



# Promoting CO<sub>2</sub> Release from CO<sub>3</sub><sup>2-</sup>-Containing Solvents during Water Electrolysis for Direct Air Capture

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The pH swings from water electrolysis are leveraged to condition OH<sup>-</sup>-based facile CO<sub>2</sub> capture solvents using an electrochemical flow cell for direct air capture (DAC). Besides demonstrating the DAC using a membrane contactor, promoting CO<sub>2</sub> release from a CO<sub>3</sub><sup>2-</sup> solution at the anode is specifically studied by adjusting the volumetric flow rate, anode chamber volume, residence time, and K<sub>2</sub>CO<sub>3</sub> concentration. Through case-by-case comparisons coupled with modeled results, increasing current, reducing volumetric flow rate, and/or reducing CO<sub>3</sub><sup>2-</sup> concentration are the effective methods to promote CO<sub>2</sub> release from a CO<sub>3</sub><sup>2-</sup>-containing solvent, whereas enlarging the anode chamber volume poses a minor effect. Moreover, the discrepancies between the experimental and modeled results may be caused by H<sup>+</sup> crossover rather than K<sup>+</sup> transport through the Nafion membrane during water electrolysis based upon the total alkalinity measurements for the K<sub>2</sub>CO<sub>3</sub> solutions gleaned from the anode. It is believed that such results will provide guidance to design and operate an electrochemical flow cell for electrochemistry-assisted DAC and point source CO<sub>2</sub> capture.

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The goal of the Paris Climate Agreement is to limit the increase in the global average temperature to 2 °C above pre-industrial levels in this century.<sup>1</sup> To meet this requirement, capturing CO<sub>2</sub> is essential for point sources, e.g., fossil fuel power generation plants, oil refineries, cement and steel manufacturers, and open sources like air. A common CO<sub>2</sub> capture practice employs a chemical-thermal process, where a low-volatility amine solvent captures CO<sub>2</sub> in an absorber, followed by liberating the captured CO<sub>2</sub> and refreshing the amine solvent at 100 °C–120 °C in a stripper.<sup>2–4</sup> While such a process is adequate for high concentration CO<sub>2</sub> capture from flue gas, e.g., 12–15 vol% CO<sub>2</sub> from coal-fired plants and 25 vol% from cement and steel production,<sup>2,5,6</sup> directly capturing 0.04 vol% CO<sub>2</sub> from air stresses the capture process with the implication that new approaches with greater capture capacities and rates are preferred for CO<sub>2</sub> capture at low levels.<sup>7–9</sup> In this work, an alternative approach assisted by an electrochemical flow cell is studied, leveraging the pH swings resulting from water electrolysis to recondition OH<sup>-</sup>-based facile CO<sub>2</sub> capture solvents in a membrane-electrochemical carbon capture-enrichment process for low concentration CO<sub>2</sub> capture.<sup>10</sup> Such an electrochemistry-assisted carbon capture process will possess the key merits of no nitrosamine formation, no heating requirement, and excellent carbon capture rate in comparison to the conventional amine-thermal process.

A schematic of the membrane-electrochemical CO<sub>2</sub> capture-enrichment process is shown in Fig. 1a, similar to the concept that has been proposed in Ref. 11. CO<sub>2</sub> in air reacts with dissolved KOH in the membrane contactor, forming K<sub>2</sub>CO<sub>3</sub> via CO<sub>2</sub> + 2KOH → K<sub>2</sub>CO<sub>3</sub> + H<sub>2</sub>O to attain a fast carbon capture rate, as demonstrated in a case study in Fig. 6. To retain the fast carbon capture rate, the K<sub>2</sub>CO<sub>3</sub> solvent is needed to be regenerated to KOH in the electrochemical flow cell, which is predominantly driven by the pH swings from water electrolysis, as depicted in Figs. 1b and 1c. Due to producing O<sub>2</sub> by consuming OH<sup>-</sup> via 4OH<sup>-</sup> → O<sub>2</sub>↑ + 2H<sub>2</sub>O + 4e<sup>-</sup> in the anode chamber, CO<sub>3</sub><sup>2-</sup> is transformed to CO<sub>2</sub> through CO<sub>3</sub><sup>2-</sup> + H<sub>2</sub>O ↔ HCO<sub>3</sub><sup>-</sup> + OH<sup>-</sup> followed by HCO<sub>3</sub><sup>-</sup> ↔ CO<sub>2</sub>↑ + OH<sup>-</sup>. Concurrently, to balance the negative OH<sup>-</sup> ionic charge coming from 2H<sub>2</sub>O + 2e<sup>-</sup> → H<sub>2</sub>↑ + 2OH<sup>-</sup>, the positive K<sup>+</sup> ionic charge migrates across the cation-exchange membrane to the cathode chamber, thereafter, producing KOH that will be used to capture CO<sub>2</sub> in the membrane contactor. Overall, releasing CO<sub>2</sub> from CO<sub>3</sub><sup>2-</sup> solution is the consequential step following the O<sub>2</sub>

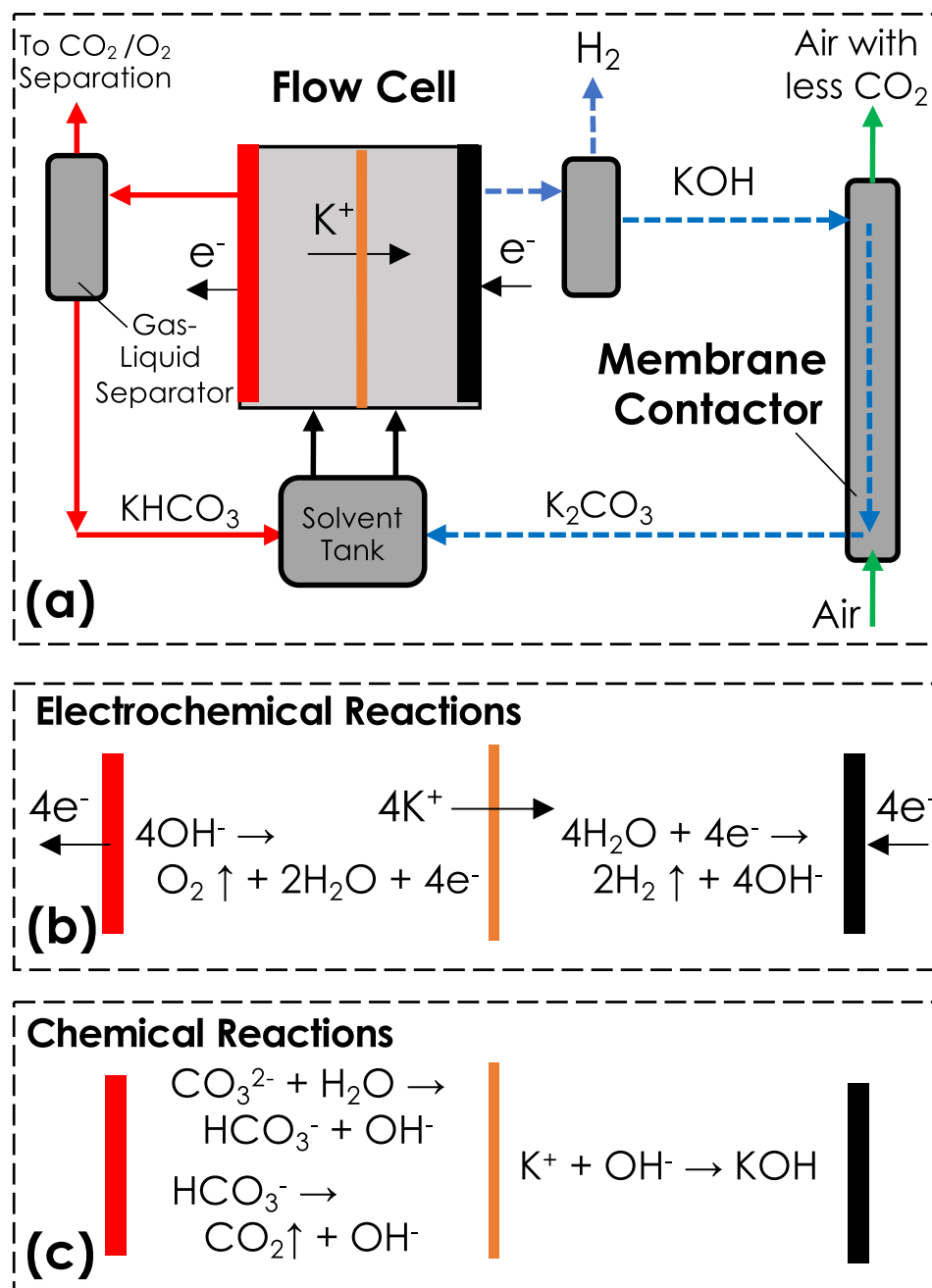
evolution at the anode, and its effectiveness relies primarily on reducing the OH<sup>-</sup> concentration in the anode chamber.

Unlike thermal and H<sub>2</sub> gas assisted solvent regeneration approaches,<sup>4,12</sup> the present cell architecture in Fig. 1 (i.e., two metal plate electrodes separated by a cation exchange membrane) will release CO<sub>2</sub> while producing O<sub>2</sub> at the anode. Under such a competing scenario, a high yield of CO<sub>2</sub> will be preferred if purified CO<sub>2</sub> is needed for beneficial uses, e.g., molten carbonate fuel cell for power generation. Thus, a major interest of this work is to adjust the applied current, volumetric flow rate, cell volume, residence time, and K<sub>2</sub>CO<sub>3</sub> concentration, aimed at promoting CO<sub>2</sub> production from a CO<sub>3</sub><sup>2-</sup>-containing solution in a basic electrochemical flow cell containing two metal plate electrodes separated by a cation exchange membrane. It is believed that such a work will complement the existing knowledge of electrochemistry-assisted carbon capture and enrichment including process design, proof of concept, thermodynamic analysis, techno-economic assessment, and reactive carbon separation.<sup>11–26</sup> Experimental results will be compared with a CO<sub>3</sub><sup>2-</sup>-CO<sub>2</sub> model for the anode, providing more focus for the first time on both the electrochemical flow cell design and operation for reconditioning OH<sup>-</sup>-based facile CO<sub>2</sub> capture solvents in comparison of Ref. 11.

## Experimental Methodology

**Experimental process.**—A process for investigating CO<sub>2</sub> release at the anode of an electrochemical flow cell is shown in Fig. 2. During testing, two MasterFlex L/S peristaltic pumps continued feeding the same 0.77 M or 0.37 M K<sub>2</sub>CO<sub>3</sub> solution into the cathode and anode chambers from a holding tank at the flow rate of 10.4 or 4.1 ml min<sup>-1</sup> for the anode and 8.6 ml min<sup>-1</sup> for the cathode. In the anode chamber, OH<sup>-</sup> was electrochemically consumed to produce O<sub>2</sub>, which was real-time monitored by an inline Hanna pH probe at the anode outlet. Under such a scenario, the chemical equilibrium of CO<sub>3</sub><sup>2-</sup> shifts to produce CO<sub>2</sub> in the anode chamber. To quantify both O<sub>2</sub> and CO<sub>2</sub>, a custom gas-liquid separator was placed after the pH cell, where the gases were first separated from the solution by settling, followed immediately by mixing with N<sub>2</sub> as carrier gas prior to entering a sequence of a Thermo Fisher flow meter, an inline Neulog O<sub>2</sub> sensor, and a Horiba VIA-510 CO<sub>2</sub> analyzer, as highlighted in Fig. S1 (available online at [stacks.iop.org/JES/169/044527/mmedia](https://stacks.iop.org/JES/169/044527/mmedia)). Another MasterFlex L/S peristaltic pump was used to stabilize the solution level in the gas-liquid separator. Finally, a solution sample from the separator was collected and

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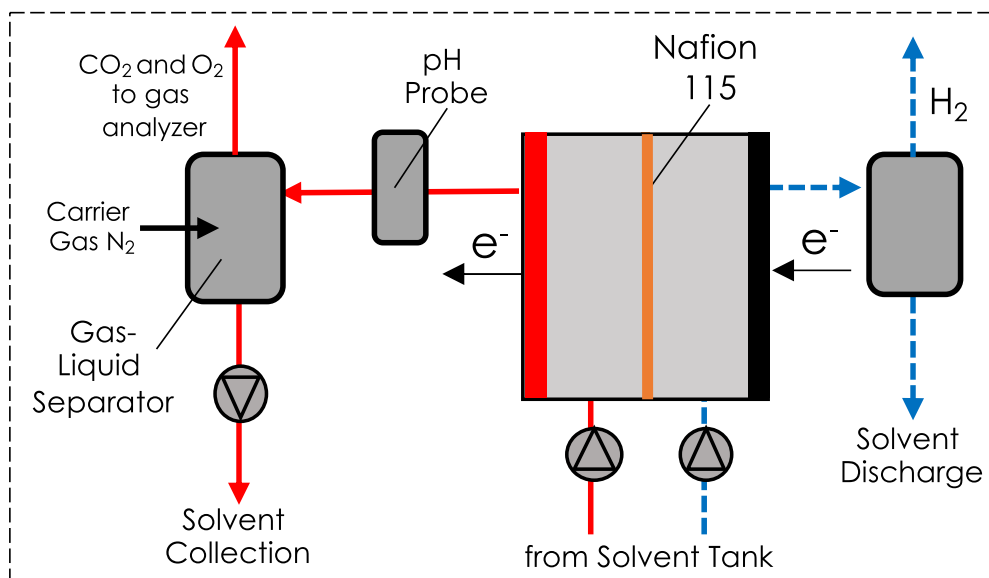
**Figure 1.** (a) A process sketch of a membrane-electrochemical  $CO_2$  capture system featuring a membrane contactor for  $CO_2$  capture and an electrochemical flow cell for solvent regeneration. (b) and (c) Demonstration of reactions in the electrochemical flow cell when the solvent is regenerated.

sealed in a high-density polyethylene (HDPE) bottle to later measure its total alkalinity. For the catholyte route, the configuration was like the anolyte route but without measuring  $H_2$  and alkalinity. All the tests were conducted at 22 °C–25 °C. The setup photos, including a bench-scale DAC process and a custom gas-liquid separator, are provided in Fig. S1 with additional descriptions such as operating scenarios for the gas-liquid separator and calibration methods for the analyzer and sensors.

**Electrochemical flow cell.**—The electrochemical flow cell was equipped with a pair of 16 cm<sup>2</sup> annealed 316 stainless-steel plate electrodes separated by a Nafion 115 membrane. Since  $CO_2$  release is the secondary reaction succeeded by the primary  $O_2$  evolution at the anode, investigating the effect of the anode chamber volume and residence time on  $CO_2$  release becomes the key interest in this work.

To perform such a test, one method was to change the silicone rubber gasket that was laid between the membrane and each electrode. Consequently, the volume of the anode chamber could be varied by changing the gasket thickness, resulting in the different residence times.

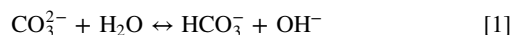
**Alkalinity measurement.**—To measure changes in the concentration  $K^+$  before and after water electrolysis, the total alkalinity of the solution collected from the anolyte route was measured at room temperature by acid titration using a Metrohm Titrando 836 automatic titrator. Such testing aims to explain the discrepancies between the experimental and modeled results for  $CO_2$  release and pH reduction. During testing, approximately 1 g of the sample was continuously titrated with 0.08 M  $H_2SO_4$  solution until its pH was 2.5. (See the typical titration curve from this study in Fig. S2.) The volume of the



**Figure 2.** A process used to study  $\text{CO}_2$  release at the anode of an electrochemical flow cell. A  $\text{K}_2\text{CO}_3$  solution was continuously fed into the cell by two peristaltic pumps. In the analyte route (solid red lines), both the solution's pH at the anode outlet and gas concentrations were measured in situ. The solution from the anode after the process was collected for the alkalinity analysis to quantify the concentration of  $\text{K}^+$ .

acid at the final equivalent point was used to calculate the total alkalinity of the sample. The standard deviation for repeating measurements was smaller than 1% in the present study. To convert the unit of  $\text{mol kg}^{-1}$  to  $\text{mol l}^{-1}$ , the density of each sample was measured at room temperature using a 10 ml glass volumetric flask with a Thermo Fisher digital balance with 4 decimal places.

**Theoretical approach.**—In a  $\text{K}_2\text{CO}_3$  solution, reducing pH or  $\text{OH}^-$  of the solution makes  $\text{CO}_3^{2-}$  become  $\text{CO}_2$  via



where  $\text{CO}_2^*$  in reaction 2 means dissolved  $\text{CO}_2$  in the solution, which will be emitted from the solution to the atmosphere when the concentration or partial pressure of  $\text{CO}_2^*$  is greater than that in air according to Henry's law.<sup>27</sup> When reactions 1–3 are in equilibrium, their equilibrium constants,  $K_i$ , are expressed in terms of activity (estimated as concentration) values of the relevant species, such that

$$K_1 = [\text{HCO}_3^-][\text{OH}^-]/[\text{CO}_3^{2-}] \quad [4]$$

$$K_2 = [\text{CO}_2^*][\text{OH}^-]/[\text{HCO}_3^-] \quad [5]$$

$$K_3 = [\text{H}^+][\text{OH}^-] \quad [6]$$

To calculate concentration values for all the species in reactions 4–6, 2 more equations are needed, of which the total amount of dissolved inorganic carbon,  $C_T$ , is the sum of

$$C_T = [\text{CO}_2^*] + [\text{HCO}_3^-] + [\text{CO}_3^{2-}] \quad [7]$$

In addition, because of charge conservation of the solution, the positively charged species must equal the negatively charged species, and thus,

$$[\text{H}^+] + [\text{K}^+] = [\text{OH}^-] + [\text{HCO}_3^-] + 2[\text{CO}_3^{2-}] \quad [8]$$

Simultaneous solving Eqs. 4–8 will provide a numerical solution of each species at equilibrium if the initial  $\text{K}_2\text{CO}_3$  concentration value is given.<sup>28</sup>

To release  $\text{CO}_2$  from a  $\text{K}_2\text{CO}_3$  solution in the anode chamber, the chemical equilibrium of reactions 1–3 must be disrupted by consuming  $\text{OH}^-$ . To describe the  $\text{OH}^-$  consumption under a once-through operating mode for an electrochemical flow cell, rates of reactions 1 and 2,  $r_1$  and  $r_2$ , respectively, are defined as follows

$$r_1 = k_1([\text{CO}_3^{2-}]_{\text{eq-i}} - ([\text{OH}^-]_{\text{eq}}[\text{HCO}_3^-]_{\text{eq}})/K_1) \quad [9]$$

$$r_2 = k_2([\text{HCO}_3^-]_{\text{eq-i}} - ([\text{OH}^-]_{\text{eq}}[\text{CO}_2^*]_{\text{eq}})/K_2) \quad [10]$$

where  $k_1$  and  $k_2$  are the respective rate constants for reactions 1 and 2, and the subscript eq-i denotes the initial concentration value at equilibrium before water electrolysis begins, which is calculated via Eqs. 4–8. Since the volume of the anode chamber,  $V$ , is the product of the surface area of the anode with the thickness of the silicon rubber gasket, the effluent molar rate of the  $\text{OH}^-$  in the anode chamber,  $m_{\text{OH}^-}$ , at the steady-state water electrolysis is

$$m_{\text{OH}^-} = m_{\text{OH}^-, \text{eq-i}} + (r_1 + r_2)V - n_{\text{OH}^-} \quad [11]$$

$$n_{\text{OH}^-} = i_{\text{app}}\beta_{\text{eff}}/F \quad [12]$$

where  $n_{\text{OH}^-}$  represents the molar rate of  $\text{OH}^-$  consumption for  $\text{O}_2$  evolution, which is related to  $i_{\text{app}}$ , the current applied to the electrochemical flow cell,  $\beta_{\text{eff}}$ , the current utilization efficiency for  $\text{K}^+$  across a cation-exchange membrane or the portion of electronic charge used to remove  $\text{K}^+$  from the anode to cathode chamber, and,  $F$ , the Faraday constant. To convert the molar rate to concentration, both sides of Eq. 11 are divided by the volumetric flow rate of the solution,  $Q_{\text{sol}}$ , providing

$$[\text{OH}^-]_{\text{eq}} - [\text{OH}^-]_{\text{eq-i}} = (r_1 + r_2)\tau - (i_{\text{app}}\beta_{\text{eff}})/(Q_{\text{sol}}F) \quad [13]$$

$$\tau = V/Q_{\text{sol}} \quad [14]$$

in which  $\tau$  is the residence time of the solution at the anode. The  $[\text{OH}^-]$  in Eq. 13 is used to refresh the concentration values for all the species in reactions 1–3 at a new equilibrium via Eqs. 4–8. Consequently, the deficiency of  $\text{C}_T$  before and after water electrolysis is the quantity of  $\text{CO}_2$  release, as the  $[\text{CO}_2^*]$  transformed from  $\text{CO}_3^{2-}$  will exceed the  $\text{CO}_2$  partial pressure or solubility under Henry's law.

Equation 13 outlines that the applied current, residence time, volumetric flow rate, and anode chamber volume can affect  $\text{OH}^-$  (or pH) reduction toward  $\text{CO}_2$  release, meaning that  $[\text{OH}^-]_{\text{eq},i} - [\text{OH}^-]_{\text{eq}}$  is needed to become greater for more  $\text{CO}_2$  release. For instance, the last term,  $(i_{\text{app}}\beta_{\text{eff}})/(Q_{\text{sol}}F)$ , from Eq. 13 suggests that the  $\text{OH}^-$  reduction can be enhanced by decreasing the volumetric flow rate,  $Q_{\text{sol}}$ , and/or increasing the current,  $i_{\text{app}}$ , thereby creating a lower pH environment to promote  $\text{CO}_2$  release from a  $\text{CO}_3^{2-}$  solution via reactions 1 and 2. Similarly, the middle term,  $(r_1 + r_2)\tau$ , from Eq. 13, should be minimized. To reduce the residence time, as per Eq. 14, reducing the anode chamber volume,  $V$ , or increasing the volumetric flow rate,  $Q_{\text{sol}}$ , are the approaches; however, the latter approach alleviates the effectiveness of  $\text{OH}^-$  reduction due to the decrease in  $(i_{\text{app}}\beta_{\text{eff}})/(Q_{\text{sol}}F)$ , as opposed to decreasing the volumetric flow rate to enhance  $\text{OH}^-$  reduction as stated above.

**Tests Development.**—To validate the discussion regarding Eq. 13 for  $\text{CO}_2$  release driven by  $\text{OH}^-$  reduction, 5 cases are specifically considered in Table I. Cases A versus B aims to study the effect of the volumetric flow rate on  $\text{CO}_2$  release, as both cases are shared with a very close residence time, 20.8 and 20.5 s for cases A and B, respectively, thereby eliminating impacts of the residence time on  $\text{CO}_2$  release coming from  $(r_1 + r_2)\tau$  in Eq. 13. Cases B versus C (or versus E) focuses on the effectiveness of  $\text{CO}_2$  release related to the residence time, in which the residence time is modified by only changing the volume of the anode chamber, removing the interference from the flow rate in the  $(i_{\text{app}}\beta_{\text{eff}})/(Q_{\text{sol}}F)$  of Eq. 13. Moreover, the impact of the  $\text{K}_2\text{CO}_3$  concentration on  $\text{CO}_2$  release was investigated via cases C versus D.

All the cases were evaluated using the process in Fig. 2 with the electrochemical flow cell operated at a fixed  $i_{\text{app}}$  for 20 min under a once-through mode. To discuss the experimental results, the  $\text{CO}_2$  release and pH reduction were modeled using the constants in Table II. Finally, unless noted elsewhere, the volume and volumetric flowrate for the cathode chamber were fixed at 3.6 ml and  $8.6 \text{ ml min}^{-1}$ , respectively.

## Results and Discussion

**Typical process.**—Typical cell responses in terms of the cell voltage, pH at the anode outlet, and gas concentrations are displayed in Fig. 3 as a function of testing time. In Fig. 3a, increasing  $i_{\text{app}}$  increases the cell voltage, whereas the steady-state anode pH

decreases. Since the cell voltage is much greater than the thermodynamic voltage for water splitting,  $\text{OH}^-$  is very likely to be converted to  $\text{O}_2$  via  $4\text{OH}^- \rightarrow \text{O}_2\uparrow + 2\text{H}_2\text{O} + 4\text{e}^-$ , while acidifying the  $\text{K}_2\text{CO}_3$  solution in the anode chamber to release  $\text{CO}_2$ . Thus, in Fig. 3b, both the  $\text{O}_2$  and  $\text{CO}_2$  concentrations increase by increasing  $i_{\text{app}}$ . By applying the same step current charging technique, the other cases in Table I were tested, and the relevant process data like Fig. 3 are shown in Fig. S3.

To facilitate further discussion, the experimental values of gas evolutions and pH at steady state are extracted from the process data and then averaged for analysis. For instance, the averaged gas concentration in vol%,  $C_{\text{vol}}$ , is converted to its equivalent current,  $i_{\text{CO}_2 \text{ (or } \text{O}_2)}$ , in Amps by assuming  $25^\circ\text{C}$  and 1 atm via

$$i_{\text{CO}_2 \text{ (or } \text{O}_2)} = \alpha C_{\text{vol}} Q_{\text{gas}} n \quad [15]$$

where  $\alpha$  is the constant stemmed from the calculations regarding Fig. S5,  $Q_{\text{gas}}$  is the gas flow rate measured by the flow meter, and  $n$  is the number of electron transfer, 2 for  $\text{CO}_2$  and 4 for  $\text{O}_2$  as per  $\text{CO}_3^{2-} + \text{H}_2\text{O} \leftrightarrow \text{HCO}_3^- + \text{OH}^-$ ,  $\text{HCO}_3^- \leftrightarrow \text{CO}_2\uparrow + \text{OH}^-$ , and  $4\text{OH}^- \rightarrow \text{O}_2\uparrow + 2\text{H}_2\text{O} + 4\text{e}^-$ . Consequently, the current efficiency is defined as the ratio of the equivalent current to applied current such as  $i_{\text{CO}_2}/i_{\text{app}}$  for  $\text{CO}_2$  release and  $i_{\text{O}_2}/i_{\text{app}}$  for  $\text{O}_2$  evolution.

**Case-by-case comparisons.**—The experimental  $i_{\text{CO}_2}/i_{\text{app}}$  and pH curves are plotted in Figs. 4a and 4b, respectively, as a function of  $i_{\text{app}}$ . All the cases exhibit increases in the  $\text{CO}_2$  production and decreases in the pH by increasing the  $i_{\text{app}}$ ; however, unlike  $\text{O}_2$  evolution with a consistent  $i_{\text{O}_2}/i_{\text{app}}$  value of  $\sim 0.9$  for all the cases, independent of the  $i_{\text{app}}$  in Fig. S5, the behavior of the  $i_{\text{CO}_2}/i_{\text{app}}$  (and pH reduction) depends significantly upon the flow rate, cell volume, and  $\text{K}_2\text{CO}_3$  concentration associated with the anode of the electrochemical flow cell.

As shown in case A with the higher volumetric flow rate versus case B with the lower volumetric flow rate, reducing the volumetric flow rate substantially benefits  $\text{CO}_2$  release as  $i_{\text{app}}$  increases, e.g., for case B, the  $i_{\text{CO}_2}/i_{\text{app}}$  increases from 0.03 at 4 A to 0.21 at 7 A; in contrast, the  $i_{\text{CO}_2}/i_{\text{app}}$  for case A is much smaller, with only 0.001 at 4 A and 0.005 at 7 A. Such  $i_{\text{CO}_2}/i_{\text{app}}$  responses agree with the pH reduction associated with cases A and B in Fig. 4b, e.g., from 4 to 7 A, the pH for cases B and A drops by 1.9 and 0.3, respectively. In Figs. 4c and 4d, both the modeled  $i_{\text{CO}_2}/i_{\text{app}}$  and pH curves present similar trends to the respective results from the experiments, showing the much more pronounced  $\text{CO}_2$  release and pH reduction for case B than that for case A. Since cases A and B have similar residence time of about 20 s, the significant improvement of  $\text{CO}_2$  release is accounted for by increasing the  $(i_{\text{app}}\beta_{\text{eff}})/(Q_{\text{sol}}F)$  in Eq. 13 or ratio of  $i_{\text{app}}$  to  $Q_{\text{sol}}$ , with the implication that reducing the flow rate can substantially enhance the effectiveness of  $\text{OH}^-$  reduction per volume unit of  $\text{K}_2\text{CO}_3$  solution when a fixed current is applied.

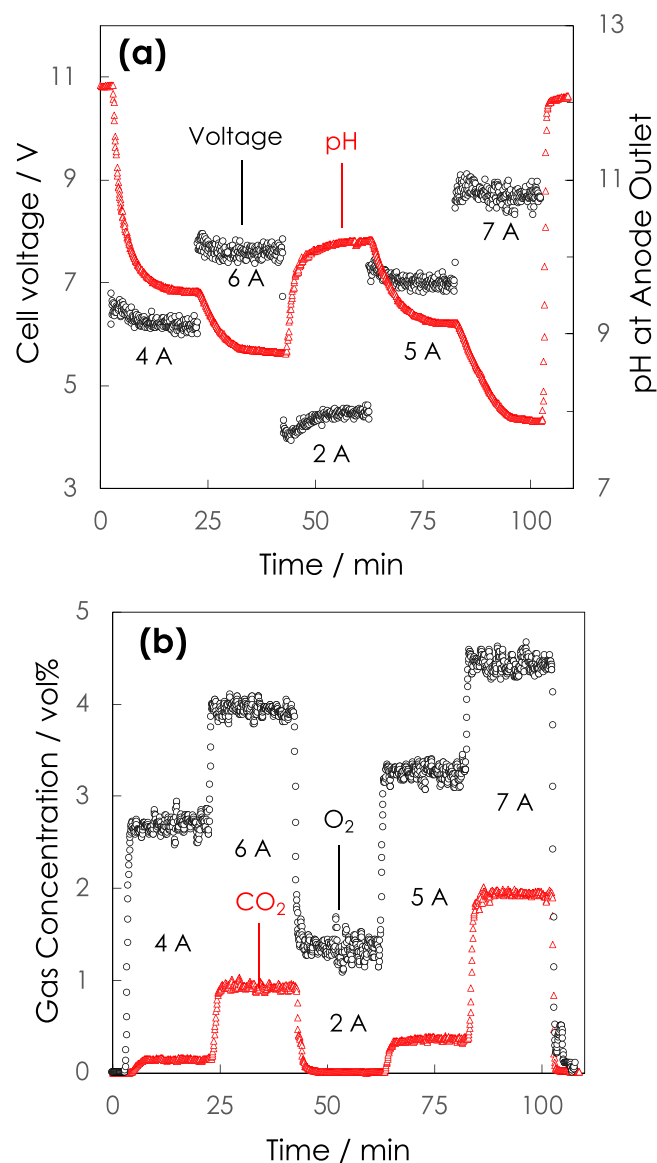
The effect of residence time on  $\text{CO}_2$  release and pH is also captured in Figs. 4a and 4b, respectively. Herein, the residence time is modified by only changing the volume of the anode chamber to

**Table I.** Testing conditions were used to release  $\text{CO}_2$  from a  $\text{K}_2\text{CO}_3$  solution. The electrochemical flow cell was charged at 2–7 A and at room temperature. Please note that volume and volumetric flowrate for the cathode chamber were fixed at 3.6 ml and  $8.6 \text{ ml min}^{-1}$ , respectively, in this work.

Case	Testing Conditions			
	Anode volume/ml	Anode flowrate/ml $\text{min}^{-1}$	Residence time/s	$\text{K}_2\text{CO}_3$ Conc/M
A	3.6	10.4	20.8	0.77
B	1.4	4.1	20.5	0.77
C	3.6	4.1	52.7	0.77
D	3.6	4.1	52.7	0.37
E	14.4	4.1	210.8	0.77

**Table II.** The constants used to model CO<sub>2</sub> release and pH reduction at 25 °C. Please note that the  $\beta_{\text{eff}}$  was selected at 0.5, 0.75, and 1 to discuss the discrepancy between the experimental and modeled results.

Parameter	Value	References
K1/M-1	10–3.67	28
K2/M-1	10–7.65	28
K3/M-1	10–14	28
k1/s-1	$3.06 \times 105$	29
k2/s-1	$9.71 \times 10^{-5}$	29
F/C mol-1	96,485	30
C <sub>eff</sub>	0.5, 0.75, and 1	N/A



**Figure 3.** Process data from case C is used to illustrate the typical cell responses under the step current charging, including (a) voltage and pH and (b) O<sub>2</sub> and CO<sub>2</sub> concentrations as a function of testing time.

diminish interference of the flow rate as per Eq. 14. Under the same flow rate, case B with the shorter residence time (or smaller anode volume) versus case C (or E) with the longer residence time (or larger anode volume) depicts comparable  $i_{\text{app}}$  utilization on CO<sub>2</sub>

release and pH reduction, e.g., for case C, the  $i_{\text{CO}_2}/i_{\text{app}}$  increases from 0.02 at 4 A to 0.19 at 7 A, marginally lower than the values for case B with 0.03 at 4 A to 0.21 at 7 A. The modeled results show very similar behavior of  $i_{\text{CO}_2}/i_{\text{app}}$  and pH for both the cases as shown in Figs. 4c and 4d, in which the  $i_{\text{CO}_2}/i_{\text{app}}$  and pH curves for cases B, C, and E are nearly overlaid. Overall, both the experimental and modeled results express residence time (affected by the volume of the anode chamber) as a weak variable for controlling CO<sub>2</sub> release and pH reduction from a CO<sub>3</sub><sup>2-</sup>-containing solution, likely due to the comparatively small rate constant for HCO<sub>3</sub><sup>-</sup> consumption in Eq. 10. (Figure S6 shows that the differences of  $i_{\text{CO}_2}/i_{\text{app}}$  and pH between cases B and C will become great if the rate constants are changed.)

To study the effect of K<sub>2</sub>CO<sub>3</sub> concentration on CO<sub>2</sub> release, cases C and D with the same flow rate and residence time are compared. In Figs. 4a and 4b, in contrast to case C with the higher K<sub>2</sub>CO<sub>3</sub> concentration, case D with the lower K<sub>2</sub>CO<sub>3</sub> concentration performs remarkably for CO<sub>2</sub> release and pH reduction, e.g., at 4 A,  $i_{\text{CO}_2}/i_{\text{app}}$  is 0.24 at pH of 7.2 for case D versus  $i_{\text{CO}_2}/i_{\text{app}}$  is 0.02 at a pH of 9.5 for case C. Such a considerable difference is also clearly reflected by modeling the  $i_{\text{CO}_2}/i_{\text{app}}$  and pH reduction for the two cases in Figs. 4c and 4d, respectively. The improved  $i_{\text{CO}_2}/i_{\text{app}}$  at the lower K<sub>2</sub>CO<sub>3</sub> concentration is attributed to the reduced initial [OH<sup>-</sup>]<sub>eq,i</sub> in Eq. 13. However, excessively lowering the K<sub>2</sub>CO<sub>3</sub> concentration will adversely affect cell operation by dramatically increasing cell voltage and energy consumption for CO<sub>2</sub> release, as shown in Figures S3 and S7.

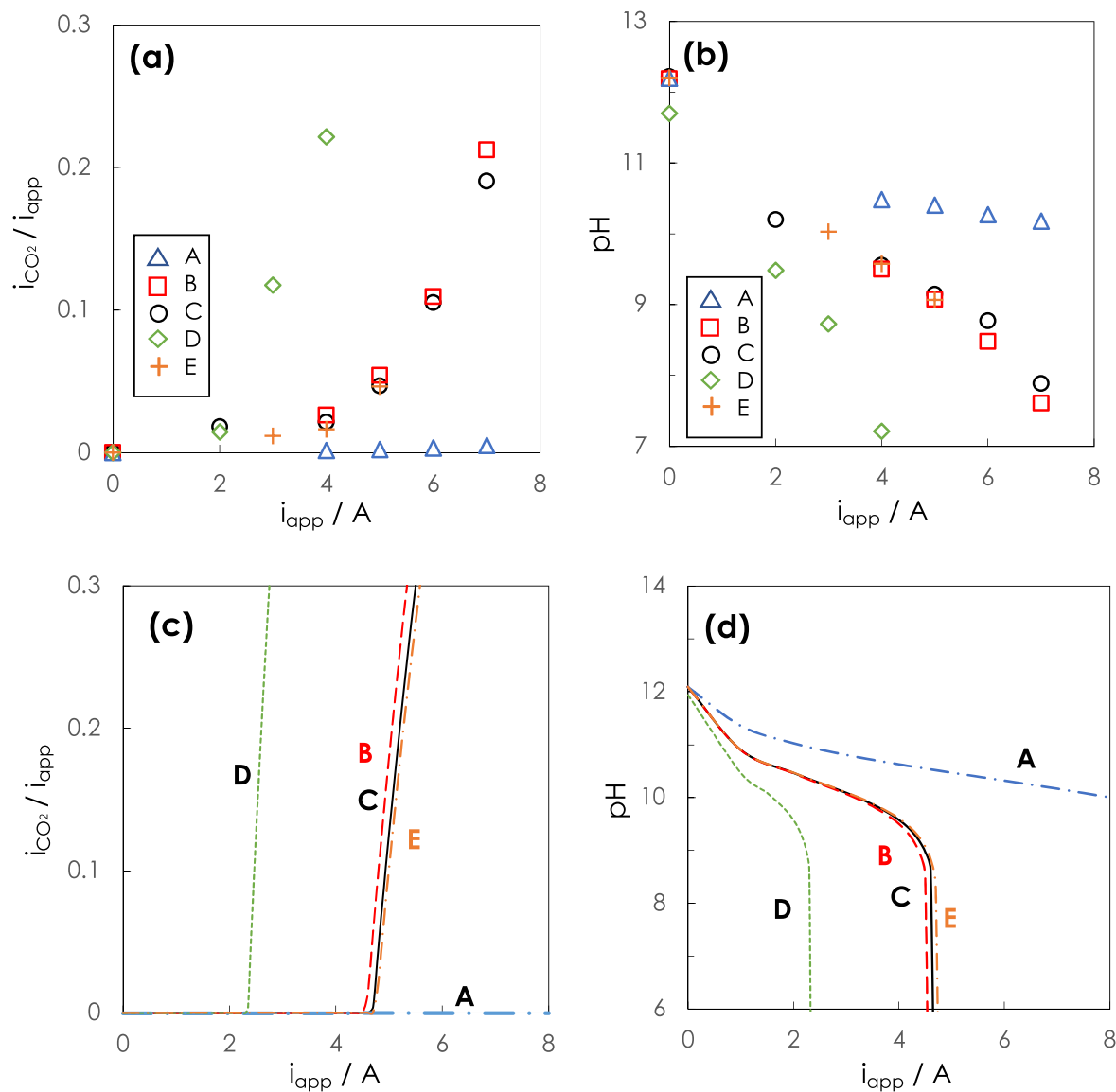
Using the present cell architecture (two metal plate electrodes separated by a cation exchange membrane) possesses a significant energy cost in terms of CO<sub>2</sub> release in Fig. S7, which is much higher than 200–400 kJ mol<sup>-1</sup>CO<sub>2</sub> reported in the contemporary literature.<sup>12,13</sup> Furthermore, if CO<sub>2</sub>-O<sub>2</sub> mixture utilization in an MCFC or similar is not desired, energy will be spent on upgrading the CO<sub>2</sub> from the CO<sub>2</sub>-O<sub>2</sub> mixture. To resolve such issues, both the cell architecture and process can be redesigned to use the H<sub>2</sub> produced at the cathode to feed the anode. Under such a scenario, it is believed that the cell operating voltage will be minimized via H<sub>2</sub> assisted anode depolarization while improving the pH reduction to facilitate CO<sub>2</sub> release.<sup>12,31</sup>

Through the case-by-case comparisons, the experimental results reveal that decreasing the anode's flow rate and/or K<sub>2</sub>CO<sub>3</sub> concentration are the most influential ways for promoting CO<sub>2</sub> release. The same conclusion can be deduced by modeling the  $i_{\text{CO}_2}/i_{\text{app}}$  and pH reduction. However, discrepancies between the experimental and modeled values are observed, especially when  $i_{\text{app}}$  becomes significant (Fig. 4). Therefore, cases C and D are further used to elucidate possible causes of such discrepancies by adjusting  $\beta_{\text{eff}}$  in the model accompanied with the respective alkalinity values of the solutions collected from the anode.

**H<sup>+</sup> Crossover causing decreases in  $\beta_{\text{eff}}$** —Fig. 5 shows the modeled  $i_{\text{CO}_2}/i_{\text{app}}$  and pH reduction curves for cases C and D when  $\beta_{\text{eff}}$  is adjusted to 1, 0.75, and 0.5, combined with the relevant experimental data points. By evaluating the modeled curves at a fixed current, reducing the  $\beta_{\text{eff}}$  diminishes both the effectiveness of CO<sub>2</sub> release and pH reduction, e.g., at 6 A, the  $i_{\text{CO}_2}/i_{\text{app}}$  in Fig. 5a decreases from 0.5 at the  $\beta_{\text{eff}} = 1$  to a negligible value at the  $\beta_{\text{eff}} = 0.5$ . It is also found that for both the cases, with increasing the  $i_{\text{app}}$ , the experimental data points gradually drift from one modeled curve with the higher  $\beta_{\text{eff}}$  to another with the lower  $\beta_{\text{eff}}$ , e.g., in Fig. 5b, the pH at 2–4 A is near the modeled curve with the  $\beta_{\text{eff}} = 1$  versus the pH at 6–7 A is close to the modeled curve with the  $\beta_{\text{eff}} = 0.75$ . Such an observation suggests that even under the testing conditions with the same volumetric flow rate and K<sub>2</sub>CO<sub>3</sub> concentration, the  $\beta_{\text{eff}}$  is perhaps current dependent, becoming smaller as the  $i_{\text{app}}$  gets larger.

Since H<sup>+</sup> is also available in the system via Eq. 6, in equilibrium with OH<sup>-</sup>, the current-dependent loss of  $\beta_{\text{eff}}$  is likely due to H<sup>+</sup>





**Figure 4.** Comparison of (a) experimental  $i_{\text{CO}_2}/i_{\text{app}}$ , (b) experimental pH, (c) modeled  $i_{\text{CO}_2}/i_{\text{app}}$ , and (d) modeled pH as a function of  $i_{\text{app}}$  for all the cases. The modeled curves in (c) and (d) are plotted when  $\beta_{\text{eff}}$  is 1. The steady-state values from the process data for cases A-E are extracted from Figs. 3 and S4.

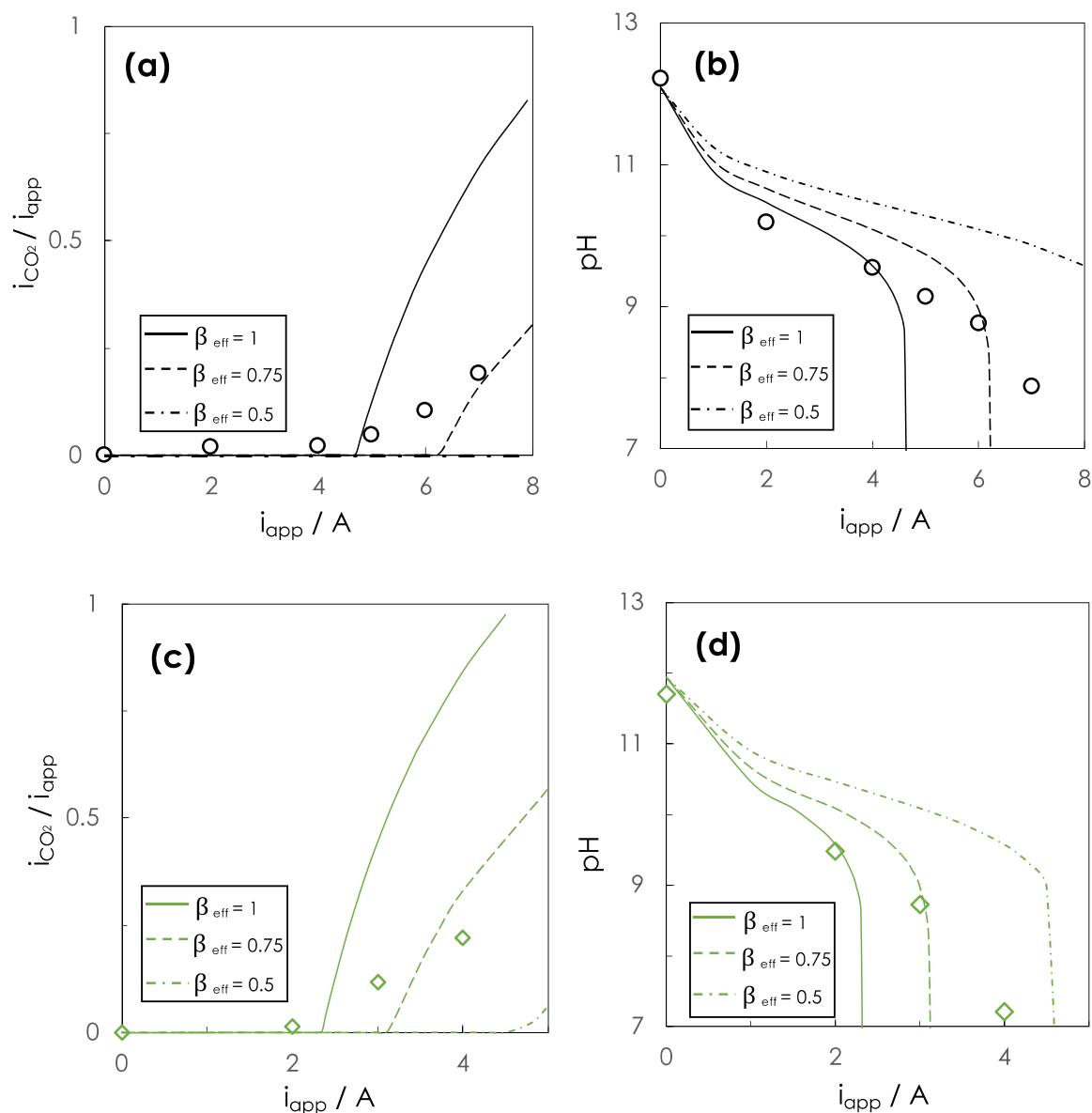
crossing the Nafion membrane during water electrolysis. To verify this hypothesis, the total alkalinity values of the  $\text{K}_2\text{CO}_3$  solutions collected from the anode chamber were analyzed. Generally, alkalinity is the ionic charge difference between the conservative cations and anions unaffected by changing pH.<sup>32</sup> Therefore, as per Eq. 8, the alkalinity value in Table III is the same as the  $\text{K}^+$  concentration value, which is utilized to calculate the  $\text{K}^+$  equivalent current,  $i_{\text{K}^+}$ , via

$$i_{\text{K}^+} = \gamma(A_{\text{T-S}} - A_{\text{T-}i_{\text{app}}}) \quad [16]$$

where  $A_{\text{T-S}}$  is the alkalinity value for the starting solution,  $A_{\text{T-}i_{\text{app}}}$  is the alkalinity value for the solution collected at the  $i_{\text{app}}$ , and  $\gamma$  in the equation is determined based upon the solution's flow rate and Faraday constant. (See the supplementary information for details.) Moreover, to illustrate the  $\text{H}^+$  crossover, the  $\text{OH}^-$  equivalent current,  $i_{\text{OH}^-}$ , is calculated using Eq. 15, the same procedure that has been used to calculate the  $i_{\text{O}_2}$  according to  $4\text{OH}^- \rightarrow \text{O}_2\uparrow + 2\text{H}_2\text{O} + 4\text{e}^-$ . Finally, to facilitate the comparison, both the  $i_{\text{K}^+}$  and  $i_{\text{OH}^-}$  are normalized by  $i_{\text{app}}$ , and the relevant results are in the two last columns of Table III.

As illustrated previously in Fig. 1, the number of the  $\text{K}^+$  repulsed from the anode to cathode chambers ideally equals the number of the  $\text{OH}^-$  consumed for  $\text{O}_2$  evolution, meaning that both the values of  $i_{\text{K}^+}/i_{\text{app}}$  and  $i_{\text{OH}^-}/i_{\text{app}}$  should be the same at a fixed  $i_{\text{app}}$ . In fact, in Table III, the  $i_{\text{K}^+}/i_{\text{app}}$  is smaller than the  $i_{\text{OH}^-}/i_{\text{app}}$  at every  $i_{\text{app}}$ , suggesting that  $\text{H}^+$  coming from  $\text{H}_2\text{O}$  dissociation via reaction 3 may substitute for the  $\text{K}^+$  charge transferred across the membrane. Since  $\text{H}^+$  has a smaller size than  $\text{K}^+$ ,<sup>33</sup> in addition to a Nafion membrane with less cation selectivity,<sup>34</sup> removing  $\text{H}^+$  from the anode may become facile at elevated  $i_{\text{app}}$ . If  $i_{\text{app}}$  increases,  $\text{H}_2\text{O}$  dissociation will also be intensified to enhance  $\text{H}^+$  production, as more  $\text{OH}^-$  is consumed toward  $\text{O}_2$  evolution. Consequently, more  $\text{H}^+$  will migrate across the membrane along with  $\text{K}^+$ , therefore continuously differentiating the experimental and modeled results in Fig. 5.

**Insights from continuous DAC operation.**—Additional work is conducted for continuous DAC operation by using the setup depicted in Fig. S1a, where the flow cell is used for solvent generation and the membrane contactor is used for carbon capture. As shown in Fig. 6a, increases in pH of the solvent improve the effectiveness of capturing  $\text{CO}_2$  from the atmosphere. For example, at the first testing period by



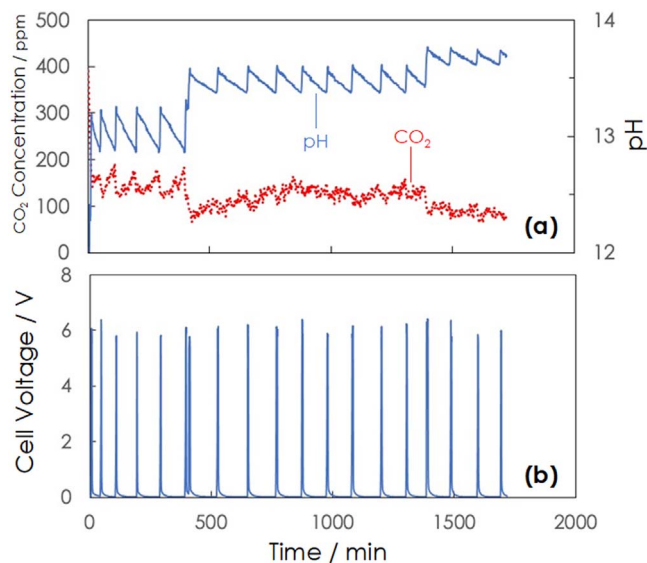
**Figure 5.** Comparison of the experimental and modeled results for the  $i_{\text{CO}_2}/i_{\text{app}}$  and pH reduction by adjusting  $\beta_{\text{eff}}$ , in which plots (a) and (b) belong to case C, and plots (c) and (d) are affiliated with case D. The other constants like rate and equilibrium constants are listed in Table II.

**Table III.** Using the total alkalinity values to calculate the  $i_{\text{K}^+}/i_{\text{app}}$  for cases C and D. Each sample was measured 3 times. The value in the bracket shows the standard deviation.

Case	$\text{K}_2\text{CO}_3$ sample collected at $i_{\text{app}}$	Alkalinity/mol $\text{L}^{-1}$	$i_{\text{K}^+}/i_{\text{app}}$	$i_{\text{OH}^-}/i_{\text{app}}$
C	Starting	1.550 (0.004)	N/A	
	2	1.265 (0.002)	0.94	0.94
	4	1.038 (0.002)	0.85	0.90
	5	0.924 (0.003)	0.83	0.91
	6	0.818 (0.001)	0.81	0.93
	7	0.713 (0.007)	0.79	0.91
	Starting	0.742 (0.003)	N/A	
D	2	0.468 (0.004)	0.91	0.89
	3	0.379 (0.002)	0.80	0.89
	4	0.281 (0.003)	0.77	0.88

400 min, when the pH is varied between 12.8 and 13.3, the remaining  $\text{CO}_2$  concentration in the treated air is approximately 150 ppm, corresponding to about 63% instant  $\text{CO}_2$  capture rate; in contrast, during the final testing stage after 1,350 min, when the pH

increases to 13.6–13.8, the remaining  $\text{CO}_2$  concentration decreases to about 90 ppm. The improved  $\text{CO}_2$  capture is mainly accounted for by the  $\text{OH}^-$  production at the cathode, as discussed in the introduction. Moreover, from the process, the  $\text{H}_2$  formed at the



**Figure 6.** A continuous direct air capture (DAC) process using the setup depicted in Fig. S1(a). (a)  $\text{CO}_2$  concentration and pH of carbon capture solvent and (b) cell operating voltage for solvent regeneration as a function of testing time. The sawtooth pattern of pH in (a) is caused by the intermittent solvent regeneration at the cathode through the process. The solvent regeneration was controlled by a relay that was slaved to a pH sensor in the holding tank for the membrane contactor. When the pH was lower than the set point, the relay switch closed, triggering the power supply to charge the flow cell at the desired current. Therefore, a repaid increase in the cell voltage is observed in (b) while increasing the pH in (a). The experimental condition includes: the air flow rate was  $1 \text{ L min}^{-1}$ , the starting solvent was about 500 g of 6.7 wt%  $\text{K}_2\text{CO}_3$  solution, the flow rate of the anode was  $16 \text{ ml min}^{-1}$ , the flow rate of the cathode was  $50 \text{ ml min}^{-1}$ , and the applied current was 1.5 A.

cathode can be beneficially utilized, e.g., reducing the cell operating voltage via depolarization by feeding  $\text{H}_2$  to the anode, and therefore offsetting the operating cost of DAC.

To make the process sustainable, the captured  $\text{CO}_2$  as  $\text{CO}_3^{2-}$  should be liberated at the anode by lowering the pH of the anolyte, which is driven by water electrolysis. However, it is found that under these experimental conditions (See the caption of Fig. 6), especially at a high flow rate for the anode and a low applied current, pH of the anolyte, the liquid in the anode chamber, is not reduced greatly for  $\text{CO}_2$  release. For this case, pH of the anolyte sample (collected during the 1.5 A charging) was about 10.2, and no significant changes in the carbon loading were found.

This continuous DAC operation leads to two major conclusions: (1) to attain a high  $\text{CO}_2$  capture rate, KOH needs to be continuously supplied to a membrane contactor via water electrolysis from the cathode of an electrochemical flow cell; and (2) imbalanced  $\text{CO}_2$  capture and  $\text{CO}_2$  release will occur in the present setup if operating conditions are improper, e.g., high flow rate and low applied current. Moreover, if attaining a high  $\text{CO}_2$  capture rate is unnecessary,  $\text{HCO}_3^-$  will be produced from  $\text{CO}_2$  reacting with  $\text{CO}_3^{2-}$ . Under such an operating condition,  $\text{CO}_2$  release at the anode will be improved as more  $\text{HCO}_3^-$  coexists in the solvent.

### Conclusions

In this study, a membrane-electrochemical  $\text{CO}_2$  capture-enrichment process for DAC is demonstrated, focusing on elucidating the  $\text{CO}_2$  release versus  $\text{O}_2$  evolution from a  $\text{CO}_3^{2-}$ -solution at the anode of an electrochemical flow cell during water electrolysis. Through the case-by-case comparisons coupled with the modeled results, lowering the flow rate, reducing  $\text{CO}_3^{2-}$  concentration and increasing current are the effective methods to enhance  $\text{CO}_2$  release, whereas changing the residence time and anode chamber volume offers minor

effects. For instance, from case A versus case B,  $\sim 2.5 \times$  reduction in the flow rate results in  $\sim 20 \times$  increase in  $\text{CO}_2$  release at 4 A, and in contrast, from case B versus case E, increasing the residence time by  $\sim 10$  folds shows no improvement of  $\text{CO}_2$  release. Moreover, discrepancies of the  $\text{CO}_2$  release and pH reduction between the experimental and modeled results may be caused by  $\text{H}^+$  crossover rather than  $\text{K}^+$  transport through the Nafion membrane during water electrolysis.

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