# Math 3T03 - Topology

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### 1 Introduction to topology

#### 1.1 What is topology?

**Definition 1.1** (Topology). Let X be a set. A topology on X is a collection  $\tau$  of subsets of X satisfying:

- $\bullet \ \varnothing \in \tau.$
- $X \in \tau$ .
- The union of any collections of elements in  $\tau$  is also in  $\tau$ .
- The intersection of any finite collection of elements in  $\tau$  is also in  $\tau$ .

**Definition 1.2.**  $(X, \tau)$  is a topological space.

**Definition 1.3.** The elements of  $\tau$  are called the open sets.

**Example 1.1.** Consider  $X = \{a, b, c\}$ . Is  $\tau_1 = \{\emptyset, X, \{a\}\}$  a topology?

*Proof.* Yes,  $\tau_1$  is a topology. Clearly,  $\emptyset \in \tau_1$  and  $X \in \tau_1$  so it suffices to verify the arbitrary unions and finite intersection axioms.

Let  $\{V_{\alpha}\}_{{\alpha}\in A}$  be a subcollection of  $\tau_1$ . Then, we want to show

$$\bigcup_{\alpha \in A} V_{\alpha} \in \mathsf{\tau}_1.$$

If  $V_{\alpha} = \emptyset$  for any  $\alpha \in A$ , then this does not contribute to the union. Hence,  $\varphi$  can be omittied:

$$\bigcup_{\alpha \in A} V_{\alpha} = \bigcup_{\substack{\alpha \in A \\ V_{\alpha} \neq \emptyset}} V_{\alpha}.$$

If  $V_{\alpha} = X$  for some  $\alpha \in A$ , then

$$\bigcup_{\alpha \in A} V_{\alpha} = X \in \mathsf{\tau}_1$$

and we are done. So we may assume  $V_{\alpha} \neq X$  for all  $\alpha \in A$ . Similarly, if  $V_{\alpha} = V_{\beta}$  for  $\alpha \neq \beta$ , then we can omit  $V_{\beta}$  from the union. Since  $\tau_1$  only contains  $\emptyset$ , X and  $\{a\}$ , we must have

$$\bigcup_{\alpha \in A} V_{\alpha} = \{a\} \in \mathsf{\tau}_1.$$

Similarly, if any element of  $\{V_{\alpha}\}_{{\alpha}\in A}$  is empty, then

$$\bigcap_{\alpha \in A} V_{\alpha} = \varnothing \in \mathsf{\tau}_1.$$

We can therefore assume  $V_{\alpha} \neq \emptyset$  for all  $\alpha \in A$ . Again, repetition can be ignored and any  $V_{\alpha}$  that equals X can be ignored. Then,

$$\bigcap_{\alpha \in A} V_{\alpha} = \begin{cases} \{a\} \in \tau_1 \\ X \in \tau_1 \end{cases}$$

**Example 1.2.** Consider  $X = \{a, b, c\}$ . Is  $\tau_2 = \{\emptyset, X, \{a\}, \{b\}\}$  a topology?

*Proof.* No. Note that  $\{a\} \in \tau_2$  and  $\{b\} \in \tau_2$  but  $\{a\} \cup \{b\} = \{a,b\} \notin \tau_2$ .

**Example 1.3.** Consider  $X = \{a, b, c\}$ . Then,  $\tau_3 = \{\emptyset, X, \{a\}, \{b\}, \{a, b\}\}$  is a topology.

#### 1.2 Set theory

**Definition 1.4.** If X is a set and a is an element, we write  $a \in X$ .

**Definition 1.5.** If Y is a subset of X, we write  $Y \subset X$  or  $Y \subseteq X$ .

**Example 1.4.** Suppose X is a set. Then, *power set* of X is the set P(X) whose elements are all subsets of X. In other words,

$$Y \in P(X) \iff Y \subseteq X$$

Note that P(X) is closed under arbitrary unions and intersections. Hence, P(X) is a topology on X.

**Definition 1.6.** P(X) is the discrete topology on X.

**Definition 1.7.** The indiscrete (trivial) topology on X is  $\{\emptyset, X\}$ .

**Definition 1.8.** Suppose U, V are sets. Then, their union is

$$U \cup V = \{x | x \in U \text{ or } x \in V\}.$$

Note that if  $U_1, U_2, \dots, U_10$  are sets, their union can be written as follows:

- $U_1 \cup U_2 \cup \cdots \cup U_{10}$
- $\bullet \bigcup_{k=1}^{10} U_k$
- $\bullet \bigcup_{k=\{1,2,\ldots,10\}} U_k$

**Definition 1.9.** Let A be any set. Suppose  $\forall \alpha \in A$ , I have a set  $U_{\alpha}$ . The union of the  $U_{\alpha}$  over  $\alpha \in A$  is

$$\bigcup_{\alpha \in A} U_{\alpha} = \{x | \exists \alpha \in A, x \in U_{\alpha}\}.$$

Similarly, the intersection is

$$\bigcap_{\alpha \in A} U_{\alpha} = \{x | \exists \alpha \in A, x \in U_{\alpha}\}.$$

#### 2 Functions

**Definition 2.1.** A function is a rule that assigns to element of a given set A, an element in another set B.

Often, we use a formula to describe a function.

**Example 2.1.**  $f(x) = \sin(5x)$ 

Example 2.2.  $g(z) = \sum_{n=0}^{\infty} \frac{z^n}{n!}$ 

**Definition 2.2.** A rule of assignment is a subset R of the Cartesian product  $C \times D$  of two sets with the property that each element of C appears as the first coordinate of at most one ordered pair belonging to R. In other words, a subset R of  $C \times D$  is a rule of assignment if it satisfies

If 
$$(c, d) \in R$$
 and  $(c, d') \in R, d = d'$ .

**Definition 2.3.** Given a rule of assignment R, we define the doamin of R to be the subset of C consisting of all first coordinates of elements of R:

$$domain(R) \equiv \{c \mid \exists d \in D \ s.t. \ (c, d) \in R\}$$

**Definition 2.4.** The image set of R is defined to be the subset of D consisting of all second coordinates.

$$image(R) \equiv \{d \mid \exists c \in C \ s.t. \ (c, d) \in R\}$$

**Definition 2.5.** A function is a rule of assignment R, together with a set that contains the image set of R. The domain of the rule of assignment is also called the domain of f, and the image of f is defined to be the image set of the rule of assignment.

**Definition 2.6.** The set B is often called the range of f. This is also referred to as the codomain.

If A = domain(f), then we write

$$f: A \to B$$

to indicate that f is a function with domain A and codomain B.

**Definition 2.7.** Given  $a \in A$ , we write  $f(a) \in B$  for the unique element in B associated to a by the rule of assignment.

**Definition 2.8.** If  $S \subseteq A$  is a subset of A, let

$$f(S)\{f(a) \mid a \in S\} \subseteq B.$$

**Definition 2.9.** Given  $A_0 \subseteq A$ , we can restrict the domain of f to  $A_0$ . The restriction is denoted

$$f|A_0 \equiv \{(a, f(a)) \mid a \in A_0\} \subseteq A \times B.$$

**Definition 2.10.** If  $f: A \to B$  and  $g: B \to C$  then  $g \circ f: A \to C$  is defined to be

$$\{(a,c) \mid \exists b \in B \text{ s.t. } f(a) = b \text{ and } g(b) = c\} \subseteq A \times C.$$

**Definition 2.11.** A function  $f: A \to B$  is called injective (or one-to-one) if f(a) = f(a') implies a = a' for all  $a, a' \in A$ .

**Definition 2.12.** A function  $f: A \to B$  is called surjective (or onto) if the image of f equals B, i.e. f(A) = B., i.e. if, for every  $b \in B$ , there exists  $a \in A$  with f(a) = b.

**Definition 2.13.**  $f: A \rightarrow B$  is a bijection if it is one-to-one and onto.

**Definition 2.14.** If  $B_0 \subseteq B$  is a subset and  $f: A \to B$  is a function, then the preimage of  $B_0$  under f is the subset of A given by

$$f^{-1}(B_0) = \{ a \in A \mid f(a) \in B_0 \}$$

**Example 2.3.** If  $B_0$  is disjoint from f(A) then  $f^{-1}(B_0) = \emptyset$ .

#### 3 Relation

**Definition 3.1.** A relation on a set A is a subset R of  $A \times A$ .

Given a relation R on A, we will write xRy "x is related to y" to mean  $(x,y) \in R$ .

**Definition 3.2.** An equivalence relation on a set is a relation with the following properties:

- Reflexivity.  $xRx \text{ holds } \forall x \in A.$
- Symmetric. if xRy then yRx holds  $\forall x, y \in A$ .
- Transitive. if xRy and yRz then xRz holds  $\forall x, y, z \in A$ .

**Definition 3.3.** Given  $a \in A$ , let  $E(a) = \{x \mid x \sim a\}$  denote the equivalence class of a.

Remark.  $E(a) \subseteq A$  and it is nonempty because  $a \in E(a)$ .

**Proposition 3.1.** If  $E(a) \cap E(b) \neq \emptyset$  then E(a) = E(b).

*Proof.* IAssume the hypothesis, i.e., suppose  $x \in E(a) \cap E(b)$ . So  $x \sim a$  and  $x \sim b$ . By symmetry,  $a \sim x$  and by transitivity  $a \sim b$ .

Now suppose that  $y \in E(a)$ . Then  $y \sim a$  but we just saw that  $a \sim b$  so  $y \sim b$  and  $y \in E(b)$ . Hence,  $E(a) \subseteq E(b)$ . Likewise, we can show that  $E(b) \subseteq E(a)$ .

**Definition 3.4.** A partition of a set is a collection of pairwise disjoint subsets of A whose union is all of A.

**Example 3.1.** Consider  $A = \{1, 2, 3, 4, 5\}$ . Then,  $\{1, 2\}$  and  $\{3, 4, 5\}$  is a partition of A.

**Proposition 3.2.** An equivalence relation in a set determines a partition of A, namely the one with equivalence classes as subsets. Conversely, a partition<sup>1</sup>  $\{Q_{\alpha}|\alpha\in J\}$  of a set A determines an equivalence relation on A by:  $x\sim y$  if and only if  $\exists \alpha\in J$  s.t.  $x,y\in Q_{\alpha}$ . The equivalence classes of this equivalence relation are precisely the subsets  $Q_{\alpha}$ .

 $<sup>^1</sup>$  Note that J is an index set:  $Q_\alpha\subseteq A$  for each  $\alpha\in J$  and  $Q_\alpha\cap Q_\beta=\varnothing$  if  $\alpha\neq\beta.$  Furthermore,  $\cup_{\alpha\in J}=A.$ 

#### 4 Finite and infinite sets

**Definition 4.1.** A nonempty set A is finite if there is a bijection from A to  $\{1, 2, ..., n\}$  for some  $n \in \mathbb{Z}^+$ .

*Remark.* Consider  $n, m \in \mathbb{Z}^+$  with  $n \neq m$ . Then, there is no bijection from  $\{1, 2, \ldots, n\}$  to  $\{1, 2, \ldots, m\}$ .

**Definition 4.2.** Cardinality of a finite set A is defined as follows:

- 1.  $\operatorname{card}(A) = 0$  if  $A = \emptyset$ .
- 2.  $\operatorname{card}(A) = n$  if there is a bijection form A to  $\{1, 2, \dots, n\}$ .

Note that  $\mathbb{Z}^+$  is not finite. How would you prove this? A sneaky approach is that there is a bijection from  $\mathbb{Z}^+$  to a proper subset of  $\mathbb{Z}^+$ .

Consider the shift map:

$$S: \mathbb{Z}^+ \to \mathbb{Z}^+$$

where S(k) = k + 1. So S is a bijection from  $\mathbb{Z}^+$  to  $\{2, 3, ...\} \subset \mathbb{Z}^+$ , a proper subset of  $\mathbb{Z}^+$ . On the other hand, any proper sbset B of a finite set A with  $\operatorname{card}(A) = n$  has  $\operatorname{card}(B) < n$ . In particular, there is no bijection from A to B. Therefore,  $\mathbb{Z}^+$  is not finite.

**Theorem 4.1.** A non-empty set is finite if and only if one of the following holds:

- 1.  $\exists$  bijection  $f: A \to \{1, 2, ..., n\}$  for some  $n \in \mathbb{Z}^+$ .
- 2.  $\exists$  injection  $f: A \rightarrow \{1, 2, ..., N\}$  for some  $N \in \mathbb{Z}^+$
- 3.  $\exists$  surjection  $g: \{1, \ldots, N\} \rightarrow A$  for some  $N \in \mathbb{Z}^+$

*Remark.* Finite unions of finite sets are finite. Finite products of finite sets are also finite.

Recall that if X is a set, then

$$X^w = \pi_{n \in \mathbb{Z}^+} X = \{ (x_n) \mid x_n \in X \forall n \in \mathbb{Z}^+ \}$$

the set of infinite sequences in X.

If  $X = \{a\}$  is a singleton, then  $X^w$  is also a singleton. Otherwise, if card(X) > 1, then  $X^w$  is an infinite set.

**Example 4.1.** Consider  $X = \{0,1\}$ . Then,  $X^w$  is a set of binary sequences.

**Definition 4.3.** If there exists a bijection from a set X to  $\mathbb{Z}^+$ , then X is said to be countably infinite.

**Definition 4.4.** Let A be a nonempty collection of sets. An indexing family is a set J together with a surjection  $f: J \to A$ . We use  $A_{\alpha}$  to denote  $f(a) \subseteq A$ . So

$$\mathcal{A} = \{ A_{\alpha} \mid \alpha \in J \}$$

Most of the time, we will be able to use  $\mathcal{Z}^+$  for the index set J.

**Definition 4.5** (Cartesian product). Let  $\mathcal{A} = \{A_i \mid i \in \mathbb{Z}^+\}$ . Finite cartesian product is defined as

$$A_1 \times \cdots \times A_n = \{(x_1, \dots, x_n) \mid x_i \in A_i\}$$

It is a vector space of n tuples of real numbers.

**Definition 4.6** (Infinite Cartesian product). Infinite Cartesian product  $\mathbb{R}^{\omega}$  is an  $\omega$ -tuple  $x : \mathbb{Z}^+ \to \mathbb{R}$ , i.e., a sequence

$$x = (x_i)_{i=1}^{\infty} = (x_1, x_2, \dots, x_n, \dots)$$
  
=  $(x_i)_{i \in \mathbb{Z}^+}$ 

More generally, if  $A = \{A_i \mid i \in \mathbb{Z}^+\}$ . Then,

$$\prod_{i \in \mathbb{Z}^+} A_i = \{(a_i)_{i \in \mathbb{Z}^+} \mid a_i \in A_i\}.$$

**Definition 4.7.** A set A is countable if A is finite or it is countably infinite.

**Theorem 4.2** (Criterion for countability). Suppose A is a non-empty set. Then, the following are equivalent.

- 1. A is countable
- 2. There is an injection  $f: A \to \mathbb{Z}^+$
- 3. There is a surjection  $g: \mathbb{Z}^+ \to A$

**Theorem 4.3.** If  $C \subseteq \mathbb{Z}^+$  is a subset, then C is countable.

*Proof.* Either C is finite or infinite. If C is finite, it follows C is countable.

Assume that C is finite. We will define a bijection  $h: \mathbb{Z}^+ \to C$  by induction. Let h(1) be the smallest element in  $C^2$ . Suppose

$$h(1),\ldots,h(n-1)\in C$$

and are defined. Let h(n) be the smallest element in  $C \setminus \{h(1), \dots h(n-1)\}$ . (Note that this set is nonempty.)

We want to show that h is inejctive. Let  $n, m \in \mathbb{Z}$  where  $n \neq m$ . Suppose n < m. So  $h(n) \in \{h(1), \dots, h(n)\}$  and

$$h(n) \in C \setminus \{h(1), h(2), \dots, h(m-1)\}.$$

So  $h(n) \neq h(m)$ .

We want to show that h is surjective. Suppose  $c \in C$ . We will show that c = h(n) for some  $n \in \mathbb{Z}^+$ . First, notice that  $h(\mathbb{Z}^+)$  is not contained in  $\{1, 2, \dots, c\}$ 

 $<sup>^2</sup>$   $C \neq \emptyset$  and every nonempty subset of  $\mathbb{Z}^+$  has a smallest elemnt

because  $h(\mathbb{Z}^+)$  is an infinite set. Therefore, there must exists  $n \in \mathbb{Z}^+$  such that h(n) > c. So let m be the smallest element with  $h(m) \geq c$ . Then for all i < m, h(i) < c. Then,

$$c \notin \{h(1), \dots, h(m-1)\}.$$

By definition, h(m) is the smallest element in

$$C \setminus \{h(1), \ldots, h(m-1)\}.$$

Then,  $h(m) \leq c$ . Therefore, h(m) = c.

Corollary 4.1. Any subset of a countable set is countable.

*Proof.* Suppose  $A \subset B$  with B countable. Then, there exists an injection  $f: B \to \mathbb{Z}^+$ . The restriction

$$f|_A:A\to\mathbb{Z}^+$$

is also injective. Therefore, A is countable by the criterion.

**Theorem 4.4.** Any countable union of countable is countable. In other words, if J is countable and  $A_{\alpha}$  is countable for all  $\alpha \in J$ , then  $\bigcup_{\alpha \in J}$  is countable.

**Theorem 4.5.** A finite product of countable sets is countable.

**Example 4.2.**  $\mathbb{Z}^+ \times \mathbb{Z}^+$  is countable.

Note that a countable product of countable sets is not countable.

**Example 4.3.** Consider  $X = \{0, 1\}$ . Then,  $X^{\omega}$  binary sequence is not countable.

*Proof.* Suppose  $g: \mathbb{Z}^+ \to X^\omega$  is a function. We will show g is not surjective by contructing an element  $y \neq g(n)$  for any  $n \in \mathbb{Z}^+$ .

Write  $g(n) = (x_{n1}, x_{n2}, \dots) \in X^{\omega}$  for each  $x_{ni} \in \{0, 1\}$ . Define

$$y = (y_1, y_2, \dots)$$

where  $y_i = 1 - x_{ii}$ . Clearly,  $y \in X^{\omega}$  but  $y \neq g(n)$  for any n since  $y_n = 1 - x_{nn} \neq x_{nn}$ , the n-th entry in g(n).

**Example 4.4.** Let X be a set and  $\{V_{\alpha}\}_{{\alpha}\in I}$  a collection of subsets of X. Show

$$\bigcup_{\alpha \in I} (X - V_{\alpha}) = X - \bigcap_{\alpha \in I} V_{\alpha}$$

Proof.

$$x \in \bigcup_{\alpha \in I} X - V_{\alpha} \iff \exists \alpha \in I, x \in X - V_{\alpha}$$

$$\iff \exists \alpha \in I, x \in X, x \notin V_{\alpha}$$

$$\iff x \in X, \exists \alpha \in I, x \notin V_{\alpha}$$

$$\iff x \in X, x \notin \bigcup_{\alpha \in I} V_{\alpha}$$

$$\iff x \in X - \bigcap_{\alpha in I} V_{\alpha}$$

### 5 Topological spaces

**Definition 5.1** (Topology). Let X be a set. A topology on X is a collection  $\tau$  of subsets of X satisfying:

- $\bullet$   $\varnothing \in \tau$ .
- $X \in \tau$ .
- If  $\{V_{\alpha}\}_{{\alpha}\in I}\subseteq \tau$ , then  $\bigcup_{{\alpha}\in I}V_{\alpha}\in \tau$ .
- If  $\{V_1, \ldots, V_n\} \subseteq \tau$ , then  $\bigcap_{k=1}^n V_k inI$ .

*Remark.* If  $\tau$  is a topology, then  $\{\emptyset, X\} \subseteq \tau$  and  $\tau \subseteq \mathcal{P}(X)$ , where  $\mathcal{P}$  is a power set.

**Definition 5.2.** Suppose  $\tau, \tau'$  are topologies on X. We say  $\tau$  is coarser than  $\tau'$  if  $\tau \subseteq \tau'$ .

**Example 5.1.** If X is a set and  $\tau$  is a topology. Then,  $\tau$  is coarser than the discrete topology,  $\mathcal{P}(X)$ , and finer than the trivial topology,  $\{\emptyset, X\}$ .

Note that if  $\tau \subseteq \tau'$  and  $\tau' \subseteq \tau$ , then  $\tau = \tau'$ .

**Example 5.2.** Define  $\tau_f$  to consist of the subsets U of X wiith the property that X - U is finite or all of X.

**Lemma 5.1.**  $\tau_f$  is a topoology on X. This is defined as the finite complement topology.

*Proof.* To show  $\emptyset \in \tau_f$ , notice that  $X - \emptyset = X$ . So  $\emptyset \in \tau_f$ . To show that  $X \in \tau_f$ , notice that  $X - X = \emptyset$ , which is finite. So  $X \in \tau_f$ .

To show that it is closed under arbitrary unions, suppose

$${V_{\alpha}}_{\alpha\in I}\subseteq \tau_f.$$

It suffices to show that  $X - \bigcup_{\alpha \in I} V_{\alpha}$  is finite. For this, note

$$X - \bigcup_{\alpha \in I} V_{\alpha} = \bigcap_{\alpha \in I} (X - V_{\alpha}).$$

 $X - V_{\alpha}$  is finite so

$$\bigcap_{\alpha \in I} (X - V_{\alpha}) \subseteq X - V_{\alpha}'$$

for any  $\alpha' \in I$  which implies  $\bigcap_{\alpha \in I} X - V_\alpha$  is finite. For closure under finite intersections, let

$$\{V_1,\ldots,V_n\}\subseteq \mathsf{\tau}_f.$$

Then,

$$X - \bigcap_{k=1}^{n} V_k = \bigcup_{k=1}^{n} (X - V_k)$$

is a finite union of finite sets and so it is finite.

**Example 5.3.** Consider  $X = \mathbb{R}$ . Then,  $\mathbb{R} - \{0\}$  is open in the finite complement topology.  $\mathbb{R} - \{0, 3, 100\}$  is open in  $\tau_f$ . Note that  $(4, \infty)$  is not open in  $\tau_f$  because its complement is not finite.

Remark. Assume  $\tau$  is a topology on X.

- $(X, \tau)$  is a topological space.
- $\bullet$  X is a topological space.
- $U \in \tau$  iff U is open in  $\tau$  iff U is an open set.

### 6 Basis for a Topology

**Definition 6.1.** Let X be a set. A basis for topology on X is a collection  $\mathcal{B}$  of subsets of X satisfying:

- $\forall x \in X, \exists B \in \mathcal{B}, x \in B$
- $\forall B_1, B_2 \in \mathcal{B}$ , if  $x \in B_1 \cap B_2$  then  $\exists B_3 \in \mathcal{B}$  such that  $x \in B_3$  and  $B_3 \subseteq B_1 \cap B_2$ .

**Example 6.1.** Consider  $X = \mathbb{R}^2$ . Let  $\mathcal{B}_1$  be a collection of interiors of circles in  $\mathbb{R}^2$ . Then,  $\mathcal{B}_1$  is a basis.

**Example 6.2.** Consider  $X = \mathbb{R}^2$ . Let  $\mathcal{B}_2$  be collection of interiors of axis-parallel rectangles. Then,  $\mathcal{B}_2$  is a basis. Note that nontrivial intersection is always a rectangle.

**Definition 6.2.** The topology generated by a basis  $\mathcal{B}$  is the collection  $\tau$  satisfying:

$$U \in \tau \iff \forall x \in U, \exists B \in \mathcal{B}, x \in B \subseteq U.$$

**Example 6.3.** Consider  $X = \mathbb{R}$  with standard topology,  $\tau_{st}$ . Consider  $U = \mathbb{R} - \{0\}$ . Then,  $U \in \tau_{st}$ . Let  $x \in U$ :

- 1. (Case 1) x > 0. Let B = (0, x + 1). Then,  $x \in B \subseteq \mathbb{R} \{0\}$ .
- 2. (Case 2) x < 0. Let B = (x 1, 0). Then,  $x \in B \subseteq \mathbb{R} \{0\}$ .

**Example 6.4.** Let  $\tau_f$  be finite complement topology on  $\mathbb{R}$ . If  $U \in \tau_f$ , then  $U \in \tau_{st}$ . So  $\tau_f \subseteq \tau_{st}$ .

Remark.  $(3, \infty)$  is open in  $\tau_{st}$  but not in  $\tau_f$ .

**Lemma 6.1.** If  $\mathcal{B}$  is a basis and  $\tau$  is the topology generated by  $\mathcal{B}$ , then  $\tau$  is a topology.

*Proof.*  $\emptyset \in \tau$  is vacuously true. If  $x \in \emptyset$  then  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq \emptyset$ .

Now, we want to prove that  $X \in \tau$ . Let  $x \in X$ . By the axioms for basis,  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq X$ . Hence,  $X \in \tau$ .

Let  $\{U_{\alpha}\}_{{\alpha}\in A}$  be a collection of  $\tau$ . Let

$$x \in \bigcup_{\alpha \in A} \mathcal{U}_{\alpha}.$$

Then,  $\exists \mathcal{B} \in A$  with  $x \in \mathcal{U}_{\mathcal{B}}$ . Since  $\mathcal{U}_{\mathcal{B}} \in Tau$ ,  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq \mathcal{U}_{\mathcal{B}}$ . Then,  $x \in B$  and  $B \subseteq \mathcal{U}_{\mathcal{B}}$ . So  $\tau$  is closed under arbitrary unions.

Let  $\{V_1, \ldots, V_n\} \subseteq \tau$ . We want to use proof by induction to show that  $\tau$  is closed under finite intersections. When n = 1,

$$\bigcap_{k=1}^{n} V_k = V_1 \in \tau.$$

Assume the claim is true for n. Then,

$$\bigcap_{k=1}^{n+1} V_k = \underbrace{V_{n+1}}_{W} \cap \bigcap_{k=1}^{n} V_k$$

Note  $W \in \tau$  and  $W' \in \tau$  by the induction hypothesis. If  $W \cap W' = \emptyset$  then we are done  $(\emptyset \in \tau)$ . Let  $x \in W \cap W'$ . Then,  $x \in W$  and  $x \in W'$ , implying that  $\exists B_1, B_2 \in \mathcal{B}$  such that  $x \in B_1 \subseteq W$  and  $x \in B_2 \subseteq W'$ . Hence,  $\exists B_3 \in \mathcal{B}$  with  $x \in B_3 \subseteq B_1 \cap B_2 \subseteq W \cap W'$ .

**Example 6.5.** Consider  $X = \mathbb{R}$ . Define

$$(a,b) = \{x \in \mathbb{R} | a < x < b\}$$

for  $a, b \in \mathbb{R}$ . Show

$$\mathcal{B}_{st} = \{(a, b) | a, b \in \mathbb{R}\}$$

is a basis.

Let  $x \in \mathbb{R}$ . Then,

$$x \in (x-1, x+1) \in \mathcal{B}_{st}$$
.

For the second axiom, let  $(a, b), (c, d) \in \mathcal{B}_{st}$  and assume  $x \in (a, b) \cap (c, d)$ . Then,  $x \in (c, b) \subseteq (a, b) \cap (c, d)$ .

**Definition 6.3.** The standard topology on  $\mathbb{R}$  is the topology  $\tau_{st}$  generated by  $\mathcal{B}_{st}$ .

**Lemma 6.2.** Suppose  $\mathcal{B}$  and  $\mathcal{B}'$  are bases on X and  $\tau$  and  $\tau'$  are the topologies they generate. Then, the following are equivalent:

- 1.  $\tau'$  is finer than  $\tau$  ( $\tau \subset \tau'$ )
- 2.  $\forall x \in X \text{ and } \forall B \in \mathcal{B} \text{ with } x \in B, \exists B' \in \mathcal{B}' \text{ with } x \in B' \subseteq B.$

*Proof.* First, we want to show that 1 implies 2. Assume  $\tau \subseteq \tau'$ . Let  $x \in X$  and  $B \in \mathcal{B}$  such that  $x \in B$ . Since  $\mathcal{B}$  generates  $\tau$ ,  $B \in \tau$ . Then,  $B \in \tau'$ . Since  $\tau'$  is generated by  $\mathcal{B}'$ ,

$$\exists B' \in \mathcal{B} \text{ with } x \in B' \subseteq B$$

Other direction is left to readers as an exercise.

Let  $X = \mathbb{R}^2$ . Consider  $\mathcal{B}_1$ , a collection of interior of circles, and  $\mathcal{B}_2$ , a collection of interior of axis-parallel rectangles. Let  $\tau_j$  be toplogies generated by  $\mathcal{B}_j$  where j = 1, 2.

**Lemma 6.3.**  $\tau_1 = \tau_2$ 

*Proof.*  $(\tau_1 \subseteq \tau_2)$ . We use the theorem from earlier. Let  $x \in \mathbb{R}^2$  and  $x \in B_1 \subseteq \mathcal{B}_1$ . We have to show that  $\exists B_2 \in \mathcal{B}_2$  with  $x \in B_2 \subseteq B_1$ . Since  $x \in B_1$ , x sits inside a circle. But we can always construct a rectangle  $B_2$  smaller than  $B_1$  but contains x.

 $(\tau_2 \subseteq \tau_1)$ . Let  $x \in \mathbb{R}^2$  and  $B_2 \in \mathcal{B}_2$  with  $x \in B_2$ . We can always take  $B_1 \in \mathcal{B}_1$  to be a circle inside rectangle  $B_2$  and contains x. So  $x \in B_1 \subseteq B_2$ .  $\square$ 

**Lemma 6.4.** Suppose  $(X, \tau_x)$  and  $(Y, \tau_Y)$  are topological spaces. Consider

$$X \times Y = \{(a, b) | a \in X, b \in Y\}$$

Then,

$$\mathcal{B} = \{U \times V | U \in \tau_X, V \in \tau_Y\}$$

is a basis on  $X \times Y$ .

*Proof.* Let  $(a,b) \in X \times Y$ . Then,  $X \times Y \in \mathcal{B}$  and  $(a,b) \in X \times Y$ . Let  $U_1 \times V_1, U_2 \times V_2 \in \mathcal{B}$  and assume

$$(a,b) \in U_1 \times V_1 \cap U_2 \times V_2.$$

Note

$$(U_1 \times V_1) \cap (U_2 \times V_2) = (U_1 \cap U_2) \times (V_1 \cap V_2) \in \mathcal{B}.$$

So

$$(a,b) \in (U_1 \cap U_2) \times (V_1 \cap V_2) \subseteq (U_1 \times V_1) \cap (U_2 \times V_2)$$

**Definition 6.4.** The product topology is the topology generated by this basis.

**Theorem 6.1.** Suppose  $\mathcal{B}_x$  and  $\mathcal{B}_y$  are bases for  $\tau_x$  and  $\tau_y$ , respectively. Then,

$$\{B_1 \times B_2 | B_1 \in \mathcal{B}_x, B_2 \in \mathcal{B}_y\}$$

is a basis for product topology on  $X \times Y$ .

**Example 6.6.**  $(X, \tau_x) = (\mathbb{R}, \tau_{st}) = (Y, \tau_Y).$ 

**Definition 6.5.** The standard topology on  $\mathbb{R}^2$  is the product topology of the  $(\mathbb{R}, \tau_{st})$ .

*Remark.* The theoremm tells us that  $\mathbb{R}^2$  has a basis

$$\{(a,b)\times(c,d)|a,b,c,d\in\mathbb{R}\}$$

Suppose  $\mathcal{B}$  is a basis for a topology  $\tau$  on X.

• First, if  $B \in \mathcal{B}$ , then  $B \in \tau$ . Recall that

$$U \in \tau \iff \forall x = U, \exists B' = \mathcal{B}, x \in \mathcal{B}' \subseteq U.$$

So let  $x \in B$ . Then, B' = B satisfies the above condition  $(x \in B \subseteq B)$ . Hence,  $B \in \tau$ .

• Let  $U \in \tau$ . Then, U is a union of elements in  $\mathcal{B}$ . To prove this, let  $U \in \tau$ . By definition,

$$\forall x \in U, \exists B_x \in \mathcal{U}$$

such that  $x \in B_x \subseteq U$ . Then,

$${B_x}_{x\in U}\subseteq \tau.$$

Then,

$$\bigcup_{x \in X_U} B = U.$$

Suppose X,Y are topological spaces and equip  $X\times Y$  with the product topology. Define

$$\pi_1: X \times Y \to X$$

$$\pi_2: X \times Y \to Y$$

Define

$$\mathcal{S} = \{\pi_1^{-1}(U) | U \subset X\} \cup \{\pi_2^{-1} | V \subset Y\}$$

The collection S is called a subbasis. The product topology is obtained by considering all unions of finite intersection of elements in S.

**Definition 6.6.** If X is a set, a subbasis is a collection S of subsets whose union is X. This generates a topology by considering all unions of finite intersections of things in S.

### 7 The Subspace Topology

**Definition 7.1.** Let  $(X,\tau)$  be a topological space and  $Y \subseteq X$  be any subset. The subspace topology on Y is

$$\tau_Y = \{ U \cap Y | U \in \tau \}$$

**Lemma 7.1.**  $\tau_Y$  is a topology.

Proof.

- $\varnothing \cap Y = \varnothing \in \tau_Y$ .
- $X \cap Y = Y \in \tau_Y$ .
- (Unions) Let  $\{V_{\alpha}\}_{{\alpha}\in A}\subseteq {\tau}_Y$ , then  $V_{\alpha}=U_{\alpha}\cap Y$  for some  $U_{\alpha}\in {\tau}$ . Then,

$$\bigcup_{\alpha \in A} V_{\alpha} = \bigcup_{\alpha \in A} (U_{\alpha} \cap Y) = \left(\bigcup_{\alpha \in A} U_{\alpha}\right) \cap Y \in \tau_{Y}$$

**Theorem 7.1.** Suppose  $\mathcal{B}$  is a basis for X and  $Y \subseteq X$ . Then,

$$\{B \cap Y | B \in \mathcal{B}\}$$

is a basis for the subspace topology on Y.

**Example 7.1.** Let  $X = \mathbb{R}$  with  $\mathcal{B} = \{(a,b)|a,b \in \mathbb{R}\}$ . Consider

$$Y = [1, \infty).$$

Then, the basis for this topology is given by

$$\mathcal{B}_Y = \{[1, a) | a \in \mathbb{R}, a > 1\} \cup \{(a, b) | a, b \in \mathbb{R}, 1 \le a < b\}$$

**Example 7.2.**  $(3,7] \notin \tau_Y$  because there does not exist open  $U \in \tau$   $(U \in \mathcal{B})$  such that

$$(3,7] = U \cap [1,\infty).$$

*Proof.* To yield contradiction, suppose there exists such U. Then,

$$7 \in (a,b) \cap [1,\infty).$$

So  $7 \in (a, b)$  and  $7 \in [1, \infty)$ . In other words, a < 7 < b.

Let  $\epsilon = (b-7)/2$ . Then,  $a < 7 + \epsilon < b$  so  $7 + \epsilon \in (a,b)$  and  $7 + \epsilon \in [1,\infty)$ . Then,

$$7 + \epsilon \in (a, b) \cap [1, \infty) = (3, 7] \implies 3 < 7 + \epsilon \le 7$$

yielding contradiction.

**Example 7.3.** Let  $X = \mathbb{R}$  and Y = [0, 1]. A basis for the subspace on Y is

$$\{(a,b)|0 \le a < b \le 1\} \cup \{[a,b)|0 \le b \le 1\} \cup \{(a,1)|0 \le a \le 1\}$$

Example 7.4. Consider a rectangle

$$R = [0, 1] \times [2, 6].$$

There are two ways of thinking of topology on this rectangle. Since  $R \subseteq \mathbb{R}^2$ , we can think of it as a subspace topology. We can also think of it as a product topology. So are they same topologis in this case?

**Theorem 7.2.** Suppose X and Y are topological spaces and  $A \subseteq X$  and  $B \subseteq Y$  are subspaces. Then, the product topology on  $A \times B$  equals the topology  $A \times B$  inherits as a subset of  $X \times Y$ .

*Proof.* In the subspace topology, basis element is given by

$$(A \times B) \cap (U \times V)$$

where  $U \subseteq X$  and  $V \subseteq Y$ . Likewise, basis element of product topology is given by

$$(A \cap U) \times (B \cap V)$$
.

But then

$$(A \cap U) \times (B \cap V) = (A \times B) \cap (U \times V).$$

### 8 The Order Topology

Let X be a set.

**Definition 8.1.** An order relation (or simple order or linear order) is relation R on X so

- 1. (comparability) If  $x, y \in X$  and  $x \neq y$ . Then either xRy or yRx.
- 2. (Nonreflexivity)  $\not\exists x \in X \text{ such that } xRx.$
- 3. (Transitivity)s If xRy and yRz then xRz.

**Example 8.1.** Define  $X \subseteq \mathbb{R}$  and R = <. Then, R is an order relation *Proof.* 

- 1. If  $x \neq qy$ , either x < y or y < x.
- 2.  $\not\exists x \in X$  such that x < x.
- $3. \ x < y, y < z \implies x < z.$

If X has an order relation, we often denote it <. Suppose (X,<) is a set with an order relation for  $a,b\in X$ .

**Definition 8.2.**  $(a, b) = \{x \in X | a < x, x < b\}.$ 

**Definition 8.3.**  $(a, b] = \{x \in X | a < x, x < b, or x = b\}.$ 

**Definition 8.4.**  $[a, b) = \{x \in X | a < x, x < b, or x = a\}.$ 

**Definition 8.5.**  $a_0 \in X$  is a minimal element if  $\forall x \in X$ ,  $a_0 \leq x$ .

**Definition 8.6.**  $b_0 \in X$  is a maximal element if  $\forall x \in X, x \leq b_0$ .

**Definition 8.7.** Suppose  $(X, <_x)$  and  $(Y, <_y)$  are simply ordered sets. The dictionary order on  $X \times Y$  is the order  $<_{x \times y}$  defined as follows:

$$(a_1, b_1) <_{X \times Y} (a_2, b_2)$$

if  $a_1 <_x a_2$  or  $a_1 = a_2$  and  $b_1 <_y b_2$ .

**Example 8.2.** Define  $X = Y = \mathbb{R}$  and  $<_x = <_y = <$ , usual inequality. Then,

- $(0,0) <_{X \times Y} (1,-3)$  is true
- $(0,0) <_{X \times Y} (0,-3)$  is false.

**Definition 8.8.** Suppose (X, <) is a simply ordered set. Then, the order topology on X is the one with basis elements: (a, b) for  $a, b \in X$ ,  $[a_0, b)$  for  $b \in X$  provided  $a_0$  is the minimal element of X, and  $(a, b_0]$  for  $a \in X$  provided  $b_0 \in X$  is the maximal element.

**Example 8.3.** Consider X = [0, 1] with <, the standard inequality. The order topology is the topology inherited as a subset.

**Example 8.4.** Consider  $(\mathbb{R}^2, <_o)$  where  $<_0$  is the dictionary order. The order topology is called the dictionary order topology.

Think about what this set looks like:

**Example 8.5.** Let X be a set with the discrete topology (all subsets are open). Show that

$$\mathcal{B}_1 = \{\{x\} | x \in X\}$$

is a basis.

*Proof.* Let  $x \in X$  then  $x \in \{x\} \subseteq B$ . Let  $B_1, B_2 \in \mathcal{B}_1$  and  $x \in B_1 \cap B_2$ . Then,

$$B_1 = \{x\} = B_2$$

so  $x \in \{x\} \subseteq B_1 \cap B_2$ 

Let  $\tau_1$  be the topology generated by  $\mathcal{B}_1$ . We clearly have  $\tau_1 \subseteq \tau_d$ , where  $\tau_d$  is the discrete topology. To show that  $\tau_d \subseteq \tau_1$ , let  $U \in \tau_d$  and let  $x \in U$ . Then,  $x \in \{x\} \subseteq U$  and  $\{x\} \in \mathcal{B}_1$ . So the results follows by a theorem in section 13.

**Example 8.6.** Show that

$$\mathcal{B}_2 = \{ \{x\} \times (a,b) | x, a, b \in \mathbb{R} \}$$

forms a basis for  $\mathbb{R} \times \mathbb{R}$  with the dictionary order topology.

*Proof.* Assume that it's a basis. We will show that the associated topology  $\tau_2$  is the dictionary order topology  $\tau_{DO}$ .

Note that if  $B \in \mathcal{B}_2$ , then  $\exists x, a, b \in \mathbb{R}$  such that

$$B = \{x\} \times (a,b) = ((x,a),(x,b)) = \{y \in \mathbb{R} \times \mathbb{R} | (x,a) <_{DO} y <_{DO} (x,b) \}.$$

So this is open in  $\tau_{DO}$ . So  $\tau_2 \subseteq \tau_{DO}$ .

Let  $((a_1, b_1), (a_2, b_2))$  be a basis element in the dictionary order topology. Let  $y \in ((a_1, b_1), (a_2, b_2))$ . Write  $y = (x_1, y_1)$ . There are three cases:

- 1.  $a_1 < x_1 < a_2$
- 2.  $a_1 = x_1$
- 3.  $a_2 = x_1$

Here, we will prove case 1. Assume  $a_1 < x < a_2$ . Then,

$$y \in \{x\} \times (y_1 - 1, y_1 + 1)$$

Then,  $\{x\} \times (y_1 - 1, y_1 + 1) \in \mathcal{B}_2$  and also

$$\{x\} \times (y_1 - 1, y_1 + 1) \subseteq ((a_1, b_1), (a_2, b_2))$$

Assuming these the topology on  $\mathbb{R}_d \times \mathbb{R}$  has basis

$$\{\{x\} \times (a,b) | x, a, b \in \mathbb{R}\}.$$

This is exactly the basis that generates the dictionary order topology. So two topologies are same.  $\hfill\Box$ 

**Example 8.7.** Let  $X = \mathbb{R}$ . The set

$$\mathcal{B}_{\ell} = \{ [a, b) | a, b \in \mathbb{R} \}$$

is a basis. The topology it generates is called the lower limit topology on  $\mathbb{R}$ .  $\mathbb{R}$  with the lower limit topology is denoted  $\mathbb{R}_{\ell}$ .

*Remark.* Lower limit topology is strictly finer than standard topology, i.e., every open set in standard topology is open in lower limit topology but not vice-versa

**Example 8.8.** Is [0,1) open in the standard topology? No [0,1) is not open in standard topology. If it were, then there would be some  $(a,b) \subseteq \mathbb{R}$  such that

$$0 \in (a, b) \subseteq [0, 1).$$

But then

$$0 \in (a,b) \implies a < 0, b > 0$$

So  $a/2 \in (a, b)$ . But a/2 < 0 so  $a \notin [0, 1)$ .

**Example 8.9.** Is (0,1) open in  $\mathbb{R}_{\ell}$ ? Yes because

$$(0,1) = \bigcup_{x \in \{y|y>0\}} [x,1).$$

### 9 Interior and Closure

Recall De Morgan's Laws:

- $\bigcup_{\alpha \in I} (X \setminus U_{\alpha}) = X \setminus \bigcap_{\alpha \in I} U_{\alpha}$
- $\bigcap_{\alpha \in I} (X \setminus U_{\alpha}) = X \setminus \bigcup_{\alpha \in I} U_{\alpha}$

**Definition 9.1.** If  $(X,\tau)$  is a topological space then a subset  $A \subseteq X$  is called closed if  $X \setminus A$  is open, i.e., if  $X \setminus A \in \tau$ 

If  $\mathcal{C}$  is a collection of all closed sets in X,

- 1.  $X \in \mathcal{C}$
- $2. \varnothing \in \mathcal{C}$
- 3.  $\mathcal{C}$  is closed under arbitrary intersection.
- 4. C is closed under finite union.

**Example 9.1.** In  $\mathbb{R}$ , consider  $U_n = [1/n, 1 - 1/n]$  for  $n \in \mathbb{Z}^+$ .  $U_n$  is a closed interval for each  $n \in \mathbb{Z}^+$ . Hoever,

$$\bigcup_{n\in\mathbb{Z}^+} U_n = (0,1)$$

is open and not a closed set.

**Definition 9.2** (Interior). Suppose  $(X, \tau)$  is a topological space and  $A \subseteq X$ . Define Int(A) to be the union of all open sets contained in A.

$$Int A = \bigcup_{\alpha \in J} U_{\alpha}$$

where  $\{U_{\alpha}, \alpha \in J\}$  consists of all  $U_{\alpha} \in J$  such that  $U_{\alpha} \subseteq A$ .

Observe that  $\operatorname{Int} A$  is an open set. Notice also that  $\operatorname{Int}(A) \subseteq A$ . So  $\operatorname{Int} A$  is the maximal open set contained in A. In other words, any open set V contained in A is a subset of  $\operatorname{Int} A$ .

Remark. If A is open, Int A = A.

**Definition 9.3.** The closure of A, denoted  $\bar{A}$ , is defined as the intersection of all closed sets that contain A:

$$\bar{A} = \bigcap_{\beta \in K} C_{\beta},$$

where  $\{C_{\beta}: \beta \in K\}$  consists of all closed sets hat contain A.

Observe that  $\bar{A}$  is a closed set because it is an intersection of closed sets. Notice that  $A \subseteq \bar{A}$ . Then,  $\bar{A}$  is the smallest closed set that contains A, i.e. any closed set that contains A must contain  $\bar{A}$ .

*Remark.* If A is closed, then  $A = \bar{A}$ .

**Example 9.2.** If  $U_{\alpha}, \alpha \in J$  is a collection of sets with  $B \subseteq U_{\alpha}$  for all  $\alpha$ , then  $B \subseteq \bigcap_{\alpha \in J} U_{\alpha}$ . Further, if  $B = U'_{\alpha}$  for some  $\alpha' \in J$ , then

$$B = \bigcap_{\alpha \in J} U_{\alpha} \subset U_{\alpha'}$$

for all  $\alpha'$ .

#### Proposition 9.1.

- $\operatorname{Int}(X A) = X \bar{A}$
- $\overline{(X-A)} = X \operatorname{Int}(A)$ .

#### Example 9.3. Define

$$X = \{a, b, c\}$$
  
 $\tau = \{\emptyset, \{a\}, \{a, b\}, X\}$ 

Then,

$$\mathcal{C} = \{X, \{b, c\}, \{c\}, \emptyset\}$$

Consider  $A = \{a\}$ . Then,  $Int A = \{a\}$  and  $\bar{A} = X$ .

Consider  $B = \{b\}$ . Then,  $Int B = \emptyset$  and  $\bar{B} = \{b, c\}$ .

Consider  $C = \{c\}$ . Then,  $\operatorname{Int} C = X$  and  $\overline{C} = \{c\}$ .

Let

$$X = \mathbb{C} = \{x + iy | x, y \in \mathbb{R}\}\$$

#### Example 9.4. Consider

$$A = \{ re^{i\theta} | 0 \le \theta \le 2\pi, 0 \le r \le 1 \text{ or } r1, \theta \in \mathbb{Q} \}$$

Then,

$$\begin{split} \text{Int} A &= \{re^{i\theta}|0 < r < 1\}\\ \bar{A} &= \{re^{i\theta}|0 \leq r \leq 1\} \end{split}$$

Notice that if  $z \in \overline{A}$  and U is an arbitrary open set in  $\mathbb C$  containing z then  $U \cap A \neq \emptyset$ .

**Theorem 9.1.** If  $A \subseteq X$  is any subset then  $X \in \overline{A}$  if and only if every open set U containing X intersects A nontrivially.

*Proof.* Recall  $\bar{A}$  is a closed set, so  $X-\bar{A}$  is open. We will show conversely that  $x\notin \bar{A}$  if and only if there exists an open set U containing x such that  $U\cap A=\varnothing$ . But since  $X-\bar{A}$  is open, if  $x\notin \bar{A}$ , then  $x\in X-\bar{A}$ . Openness of  $X-\bar{A}$  now implies that there exists small open set U about x with  $U\subseteq X-\bar{A}$ . As such,  $U\cap \bar{A}=\varnothing$ , since  $A\subseteq \bar{A}$ , it follows that  $U\cap A=\varnothing$ .

**Definition 9.4.** If  $A \subseteq X$  is a subset,

$$\bar{A} = \{x \in X | U \cap A \neq \emptyset \text{ for every open set } U \text{ about } X\}.$$

**Definition 9.5** (Limit point). A point X is a limit point of the set A if every open set U about x satisfies  $(U - \{x\}) \cap A \neq \emptyset$ , i.e., we require U intersects A in some point other than x. We write

$$A' = \{x | x \text{ is a limit point of } A\}.$$

Theorem 9.2.  $\bar{A} = A \cup A'$ .

Proof.

$$(U - \{x\}) \cap A \neq \emptyset \implies U \cap A \neq \emptyset$$

which implies  $A \subseteq \bar{A}$ . But we already know that  $A \subseteq \bar{A}$ . Then,

$$A \cup A' \subseteq \bar{A}$$
.

Suppose  $x \in \bar{A}$ . Either  $x \in A$ , in which case  $x \in A \cup A'$  or  $x \notin A$ . In this case, since  $x \in \bar{A}$ , every open set U about satisfies  $U \cap A \neq \emptyset$ . But  $x \notin A$  so  $x \notin A$ . So

$$(U - \{x\}) \cap A \neq \emptyset$$
.

So 
$$x \in A'$$
.

Let X be a topological space with  $Y \subseteq X$  a subset. Regard Y as a topological space using the subspace topology, i.e.,  $V \subseteq Y$  is open in the subspace topology if and only if there eixsts an open set  $U \subseteq X$  with  $V = U \cap Y$ .

**Theorem 9.3.** In the subspace topology on Y, a subset  $B \subseteq Y$  is closed if and only if  $B = A \cap Y$  for some closed set  $A \subseteq X$ .

Proof.

$$B\subseteq Y$$
 is closed  $\iff V=Y-B$  if open 
$$\iff \exists U \text{ open in } X \text{ such that } V=U\cap Y$$
  $\iff A=X-U \text{ is closed}$ 

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### 10 Sequences

**Definition 10.1** (Convergence). If  $\{X_n\}$  is a sequence of points in a topological space X, we say  $\{X_n\}$  converges to  $x \in X$  if for every neighborhood U about x, there exists integer N such that  $n \ge N \implies X_n \in U$ .

Remark. In a general topological space, a sequence may converge to more than one point.

**Example 10.1.** Consider the trivial topology:

$$\tau_{\mathrm{triv}} = \{\varnothing, X\}.$$

Every sequence converges and it converges to every point in X.

**Example 10.2.** Given  $X = \{a, b, c\}$ , consider the following topology:

$$\tau = \{\emptyset, \{a\}, \{a, b\}, X\}.$$

Then, the sequence  $X_n = b$  for all n converges to b as well as c but not to a.

**Example 10.3.** If X has the discrete topology, then show that a sequence  $\{X_n\}$  is convergent if and only if  $\{X_n\}$  is eventually constant, i.e.,  $\exists x \in X$  and  $N \in \mathbb{Z}^+$  such that

$$n \ge N \implies X_n = X$$
.

**Definition 10.2.** A topological space A is called Hausdorff if for any two distinct points  $x, y \in X$  there exists disjoint open sets U, V with  $x \in U$  and  $Y \in V$ .

**Example 10.4.** If  $\{X_n\}$  is a sequence of points in Hausdorff space, then if it converges, the point it converges to is unique.

**Theorem 10.1.** If  $(X, \tau)$  is Hausdorff, then every finite subset of X is a closed set.

*Remark.* If X is Hausdorff, then every singleton  $\{x\}$  is closed.

Example 10.5. Consider

$$\mathcal{C} = \left\{ \left[ \frac{1}{n}, 1 - \frac{1}{n} \right] | n \ge 3 \right\}$$

Then,

$$\bigcup_{n=3}^{\infty} C_n = (0,1)$$

is not closed in  $\mathbb{R}$ .

**Example 10.6.** An infinite collection of open sets whose intersection is not necessarily open. Consider

$$U_n = \left(-\frac{1}{n}, \frac{1}{n}\right).$$

Then,

$$\bigcap_{n=1}^{\infty} U_n = \{0\}$$

is closed in standard topology.

**Definition 10.3.** A topological space X in which all finite sets are closed is called a  $T_1$  space.

**Theorem 10.2.** If  $(X, \tau)$  is Hausdorff, then X is a  $T_1$  space. Note that converse is not necessarily true.

**Example 10.7.** If X is inifinite and  $\tau$  is finite complement topology is a  $T_1$  space but not Hausdorff.

**Example 10.8.** Notice if X is finite, then the every subset  $U \subseteq X$  has a finite complement. So in this case, the finite complement topology is the same as the discrete topology. So it is a  $T_1$  space and Hausdorff.

**Theorem 10.3.** If X is Hausdorff and  $\{x_n\}$  is a convergent sequence converging to  $x \in X$ , then the point x is unique. In this case, we say x is the limit of the sequence  $\{x_n\}$  and write

$$\lim_{n\to\infty} x_n = x.$$

**Theorem 10.4.** If (X, <) is a simply ordered set, then it is Hausdorff in the order topology.

**Theorem 10.5.** If X, Y are two topological spaces and both are Hausdorff then so is the product  $X \times Y$ .

**Theorem 10.6.** If X is Hausdorff and  $Y \subseteq X$  is a subset, then Y is Hausdorff in subspace topology.

### 11 Continuous Functions

What does it mean to say that a function  $f: \mathbb{R} \to \mathbb{R}$  is continuous?

- $\forall x \in \mathbb{R}$ ,  $\lim_{x \to x_0^+} f(x) = \lim_{x \to x_0^-} f(x) = f(x_0)$ .
- $\lim_{n \to \infty} x_n = x_0 \implies \lim_{n \to \infty} f(x_n) = f(x_0).$
- $\forall x_0 (\forall \epsilon > 0, \exists \delta \text{ such that } |x x_0| < \delta \implies |f(x) f(x_0)| < \epsilon.$

**Definition 11.1.** If  $f: X \to Y$  is a function between two topological spaces X, Y, then f is continuous if  $f^{-1}(V)$  is open for every open set  $V \subseteq Y$ .

**Example 11.1.** Consider  $f : \mathbb{R} \to \mathbb{R}_{\ell}$ , where  $\mathbb{R}_{\ell}$  is the lower limit topology and f(x) = x. This map is not continuous. Take V = [a, b). This is open in  $\mathbb{R}_{\ell}$  but  $f^{-1}(V) = V$  is not open in the standard topology.

**Example 11.2.**  $g: \mathbb{R}_{\ell} \to \mathbb{R}$  with g(x) = x is continuous.

**Example 11.3.** If  $f: X \to Y$  is the constant map  $f(x) = y_0 \forall x \in X$ , then f is continuous for any topology on X, Y.

**Theorem 11.1.** Let X, Y be two toplogical spaces and  $f: X \to Y$  is a function. If  $f: X \to Y$  then the following are equivalent:

- 1. f is continuous
- 2. for all subsets  $A \subseteq X$ ,  $f(\bar{A}) \subseteq \overline{f(A)}$
- 3. for all closed sets  $B \subseteq Y$ ,  $f^{-1}(B)$  is closed in X
- 4. for each  $x \in X$  if V is open about f(x), then  $\exists$  open set U about x such that  $f(U) \subseteq V$ .

**Example 11.4.** Consider  $f: X \to Y$  any function. If X has discrete topology, f is automatically continuous.

*Proof.* Let  $U \subseteq Y$  be open. Then,  $f^{-1}(U)$  is a subset of X. By the definition of the discrete topology,  $f^{-1}(U)$  is therefore open in X. Thus, it is true for all open  $U \subseteq Y$ , so f is continuous.

**Example 11.5.** Consider  $Y = [0, 1) \subseteq \mathbb{R}$  with standard topology on  $\mathbb{R}$ . Find the closure  $\bar{Y}$ .

We can define closure in three different ways:

- 1. Intersection of all closed sets containing Y
- 2.  $\bar{Y} = Y \cup \{\text{limit points}\}\$
- 3.  $\bar{Y}$  is the smallest closed set containing Y.

Note that  $[0,1) \subseteq [0,1]$  and [0,1] is closed in standard topology  $([0,1] = \mathbb{R} - ((-\infty,0) \cup (1,\infty)))$ . This implies that  $\bar{Y} \subseteq [0,1]$ .

We also have  $[0,1) \subseteq \bar{Y}$ . If  $\bar{Y} \neq [0,1]$ , then  $1 \notin \bar{Y}$  and  $\bar{Y} = [0,1)$ . However, [0,1) is not closed in standard topology and this is a contradiction. Therefore, Y = [0,1].

**Example 11.6.** Define  $X = [0, \infty)$ . Consider  $X \times X$  with dictionary order topology. Show that  $X \times X$  is Hausdorff.

*Proof.* Let  $(x_1, y_1), (x_2, y_2) \in X \times X$  with  $(x_1, y_1) \neq (x_2, y_2)$ . We may assume  $(x_1, y_1) < (x_2, y_2)$ . There are 7 cases that we have to consider:

- 1.  $0 < x_1 < x_2 \text{ and } y_1, y_2 > 0$
- 2.  $0 = x_1 < x_2 \text{ and } y_1, y_2 > 0$
- 3.  $0 = x_1 = x_2$  and  $0 < y_1 < y_2$
- 4.  $0 = x_1 = x_2$  and  $0 = y_1 < y_2$
- 5.  $0 < x_1 < x_2$  and  $y_1 = 0$  or  $y_2 = 0$
- 6.  $x_1 = x_2 > 0$  and  $y_2 > y_1 > 0$
- 7.  $x_1 = x_2 > 0$  and  $y_1 = 0$  or  $y_2 \neq 0$

For case 1, consider

$$U_1 = \left( \left( x_1, y_1 - \frac{y_1}{2} \right), \left( x_1, y_1 + \frac{y_1}{2} \right) \right)$$

$$U_2 = \left( \left( x_2, y_2 - \frac{y_2}{2} \right), \left( x_2, y_2 + \frac{y_2}{2} \right) \right)$$

Then, there intersection is empty and  $(x_1, y_1) \in U_1$  and  $(x_2, y_2) \in U_2$ .

**Definition 11.2.** Let X and Y be topological spaces. A function  $f: X \to Y$  is a homeomorphism if

- 1. f is continuous
- 2. f is bijective (so  $f^{-1}$  exists)
- 3.  $f^{-1}: Y \to X$  is continuous

**Example 11.7.** Suppose X is a topological space. Then, identity function

$$\mathrm{Id}:X\to X$$

with Id(x) = x is a homeomorphism.

**Example 11.8.** If  $f: X \to Y$  is homeomorphism, then  $f^{-1}: Y \to X$  is a homeomorphism.

**Example 11.9.** Consider  $f: \mathbb{R} \to \mathbb{R}$  with  $f(x) = x^3$ . Then, this is a homeomorphism. Furthermore,

$$f^{-1}(y) = y^{1/3}.$$

### 12 The Product Topology

**Definition 12.1.** Let  $\{X_{\alpha}\}$  be a collection of sets indexed by  $\alpha \in J$ . Then,

$$\prod_{\alpha \in J} X_{\alpha} = \left\{ f : J \to \bigcup_{\alpha \in J} X_{\alpha}, f(\beta) \in X_{\beta} \forall \beta \in J \right\}.$$

**Example 12.1.** Consider  $J = \{1, 2\}$ . Then,

$$\prod_{\alpha \in J} X_{\alpha} = \{ f : \{1, 2\} \to X_1 \cup X_2 | f(1) \in X_1, f(2) \in X_2 \}$$

$$= \{ (f_1, f_2) | f_1 \in X_1, f_2 \in X_2 \}$$

$$= X_1 \times X_2$$

More generally, if  $J = \{1, 2, \dots, n\}$ , then

$$\prod_{\alpha \in J} X_{\alpha} = X_1 \times X_2 \times \dots \times X_n$$

If  $J = \mathbb{Z}_+ = \{1, 2, 3, \dots\}$ , then we can write

$$\prod_{\alpha \in J} = X_1 \times X_2 \times X_3 \times \cdots$$

If  $J = \mathbb{Z}_+$  and  $X_{\alpha} = X \forall \alpha \in J$ , then

$$\prod_{\alpha \in I} X_{\alpha} = X \times X \times \dots = X^{\omega}.$$

**Example 12.2.** Consider  $J = \mathbb{R}$  and let  $X_{\alpha} = \mathbb{R} \forall \alpha \in \mathbb{R}$ . Then,

$$\prod_{\alpha \in J} X_{\alpha} = \prod_{\alpha \in \mathbb{R}} \mathbb{R}$$

$$= \left\{ f : \mathbb{R} \to \bigcup_{\alpha i n \mathbb{R}} \mathbb{R} \mid f(x) \in \mathbb{R} \forall x \in \mathbb{R} \right\}$$

$$= \left\{ f : \mathbb{R} \to \mathbb{R} \middle| f \text{ is a function} \right\}.$$

**Definition 12.2** (Box topology). Let  $\{X_{\alpha}\}_{{\alpha}\in J}$  be a collection of topological spaces. The box topology on  $\prod X_{\alpha}$  is the one with basis

$$\left\{ \prod_{\alpha \in J} U_{\alpha} \, \big| \, U_{\alpha} \subseteq X_{\alpha} \ open \right\}$$

**Lemma 12.1.** If  $\mathcal{B}_{\alpha}$  is a basis for  $X_{\alpha}$ , then

$$\left\{ \prod_{\alpha \in J} B_{\alpha} \mid B_{\alpha} \in \mathcal{B}_{\alpha} \right\}$$

is a basis for the box topology.

**Definition 12.3.** For  $\beta inJ$ , define

$$\pi_{\beta}: \prod_{\alpha \in J} X_{\alpha} \to X_{\beta}$$

**Example 12.3.** Consider  $J = \{1, 2\}$ . Then,

$$\prod_{\alpha \in J} X_{\alpha} = X_1 \times X_2.$$

Furthermore,

$$\pi_1: X_1 \times X_2 \to X_1$$
  
$$\pi_2: X_2 \times X_2 \to X_2$$

**Definition 12.4** (Product topology). The product topology on  $\prod_{\alpha \in J} X_{\alpha}$  is the one with subbasis given by

$$\left\{ \pi_{\beta}^{-1}(U_{\beta}) \mid U_{\beta} \subseteq X_{\beta} \text{ open }, \beta \in J \right\}$$

If  $\prod X_{\alpha}$  is equipped with the product topology, we call  $\prod X_{\alpha}$  the product space.

**Lemma 12.2.** If  $\mathcal{B}_{\alpha}$  is a basis for  $X_{\alpha}$ , then

$$\left\{ \pi_{\beta}^{-1}(B_{\beta}) \mid B_{\beta} \in \mathcal{B}_{\beta}, \beta \in J \right\}$$

is a subbasis for the product topology.

Remark. If J is finite, then the box topology on  $\prod X_{\alpha}$  equals the product topology.

**Example 12.4.** Consider  $J = \mathbb{Z}_+$  with  $X_{\alpha} = \mathbb{R}$ . Then,

$$\prod_{\alpha \in J} X_{\alpha} = \mathbb{R}^{\omega}.$$

For example, a box topology can be

$$\prod_{\alpha \in J} B_{\alpha} = B_1 \times B_2 \times B_3 \times \cdots.$$

Then,

$$(-1,1) \times (-2,2) \times \cdots \times (-n,n) \times \cdots$$

is a basis element in box topology.

On the other hand,

$$(-1,1) \times (-2,2) \times \cdots \times (-n,n) \times \cdots$$

is not open in product topology.

$$(-1,1) \times (-2,2) \times \cdots \times (-n,n) \times \mathbb{R} \times \cdots \times \mathbb{R} \cdots$$

is open in product topology.

Lemma 12.3. The collection

$$\left\{ \prod_{\alpha \in J} U_{\alpha} \mid U_{\alpha} \subseteq X_{\alpha} \text{ is open }, U_{\alpha} = X_{\alpha} \text{ for all but a finite number of } \alpha \in J \right\}$$

forms a subbasis for the product topology on  $\prod_{\alpha \in I} X_{\alpha}$ .

#### Example 12.5.

$$(-1,1) \times (-1,1) \times \mathbb{R} \times \mathbb{R} \times \cdots$$

is open in the product topology on  $\mathbb{R}^{\omega}$  but

$$(-1,1) \times (-1,1) \times \cdots \times (-1,1) \times \cdots$$

is not open in product topology.

If we define  $\pi_1: \mathbb{R}^{\omega} \to \mathbb{R}$  where  $\pi_1(x_1, x_2, \dots, ) \to x_1$ . Then,

$$\pi_1^{-1}((-1,1)) = (-1,1) \times \mathbb{R} \times \mathbb{R} \times \cdots$$

is open in product topology. Likewise, we have

$$\pi_2^{-1}((-1,1)) = \mathbb{R} \times (-1,1) \times \mathbb{R} \times \cdots$$

open in product topology. Then,

$$\pi_1^{-1}((-1,1)) \times \pi_2^{-1}((-1,1)) = (-1,1) \times (-1,1) \times \mathbb{R} \times \mathbb{R} \times \cdots$$

is open in product topology. Note that we can only take finite intersections.

**Example 12.6.** Consider  $\mathbb{R}^{\omega} = \mathbb{R} \times \mathbb{R} \times \cdots$  with the product topology. Show

$$(-1,1) \times \left(-\frac{1}{2},\frac{1}{2}\right) \times \cdots \times \left(-\frac{1}{n},\frac{1}{n}\right) \times \cdots$$

is not open.

Solution. Recall that U is open if and only if  $\forall x \in U$  there exists  $B \in \mathcal{B}$  a basis element such that

$$x \in B \subseteq U$$
.

Observe that

$$(0,0,\ldots,0) \in U = (-1,1) \times \left(-\frac{1}{2},\frac{1}{2}\right) \times \cdots \times \left(-\frac{1}{n},\frac{1}{n}\right) \times \cdots$$

Assume B is a basis element containing x. Then, we can write

$$B = V_1 \times V_2 \times \cdots \times V_m \times \mathbb{R} \times \mathbb{R} \times \cdots$$

where  $V_l \subseteq \mathbb{R}$  open and  $1 \leq l \leq m$ . If  $B \subseteq U$ , then the following condition must be satisfied:

$$\mathbb{R} \subseteq \left(-\frac{1}{m+1}, \frac{1}{m+1}\right).$$

This is false. So  $B \not\subseteq U$ . Therefore, U is not open.

**Example 12.7.** Is the following function continuous?  $f: \mathbb{R} \to \mathbb{R}^2$  where

$$f(t) = (\cos(t), t^2 + e^t)$$

**Theorem 12.1.** Let  $\{X_{\alpha}\}$  be topological space and give  $\prod X_{\alpha}$  the product topology. Let

$$f:A\to\prod X_{\alpha}$$

be any function. Then, f is continuous if and only if  $\pi_{\alpha} \circ f : A \to X_{\alpha}$  is continuous  $\forall \alpha \in J$ .

**Example 12.8.** Let  $J = \mathbb{Z}_+$ . Then,

$$\prod X_{\alpha} = X_1 \times X_2 \times \cdots$$

Consider  $f: A \to X_1 \times X_2 \times \cdots$  with

$$f(a) = (f_1(a), f_2(a), \dots)$$

$$f_1 = \pi_1 \circ f$$

$$f_2 = \pi_2 \circ f$$

:

Note that  $f_{\alpha} = \pi_{\alpha} \circ f$  is the  $\alpha$ -th component of f.

Example 12.9. Recall that the product topology has subbasis

$$\mathcal{S} = \{ \prod_{\alpha}^{-1} (U) \mid U \subseteq X_{\alpha} \text{ open }, \alpha \in J \}$$

So  $\pi_{\beta}: \prod X_{\alpha} \to X_{\beta}$  is continuous  $\forall \beta \in J$ .

Now, we will prove the theorem.

*Proof.* ( $\Rightarrow$ ) If f is continuous, then  $\pi_{\alpha} \circ f$  is continuous because composition of continuous functions is continuous.

 $(\Leftarrow)$  Assume  $\pi_{\alpha} \circ f$  is continuous  $\forall \alpha \in J$ . Let  $\pi_{\alpha}^{-1}(U) \subseteq \prod X_{\alpha}$  be a subbasis element. Then,

$$f^{-1}(\pi_{\alpha}^{-1}(U)) = (\pi_{\alpha} \circ f)^{-1}(U).$$

Then, this is open because the composition map  $(\pi_{\alpha} \circ f)$  is assumed to be continuous and  $U \subseteq X_{\alpha}$  is open.

Recall that a basis for product topology is

$$\prod_{\alpha \in J} U_{\alpha}$$

where  $U_{\alpha} \subseteq X$  where  $U_{\alpha} = X_{\alpha}$  for all but a finite number of  $\alpha \in J$ . Note

$$\pi_{\beta}^{-1}\left(U_{\beta}\right) = \prod_{\alpha \in J} U_{\alpha}$$

where  $U_{\alpha} = U_{\beta}$  if  $\alpha = \beta$  and  $U_{\alpha} = X_{\alpha}$  if  $\alpha \neq \beta$ .

**Example 12.10.** Consider  $\prod X_{\alpha} = \mathbb{R} \times \mathbb{R}$ . Then,

$$\pi_1^{-1}((-1,1)) = (-1,1) \times \mathbb{R}.$$

On the other hand, the basis from S is

$$\pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \dots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n}) = \prod_{\alpha \in J} U_{\alpha}$$

where  $U_{\alpha} = U_{\alpha_j}$  if  $\alpha = \alpha_j$  and  $U_{\alpha} = X_{\alpha}$  otherwise. Hence,

$$x \in \pi_{\beta}^{-1}(U_{\beta})$$

if and only if

$$\pi_{\beta}(x) \in U_{\beta}$$

if and only if the  $\beta$ -composition of x is in  $U_{\beta}$  (no restriction on the other components).

**Example 12.11.** Consider  $f: \mathbb{R} \to \mathbb{R}^{\omega}$  where

$$f(t) = (t, t, t, \dots).$$

By the previous theorem, this is continuous in the product topology on  $\mathbb{R}^{\omega}$ . However, this is not continuous with the box topology on  $\mathbb{R}^{\omega}$ .

Proof. Consider

$$U = (-1, 1) \times \left(-\frac{1}{2}, \frac{1}{2}\right) \times \dots \times \left(-\frac{1}{n}, \frac{1}{n}\right) \times \dots$$

We will show that  $f^{-1}(U)$  is not open in  $\mathbb{R}$ . We claim that  $f^{-1}(U) = \{0\}$ . If  $t \in f^{-1}(U)$ , then  $f(t) \in U$ . Note that

$$(t, t, t, \dots) \in U$$

So

$$t \in (-1,1)$$
$$t \in \left(-\frac{1}{2}, \frac{1}{2}\right)$$

 $t \in \left(-\frac{1}{n}, \frac{1}{n}\right)$ 

So  $|t| < 1/n \,\forall n$ . This implies that t = 0. Conversely,  $f(0) \in U$ .

### Midterm review 1

**Example 12.12.** In order to prove  $\tau \subset \tau'$ , we can approach this in three different ways:

- Let  $U \in \tau$ . Show  $U \in \tau'$ .
- Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for  $\tau$  and  $\tau'$ , respectively. If  $B \in \mathcal{B}$ , show that  $B \in \mathcal{B}$
- If  $B \in \mathcal{B}$  and  $x \in B$ , show that there exists  $B' \in \mathcal{B}'$  such that  $x \in B' \subseteq B$ .
- If  $U \in \tau$  and  $x \in U$ , show that there exists  $B' \in \mathcal{B}'$  such that  $x \in B' \subseteq U$ .

**Example 12.13.** Consider  $(0,3) \subseteq \mathbb{R}$ . Although (0,3) is open in standard topology,

$$\{1\} \subseteq (0,3)$$

does not imply that {1} is open in standard topology.

**Example 12.14.** Consider two topological spaces X, Y. Consider

$$\pi_1: X \times Y \to X$$
$$\pi_2: X \times Y \to Y$$

where  $\pi_1(x,y) = x$  and  $\pi_2(x,y) = y$ . If  $\pi_1$  is to be continuous, I need  $\pi_1^{-1}(U)$  to be open for  $U \subseteq X$  open. Similarly, for  $\pi_2$  to be continuous, I need  $\pi_2^{-1}(V)$  to be open for  $V \subseteq X$  open. Consider

$$\mathcal{S} = \{\pi_1^{-1}(U) | U \subseteq X \text{ open}\} \cup \{\pi_2^{-1}(V) | V \subseteq Y \text{ open}\}$$

This is a subbasis.