# Midterm Review

# Asymptotic runtime analysis

- $O(\leq)$ ,  $\Theta(=)$ ,  $\Omega(\geq)$ 
  - Simplifying complex functions to ease the asymptotic comparisons
  - Familiar with basic facts. e.g.,  $\log_a x = \log_a b \log_b x$ ,  $a^{\log_b x} = x^{\log_b a}$
  - See my email to class list summarizing the main strategies for deciding asymptotic orders
- Characterize runtime based on pseudo-code
- Forming recurrence equation for recursive algo.
- Solving recurrence equations
  - Telescoping
  - Recursion tree
  - Master theorem

# Divide and Conquer

- Break problem into smaller sub-problems
- Solve smaller sub-problems via recursion
- Combine solutions of sub-problems to get a solution to the original problem
- Examples:
  - Merge sort (n log n)
  - Binary search (log n)

# Majority element problem

- Given an array of n elements  $a_1, a_2, ..., a_n$
- An element is majority if it occurs more than  $\frac{n}{2}$  times
- You cannot sort the array, but can compare two elements to see if they are the same in O(1) time
- Goal: find the majority element if it exists in O(n log n) time.

# High level idea

- Break A into  $A_1$  and  $A_2$
- We can recursively find the majority element in  $A_1$  and  $A_R$  call them  $m_1$  and  $m_R$
- For possible outcomes:

  - 1.  $m_L = m_R = NULL$ 2.  $m_L = m_R \neq NULL$ 3.  $m_L \neq NULL$ ,  $m_R = NULL$
  - 4.  $m_1 = NULL, m_R \neq NULL$
- Key insight: if an element is majority of A, it has to be majority for either  $A_{\rm L}$  and  $A_{\rm R}$ 
  - Otherwise, its total occurrence would be  $\leq n/2$

```
Majority(A, n)
A_1 = A(1,...,n/2)
A_R = A(n/2,...,n)
m_1 = majority(A_1, n/2)
m_R = majority(A_R, n/2)
if m_1 = m_R
       return m
if m_1 \neq NULL
      scan A to see if m, occurs >n/2
       return m<sub>i</sub> if yes
if m_R \neq NULL
       scan A to see if m_R occurs >n/2
       return m_R if yes
return NULL
```

What is missing?

# Correctness proof (induction)

Base case:

Inductive assumption:

Inductive step:

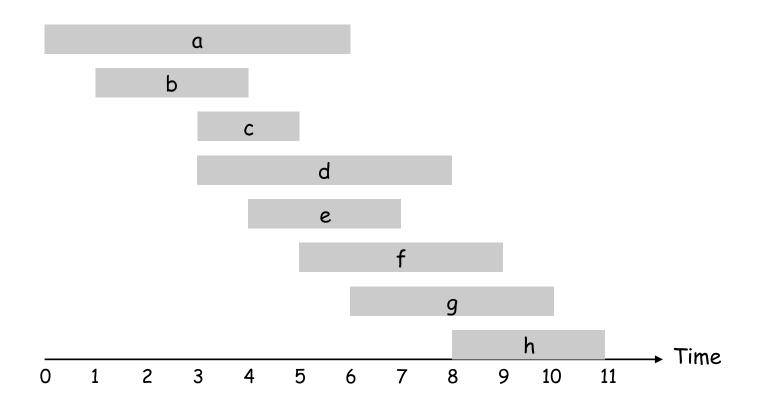
# Dynamic programming

- Define subproblem
- Figure out the recursive relation for subproblem
- Work out the base cases and an iterative procedure to incrementally solve all subproblems
- Return the solution to the original problem

## Weighted Interval Scheduling

#### Weighted interval scheduling problem.

- $\blacksquare$  Job j starts at  $s_j$  , finishes at  $f_j$  , and has weight or value  $v_j$  .
- Two jobs compatible if they don't overlap.
- Goal: find maximum weight subset of mutually compatible jobs.

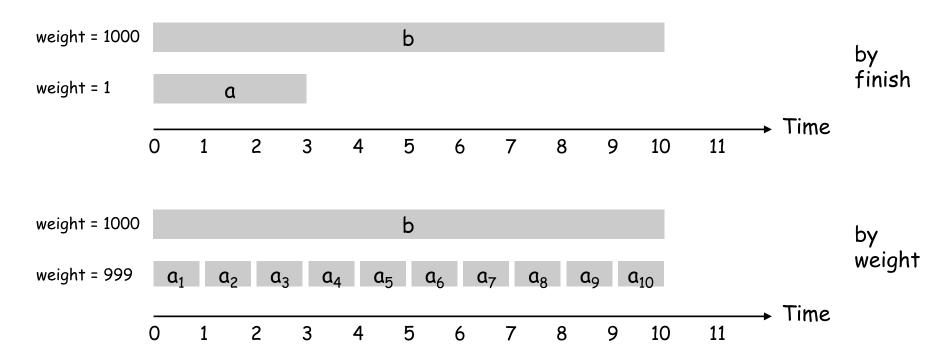


## Unweighted Interval Scheduling Review

Recall. Greedy algorithm works if all weights are 1.

- Consider jobs in ascending order of finish time.
- Add job to subset if it is compatible with previously chosen jobs.

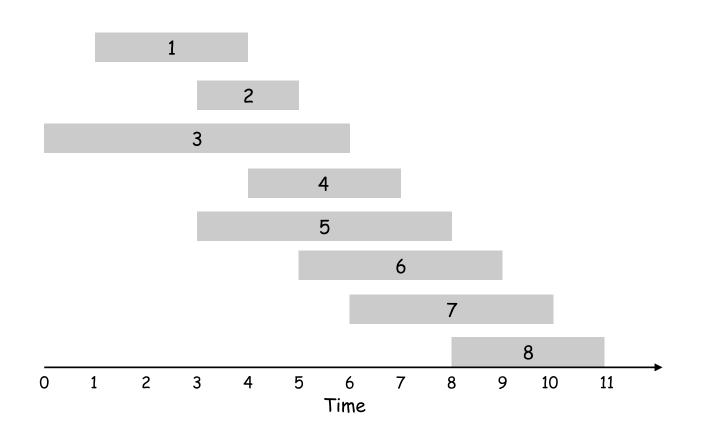
Observation. Greedy algorithm can fail spectacularly if arbitrary weights are allowed.



## Weighted Interval Scheduling

Notation. Label jobs by finishing time:  $f_1 \le f_2 \le ... \le f_n$ . Def. p(j) = largest index i < j such that job i is compatible with j.

Ex: p(8) = 5, p(7) = 3, p(2) = 0.



j	p(j)	
0	•	
I	0	
2	0	
3	0	
4	- 1	
5	0	
6	2	
7	3	
8	5	

# Dynamic Programming: Binary Choice

Notation. OPT(j) = value of optimal solution to the problem consisting of job requests 1, 2, ..., j.

- Option 1: selects job j.
  - can't use incompatible jobs  $\{p(j) + 1, p(j) + 2, ..., j 1\}$
  - must include optimal solution to problem consisting of remaining compatible jobs 1, 2, ..., p(j)

    optimal substructure

Option 2: does not select job j.

- must include optimal solution to problem consisting of remaining compatible jobs 1, 2, ..., j-1

$$OPT(j) = \begin{cases} 0 & \text{if } j = 0\\ \max \left\{ v_j + OPT(p(j)), OPT(j-1) \right\} & \text{otherwise} \end{cases}$$

## Weighted Interval Scheduling: Brute Force

Brute force recursive algorithm.

```
Input: n, s_1,...,s_n, f_1,...,f_n, v_1,...,v_n

Sort jobs by finish times so that f_1 \le f_2 \le ... \le f_n.

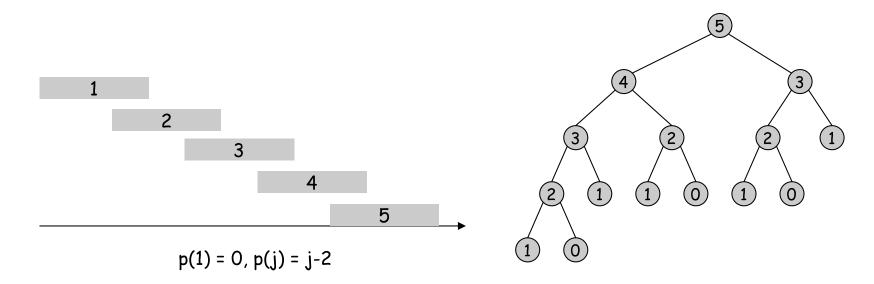
Compute p(1), p(2), ..., p(n)

Compute-Opt(j) {
   if (j = 0)
      return 0
   else
      return max(v_j + Compute-Opt(p(j)), Compute-Opt(j-1))
}
```

## Weighted Interval Scheduling: Brute Force

Observation. Recursive algorithm fails spectacularly because of redundant sub-problems  $\Rightarrow$  exponential algorithms.

Ex. Number of recursive calls for family of "layered" instances grows like Fibonacci sequence.



### Weighted Interval Scheduling: Bottom-Up

Bottom-up dynamic programming. Unwind recursion.

```
Input: n, s_1,...,s_n, f_1,...,f_n, v_1,...,v_n

Sort jobs by finish times so that f_1 \le f_2 \le ... \le f_n.

Compute p(1), p(2), ..., p(n)

Iterative-Compute-Opt {
    OPT[0] = 0
    for j = 1 to n
        OPT[j] = max(v_j + OPT[p(j)], OPT[j-1])
}

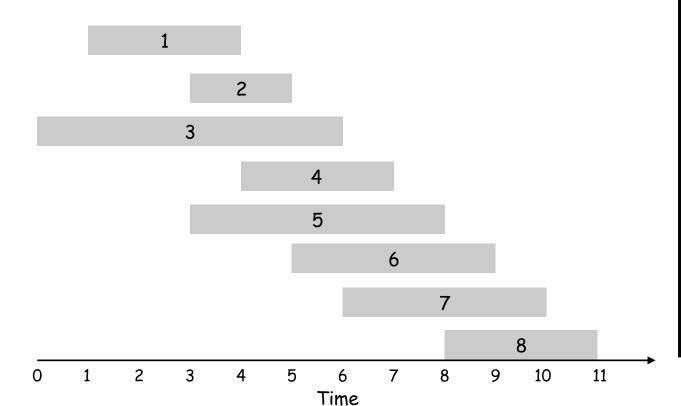
Output OPT[n]
```

Claim: OPT[j] is value of optimal solution for jobs 1..j Timing: Easy. Main loop is O(n); sorting is O(n log n)

## Weighted Interval Scheduling

Notation. Label jobs by finishing time:  $f_1 \le f_2 \le ... \le f_n$ . Def. p(j) = largest index i < j such that job i is compatible with j.

Ex: p(8) = 5, p(7) = 3, p(2) = 0.



j	vj	рj	optj
0	1	1	0
_		0	
2		0	
3		0	
4			
5		0	
6		2	
7		3	
8		5	