

Programming Languages

First-Class Functions

Subtyping

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# *What is functional programming?*

“*Functional programming*” can mean a few different things:

1. Avoiding mutation in most/all cases (done and ongoing)
2. Using functions as values (this lecture)

...

- Style encouraging recursion and recursive data structures
- Style closer to mathematical definitions
- Programming idioms using *laziness* (later topic, briefly)
- Anything not OOP or C? (not a good definition)

Not sure a definition of “*functional language*” exists beyond “makes functional programming easy / the default / required”

- No clear yes/no for a particular language

# First-class functions

- *First-class functions*: Can use them *wherever* we use values
  - Functions are values too
  - Arguments, results, parts of tuples, bound to variables, carried by datatype constructors or exceptions, ...

```
fun double x = 2*x
fun incr x = x+1
val a_tuple = (double, incr, double(incr 7))
```

- Most common use is as an argument / result of another function
  - Other function is called a *higher-order function*
  - Powerful way to *factor out* common functionality

# Function Closures

- *Function closure*: Functions can use bindings from outside the function definition (in scope where function is defined)
  - Makes first-class functions *much* more powerful
  - Will get to this feature in a bit, after simpler examples
- Distinction between terms *first-class functions* and *function closures* is not universally understood
  - Important conceptual distinction even if terms get muddled

# *Functions as arguments*

- We can pass one function as an argument to another function
  - Not a new feature, just never thought to do it before

```
fun f (g,...) = ... g (...) ...  
fun h1 ... = ...  
fun h2 ... = ...  
...    f(h1,...) ... f(h2,...) ...
```

- Elegant strategy for factoring out common code
  - Replace  $N$  similar functions with calls to 1 function where you pass in  $N$  different (short) functions as arguments

# Example

Can reuse `n_times` rather than defining many similar functions

- Computes  $f(f(\dots f(x)))$  where number of calls is `n`

```
fun n_times (f,n,x) =
```

```
  fun double x = x + x
```

```
  fun increment x = x + 1
```

```
  val x1 = n_times(double,4,7)
```

```
  val x2 = n_times(increment,4,7)
```

```
  val x3 = n_times(tl,2,[4,8,12,16])
```

```
  fun double_n_times (n,x) = n_times(double,n,x)
```

```
  fun nth_tail (n,x) = n_times(tl,n,x)
```

# Example

Can reuse `n_times` rather than defining many similar functions

- Computes  $f(f(\dots f(x)))$  where number of calls is  $n$

```
fun n_times (f,n,x) =  
  if n=0  
  then x  
  else f (n_times(f,n-1,x))
```

```
fun double x = x + x  
fun increment x = x + 1  
val x1 = n_times(double,4,7)  
val x2 = n_times(increment,4,7)  
val x3 = n_times(tl,2,[4,8,12,16])
```

```
fun double_n_times (n,x) = n_times(double,n,x)  
fun nth_tail (n,x) = n_times(tl,n,x)
```

# *Relation to types*

- Higher-order functions are often so “generic” and “reusable” that they have polymorphic types, i.e., types with type variables
- But there are higher-order functions that are not polymorphic
- And there are non-higher-order (first-order) functions that are polymorphic
- Always a good idea to understand the type of a function, especially a higher-order function



# Types for example

```
fun n_times (f,n,x) =  
  if n=0  
  then x  
  else f (n_times(f,n-1,x))
```

- `val n_times : ('a -> 'a ) * int * 'a => 'a`
- Two of our examples *instantiated* 'a with `int`
- One of our examples *instantiated* 'a with `int list`
- This *polymorphism* makes `n_times` more useful
- Type is *inferred* based on how arguments are used (later lecture)
  - Describes which types must be exactly something (e.g., `int`) and which can be anything but the same (e.g., 'a)

# Types for example

```
fun n_times (f,n,x) =  
  if n=0  
  then x  
  else f (n_times(f,n-1,x))
```

- `val n_times : ('a -> 'a) * int * 'a -> 'a`
  - Simpler but less useful: `(int -> int) * int * int -> int`
- Two of our examples *instantiated* 'a with `int`
- One of our examples *instantiated* 'a with `int list`
- This *polymorphism* makes `n_times` more useful
- Type is *inferred* based on how arguments are used (later lecture)
  - Describes which types must be exactly something (e.g., `int`) and which can be anything but the same (e.g., 'a)

# *Polymorphism and higher-order functions*

- Many higher-order functions are polymorphic because they are so reusable that some types, “can be anything”
- But some polymorphic functions are not higher-order
  - Example: `length : 'a list -> int`
- And some higher-order functions are not polymorphic
  - Example: `times_until_0 : (int -> int) * int -> int`

```
fun times_until_0 (f,x) =  
  if x=0 then 0 else 1 + times_until_0(f, f x)
```

Note: Would be better with tail-recursion

# *Toward anonymous functions*

- Definitions unnecessarily at top-level are still poor style:

```
fun triple x = 3*x
fun triple_n_times (n,x) = n_times(triple,n,x)
```

- So this is better (but not the best):

```
fun triple_n_times (n,x) =
  let fun trip y = 3*y
  in
    n_times(trip,n,x)
  end
```

- And this is even smaller scope
  - It makes sense but looks weird (poor style; see next slide)

```
fun triple_n_times (n,x) =
  n_times(let fun trip y = 3*y in trip end, n, x)
```

# Anonymous functions

- This does not work: A function *binding* is not an *expression*

```
fun triple_n_times (f,x) =  
  n_times((fun trip y = 3*y) , n, x)
```

- This is the best way we were building up to: an expression form for *anonymous functions*

```
fun triple_n_times (f,x) =  
  n_times((fn y => 3*y) , n, x)
```

- Like all expression forms, can appear anywhere
- Syntax:
  - **fn** not **fun**
  - **=>** not **=**
  - no function name, just an argument pattern

# *Using anonymous functions*

- Most common use: Argument to a higher-order function
  - Don't need a name just to pass a function
- But: Cannot use an anonymous function for a recursive function
  - Because there is no name for making recursive calls
  - If not for recursion, **fun** bindings would be syntactic sugar for **val** bindings and anonymous functions

```
fun triple x = 3*x
```

```
val triple = fn y => 3*y
```

# *A style point*

Compare:

```
if x then true else false
```

With:

```
(fn x => f x)
```

So don't do this:

```
n_times ((fn y => t1 y) , 3 , xs)
```

When you can do this:

```
n_times (t1 , 3 , xs)
```

# Map

```
fun map (f, xs) =  
  case xs of  
    [] => []  
  | x :: xs' => (f x) :: (map (f, xs'))
```

```
val map : ('a -> 'b) * 'a list -> 'b list
```

Map is, without doubt, in the “higher-order function hall-of-fame”

- The name is standard (for any data structure)
- You use it *all the time* once you know it: saves a little space, but more importantly, *communicates what you are doing*
- Similar predefined function: **List.map**
  - But it uses currying (coming soon)



# *Filter*

```
fun filter (f,xs) =  
  case xs of  
    [] => []  
  | x::xs' => if f x  
                then x::(filter(f,xs'))  
                else filter(f,xs')
```

```
val filter : ('a -> bool) * 'a list -> 'a list
```

Filter is also in the hall-of-fame

- So use it whenever your computation is a filter
- Similar predefined function: **List.filter**
  - But it uses currying (coming soon)

# *Generalizing*

Our examples of first-class functions so far have all:

- Taken one function as an argument to another function
- Processed a number or a list

But first-class functions are useful anywhere for any kind of data

- Can pass several functions as arguments
- Can put functions in data structures (tuples, lists, etc.)
- Can return functions as results
- Can write higher-order functions that traverse your own data structures

Useful whenever you want to abstract over “what to compute with”

- No new language features

# *Returning functions*

- Remember: Functions are first-class values
  - For example, can return them from functions

- Silly example:

```
fun double_or_triple f =  
  if f 7  
  then fn x => 2*x  
  else fn x => 3*x
```

Has type `(int -> bool) -> (int -> int)`

But the REPL prints `(int -> bool) -> int -> int`  
because it never prints unnecessary parentheses and  
`t1 -> t2 -> t3 -> t4` means `t1->(t2->(t3->t4))`

# Other data structures

- Higher-order functions are not just for numbers and lists
- They work great for common recursive traversals over your own data structures (datatype bindings) too
- Example of a higher-order *predicate*:
  - Are all constants in an arithmetic expression even numbers?
  - Use a more general function of type
$$(\text{int} \rightarrow \text{bool}) * \text{exp} \rightarrow \text{bool}$$
  - And call it with  $(\text{fn } x \Rightarrow x \bmod 2 = 0)$

# *Type Synonyms*

What if I want to call `int * int * int` a date?

```
type date = int * int * int
```

# *Type Synonyms*

type vs datatype

Datatype introduces a new type name,  
distinct from all existing types

```
datatype suit = Club | Diamond | Heart | Spade  
datatype card_value = Jack | Queen | King  
                  | Ace | Num of int
```

Type is just another name

```
type card = suit * rank
```

# *Type Synonym*

Why?

For now, just for convenience.

It doesn't let us do anything new.

Later in the course we will see another use related to modularity.

# *Type Generality*

Write a function that appends two string lists...



# *Type Generality*

We expected

```
string list * string list -> string list
```

But the type checker says

```
`a list * `a list -> `a list
```

Why is this okay?

# *Type Generality*

The type 'a is **more general**

More general types “can be used” as any less general type.

# *Type Generality*

The “more general” rule

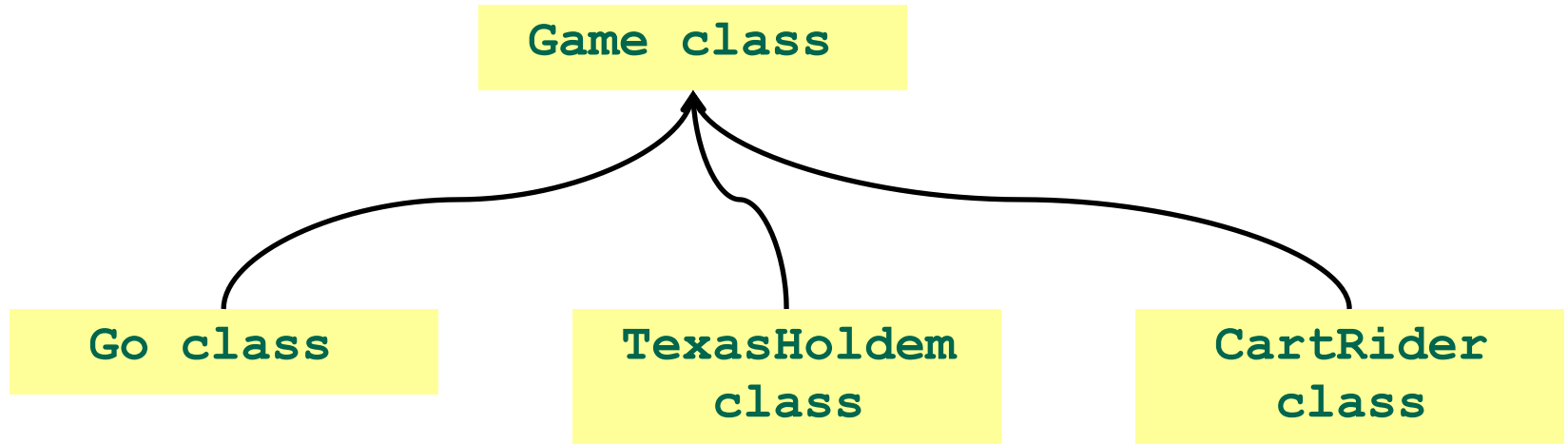
A type  $t1$  is more general than the type  $t2$  if you can take  $t1$ , replace its type variables consistently, and get  $t2$

# *Subtype*

If S and T represent type and S is a subtype of T (i.e.  $S <: T$ ) then

an instance of type S can be safely used in a context where an instance of type T is expected.

## *Subtype – example (Java)*



# Afterthought on Java arrays

Date: Fri, 09 Oct 1998 09:41:05 -0600

From: bill joy

Subject: ...[discussion about java genericity]

actually, java array covariance was done for less noble reasons ...: it made some generic "bcopy" (memory copy) and like operations much easier to write...

I proposed to take this out in 95, but it was too late (...).

i think it is unfortunate that it wasn't taken out...

it would have made adding genericity later much cleaner, and [array covariance] doesn't pay for its complexity today.

wnj