

Single Linkage Clustering

Parismita Das

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Single Linkage Criterion

In Single Linkage Criterion of Agglomerative Hierarchical clustering, The distance between the elements of each cluster is minimised. As the Naive single linkage clustering has a worst case time complexity of $O(N^3)$. It becomes useless for large data which are frequently used in the field of bioinformatics.

Here by restricting the data to h-band similarity matrix and using min-heap we reduce the time complexity to $O(p \log p + p h)$

The Input

The input is taken as Cosine Similarity matrix which is then converted into Distance Matrix in file adjClust-Band_heap.R

```
matL <- .toMatLeft(xt, p, h)    ## a matrix p x h (with zeros at the bottom) of the LD values
dissim <- as.numeric(1 - matL)  ## cosine distance metric
```

The Output

The output is a hclust object with details of gain, height, label, merge data, order etc.

```
tree <- list(traceW=traceW,
             gains=gains,
             merge = res,
             height = height,
             seqdist = height,
             order = 1:p,
             labels = paste("",1:p),
             method = "adjclust-heaps",
             call = match.call(),
             dist.method = attr(D, "method"))
class(tree) <- "hclust"
```

The Algorithm

Algorithm 8 The **optimized** algorithm of the **SLINK** : constrained hierarchical clustering applied to a h -band similarity matrix

```

procedure C SLINK ( $\mathbf{X} \in \mathbb{R}^{n \times p}$ , Sim,  $h$ )

    Initialize the chained array  $Tab$ 
     $heap \leftarrow \text{buildHeap}(1 : (p - 1), D)$   $\triangleright \mathcal{O}(p \log(p))$ 
     $jj \leftarrow p$ 
    for  $step = 1$  to  $p - 1$  do
        while ( $!Tab[valid, heap[1]]$ ) do
             $heap \leftarrow \text{deleteMin}(heap)$   $\triangleright \mathcal{O}(\log(p))$ 
        end while
         $posMin \leftarrow heap[1]$ 
         $i^* \leftarrow Tab[C11, posMin]$ 
         $heap \leftarrow \text{deleteMin}(heap)$   $\triangleright \mathcal{O}(\log(p))$ 
         $d_1 \leftarrow D(C_{i^*-1}, C_{i^*} \cup C_{i^*+1})$   $\triangleright \mathcal{O}(ph)$ 
         $d_2 \leftarrow D(C_{i^*} \cup C_{i^*+1}, C_{i^*+2})$ 
        Add the distances  $d_1$  and  $d_2$  to  $Tab$ 
         $heap \leftarrow \text{insertHeap}(heap, jj, D)$   $\triangleright \mathcal{O}(\log(p))$ 
         $heap \leftarrow \text{insertHeap}(heap, jj + 1, D)$   $\triangleright \mathcal{O}(\log(p))$ 
        Update the neighbors of  $C_{i^*-1}$  and  $C_{i^*+2}$  in  $Tab$ 
        Set  $Tab[valid, posMin]$ ,  $Tab[valid, posL]$ 
           and  $Tab[valid, posR]$  to FALSE
         $jj \leftarrow jj + 2$ 
    end for
end procedure

```

Computation of Minimum Distance via SLINK

```

for(int i=mini;i<=maxi;i++)
{
    for(int j=minj-i;(j<=maxj-i)&&(j<=h);j++)
    {
        res = MIN(DIS(i,j,p),res);
    }
}

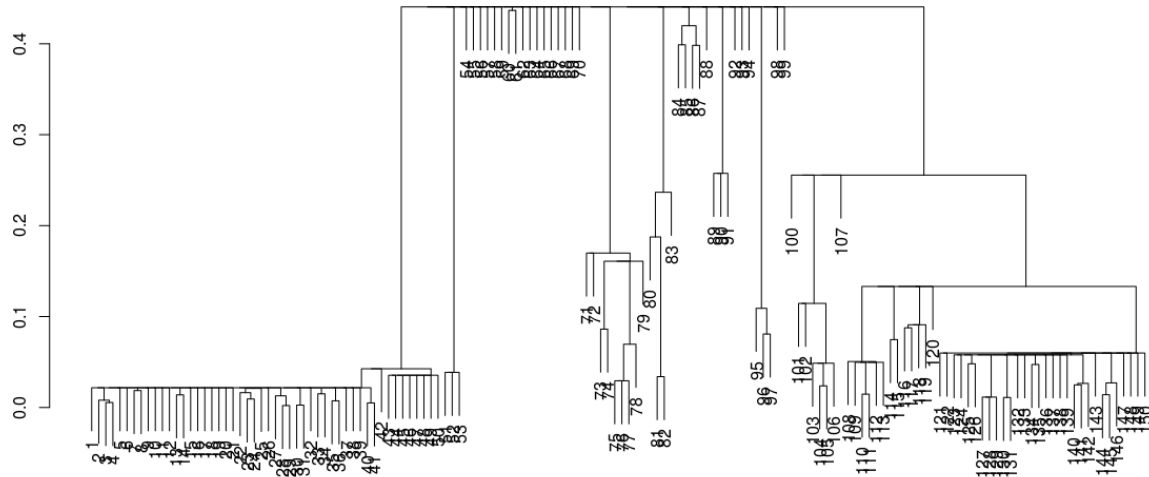
```

Where the number of items in cluster I is mini - maxi and cluster J is minj - maxj. In loop-2 the constrain that the difference between index of item must not exceed h is applied.

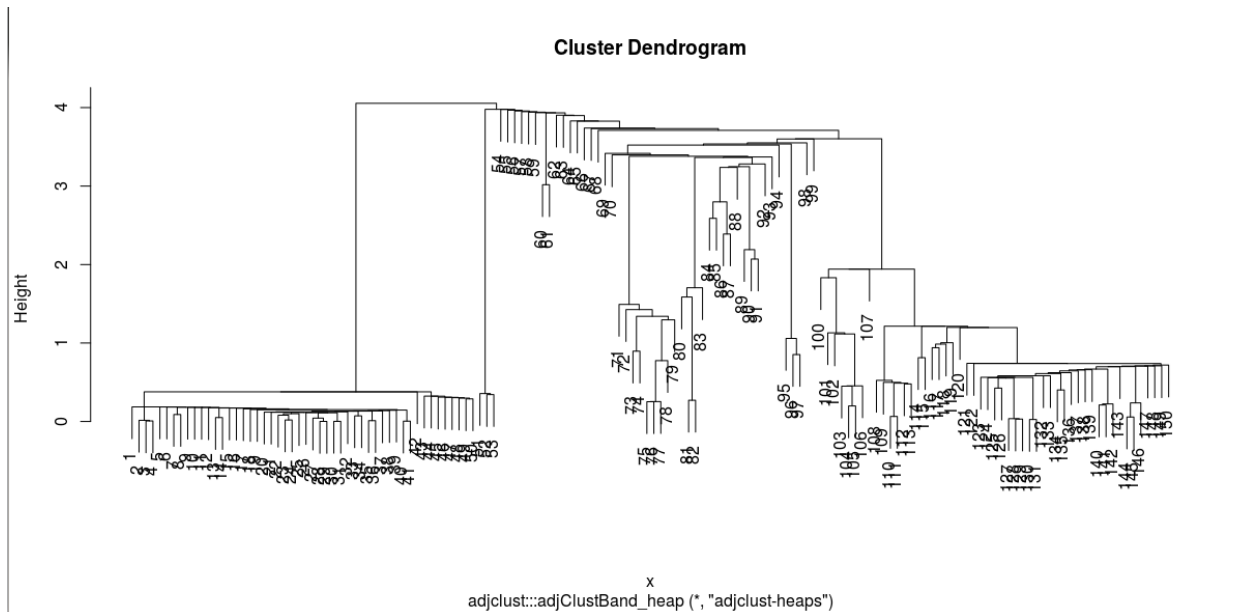
Comparision with Rioja

Taking parameter h as the width of the matrix we get similar results as chclust function of rioja with conslink method.

- The output by rioja chclust (conslink)



- The output by adjClustBand_heap



Comparing the Merge Data of both the functions we get same values initially and it deviates a little at higher heights.

- The Merge Data by chclust (conslink)

```
#Recalculating the similarity matrix as s_re = 2-2*s' and rechecking the rioja cluster value
s_re <- 2 - 2*s_scaled
```

```
#rioja hclust object
diss <- as.dist(s_re)
clust <- chclust(diss, method = "conslink")
View(cbind(clust$merge ,height = clust$height))
```

	V1	V2	height
1	-28	-29	0.002128967
2	-30	-31	0.002615371
3	-40	-41	0.004994196
4	-3	-4	0.005459483
5	-35	-36	0.007343047
6	-2	4	0.008036101
7	-23	-24	0.009361021
8	-130	-131	0.011009329
9	-127	-128	0.011422277

- The Merge Data by adjClustBand_heap

```
# hclust object using adjClustBand_heap function
# where s_scaled is the similatrity matrix
h<- ncol(s_scaled)
fit <- chaclust(s_scaled,h,f = 1)
plot(fit)
View(cbind(fit$merge ,height = fit$height))
```

	V1	V2	height
1	-28	-29	0.001064484
2	-30	-31	0.002372169
3	-40	-41	0.004869267
4	-3	-4	0.007599009
5	-35	-36	0.011270532
6	-2	4	0.015288583
7	-23	-24	0.019969093
8	-130	-131	0.025473758
9	-128	-129	0.031184896

Though the Height is different, the overall height of dendrogram is almost same around ~ 0.4 and the merge data of clusters are same as shown in the data table.

References:

- <https://tel.archives-ouvertes.fr/tel-01288568/en>
- <http://www.geeksforgeeks.org/greedy-algorithms-set-5-prims-minimum-spanning-tree-mst-2/>