

# Thalassemias

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# Today's Discussion

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- Background
- Categories of Thalassemia
- $\alpha$ -thalassemia
- $\beta$ -thalassemia
- Thalassemia associated with structural hemoglobin variants



# Definitions and History

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- Thalassemia- group of inherited disorders caused by genetic mutations affecting the globin chain component of hemoglobin
- High incidence of patients of Mediterranean descent with this disorder
  - Disease was called *Thalassic* (Greek for “great sea”) anemia
- Results from a reduced or absent synthesis of one or more of the globin chains of hemoglobin
  - Diminished hemoglobin synthesis and production of microcytic hypochromic RBCs
- Mutations affecting the alpha and beta chains are the most significant
  - Decrease or absence of one of these chains leads to decrease production of Hb and imbalance of  $\alpha/\beta$  chain ratio



# Diagnosis of Thalassemia

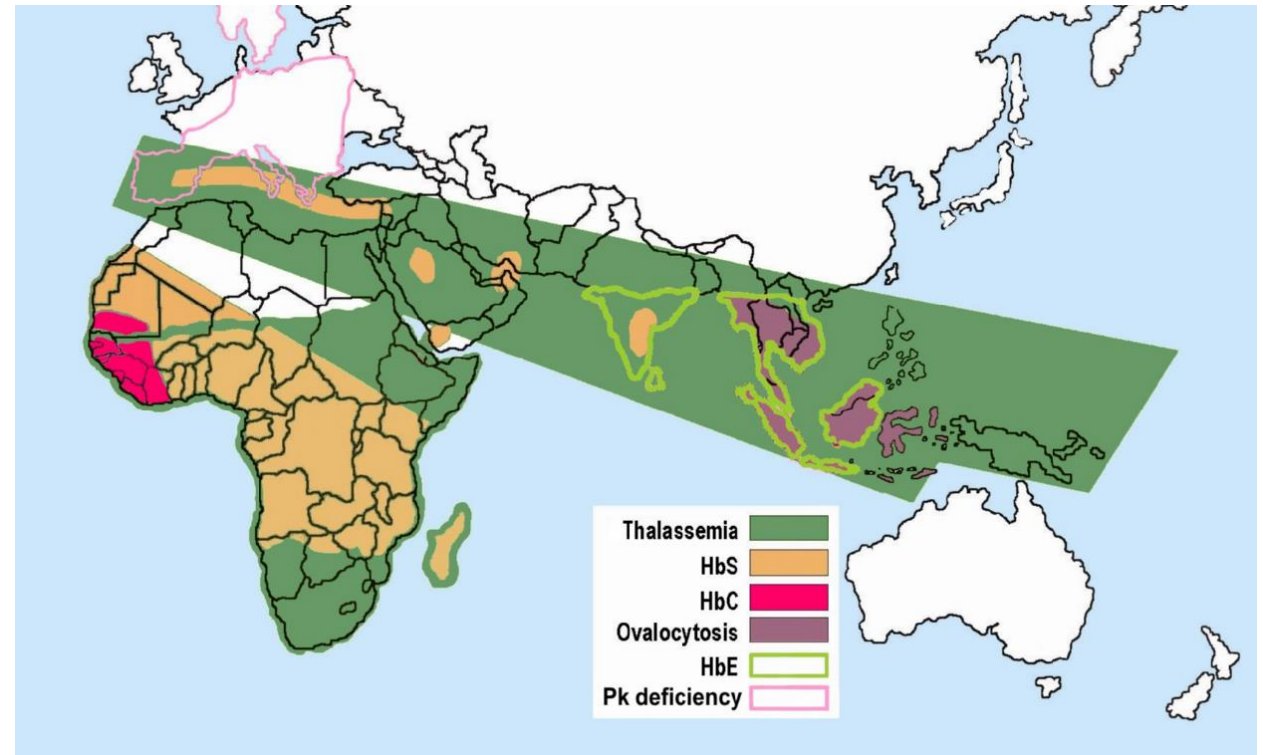
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- History and physical examination
  - Ethnic background should be evaluated
    - Increase prevalence of specific gene mutations in certain populations
  - Clinical examination
    - Pallor (due to anemia)
    - Jaundice (due to hemolysis)
    - Splenomegaly
      - Caused by sequestration of abnormal RBCs, excessive extravascular hemolysis, some extramedullary erythropoiesis
    - Skeletal deformities
      - Massive expansion of BM cavities



# Epidemiology

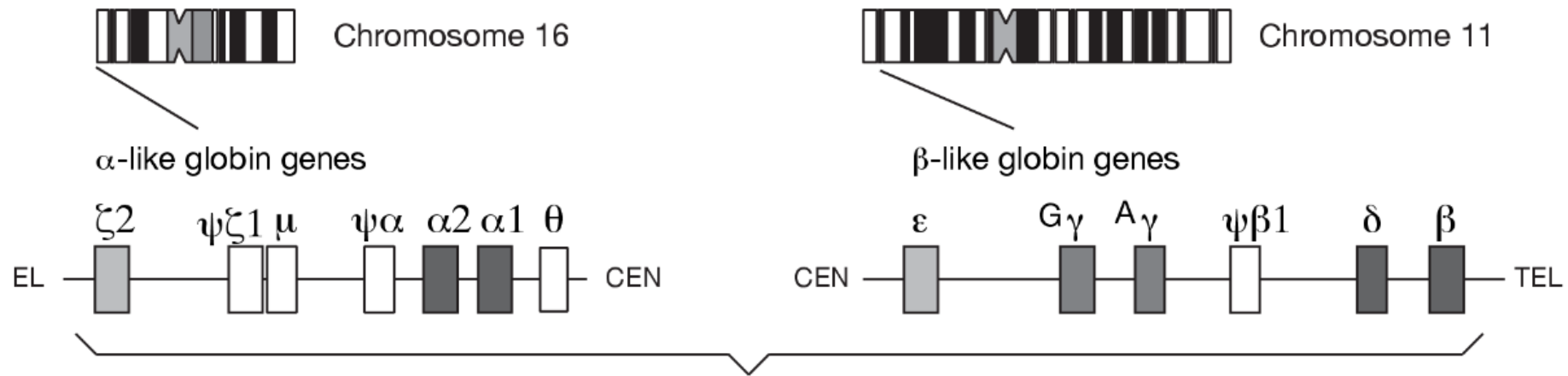
- 56,000 infants conceived or born with significant thalassemia each year
  - More than ½ require transfusion
- Distribution concentrated in the “thalassemia belt”
  - Mediterranean east through the Middle East and India to Southeast Asia and south to Northern Africa
  - Coincides with areas in which malaria is prevalent
  - The resistance and protective effect of thalassemia against malaria are not clear



Compendium of Hemoglobinopathies, SERBIA Education Library



# Genetics Review



- Normal hemoglobin tetramer is two  $\alpha$ -like chains ( $\alpha$  or  $\zeta$ ) and two  $\beta$ -like chains ( $\beta, \gamma, \delta$ , and  $\epsilon$ )
- An individual will inherit one cluster of the functional genes on chromosome 11 and 16 from each parent

Genotype for normal  $\beta$  chain synthesis is designated  $\beta / \beta$

Genotype for normal  $\alpha$  chain synthesis is designated  $\alpha \alpha / \alpha \alpha$



# Genetic Defects Causing Thalassemia

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- Types of genetic defects that can cause a reduced or absent production of the particular globin chain
  - Single nucleotide (or point) mutation
  - small insertions or deletion
  - Large deletions
- Mechanisms by which mutation interferes with chain production
  - Reduced or absent transcription of mRNA
  - mRNA processing errors
  - Translation errors
  - Deletion of one or more globin genes



# Symptomatic Thalassemia

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Divided into 2 broad groups based on transfusion requirements

- Transfusion-dependent thalassemia (TDT)
  - $\beta$  thalassemia major
  - Severe E- $\beta$  thalassemia
  - $\alpha$  thalassemia major (Hb Barts hydrops fetalis)
- Non-transfusion- dependent thalassemia
  - $\beta$  thalassemia intermedia
  - Mild- moderate Hb E- Thalassemia
  - $\alpha$  thalassemia intermedia (Hb H disease)





# Categories of Thalassemia

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$\alpha$ -thalassemia



$\beta$ -thalassemia



# Categories of Thalassemia

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# $\alpha$ -thalassemia

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- Disorders or reduced globin chain production arise from  $\alpha_1$  and  $\alpha_2$  chains on chromosome 16
- Most common mutations are deletions involving the  $\alpha_1$  and/or  $\alpha_2$  globin genes
- Severity of symptoms depends on specific mutation, number of genes affected, and whether the affected gene is  $\alpha_1$  or  $\alpha_2$ 
  - $\alpha_2$  gene produces 75% of the  $\alpha$  chains in RBCs



# Nomenclature

$\alpha\alpha/\alpha\alpha$

- Normal (no disorders or clinical effect)

$-\alpha/\alpha\alpha$

- Heterozygous  $\alpha$ -thal-2 (silent carrier/asymptomatic)

$-\alpha/-\alpha$

- Homozygous  $\alpha$ -thal-2 (Thalassemia Minor/ microcytosis, mild anemia)

$--/\alpha\alpha$

- Heterozygous  $\alpha$ -thal-1 (Thalassemia Minor/ microcytosis, mild anemia)

$--/-\alpha$

- $\alpha$ -thal-1 /  $\alpha$ -thal-2 (hemoglobin H disease/chronic hemolytic anemia)

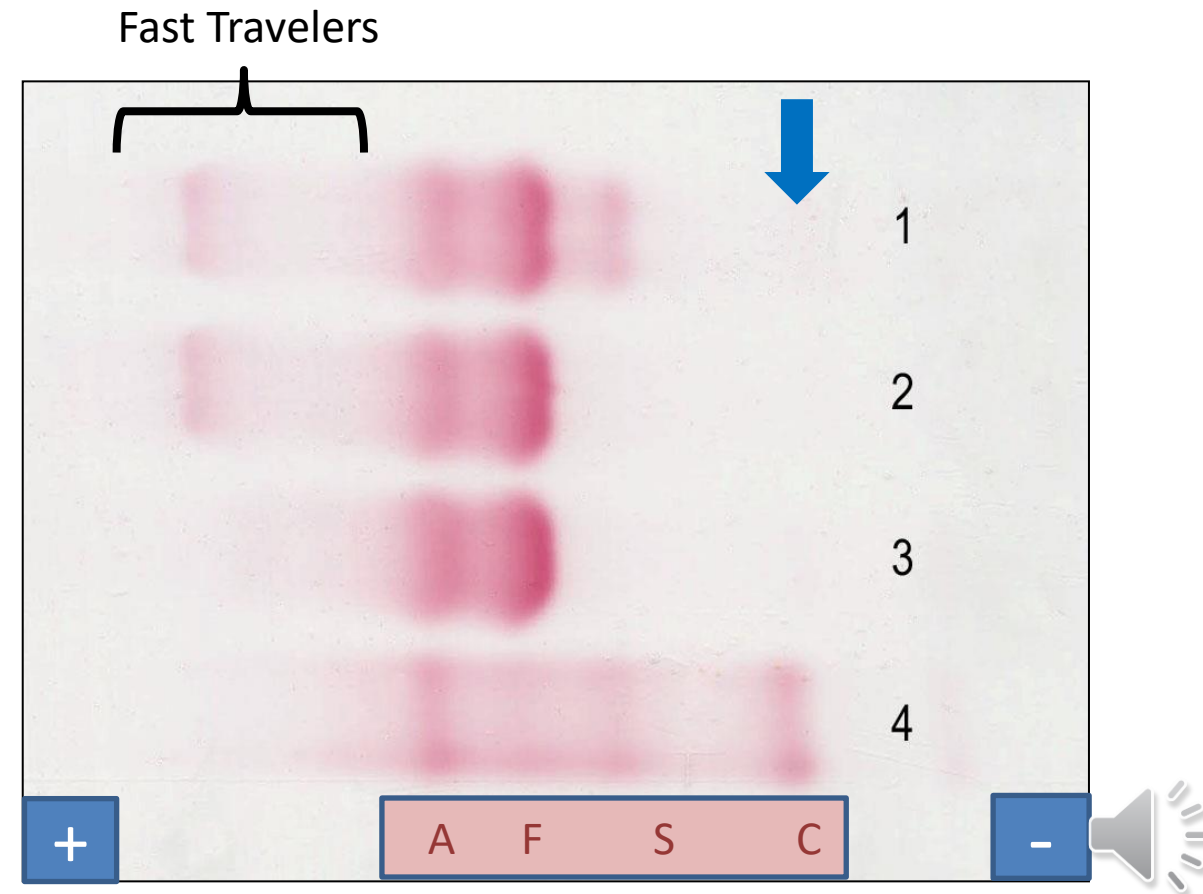
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- Homozygous  $\alpha$ -thal-1 (Bart's hydrops fetalis/lethal)



# $\alpha$ -Thalassemia Mechanism

- $\alpha$ - chain apart of fetus, newborn, and adult hemoglobin
- $\downarrow$  in  $\alpha$  chain in fetus and newborn
  - Results in  $\gamma$  chains excess
  - $\gamma$  chains stable, form a tetramer ( $\gamma_4$ )
    - Hb Bart
- $\downarrow$  in  $\alpha$  chain in adults
  - $\beta$  chain excess
  - $\beta$  chain stable and form tetramer ( $\beta_4$ )
    - Hb H
- Hb chain “fill in” are fast travelers on electrophoresis



# $\alpha$ -Thalassemia

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2 haplotypes:

- $\alpha^0$ :
  - deletion of both  $\alpha_1$  and  $\alpha_2$  no production of  $\alpha$  chains from that chromosome
- $\alpha^+$  (2 types):
  - deletion of either  $\alpha_1$  and  $\alpha_2$  on chromosome 16
    - Most common
  - non-deletional mutation in  $\alpha$  globin gene. Less common
    - **Constant Spring ( $\alpha^{CS}$  chain)**
      - $\alpha_2^{142\text{Stop} \rightarrow \text{Gln}}$ 
        - Additional bases are added to the end of the mRNA during transcription until a stop codon is reached
        - Elongated mRNA very unstable and process small amount of  $\alpha^{CS}$  chain
        - Longer  $\alpha$  chain makes tetramer unstable
      - Instability of both mRNA and tetramer causes the circulating Hb Constant Spring to be very low (<1%)



# Four Clinical Syndromes of $\alpha$ - Thalassemia

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- Silent carrier state
- $\alpha$ - thalassemia minor
- Hb H disease
- Hb Bart hydrops fetalis syndrome



# Silent Carrier State

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- Deletion of one  $\alpha$ -globin gene ( $-\alpha\alpha/\alpha\alpha$ )
  - $\alpha/\beta$  chain ratio nearly normal
  - No hematologic abnormalities
  - Slight excess of  $\gamma$  at birth that form tetramers
    - Hb Barts ( $\gamma_4$ ) 1-2%
- Non-deletional  $\alpha^+$  mutation in one  $\alpha$  globin gene ( $\alpha^T\alpha/\alpha\alpha$ )
  - If heterozygous mutation ( $\alpha^{CS}\alpha/\alpha\alpha$ ), Hb Constant Spring is less than 1% of total hemoglobin





# $\alpha$ - Thalassemia Minor

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- AKA  $\alpha$ - Thalassemia Trait
- Major cause is the deletion of two  $\alpha$ - globin genes
  - Homozygous ( $- \alpha / - \alpha$ ) and heterozygous ( $-- / \alpha \alpha$ )
  - Asymptomatic with mild microcytic anemia, MCV less than 80 fL, and a MCH less than 27 pg
  - At birth  $\rightarrow$  Hb Bart (5%-15%)
  - Adults  $\rightarrow$   $\alpha$  and  $\beta$  usually balanced. Hb H is not present
- Homozygous non-deletional mutation in both  $\alpha_2$  globin ( $\alpha^T \alpha / \alpha^T \alpha$ )
  - Mild to moderate hemolytic anemia
  - Jaundice and hepatosplenomegaly
  - If homozygous mutation ( $\alpha^{CS} \alpha / \alpha^{CS} \alpha$ ), Hb Constant Spring is 5%-6% of total hemoglobin



# Hemoglobin H Disease

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- $\alpha$  Thalassemia Intermedia
- Deletion of 3  $\alpha$  globin genes ( $--/- \alpha$ )
  - Only one  $\alpha$ -globin gene to produce all the  $\alpha$  chains
  - Common in Asian population
  - Excess unpaired  $\beta$  chains form tetramers
    - Newborns: Hb Bart's (10-40%)
    - Adults: Hb H
      - After  $\gamma$  to  $\beta$  switch, Hb H replaces most of the Hb Barts
      - Hb H comprises 1-40% with decreased Hb A and Hb A<sub>2</sub>

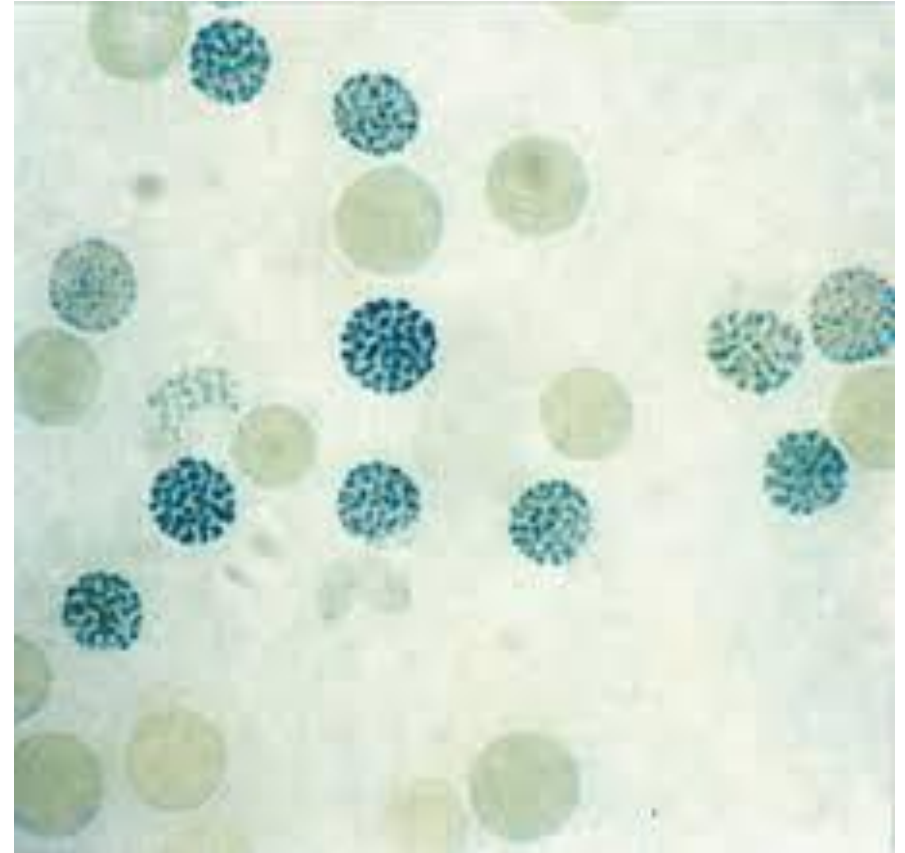


# Hemoglobin H Disease

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- Symptoms

- Mild to moderate, chronic hemolytic anemia with reticulate count 3-10%
- Usually non-transfusion dependent
- Enlarged spleen
- Peripheral Blood Smear
  - Hb H inclusions inside the RBC (vulnerable to oxidation)
  - Microcytic and hypochromic RBCs
  - Poikilocytosis

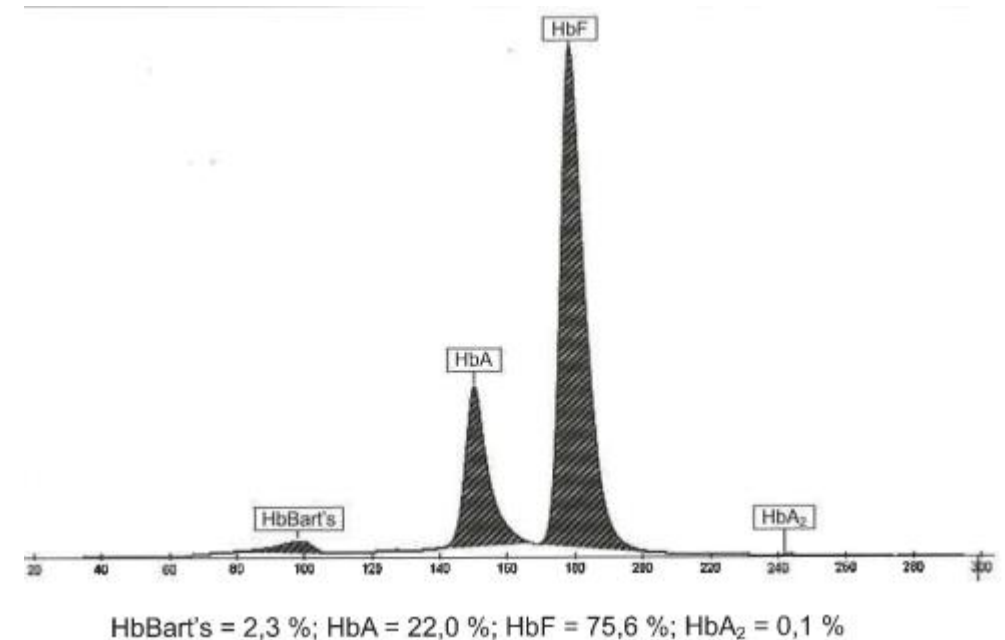


Courtesy of B. Martien, Hematology Education



# Hb Bart Hydrops Fetalis Syndrome

- $\alpha$  Thalassemia Major
- Homozygous  $\alpha^0$ - thalassemia (--/--) results in absence of all  $\alpha$  chain production
- Usually results in death in utero or shortly after birth
- Transfusion dependent thalassemia
- Severe anemia in the fetus
  - Cardiac failure and edema in subcutaneous tissues (hydrops fetalis)
- Hb Bart ( $\gamma_4$ ) predominant Hb
  - Small amounts of Portland ( $\zeta_2\gamma_2$ ) and traces of Hb H
  - Has a high oxygen affinity and does not deliver oxygen to the tissues



Compendium of Hemoglobinopathies, SERBIA Education Library



**Table 14:** Diagnosis, genotypes, hematological data and cardinal symptoms of  $\alpha$ -thalassemias

Genetic status/diagnosis	Structure of the $\alpha$ -globin genes	Red blood cell count	Qualitative hemoglobin pattern	Cardinal symptoms
Normal finding	■ ■ / ■ ■ $\alpha\alpha / \alpha\alpha$	Hb normal MCH normal	normal	no symptoms
Heterozygous $\alpha^+$ -thalassemia = $\alpha$ -thalassemia minima	■ ■ / ■ ■ $-\alpha / \alpha\alpha$	Hb normal MCH < 27 pg	normal	no symptoms, minor changes in the blood count
Homozygous $\alpha^+$ -thalassemia = $\alpha$ -thalassemia minor	■ ■ / ■ ■ $-\alpha / -\alpha$	Hb low MCH < 26 pg	normal	mild anemia, noticeable changes in the blood count
Heterozygous $\alpha^0$ -thalassemia = $\alpha$ -thalassemia minor	■ ■ / ■ ■ $-- / \alpha\alpha$	Hb low MCH < 24 pg	normal	mild anemia, noticeable changes in the blood count
Compound heterozygosity $\alpha^+/\alpha^0$ -thalassemia = HbH disease	■ ■ / ■ ■ $-- / -\alpha$	Hb 8-10 g/dl MCH < 22 pg	HbH $\approx$ 10 - 20 %	variable, chronic hemolytic anemia
Homozygous $\alpha^0$ -thalassemia = HbBart's Hydrops fetalis syndrome	■ ■ / ■ ■ $-- / --$	Hb < 6 g/dl MCH < 20 pg	HbBart's 80 - 90 % HbPortland $\approx$ 10 - 20 % HbH < 1 %	life-threatening fetal anemia, generalized hydrops

■ active  $\alpha$ -genes ■ deleted  $\alpha$ -genes



# Categories of Thalassemia

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# $\beta$ Thalassemia

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- Disorders of reduced globin chain production arise from the  $\beta$  globin gene cluster on chromosome 11
- Mainly affect  $\beta$  chain production but can also involve  $\gamma, \delta$ , and  $\epsilon$  chains
  - More than 300 mutations known (more than 280 mutations in  $\beta$ -globin gene alone)
- Types:
  - $\beta^0$ : No  $\beta$  chain is produced (commonly found in Mediterranean areas)
  - $\beta^+$ : partial deficiency of  $\beta$  chains
  - $\beta^{\text{silent}}$ : silent carrier
  - $\delta\beta^0$ : mutations in  $\delta$  or  $\beta$  genes in which no  $\delta$  or  $\beta$  chains are produced
  - $\delta\beta^{\text{Lepore}}$ : fusion of the  $\delta$  and  $\beta$  globin genes that produce Hb Lepore



# Mechanism of $\beta$ Thalassemia

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- Unpaired, excess  $\alpha$  chains precipitate in developing erythroid precursors
  - Form inclusion bodies → cause oxidative stress and damage to cellular membrane
  - Apoptosis is triggered
- Ineffective erythropoiesis
  - Premature death of erythroid precursors in BM
  - BM attempt to produce RBCs but not able to release viable cells into circulation
    - If RBCs released, they contain inclusions and are destroyed in the spleen (extravascular hemolysis)
- Symptoms
  - Fetal life asymptomatic until 6 month
  - Will begin 6-34 months
    - Completion of the  $\gamma$ - $\beta$  switch





# Nomenclature

$\beta / \beta$

- Normal (Hb normal)

$\beta_{\text{silent}} / \beta$

- Silent Carrier (Hb normal)

$\beta^+ \beta$  or  $\beta^0 / \beta$

- Minor (heterozygous)
- Hb 10-13 g/dL

$\beta^+ / \beta^+$  or  $\beta^+ / \beta^0$  or  $\beta^0 / \beta^0$

- Major (homozygous)
- Hb 2-3 g/dL

$\beta$  thalassemia intermedia

- Intermediate severity (Hb 7 g/dL)
- Example: Parent with silent carrier state ( $\beta^{\text{silent}} / \beta$ ) and parent with  $\beta$ -thalassemia trait ( $\beta^+ / \beta$  or  $\beta^0 / \beta$ ) → Compound heterozygosity ( $\beta^0 / \beta^{\text{silent}}$  or  $\beta^+ / \beta^{\text{silent}}$ )



# $\beta$ - Thalassemia Silent Carrier

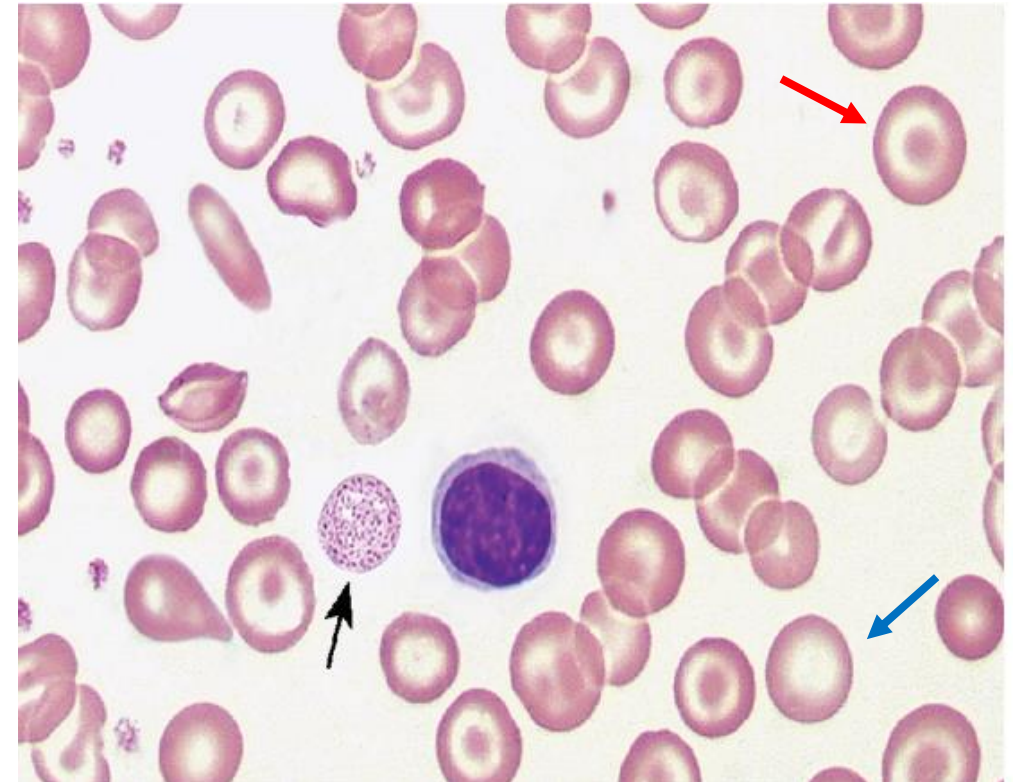
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- Various heterogeneous  $\beta$  globin gene mutations that produce small decrease in production of  $\beta$  chains
- Silent state ( $\beta^{\text{silent}} / \beta$ )
  - Nearly normal  $\alpha/\beta$  ratios
  - No hematologic abnormalities
  - Recognized when unknown silent carrier and  $\beta$  thal trait have a child with symptoms of  $\beta$  thal intermedia (compound heterozygosity)
- Some individuals who are Homozygous ( $\beta^{\text{silent}} / \beta^{\text{silent}}$ ) have been described
  - Mild  $\beta$ -thalassemia intermedia phenotype with  $\uparrow$  Hb F and  $\uparrow$  Hb A<sub>2</sub>



# $\beta$ - Thalassemia Minor

- $\beta$  thalassemia trait (heterozygous state)
- 1 mutated  $\beta$  gene ( $\downarrow$  or abolished expression) and 1 normal gene
- Mild, asymptomatic anemia
- Hb levels: 11-15 g/dL in Men and 10-13 g/dL in Woman
- Peripheral smear:
  - Microcytic, hypochromic RBCs
  - Target cells
  - Elliptocytes
  - Basophilic stippling

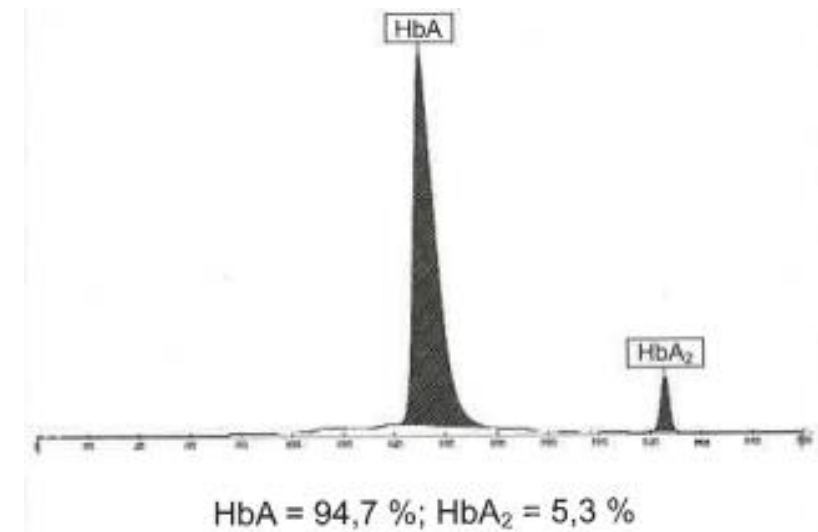


<https://doctorlib.info/hematology/rodak-hematology-clinical-principles-applications/29.html>



# $\beta$ - Thalassemia Minor

- Extra  $\alpha$  chains combine with  $\delta$  to form more Hb A<sub>2</sub> and with  $\gamma$  to form more Hb F
  - $\beta^+/\beta$  or  $\beta^0/\beta$
  - Hb A level of 92-95%
  - Hb A<sub>2</sub> elevated to 3.5-7.0%
  - Hb F ranges from 1-5%
- Less common types:  $\delta\beta^{\text{Lepore}}/\beta$  or  $\delta\beta^0/\beta$
- Screening for  $\beta$ -Thalassemia minor
  - Very important
  - High carrier frequency
  - Mass screening in Italy and Greece



# $\beta$ - Thalassemia Major

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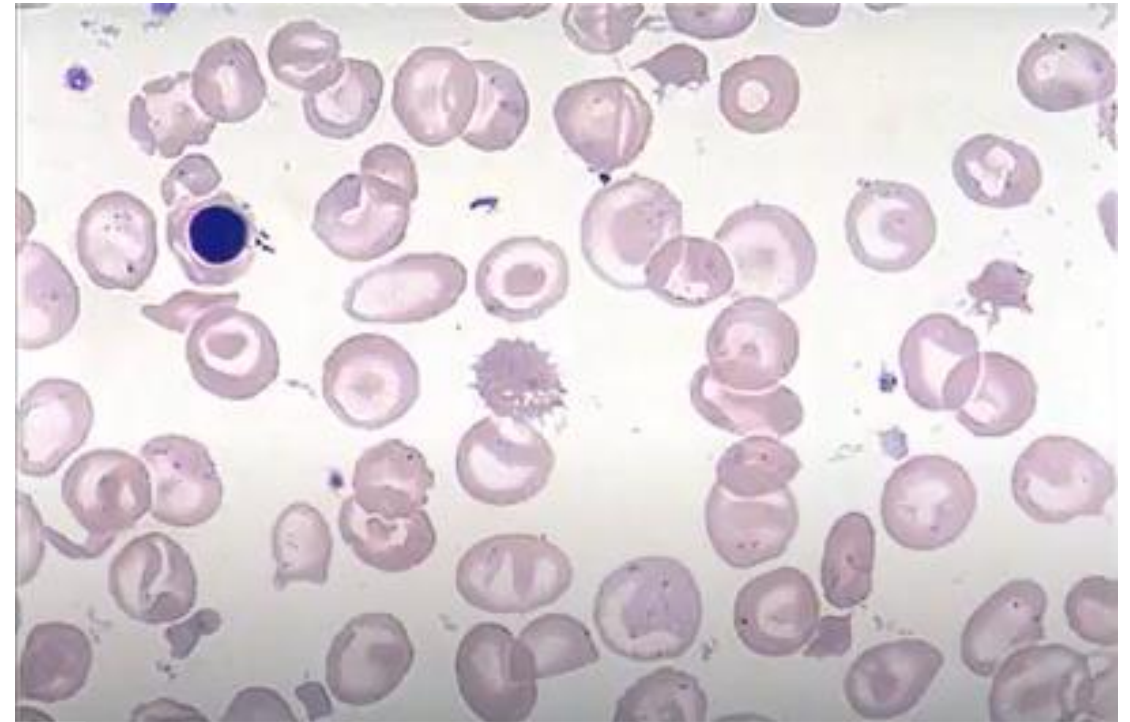
- $\beta^+/\beta^+$  or  $\beta^+/\beta^0$  or  $\beta^0/\beta^0$
- Homozygous or compound state
- Characterized by severe anemia, microcytic and hypochromic RBCs, severe clinical symptoms and transfusion dependence
- Diagnosed between 6 month-2 years of age
- Requires regular transfusion therapy
  - Hemoglobin can go from 7 g/dL (treated) to as low as 2-4 g/dL (untreated)
- Increased Hb F and Hb A<sub>2</sub> with little to no Hb A



# $\beta$ - Thalassemia Major

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- Decreased MCV and reticulocyte is 2-8%
- Bone Marrow:
  - Increased RBC so M:E ratio is 1:20
- Peripheral Blood:
  - Marked microcytosis, hypochromia, anisocytosis, and poikilocytosis
  - Target cells, teardrop cells, and elliptocytes
  - Basophilic stippling, Howell-Jolly and Pappenheimer bodies
  - nRBCs may be present
- Profound anemia stimulates an increase in EPO and results in a massive (but ineffective) erythroid hyperplasia



# $\beta$ - Thalassemia Major Without Treatment

- Enlarged liver and spleen
- Massive bone marrow expansion gives prominence of forehead, cheek bones, and upper jaw
- Extramedullary erythropoiesis causes hepatosplenomegaly
  - Enlarged spleen can cause worsening anemia with neutropenia and thrombocytopenia
- Increased RBC destruction leads to excess hemoglobin and increased indirect bilirubin
  - Can lead to jaundice





# $\beta$ - Thalassemia Major: With Treatment

- Requires transfusion regimen
  - Hypertransfusion- correct anemia, suppress marked erythropoiesis
- Must watch for iron overload
  - Iron chelation therapy used to prevent growth restriction in children and cardiomyopathy or cirrhosis of liver in adults
  - Iron excreted in urine and stool
- Hematopoietic stem cell transplantation (HSCT)
  - Only curable therapy for thalassemia major





# $\beta$ - Thalassemia Intermedia

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- Syndrome in which the  $\alpha/\beta$  chain imbalance and symptoms fall between  $\beta$  Thalassemia minor and  $\beta$  Thalassemia major but without a need for regular transfusion therapy to maintain Hb level\*
- Non transfusion dependent thalassemia with Hb between 7-10 g/dL
- Genotypes show great heterogeneity: Many possible mutations
- Patients experience Iron overload even though they do not receive regular transfusions
  - Marked accelerated ineffective erythropoiesis suppresses hepcidin production by the liver
    - Results in more iron absorption by intestinal enterocytes
  - Regular monitoring for iron overload recommended: regular chelation therapy
  - Can result in an increased risk of thrombosis



# Thalassemias caused by defects in $\beta$ -Globin Gene Clusters

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- Can be caused by deletion, inactivation, or fusion of a combination of the  $\beta$ -globin gene cluster
- Includes
  - Hereditary Persistence Fetal Hemoglobin (HPFH)
  - $\delta\beta^0$ -Thalassemia
  - Hb Lepore Thalassemia



# HPFH and $\delta\beta^0$ -Thalassemia

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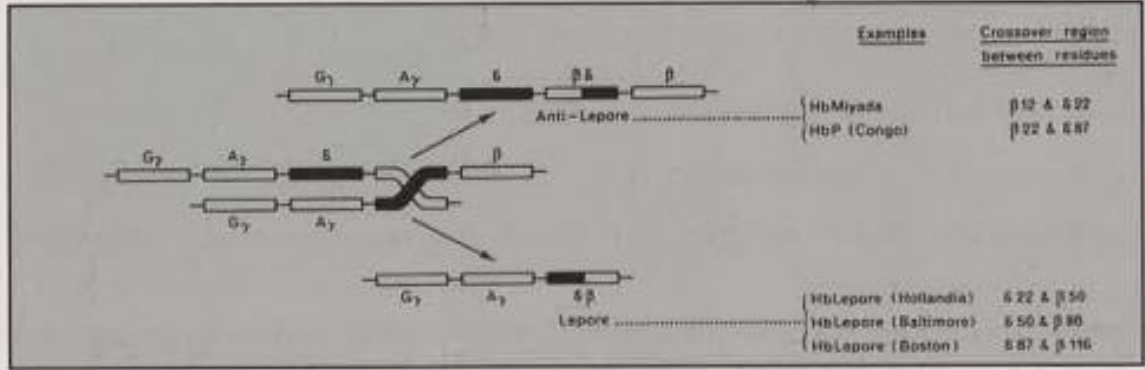
- Heterogeneous conditions in which Hb F is expressed at increased levels beyond infancy into adulthood
- Normal MCV
- Anemia is usually not present
- **Hereditary persistence fetal hemoglobin (HPFH)**
  - $\beta$ -globin gene cluster
  - Deletion in  $\delta\beta$  region or non-deletional mutations in  $\gamma$ -chain promoter region\*
  - Trait: Hb F = 15-30% (heterozygous)
  - Disease: Hb F = 100% (homozygous-no switch to adult Hb)
  - When looking at Hb distribution of Hb F can be pancellular (deletion type) or heterocellular (non-deletional type)
- **$\delta\beta^0$ -Thalassemia**
  - Deletions of  $\delta$  and  $\beta$  globin genes and increase in Hb F
  - 10-20% of thalassemia minors
  - Hb A<sub>2</sub> normal and increased Hb F (5-15%)
  - If homozygous, no Hb A or Hb A<sub>2</sub>



# Hemoglobin Lepore Thalassemia

- $\delta\beta^{\text{Lepore}}$
- Rare structural variant in which there is a fusion of  $\delta\beta$ -globin genes
  - During meiosis, from nonhomologous crossover on different chromosomes
- Heterozygous- Clinical manifestations are similar to  $\beta$ -thalassemia minor
- Homozygous- Clinical manifestations are similar to  $\beta$ -thalassemia major

- Hb Lepore variants are so-called fusion hemoglobins, in which a  $\delta\beta$  globin chain or rarely a  $\beta\delta$  chain (= Hb anti-Lepore) instead of the  $\beta$  chain is present.
- The molecular defect consists in  $\beta\delta$  fusion or hybrid genes.



Examples	Crossover region between residues
Hb Miyada	$\beta 12$ & $\delta 92$
Hb P (Congo)	$\beta 22$ & $\delta 87$
Hb Lepore (Hollandia)	$\delta 22$ & $\beta 50$
Hb Lepore (Baltimore)	$\delta 50$ & $\beta 80$
Hb Lepore (Boston)	$\delta 87$ & $\beta 116$

- The phenotypical manifestations correspond to thalassemia minor in case of heterozygosity and thalassemia major in case of homozygosity (see Tab. 32 and 33).



# Thalassemia Associated with Structural Hemoglobin Variants

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- Hemoglobin S- Thalassemia

- Hb S- $\alpha$ - Thalassemia
  - Common in populations of African ancestry
  - Milder anemia with  $\uparrow$  Hb levels and  $\downarrow$  Retic count than those with sickle cell anemia alone
- Hb S- $\beta$ - Thalassemia
  - Seen in Africa, Mediterranean, Middle East, and India
  - Expression depends on type of  $\beta$  Thalassemia mutation inherited



# Thalassemia Associated with Structural Hemoglobin Variants

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- Hemoglobin C-  $\beta$ -Thalassemia
  - Produces moderately severe hemolysis, splenomegaly, hypochromia, microcytosis, and numerous target cells
  - Hb electrophoresis pattern varies- depends on type of  $\beta$  Thalassemia gene defect
- Hemoglobin E-  $\beta$ -Thalassemia
  - Significant concern in SE Asia and E India
  - Hb E is due to a point mutation
  - Homozygous E (EE)- clinical symptoms similar to mild  $\beta$  Thalassemia
  - Severe E- $\beta$  Thalassemia- transfusion dependent
  - Mild-Moderate Hb E- $\beta$  Thalassemia- non transfusion dependent



# References

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Rodak's Hematology, Clinical Principles and Applications 6<sup>th</sup> Edition

Additional material Courtesy of Barbara Martien, MLS

