

# Math 3T03 - Topology

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# 1 Introduction to topology

## 1.1 What is topology?

**Definition 1.1** (Topology). *Let  $X$  be a set. A topology on  $X$  is a collection  $\tau$  of subsets of  $X$  satisfying:*

- $\emptyset \in \tau$ .
- $X \in \tau$ .
- The union of any collections of elements in  $\tau$  is also in  $\tau$ .
- The intersection of any finite collection of elements in  $\tau$  is also in  $\tau$ .

**Definition 1.2.**  $(X, \tau)$  is a topological space.

**Definition 1.3.** The elements of  $\tau$  are called the open sets.

**Example 1.1.** Consider  $X = \{a, b, c\}$ . Is  $\tau_1 = \{\emptyset, X, \{a\}\}$  a topology?

*Proof.* Yes,  $\tau_1$  is a topology. Clearly,  $\emptyset \in \tau_1$  and  $X \in \tau_1$  so it suffices to verify the arbitrary unions and finite intersection axioms.

Let  $\{V_\alpha\}_{\alpha \in A}$  be a subcollection of  $\tau_1$ . Then, we want to show

$$\bigcup_{\alpha \in A} V_\alpha \in \tau_1.$$

If  $V_\alpha = \emptyset$  for any  $\alpha \in A$ , then this does not contribute to the union. Hence,  $\varphi$  can be omitted:

$$\bigcup_{\alpha \in A} V_\alpha = \bigcup_{\substack{\alpha \in A \\ V_\alpha \neq \emptyset}} V_\alpha.$$

If  $V_\alpha = X$  for some  $\alpha \in A$ , then

$$\bigcup_{\alpha \in A} V_\alpha = X \in \tau_1$$

and we are done. So we may assume  $V_\alpha \neq X$  for all  $\alpha \in A$ . Similarly, if  $V_\alpha = V_\beta$  for  $\alpha \neq \beta$ , then we can omit  $V_\beta$  from the union. Since  $\tau_1$  only contains  $\emptyset$ ,  $X$  and  $\{a\}$ , we must have

$$\bigcup_{\alpha \in A} V_\alpha = \{a\} \in \tau_1.$$

Similarly, if any element of  $\{V_\alpha\}_{\alpha \in A}$  is empty, then

$$\bigcap_{\alpha \in A} V_\alpha = \emptyset \in \tau_1.$$

We can therefore assume  $V_\alpha \neq \emptyset$  for all  $\alpha \in A$ . Again, repetition can be ignored and any  $V_\alpha$  that equals  $X$  can be ignored. Then,

$$\bigcap_{\alpha \in A} V_\alpha = \begin{cases} \{a\} \in \tau_1 \\ X \in \tau_1 \end{cases}$$

□

**Example 1.2.** Consider  $X = \{a, b, c\}$ . Is  $\tau_2 = \{\emptyset, X, \{a\}, \{b\}\}$  a topology?

*Proof.* No. Note that  $\{a\} \in \tau_2$  and  $\{b\} \in \tau_2$  but  $\{a\} \cup \{b\} = \{a, b\} \notin \tau_2$ .  $\square$

**Example 1.3.** Consider  $X = \{a, b, c\}$ . Then,  $\tau_3 = \{\emptyset, X, \{a\}, \{b\}, \{a, b\}\}$  is a topology.

## 1.2 Set theory

**Definition 1.4.** If  $X$  is a set and  $a$  is an element, we write  $a \in X$ .

**Definition 1.5.** If  $Y$  is a subset of  $X$ , we write  $Y \subset X$  or  $Y \subseteq X$ .

**Example 1.4.** Suppose  $X$  is a set. Then, *power set* of  $X$  is the set  $P(X)$  whose elements are all subsets of  $X$ . In other words,

$$Y \in P(X) \iff Y \subseteq X$$

Note that  $P(X)$  is closed under arbitrary unions and intersections. Hence,  $P(X)$  is a topology on  $X$ .

**Definition 1.6.**  $P(X)$  is the *discrete topology* on  $X$ .

**Definition 1.7.** The *indiscrete (trivial) topology* on  $X$  is  $\{\emptyset, X\}$ .

**Definition 1.8.** Suppose  $U, V$  are sets. Then, their *union* is

$$U \cup V = \{x | x \in U \text{ or } x \in V\}.$$

Note that if  $U_1, U_2, \dots, U_{10}$  are sets, their union can be written as follows:

- $U_1 \cup U_2 \cup \dots \cup U_{10}$
- $\bigcup_{k=1}^{10} U_k$
- $\bigcup_{k=\{1,2,\dots,10\}} U_k$

**Definition 1.9.** Let  $A$  be any set. Suppose  $\forall \alpha \in A$ , I have a set  $U_\alpha$ . The *union of the  $U_\alpha$  over  $\alpha \in A$*  is

$$\bigcup_{\alpha \in A} U_\alpha = \{x | \exists \alpha \in A, x \in U_\alpha\}.$$

Similarly, the *intersection* is

$$\bigcap_{\alpha \in A} U_\alpha = \{x | \forall \alpha \in A, x \in U_\alpha\}.$$

## 2 Functions

**Definition 2.1.** A function is a rule that assigns to element of a given set  $A$ , an element in another set  $B$ .

Often, we use a formula to describe a function.

**Example 2.1.**  $f(x) = \sin(5x)$

**Example 2.2.**  $g(z) = \sum_{n=0}^{\infty} \frac{z^n}{n!}$

**Definition 2.2.** A rule of assignment is a subset  $R$  of the Cartesian product  $C \times D$  of two sets with the property that each element of  $C$  appears as the first coordinate of at most one ordered pair belonging to  $R$ . In other words, a subset  $R$  of  $C \times D$  is a rule of assignment if it satisfies

$$\text{If } (c, d) \in R \text{ and } (c, d') \in R, d = d'.$$

**Definition 2.3.** Given a rule of assignment  $R$ , we define the domain of  $R$  to be the subset of  $C$  consisting of all first coordinates of elements of  $R$ :

$$\text{domain}(R) \equiv \{c \mid \exists d \in D \text{ s.t. } (c, d) \in R\}$$

**Definition 2.4.** The image set of  $R$  is defined to be the subset of  $D$  consisting of all second coordinates.

$$\text{image}(R) \equiv \{d \mid \exists c \in C \text{ s.t. } (c, d) \in R\}$$

**Definition 2.5.** A function is a rule of assignment  $R$ , together with a set that contains the image set of  $R$ . The domain of the rule of assignment is also called the domain of  $f$ , and the image of  $f$  is defined to be the image set of the rule of assignment.

**Definition 2.6.** The set  $B$  is often called the range of  $f$ . This is also referred to as the codomain.

If  $A = \text{domain}(f)$ , then we write

$$f : A \rightarrow B$$

to indicate that  $f$  is a function with domain  $A$  and codomain  $B$ .

**Definition 2.7.** Given  $a \in A$ , we write  $f(a) \in B$  for the unique element in  $B$  associated to  $a$  by the rule of assignment.

**Definition 2.8.** If  $S \subseteq A$  is a subset of  $A$ , let

$$f(S) \equiv \{f(a) \mid a \in S\} \subseteq B.$$

**Definition 2.9.** Given  $A_0 \subseteq A$ , we can restrict the domain of  $f$  to  $A_0$ . The restriction is denoted

$$f|_{A_0} \equiv \{(a, f(a)) \mid a \in A_0\} \subseteq A \times B.$$

**Definition 2.10.** If  $f : A \rightarrow B$  and  $g : B \rightarrow C$  then  $g \circ f : A \rightarrow C$  is defined to be

$$\{(a, c) \mid \exists b \in B \text{ s.t. } f(a) = b \text{ and } g(b) = c\} \subseteq A \times C.$$

**Definition 2.11.** A function  $f : A \rightarrow B$  is called *injective* (or *one-to-one*) if  $f(a) = f(a')$  implies  $a = a'$  for all  $a, a' \in A$ .

**Definition 2.12.** A function  $f : A \rightarrow B$  is called *surjective* (or *onto*) if the image of  $f$  equals  $B$ , i.e.  $f(A) = B$ , i.e. if, for every  $b \in B$ , there exists  $a \in A$  with  $f(a) = b$ .

**Definition 2.13.**  $f : A \rightarrow B$  is a *bijection* if it is one-to-one and onto.

**Definition 2.14.** If  $B_0 \subseteq B$  is a subset and  $f : A \rightarrow B$  is a function, then the *preimage* of  $B_0$  under  $f$  is the subset of  $A$  given by

$$f^{-1}(B_0) = \{a \in A \mid f(a) \in B_0\}$$

**Example 2.3.** If  $B_0$  is disjoint from  $f(A)$  then  $f^{-1}(B_0) = \emptyset$ .

### 3 Relation

**Definition 3.1.** A relation on a set  $A$  is a subset  $R$  of  $A \times A$ .

Given a relation  $R$  on  $A$ , we will write  $xRy$  "x is related to y" to mean  $(x, y) \in R$ .

**Definition 3.2.** An equivalence relation on a set is a relation with the following properties:

- Reflexivity.  $xRx$  holds  $\forall x \in A$ .
- Symmetric. if  $xRy$  then  $yRx$  holds  $\forall x, y \in A$ .
- Transitive. if  $xRy$  and  $yRz$  then  $xRz$  holds  $\forall x, y, z \in A$ .

**Definition 3.3.** Given  $a \in A$ , let  $E(a) = \{x \mid x \sim a\}$  denote the equivalence class of  $a$ .

*Remark.*  $E(a) \subseteq A$  and it is nonempty because  $a \in E(a)$ .

**Proposition 3.1.** If  $E(a) \cap E(b) \neq \emptyset$  then  $E(a) = E(b)$ .

*Proof.* Assume the hypothesis, i.e., suppose  $x \in E(a) \cap E(b)$ . So  $x \sim a$  and  $x \sim b$ . By symmetry,  $a \sim x$  and by transitivity  $a \sim b$ .

Now suppose that  $y \in E(a)$ . Then  $y \sim a$  but we just saw that  $a \sim b$  so  $y \sim b$  and  $y \in E(b)$ . Hence,  $E(a) \subseteq E(b)$ . Likewise, we can show that  $E(b) \subseteq E(a)$ .  $\square$

**Definition 3.4.** A partition of a set is a collection of pairwise disjoint subsets of  $A$  whose union is all of  $A$ .

**Example 3.1.** Consider  $A = \{1, 2, 3, 4, 5\}$ . Then,  $\{1, 2\}$  and  $\{3, 4, 5\}$  is a partition of  $A$ .

**Proposition 3.2.** An equivalence relation in a set determines a partition of  $A$ , namely the one with equivalence classes as subsets. Conversely, a partition<sup>1</sup>  $\{Q_\alpha \mid \alpha \in J\}$  of a set  $A$  determines an equivalence relation on  $A$  by:  $x \sim y$  if and only if  $\exists \alpha \in J$  s.t.  $x, y \in Q_\alpha$ . The equivalence classes of this equivalence relation are precisely the subsets  $Q_\alpha$ .

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<sup>1</sup> Note that  $J$  is an index set:  $Q_\alpha \subseteq A$  for each  $\alpha \in J$  and  $Q_\alpha \cap Q_\beta = \emptyset$  if  $\alpha \neq \beta$ . Furthermore,  $\cup_{\alpha \in J} Q_\alpha = A$ .

## 4 Finite and infinite sets

**Definition 4.1.** A nonempty set  $A$  is finite if there is a bijection from  $A$  to  $\{1, 2, \dots, n\}$  for some  $n \in \mathbb{Z}^+$ .

*Remark.* Consider  $n, m \in \mathbb{Z}^+$  with  $n \neq m$ . Then, there is no bijection from  $\{1, 2, \dots, n\}$  to  $\{1, 2, \dots, m\}$ .

**Definition 4.2.** Cardinality of a finite set  $A$  is defined as follows:

1.  $\text{card}(A) = 0$  if  $A = \emptyset$ .
2.  $\text{card}(A) = n$  if there is a bijection from  $A$  to  $\{1, 2, \dots, n\}$ .

Note that  $\mathbb{Z}^+$  is not finite. How would you prove this? A sneaky approach is that there is a bijection from  $\mathbb{Z}^+$  to a proper subset of  $\mathbb{Z}^+$ .

Consider the shift map:

$$S : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$$

where  $S(k) = k + 1$ . So  $S$  is a bijection from  $\mathbb{Z}^+$  to  $\{2, 3, \dots\} \subset \mathbb{Z}^+$ , a proper subset of  $\mathbb{Z}^+$ . On the other hand, any proper subset  $B$  of a finite set  $A$  with  $\text{card}(A) = n$  has  $\text{card}(B) < n$ . In particular, there is no bijection from  $A$  to  $B$ . Therefore,  $\mathbb{Z}^+$  is not finite.

**Theorem 4.1.** A non-empty set is finite if and only if one of the following holds:

1.  $\exists$  bijection  $f : A \rightarrow \{1, 2, \dots, n\}$  for some  $n \in \mathbb{Z}^+$ .
2.  $\exists$  injection  $f : A \rightarrow \{1, 2, \dots, N\}$  for some  $N \in \mathbb{Z}^+$
3.  $\exists$  surjection  $g : \{1, \dots, N\} \rightarrow A$  for some  $N \in \mathbb{Z}^+$

*Remark.* Finite unions of finite sets are finite. Finite products of finite sets are also finite.

Recall that if  $X$  is a set, then

$$X^w = \pi_{n \in \mathbb{Z}^+} X = \{(x_n) \mid x_n \in X \forall n \in \mathbb{Z}^+\}$$

the set of infinite sequences in  $X$ .

If  $X = \{a\}$  is a singleton, then  $X^w$  is also a singleton. Otherwise, if  $\text{card}(X) > 1$ , then  $X^w$  is an infinite set.

**Example 4.1.** Consider  $X = \{0, 1\}$ . Then,  $X^w$  is a set of binary sequences.

**Definition 4.3.** If there exists a bijection from a set  $X$  to  $\mathbb{Z}^+$ , then  $X$  is said to be countably infinite.

**Definition 4.4.** Let  $\mathcal{A}$  be a nonempty collection of sets. An indexing family is a set  $J$  together with a surjection  $f : J \rightarrow \mathcal{A}$ . We use  $A_\alpha$  to denote  $f(\alpha) \subseteq \mathcal{A}$ . So

$$\mathcal{A} = \{A_\alpha \mid \alpha \in J\}$$

Most of the time, we will be able to use  $\mathbb{Z}^+$  for the index set  $J$ .

**Definition 4.5** (Cartesian product). Let  $\mathcal{A} = \{A_i \mid i \in \mathbb{Z}^+\}$ . Finite cartesian product is defined as

$$A_1 \times \cdots \times A_n = \{(x_1, \dots, x_n) \mid x_i \in A_i\}$$

It is a vector space of  $n$  tuples of real numbers.

**Definition 4.6** (Infinite Cartesian product). Infinite Cartesian product  $\mathbb{R}^\omega$  is an  $\omega$ -tuple  $x : \mathbb{Z}^+ \rightarrow \mathbb{R}$ , i.e., a sequence

$$\begin{aligned} x &= (x_i)_{i=1}^\infty = (x_1, x_2, \dots, x_n, \dots) \\ &= (x_i)_{i \in \mathbb{Z}^+} \end{aligned}$$

More generally, if  $\mathcal{A} = \{A_i \mid i \in \mathbb{Z}^+\}$ . Then,

$$\prod_{i \in \mathbb{Z}^+} A_i = \{(a_i)_{i \in \mathbb{Z}^+} \mid a_i \in A_i\}.$$

**Definition 4.7.** A set  $A$  is countable if  $A$  is finite or it is countably infinite.

**Theorem 4.2** (Criterion for countability). Suppose  $A$  is a non-empty set. Then, the following are equivalent.

1.  $A$  is countable
2. There is an injection  $f : A \rightarrow \mathbb{Z}^+$
3. There is a surjection  $g : \mathbb{Z}^+ \rightarrow A$

**Theorem 4.3.** If  $C \subseteq \mathbb{Z}^+$  is a subset, then  $C$  is countable.

*Proof.* Either  $C$  is finite or infinite. If  $C$  is finite, it follows  $C$  is countable.

Assume that  $C$  is infinite. We will define a bijection  $h : \mathbb{Z}^+ \rightarrow C$  by induction. Let  $h(1)$  be the smallest element in  $C$ .<sup>2</sup> Suppose

$$h(1), \dots, h(n-1) \in C$$

and are defined. Let  $h(n)$  be the smallest element in  $C \setminus \{h(1), \dots, h(n-1)\}$ . (Note that this set is nonempty.)

We want to show that  $h$  is injective. Let  $n, m \in \mathbb{Z}$  where  $n \neq m$ . Suppose  $n < m$ . So  $h(n) \in \{h(1), \dots, h(n)\}$  and

$$h(n) \in C \setminus \{h(1), h(2), \dots, h(m-1)\}.$$

So  $h(n) \neq h(m)$ .

We want to show that  $h$  is surjective. Suppose  $c \in C$ . We will show that  $c = h(n)$  for some  $n \in \mathbb{Z}^+$ . First, notice that  $h(\mathbb{Z}^+)$  is not contained in  $\{1, 2, \dots, c\}$

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<sup>2</sup>  $C \neq \emptyset$  and every nonempty subset of  $\mathbb{Z}^+$  has a smallest element



because  $h(\mathbb{Z}^+)$  is an infinite set. Therefore, there must exist  $n \in \mathbb{Z}^+$  such that  $h(n) > c$ . So let  $m$  be the smallest element with  $h(m) \geq c$ . Then for all  $i < m$ ,  $h(i) < c$ . Then,

$$c \notin \{h(1), \dots, h(m-1)\}.$$

By definition,  $h(m)$  is the smallest element in

$$C \setminus \{h(1), \dots, h(m-1)\}.$$

Then,  $h(m) \leq c$ . Therefore,  $h(m) = c$ .  $\square$

**Corollary 4.1.** *Any subset of a countable set is countable.*

*Proof.* Suppose  $A \subset B$  with  $B$  countable. Then, there exists an injection  $f : B \rightarrow \mathbb{Z}^+$ . The restriction

$$f|_A : A \rightarrow \mathbb{Z}^+$$

is also injective. Therefore,  $A$  is countable by the criterion.  $\square$

**Theorem 4.4.** *Any countable union of countable sets is countable. In other words, if  $J$  is countable and  $A_\alpha$  is countable for all  $\alpha \in J$ , then  $\cup_{\alpha \in J} A_\alpha$  is countable.*

**Theorem 4.5.** *A finite product of countable sets is countable.*

**Example 4.2.**  $\mathbb{Z}^+ \times \mathbb{Z}^+$  is countable.

Note that a countable product of countable sets is not countable.

**Example 4.3.** Consider  $X = \{0, 1\}$ . Then,  $X^\omega$  binary sequence is not countable.

*Proof.* Suppose  $g : \mathbb{Z}^+ \rightarrow X^\omega$  is a function. We will show  $g$  is not surjective by constructing an element  $y \neq g(n)$  for any  $n \in \mathbb{Z}^+$ .

Write  $g(n) = (x_{n1}, x_{n2}, \dots) \in X^\omega$  for each  $x_{ni} \in \{0, 1\}$ . Define

$$y = (y_1, y_2, \dots)$$

where  $y_i = 1 - x_{ii}$ . Clearly,  $y \in X^\omega$  but  $y \neq g(n)$  for any  $n$  since  $y_n = 1 - x_{nn} \neq x_{nn}$ , the  $n$ -th entry in  $g(n)$ .  $\square$

**Example 4.4.** Let  $X$  be a set and  $\{V_\alpha\}_{\alpha \in I}$  a collection of subsets of  $X$ . Show

$$\bigcup_{\alpha \in I} (X - V_\alpha) = X - \bigcap_{\alpha \in I} V_\alpha$$

*Proof.*

$$\begin{aligned} x \in \bigcup_{\alpha \in I} X - V_\alpha &\iff \exists \alpha \in I, x \in X - V_\alpha \\ &\iff \exists \alpha \in I, x \in X, x \notin V_\alpha \\ &\iff x \in X, \exists \alpha \in I, x \notin V_\alpha \\ &\iff x \in X, x \notin \bigcup_{\alpha \in I} V_\alpha \\ &\iff x \in X - \bigcap_{\alpha \in I} V_\alpha \end{aligned}$$

□

## 5 Topology

**Definition 5.1** (Topology). *Let  $X$  be a set. A topology on  $X$  is a collection  $\tau$  of subsets of  $X$  satisfying:*

- $\emptyset \in \tau$ .
- $X \in \tau$ .
- If  $\{V_\alpha\}_{\alpha \in I} \subseteq \tau$ , then  $\bigcup_{\alpha \in I} V_\alpha \in \tau$ .
- If  $\{V_1, \dots, V_n\} \subseteq \tau$ , then  $\bigcap_{k=1}^n V_k \in \tau$ .

*Remark.* If  $\tau$  is a topology, then  $\{\emptyset, X\} \subseteq \tau$  and  $\tau \subseteq \mathcal{P}(X)$ , where  $\mathcal{P}$  is a power set.

**Definition 5.2.** *Suppose  $\tau, \tau'$  are topologies on  $X$ . We say  $\tau$  is coarser than  $\tau'$  if  $\tau \subseteq \tau'$ .  $\tau'$  is finer than  $\tau$  if  $\tau \subseteq \tau'$ .*

**Example 5.1.** If  $X$  is a set and  $\tau$  is a topology. Then,  $\tau$  is coarser than the discrete topology,  $\mathcal{P}(X)$ , and finer than the trivial topology,  $\{\emptyset, X\}$ .

Note that if  $\tau \subseteq \tau'$  and  $\tau' \subseteq \tau$ , then  $\tau = \tau'$ .

**Example 5.2.** Define  $\tau_f$  to consist of the subsets  $U$  of  $X$  with the property that  $X - U$  is finite or all of  $X$ .

**Lemma 5.1.**  *$\tau_f$  is a topology on  $X$ . This is defined as the finite complement topology.*

*Proof.* To show  $\emptyset \in \tau_f$ , notice that  $X - \emptyset = X$ . So  $\emptyset \in \tau_f$ . To show that  $X \in \tau_f$ , notice that  $X - X = \emptyset$ , which is finite. So  $X \in \tau_f$ .

To show that it is closed under arbitrary unions, suppose

$$\{V_\alpha\}_{\alpha \in I} \subseteq \tau_f.$$

It suffices to show that  $X - \bigcup_{\alpha \in I} V_\alpha$  is finite. For this, note

$$X - \bigcup_{\alpha \in I} V_\alpha = \bigcap_{\alpha \in I} (X - V_\alpha).$$

$X - V_\alpha$  is finite so

$$\bigcap_{\alpha \in I} (X - V_\alpha) \subseteq X - V_{\alpha'}$$

for any  $\alpha' \in I$  which implies  $\bigcap_{\alpha \in I} X - V_\alpha$  is finite.

For closure under finite intersections, let

$$\{V_1, \dots, V_n\} \subseteq \tau_f.$$

Then,

$$X - \bigcap_{k=1}^n V_k = \bigcup_{k=1}^n (X - V_k)$$

is a finite union of finite sets and so it is finite.  $\square$

**Example 5.3.** Consider  $X = \mathbb{R}$ . Then,  $\mathbb{R} - \{0\}$  is open in the finite complement topology.  $\mathbb{R} - \{0, 3, 100\}$  is open in  $\tau_f$ . Note that  $(4, \infty)$  is not open in  $\tau_f$  because its complement is not finite.

*Remark.* Assume  $\tau$  is a topology on  $X$ .

- $(X, \tau)$  is a topological space.
- $X$  is a topological space.
- $U \in \tau$  iff  $U$  is open in  $\tau$  iff  $U$  is an open set.

## 6 Basis

**Definition 6.1.** Let  $X$  be a set. A basis for topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  satisfying:

- $\forall x \in X, \exists B \in \mathcal{B}, x \in B$
- $\forall B_1, B_2 \in \mathcal{B}$ , if  $x \in B_1 \cap B_2$  then  $\exists B_3 \in \mathcal{B}$  such that  $x \in B_3$  and  $B_3 \subseteq B_1 \cap B_2$ .

**Example 6.1.** Consider  $X = \mathbb{R}^2$ . Let  $\mathcal{B}_1$  be a collection of interiors of circles in  $\mathbb{R}^2$ . Then,  $\mathcal{B}_1$  is a basis.

**Example 6.2.** Consider  $X = \mathbb{R}^2$ . Let  $\mathcal{B}_2$  be collection of interiors of axis-parallel rectangles. Then,  $\mathcal{B}_2$  is a basis. Note that nontrivial intersection is always a rectangle.

**Definition 6.2.** The topology generated by a basis  $\mathcal{B}$  is the collection  $\tau$  satisfying:

$$U \in \tau \iff \forall x \in U, \exists B \in \mathcal{B}, x \in B \subseteq U.$$

**Example 6.3.** Consider  $X = \mathbb{R}$  with standard topology,  $\tau_{st}$ . Consider  $U = \mathbb{R} - \{0\}$ . Then,  $U \in \tau_{st}$ . Let  $x \in U$ :

1. (Case 1)  $x > 0$ . Let  $B = (0, x + 1)$ . Then,  $x \in B \subseteq \mathbb{R} - \{0\}$ .
2. (Case 2)  $x < 0$ . Let  $B = (x - 1, 0)$ . Then,  $x \in B \subseteq \mathbb{R} - \{0\}$ .

**Example 6.4.** Let  $\tau_f$  be finite complement topology on  $\mathbb{R}$ . If  $U \in \tau_f$ , then  $U \in \tau_{st}$ . So  $\tau_f \subseteq \tau_{st}$ .

*Remark.*  $(3, \infty)$  is open in  $\tau_{st}$  but not in  $\tau_f$ .

**Lemma 6.1.** If  $\mathcal{B}$  is a basis and  $\tau$  is the topology generated by  $\mathcal{B}$ , then  $\tau$  is a topology.

*Proof.*  $\emptyset \in \tau$  is vacuously true. If  $x \in \emptyset$  then  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq \emptyset$ .

Now, we want to prove that  $X \in \tau$ . Let  $x \in X$ . By the axioms for basis,  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq X$ . Hence,  $X \in \tau$ .

Let  $\{U_\alpha\}_{\alpha \in A}$  be a collection of  $\tau$ . Let

$$x \in \bigcup_{\alpha \in A} U_\alpha.$$

Then,  $\exists B \in \mathcal{B}$  with  $x \in B$ . Since  $B \in \tau$ ,  $\exists B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq U_\alpha$ . Then,  $x \in B$  and  $B \subseteq U_\alpha$ . So  $\tau$  is closed under arbitrary unions.

Let  $\{V_1, \dots, V_n\} \subseteq \tau$ . We want to use proof by induction to show that  $\tau$  is closed under finite intersections. When  $n = 1$ ,

$$\bigcap_{k=1}^n V_k = V_1 \in \tau.$$

Assume the claim is true for  $n$ . Then,

$$\bigcap_{k=1}^{n+1} V_k = \underbrace{V_{n+1}}_W \cap \underbrace{\bigcap_{k=1}^n V_k}_{W'}$$

Note  $W \in \tau$  and  $W' \in \tau$  by the induction hypothesis. If  $W \cap W' = \emptyset$  then we are done ( $\emptyset \in \tau$ ). Let  $x \in W \cap W'$ . Then,  $x \in W$  and  $x \in W'$ , implying that  $\exists B_1, B_2 \in \mathcal{B}$  such that  $x \in B_1 \subseteq W$  and  $x \in B_2 \subseteq W'$ . Hence,  $\exists B_3 \in \mathcal{B}$  with  $x \in B_3 \subseteq B_1 \cap B_2 \subseteq W \cap W'$ .  $\square$

**Example 6.5.** Consider  $X = \mathbb{R}$ . Define

$$(a, b) = \{x \in \mathbb{R} | a < x < b\}$$

for  $a, b \in \mathbb{R}$ . Show

$$\mathcal{B}_{st} = \{(a, b) | a, b \in \mathbb{R}\}$$

is a basis.

Let  $x \in \mathbb{R}$ . Then,

$$x \in (x-1, x+1) \in \mathcal{B}_{st}.$$

For the second axiom, let  $(a, b), (c, d) \in \mathcal{B}_{st}$  and assume  $x \in (a, b) \cap (c, d)$ . Then,  $x \in (c, b) \subseteq (a, b) \cap (c, d)$ .

**Definition 6.3.** The standard topology on  $\mathbb{R}$  is the topology  $\tau_{st}$  generated by  $\mathcal{B}_{st}$ .

**Lemma 6.2.** Suppose  $\mathcal{B}$  and  $\mathcal{B}'$  are bases on  $X$  and  $\tau$  and  $\tau'$  are the topologies they generate. Then, the following are equivalent:

1.  $\tau'$  is finer than  $\tau$  ( $\tau \subseteq \tau'$ )
2.  $\forall x \in X$  and  $\forall B \in \mathcal{B}$  with  $x \in B$ ,  $\exists B' \in \mathcal{B}'$  with  $x \in B' \subseteq B$ .

*Proof.* First, we want to show that 1 implies 2. Assume  $\tau \subseteq \tau'$ . Let  $x \in X$  and  $B \in \mathcal{B}$  such that  $x \in B$ . Since  $\mathcal{B}$  generates  $\tau$ ,  $B \in \tau$ . Then,  $B \in \tau'$ . Since  $\tau'$  is generated by  $\mathcal{B}'$ ,

$$\exists B' \in \mathcal{B}' \text{ with } x \in B' \subseteq B$$

Other direction is left to readers as an exercise.  $\square$

Let  $X = \mathbb{R}^2$ . Consider  $\mathcal{B}_1$ , a collection of interior of circles, and  $\mathcal{B}_2$ , a collection of interior of axis-parallel rectangles. Let  $\tau_j$  be topologies generated by  $\mathcal{B}_j$  where  $j = 1, 2$ .

**Lemma 6.3.**  $\tau_1 = \tau_2$

*Proof.* ( $\tau_1 \subseteq \tau_2$ ). We use the theorem from earlier. Let  $x \in \mathbb{R}^2$  and  $x \in B_1 \subseteq \mathcal{B}_1$ . We have to show that  $\exists B_2 \in \mathcal{B}_2$  with  $x \in B_2 \subseteq B_1$ . Since  $x \in B_1$ ,  $x$  sits inside a circle. But we can always construct a rectangle  $B_2$  smaller than  $B_1$  but contains  $x$ .

( $\tau_2 \subseteq \tau_1$ ). Let  $x \in \mathbb{R}^2$  and  $B_2 \in \mathcal{B}_2$  with  $x \in B_2$ . We can always take  $B_1 \in \mathcal{B}_1$  to be a circle inside rectangle  $B_2$  and contains  $x$ . So  $x \in B_1 \subseteq B_2$ .  $\square$

**Lemma 6.4.** Suppose  $(X, \tau_x)$  and  $(Y, \tau_y)$  are topological spaces. Consider

$$X \times Y = \{(a, b) | a \in X, b \in Y\}$$

Then,

$$\mathcal{B} = \{U \times V | U \in \tau_x, V \in \tau_y\}$$

is a basis on  $X \times Y$ .

*Proof.* Let  $(a, b) \in X \times Y$ . Then,  $X \times Y \in \mathcal{B}$  and  $(a, b) \in X \times Y$ . Let  $U_1 \times V_1, U_2 \times V_2 \in \mathcal{B}$  and assume

$$(a, b) \in U_1 \times V_1 \cap U_2 \times V_2.$$

Note

$$(U_1 \times V_1) \cap (U_2 \times V_2) = (U_1 \cap U_2) \times (V_1 \cap V_2) \in \mathcal{B}.$$

So

$$(a, b) \in (U_1 \cap U_2) \times (V_1 \cap V_2) \subseteq (U_1 \times V_1) \cap (U_2 \times V_2)$$

$\square$

**Definition 6.4.** The product topology is the topology generated by this basis.

**Theorem 6.1.** Suppose  $\mathcal{B}_x$  and  $\mathcal{B}_y$  are bases for  $\tau_x$  and  $\tau_y$ , respectively. Then,

$$\{B_1 \times B_2 | B_1 \in \mathcal{B}_x, B_2 \in \mathcal{B}_y\}$$

is a basis for product topology on  $X \times Y$ .

**Example 6.6.**  $(X, \tau_x) = (\mathbb{R}, \tau_{st}) = (Y, \tau_y)$ .

**Definition 6.5.** The standard topology on  $\mathbb{R}^2$  is the product topology of the  $(\mathbb{R}, \tau_{st})$ .

*Remark.* The theorem tells us that  $\mathbb{R}^2$  has a basis

$$\{(a, b) \times (c, d) | a, b, c, d \in \mathbb{R}\}$$

## 6.1 Connecting basis to topology

Suppose  $\mathcal{B}$  is a basis for a topology  $\tau$  on  $X$ .

- First, if  $B \in \mathcal{B}$ , then  $B \in \tau$ . Recall that

$$U \in \tau \iff \forall x \in U, \exists B' \in \mathcal{B}, x \in B' \subseteq U.$$

So let  $x \in B$ . Then,  $B' = B$  satisfies the above condition ( $x \in B \subseteq B$ ). Hence,  $B \in \tau$ .

- Let  $U \in \tau$ . Then,  $U$  is a union of elements in  $\mathcal{B}$ . To prove this, let  $U \in \tau$ . By definition,

$$\forall x \in U, \exists B_x \in \mathcal{B}$$

such that  $x \in B_x \subseteq U$ . Then,

$$\{B_x\}_{x \in U} \subseteq \tau.$$

Then,

$$\bigcup_{x \in U} B_x = U.$$

## 7 Subspace topology

**Definition 7.1.** Let  $(X, \tau)$  be a topological space and  $Y \subseteq X$  be any subset. The subspace topology on  $Y$  is

$$\tau_Y = \{U \cap Y \mid U \in \tau\}$$

**Lemma 7.1.**  $\tau_Y$  is a topology.

*Proof.*

- $\emptyset \cap Y = \emptyset \in \tau_Y$ .
- $X \cap Y = Y \in \tau_Y$ .
- (Unions) Let  $\{V_\alpha\}_{\alpha \in A} \subseteq \tau_Y$ , then  $V_\alpha = U_\alpha \cap Y$  for some  $U_\alpha \in \tau$ . Then,

$$\bigcup_{\alpha \in A} V_\alpha = \bigcup_{\alpha \in A} (U_\alpha \cap Y) = \left( \bigcup_{\alpha \in A} U_\alpha \right) \cap Y \in \tau_Y$$

□

**Theorem 7.1.** Suppose  $\mathcal{B}$  is a basis for  $X$  and  $Y \subseteq X$ . Then,

$$\{B \cap Y \mid B \in \mathcal{B}\}$$

is a basis for the subspace topology on  $Y$ .

**Example 7.1.** Let  $X = \mathbb{R}$  with  $\mathcal{B} = \{(a, b) \mid a, b \in \mathbb{R}\}$ . Consider

$$Y = [1, \infty).$$

Then, the basis for this topology is given by

$$\mathcal{B}_Y = \{[1, a) \mid a \in \mathbb{R}, a > 1\} \cup \{(a, b) \mid a, b \in \mathbb{R}, 1 \leq a < b\}$$

**Example 7.2.**  $(3, 7] \notin \tau_Y$  because there does not exist open  $U \in \tau$  ( $U \in \mathcal{B}$ ) such that

$$(3, 7] = U \cap [1, \infty).$$

*Proof.* To yield contradiction, suppose there exists such  $U$ . Then,

$$7 \in (a, b) \cap [1, \infty).$$

So  $7 \in (a, b)$  and  $7 \in [1, \infty)$ . In other words,  $a < 7 < b$ .

Let  $\epsilon = (b - 7)/2$ . Then,  $a < 7 + \epsilon < b$  so  $7 + \epsilon \in (a, b)$  and  $7 + \epsilon \in [1, \infty)$ . Then,

$$7 + \epsilon \in (a, b) \cap [1, \infty) = (3, 7] \implies 3 < 7 + \epsilon \leq 7,$$

yielding contradiction. □



**Example 7.3.** Let  $X = \mathbb{R}$  and  $Y = [0, 1]$ . A basis for the subspace on  $Y$  is

$$\{(a, b) | 0 \leq a < b \leq 1\} \cup \{[a, b) | 0 \leq b \leq 1\} \cup \{(a, 1] | 0 \leq a \leq 1\}$$

**Example 7.4.** Consider a rectangle

$$R = [0, 1] \times [2, 6].$$

There are two ways of thinking of topology on this rectangle. Since  $R \subseteq \mathbb{R}^2$ , we can think of it as a subspace topology. We can also think of it as a product topology. So are they same topologies in this case?

**Theorem 7.2.** *Suppose  $X$  and  $Y$  are topological spaces and  $A \subseteq X$  and  $B \subseteq Y$  are subspaces. Then, the product topology on  $A \times B$  equals the topology  $A \times B$  inherits as a subset of  $X \times Y$ .*

*Proof.* In the subspace topology, basis element is given by

$$(A \times B) \cap (U \times V)$$

where  $U \subseteq X$  and  $V \subseteq Y$ . Likewise, basis element of product topology is given by

$$(A \cap U) \times (B \cap V).$$

But then

$$(A \cap U) \times (B \cap V) = (A \times B) \cap (U \times V).$$

□

## 8 Order topology

Let  $X$  be a set.

**Definition 8.1.** An order relation (or simple order or linear order) is relation  $R$  on  $X$  so

1. (comparability) If  $x, y \in X$  and  $x \neq y$ . Then either  $xRy$  or  $yRx$ .
2. (Nonreflexivity)  $\nexists x \in X$  such that  $xRx$ .
3. (Transitivity) If  $xRy$  and  $yRz$  then  $xRz$ .

**Example 8.1.** Define  $X \subseteq \mathbb{R}$  and  $R = <$ . Then,  $R$  is an order relation

*Proof.*

1. If  $x \neq y$ , either  $x < y$  or  $y < x$ .
2.  $\nexists x \in X$  such that  $x < x$ .
3.  $x < y, y < z \implies x < z$ .

□

If  $X$  has an order relation, we often denote it  $<$ . Suppose  $(X, <)$  is a set with an order relation for  $a, b \in X$ .

**Definition 8.2.**  $(a, b) = \{x \in X \mid a < x, x < b\}$ .

**Definition 8.3.**  $(a, b] = \{x \in X \mid a < x, x < b, \text{ or } x = b\}$ .

**Definition 8.4.**  $[a, b) = \{x \in X \mid a < x, x < b, \text{ or } x = a\}$ .

**Definition 8.5.**  $a_0 \in X$  is a minimal element if  $\forall x \in X, a_0 \leq x$ .

**Definition 8.6.**  $b_0 \in X$  is a maximal element if  $\forall x \in X, x \leq b_0$ .

**Definition 8.7.** Suppose  $(X, <_x)$  and  $(Y, <_y)$  are simply ordered sets. The dictionary order on  $X \times Y$  is the order  $<_{x \times y}$  defined as follows:

$$(a_1, b_1) <_{X \times Y} (a_2, b_2)$$

if  $a_1 <_x a_2$  or  $a_1 = a_2$  and  $b_1 <_y b_2$ .

**Example 8.2.** Define  $X = Y = \mathbb{R}$  and  $<_x = <_y = <$ , usual inequality. Then,

- $(0, 0) <_{X \times Y} (1, -3)$  is true
- $(0, 0) <_{X \times Y} (0, -3)$  is false.

**Definition 8.8.** Suppose  $(X, <)$  is a simply ordered set. Then, the order topology on  $X$  is the one with basis elements:  $(a, b)$  for  $a, b \in X$ ,  $[a_0, b)$  for  $b \in X$  provided  $a_0$  is the minimal element of  $X$ , and  $(a, b_0]$  for  $a \in X$  provided  $b_0 \in X$  is the maximal element.

**Example 8.3.** Consider  $X = [0, 1]$  with  $<$ , the standard inequality. The order topology is the topology inherited as a subset.

**Example 8.4.** Consider  $(\mathbb{R}^2, <_o)$  where  $<_o$  is the dictionary order. The order topology is called the dictionary order topology.

Think about what this set looks like:

$$((0, 0), (1, 1))$$