

## Announcements

Pset 0 graded

Pset 1 due tomorrow night

Pset 2 out

Midterm #1 Wednesday

Pset 1 subsequences

Q4

if  $x$  is a subseq of  $\omega$   
and  $x = x_1 \dots x_n$      $\omega = \omega_1 \dots \omega_m$

.....  $x_1 \dots x_2 x_3 \underbrace{x_4 \dots}_{\Sigma^*} \dots$

Midterm: 6 "long-answer" questions  
Structure: (5 worth 30 pts each, 1 15 pt)  
out of 150

Q1: 15 T/F

Q2-5: covers material seen in class

Q6: proof based on existing proofs from class

Format:

4 unstapled pieces of paper (both sides)

Return: unstapled

Name & student id are preprinted on all sheets  
both sides

## Regular Grammars

A regular grammar is a -tuple  $(V, \Sigma, R, S)$

- $V$  = finite set of variables (non terminals)
- $\Sigma$  = finite set of terminals
- $R$  = finite set of rules
- $S \in V$  - start variable

rules are of the form:  
1.  $A \rightarrow \epsilon$     2.  $A \rightarrow a$  ( $a \in \Sigma$ )

left side  
is always  
a single  
variable

3.  $A \rightarrow B$     4.  $A \rightarrow aB$   
 $\downarrow$   
 $B \in V$

Ex:  $S \rightarrow OS$        $\Sigma = \{0, 1\}$   
 $(S \text{ is start}) S \rightarrow T$        $V = \{S, T\}$   
 $T \rightarrow IT \quad | \quad \epsilon$   
 Derive the string       $\nwarrow$  represents  $T \rightarrow IT$   
 $001$        $\searrow$  represents  $T \rightarrow \epsilon$

$S \Rightarrow OS \Rightarrow 0OS \Rightarrow 00S \Rightarrow 00T \Rightarrow 001T$   
 $\Downarrow$   
 language of grammar is  $0^* 1^*$        $001$   
 $\swarrow$  yields (derives)  
 Say that  $vAv \Rightarrow vXv$  (A variable)  
 if  $A \rightarrow x$  is a rule

if  $S \Rightarrow w_1 \Rightarrow w_2 \Rightarrow \dots \Rightarrow w_n$   
 where  $w_i \in \Sigma^*$  then we say  
 $w_n \in L(G)$   
 $\uparrow$  reg. Grammar G.

$S \Rightarrow^* w_n$  (0 or more rule applications  
 to get  $w_n$ )

Claim: Every reg lang can be generated by a regular grammar.

If  $L$  is a reg lang, there is a DFA  $D = (Q, \Sigma, \delta, q_0, F)$  for  $L$ .

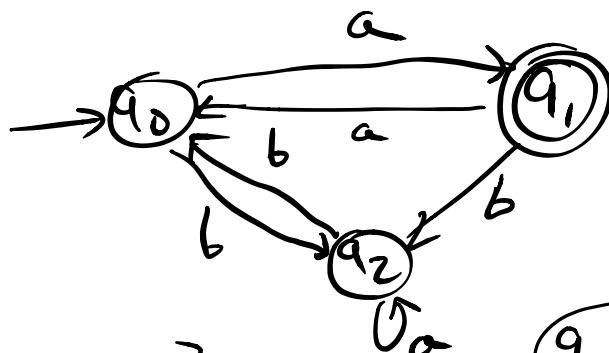
make a variable  $q$  for every state  $q \in Q$ .

Start variable is  $q_0$

$$\delta(q, a) = q' \text{ make rule } q \rightarrow a q'$$

for all  $q \in F$  add rule  $q \rightarrow \epsilon$

Ex:



$$V = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$S = q_0$$

$$\overbrace{q_0 \rightarrow a q_1} \\ q_0 \rightarrow b q_2$$

$$q_1 \rightarrow a q_0 \mid b q_2 \mid \epsilon$$

$$q_2 \rightarrow a q_2 \mid b q_0$$

Claim! Every language generated by a reg grammar  
is regular.

① if  $A \rightarrow aB$  then  $\underbrace{A \xrightarrow{a} B}$

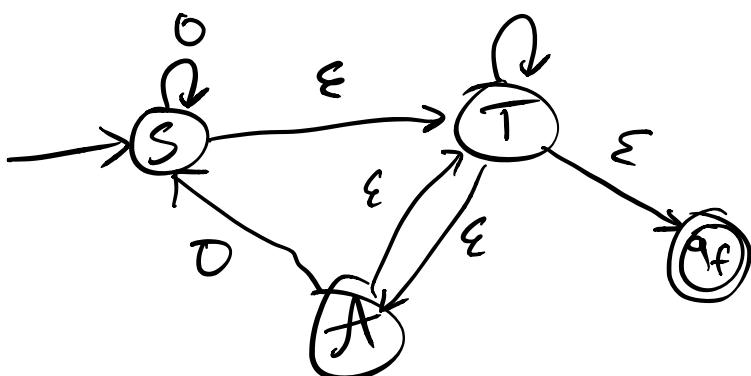
② if  $A \rightarrow B$  then  $A \xrightarrow{\epsilon} B$

③ if  $A \rightarrow a$  then  $A \xrightarrow{a}$  

④ if  $A \rightarrow \epsilon$  then  $A \xrightarrow{\epsilon}$  

↑  
is the only  
final state

Ex:  
(start)  
 $S \rightarrow OS$   
 $S \rightarrow T$   
 $T \rightarrow IT \mid \epsilon \mid A$   
 $A \rightarrow OS \mid T$



$$S \rightarrow OS1 \mid \epsilon \quad \begin{matrix} \Sigma = \{0, 1\} \\ V = \{S\} \end{matrix}$$

$$L(G) = \{0^n, 1^n : n \geq 0\}.$$

### Context-Free Grammars

Exactly the same as reg grammars  
except R has rules of the form

$$A \rightarrow X \quad \text{where } A \in V \quad X \in (V \cup \Sigma)^*$$

$$\text{Ex: } \frac{A \rightarrow abc \text{ DEFF } aABc}{A \rightarrow \epsilon \quad \uparrow}$$

Say that  $w_1 \Rightarrow^* w_2$   
if  $w_1 = w_2$  or there exists  $w_3$   
st.  $w_1 \Rightarrow w_3$  and  $w_3 \Rightarrow^* w_2$ .

The language of a context-free grammar  $\mathcal{G}$ ,  
 $L(G) = \{w \in \Sigma^*: S \Rightarrow^* w\}$ .



$$S \rightarrow (S) \mid SS \mid \epsilon \quad \Sigma = \{(), ()\}$$

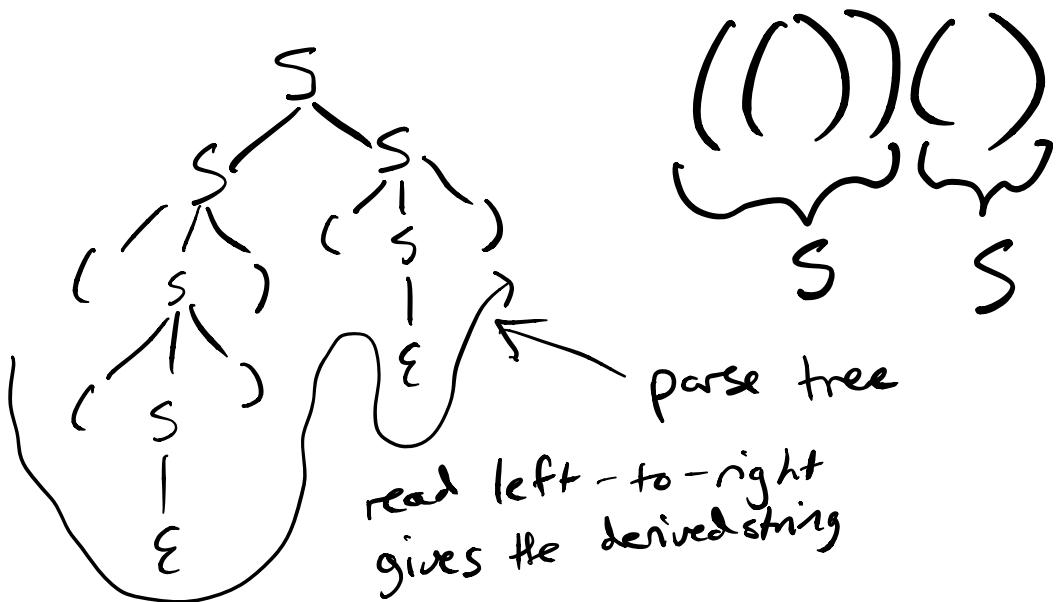
"balanced parentheses"

$$\begin{aligned}
 S &\Rightarrow SS \Rightarrow S(S) \xleftarrow{\downarrow} S(S) \\
 &\Rightarrow (S)(S) \Rightarrow (S)() \\
 &\Rightarrow ((S))() \Rightarrow (( ))()
 \end{aligned}$$

$(( ))()$

$$\begin{array}{c}
 \epsilon \\
 S \Rightarrow \epsilon \\
 S \Rightarrow SS \\
 \Rightarrow S \Rightarrow \epsilon
 \end{array}$$

A leftmost derivation is a derivation where the leftmost variable is applied with a rule.



leftmost derivations & parse trees  
are in 1-1 correspondence.

Call a grammar ambiguous if there is some string in its language having  $\geq 2$  different leftmost derivations/parses.

Unambiguous CFG for balanced parentheses:

$$S \rightarrow \epsilon \mid (S)S$$

Chomsky Normal Form

A CFG is in Chomsky Normal Form (CNF) if all rules are of the form

$$\begin{array}{l} S \rightarrow \epsilon \\ A \rightarrow a \quad (A \in V) \\ A \rightarrow BC \quad B, C \neq S \end{array}$$

$$S \rightarrow (S) \mid SS \mid \epsilon$$

Step 1: Make a new start variable  $S_0$  (of  $S$ ) with rule  $S_0 \rightarrow S$

$$\begin{array}{l} S_0 \rightarrow S \\ S \rightarrow (S) \mid SS \mid \epsilon \end{array}$$

Step 2:

## Office Hours/ Problem Solving

PS!      Q#1:



Q2: 12022

Q4: Substring vs subsequence

Subseq. is a sequence of indices  
 $i_1 < i_2 < \dots < i_k$

Substring has  $i_1 = i_2 - 1, i_2 = i_3 - 1, \dots$

aabccdabc

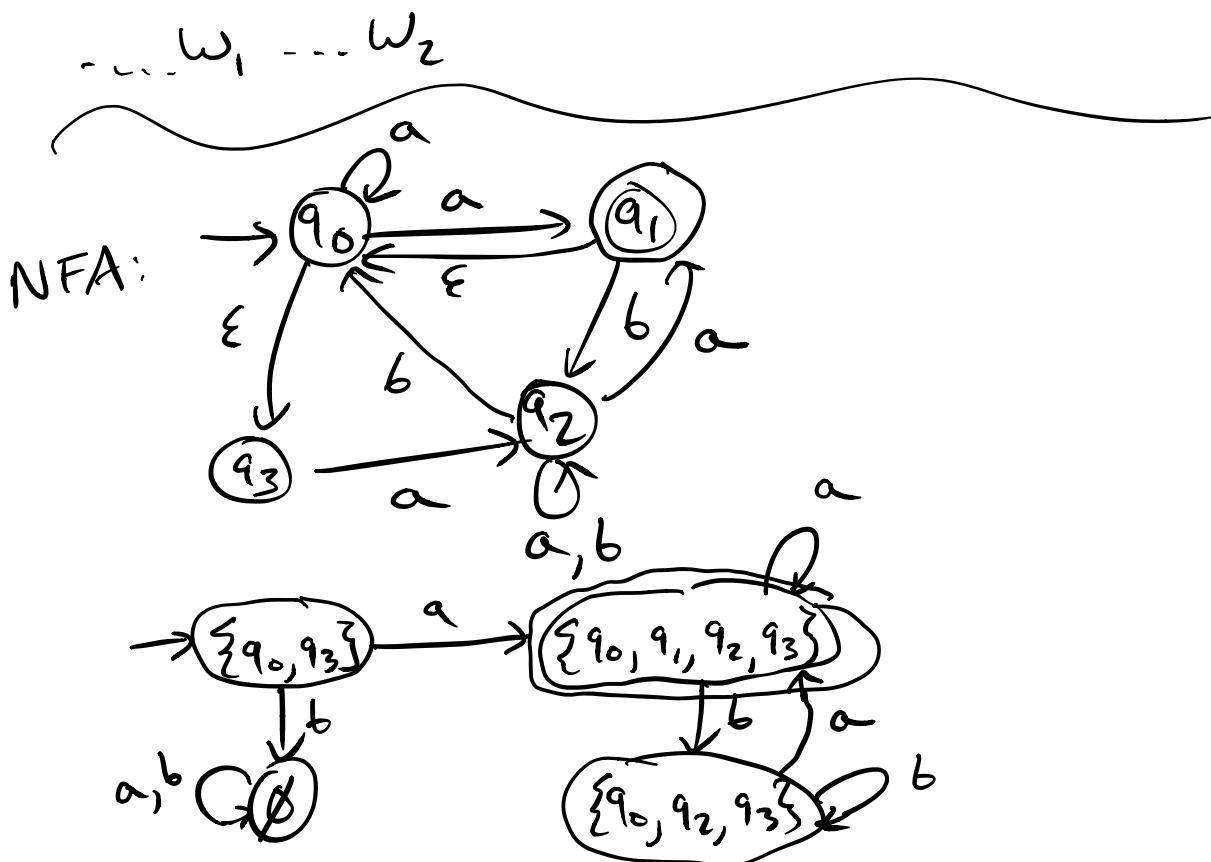
bdbc a substring? X

a subsequence?

abccd a substring? ✓

a subsequence? ✓

$w_1, w_2, w_3, \dots, w_n \in L$



$L = \{ \omega \in \{0, 1, 2\}^*: \omega \text{ has an equal}$   
 $\text{# of substrings than } 10 \text{ substrings} \}$ .

Claim:  $L$  is not regular.

Assume  $L$  is regular.

$\Rightarrow \exists \text{ a PFA for } L$ .

Choose  $\omega = (01)^P 2 (10)^P$

0101010101

$\omega = (012)^P (210)^P \quad (\omega = xyz)$

01 ~~012~~ ...

012 ~~012~~ ...

No matter the decomposition of  $\omega$  into  
 $xyz$  s.t.  $|y| \geq 1$  and  $|xy| \leq P$

consider  $xy^2z = xz$

if  $y=2$ , Removing any char (except for  $y=2$ ) on the "first half" of the  
 then # of 10 substrings  $\uparrow$ , string removes at least 1 01 substring, but  
 but # of 01 stay the same. does not change the # of 10 substrings.  
 $\Rightarrow xz \notin L$ , so  $L$  is not reg.

$$L = \{ \omega \text{ contains } 01 \text{ as a substring} \} \cup \{ \omega : |\omega| \text{ is odd} \}$$

$\downarrow$                                      $\downarrow$   
 reg (NFA)                                    reg (DFA)

$$L = \begin{cases} 1 & \text{if life is ever found} \\ 0 & \text{otherwise} \end{cases}$$

or Mars