please tick (✓)*

[illegible]

B. ADDRESS DETAILS

(Certified copy of anyone of the following Proof of Address [PoA] needs to be submitted)

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* ☐ Passport ☐ Driving Licence ☐ UID (Aadhaar)

☐ Voter Identity Card ☐ NREGA Job Card ☐ Other (Please Specify) _____

☐ Simplified Measures Account–Document Type code

<input type="checkbox"/> 1. Residence/Postal Address:																			
City/Town/Village														Pin Code					
District														State/U.T. Code				Country/ISO Code	

☐ **2. Contact Details:**[illegible]

☐ 3. Permanent Address: ☐ Same as the Residence/Postal Address

[illegible]

Trading Account Related Details

A. BANK ACCOUNT DETAILS

Bank Name																															
Branch Address																															
Account Number																															
MICR Number																IFSC Code															

Account Type: Savings ☐ Current ☐ Others ☐ In case of NRI Account: NRE ☐ NRO ☐

B. OTHER DETAILS

Gross Annual Income Details (please specify) Income Range per annum:

Below Rs 1 Lacs ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ Above 25 Lacs ☐

Or Net-worth as on

D	D	M	M	Y	Y	Y	Y
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 ₹ (Net worth should not be older than 1 year)

Occupation Contact Address and Phone :

Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

C. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name																															
Beneficiary Name																															
DP ID																BO ID															
DP ADDRESS																															

DEPOSITORY NAME: ☐ NSDL ☐ CDSL (Default)

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant /constituent or its Partners/Promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years

☐ Yes ☐ No (If yes, Please provide the details)

E. DEALINGS THROUGH SUB BROKERS AND OTHER STOCK BROKERS/AP

If Client is dealing through the sub-broker/AP/Member, provide the following details:

Sub-broker/AP/Member's Name																																										
Registered Office Address																																										
															SEBI Registration number																											
Phone																Fax																Website										


Whether dealing with any other stock broker/Sub-broker/AP :

(In case dealing with multiple stock brokers/sub-broker/AP, provide details of all)










Name of Stock Broker/AP																															
Sub Broker, if any																															
Client code																Exchange															

Details of disputes/dues pending from/to such stock broker/sub-broker/AP:

F. INTRODUCER DETAILS (Optional)

Name of the Introducer																															
Status of the Introducer: Sub-broker/Remisier/Authorized Person/Existing Client/Other																Client ID:															
Address of the Introducer																															
Phone Number																															

**G. TRADING PREFERENCES / EXPERIENCE**Investment / Trading Experience Yes ☐ No ☐Years in Equities Years in Derivatives Years in other investment Related Field Years in Commodity ***Please Sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.**

	Cash	F&O	Currency Derivatives	Mutual Funds
NSE	 3.A	 3.B	 3.C	 3.D
BSE	 3.E	 3.F	 3.G	 3.H
COMMODITY	ICEX			
	 3.I			

If, in future, the client wants to trade on any new segment, a separate authorized/letter should be taken from the client by the stock broker.

H. ADDITIONAL DETAILS(i) Whether you wish to receive (a) Physical contract note ☐ (b) Electronic Contract Note (ECN) ☐ (Default)

if ECN, Specify your Email id: _____

(ii) Whether you wish to avail the facility to internet trading/wireless technology/mobile trading: Yes ☐ No ☐

(iii) Any other information: _____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm have read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm have read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and Guidance Notes. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any.
4. I/We wish to open trading and depository account with Pure Broking pvt. ltd.

Place: Date:  4/20

Internet and wireless Technology

1. Stock broker is eligible for providing Internet Based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with datacard, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
2. The client is desirous of investing/trading in securities/commodities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities/commodities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, nonavailability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Important Rules Cum Tariff Structure/charges (Tariff Schedule)

- » Account Opening documentation charges (Client Registration Fees) is ₹ 450/-.
- » For trading on the Derivatives Market, the applicable margin is defined as per the Exchange margin file. We reserve the right to levy additional margin.
- » Payment gateway tie-ups are presently available with various banks, for transfer of funds online (pay-in and pay-outs). Your designated bank account will be mapped for pay-in and pay-out. Cheque/Demand Drafts payments are not entertained in the online system of Trading.
- » Payments toward financial obligations in cash segment are required to be made as per the settlement schedule in terms of Rules, Regulations, By-laws, circulars of the Exchanges as well as Risk Disclosure document to avoid deactivation of Trading terminal. However, it is always in your interest that the fund towards your pay-in obligation is transferred to our account on T+1 day. Any delay in fulfilment of financial obligation shall attract levy of delayed payment charges as per policy and procedure as prevalent from time to time.
- » In case of F&O: The shortfall occurred in your account during the day must be paid immediately on demand or before the start of the market on the next trading day, whichever is earlier.
- » The effect of the funds transferred to Pure Broking from the client's bank account is immediate, and thereby reflects in the client's margin. This enables the client to trade immediately. In case this does not happen due to any connectivity issue, the client needs to contact the branch / customer care immediately.
- » In case of a demand for a pay-out before 5 p.m., the funds shall be credited to the client's bank account on the next working day, subject to availability of clear credit balance in the account of the client. The client's bank account will be credited towards funds payout on receipt of payout demand from the client.
- » In the event the client buys shares on T day and sells before receiving delivery in Demat account, commonly known in the market parlance as BTST, there is a possibility of auction as the actual delivery of shares may not be received. The costs associated with the auction will be to the client's account.
- » If a client's terminal has been deactivated frequently, he would be placed under the category of 100% margin.
- » I hereby agree to the details, roles and tariff listed above.





Scheme Types

The Investors will have to choose one of the schemes to pay the charges to Pure Broking for the services offered.

	Particulars (Please select any one scheme)	Default Scheme <input type="checkbox"/>	Customized Scheme <input type="checkbox"/>
A)	Delivery Brokerage	0.40 %	%
B)	Intra-Day (Cash-each leg)	0.040 %	%
C)	Future (each leg)	0.040 %	%
D)	Options (Per Lot-each leg)	₹ 100/-	₹
E)	Currency Future (each leg)	0.040 %	%
F)	Currency Options (each leg)	₹ 70/-	₹
G)	Diamond Commodity Future (Per Lot-each leg)	₹ 1.50/-	₹

Note: » Turnover for Options is calculated as (Strike + Premium)* Lot Size.

BROKERAGE FOR ACCOUNT SETTLEMENT OTHER THAN QUARTERLY BASIS

Per share 2.5% or 10 paise, whichever is higher on each and every leg of transactions on securities, listed in cash segment. And on future segment of stock, index and currency, 2.5% of premium or ₹ 100 per lot, whichever is higher on option contract, listed in futures and options and currency derivative segments as per terms and conditions.

Minimum brokerage : Brokerage will be charged as per the plan selected by the client subject to a minimum brokerage of 2 paise per share for delivery based transactions and 1 paise per share for intra day.

OTHER CHARGES

In addition to the above subscription charges, the following statutory charges will also be levied at actuals:

Securities Transaction Tax, Commodity Transaction Tax, Exchange Transaction Charges, GST, Stamp Duty, SEBI Turnover fees, Clearing member charges, expiry charges on delivery contract, delayed payment charges 2% per month. Charges for any other services provided/cost incurred by Pure Broking pvt. Ltd. Any other charges towards customised/specialised services. Any other statutory levies not specified in this tariff sheet.

Physical Contract note copies are charged at ₹ 50 per contract note towards postage charges.

Call and Trade charges at ₹ 20 per order (exclusive of service tax). Order and trade alert SMS are charged at ₹ 0.50 per SMS.

The terms and conditions of the Pure Broking pvt. Ltd. plans have been read and understood by me.

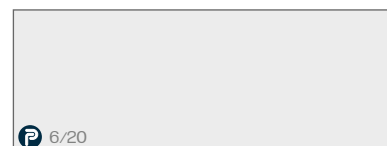
I wish to avail the plans marked above provided by Pure Broking pvt. Ltd. for my trading account subject to the terms and conditions mentioned above.

I declare that I have read and understood the terms and conditions governing the Pure Broking pvt. Ltd.

Note: The brokerage in cash and futures segment (except options) is calculated on a per share/lot basis and rounded off to nearest paise. Rate of the other levies may vary from time to time. You may Contact us to know the prevalent rates of the above levies. Any change in the commercial shall be communicated on your email ID registered with us and the same shall also be available in your client log in on our website/back office.

The above terms and conditions are subject to change at the sole discretion of Pure Broking pvt. Ltd.

Name of Client:
Client's Trading Account Number:



**Demat Account Opening Form (for Individuals)**

Application No:	
DP Internal Reference No.	
DP ID:	12081700
Date:	D D M M Y Y Y Y

Branch Code:	
Scheme Code:	
Trading Code:	
Client Id:	

(To be filled by Participant)

I/We request you to open a depository account as per the following details: (Please fill all the details in **CAPITAL LETTERS** & **Only in ENGLISH**)**A. Account holder Details:**

Sole / First Holder Name:	
PAN:	
UID:	

Occupation (Please tick any one and give brief details in the box below)

<input type="checkbox"/> Business	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Student
<input type="checkbox"/> Housewife	<input type="checkbox"/> Professional	<input type="checkbox"/> Retired	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Others _____

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Second Holder Name:	
PAN:	
UID:	

Occupation (Please tick any one and give brief details in the box below)

<input type="checkbox"/> Business	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Student
<input type="checkbox"/> Housewife	<input type="checkbox"/> Professional	<input type="checkbox"/> Retired	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Others _____

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Third Holder Name:	
PAN:	
UID:	

Occupation (Please tick any one and give brief details in the box below)

<input type="checkbox"/> Business	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Student
<input type="checkbox"/> Housewife	<input type="checkbox"/> Professional	<input type="checkbox"/> Retired	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Others _____

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*In case of firms, associations of persons(AOP), Partnership firms, Unregistered trust, etc., although the account is opened in the name of natural persons, the name of the firm, associations of persons(AOP), Partnership firms, Unregistered trust, etc., should be mentioned below.

*Name:	PAN	
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B. Account Type: (Please tick, whichever is applicable)

Status	Sub-Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (Specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts <input type="checkbox"/> Others (specify) _____

C. Gross Annual Income Details:

Income Range per annum (please tick any one)

Below Rs 1 Lacs ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ Above 25 Lacs ☐Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

Net-worth as on D D M M Y Y Y Y ₹ (Net worth should not be older than 1 year)

In case of NRIs / Foreign Nationals:

RBI Approval Reference No.		RBI Approval Date	D D M M Y Y Y Y
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D. BANK ACCOUNT DETAILS (Dividend Bank Details):

Bank Name:	
Branch Name:	
Branch Address:	
City/Town/Village	Postal Code
State	Country
Account Number:	IFSC Code:
Micr Number:	
Account Type: <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others _____	In case of NRI Account: NRE <input type="checkbox"/> NRO <input type="checkbox"/>
Please provide cancelled cheque leaf for MICR & IFSC Code	

**E. Standing Instructions**

I/We instruct you to receive each and every credits automatically into my/our account		<input type="checkbox"/> Yes (Default)	<input type="checkbox"/> No
Account to be operated through Power Of Attorney (POA) (Limited Purpose)		<input type="checkbox"/> Yes	<input type="checkbox"/> No (Default)
I/We request you to send electronic transaction cum holding statement, statement of account in email ID provided in KYC form:		<input type="checkbox"/> Yes (Default)	<input type="checkbox"/> No
Account opening kit through Email		<input type="checkbox"/> Yes (Default)	<input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (if not marked, the default option would be NO.)		<input type="checkbox"/> Yes <input type="checkbox"/> No (Default)	
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly		
I/We would like to share the email ID with the RTA		<input type="checkbox"/> Yes (Default)	<input type="checkbox"/> No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Electronic)		<input type="checkbox"/> Physical <input type="checkbox"/> Electronic (Default)	<input type="checkbox"/> Both, Physical & Electronic
I/We wish to receive the dividend/interest directly into my bank account through ECS. (If not marked, the default option would be YES) [ECS is mandatory for locations notified by SEBI from time to time]			<input type="checkbox"/> Yes (Default) <input type="checkbox"/> No

F. Guardian Details (where sole holder is a minor)

For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)	
Guardian Name	
Relationship of Guardian with minor	
Permanent Account Number (PAN)	

SMS Alert Facility Refer to Terms & Conditions given as Annexure – F	Mobile No. +91 <div style="border: 1px solid black; width: 100px; height: 1.2em; display: inline-block;"></div>		
	[Mandatory, if you are giving Power Of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option.)		
	I wish to avail the TRUST facility using the mobile number registered for the SMS Alert Facility. I have read and understood the Terms & Conditions prescribed by the CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No		
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID
Easi	To register for Easi, Please visit our website: www.cdslindia.com Easi allows a BO to view his ISIN Balances, Transactions and value of the portfolio online.		

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/We have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts.

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, will render my/our account liable for termination and suitable action.

I/We have received, read and agreed with the document of, "RIGHTS AND OBLIGATIONS OF THE BENEFICIAL OWNER AND THE DEPOSITORY PARTICIPANT" and "TERMS AND CONDITIONS" and agree to abide by and to be bound by the rules as are in force from time to time for such accounts.

P

7/20

Name of the Sole/First Holder

P

2nd

Name of the Second Holder

P

3rd

Name of the Third Holder



Nomination For Trading and Demat Account

To,
Pure Broking pvt. ltd.
403, Milestone Leone,
Athwagate, Surat.

Nominee Reg. No.									
DATE:	D	D	M	M	Y	Y	Y	Y	

I/We the sole holder/joint holders/guardian (in case of minor) hereby declare that:

- ☐ I/We do not wish to nominate any one for this demat account.
- ☐ I/We nominate the following person(s) who is/are entitled to receive security balances lying in my/our demat account, particulars whereof are given below, in the event of my/our death.

Nomination Details:

Up to 3 nominations can be made		Details of 1st nominee	Details of 2st nominee	Details of 3rd nominee
Name of the nominee(s) (Mr./Ms.)				
Share of each nominee	<input type="checkbox"/> Distribute equally (If not equally shared, please specify %age)			
Any odd lot after division shall be transferred to the first nominee mentioned in the form.				
Relationship with the Applicant (if any)				
Address of Nominee(s)				
Mobile/Telephone no. of nominee(s)				
Email ID of Nominee(s)				
Proof of identity submitted				

Fill below details only if the nominee is a minor

Date of birth (dd/mm/yyyy)			
Name of guardian			
Address of guardian(s)			
Mobile/Telephone no. of guardian(s)			
Email ID of guardian(s)			
Relationship of guardian with nominee			
Proof of identity submitted			

Details of the Witness

Witnesses (Only applicable in case the account holder has made nomination)	
Witness Name	Witness Name
Address	Address
Signature	Signature

8/20

2nd

3rd

Acknowledgement

We have received the nomination request for the account with client ID	
Account holder's Name	
Received by	
Signature of authorised signatory	

**Pure Broking pvt. ltd.**

O-402, Milestone leone, Athwagate,
Surat 395 001. Phone: 0261-2600-300

SUB: UNDERTAKING / AUTHORIZATION

With respect to Member-Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I / We do hereby authorize Pure Broking to do the following:

1. ORDER PLACEMENT INSTRUCTIONS

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing / modifying / cancelling order. Even if have facility to trade online through internet and wireless technology. I may have to place orders by physically visiting / calling / emailing the Call centre / branch specified for the said purpose by Pure Broking in case of breakdown on internet connectivity or other similar reasons.

I hereby request you to kindly accept my, authorized representative or mandate holder's verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS/Email and other modes. I indemnify Pure Broking and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

2. ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances Pure Broking shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold Pure Broking responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by Pure Broking.

3. NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trade viz, artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades etc. or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges, in case I am found to be including in such activities. Pure Broking has every right to inform the Exchange/SEBI/Other regulatory authority of the same and suspend/close my trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients in case I wish to deal for other clients also, I undertake to apply to SEBI through Pure Broking to obtain a sub broker registration. In case Pure Broking perceives that I am acting as un registered sub broker, Pure Broking has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to Pure Broking without the requirement of any notice from Pure Broking.

Further, Pure Broking has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify Pure Broking from any loss/damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and/or Statutory to deal in securities directly or indirectly. I agree to inform Pure Broking on its own comes to know of such action. Pure Broking has the right to suspend / close my trading account and refuse to deal with me. Also, Pure Broking can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the contents and the provisions of the PMLA Act. 2002, which were also explained to me by Pure Broking officials. I further declare that I shall adhere to all the provisions of PMLA Act 2002.

I further undertake and confirm that:

a. I do not have any links with any known criminal.

b. I am a genuine person and not involved or indulge knowingly, or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in the manner.

7. INDEMNIFICATION

I hereby indemnify and hold Pure Broking, its Directors and employees harmless from and against all claims demands actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Pure Broking directly or indirectly, relating to bad delivery of shares/securities and/or third party delivery. Whether authorized or unauthorized and take/forged/stolen shares/securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/operations on the Exchange(s) and/or proof of address, identity and other supporting/documents provided by me at the time of registration and/or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold the Pure Broking harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other persons, if any.

8. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to Pure Broking every time the investment is made.

9. THIRD-PARTY PAYMENTS

Pure Broking shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof there of as per proforma prescribed by Pure Broking. Pure Broking shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by Pure Broking and the credit for the same has been given in the client's ledger, Pure Broking shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, Pure Broking reserves the right to liquidate any of the open positions and/or any of the collaterals received/held on behalf of the client. Pure Broking, its Directors and employees shall not be responsible for any consequential damages or losses.

10. NO DEALINGS IN CASH

Pure Broking as a policy neither accepts any funds for pay-in/margin in cash nor makes payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub Broker / Remisier / Employee / Authorised person of Pure Broking.

11. DISCLOSURE OF PROPRIETARY TRADING BY PURE BROKING

Pursuant to SEBI Circular Number SEBI /MRD/SEC/Cir-42/2003 dated November 19, 2003, Pure Broking discloses to its clients about this policies on proprietary trades. Pure Broking does proprietary trades in the cash and derivatives segment at NSE and BSE. Pure Broking also does Proprietary trade in commodity derivative segment of all exchanges.

12. DELIVERIES

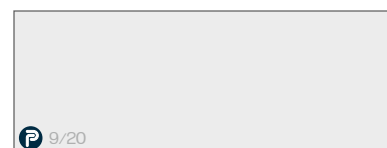
The client shall ensure that the shares are properly transferred to the designated demat account of Pure Broking, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/Pure Broking. In case the client fails to transfer the shares on time to Pure Broking, Pure Broking shall not be responsible for any loss/damages arising out of such delayed transfers.

13. SQUARING OFF OF POSITIONS & SALE / LIQUIDATION OF COLLATERAL MARGINS.

The client settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and / or delivery of the shares. In case the client fails to settle the transactions within the settlement date then Pure Broking has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed, Pure Broking, its Directors and Employees shall not be responsible for any loss or damages arising out of such square offs. All such square off transaction shall have implied consent and authorization of the client in favour of Pure Broking.

After such square off of open positions by Pure Broking, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance. Pure Broking shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance. without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. Pure Broking, its Directors and employees shall not be responsible for any loss or damages arising out of such selling.

I/We hereby confirm that above content of Undertaking/Authorization letter have read/been explained and I/We have understand the same will abide with it.



**Running Account Authorization**

I / We are dealing through you as a client in Capital Market and/or Commodity market and/or Future & Option segment and/or Currency segment and/or interest rate future segment and/or Mutual fund and in order to facilitate ease of operations and upfront requirement of margin for trade, I/We authorize Pure Broking as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you to transfer the same to my/our account. Such retaining / holding of securities shall be construct as due compliance of the requirement of SEBI. Further, Pure Broking has the sole discretion and authority
 - (i) to use/transfer the above securities to the clearing corporation / clearing member / exchanges for the purpose of early pay-in / margin or for any other purpose.
 - (ii) to disposal sell the above securities to meet any monetary / other dues / obligation not fulfilled by me towards Pure Broking / the exchanges.
3. I/We request you to settle my fund and securities account;

☐ Once in a calender Month.
☐ One in every calender Quarter except the funds given towards collaterals / margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite / funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. All accounts with credit balances of ₹ 10,000/- or lesser shall be automatically retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular NSE/INSP/24849, 20091204-7.
7. To hold payout of funds / credit in my account and pay to me only to the extent demanded by me to use / adjust the credit balance available from time to time in my accounts for exposure / trading limit / margin requiring / other dues.
8. All the instructions/request placed by me to Pure Broking by registered email, registered mobile or through Pure Broking website using my log in / password shall be always binding upon me.
9. I/We have noted the following
 - i. This running a/c authorization will continue until it is revoked by me.
 - ii. The authorization shall be signed by me/us only and not by any Power Of Attorney (POA) holder or by any authorised person.
 - iii. I/We may revoke the authorization at any time by giving written notice.

**DECLARATIONS**

I, _____ having PAN do hereby declare that my mobile number is and my email ID is

I also declare that the same is operated and own by me/my family member _____ (Relationship with Family Member)

Further, I authorise Pure Broking that the same may be used for giving me any information/alert/Trade Confirmations/SMS about my Demat and Trading account and I assure Pure broking to report discrepancies if any immediately or within 24 hours.

I hereby also declare that I have not been involved in any terrorist activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/Exchanges/Regulatory bodies, etc.

I further declare that the above mentioned declaration / statement is true and correct.

I/We also declare that non reporting of any discrepancies within 24 hrs. will be considered as Correct Information provided to me/us and I/we abide with the same.



DATE:

SCHEDULE A / TARIFF STRUCTURE FOR INDIVIDUAL

The Investors will have to choose one of the schemes to pay the charges to Pure Broking for the services offered.

PARTICULARS (Please Select any one scheme)	SCHEME – A1 <input type="checkbox"/>	SCHEME – B <input type="checkbox"/>	SCHEME – C1 <input type="checkbox"/>
	With POA	Without POA	With POA
Documentation Charges	Nil	Nil	Nil
Life time Maintenance charges	Nil	Nil	₹ 1750/-
Annual Account Maintenance Charges (AMC)	₹ 300/- *	₹ 600/- *	Nil (Life Time)
Custody Charges	Nil	Nil	Nil
Dematerialisation Charges (Per Request/Script)	₹ 50/-	₹ 50/-	₹ 50/-
Rematerialisation Charges (Per Request/Script) ⁹	₹ 50/-	₹ 50/-	₹ 50/-
Courier charges for Remat/Demat	₹ 50/-	₹ 50/-	₹ 50/-
Transaction Charges			
With Pure Broking (Buy/Receive)	Nil	Nil	Nil
Within Pure Broking (Sell/Debit) (Market & Off Market)	₹ 15/-	₹ 25/- or 0.03% (whichever is higher)	₹ 18/-
Outside Pure Broking (Buy/Receive)	Nil	Nil	Nil
Outside Pure Broking (Sell/Debit) (Market & Off Market)	₹ 50/- or 0.05% of value whichever is higher	₹ 50/- or 0.05% of value whichever is higher	₹ 50/- or 0.05% of value whichever is higher
Pledge creation	₹ 50/-	₹ 50/-	₹ 50/-
Pledge closure	₹ 50/-	₹ 50/-	₹ 50/-
Pledge invocation	Nil	Nil	Nil
Delivery Instruction Charges			
First Delivery Instruction Book	Free	Free	Free
Every Additional Booklet	₹ 50/-	₹ 50/-	₹ 50/-
Statement Charges			
Periodic	Free via email / ₹ 50/- Courier charges (for Physical Statement)		
Adhoc/Non-Periodic	₹ 10/- per Request via email / ₹ 5 per Page + ₹ 50 Courier charges (for Physical Statement)		

Notes :

For all purposes the bill date shall be constructed as the date of demand and the bills will be considered as the bill cum notice for payment.

- 1) Cheque returned charges will be levied @ ₹ 350/- per instrument.
- 2) Interest @ 13% per annum shall be charged, if the bill is not paid by due date.
- 3) The above rates are based on the existing CDSL charges and may change from time to time.
- 4) The scheme one selected can be changed only at the end of financial year.
- 5) Any extra statement would be charged @ ₹ 25/- per statement for one page and thereafter, it would be charged @ ₹ 5/- per page and ₹ 50/- for courier charges extra.
- 6) Statutory levies as applicable would be charged extra.
- 7) Any other Charges towards Customized/Specialized services would be charged extra except account opening/closing and credit transactions.
- 8) CDSL Charges for rematerialisation will be levied extra at actual.
- * 9) For BSDA, Account Maintenance Charges :
 - a) ₹ 0/- (Zero) – if value of Holding is up to ₹ 50,000/-.
 - b) ₹ 100/- if value of Holding from ₹ 50,001/- to ₹ 2,00,000/-.
 - c) If value of Holding exceeds ₹ 2,00,000/- at any point of time, AMC will be charged as per 'Scheme-A1'.


Eligibility for BSDA Account

- a. Only INDIVIDUAL who have or purpose to have only one demat account where they are the sole or first holder.
- b. The individual shall have only one BSDA in his/her name across all Depositories.
- c. Value of Securities held in the demat account shall not exceed rupees 02 Lac at any point of time.

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Power of Attorney

KNOW ALL MEN BY THESE PRESENTS THAT I/WE Mr./Mrs.

(First holder) _____

(Second holder) _____

(Third holder) _____

an individual/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 1956/2013, or any relevant Act, having his/her/its residence/registered office/place of business at _____ (hereinafter referred to as "Beneficial Owner") wish to avail/have availed the broking/e-broking facilities and services offered (hereinafter referred to as "Services") by Pure Broking Pvt. Ltd. (hereinafter referred to as "Pure Broking"), a Company incorporated under the Companies Act 1956, having its Registered and the Corporate office situated at O-402, Milestone Leone, Athwagate, Surat-395001 is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE), Bombay Stock Exchange limited (BSE) respectively (hereinafter referred to as "the Exchange").

Whereas in the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, I/We do hereby nominate, constitute and appoint M/s. Pure Broking pvt. ltd. (Member Broker), hereinafter referred to as "Pure Broking" acting through their Directors and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository account with Pure Broking (Depository Participant) DP ID 12081700, Client ID _____ to execute and perform severally the following acts, deeds, matters and things, provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock brokers.

1. To have and exercise the powers and/or authority, to do and/or execute the acts, deeds matters and things specified in agreements between Pure Broking and the Beneficial Owner as may be applicable in relation to the transactions executed by the client.
2. To operate depository account/(s) for the purpose of transferring any collateral to the margin account and honouring delivery obligations for any transaction executed with Pure Broking which is registered as a Depository Participant and a stock broker registered with Securities and Exchange Board of India (SEBI).
3. To sign instructions on my behalf with respect to debit/credit the depository account/(s) for the credit or benefit of my/our account with Pure Broking for the transactions carried by me/us with Pure Broking
4. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at any time either through the portal of Pure Broking or through the internet will be validly constituted attorney to intimate the same to the Depository Participant for the purpose of debiting or crediting my account opened with the Depository Participant.
5. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written/physical or other form as may be required by the concerned Depository Participant.
6. For these purposes and to this extent, Pure Broking is empowered by me/us, to affix their signatures to any document, form or any other record, being a delivery participant, as required by the concerned depository.
7. I/We also undertake to pay such Demat charges/fees and such other charges incurred by Pure Broking under this Power of Attorney and that I/We further authorise Pure Broking to debit my/our account with Pure Broking with the said charges as and when the same becomes due for payment and I/we hereby undertake to pay the same immediately on demand made by Pure Broking.
8. To sign all applications, apply for, subscribe to or enter into correspondence with respect to any Initial Public Offers (IPO)/Offers For Sale (OFS) of securities of the companies/units of offered through the website www.purebroking.com (owned by Pure Broking Pvt. Ltd.) or any other means as per the instructions made available to them by me/us as on the website or otherwise.
9. To make payment to any party with respect to the aforesaid products mentioned in Point 9 above on my/our behalf to fulfil the transaction requested by me/us.
10. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/issuer of "Investment Product".
11. To receive intimation from the Exchange and any other party regarding the allocation/allotment/rejection/regret of the securities or such other "Investment Product" applications/subscriptions/withdrawal or any other communications.
12. To authorize Pure Broking to invest on behalf of me/us and to hold the mutual fund/asset management company(ies) based on the request given by me/us. I/We confirm not to hold such mutual fund/asset management company/Pure Broking liable for any transaction processed based on my/our request to correspond with and give notice to the corresponding asset management company/body corporate(s) issuer/registrar and transfer agent of securities including giving instructions with regard to nomination/change in investment plans/any other changes that may be necessitated pursuant to the authorization given by me/us to Pure Broking in this regard.



13. To deposit / transfer on allotment, the securities, debentures, units of the mutual funds and / or all other investments products applied through Pure Broking, on allotment, to my / our Beneficial Owner Demat Account opened for the purpose with the Pure Broking-DP.
14. To do all other acts and things as may be necessary to affect the subscriptions / purchase / redemption or any other transaction in any investment Product for which services are availed from Pure Broking.
15. To debit my Trading account towards monies/fees/charges etc. payable to Pure Broking or to a Third party Service provider or to any of the affiliates/subsidiaries of Pure Broking by virtue of I/We using/subscribing to any of the facilities/service provided either by Pure Broking or through a third party service provider or by any other security or financial instrument on behalf of me/us through Pure Broking or any third party. To bind ourselves with respect to any instruction given by first holder or any other holder to Pure Broking.
16. In case of any erroneous transfer done by attorney, the attorney may return the same to my account as soon it comes to their notice.
17. To send the consolidated summary of client wise, scrip wise buy and sell position with average rates by email.
18. To authorize Pure Broking to transfer the securities to any of the Demat accounts of Pure Broking as mentioned in following **Table A**.
19. We the joint holders of Demat account agree, ratify and confirm to bind ourselves to any instructions given by the client herein above mentioned who shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney in favour of the Director/(s) and / or the Authorised Signatories, who have in token thereof, subscribed their signature thereto.

That the Power of Attorney herein referred to be revocable at any time without notice subject to such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of POA.

That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein Pure Broking Pvt. Ltd.) in this regards shall be deemed to be an action done by me /us and if necessary shall be ratified by me /us on the instruction of the said attorney and that such actions will be binding on me/us.

I/We agree that Pure Broking Pvt. Ltd. is entitled to credit the proceeds of any instruction. This document shall be subject to the jurisdiction of the courts in Surat. In witness whereof I/We have executed this revocable Power of Attorney on the day, date and year herein below mentioned.

Table A

PARTICULARS	DP ID	CLIENT ID	PARTICULARS	DP ID	CLIENT ID
CDSL BSE POOL A/C	12081700	00000069	NSDL BSE POOL A/C	IN303719	11035726
CDSL NSE POOL A/C	12081700	00000041	NSDL NSE POOL A/C	IN303719	11035700
CDSL BSE CM PRINCIPAL A/C	12081700	00000016	NSDL CLIENT A/C	IN303719	11036518
CDSL CLIENT A/C	12081700	00000073	NSDL CLIENT A/C	IN303719	11036495
CDSL CLIENT A/C	12081700	00000088	CDSL BSE Early Pay-In A/C	11000010	00023667
CDSL CLIENT A/C	12081700	00000092	CDSL NSE Early Pay-In A/C	11000011	00019610

Dated at Surat on this _____ day of _____

1st 20

Name of First Holder/Auth. Signatory

2nd

Name of Coparcener/Auth. Signatory

3rd

Name of Coparcener/Auth. Signatory

WITNESSES

Witnesses Name:	Witnesses Name:
Address:	Address:
Mob. No.:	Mob. No.:
Signature: 	Signature:

Option form for issue of DIS booklet

DP ID 1 2 0 8 1 7 0 0

Client Id:

Date

D

D

M

M

Y

Y

Y

Y

Option for issuance of DIS booklet along with account opening (to be filled by persons seeking to open a depository account who have given Power of Attorney to operate the depository account to a stock broker/Participant/ Portfolio Manager and do not intend to open a Basic Services Demat Account).

To,

Pure Broking pvt. ltd.**402, Milestone Leone,****Athwagate, Surat-395001.**

Dear Sir / Madam,

I / We hereby state that:

[Select one of the options given below]

☐ **OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (PoA) / executed PMS agreement in favour of / with

(name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

OR




☐ **OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with

(name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby declare that above selected option has been selected by me/us and agree to abide by the same.

Yours faithfully,

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature	 14/20	 2 nd	 3 rd

DEBIT Mandate Form

Pure

UMRN No.

Date

D

D

M

M

Y

Y

Y

Y

Tick (✓)

Sponsor Bank Code

HDFC0000060

Utility Code

NACH00000000002650

✓ Create

I/We hereby authorize

Pure Broking Private Limited

to Debit, (tick✓)

SB/CC/SB-NRO/CA/SB-NRE/Other

Modify

Bank a/c number

Cancel

With Bank

Name of the customer's Bank

IFSC

or MICR

An amount of Rupees

₹

FREQUENCY: ☐ Monthly ☐ Quarterly ☐ Half-Yearly ☐ Yearly ☐ As & when presented Debit Type: ☐ Fixed Amount ☐ Maximum Amount

Reference 1

Phone No.

Reference 2

Email ID

I agree for the debit of the Mandate processing charges by the Bank whom I am authorizing to debit my account as per latest Schedule of charges of the Bank.

PERIOD

From

D

D

M

M

Y

Y

Y

Y

To

D

D

M

M

Y

Y

Y

Y

Or ☐

Until Cancelled

 15/20Signature of 1st Account Holder

1.Name as in bank records

Signature of 2nd Account Holder

2.Name as in bank records

Signature of 3rd Account Holder

3.Name as in bank records

● This is to confirm that the declaration has been carefully read, understand & made by me/us. I am authorizing the user entity/corporate to debit my account.

● I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.

I/We hereby declare that the above information is true and correct and that the mobile number listed above is registered in my /our name(s) and/or is the number that I/we use in the ordinary course. I/We hereby declare that, irrespective of my/our registration of the above mobile in the provider customer preference register, or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I/we concerned to the bank communicating to me/us about the transactions carried out in my/we aforesaid account(s).



Declaration for availing of Basic Services Demat Account (BSDA) facility

To,
Pure Broking Pvt. Ltd.
O-402, Milestone Leone,
Athwagate, Surat-395001.

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

Dear Sir / Madam,




- ☐ I / We wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form
- ☐ I / We do not wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form
- ☐ I / We wish to avail the BSDA facility for my / our below mentioned demat account number
- ☐ I / We do not wish to avail the BSDA facility for my / our below mentioned demat account number

I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/We also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time. I/We also agree that in case our demat account opened / converted under BSDA facility does not meet the eligibility for BSDA facility as per guidelines issued by SEBI or any such authority at any point of time, my/our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

I/We wish to avail the SMS Alert Facility provided by Depository on +91 _____
my/our Mobile No.

I, the first / Sole holder also hereby declare that I do not have / propose to have any other demat Account across depositories as a first / sole holder.

Yours faithfully,

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature	 16/20	 2 nd	 3 rd

To,
Pure Broking Pvt. Ltd.
O-402, Milestone Leone,
Athwagate, Surat-395001.

Dear Sir,

Subject:

Mandate to issue Electronic Contract Note (ECN)/bill/transaction/holding statement & other communication through email.

I/We, request you to send me/us bill/transaction/holding statement of my CDSL account number and trading account number at following email address: _____

I/We, fully agree and aware of following Terms and Conditions mentioned below:

- I/We will not receive the Contract Note, bill, transaction and holding statements in paper form, the same will be mailed to me/us on email time to time.
- I/We will take all the necessary steps to ensure confidentiality and secrecy of the log in name and password of the internet/email account.
- I/we am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- I/We in case contract note, bill, transaction and holding statements are sent by email, I/We will immediately inform the discrepancies to Pure Broking pvt. Ltd. on ecn@purebroking.com
- The Contract Note will be issued in compliance with the guidelines issued by SEBI/Exchanges from time to time.
- It will be client's responsibility to regularly check the mailbox and keeping the storage space for new email messages.
- Non receipt of bounced mail notification by the trading member shall amount to be delivery of the email at given email Id of the client.
- Any change in email ID shall be communicated by us/me through a physical letter.

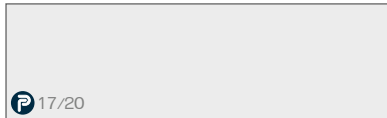
In case, the Pure Broking pvt. Ltd. is not able to provide bill/transaction statement to its clients by email or on website due to any reason (including bounced emails), Pure Broking pvt. Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws and Business Rules of CDSL.

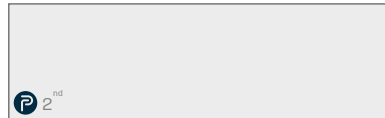
I/We here by request you to sent the statements:

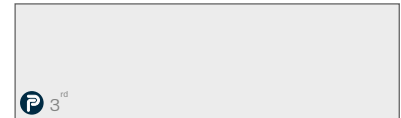
☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly

I/We confirm have read/been explained and understand the contents above and has been agreed by me/us and will abide with the same.

Yours faithfully,


P 17/20


P 2nd


P 3rd

Client Mandate for Trading in Mutual Fund Segment

To,
Pure Broking Pvt. Ltd.
O-402, Milestone Leone
Athwagate, Surat-395001.
Dear Sir,

Date

Subject :- Trading Facility in Mutual Fund Segment of BSE Star MF & NSE MFSS.

I/We _____ am/ar e registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital market Segment of Bombay Stock Exchange & National Stock Exchange.

I/We am/are interrelated in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF & NSE MFSS.

For the purpose of availing this facility, I/We state that know your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF & NSE MFSS and I/We further confirm that details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the BSE Circular dated December 2, 2009 and NSE circular dated November 13, 2009 as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Documents and Key Information, Memorandum, agenda issued regarding each Mutual Fund Schemes with respect to which I / we further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in BSE STAR MF & NSE MFSS.

Thanking you,

Yours Faithfully,


P 18/20



To,
Pure Broking Pvt. Ltd.
O-402, Milestone Leone,
Athwagate, Surat-395001.

This is to acknowledge the receipt of following documents related to Demat and Trading Account. I further state and confirm that I have received, read and understood all the clauses of aforesaid documents and there documents are binding upon me.

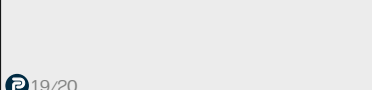

Sub: Acknowledgment

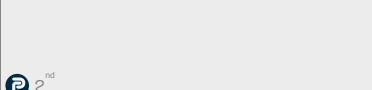

Sr.No.	Brief significance of the documents		
1	Duly Executed Copy of KYC.	2	Rights and Obligations.
3	Risk Disclosure Documents (RDD) for Capital, Derivatives and Currencies Segments.		
4	Guidance Note - Do's and Don'ts for trading on the Exchange(s) for investors.		
5	Pure Broking Tariff Sheet.		
6	Policies and Procedures Documents pursuant to the SEBI circular dated December 03, 2009.		
7	General Terms & Conditions governing securities trading and broking services of Pure Broking.		
8	Option form for issue / non issue of DIS booklet.		
9	[Please tick (✓)] <input type="checkbox"/> SMS Alert Declaration <input type="checkbox"/> Trust Declaration		

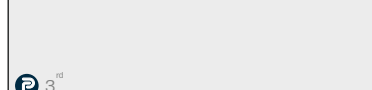

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Pure Broking.

I also confirm all the information provided in form has been filled by me/or filled in my presence only.

Yours faithfully,


 19/20
Name of the Sole/First Holder


 2 nd
Name of the Second Holder


 3 rd
Name of the Third Holder

For Office Use :

I verify that the Account opening form is in order.

Name		Signature	
Date			
	Date	Name	Signature
Checked & Entered in Back Office			
Released in Back Office			

Notes :

- All communications shall be sent at the address of the Sole/First holder only.
- Thumb impression and signatures other than English or Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Instructions related to nomination, are as below.
 - The Nomination can be made only by individuals holding beneficiary owner accounts on their own or behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - The nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of attorney holder. A non-resident Indian can be a nominee, subject to the exchange controls in force, from time to time.
 - Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - Transfer of securities in favour of Nominee shall be valid discharge by the depository and the Participant against the legal heir.
 - The cancellation on nomination can made by individuals only holding beneficiary owner accounts on their own including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominees.
- For receiving Statement of Account in electronic form:
 - Client must ensure the confidentiality of the password of the email account.
 - Client must promptly inform the Participant if the email address has changed.
 - Client may opt to termination this facility by giving 10 days prior notice, Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- Strike off whichever is not applicable.
- In case physical share certificate are to be converted to Demat, the same has to be handed over to the branch ONLY along with duly filled DRF form.

FATCA & CRS DECLARATION

Please fill the information below as requested	First Account Holder	Second Account Holder	Third Account Holder
Name of the Account Holder			
Customer ID			
City of Birth			
Country of Birth			
Address for Tax purpose	<input type="checkbox"/> Same as mailing address <input type="checkbox"/> Same as permanent address	<input type="checkbox"/> Same as mailing address <input type="checkbox"/> Same as permanent address	<input type="checkbox"/> Same as mailing address <input type="checkbox"/> Same as permanent address
Address Type for the above	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Nationality (if national of more than one country, please mention all the countries separated by a comma)			
Father's Name (mandatory if PAN not provided)			
Spouse's Name			
Identification Type-Documents submitted as proof of identity of the individual	<input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election/Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card/ letter <input type="checkbox"/> NREGA Card Govt ID Card <input type="checkbox"/> Others (pls specify)_____	<input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election/Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card/ letter <input type="checkbox"/> NREGA Card Govt ID Card <input type="checkbox"/> Others (pls specify)_____	<input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election/Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card/ letter <input type="checkbox"/> NREGA Card Govt ID Card <input type="checkbox"/> Others (pls specify)_____
Identification Number- for the identification type mentioned above			

Are you a tax resident of any country other than India?

- First account holder : Yes ☐ No ☐
- Second account holder : Yes ☐ No ☐
- Third account holder : Yes ☐ No ☐

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below:

Account holder details	Name of Customer	Country/(ies) of Tax residency #	Tax Identification Number (TIN)%	Identification Type (TIN or other %, please specify)
First				
Second				
Third				



To also include USA, where the individual is a citizen / green card holder of USA

% In case Tax Identification Number is not available, Kindly provide functional equivalent\$

Certification : I/We understood the information requirements of this form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this form is true, correct and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided / available in the bank records will be used for CBDT reporting.

Signed for and on behalf of	1 st Holder	2 nd Holder	3 rd Holder
Signature			

CBDT Terms and Conditions

The Central Board of Direct Taxes(CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30days. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBDT Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)		Documentation required for Cure of FATCA/ CRS indicia
		If customer does not agree to be specified US person/ reportable person status
1	U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purpose; 2. Non-US passport or any non-US government issued document evidence nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain US citizenship at birth
2	Residence/ mailing address in a country other than India	1. Self-certification(in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purpose; and 2. Documentary evidence (refer list below)
3	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification(in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purpose; and 2. Documentary evidence (refer list below)
4	Telephone number in a country other than India	If no Indian telephone number is provided 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.