

Programming Languages

Nested Patterns

Exceptions

Tail Recursion

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Pattern Matching

```
fun eval e =  
  case e of  
    Constant i      => i  
  | Negate e2        => ~ (eval e2)  
  | Add(e1,e2)       => (eval e1) + (eval e2)  
  | Multiply(e1,e2)  => (eval e1) * (eval e2)
```

Pattern Matching

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  case e of  
    Constant i          => i  
  | Negate e2           => ~ (eval e2)  
  | Add(e1,e2)          => (eval e1) + (eval e2)  
  | Multiply(e1,e2)     => (eval e1) * (eval e2)
```

Or

```
fun eval(Constant(i)) = i  
  | eval(Negate(e))   = ~ (eval e)  
  | eval(Add(e1, e2)) = (eval e1)+(eval e2)  
  | eval(Multiply(e1, e2)) = (eval e1)*(eval e2)
```

Fibonacci Series

```
fun fibo 0 = 1
  | fibo 1 = 1
  | fibo n = fibo(n-1) + fibo(n-2)

fun fibo_series 0 = [fibo(0)]
  | fibo_series n = fibo_series(n-1) @ [fibo(n)]
```

Fibonacci Series

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fun fibo 0 = 1
  | fibo 1 = 1
  | fibo n = fibo(n-1) + fibo(n-2)

fun fibo_series 0 = [fibo(0)]
  | fibo_series n = fibo_series(n-1) @ [fibo(n)]

fibo(42);    (* very slow *)
```

Fast Fibonacci Series — How?

```
fun fibo (0) =  
  | fibo (n) =
```

```
fibo (42)
```

dynamic programming
memoization

...

Fast Fibonacci Series

```
fun fibo 0 = (1, 1)
  | fibo n =
      let val (n_1, n_2) = fibo (n-1)
      in
          (n_1+n_2, n_1)
      end
```

```
fibo (42)
```

Nested patterns

- We can nest patterns as deep as we want
 - Just like we can nest expressions as deep as we want
 - Often avoids hard-to-read, wordy nested case expressions
- So the full meaning of pattern-matching is to compare a pattern against a value for the “same shape” and bind variables to the “right parts”
 - More precise recursive definition coming after examples

Useful example: zip/unzip 3 lists

```
fun zip3 lists =  
  (*  
   * ([1,2,3], [10,20,30], [100,200,300])  
   * => [(1,10,100), (2,20,200), (3,30,300)]  
   *)  
  
fun unzip3 triples =  
  (*  
   * [(1,10,100), (2,20,200), (3,30,300)]  
   * => ([1,2,3], [10,20,30], [100,200,300])  
   *)
```

Useful example: zip/unzip 3 lists

```
fun zip3 lists =  
  case lists of  
    ([], [], []) => []  
  | (hd1::t11, hd2::t12, hd3::t13) =>  
    (hd1, hd2, hd3) :: zip3(t11, t12, t13)  
  | _ => raise ListLengthMismatch  
  
fun unzip3 triples =  
  case triples of  
    [] => ([], [], [])  
  | (a, b, c) :: t1 =>  
    let val (l1, l2, l3) = unzip3 t1  
    in  
      (a :: l1, b :: l2, c :: l3)  
    end  
end
```

More Nested Pattern Matching

```
fun nondecreasing xs =  
  (* returns true if the list is nondecreasing.  
   * [1,2,3,4] => true  
   * [3,2,1] => false  
   *)
```

```
fun multsign (x1, x2) =  
  (* returns the sign of multiplying x1 and x2.  
   * P for positive,  
   * N for negative,  
   * Z for zero  
   * multsign(0, 1) => Z, multsign(~1, 1) => N  
   *)
```

Style

- Nested patterns can lead to very elegant, concise code
 - Avoid nested case expressions if nested patterns are simpler and avoid unnecessary branches or let-expressions
 - Example: **unzip3** and **nondecreasing**
 - A common idiom is matching against a tuple of datatypes to compare them
 - Examples: **zip3** and **multsign**
- Wildcards are good style: use them instead of variables when you do not need the data
 - Examples: **len** and **multsign**

(Most of) the full definition

The **semantics** for pattern-matching takes a pattern p and a value v and decides (1) does it match and (2) if so, what variable bindings are introduced.

Since patterns can nest, the **definition is elegantly recursive**, with a separate rule for each kind of pattern. Some of the rules:

- If p is a variable x , the match succeeds and x is bound to v
- If p is $_$, the match succeeds and no bindings are introduced
- If p is $(p1, \dots, pn)$ and v is $(v1, \dots, vn)$, the match succeeds if and only if $p1$ matches $v1$, ..., pn matches vn . The bindings are the union of all bindings from the submatches
- If p is $C\ p1$, the match succeeds if v is $C\ v1$ (i.e., the same constructor) and $p1$ matches $v1$. The bindings are the bindings from the submatch.
- ... (there are several other similar forms of patterns)

Examples

- Pattern $\mathbf{a :: b :: c :: d}$ matches all lists with ≥ 3 elements
- Pattern $\mathbf{a :: b :: c :: []}$ matches all lists with 3 elements
- Pattern $\mathbf{((a, b) , (c, d)) :: e}$ matches all non-empty lists of pairs of pairs

Exceptions

An exception binding introduces a new kind of exception

```
exception MyFirstException  
exception MySecondException of int * int
```

The **raise** primitive raises (a.k.a. throws) an exception

```
raise MyFirstException  
raise (MySecondException(7,9))
```

A handle expression can handle (a.k.a. catch) an exception

- If doesn't match, exception continues to propagate

```
e1 handle MyFirstException => e2  
    | MySecondException(x,y) => e3
```

Actually...

Exceptions are a lot like datatype constructors...

- Declaring an exception adds a constructor for type **exn**
- Can pass values of **exn** anywhere (e.g., function arguments)
 - Not too common to do this but can be useful
- **handle** can have multiple branches with patterns for type **exn**

Max function again

```
fun good_max (xs : int list) =  
  if null xs  
  then 0 (* horrible style; fix later *)  
  else if null (tl xs)  
  then hd xs  
  else  
    let val tl_ans = good_max(tl xs)  
    in  
      if hd xs > tl_ans  
      then hd xs  
      else tl_ans  
    end  
end
```

Use Exception and Pattern Matching

Recursion

Should now be comfortable with recursion:

- No harder than using a loop (whatever that is 😊)
- Often much easier than a loop
 - When processing a tree (e.g., evaluate an arithmetic expression)
 - Examples like appending lists
 - Avoids mutation even for local variables
- Now:
 - How to reason about *efficiency* of recursion
 - The importance of *tail recursion*
 - Using an *accumulator* to achieve tail recursion
 - [No new language features here]

Call-stacks

While a program runs, there is a *call stack* of function calls that have started but not yet returned

- Calling a function f pushes an instance of f on the stack
- When a call to f finishes, it is popped from the stack

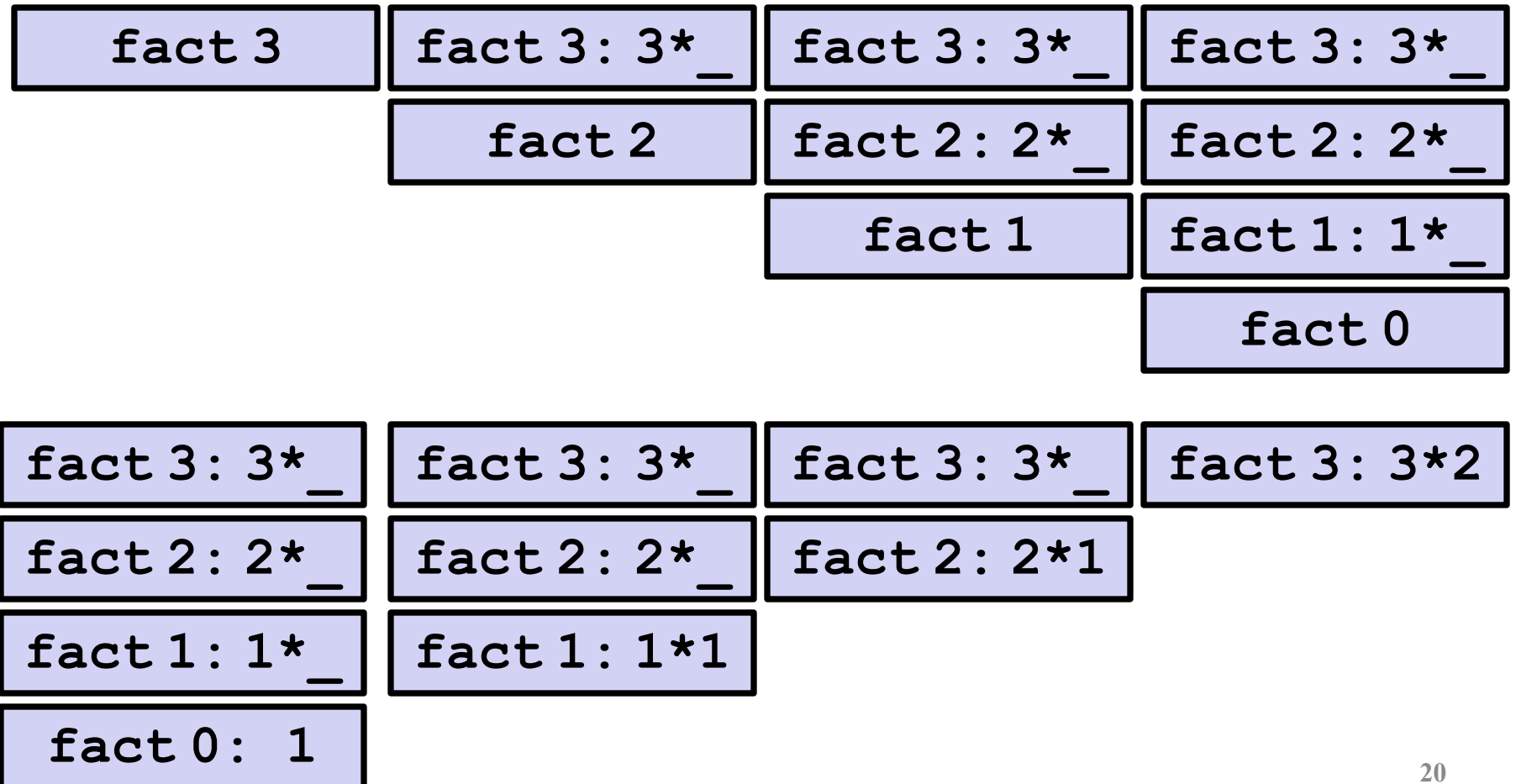
These stack-frames store information like the value of local variables and “what is left to do” in the function

Due to recursion, multiple stack-frames may be calls to the same function

Also called “activation record”

Example

```
fun fact n = if n=0 then 1 else n*fact(n-1)
val x = fact 3
```

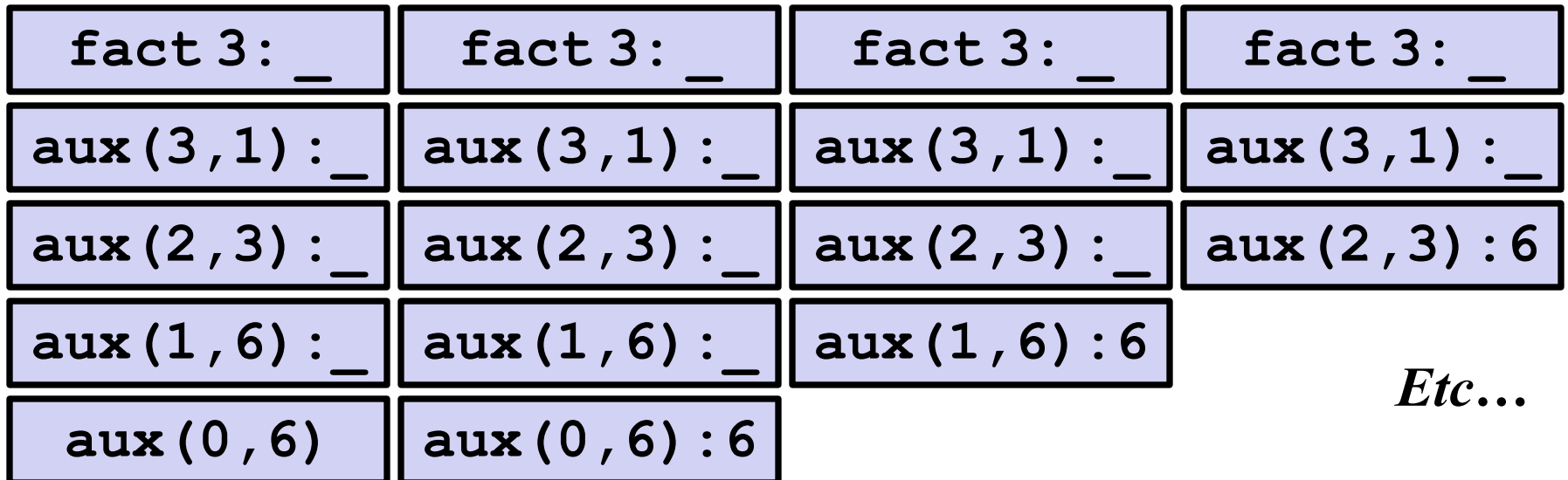
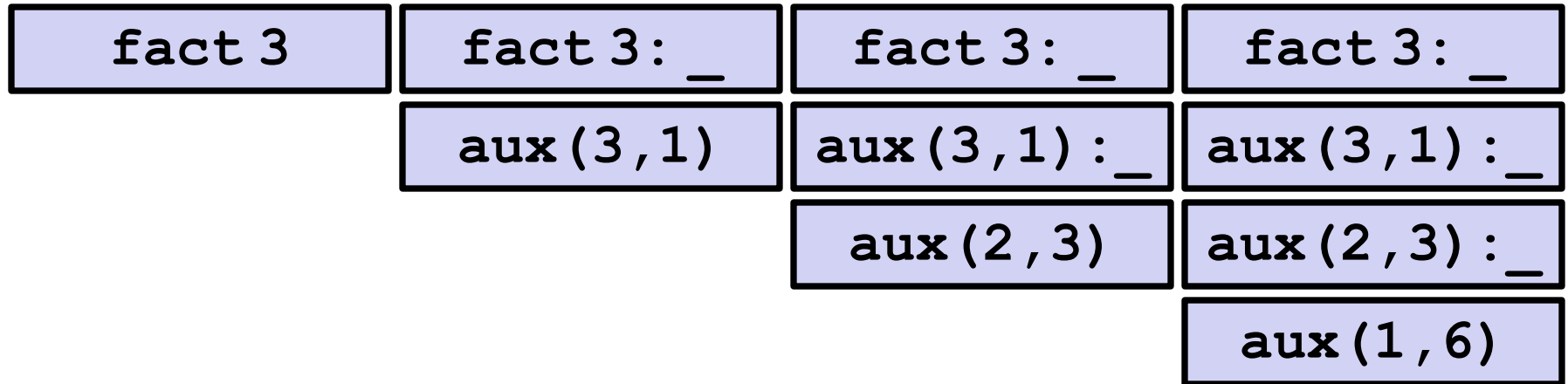


Example Revised

```
fun fact n =  
  let fun aux(n,acc) =  
        if n=0  
        then acc  
        else aux(n-1,acc*n)  
  in  
    aux(n,1)  
  end  
val x = fact 3
```

Still recursive, more complicated, but the result of recursive calls *is* the result for the caller (no remaining multiplication)

The call-stacks



Etc...

An optimization

It is unnecessary to keep around a stack-frame just so it can get a callee's result and return it without any further evaluation

ML recognizes these *tail calls* in the compiler and treats them differently:

- Pop the caller *before* the call, allowing callee to *reuse* the same stack space
- (Along with other optimizations,) as efficient as a loop

Reasonable to assume all functional-language implementations do tail-call optimization

What really happens

```
fun fact n =  
  let fun aux(n,acc) =  
        if n=0  
        then acc  
        else aux(n-1, acc*n)  
  in  
    aux(n,1)  
  end  
val x = fact 3
```

fact 3

aux(3,1)

aux(2,3)

aux(1,6)

aux(0,6)

Moral of tail recursion

- Where reasonably elegant, feasible, and important, rewriting functions to be *tail-recursive* can be much more efficient
 - Tail-recursive: recursive calls are tail-calls
- There is a *methodology* that can often guide this transformation:
 - Create a helper function that takes an *accumulator*
 - Old base case becomes initial accumulator
 - New base case becomes final accumulator

Methodology already seen

```
fun fact n =  
  let fun aux(n,acc) =  
        if n=0  
        then acc  
        else aux(n-1,acc*n)  
  in  
    aux(n,1)  
  end  
val x = fact 3
```

fact 3

aux(3,1)

aux(2,3)

aux(1,6)

aux(0,6)

Another example

```
fun sum xs =  
  case xs of  
    [] => 0  
  | x::xs' => x + sum xs'
```

Another example

```
fun sum xs =  
  case xs of  
    [] => 0  
  | x::xs' => x + sum xs'
```

```
fun sum xs =  
  let fun aux(xs, acc) =  
        case xs of  
          [] => acc  
        | x::xs' => aux(xs', x+acc)  
  in  
    aux(xs, 0)  
  end
```

And another

```
fun rev xs =  
  case xs of  
    [] => []  
  | x::xs' => (rev xs') @ [x]
```

And another

```
fun rev xs =  
  case xs of  
    [] => []  
  | x::xs' => (rev xs') @ [x]
```

```
fun rev xs =  
  let fun aux(xs, acc) =  
        case xs of  
          [] => acc  
        | x::xs' => aux(xs', x::acc)  
  in  
    aux(xs, [])  
  end
```

Actually much better

```
fun rev xs =  
  case xs of  
    [] => []  
  | x::xs' => (rev xs') @ [x]
```

- For **fact** and **sum**, tail-recursion is faster but both ways linear time
- Non-tail recursive **rev** is quadratic because each recursive call uses append, which must traverse the first list
 - And $1+2+\dots+(\text{length}-1)$ is almost $\text{length} \times \text{length} / 2$
 - Moral: beware list-append, especially within outer recursion
- Cons constant-time (and fast), so accumulator version much better

Always tail-recursive?

There are certainly cases where recursive functions cannot be evaluated in a constant amount of space

Most obvious examples are functions that process trees

In these cases, the natural recursive approach is the way to go

- You could get one recursive call to be a tail call, but rarely worth the complication

Also beware the wrath of premature optimization

- Favor clear, concise code
- But do use less space if inputs may be large

What is a tail-call?

The “nothing left for caller to do” intuition usually suffices

- If the result of $\mathbf{f} \ \mathbf{x}$ is the “immediate result” for the enclosing function body, then $\mathbf{f} \ \mathbf{x}$ is a tail call

But we can define “tail position” recursively

- Then a “tail call” is a function call in “tail position”

...

Precise definition

A tail call is a function call in tail position

- If an expression is not in tail position, then no subexpressions are
- In **fun f p = e**, the body **e** is in tail position
- If **if e1 then e2 else e3** is in tail position, then **e2** and **e3** are in tail position (but **e1** is not). (Similar for case-expressions)
- If **let b1 ... bn in e end** is in tail position, then **e** is in tail position (but no binding expressions are)
- Function-call *arguments* **e1 e2** are not in tail position
- ...