

# Math 3T03 - Topology

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March 2, 2018

## Contents

<b>1</b>	<b>Introduction to topology</b>	<b>2</b>
1.1	What is topology? . . . . .	2
1.2	Set theory . . . . .	3
<b>2</b>	<b>Functions</b>	<b>4</b>
<b>3</b>	<b>Relation</b>	<b>6</b>
<b>4</b>	<b>Finite and infinite sets</b>	<b>7</b>
<b>5</b>	<b>Topological spaces</b>	<b>10</b>
<b>6</b>	<b>Basis for a Topology</b>	<b>12</b>
<b>7</b>	<b>The Subspace Topology</b>	<b>16</b>
<b>8</b>	<b>The Order Topology</b>	<b>18</b>
<b>9</b>	<b>Interior and Closure</b>	<b>21</b>
<b>10</b>	<b>Sequences</b>	<b>24</b>
<b>11</b>	<b>Continuous Functions</b>	<b>26</b>
<b>12</b>	<b>The Product Topology</b>	<b>28</b>
<b>13</b>	<b>Metric Topology</b>	<b>33</b>
<b>14</b>	<b>Comparing metrics</b>	<b>35</b>

# 1 Introduction to topology

## 1.1 What is topology?

**Definition 1.1** (Topology). *Let  $X$  be a set. A topology on  $X$  is a collection  $\tau$  of subsets of  $X$  satisfying:*

- $\emptyset \in \tau$ .
- $X \in \tau$ .
- The union of any collections of elements in  $\tau$  is also in  $\tau$ .
- The intersection of any finite collection of elements in  $\tau$  is also in  $\tau$ .

**Definition 1.2.**  $(X, \tau)$  is a topological space.

**Definition 1.3.** The elements of  $\tau$  are called the open sets.

**Example 1.1.** Consider  $X = \{a, b, c\}$ . Is  $\tau_1 = \{\emptyset, X, \{a\}\}$  a topology?

*Proof.* Yes,  $\tau_1$  is a topology. Clearly,  $\emptyset \in \tau_1$  and  $X \in \tau_1$  so it suffices to verify the arbitrary unions and finite intersection axioms.

Let  $\{V_\alpha\}_{\alpha \in A}$  be a subcollection of  $\tau_1$ . Then, we want to show

$$\bigcup_{\alpha \in A} V_\alpha \in \tau_1.$$

If  $V_\alpha = \emptyset$  for any  $\alpha \in A$ , then this does not contribute to the union. Hence,  $\varphi$  can be omitted:

$$\bigcup_{\alpha \in A} V_\alpha = \bigcup_{\substack{\alpha \in A \\ V_\alpha \neq \emptyset}} V_\alpha.$$

If  $V_\alpha = X$  for some  $\alpha \in A$ , then

$$\bigcup_{\alpha \in A} V_\alpha = X \in \tau_1$$

and we are done. So we may assume  $V_\alpha \neq X$  for all  $\alpha \in A$ . Similarly, if  $V_\alpha = V_\beta$  for  $\alpha \neq \beta$ , then we can omit  $V_\beta$  from the union. Since  $\tau_1$  only contains  $\emptyset$ ,  $X$  and  $\{a\}$ , we must have

$$\bigcup_{\alpha \in A} V_\alpha = \{a\} \in \tau_1.$$

Similarly, if any element of  $\{V_\alpha\}_{\alpha \in A}$  is empty, then

$$\bigcap_{\alpha \in A} V_\alpha = \emptyset \in \tau_1.$$

We can therefore assume  $V_\alpha \neq \emptyset$  for all  $\alpha \in A$ . Again, repetition can be ignored and any  $V_\alpha$  that equals  $X$  can be ignored. Then,

$$\bigcap_{\alpha \in A} V_\alpha = \begin{cases} \{a\} \in \tau_1 \\ X \in \tau_1 \end{cases}$$

□

**Example 1.2.** Consider  $X = \{a, b, c\}$ . Is  $\tau_2 = \{\emptyset, X, \{a\}, \{b\}\}$  a topology?

*Proof.* No. Note that  $\{a\} \in \tau_2$  and  $\{b\} \in \tau_2$  but  $\{a\} \cup \{b\} = \{a, b\} \notin \tau_2$ .  $\square$

**Example 1.3.** Consider  $X = \{a, b, c\}$ . Then,  $\tau_3 = \{\emptyset, X, \{a\}, \{b\}, \{a, b\}\}$  is a topology.

## 1.2 Set theory

**Definition 1.4.** If  $X$  is a set and  $a$  is an element, we write  $a \in X$ .

**Definition 1.5.** If  $Y$  is a subset of  $X$ , we write  $Y \subset X$  or  $Y \subseteq X$ .

**Example 1.4.** Suppose  $X$  is a set. Then, *power set* of  $X$  is the set  $P(X)$  whose elements are all subsets of  $X$ . In other words,

$$Y \in P(X) \iff Y \subseteq X$$

Note that  $P(X)$  is closed under arbitrary unions and intersections. Hence,  $P(X)$  is a topology on  $X$ .

**Definition 1.6.**  $P(X)$  is the *discrete topology* on  $X$ .

**Definition 1.7.** The *indiscrete (trivial) topology* on  $X$  is  $\{\emptyset, X\}$ .

**Definition 1.8.** Suppose  $U, V$  are sets. Then, their *union* is

$$U \cup V = \{x | x \in U \text{ or } x \in V\}.$$

Note that if  $U_1, U_2, \dots, U_{10}$  are sets, their union can be written as follows:

- $U_1 \cup U_2 \cup \dots \cup U_{10}$
- $\bigcup_{k=1}^{10} U_k$
- $\bigcup_{k=\{1,2,\dots,10\}} U_k$

**Definition 1.9.** Let  $A$  be any set. Suppose  $\forall \alpha \in A$ , I have a set  $U_\alpha$ . The *union of the  $U_\alpha$  over  $\alpha \in A$*  is

$$\bigcup_{\alpha \in A} U_\alpha = \{x | \exists \alpha \in A, x \in U_\alpha\}.$$

Similarly, the *intersection* is

$$\bigcap_{\alpha \in A} U_\alpha = \{x | \forall \alpha \in A, x \in U_\alpha\}.$$

## 2 Functions

**Definition 2.1.** A function is a rule that assigns to element of a given set  $A$ , an element in another set  $B$ .

Often, we use a formula to describe a function.

**Example 2.1.**  $f(x) = \sin(5x)$

**Example 2.2.**  $g(z) = \sum_{n=0}^{\infty} \frac{z^n}{n!}$

**Definition 2.2.** A rule of assignment is a subset  $R$  of the Cartesian product  $C \times D$  of two sets with the property that each element of  $C$  appears as the first coordinate of at most one ordered pair belonging to  $R$ . In other words, a subset  $R$  of  $C \times D$  is a rule of assignment if it satisfies

$$\text{If } (c, d) \in R \text{ and } (c, d') \in R, d = d'.$$

**Definition 2.3.** Given a rule of assignment  $R$ , we define the domain of  $R$  to be the subset of  $C$  consisting of all first coordinates of elements of  $R$ :

$$\text{domain}(R) \equiv \{c \mid \exists d \in D \text{ s.t. } (c, d) \in R\}$$

**Definition 2.4.** The image set of  $R$  is defined to be the subset of  $D$  consisting of all second coordinates.

$$\text{image}(R) \equiv \{d \mid \exists c \in C \text{ s.t. } (c, d) \in R\}$$

**Definition 2.5.** A function is a rule of assignment  $R$ , together with a set that contains the image set of  $R$ . The domain of the rule of assignment is also called the domain of  $f$ , and the image of  $f$  is defined to be the image set of the rule of assignment.

**Definition 2.6.** The set  $B$  is often called the range of  $f$ . This is also referred to as the codomain.

If  $A = \text{domain}(f)$ , then we write

$$f : A \rightarrow B$$

to indicate that  $f$  is a function with domain  $A$  and codomain  $B$ .

**Definition 2.7.** Given  $a \in A$ , we write  $f(a) \in B$  for the unique element in  $B$  associated to  $a$  by the rule of assignment.

**Definition 2.8.** If  $S \subseteq A$  is a subset of  $A$ , let

$$f(S) \equiv \{f(a) \mid a \in S\} \subseteq B.$$

**Definition 2.9.** Given  $A_0 \subseteq A$ , we can restrict the domain of  $f$  to  $A_0$ . The restriction is denoted

$$f|_{A_0} \equiv \{(a, f(a)) \mid a \in A_0\} \subseteq A \times B.$$

**Definition 2.10.** If  $f : A \rightarrow B$  and  $g : B \rightarrow C$  then  $g \circ f : A \rightarrow C$  is defined to be

$$\{(a, c) \mid \exists b \in B \text{ s.t. } f(a) = b \text{ and } g(b) = c\} \subseteq A \times C.$$

**Definition 2.11.** A function  $f : A \rightarrow B$  is called *injective* (or *one-to-one*) if  $f(a) = f(a')$  implies  $a = a'$  for all  $a, a' \in A$ .

**Definition 2.12.** A function  $f : A \rightarrow B$  is called *surjective* (or *onto*) if the image of  $f$  equals  $B$ , i.e.  $f(A) = B$ , i.e. if, for every  $b \in B$ , there exists  $a \in A$  with  $f(a) = b$ .

**Definition 2.13.**  $f : A \rightarrow B$  is a *bijection* if it is one-to-one and onto.

**Definition 2.14.** If  $B_0 \subseteq B$  is a subset and  $f : A \rightarrow B$  is a function, then the *preimage* of  $B_0$  under  $f$  is the subset of  $A$  given by

$$f^{-1}(B_0) = \{a \in A \mid f(a) \in B_0\}$$

**Example 2.3.** If  $B_0$  is disjoint from  $f(A)$  then  $f^{-1}(B_0) = \emptyset$ .

### 3 Relation

**Definition 3.1.** A relation on a set  $A$  is a subset  $R$  of  $A \times A$ .

Given a relation  $R$  on  $A$ , we will write  $xRy$  "x is related to y" to mean  $(x, y) \in R$ .

**Definition 3.2.** An equivalence relation on a set is a relation with the following properties:

- Reflexivity.  $xRx$  holds  $\forall x \in A$ .
- Symmetric. if  $xRy$  then  $yRx$  holds  $\forall x, y \in A$ .
- Transitive. if  $xRy$  and  $yRz$  then  $xRz$  holds  $\forall x, y, z \in A$ .

**Definition 3.3.** Given  $a \in A$ , let  $E(a) = \{x \mid x \sim a\}$  denote the equivalence class of  $a$ .

*Remark.*  $E(a) \subseteq A$  and it is nonempty because  $a \in E(a)$ .

**Proposition 3.1.** If  $E(a) \cap E(b) \neq \emptyset$  then  $E(a) = E(b)$ .

*Proof.* IAssume the hypothesis, i.e., suppose  $x \in E(a) \cap E(b)$ . So  $x \sim a$  and  $x \sim b$ . By symmetry,  $a \sim x$  and by transitivity  $a \sim b$ .

Now suppose that  $y \in E(a)$ . Then  $y \sim a$  but we just saw that  $a \sim b$  so  $y \sim b$  and  $y \in E(b)$ . Hence,  $E(a) \subseteq E(b)$ . Likewise, we can show that  $E(b) \subseteq E(a)$ .  $\square$

**Definition 3.4.** A partition of a set is a collection of pairwise disjoint subsets of  $A$  whose union is all of  $A$ .

**Example 3.1.** Consider  $A = \{1, 2, 3, 4, 5\}$ . Then,  $\{1, 2\}$  and  $\{3, 4, 5\}$  is a partition of  $A$ .

**Proposition 3.2.** An equivalence relation in a set determines a partition of  $A$ , namely the one with equivalence classes as subsets. Conversely, a partition<sup>1</sup>  $\{Q_\alpha \mid \alpha \in J\}$  of a set  $A$  determines an equivalence relation on  $A$  by:  $x \sim y$  if and only if  $\exists \alpha \in J$  s.t.  $x, y \in Q_\alpha$ . The equivalence classes of this equivalence relation are precisely the subsets  $Q_\alpha$ .

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<sup>1</sup> Note that  $J$  is an index set:  $Q_\alpha \subseteq A$  for each  $\alpha \in J$  and  $Q_\alpha \cap Q_\beta = \emptyset$  if  $\alpha \neq \beta$ . Furthermore,  $\cup_{\alpha \in J} Q_\alpha = A$ .

## 4 Finite and infinite sets

**Definition 4.1.** A nonempty set  $A$  is finite if there is a bijection from  $A$  to  $\{1, 2, \dots, n\}$  for some  $n \in \mathbb{Z}^+$ .

*Remark.* Consider  $n, m \in \mathbb{Z}^+$  with  $n \neq m$ . Then, there is no bijection from  $\{1, 2, \dots, n\}$  to  $\{1, 2, \dots, m\}$ .

**Definition 4.2.** Cardinality of a finite set  $A$  is defined as follows:

1.  $\text{card}(A) = 0$  if  $A = \emptyset$ .
2.  $\text{card}(A) = n$  if there is a bijection from  $A$  to  $\{1, 2, \dots, n\}$ .

Note that  $\mathbb{Z}^+$  is not finite. How would you prove this? A sneaky approach is that there is a bijection from  $\mathbb{Z}^+$  to a proper subset of  $\mathbb{Z}^+$ .

Consider the shift map:

$$S : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$$

where  $S(k) = k + 1$ . So  $S$  is a bijection from  $\mathbb{Z}^+$  to  $\{2, 3, \dots\} \subset \mathbb{Z}^+$ , a proper subset of  $\mathbb{Z}^+$ . On the other hand, any proper subset  $B$  of a finite set  $A$  with  $\text{card}(A) = n$  has  $\text{card}(B) < n$ . In particular, there is no bijection from  $A$  to  $B$ . Therefore,  $\mathbb{Z}^+$  is not finite.

**Theorem 4.1.** A non-empty set is finite if and only if one of the following holds:

1.  $\exists$  bijection  $f : A \rightarrow \{1, 2, \dots, n\}$  for some  $n \in \mathbb{Z}^+$ .
2.  $\exists$  injection  $f : A \rightarrow \{1, 2, \dots, N\}$  for some  $N \in \mathbb{Z}^+$
3.  $\exists$  surjection  $g : \{1, \dots, N\} \rightarrow A$  for some  $N \in \mathbb{Z}^+$

*Remark.* Finite unions of finite sets are finite. Finite products of finite sets are also finite.

Recall that if  $X$  is a set, then

$$X^w = \pi_{n \in \mathbb{Z}^+} X = \{(x_n) \mid x_n \in X \forall n \in \mathbb{Z}^+\}$$

the set of infinite sequences in  $X$ .

If  $X = \{a\}$  is a singleton, then  $X^w$  is also a singleton. Otherwise, if  $\text{card}(X) > 1$ , then  $X^w$  is an infinite set.

**Example 4.1.** Consider  $X = \{0, 1\}$ . Then,  $X^w$  is a set of binary sequences.

**Definition 4.3.** If there exists a bijection from a set  $X$  to  $\mathbb{Z}^+$ , then  $X$  is said to be countably infinite.

**Definition 4.4.** Let  $\mathcal{A}$  be a nonempty collection of sets. An indexing family is a set  $J$  together with a surjection  $f : J \rightarrow \mathcal{A}$ . We use  $A_\alpha$  to denote  $f(\alpha) \subseteq \mathcal{A}$ . So

$$\mathcal{A} = \{A_\alpha \mid \alpha \in J\}$$

Most of the time, we will be able to use  $\mathbb{Z}^+$  for the index set  $J$ .

**Definition 4.5** (Cartesian product). Let  $\mathcal{A} = \{A_i \mid i \in \mathbb{Z}^+\}$ . Finite cartesian product is defined as

$$A_1 \times \cdots \times A_n = \{(x_1, \dots, x_n) \mid x_i \in A_i\}$$

It is a vector space of  $n$  tuples of real numbers.

**Definition 4.6** (Infinite Cartesian product). Infinite Cartesian product  $\mathbb{R}^\omega$  is an  $\omega$ -tuple  $x : \mathbb{Z}^+ \rightarrow \mathbb{R}$ , i.e., a sequence

$$\begin{aligned} x &= (x_i)_{i=1}^\infty = (x_1, x_2, \dots, x_n, \dots) \\ &= (x_i)_{i \in \mathbb{Z}^+} \end{aligned}$$

More generally, if  $\mathcal{A} = \{A_i \mid i \in \mathbb{Z}^+\}$ . Then,

$$\prod_{i \in \mathbb{Z}^+} A_i = \{(a_i)_{i \in \mathbb{Z}^+} \mid a_i \in A_i\}.$$

**Definition 4.7.** A set  $A$  is countable if  $A$  is finite or it is countably infinite.

**Theorem 4.2** (Criterion for countability). Suppose  $A$  is a non-empty set. Then, the following are equivalent.

1.  $A$  is countable
2. There is an injection  $f : A \rightarrow \mathbb{Z}^+$
3. There is a surjection  $g : \mathbb{Z}^+ \rightarrow A$

**Theorem 4.3.** If  $C \subseteq \mathbb{Z}^+$  is a subset, then  $C$  is countable.

*Proof.* Either  $C$  is finite or infinite. If  $C$  is finite, it follows  $C$  is countable.

Assume that  $C$  is infinite. We will define a bijection  $h : \mathbb{Z}^+ \rightarrow C$  by induction. Let  $h(1)$  be the smallest element in  $C$ .<sup>2</sup> Suppose

$$h(1), \dots, h(n-1) \in C$$

and are defined. Let  $h(n)$  be the smallest element in  $C \setminus \{h(1), \dots, h(n-1)\}$ . (Note that this set is nonempty.)

We want to show that  $h$  is injective. Let  $n, m \in \mathbb{Z}$  where  $n \neq m$ . Suppose  $n < m$ . So  $h(n) \in \{h(1), \dots, h(n)\}$  and

$$h(n) \in C \setminus \{h(1), h(2), \dots, h(m-1)\}.$$

So  $h(n) \neq h(m)$ .

We want to show that  $h$  is surjective. Suppose  $c \in C$ . We will show that  $c = h(n)$  for some  $n \in \mathbb{Z}^+$ . First, notice that  $h(\mathbb{Z}^+)$  is not contained in  $\{1, 2, \dots, c\}$

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<sup>2</sup>  $C \neq \emptyset$  and every nonempty subset of  $\mathbb{Z}^+$  has a smallest element



because  $h(\mathbb{Z}^+)$  is an infinite set. Therefore, there must exist  $n \in \mathbb{Z}^+$  such that  $h(n) > c$ . So let  $m$  be the smallest element with  $h(m) \geq c$ . Then for all  $i < m$ ,  $h(i) < c$ . Then,

$$c \notin \{h(1), \dots, h(m-1)\}.$$

By definition,  $h(m)$  is the smallest element in

$$C \setminus \{h(1), \dots, h(m-1)\}.$$

Then,  $h(m) \leq c$ . Therefore,  $h(m) = c$ .  $\square$

**Corollary 4.1.** *Any subset of a countable set is countable.*

*Proof.* Suppose  $A \subset B$  with  $B$  countable. Then, there exists an injection  $f : B \rightarrow \mathbb{Z}^+$ . The restriction

$$f|_A : A \rightarrow \mathbb{Z}^+$$

is also injective. Therefore,  $A$  is countable by the criterion.  $\square$

**Theorem 4.4.** *Any countable union of countable sets is countable. In other words, if  $J$  is countable and  $A_\alpha$  is countable for all  $\alpha \in J$ , then  $\cup_{\alpha \in J} A_\alpha$  is countable.*

**Theorem 4.5.** *A finite product of countable sets is countable.*

**Example 4.2.**  $\mathbb{Z}^+ \times \mathbb{Z}^+$  is countable.

Note that a countable product of countable sets is not countable.

**Example 4.3.** Consider  $X = \{0, 1\}$ . Then,  $X^\omega$  binary sequence is not countable.

*Proof.* Suppose  $g : \mathbb{Z}^+ \rightarrow X^\omega$  is a function. We will show  $g$  is not surjective by constructing an element  $y \neq g(n)$  for any  $n \in \mathbb{Z}^+$ .

Write  $g(n) = (x_{n1}, x_{n2}, \dots) \in X^\omega$  for each  $x_{ni} \in \{0, 1\}$ . Define

$$y = (y_1, y_2, \dots)$$

where  $y_i = 1 - x_{ii}$ . Clearly,  $y \in X^\omega$  but  $y \neq g(n)$  for any  $n$  since  $y_n = 1 - x_{nn} \neq x_{nn}$ , the  $n$ -th entry in  $g(n)$ .  $\square$

**Example 4.4.** Let  $X$  be a set and  $\{V_\alpha\}_{\alpha \in I}$  a collection of subsets of  $X$ . Show

$$\bigcup_{\alpha \in I} (X - V_\alpha) = X - \bigcap_{\alpha \in I} V_\alpha$$

*Proof.*

$$\begin{aligned} x \in \bigcup_{\alpha \in I} X - V_\alpha &\iff \exists \alpha \in I, x \in X - V_\alpha \\ &\iff \exists \alpha \in I, x \in X, x \notin V_\alpha \\ &\iff x \in X, \exists \alpha \in I, x \notin V_\alpha \\ &\iff x \in X, x \notin \bigcup_{\alpha \in I} V_\alpha \\ &\iff x \in X - \bigcap_{\alpha \in I} V_\alpha \end{aligned}$$

□

## 5 Topological spaces

**Definition 5.1** (Topology). *Let  $X$  be a set. A topology on  $X$  is a collection  $\tau$  of subsets of  $X$  satisfying:*

- $\emptyset \in \tau$ .
- $X \in \tau$ .
- If  $\{V_\alpha\}_{\alpha \in I} \subseteq \tau$ , then  $\bigcup_{\alpha \in I} V_\alpha \in \tau$ .
- If  $\{V_1, \dots, V_n\} \subseteq \tau$ , then  $\bigcap_{k=1}^n V_k \in \tau$ .

*Remark.* If  $\tau$  is a topology, then  $\{\emptyset, X\} \subseteq \tau$  and  $\tau \subseteq \mathcal{P}(X)$ , where  $\mathcal{P}$  is a power set.

**Definition 5.2.** *Suppose  $\tau, \tau'$  are topologies on  $X$ . We say  $\tau$  is coarser than  $\tau'$  if  $\tau \subseteq \tau'$ .  $\tau'$  is finer than  $\tau$  if  $\tau \subseteq \tau'$ .*

**Example 5.1.** If  $X$  is a set and  $\tau$  is a topology. Then,  $\tau$  is coarser than the discrete topology,  $\mathcal{P}(X)$ , and finer than the trivial topology,  $\{\emptyset, X\}$ .

Note that if  $\tau \subseteq \tau'$  and  $\tau' \subseteq \tau$ , then  $\tau = \tau'$ .

**Example 5.2.** Define  $\tau_f$  to consist of the subsets  $U$  of  $X$  with the property that  $X - U$  is finite or all of  $X$ .

**Lemma 5.1.**  *$\tau_f$  is a topology on  $X$ . This is defined as the finite complement topology.*

*Proof.* To show  $\emptyset \in \tau_f$ , notice that  $X - \emptyset = X$ . So  $\emptyset \in \tau_f$ . To show that  $X \in \tau_f$ , notice that  $X - X = \emptyset$ , which is finite. So  $X \in \tau_f$ .

To show that it is closed under arbitrary unions, suppose

$$\{V_\alpha\}_{\alpha \in I} \subseteq \tau_f.$$

It suffices to show that  $X - \bigcup_{\alpha \in I} V_\alpha$  is finite. For this, note

$$X - \bigcup_{\alpha \in I} V_\alpha = \bigcap_{\alpha \in I} (X - V_\alpha).$$

$X - V_\alpha$  is finite so

$$\bigcap_{\alpha \in I} (X - V_\alpha) \subseteq X - V_{\alpha'}$$

for any  $\alpha' \in I$  which implies  $\bigcap_{\alpha \in I} X - V_\alpha$  is finite.

For closure under finite intersections, let

$$\{V_1, \dots, V_n\} \subseteq \tau_f.$$

Then,

$$X - \bigcap_{k=1}^n V_k = \bigcup_{k=1}^n (X - V_k)$$

is a finite union of finite sets and so it is finite.  $\square$

**Example 5.3.** Consider  $X = \mathbb{R}$ . Then,  $\mathbb{R} - \{0\}$  is open in the finite complement topology.  $\mathbb{R} - \{0, 3, 100\}$  is open in  $\tau_f$ . Note that  $(4, \infty)$  is not open in  $\tau_f$  because its complement is not finite.

*Remark.* Assume  $\tau$  is a topology on  $X$ .

- $(X, \tau)$  is a topological space.
- $X$  is a topological space.
- $U \in \tau$  iff  $U$  is open in  $\tau$  iff  $U$  is an open set.

## 6 Basis for a Topology

**Definition 6.1.** Let  $X$  be a set. A basis for topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  satisfying:

- $\forall x \in X, \exists B \in \mathcal{B}, x \in B$
- $\forall B_1, B_2 \in \mathcal{B}$ , if  $x \in B_1 \cap B_2$  then  $\exists B_3 \in \mathcal{B}$  such that  $x \in B_3$  and  $B_3 \subseteq B_1 \cap B_2$ .

**Example 6.1.** Consider  $X = \mathbb{R}^2$ . Let  $\mathcal{B}_1$  be a collection of interiors of circles in  $\mathbb{R}^2$ . Then,  $\mathcal{B}_1$  is a basis.

**Example 6.2.** Consider  $X = \mathbb{R}^2$ . Let  $\mathcal{B}_2$  be collection of interiors of axis-parallel rectangles. Then,  $\mathcal{B}_2$  is a basis. Note that nontrivial intersection is always a rectangle.

**Definition 6.2.** The topology generated by a basis  $\mathcal{B}$  is the collection  $\tau$  satisfying:

$$U \in \tau \iff \forall x \in U, \exists B \in \mathcal{B}, x \in B \subseteq U.$$

**Example 6.3.** Consider  $X = \mathbb{R}$  with standard topology,  $\tau_{st}$ . Consider  $U = \mathbb{R} - \{0\}$ . Then,  $U \in \tau_{st}$ . Let  $x \in U$ :

1. (Case 1)  $x > 0$ . Let  $B = (0, x + 1)$ . Then,  $x \in B \subseteq \mathbb{R} - \{0\}$ .
2. (Case 2)  $x < 0$ . Let  $B = (x - 1, 0)$ . Then,  $x \in B \subseteq \mathbb{R} - \{0\}$ .

**Example 6.4.** Let  $\tau_f$  be finite complement topology on  $\mathbb{R}$ . If  $U \in \tau_f$ , then  $U \in \tau_{st}$ . So  $\tau_f \subseteq \tau_{st}$ .

*Remark.*  $(3, \infty)$  is open in  $\tau_{st}$  but not in  $\tau_f$ .

**Lemma 6.1.** If  $\mathcal{B}$  is a basis and  $\tau$  is the topology generated by  $\mathcal{B}$ , then  $\tau$  is a topology.

*Proof.*  $\emptyset \in \tau$  is vacuously true. If  $x \in \emptyset$  then  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq \emptyset$ .

Now, we want to prove that  $X \in \tau$ . Let  $x \in X$ . By the axioms for basis,  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq X$ . Hence,  $X \in \tau$ .

Let  $\{U_\alpha\}_{\alpha \in A}$  be a collection of  $\tau$ . Let

$$x \in \bigcup_{\alpha \in A} U_\alpha.$$

Then,  $\exists B \in \mathcal{B}$  with  $x \in B$ . Since  $B \subseteq U_\alpha$ ,  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq U_\alpha$ . Then,  $x \in B$  and  $B \subseteq U_\alpha$ . So  $\tau$  is closed under arbitrary unions.

Let  $\{V_1, \dots, V_n\} \subseteq \tau$ . We want to use proof by induction to show that  $\tau$  is closed under finite intersections. When  $n = 1$ ,

$$\bigcap_{k=1}^n V_k = V_1 \in \tau.$$

Assume the claim is true for  $n$ . Then,

$$\bigcap_{k=1}^{n+1} V_k = \underbrace{V_{n+1}}_W \cap \underbrace{\bigcap_{k=1}^n V_k}_{W'}$$

Note  $W \in \tau$  and  $W' \in \tau$  by the induction hypothesis. If  $W \cap W' = \emptyset$  then we are done ( $\emptyset \in \tau$ ). Let  $x \in W \cap W'$ . Then,  $x \in W$  and  $x \in W'$ , implying that  $\exists B_1, B_2 \in \mathcal{B}$  such that  $x \in B_1 \subseteq W$  and  $x \in B_2 \subseteq W'$ . Hence,  $\exists B_3 \in \mathcal{B}$  with  $x \in B_3 \subseteq B_1 \cap B_2 \subseteq W \cap W'$ .  $\square$

**Example 6.5.** Consider  $X = \mathbb{R}$ . Define

$$(a, b) = \{x \in \mathbb{R} | a < x < b\}$$

for  $a, b \in \mathbb{R}$ . Show

$$\mathcal{B}_{st} = \{(a, b) | a, b \in \mathbb{R}\}$$

is a basis.

Let  $x \in \mathbb{R}$ . Then,

$$x \in (x-1, x+1) \in \mathcal{B}_{st}.$$

For the second axiom, let  $(a, b), (c, d) \in \mathcal{B}_{st}$  and assume  $x \in (a, b) \cap (c, d)$ . Then,  $x \in (c, b) \subseteq (a, b) \cap (c, d)$ .

**Definition 6.3.** The standard topology on  $\mathbb{R}$  is the topology  $\tau_{st}$  generated by  $\mathcal{B}_{st}$ .

**Lemma 6.2.** Suppose  $\mathcal{B}$  and  $\mathcal{B}'$  are bases on  $X$  and  $\tau$  and  $\tau'$  are the topologies they generate. Then, the following are equivalent:

1.  $\tau'$  is finer than  $\tau$  ( $\tau \subseteq \tau'$ )
2.  $\forall x \in X$  and  $\forall B \in \mathcal{B}$  with  $x \in B$ ,  $\exists B' \in \mathcal{B}'$  with  $x \in B' \subseteq B$ .

*Proof.* First, we want to show that 1 implies 2. Assume  $\tau \subseteq \tau'$ . Let  $x \in X$  and  $B \in \mathcal{B}$  such that  $x \in B$ . Since  $\mathcal{B}$  generates  $\tau$ ,  $B \in \tau$ . Then,  $B \in \tau'$ . Since  $\tau'$  is generated by  $\mathcal{B}'$ ,

$$\exists B' \in \mathcal{B}' \text{ with } x \in B' \subseteq B$$

Other direction is left to readers as an exercise.  $\square$

Let  $X = \mathbb{R}^2$ . Consider  $\mathcal{B}_1$ , a collection of interior of circles, and  $\mathcal{B}_2$ , a collection of interior of axis-parallel rectangles. Let  $\tau_j$  be topologies generated by  $\mathcal{B}_j$  where  $j = 1, 2$ .

**Lemma 6.3.**  $\tau_1 = \tau_2$

*Proof.* ( $\tau_1 \subseteq \tau_2$ ). We use the theorem from earlier. Let  $x \in \mathbb{R}^2$  and  $x \in B_1 \subseteq \mathcal{B}_1$ . We have to show that  $\exists B_2 \in \mathcal{B}_2$  with  $x \in B_2 \subseteq B_1$ . Since  $x \in B_1$ ,  $x$  sits inside a circle. But we can always construct a rectangle  $B_2$  smaller than  $B_1$  but contains  $x$ .

( $\tau_2 \subseteq \tau_1$ ). Let  $x \in \mathbb{R}^2$  and  $B_2 \in \mathcal{B}_2$  with  $x \in B_2$ . We can always take  $B_1 \in \mathcal{B}_1$  to be a circle inside rectangle  $B_2$  and contains  $x$ . So  $x \in B_1 \subseteq B_2$ .  $\square$

**Lemma 6.4.** Suppose  $(X, \tau_x)$  and  $(Y, \tau_y)$  are topological spaces. Consider

$$X \times Y = \{(a, b) | a \in X, b \in Y\}$$

Then,

$$\mathcal{B} = \{U \times V | U \in \tau_x, V \in \tau_y\}$$

is a basis on  $X \times Y$ .

*Proof.* Let  $(a, b) \in X \times Y$ . Then,  $X \times Y \in \mathcal{B}$  and  $(a, b) \in X \times Y$ . Let  $U_1 \times V_1, U_2 \times V_2 \in \mathcal{B}$  and assume

$$(a, b) \in U_1 \times V_1 \cap U_2 \times V_2.$$

Note

$$(U_1 \times V_1) \cap (U_2 \times V_2) = (U_1 \cap U_2) \times (V_1 \cap V_2) \in \mathcal{B}.$$

So

$$(a, b) \in (U_1 \cap U_2) \times (V_1 \cap V_2) \subseteq (U_1 \times V_1) \cap (U_2 \times V_2)$$

$\square$

**Definition 6.4.** The product topology is the topology generated by this basis.

**Theorem 6.1.** Suppose  $\mathcal{B}_x$  and  $\mathcal{B}_y$  are bases for  $\tau_x$  and  $\tau_y$ , respectively. Then,

$$\{B_1 \times B_2 | B_1 \in \mathcal{B}_x, B_2 \in \mathcal{B}_y\}$$

is a basis for product topology on  $X \times Y$ .

**Example 6.6.**  $(X, \tau_x) = (\mathbb{R}, \tau_{st}) = (Y, \tau_y)$ .

**Definition 6.5.** The standard topology on  $\mathbb{R}^2$  is the product topology of the  $(\mathbb{R}, \tau_{st})$ .

*Remark.* The theorem tells us that  $\mathbb{R}^2$  has a basis

$$\{(a, b) \times (c, d) | a, b, c, d \in \mathbb{R}\}$$

Suppose  $\mathcal{B}$  is a basis for a topology  $\tau$  on  $X$ .

- First, if  $B \in \mathcal{B}$ , then  $B \in \tau$ . Recall that

$$U \in \tau \iff \forall x \in U, \exists B' \in \mathcal{B}, x \in B' \subseteq U.$$

So let  $x \in B$ . Then,  $B' = B$  satisfies the above condition ( $x \in B \subseteq B$ ). Hence,  $B \in \tau$ .

- Let  $U \in \tau$ . Then,  $U$  is a union of elements in  $\mathcal{B}$ . To prove this, let  $U \in \tau$ . By definition,

$$\forall x \in U, \exists B_x \in \mathcal{U}$$

such that  $x \in B_x \subseteq U$ . Then,

$$\{B_x\}_{x \in U} \subseteq \tau.$$

Then,

$$\bigcup_{x \in X_U} B = U.$$

Suppose  $X, Y$  are topological spaces and equip  $X \times Y$  with the product topology. Define

$$\pi_1 : X \times Y \rightarrow X$$

$$\pi_2 : X \times Y \rightarrow Y$$

Define

$$\mathcal{S} = \{\pi_1^{-1}(U) | U \subset X\} \cup \{\pi_2^{-1}(V) | V \subset Y\}$$

The collection  $\mathcal{S}$  is called a subbasis. The product topology is obtained by considering all unions of finite intersection of elements in  $\mathcal{S}$ .

**Definition 6.6.** *If  $X$  is a set, a subbasis is a collection  $\mathcal{S}$  of subsets whose union is  $X$ . This generates a topology by considering all unions of finite intersections of things in  $\mathcal{S}$ .*

## 7 The Subspace Topology

**Definition 7.1.** Let  $(X, \tau)$  be a topological space and  $Y \subseteq X$  be any subset. The subspace topology on  $Y$  is

$$\tau_Y = \{U \cap Y \mid U \in \tau\}$$

**Lemma 7.1.**  $\tau_Y$  is a topology.

*Proof.*

- $\emptyset \cap Y = \emptyset \in \tau_Y$ .
- $X \cap Y = Y \in \tau_Y$ .
- (Unions) Let  $\{V_\alpha\}_{\alpha \in A} \subseteq \tau_Y$ , then  $V_\alpha = U_\alpha \cap Y$  for some  $U_\alpha \in \tau$ . Then,

$$\bigcup_{\alpha \in A} V_\alpha = \bigcup_{\alpha \in A} (U_\alpha \cap Y) = \left( \bigcup_{\alpha \in A} U_\alpha \right) \cap Y \in \tau_Y$$

□

**Theorem 7.1.** Suppose  $\mathcal{B}$  is a basis for  $X$  and  $Y \subseteq X$ . Then,

$$\{B \cap Y \mid B \in \mathcal{B}\}$$

is a basis for the subspace topology on  $Y$ .

**Example 7.1.** Let  $X = \mathbb{R}$  with  $\mathcal{B} = \{(a, b) \mid a, b \in \mathbb{R}\}$ . Consider

$$Y = [1, \infty).$$

Then, the basis for this topology is given by

$$\mathcal{B}_Y = \{[1, a) \mid a \in \mathbb{R}, a > 1\} \cup \{(a, b) \mid a, b \in \mathbb{R}, 1 \leq a < b\}$$

**Example 7.2.**  $(3, 7] \notin \tau_Y$  because there does not exist open  $U \in \tau$  ( $U \in \mathcal{B}$ ) such that

$$(3, 7] = U \cap [1, \infty).$$

*Proof.* To yield contradiction, suppose there exists such  $U$ . Then,

$$7 \in (a, b) \cap [1, \infty).$$

So  $7 \in (a, b)$  and  $7 \in [1, \infty)$ . In other words,  $a < 7 < b$ .

Let  $\epsilon = (b - 7)/2$ . Then,  $a < 7 + \epsilon < b$  so  $7 + \epsilon \in (a, b)$  and  $7 + \epsilon \in [1, \infty)$ . Then,

$$7 + \epsilon \in (a, b) \cap [1, \infty) = (3, 7] \implies 3 < 7 + \epsilon \leq 7,$$

yielding contradiction. □



**Example 7.3.** Let  $X = \mathbb{R}$  and  $Y = [0, 1]$ . A basis for the subspace on  $Y$  is

$$\{(a, b) | 0 \leq a < b \leq 1\} \cup \{[a, b) | 0 \leq b \leq 1\} \cup \{(a, 1] | 0 \leq a \leq 1\}$$

**Example 7.4.** Consider a rectangle

$$R = [0, 1] \times [2, 6].$$

There are two ways of thinking of topology on this rectangle. Since  $R \subseteq \mathbb{R}^2$ , we can think of it as a subspace topology. We can also think of it as a product topology. So are they same topologies in this case?

**Theorem 7.2.** *Suppose  $X$  and  $Y$  are topological spaces and  $A \subseteq X$  and  $B \subseteq Y$  are subspaces. Then, the product topology on  $A \times B$  equals the topology  $A \times B$  inherits as a subset of  $X \times Y$ .*

*Proof.* In the subspace topology, basis element is given by

$$(A \times B) \cap (U \times V)$$

where  $U \subseteq X$  and  $V \subseteq Y$ . Likewise, basis element of product topology is given by

$$(A \cap U) \times (B \cap V).$$

But then

$$(A \cap U) \times (B \cap V) = (A \times B) \cap (U \times V).$$

□

## 8 The Order Topology

Let  $X$  be a set.

**Definition 8.1.** An order relation (or simple order or linear order) is relation  $R$  on  $X$  so

1. (comparability) If  $x, y \in X$  and  $x \neq y$ . Then either  $xRy$  or  $yRx$ .
2. (Nonreflexivity)  $\nexists x \in X$  such that  $xRx$ .
3. (Transitivity) If  $xRy$  and  $yRz$  then  $xRz$ .

**Example 8.1.** Define  $X \subseteq \mathbb{R}$  and  $R = <$ . Then,  $R$  is an order relation

*Proof.*

1. If  $x \neq y$ , either  $x < y$  or  $y < x$ .
2.  $\nexists x \in X$  such that  $x < x$ .
3.  $x < y, y < z \implies x < z$ .

□

If  $X$  has an order relation, we often denote it  $<$ . Suppose  $(X, <)$  is a set with an order relation for  $a, b \in X$ .

**Definition 8.2.**  $(a, b) = \{x \in X \mid a < x, x < b\}$ .

**Definition 8.3.**  $(a, b] = \{x \in X \mid a < x, x < b, \text{ or } x = b\}$ .

**Definition 8.4.**  $[a, b) = \{x \in X \mid a < x, x < b, \text{ or } x = a\}$ .

**Definition 8.5.**  $a_0 \in X$  is a minimal element if  $\forall x \in X, a_0 \leq x$ .

**Definition 8.6.**  $b_0 \in X$  is a maximal element if  $\forall x \in X, x \leq b_0$ .

**Definition 8.7.** Suppose  $(X, <_x)$  and  $(Y, <_y)$  are simply ordered sets. The dictionary order on  $X \times Y$  is the order  $<_{x \times y}$  defined as follows:

$$(a_1, b_1) <_{X \times Y} (a_2, b_2)$$

if  $a_1 <_x a_2$  or  $a_1 = a_2$  and  $b_1 <_y b_2$ .

**Example 8.2.** Define  $X = Y = \mathbb{R}$  and  $<_x = <_y = <$ , usual inequality. Then,

- $(0, 0) <_{X \times Y} (1, -3)$  is true
- $(0, 0) <_{X \times Y} (0, -3)$  is false.

**Definition 8.8.** Suppose  $(X, <)$  is a simply ordered set. Then, the order topology on  $X$  is the one with basis elements:  $(a, b)$  for  $a, b \in X$ ,  $[a_0, b)$  for  $b \in X$  provided  $a_0$  is the minimal element of  $X$ , and  $(a, b_0]$  for  $a \in X$  provided  $b_0 \in X$  is the maximal element.

**Example 8.3.** Consider  $X = [0, 1]$  with  $<$ , the standard inequality. The order topology is the topology inherited as a subset.

**Example 8.4.** Consider  $(\mathbb{R}^2, <_o)$  where  $<_o$  is the dictionary order. The order topology is called the dictionary order topology.

Think about what this set looks like:

$$((0, 0), (1, 1))$$

**Example 8.5.** Let  $X$  be a set with the discrete topology (all subsets are open). Show that

$$\mathcal{B}_1 = \{\{x\} | x \in X\}$$

is a basis.

*Proof.* Let  $x \in X$  then  $x \in \{x\} \subseteq B$ . Let  $B_1, B_2 \in \mathcal{B}_1$  and  $x \in B_1 \cap B_2$ . Then,

$$B_1 = \{x\} = B_2$$

so  $x \in \{x\} \subseteq B_1 \cap B_2$

Let  $\tau_1$  be the topology generated by  $\mathcal{B}_1$ . We clearly have  $\tau_1 \subseteq \tau_d$ , where  $\tau_d$  is the discrete topology. To show that  $\tau_d \subseteq \tau_1$ , let  $U \in \tau_d$  and let  $x \in U$ . Then,  $x \in \{x\} \subseteq U$  and  $\{x\} \in \mathcal{B}_1$ . So the results follows by a theorem in section 13.  $\square$

**Example 8.6.** Show that

$$\mathcal{B}_2 = \{\{x\} \times (a, b) | x, a, b \in \mathbb{R}\}$$

forms a basis for  $\mathbb{R} \times \mathbb{R}$  with the dictionary order topology.

*Proof.* Assume that it's a basis. We will show that the associated topology  $\tau_2$  is the dictionary order topology  $\tau_{DO}$ .

Note that if  $B \in \mathcal{B}_2$ , then  $\exists x, a, b \in \mathbb{R}$  such that

$$B = \{x\} \times (a, b) = ((x, a), (x, b)) = \{y \in \mathbb{R} \times \mathbb{R} | (x, a) <_{DO} y <_{DO} (x, b)\}.$$

So this is open in  $\tau_{DO}$ . So  $\tau_2 \subseteq \tau_{DO}$ .

Let  $((a_1, b_1), (a_2, b_2))$  be a basis element in the dictionary order topology. Let  $y \in ((a_1, b_1), (a_2, b_2))$ . Write  $y = (x_1, y_1)$ . There are three cases:

1.  $a_1 < x_1 < a_2$
2.  $a_1 = x_1$
3.  $a_2 = x_1$

Here, we will prove case 1. Assume  $a_1 < x < a_2$ . Then,

$$y \in \{x\} \times (y_1 - 1, y_1 + 1)$$

Then,  $\{x\} \times (y_1 - 1, y_1 + 1) \in \mathcal{B}_2$  and also

$$\{x\} \times (y_1 - 1, y_1 + 1) \subseteq ((a_1, b_1), (a_2, b_2))$$

Assuming these the topology on  $\mathbb{R}_d \times \mathbb{R}$  has basis

$$\{\{x\} \times (a, b) | x, a, b \in \mathbb{R}\}.$$

This is exactly the basis that generates the dictionary order topology. So two topologies are same.  $\square$

**Example 8.7.** Let  $X = \mathbb{R}$ . The set

$$\mathcal{B}_\ell = \{[a, b) | a, b \in \mathbb{R}\}$$

is a basis. The topology it generates is called the lower limit topology on  $\mathbb{R}$ .  $\mathbb{R}$  with the lower limit topology is denoted  $\mathbb{R}_\ell$ .

*Remark.* Lower limit topology is strictly finer than standard topology, i.e., every open set in standard topology is open in lower limit topology but not vice-versa

**Example 8.8.** Is  $[0, 1)$  open in the standard topology? No  $[0, 1)$  is not open in standard topology. If it were, then there would be some  $(a, b) \subseteq \mathbb{R}$  such that

$$0 \in (a, b) \subseteq [0, 1).$$

But then

$$0 \in (a, b) \implies a < 0, b > 0$$

So  $a/2 \in (a, b)$ . But  $a/2 < 0$  so  $a \notin [0, 1)$ .

**Example 8.9.** Is  $(0, 1)$  open in  $\mathbb{R}_\ell$ ? Yes because

$$(0, 1) = \bigcup_{x \in \{y | y > 0\}} [x, 1).$$

## 9 Interior and Closure

Recall De Morgan's Laws:

- $\bigcup_{\alpha \in I} (X \setminus U_\alpha) = X \setminus \bigcap_{\alpha \in I} U_\alpha$
- $\bigcap_{\alpha \in I} (X \setminus U_\alpha) = X \setminus \bigcup_{\alpha \in I} U_\alpha$

**Definition 9.1.** If  $(X, \tau)$  is a topological space then a subset  $A \subseteq X$  is called closed if  $X \setminus A$  is open, i.e., if  $X \setminus A \in \tau$

If  $\mathcal{C}$  is a collection of all closed sets in  $X$ ,

1.  $X \in \mathcal{C}$
2.  $\emptyset \in \mathcal{C}$
3.  $\mathcal{C}$  is closed under arbitrary intersection.
4.  $\mathcal{C}$  is closed under finite union.

**Example 9.1.** In  $\mathbb{R}$ , consider  $U_n = [1/n, 1 - 1/n]$  for  $n \in \mathbb{Z}^+$ .  $U_n$  is a closed interval for each  $n \in \mathbb{Z}^+$ . However,

$$\bigcup_{n \in \mathbb{Z}^+} U_n = (0, 1)$$

is open and not a closed set.

**Definition 9.2** (Interior). Suppose  $(X, \tau)$  is a topological space and  $A \subseteq X$ . Define  $\text{Int}(A)$  to be the union of all open sets contained in  $A$ .

$$\text{Int}A = \bigcup_{\alpha \in J} U_\alpha$$

where  $\{U_\alpha, \alpha \in J\}$  consists of all  $U_\alpha \in J$  such that  $U_\alpha \subseteq A$ .

Observe that  $\text{Int}A$  is an open set. Notice also that  $\text{Int}(A) \subseteq A$ . So  $\text{Int}A$  is the maximal open set contained in  $A$ . In other words, any open set  $V$  contained in  $A$  is a subset of  $\text{Int}A$ .

*Remark.* If  $A$  is open,  $\text{Int}A = A$ .

**Definition 9.3.** The closure of  $A$ , denoted  $\bar{A}$ , is defined as the intersection of all closed sets that contain  $A$ :

$$\bar{A} = \bigcap_{\beta \in K} C_\beta,$$

where  $\{C_\beta : \beta \in K\}$  consists of all closed sets that contain  $A$ .

Observe that  $\bar{A}$  is a closed set because it is an intersection of closed sets. Notice that  $A \subseteq \bar{A}$ . Then,  $\bar{A}$  is the smallest closed set that contains  $A$ , i.e. any closed set that contains  $A$  must contain  $\bar{A}$ .

*Remark.* If  $A$  is closed, then  $A = \bar{A}$ .

**Example 9.2.** If  $U_\alpha, \alpha \in J$  is a collection of sets with  $B \subseteq U_\alpha$  for all  $\alpha$ , then  $B \subseteq \bigcap_{\alpha \in J} U_\alpha$ . Further, if  $B = U_{\alpha'}$  for some  $\alpha' \in J$ , then

$$B = \bigcap_{\alpha \in J} U_\alpha \subset U_{\alpha'}$$

for all  $\alpha'$ .

**Proposition 9.1.**

- $\text{Int}(X - A) = X - \bar{A}$
- $\overline{(X - A)} = X - \text{Int}(A)$ .

**Example 9.3.** Define

$$\begin{aligned} X &= \{a, b, c\} \\ \tau &= \{\emptyset, \{a\}, \{a, b\}, X\} \end{aligned}$$

Then,

$$\mathcal{C} = \{X, \{b, c\}, \{c\}, \emptyset\}$$

Consider  $A = \{a\}$ . Then,  $\text{Int}A = \{a\}$  and  $\bar{A} = X$ .

Consider  $B = \{b\}$ . Then,  $\text{Int}B = \emptyset$  and  $\bar{B} = \{b, c\}$ .

Consider  $C = \{c\}$ . Then,  $\text{Int}C = X$  and  $\bar{C} = \{c\}$ .

Let

$$X = \mathbb{C} = \{x + iy | x, y \in \mathbb{R}\}$$

**Example 9.4.** Consider

$$A = \{re^{i\theta} | 0 \leq \theta \leq 2\pi, 0 \leq r \leq 1 \text{ or } r=1, \theta \in \mathbb{Q}\}$$

Then,

$$\text{Int}A = \{re^{i\theta} | 0 < r < 1\}$$

$$\bar{A} = \{re^{i\theta} | 0 \leq r \leq 1\}$$

Notice that if  $z \in \bar{A}$  and  $U$  is an arbitrary open set in  $\mathbb{C}$  containing  $z$  then  $U \cap A \neq \emptyset$ .

**Theorem 9.1.** If  $A \subseteq X$  is any subset then  $X \in \bar{A}$  if and only if every open set  $U$  containing  $X$  intersects  $A$  nontrivially.

*Proof.* Recall  $\bar{A}$  is a closed set, so  $X - \bar{A}$  is open. We will show conversely that  $x \notin \bar{A}$  if and only if there exists an open set  $U$  containing  $x$  such that  $U \cap A = \emptyset$ . But since  $X - \bar{A}$  is open, if  $x \notin \bar{A}$ , then  $x \in X - \bar{A}$ . Openness of  $X - \bar{A}$  now implies that there exists small open set  $U$  about  $x$  with  $U \subseteq X - \bar{A}$ . As such,  $U \cap \bar{A} = \emptyset$ , since  $A \subseteq \bar{A}$ , it follows that  $U \cap A = \emptyset$ .  $\square$

**Definition 9.4.** If  $A \subseteq X$  is a subset,

$$\bar{A} = \{x \in X \mid U \cap A \neq \emptyset \text{ for every open set } U \text{ about } x\}.$$

**Definition 9.5** (Limit point). A point  $x$  is a limit point of the set  $A$  if every open set  $U$  about  $x$  satisfies  $(U - \{x\}) \cap A \neq \emptyset$ , i.e., we require  $U$  intersects  $A$  in some point other than  $x$ . We write

$$A' = \{x \mid x \text{ is a limit point of } A\}.$$

**Theorem 9.2.**  $\bar{A} = A \cup A'$ .

*Proof.*

$$(U - \{x\}) \cap A \neq \emptyset \implies U \cap A \neq \emptyset$$

which implies  $A \subseteq \bar{A}$ . But we already know that  $A \subseteq \bar{A}$ . Then,

$$A \cup A' \subseteq \bar{A}.$$

Suppose  $x \in \bar{A}$ . Either  $x \in A$ , in which case  $x \in A \cup A'$  or  $x \notin A$ . In this case, since  $x \in \bar{A}$ , every open set  $U$  about  $x$  satisfies  $U \cap A \neq \emptyset$ . But  $x \notin A$  so  $x \in A'$ . So

$$(U - \{x\}) \cap A \neq \emptyset.$$

So  $x \in A'$ . □

Let  $X$  be a topological space with  $Y \subseteq X$  a subset. Regard  $Y$  as a topological space using the subspace topology, i.e.,  $V \subseteq Y$  is open in the subspace topology if and only if there exists an open set  $U \subseteq X$  with  $V = U \cap Y$ .

**Theorem 9.3.** In the subspace topology on  $Y$ , a subset  $B \subseteq Y$  is closed if and only if  $B = A \cap Y$  for some closed set  $A \subseteq X$ .

*Proof.*

$$\begin{aligned} B \subseteq Y \text{ is closed} &\iff V = Y - B \text{ is open} \\ &\iff \exists U \text{ open in } X \text{ such that } V = U \cap Y \\ &\iff A = X - U \text{ is closed} \end{aligned}$$

□

## 10 Sequences

**Definition 10.1** (Convergnece). *If  $\{X_n\}$  is a sequence of points in a topological space  $X$ , we say  $\{X_n\}$  converges to  $x \in X$  if for every neighborhood  $U$  about  $x$ , there exists integer  $N$  such that  $n \geq N \implies X_n \in U$ .*

*Remark.* In a general topological space, a sequence may converge to more than one point.

**Example 10.1.** Consider the trivial topology:

$$\tau_{\text{triv}} = \{\emptyset, X\}.$$

Every sequence converges and it converges to every point in  $X$ .

**Example 10.2.** Given  $X = \{a, b, c\}$ , consider the following topology:

$$\tau = \{\emptyset, \{a\}, \{a, b\}, X\}.$$

Then, the sequence  $X_n = b$  for all  $n$  converges to  $b$  as well as  $c$  but not to  $a$ .

**Example 10.3.** If  $X$  has the discrete topology, then show that a sequence  $\{X_n\}$  is convergent if and only if  $\{X_n\}$  is eventually constant, i.e.,  $\exists x \in X$  and  $N \in \mathbb{Z}^+$  such that

$$n \geq N \implies X_n = x.$$

**Definition 10.2.** *A topological space  $A$  is called Hausdorff if for any two distinct points  $x, y \in X$  there exists disjoint open sets  $U, V$  with  $x \in U$  and  $y \in V$ .*

**Example 10.4.** If  $\{X_n\}$  is a sequence of points in Hausdorff space, then if it converges, the point it converges to is unique.

**Theorem 10.1.** *If  $(X, \tau)$  is Hausdorff, then every finite subset of  $X$  is a closed set.*

*Remark.* If  $X$  is Hausdorff, then every singleton  $\{x\}$  is closed.

**Example 10.5.** Consider

$$\mathcal{C} = \left\{ \left[ \frac{1}{n}, 1 - \frac{1}{n} \right] \mid n \geq 3 \right\}$$

Then,

$$\bigcup_{n=3}^{\infty} C_n = (0, 1)$$

is not closed in  $\mathbb{R}$ .

**Example 10.6.** An infinite collection of open sets whose intersection is not necessarily open. Consider

$$U_n = \left( -\frac{1}{n}, \frac{1}{n} \right).$$



Then,

$$\bigcap_{n=1}^{\infty} U_n = \{0\}$$

is closed in standard topology.

**Definition 10.3.** A topological space  $X$  in which all finite sets are closed is called a  $T_1$  space.

**Theorem 10.2.** If  $(X, \tau)$  is Hausdorff, then  $X$  is a  $T_1$  space. Note that converse is not necessarily true.

**Example 10.7.** If  $X$  is infinite and  $\tau$  is finite complement topology is a  $T_1$  space but not Hausdorff.

**Example 10.8.** Notice if  $X$  is finite, then the every subset  $U \subseteq X$  has a finite complement. So in this case, the finite complement topology is the same as the discrete topology. So it is a  $T_1$  space and Hausdorff.

**Theorem 10.3.** If  $X$  is Hausdorff and  $\{x_n\}$  is a convergent sequence converging to  $x \in X$ , then the point  $x$  is unique. In this case, we say  $x$  is the limit of the sequence  $\{x_n\}$  and write

$$\lim_{n \rightarrow \infty} x_n = x.$$

**Theorem 10.4.** If  $(X, <)$  is a simply ordered set, then it is Hausdorff in the order topology.

**Theorem 10.5.** If  $X, Y$  are two topological spaces and both are Hausdorff then so is the product  $X \times Y$ .

**Theorem 10.6.** If  $X$  is Hausdorff and  $Y \subseteq X$  is a subset, then  $Y$  is Hausdorff in subspace topology.

## 11 Continuous Functions

What does it mean to say that a function  $f : \mathbb{R} \rightarrow \mathbb{R}$  is continuous?

- $\forall x \in \mathbb{R}, \lim_{x \rightarrow x_0^+} f(x) = \lim_{x \rightarrow x_0^-} f(x) = f(x_0)$ .
- $\lim_{n \rightarrow \infty} x_n = x_0 \implies \lim_{n \rightarrow \infty} f(x_n) = f(x_0)$ .
- $\forall x_0 (\forall \epsilon > 0, \exists \delta \text{ such that } |x - x_0| < \delta \implies |f(x) - f(x_0)| < \epsilon)$ .

**Definition 11.1.** If  $f : X \rightarrow Y$  is a function between two topological spaces  $X, Y$ , then  $f$  is continuous if  $f^{-1}(V)$  is open for every open set  $V \subseteq Y$ .

**Example 11.1.** Consider  $f : \mathbb{R} \rightarrow \mathbb{R}_\ell$ , where  $\mathbb{R}_\ell$  is the lower limit topology and  $f(x) = x$ . This map is not continuous. Take  $V = [a, b)$ . This is open in  $\mathbb{R}_\ell$  but  $f^{-1}(V) = V$  is not open in the standard topology.

**Example 11.2.**  $g : \mathbb{R}_\ell \rightarrow \mathbb{R}$  with  $g(x) = x$  is continuous.

**Example 11.3.** If  $f : X \rightarrow Y$  is the constant map  $f(x) = y_0 \forall x \in X$ , then  $f$  is continuous for any topology on  $X, Y$ .

**Theorem 11.1.** Let  $X, Y$  be two topological spaces and  $f : X \rightarrow Y$  is a function. If  $f : X \rightarrow Y$  then the following are equivalent:

1.  $f$  is continuous
2. for all subsets  $A \subseteq X$ ,  $f(\bar{A}) \subseteq \overline{f(A)}$
3. for all closed sets  $B \subseteq Y$ ,  $f^{-1}(B)$  is closed in  $X$
4. for each  $x \in X$  if  $V$  is open about  $f(x)$ , then  $\exists$  open set  $U$  about  $x$  such that  $f(U) \subseteq V$ .

**Example 11.4.** Consider  $f : X \rightarrow Y$  any function. If  $X$  has discrete topology,  $f$  is automatically continuous.

*Proof.* Let  $U \subseteq Y$  be open. Then,  $f^{-1}(U)$  is a subset of  $X$ . By the definition of the discrete topology,  $f^{-1}(U)$  is therefore open in  $X$ . Thus, it is true for all open  $U \subseteq Y$ , so  $f$  is continuous.  $\square$

**Example 11.5.** Consider  $Y = [0, 1) \subseteq \mathbb{R}$  with standard topology on  $\mathbb{R}$ . Find the closure  $\bar{Y}$ .

We can define closure in three different ways:

1. Intersection of all closed sets containing  $Y$
2.  $\bar{Y} = Y \cup \{\text{limit points}\}$
3.  $\bar{Y}$  is the smallest closed set containing  $Y$ .

Note that  $[0, 1) \subseteq [0, 1]$  and  $[0, 1]$  is closed in standard topology ( $[0, 1] = \mathbb{R} - ((-\infty, 0) \cup (1, \infty))$ ). This implies that  $\bar{Y} \subseteq [0, 1]$ .

We also have  $[0, 1) \subseteq \bar{Y}$ . If  $\bar{Y} \neq [0, 1]$ , then  $1 \notin \bar{Y}$  and  $\bar{Y} = [0, 1)$ . However,  $[0, 1)$  is not closed in standard topology and this is a contradiction. Therefore,  $\bar{Y} = [0, 1]$ .

**Example 11.6.** Define  $X = [0, \infty)$ . Consider  $X \times X$  with dictionary order topology. Show that  $X \times X$  is Hausdorff.

*Proof.* Let  $(x_1, y_1), (x_2, y_2) \in X \times X$  with  $(x_1, y_1) \neq (x_2, y_2)$ . We may assume  $(x_1, y_1) < (x_2, y_2)$ . There are 7 cases that we have to consider:

1.  $0 < x_1 < x_2$  and  $y_1, y_2 > 0$
2.  $0 = x_1 < x_2$  and  $y_1, y_2 > 0$
3.  $0 = x_1 = x_2$  and  $0 < y_1 < y_2$
4.  $0 = x_1 = x_2$  and  $0 = y_1 < y_2$
5.  $0 < x_1 < x_2$  and  $y_1 = 0$  or  $y_2 = 0$
6.  $x_1 = x_2 > 0$  and  $y_2 > y_1 > 0$
7.  $x_1 = x_2 > 0$  and  $y_1 = 0$  or  $y_2 \neq 0$

For case 1, consider

$$U_1 = \left( \left( x_1, y_1 - \frac{y_1}{2} \right), \left( x_1, y_1 + \frac{y_1}{2} \right) \right)$$

$$U_2 = \left( \left( x_2, y_2 - \frac{y_2}{2} \right), \left( x_2, y_2 + \frac{y_2}{2} \right) \right)$$

Then, there intersection is empty and  $(x_1, y_1) \in U_1$  and  $(x_2, y_2) \in U_2$ . □

**Definition 11.2.** Let  $X$  and  $Y$  be topological spaces. A function  $f : X \rightarrow Y$  is a homeomorphism if

1.  $f$  is continuous
2.  $f$  is bijective (so  $f^{-1}$  exists)
3.  $f^{-1} : Y \rightarrow X$  is continuous

**Example 11.7.** Suppose  $X$  is a topological space. Then, identity function

$$\text{Id} : X \rightarrow X$$

with  $\text{Id}(x) = x$  is a homeomorphism.

**Example 11.8.** If  $f : X \rightarrow Y$  is homeomorphism, then  $f^{-1} : Y \rightarrow X$  is a homeomorphism.

**Example 11.9.** Consider  $f : \mathbb{R} \rightarrow \mathbb{R}$  with  $f(x) = x^3$ . Then, this is a homeomorphism. Furthermore,

$$f^{-1}(y) = y^{1/3}.$$

## 12 The Product Topology

**Definition 12.1.** Let  $\{X_\alpha\}$  be a collection of sets indexed by  $\alpha \in J$ . Then,

$$\prod_{\alpha \in J} X_\alpha = \left\{ f : J \rightarrow \bigcup_{\alpha \in J} X_\alpha, f(\beta) \in X_\beta \forall \beta \in J \right\}.$$

**Example 12.1.** Consider  $J = \{1, 2\}$ . Then,

$$\begin{aligned} \prod_{\alpha \in J} X_\alpha &= \{f : \{1, 2\} \rightarrow X_1 \cup X_2 \mid f(1) \in X_1, f(2) \in X_2\} \\ &= \{(f_1, f_2) \mid f_1 \in X_1, f_2 \in X_2\} \\ &= X_1 \times X_2 \end{aligned}$$

More generally, if  $J = \{1, 2, \dots, n\}$ , then

$$\prod_{\alpha \in J} X_\alpha = X_1 \times X_2 \times \dots \times X_n$$

If  $J = \mathbb{Z}_+ = \{1, 2, 3, \dots\}$ , then we can write

$$\prod_{\alpha \in J} X_\alpha = X_1 \times X_2 \times X_3 \times \dots$$

If  $J = \mathbb{Z}_+$  and  $X_\alpha = X \forall \alpha \in J$ , then

$$\prod_{\alpha \in J} X_\alpha = X \times X \times \dots = X^\omega.$$

**Example 12.2.** Consider  $J = \mathbb{R}$  and let  $X_\alpha = \mathbb{R} \forall \alpha \in \mathbb{R}$ . Then,

$$\begin{aligned} \prod_{\alpha \in J} X_\alpha &= \prod_{\alpha \in \mathbb{R}} \mathbb{R} \\ &= \left\{ f : \mathbb{R} \rightarrow \bigcup_{\alpha \in \mathbb{R}} \mathbb{R} \mid f(x) \in \mathbb{R} \forall x \in \mathbb{R} \right\} \\ &= \{f : \mathbb{R} \rightarrow \mathbb{R} \mid f \text{ is a function}\}. \end{aligned}$$

**Definition 12.2** (Box topology). Let  $\{X_\alpha\}_{\alpha \in J}$  be a collection of topological spaces. The box topology on  $\prod X_\alpha$  is the one with basis

$$\left\{ \prod_{\alpha \in J} U_\alpha \mid U_\alpha \subseteq X_\alpha \text{ open} \right\}$$

**Lemma 12.1.** If  $\mathcal{B}_\alpha$  is a basis for  $X_\alpha$ , then

$$\left\{ \prod_{\alpha \in J} B_\alpha \mid B_\alpha \in \mathcal{B}_\alpha \right\}$$

is a basis for the box topology.

**Definition 12.3.** For  $\beta \in J$ , define

$$\pi_\beta : \prod_{\alpha \in J} X_\alpha \rightarrow X_\beta$$

**Example 12.3.** Consider  $J = \{1, 2\}$ . Then,

$$\prod_{\alpha \in J} X_\alpha = X_1 \times X_2.$$

Furthermore,

$$\begin{aligned}\pi_1 : X_1 \times X_2 &\rightarrow X_1 \\ \pi_2 : X_1 \times X_2 &\rightarrow X_2\end{aligned}$$

**Definition 12.4** (Product topology). The product topology on  $\prod_{\alpha \in J} X_\alpha$  is the one with subbasis given by

$$\left\{ \pi_\beta^{-1}(U_\beta) \mid U_\beta \subseteq X_\beta \text{ open}, \beta \in J \right\}$$

If  $\prod X_\alpha$  is equipped with the product topology, we call  $\prod X_\alpha$  the product space.

**Lemma 12.2.** If  $\mathcal{B}_\alpha$  is a basis for  $X_\alpha$ , then

$$\left\{ \pi_\beta^{-1}(B_\beta) \mid B_\beta \in \mathcal{B}_\beta, \beta \in J \right\}$$

is a subbasis for the product topology.

*Remark.* If  $J$  is finite, then the box topology on  $\prod X_\alpha$  equals the product topology.

**Example 12.4.** Consider  $J = \mathbb{Z}_+$  with  $X_\alpha = \mathbb{R}$ . Then,

$$\prod_{\alpha \in J} X_\alpha = \mathbb{R}^\omega.$$

For example, a box topology can be

$$\prod_{\alpha \in J} B_\alpha = B_1 \times B_2 \times B_3 \times \cdots.$$

Then,

$$(-1, 1) \times (-2, 2) \times \cdots \times (-n, n) \times \cdots$$

is a basis element in box topology.

On the other hand,

$$(-1, 1) \times (-2, 2) \times \cdots \times (-n, n) \times \cdots$$

is not open in product topology.

$$(-1, 1) \times (-2, 2) \times \cdots \times (-n, n) \times \mathbb{R} \times \cdots \times \mathbb{R} \cdots$$

is open in product topology.

**Lemma 12.3.** *The collection*

$$\left\{ \prod_{\alpha \in J} U_{\alpha} \mid U_{\alpha} \subseteq X_{\alpha} \text{ is open, } U_{\alpha} = X_{\alpha} \text{ for all but a finite number of } \alpha \in J \right\}$$

*forms a subbasis for the product topology on  $\prod_{\alpha \in J} X_{\alpha}$ .*

**Example 12.5.**

$$(-1, 1) \times (-1, 1) \times \mathbb{R} \times \mathbb{R} \times \cdots$$

is open in the product topology on  $\mathbb{R}^{\omega}$  but

$$(-1, 1) \times (-1, 1) \times \cdots \times (-1, 1) \times \cdots$$

is not open in product topology.

If we define  $\pi_1 : \mathbb{R}^{\omega} \rightarrow \mathbb{R}$  where  $\pi_1(x_1, x_2, \dots) \rightarrow x_1$ . Then,

$$\pi_1^{-1}((-1, 1)) = (-1, 1) \times \mathbb{R} \times \mathbb{R} \times \cdots$$

is open in product topology. Likewise, we have

$$\pi_2^{-1}((-1, 1)) = \mathbb{R} \times (-1, 1) \times \mathbb{R} \times \cdots$$

open in product topology. Then,

$$\pi_1^{-1}((-1, 1)) \times \pi_2^{-1}((-1, 1)) = (-1, 1) \times (-1, 1) \times \mathbb{R} \times \mathbb{R} \times \cdots.$$

is open in product topology. Note that we can only take finite intersections.

**Example 12.6.** Consider  $\mathbb{R}^{\omega} = \mathbb{R} \times \mathbb{R} \times \cdots$  with the product topology. Show

$$(-1, 1) \times \left(-\frac{1}{2}, \frac{1}{2}\right) \times \cdots \times \left(-\frac{1}{n}, \frac{1}{n}\right) \times \cdots$$

is not open.

*Solution.* Recall that  $U$  is open if and only if  $\forall x \in U$  there exists  $B \in \mathcal{B}$  a basis element such that

$$x \in B \subseteq U.$$

Observe that

$$(0, 0, \dots, 0) \in U = (-1, 1) \times \left(-\frac{1}{2}, \frac{1}{2}\right) \times \cdots \times \left(-\frac{1}{n}, \frac{1}{n}\right) \times \cdots.$$

Assume  $B$  is a basis element containing  $x$ . Then, we can write

$$B = V_1 \times V_2 \times \cdots \times V_m \times \mathbb{R} \times \mathbb{R} \times \cdots$$

where  $V_l \subseteq \mathbb{R}$  open and  $1 \leq l \leq m$ . If  $B \subseteq U$ , then the following condition must be satisfied:

$$\mathbb{R} \subseteq \left(-\frac{1}{m+1}, \frac{1}{m+1}\right).$$

This is false. So  $B \not\subseteq U$ . Therefore,  $U$  is not open.  $\square$

**Example 12.7.** Is the following function continuous?  $f : \mathbb{R} \rightarrow \mathbb{R}^2$  where

$$f(t) = (\cos(t), t^2 + e^t)$$

**Theorem 12.1.** Let  $\{X_\alpha\}$  be topological space and give  $\prod X_\alpha$  the product topology. Let

$$f : A \rightarrow \prod X_\alpha$$

be any function. Then,  $f$  is continuous if and only if  $\pi_\alpha \circ f : A \rightarrow X_\alpha$  is continuous  $\forall \alpha \in J$ .

**Example 12.8.** Let  $J = \mathbb{Z}_+$ . Then,

$$\prod X_\alpha = X_1 \times X_2 \times \cdots$$

Consider  $f : A \rightarrow X_1 \times X_2 \times \cdots$  with

$$\begin{aligned} f(a) &= (f_1(a), f_2(a), \dots) \\ f_1 &= \pi_1 \circ f \\ f_2 &= \pi_2 \circ f \\ &\vdots \end{aligned}$$

Note that  $f_\alpha = \pi_\alpha \circ f$  is the  $\alpha$ -th component of  $f$ .

**Example 12.9.** Recall that the product topology has subbasis

$$\mathcal{S} = \left\{ \prod_{\alpha}^{-1} (U) \mid U \subseteq X_\alpha \text{ open}, \alpha \in J \right\}$$

So  $\pi_\beta : \prod X_\alpha \rightarrow X_\beta$  is continuous  $\forall \beta \in J$ .

Now, we will prove the theorem.

*Proof.* ( $\Rightarrow$ ) If  $f$  is continuous, then  $\pi_\alpha \circ f$  is continuous because composition of continuous functions is continuous.

( $\Leftarrow$ ) Assume  $\pi_\alpha \circ f$  is continuous  $\forall \alpha \in J$ . Let  $\pi_\alpha^{-1}(U) \subseteq \prod X_\alpha$  be a subbasis element. Then,

$$f^{-1}(\pi_\alpha^{-1}(U)) = (\pi_\alpha \circ f)^{-1}(U).$$

Then, this is open because the composition map  $(\pi_\alpha \circ f)$  is assumed to be continuous and  $U \subseteq X_\alpha$  is open.  $\square$

Recall that a basis for product topology is

$$\prod_{\alpha \in J} U_\alpha$$

where  $U_\alpha \subseteq X$  where  $U_\alpha = X_\alpha$  for all but a finite number of  $\alpha \in J$ . Note

$$\pi_\beta^{-1}(U_\beta) = \prod_{\alpha \in J} U_\alpha$$

where  $U_\alpha = U_\beta$  if  $\alpha = \beta$  and  $U_\alpha = X_\alpha$  if  $\alpha \neq \beta$ .

**Example 12.10.** Consider  $\prod X_\alpha = \mathbb{R} \times \mathbb{R}$ . Then,

$$\pi_1^{-1}((-1, 1)) = (-1, 1) \times \mathbb{R}.$$

On the other hand, the basis from  $\mathcal{S}$  is

$$\pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \cdots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n}) = \prod_{\alpha \in J} U_\alpha$$

where  $U_\alpha = U_{\alpha_j}$  if  $\alpha = \alpha_j$  and  $U_\alpha = X_\alpha$  otherwise. Hence,

$$x \in \pi_\beta^{-1}(U_\beta)$$

if and only if

$$\pi_\beta(x) \in U_\beta$$

if and only if the  $\beta$ -composition of  $x$  is in  $U_\beta$  (no restriction on the other components).

**Example 12.11.** Consider  $f : \mathbb{R} \rightarrow \mathbb{R}^\omega$  where

$$f(t) = (t, t, t, \dots).$$

By the previous theorem, this is continuous in the product topology on  $\mathbb{R}^\omega$ . However, this is not continuous with the box topology on  $\mathbb{R}^\omega$ .

*Proof.* Consider

$$U = (-1, 1) \times \left(-\frac{1}{2}, \frac{1}{2}\right) \times \cdots \times \left(-\frac{1}{n}, \frac{1}{n}\right) \times \cdots$$

We will show that  $f^{-1}(U)$  is not open in  $\mathbb{R}$ .

We claim that  $f^{-1}(U) = \{0\}$ . If  $t \in f^{-1}(U)$ , then  $f(t) \in U$ . Note that

$$(t, t, t, \dots) \in U$$

So

$$\begin{aligned} t &\in (-1, 1) \\ t &\in \left(-\frac{1}{2}, \frac{1}{2}\right) \\ &\vdots \\ t &\in \left(-\frac{1}{n}, \frac{1}{n}\right) \\ &\vdots \end{aligned}$$

So  $|t| < 1/n \forall n$ . This implies that  $t = 0$ . Conversely,  $f(0) \in U$ . □



## 13 Metric Topology

**Definition 13.1.** Let  $X$  be a set. A metric on  $X$  is a function  $d : X \times X \rightarrow \mathbb{R}$  satisfying

1.  $d(x, y) \geq 0 \forall x, y \in X$  and  $d(x, y) = 0 \iff x = y$
2.  $\forall x, y \in X, d(x, y) = d(y, x)$
3. (triangle inequality)  $\forall x, y, z \in X, d(x, z) \leq d(x, y) + d(y, z)$

**Definition 13.2.**  $(X, d)$  is a metric space.

We can think  $d(x, y)$  as the "distance" between  $x$  and  $y$ .

**Definition 13.3.** Let  $x \in X$  and  $\epsilon > 0$ . We can define

$$B_d(x, \epsilon) = \{y \in X \mid d(x, y) < \epsilon\},$$

the ball of radius epsilon centered at  $x$ , relative to the metric  $d$ .

**Example 13.1.** Define  $d : X \times X \rightarrow \mathbb{R}$  as follows:

$$d(x, y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$$

We want to verify three axioms.

1.  $1 \geq 0$  and  $d(x, y) = 0$  iff  $x = y$
2.  $x = y \iff y = x$  and  $x \neq y \iff y \neq x$
3. Note that  $d(x, z), d(x, y), d(y, z)$  are all either equal to 0 or 1. The triangle inequality can only fail if

$$d(x, z) = 1 \text{ and } d(x, y) + d(y, z) = 0$$

Note that

$$d(x, y) + d(y, z) = 0 \implies d(x, y) = 0, d(y, z) = 0 \implies x = y, y = z$$

This implies that  $x = z$  by transitivity and  $d(x, z) = 0$ . This yields contradiction because we assumed  $d(x, z) = 1$ . So the triangle inequality does not fail.

**Example 13.2.** Let  $X = \mathbb{R}$  and define  $d$  as above. Sketch the ball with radius 1 centered at 0. Sketch  $B_d(0, 2)$ .

Note that

$$B_d(0, 1) = \{y \in \mathbb{R} \mid d(0, y) < 1\} = \{0\}$$

and

$$B_d(0, 2) = \{y \in \mathbb{R} \mid d(0, y) < 2\} = \mathbb{R}.$$

**Example 13.3.** Let  $X = \mathbb{R}$  and define  $d : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  where

$$d(x, y) = |x - y|.$$

We want to check that  $d$  is a metric.

1.  $d(x, y) = |x - y| \geq 0$ . Furthermore,

$$d(x, y) = 0 \iff x - y = 0 \iff x = y.$$

- 2.

$$d(x, y) = |x - y| = |-y + x| = |-(y - x)| = |y - x| = d(y, x)$$

- 3.

$$d(x, z) = |x - z| = |x - y + y - z| \leq |x - y| + |y - z| = d(x, y) + d(y, z)$$

**Example 13.4.** Define  $d$  as in previous example. Then,

$$B_l(3, \epsilon) = \{y \in \mathbb{R} | d(3, y) < \epsilon\} = \{y \in \mathbb{R} | |3 - y| < \epsilon\}$$

Note that  $|3 - y| < \epsilon$  is equivalent to  $3 - y < \epsilon$  and  $-(3 - y) < \epsilon$ . Rearranging, we have

$$B_l(3, \epsilon) = \{y \in \mathbb{R} | -\epsilon + 3 < y < \epsilon + 3\} = (3 - \epsilon, 3 + \epsilon)$$

**Lemma 13.1.** Let  $(X, d)$  be a metric space. The collection

$$B = \{B_l(X, \epsilon) | x \in X, \epsilon \in (0, \infty)\}$$

forms a basis. The induced topology is called the metric topology if  $(X, \tau)$  is a topological space and  $\tau$  is induced from a metric as above ( $\exists$  a metric  $d$  so  $\tau$  is in the metric associated to  $d$  then we call  $(X, \tau)$  metrizable)

**Example 13.5.** Consider  $X$  with

$$d(x, y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$$

The metric topology is the discrete topology.  $B_d(x, 1) = \{x\}$  are contained in the basis.

**Example 13.6.** Consider  $X = \mathbb{R}$  with  $d(x, y) = |x - y|$ . Then, the metric topology is the standard topology and the basis is  $B_d(x, \epsilon) = (x - \epsilon, x + \epsilon)$ .

**Example 13.7.** Consider  $X = \mathbb{R}^n$  where  $n \geq 1$ . Then, for  $x, y \in X$ , we can write

$$\begin{aligned} x &= (x_1, x_2, \dots, x_n) \\ y &= (y_1, y_2, \dots, y_n) \end{aligned}$$

Let

$$d(x, y) = \sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2 + \dots + (x_n - y_n)^2}$$

**Lemma 13.2.** *This is a metric called the Euclidean metric. For  $n = 2$ ,  $B_d(x, \epsilon)$  is a circle centered at  $x$  with radius  $\epsilon$ .*

**Example 13.8.** Consider  $X = \mathbb{R}^n$  and define

$$\rho(x, y) = (|x_1 - y_1|, \dots, |x_n - y_n|)$$

When  $n = 2$   $B_\rho(X, \epsilon)$  forms a square whose sidelength is  $2\epsilon$ . For example,

$$B_\rho(0, \epsilon) = \{y \mid \max(|y_1|, |y_2|) < \epsilon\}$$

**Example 13.9.** Show every metric space is Hausdorff.

*Proof.* Let  $x_1, x_2 \in X$  with  $x_1 \neq x_2$ . Set  $\epsilon = \frac{1}{2}d(x_1, x_2)$ . Since  $x_1 \neq x_2$ , so  $\epsilon > 0$ . Hence,

$$x_1 \in B_d(x_1, \epsilon) \text{ and } x_2 \in B_d(x_2, \epsilon)$$

Also,

$$B_d(x_1, \epsilon) \cap B_d(x_2, \epsilon) = \emptyset$$

because if  $z \in B_d(x_1, \epsilon) \cap B_d(x_2, \epsilon)$ , then

$$\begin{aligned} d(x_1, x_2) &\leq d(x_1, z) + d(z, x_2) \\ &< \epsilon + \epsilon \\ &= d(x_1, x_2) \end{aligned}$$

which is a contradiction. □

## 14 Comparing metrics

Consider  $X = \mathbb{R}^n$  and  $x, y \in X$  such that

$$\begin{aligned} x &= (x_1, \dots, x_n) \\ y &= (y_1, \dots, y_n) \end{aligned}$$

Recall that Euclidean metric is defined as

$$d(x, y) = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

and the square metric is defined by

$$\begin{aligned} \rho(x, y) &= \max\{|x_1 - y_1|, \dots, |x_n - y_n|\} \\ &= \max_i \{|x_i - y_i|\} \end{aligned}$$

Consider  $B_d(0, \epsilon)$ . By definition,

$$\begin{aligned} B_d(0, \epsilon) &= \{y \mid d(0, y) < \epsilon\} \\ &= \{(y_1, \dots, y_n) \mid \sqrt{y_1^2 + \dots + y_n^2} < \epsilon\} \\ &= \{(y_1, \dots, y_n) \mid y_1^2 + \dots + y_n^2 < \epsilon^2\} \end{aligned}$$

is the interior of a radius- $\epsilon$  sphere. On the other hand,

$$\begin{aligned} B_\rho(0, \epsilon) &= \{(y_1, \dots, y_n) \mid \max_i \{|x_i - y_i|\}\} \\ &= (-\epsilon, \epsilon) \times (-\epsilon, \epsilon) \times \dots \times (-\epsilon, \epsilon) \end{aligned}$$

Hence, when  $n = 2$ ,  $B_d(0, \epsilon)$  is a circle of radius  $\epsilon$  and  $B_\rho(0, \epsilon)$  is a square whose side lengths are  $2\epsilon$ . We know how to compare two topologies generated by these metrics but how can we compare the metrics?

**Lemma 14.1.** *Suppose  $d$  and  $d'$  are metrics on  $X$  and  $\tau$  and  $\tau'$  are their respective topologies. The following are equivalent:*

1.  $\tau \subseteq \tau'$
2.  $\forall x \in X, \forall \epsilon > 0, \exists \delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ .

*Proof.* Assume  $\tau \subseteq \tau'$ . Let  $x \in X$  and  $\epsilon > 0$ . By Lemma 13.3, there exists a basis element  $B'$  for  $\tau'$  so

$$x \in B' \subseteq B_d(x, \epsilon).$$

We have  $B' = B_{d'}(y, r)$  for some  $y \in X$  and  $r > 0$ . Let  $\delta = r - d'(x, y)$ . Since  $x \in B_{d'}(y, r)$ , we have  $\delta > 0$ .

We claim that  $B_{d'}(x, \delta) \subseteq B_{d'}(y, r)$ . Let  $z \in B_{d'}(x, \delta)$ . Then,

$$\begin{aligned} d'(z, y) &\leq d'(x, y) + d'(x, z) \\ &< \delta + d'(x, y) \\ &= r \end{aligned}$$

This implies that  $z \in B_{d'}(y, r)$ . Hence,

$$B_{d'}(x, \delta) \subseteq B' \subseteq B_d(x, \epsilon)$$

So this proves the second statement.

Now, assume that the second statement is true. Let  $B_d(x, \epsilon)$  be a  $\tau$ -basis element. Let  $y \in B_d(x, \epsilon)$  then the assumed statement implies that we can find  $\delta > 0$  such that

$$y \in B_{d'}(y, \delta) \subseteq B_d(x, \epsilon)$$

Then,  $\tau \subseteq \tau'$  follows by Lemma 13.3. □

**Theorem 14.1.** *The topologies on  $\mathbb{R}^n$  coming from the Euclidean metric and the square metric are equivalent. These both equal the product topology.*

*Proof.* Let  $\tau_\rho$  be the topology coming from the square metric  $\rho$  and  $\tau_d$  that coming from the euclidean metric  $d$ . Note

$$\begin{aligned} \rho(x, y) &= \max_i \{|x_i - y_i|\} \\ &= |x_{i_*} - y_{i_*}| \text{ for some } i_* \in \{1, 2, \dots, n\} \\ &= \sqrt{(x_{i_*} - y_{i_*})^2} \\ &\leq \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2} \\ &= d(x, y) \end{aligned}$$

So

$$\begin{aligned} B_d(x, \epsilon) &= \{y | d(x, y) < \epsilon\} \\ &\subseteq \{y | \rho(x, y) < \epsilon\} \\ &= B_\rho(x, \epsilon). \end{aligned}$$

By the previous lemma,  $\tau_\rho \subseteq \tau_d$ .

For the reverse inequality,

$$\begin{aligned} d(x, y) &= \sqrt{(x_1 - y_1)^2 + \cdots + (x_n - y_n)^2} \\ &= \sqrt{(x_{i_*} - y_{i_*})^2 + \cdots + (x_{i_*} - y_{i_*})^2} \\ &= \sqrt{n(x_{i_*} - y_{i_*})^2} \\ &= \sqrt{n} \rho(x, y). \end{aligned}$$

This implies that  $B_\rho(x, \epsilon/\sqrt{n}) \subseteq B_d(x, \epsilon)$ . Therefore,  $\tau_d \subseteq \tau_\rho$ .

Finally, we want to prove that  $\rho$ -topology equals the product topology. Let  $(a_1, b_1) \times \cdots \times (a_n, b_n)$  be a product topology basis element and

$$(x_1, \dots, x_n) \in (a_1, b_1) \times \cdots \times (a_n, b_n).$$

Set

$$\epsilon = \min_{i \in \{1, \dots, n\}} \{|x_i - a_i|, |x_i - b_i|\}$$

Then,

$$\begin{aligned} (x_1, \dots, x_n) &\in (x_1 - \epsilon, x_1 + \epsilon) \times \cdots \times (x_n - \epsilon, x_n + \epsilon) \\ &\subseteq (a_1, b_1) \times \cdots \times (a_n, b_n), \end{aligned}$$

where

$$(x_1 - \epsilon, x_1 + \epsilon) \times \cdots \times (x_n - \epsilon, x_n + \epsilon) = B_\rho((x_1, \dots, x_n), \epsilon)$$

Conversely, let

$$B_\rho((x_1, \dots, x_n), \epsilon) = (x_1 - \epsilon, x_1 + \epsilon) \times \cdots \times (x_n - \epsilon, x_n + \epsilon)$$

and  $y \in B_\rho(x, \epsilon)$ . Then,

$$y \in B_\rho(x, \epsilon) \subseteq B_\rho(x, \epsilon)$$

□

**Example 14.1.** For  $1 \leq p < \infty$ , then

$$d_p((x_1, \dots, x_n), (y_1, \dots, y_n)) = ((x_1 - y_1)^p + \cdots + (x_n - y_n)^p)^{1/p}$$

on  $\mathbb{R}^n$ . This is a metric on  $\mathbb{R}^n$ .

We want to draw  $B_{d_p}(0, 1)$  for different values of  $p$ . When  $p = 1$ , we have a rhombus. When  $p = 2$ , we have a circle. When  $p > 2$ , we get a "fat" circle. Note that

$$B_{d_p}(0, 1) = \{(x, y) | x^p + y^p = 1\} \implies y = \pm(1 - x^p)^{1/p}$$

As  $p \rightarrow \infty$ , we get a square. Hence,

$$\lim_{p \rightarrow \infty} d_p(x, y) = \rho(x, y)$$

**Example 14.2.** Consider

$$X = C^0([0, 1]) = \{f : [0, 1] \rightarrow \mathbb{R} \mid f \text{ is continuous}\}.$$

For  $1 \leq p < \infty$ , define

$$d_p(f, g) = \left( \int_0^1 |f(x) - g(x)|^p dx \right)^{1/p}.$$

This is a metric on  $X$ . When  $p = 2$ ,  $d_2$  is very important in physics.

**Theorem 14.2.** Suppose  $(X, d_X)$  and  $(Y, d_Y)$  are metric spaces (with the metric topology). Let  $f : X \rightarrow Y$  be a function. Then,  $f$  is continuous if and only if

$$\forall x \in X \forall \epsilon > 0 \exists \delta > 0 \text{ such that } d_X(x, y) < \delta \implies d_Y(f(x), f(y)) < \epsilon$$

*Proof.* Assume  $f$  is continuous. Let  $x \in X$  and  $\epsilon > 0$ . Since  $f$  is continuous and  $B_{d_Y}(f(x), \epsilon)$  is open, it follows that  $f^{-1}(B_{d_Y}(f(x), \epsilon))$  is open in  $X$ . Note that

$$x \in f^{-1}(B_{d_Y}(f(x), \epsilon)) \implies \exists \delta > 0$$

such that

$$x \in B_{d_X}(x, \delta) \subseteq f^{-1}(B_{d_Y}(f(x), \epsilon)).$$

Assume  $y \in X$  satisfies  $d_X(x, y) < \delta$ . Then,  $y \in B_{d_X}(x, \delta)$ , so

$$y \in f^{-1}(B_{d_Y}(f(x), \epsilon)).$$

Hence,  $f(y) \in B_{d_Y}(f(x), \epsilon)$ . So

$$d_Y(f(x), f(y)) < \epsilon.$$

Conversely, assume the  $\delta - \epsilon$  condition. Let  $U \subseteq Y$  be open. If  $U = \emptyset$  then  $f^{-1}(\emptyset) = \emptyset$  is open. More generally, if  $f^{-1}(U) = \emptyset$ , then we're done. We may assume  $\exists x \in f^{-1}(U)$ . Note  $f(x) \in U$  since  $U$  is open. Then, there exists  $\epsilon > 0$  such that

$$f(x) \in B_{d_Y}(f(x), \epsilon) \subseteq U.$$

The  $\delta - \epsilon$  condition implies that there exists  $\delta > 0$  such that

$$d_X(x, y) < \delta \implies d_Y(f(x), f(y)) < \epsilon.$$

So  $B_{d_X}(x, \delta) \subseteq f^{-1}(U)$ . That is, for every  $x \in f^{-1}(U)$ , there exists  $\delta > 0$  so that

$$x \in B_{d_X}(x, \delta) \subseteq f^{-1}(U).$$

So  $f^{-1}(U)$  is open. □

**Example 14.3.** Show the function  $m : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  defined as

$$m(a, b) = a + b$$

is continuous.

*Proof.* Let  $d_{\mathbb{R} \times \mathbb{R}}$  be a square metric. Consider  $(a, b), (a_2, b_2) \in \mathbb{R} \times \mathbb{R}$ . Then,

$$d_{\mathbb{R} \times \mathbb{R}}((a, b), (a_2, b_2)) < \delta \implies |a - a_2| + |b - b_2| < \delta.$$

Note that

$$\begin{aligned} d_{\mathbb{R}}(m(a, b), m(a_2, b_2)) &= |m(a, b) - m(a_2, b_2)| \\ &= |a + b - a_2 - b_2| \\ &= |a - a_2 + b - b_2| \\ &\leq |a - a_2| + |b - b_2| < \delta < \epsilon \end{aligned}$$

□

Similarly, we can show that subtraction, multiplication, and divisions (as long as we don't divide by 0) are continuous functions  $\mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ .

**Corollary 14.1.** Suppose  $f, g : X \rightarrow \mathbb{R}$  are continuous where  $X$  is a topological space. Then,

$$\begin{aligned} f + g : X \times X &\rightarrow \mathbb{R} \\ (x, y) &\mapsto f(x) + g(y) \\ f - g : X \times X &\rightarrow \mathbb{R} \\ (x, y) &\mapsto f(x) - g(y) \\ f \cdot g : X \times X &\rightarrow \mathbb{R} \\ (x, y) &\mapsto f(x)g(y) \end{aligned}$$

are continuous with the product topology on  $X \times X$ .

*Proof.* Note that  $f + g$  is the composition of

$$\begin{aligned} X \times X &\rightarrow \mathbb{R} \times \mathbb{R} \\ (x, y) &\mapsto (f(x), g(y)) \\ \mathbb{R} \times \mathbb{R} &\rightarrow \mathbb{R} \\ (s, t) &\mapsto s + t \end{aligned}$$

These are continuous so the composition is continuous. □

**Definition 14.1.** Let  $X$  be a set and  $(Y, d)$  a metric space. Suppose

$$f_n : X \rightarrow Y$$

is a sequence of functions. Then,  $(f_n)_{n \in \mathbb{Z}_+}$  converge uniformly to  $f : X \rightarrow Y$  if

$$\forall \epsilon > 0 \exists N \in \mathbb{Z}_+ \forall n \geq N \forall x \in X d(f_n(x), f(x)) < \epsilon$$

**Theorem 14.3.** *Suppose  $X$  is a topological space and  $(Y, d)$  is a metric space. Suppose  $(f_n)$  is a sequence of functions converging uniformly to  $f : X \rightarrow Y$ . If each  $f_n$  is continuous then  $f$  is continuous.*

*Proof.* Let  $U \subseteq Y$  be open. We want to show that  $f^{-1}(U)$  is open. It is sufficient to show that

$$\forall x \in f^{-1}(U) \exists V \text{ open in } X \text{ such that } x \in V \subseteq f^{-1}(U)$$

□