

1 Dear Editor:

2 Thank you for the chance to revise and resubmit our manuscript. We have  
3 made major revisions to our manuscript to address reviewers' concerns about  
4 the methods and assumptions of our work. We now compare normalized  
5 cases divided by the population size—this allows us to directly compare case  
6 patterns on campus and those around the surrounding community. We have  
7 reparameterized the community contact rate in our model to account for  
8 variation in population sizes across semesters and refitted the model. We have  
9 also added sensitivity analyses and additional figures to show the robustness  
10 of our conclusion. Below please find our detailed responses to reviewers.

11 Associate Editor Comments:

12 This paper has turned out to be extremely difficult to find sufficient expert  
13 reviewers who were willing to review. We have now received two reviews. The first  
14 reviewer #1 is more supportive but the second reviewer #2 has major concerns  
15 that need to be addressed. Please revise the paper to address the concerns and  
16 comments of the two reviewers. The revised paper will require further review.

17 Reviewer #1 Comments for the Author:

18 The authors present a retrospective analysis of the COVID-19 epidemic at Prince-  
19 ton University and provide an extensive analysis that generally supports the hy-  
20 pothesis that a superspreading event led to a large uptick in case numbers after  
21 the introduction of the Omicron variant. Overall I like the paper. It's well written,  
22 and provide a detailed description of the application of a detailed computational  
23 model for policy support at an institutional level. It is therefore potentially a  
24 valuable contribution and I would support publication after some minor revisions.

25 Thank you.

26 Chief among these is the sensitivity of model results (i.e., calibration, and ul-  
27 timate policy commentary) on the assumptions regarding test sensitivity. The  
28 authors claim "Our analysis highlights the power of mass asymptomatic testing"  
29 but the assumption is that a PCR test is 95% sensitive after latency, and that  
30 case detection leads to full case isolation. These are both extremely strong as-  
31 sumptions, that are not conservative with respect to the claim. I recommend

32 examining the results of Hellewell et al ("Estimating the effectiveness of routine  
33 asymptomatic PCR testing...") for strong evidence that sensitivity is much lower  
34 than claimed here. Also see Zachreson et al ("COVID-19 in low-tolerance border  
35 quarantine systems...") for a detailed individual-based implementation of dynamic  
36 test sensitivity.

37 We agree that 95% sensitivity seem too strong. Hellewell et al estimated  
38 that the probability of detecting an infection from a PCR peaks at 77%  
39 (54–88%) 4 days after infection, and decreases to 50% (38–65%) by 10 days  
40 after infection. But this estimate implicitly accounts for latency, whereas  
41 we assume 95% sensitivity during the infectious period after 0% sensitivity  
42 during latency. Therefore, while our sensitivity peaks at 92% 5 days after  
43 infection, it decreases to 20% by 10 days after infection (due to recovery from  
44 infections), which is considerably lower than what was estimated by Hellewell  
45 et al. Therefore, while the individual-level sensitivity is not dynamic (a step  
46 function changing from 0 to 0.95 and back down to 0), the population-level  
47 sensitivity is dynamic. We have added the following paragraph to our main  
48 text:

49 "The 95% sensitivity assumption may seem too high. For example, Hellewell  
50 et al. (2021) estimated that the probability of detecting an infection from a  
51 PCR peaks at 77% (54–88%) 4 days after infection, and decreases to 50%  
52 (38–65%) by 10 days after infection. These estimates are considerably lower  
53 than our assumption because their estimates implicitly account for the latent  
54 period. At the individual level, we assume that an infected individual has  
55 no detectable infection (0% sensitivity) during their latent period and 95%  
56 sensitivity during their various stages of infectious periods. At the popula-  
57 tion level, this assumption translates to a peak sensitivity of 92% by 4 days  
58 after infection, decreasing to 20% sensitivity by 10 days after infection. Our  
59 assumption leads to a much lower PCR sensitivity 10 days after infection  
60 because we only model the PCR sensitivity during the infectious period. In  
61 reality, PCR can detect infections even after a person stops shedding infec-  
62 tious virus—we did not include this component in our model because it would  
63 not affect the effectiveness of isolation strategy in reducing transmission."

64 We further note that the full case isolation was what was happening at Prince-  
65 ton University during the investigation of the study period. However, we  
66 agree that this might be feasible in some institutions. We have added the

67 following phrase:

68 “while this assumption reflects the isolation policy in Princeton University  
69 during the investigation period, it may be inapplicable in studying institu-  
70 tional outbreaks in general.”

71 There are a number of other issues that are less important to my overall recom-  
72 mendation that I list below by section:

73 Results:

74 1) Figure 2 (B, E, H) - I would personally prefer to see a representative trajectory  
75 (i.e., one that is central in the ensemble based on summary statistics) than a  
76 median, since it's being compared to a single real-world trajectory, the 90%  
77 quantiles can stay though. This would give a better illustration of the model  
78 dynamics (w.r.t. temporal fluctuations). This figure could also benefit from a  
79 clearer illustration of which parameters from the grids in (A, D, G) correspond  
80 to the ensembles in B, E, H.

81 We now show a simulation with the best goodness of fit (least sum of squared  
82 errors) alongside a media. We agree that showing an actual simulation is  
83 important for capturing the variability in the case trajectory. We also feel  
84 that the median is useful for understanding the general trend and decided to  
85 keep it in place. We also added a circle to panels A, D, and G to show the  
86 best fitting parameter.

87 2) There is a minor contradiction between Fig 2. A and the statement: “We find  
88 that a low level of contacts  $R_{\text{contact}} = 0.5$  and a small amount of community  
89 transmission  $\theta = 0.015$  is most consistent with the observed epidemic dynamics  
90 in fall 2020 (Fig. 2A).” in Fig 2A, the minimum sits at  $\theta = 0.02$ , and is not  
91 definitive over the range  $\theta \in [0.01, 0.02]$ .

92 This was a typo on our side. We have now fixed this.

93 3) it looks like lower values of  $R$  could have been explored - what is the reason  
94 for the lower bound of 0.5?

95 We did this for computational efficiency. We now explore  $R$  as low as 0.25.

96 We could explore even lower values of  $R$  but we feel that lower values may  
97 be unrealistic.

98 4) Please explain "Thanksgiving" to non-Americans. This should be indicated  
99 visually in Fig. 2B

100 We now explain Thanksgiving the first time it is mentioned:

101 "Thanksgiving—a national holiday in the US during which many students  
102 travel off campus"

103 5) "all returning individuals are assumed to be quarantined for 14 days and tested  
104 upon returning." please elaborate (how is quarantine implemented?)

105 We have revised this sentence:

106 "In the beginning of the semester, all returning students were required to  
107 quarantine in their rooms for 14 and tested upon returning by the university—  
108 in our model, this was implemented by preventing returning students from  
109 getting infected or infecting other individuals."

110 6) Regarding Theta: The model should be refactored so that theta is per-capita  
111 on-campus population, the decision not to do so leads to re-scaling of the pa-  
112 rameter to campus population fractions in the final section of the results and is  
113 confusing. This would probably also help explain why the values of theta are so  
114 much higher for the Delta period after vaccination (more people on campus).  
115 Finally, the parameter is variously referred to as 'contact rate' and 'transmission  
116 rate', this should be clarified in the methods description (they seem to mean the  
117 same thing for unvaccinated populations, but the definition gets fuzzier once the  
118 transmission event itself is subject to a Bernoulli trial given "infectious contact").

119 Thank you for pointing this out. We have reparameterized the parameter  
120 theta and reran our simulations. We have also added the following description  
121 .

122 "In particular, we assume that infectious contacts from local or regional com-  
123 munity can be made at random to anyone on campus. These contacts are  
124 modeled using a Poisson distribution with a time-varying mean, which is

125 calculated by multiplying the daily number of cases by the community con-  
 126 tact rate  $\theta$  and the population size on campus  $N$ . More precisely,  $\theta$  is the  
 127 probability that an infected individual from the community makes an infec-  
 128 tious contact with an individual on campus per capita campus population.  
 129 By further multiplying this probability with the population size  $N$ , we are  
 130 essentially assuming a density dependent contact, where a higher population  
 131 size on campus leads to more infections from the community.”

132 7) The Omicron wave part has a lot of ad-hoc assumptions about policies (i.e.,  
 133 70 boosters per day), it would be good to know which of these are guessed, and  
 134 which are supported through consultation etc.

135 We have revised this section to make our assumptions clearer:

136 “Based on known vaccination statuses, we assume that 99% of students are  
 137 vaccinated with 60% of them being boosted as of January 1, 2022. Since all  
 138 students were required to receive booster shots before returning to campus,  
 139 we assumed that 70 booster shots were given on each day—this assumption  
 140 allows all students to be boosted in 28 days. To match the high numbers of  
 141 cases on the week ending January 7, 2022, we assume 14% of the students  
 142 present on campus are infected as of January 7, 2022 (roughly 100/700). To  
 143 account for students who were infected with the Omicron variant during the  
 144 fall semester, we assume that 100 students are already immune to Omicron  
 145 infection at the beginning of the spring semester—this roughly corresponds  
 146 to the number of PU cases that were reported in December.”

147 8) Figure 3: do the 9 plots on the right have different reproductive ratios? This  
 148 should be clearly stated ( $R_{\text{contact}}$  is given in the row label, but the column label  
 149 gives a reproductive ratio multiplier, so it’s unclear how assumed transmissibility  
 150 varies between panels).

151 Thank you for pointing this out. The baseline  $R_{\text{contact}}$  does not change  
 152 within each row; only the reproductive ratio multiplier changes. We have  
 153 added the following sentences to clarify this:

154 “ For each row, we assume a fixed value of baseline contact reproduction  
 155 number  $\mathcal{R}_{\text{contact}}$  ranging from 2 to 6 across rows. Then, we simulate increase  
 156 in  $\mathcal{R}_{\text{contact}}$  at the time of policy change (indicated by column labels). ”

157 9) Please be more clear about the policy change (dashed line in Fig 3). Was this  
158 strictly a change to gathering restrictions? Are you implying causation with the  
159 super-spreading event? I think the messaging around the relationship between  
160 the observed dynamics and the enacted policies needs to be stated more clearly  
161 and explicitly.

162 There was a change to gathering restriction and testing frequency. This is  
163 explained in the Descriptive analysis section:

164 “Coinciding with the decrease in the campus and local cases numbers, the  
165 gathering policy was updated on February 8, 2022 to allow food in events  
166 were no longer limited to 20 people; in addition, the testing frequency was  
167 reduced to once a week.”

168 We have also rephrased the following sentence to make the causality clearer:

169 “Following the policy change, a large gathering event was held on campus,  
170 which resulted in an outbreak with high case numbers persisting until Spring  
171 Break (March 5th, 2022).”

172 Discussion:

173 10) Please explain the phrase “our homogeneous mixing assumption is conserva-  
174 tively pessimistic.” One could argue that assuming homogeneity is not ‘conser-  
175 vatively pessimistic’, rather, it assumes the highest rate of case growth possible  
176 for a given natural transmissibility and secondary case dispersion (because trans-  
177 mission is unconstrained by group size beyond the size of the total population),  
178 this means the calibrated natural transmission rates (i.e.,  $R_{\text{contact}}$ ) will be biased  
179 down, for the same case incidence. Lower estimates of natural transmission rates  
180 (vis. lower viral load of an index case) could lead to liberal conclusions regarding  
181 the required stringency of interventions, so it needs to be made clear in what  
182 policy decision context the statement ‘conservatively pessimistic’ applies.

183 We meant conservatively pessimistic from a dynamical perspective, allowing  
184 for rapid growth. We agree that this terminology is confusing in a policy  
185 decision context. We have tried to clarify the consequences our assumption  
186 and decided to remove the term “pessimistic” to avoid confusion.

187 “For example, we assume conservatively that the entire university popula-  
 188 tions mix homogeneously and have identical campus and community contact  
 189 rates (captured by  $\mathcal{R}_{\text{contact}}$  and  $\theta$ , respectively). This assumption can lead to  
 190 the fastest epidemic growth rates because transmission is not limited by the  
 191 size of the contact network—in other words, our estimates of the reproduc-  
 192 tion will be necessarily low, making the epidemic easier to control. In reality,  
 193 increases in cases were often associated with specific transmission clusters,  
 194 suggesting heterogeneity in transmission patterns. Contact levels also likely  
 195 differ between different groups: for example, faculty and staff members are  
 196 more likely to interact with community members than undergraduate stu-  
 197 dents and would be at a higher risk for community infections (Frazier et al.,  
 198 2022).”

199 11) The paper claims not to model behaviour. While this is strictly true, because  
 200 the model does not incorporate behaviour explicitly, it is a bit misleading because  
 201 the model does implicitly model behaviour by attaching case importation rates  
 202 to the community case numbers (which are a function of behaviour). A better  
 203 way of explaining the model would be (in my opinion) that it implicitly accounts  
 204 for changes in community behaviour but does not explicitly simulate behavioural  
 205 change in the campus environment.

206 Thank you for pointing this out. We have reworded this sentence as the  
 207 following:

208 “We also do not account for explicit changes in behavior on campus and  
 209 assume constant  $\mathcal{R}_{\text{contact}}$  throughout each semester. Instead, we implicitly  
 210 account for behavioral changes in the community by modeling community  
 211 transmission to campus as a function of community case numbers. While we  
 212 cannot rule out the possibility that behavioral changes on campus could have  
 213 contributed to various epidemics (e.g., the Omicron wave beginning in the  
 214 fall semester of the 2021–2022 academic year), we were able to capture the  
 215 majority of epidemic patterns without modeling them—when the majority  
 216 of transmission is caused by imported cases from the community, we expect  
 217 behavioral changes on campus to have relatively weaker effects on overall  
 218 transmission dynamics.”

219 12) “Our analysis highlights the power of mass asymptomatic testing” (see above  
 220 main comment)

221 We have rephrased this sentence:

222 “First, our analysis highlights the power of mass asymptomatic testing for  
223 epidemic measurement and planning—even if PCR testing may have lower  
224 sensitivity than what we assumed here (Hellewell et al., 2021), mass asymp-  
225 tomatic testing can still help track ongoing epidemic dynamics in real time”

226 13) "preventing large gatherings can help prevent large superspreading events in  
227 the midst of a rising epidemic" The model does not simulate gatherings, so this  
228 claim should be connected to the results explicitly or it should be removed.

229 This was a comment from our Princeton experience rather than simulation.  
230 We agree that our model does not simulate gathering and so we have removed  
231 this comment.

232 14) "intervention measures placed on campuses must continue to adapt and  
233 change" is there a clear statement in this work about the policy changes that led  
234 up to the Omicron outbreak? The paper implies such claims, but I do not see  
235 them concretely stated (which is frustrating - this is related to point (9)).

236 Please see our response to point 9.

237 Methods:

238 15) Regarding Rcontact, is there a difference between this and the generally-  
239 used R0? The definition seems the same... if not, it would be good to know the  
240 precise difference.

241 Rcontact and R0 are largely similar. But we wanted to use a different termi-  
242 nology to emphasize their subtle differences because Rcontact is a parameter  
243 in our individual-based model, which makes a specific set of assumptions  
244 that are different from continuous time SIR models using ordinary differen-  
245 tial equations. We have tried to clarify the definition of Rcontact.

246 “We note that the definition of the contact reproduction number  $\mathcal{R}_{\text{contact}}$  is  
247 similar to the standard definition of basic reproduction number  $\mathcal{R}_0$ . The  
248 main difference is that the contact reproduction number models the number  
249 of total contacts, rather than infections. Since infected individuals make their



250 contacts at random with replacement, the same susceptible person could be  
251 contacted multiple times by the same or different infected individual dur-  
252 ing a time step—all these overlapping contacts will result in one infection.  
253 Therefore, the number of actual infections may be smaller than the num-  
254 ber of contacts, especially since contacts can also land on non-susceptible  
255 individuals. We also note that the contact reproduction number implicitly  
256 accounts for all intervention measures that we do not model explicitly, such  
257 as social distancing and contact tracing—therefore,  $\mathcal{R}_{\text{contact}}$  is similar to the  
258 effective reproduction number, which typically accounts for intervention ef-  
259 forts. However, our contact reproduction number does not account for the  
260 effects of asymptomatic testing or vaccination, which are modeled separately.”

261 Overall, I like the paper and appreciate having the opportunity to review it.  
262 Understand that my critiques are intended to help improve the quality of the  
263 work prior to publication, which I generally support.

264 Thank you for your review.

265 Reviewer #2 Comments for the Author:

266 In this manuscript, Park et al. retrospectively examine the transmission patterns  
267 of COVID-19 at Princeton University and across the wider community in Mercer  
268 County, NJ. The authors explore correlations between university and community  
269 case counts and also employ a mechanistic modeling framework to see if pat-  
270 terns in transmission can be explained by changes in R-effective and transmission  
271 from the broader community. Retrospective analyses like this are important, and  
272 valuable evidence generation for decision makers.

273 Thank you.

274 I had two main concerns about the conclusions as presented that I hope the  
275 authors can address. The first is that while Princeton is an ideal ecosystem be-  
276 cause of its asymptomatic screening protocols, the surrounding community is not  
277 necessarily one—this raises concerns about how differences in testing practices  
278 could be controlled for/accounted for in the correlation analysis.

279 Most estimates seem to a huge reduction in case ascertainment during the  
280 Omicron surge, which makes comparisons between the broader community and

281 Princeton tricky for that time point. At the very least, I would encourage the au-  
282 thors to control for differences in denominator (e.g., converting to per 100K/day)  
283 and/or exploring test positivity as an additional metric to see if their observations  
284 still hold.

285 We have revised our analysis and figures to account for changes in popula-  
286 tion sizes across the semester. See below for a detailed response. We also  
287 present testing volumes over time on campus and show that they are reason-  
288 ably stable across each semester. We were not able to find information on  
289 testing at the county level and therefore were not able to explore test posi-  
290 tivity as an additional metric. However, we feel that test positivity can be  
291 even more sensitive to testing behavior in our cases. For example, testing fre-  
292 quencies were doubled for undergraduate students during the initial Omicron  
293 break, but this change did not cause a doubling of case numbers, meaning  
294 that the ascertainment rate was already high. It seems more likely that the  
295 increased numbers of cases during this period is reflective of real outbreak  
296 dynamics, rather than testing behavior—on the other hand, positivity would  
297 suddenly decrease during this period due to changes in testing behavior. Fi-  
298 nally, strong cross correlations in case patterns across other counties in New  
299 Jersey and other large cities (New York City and Philadelphia) demonstrate  
300 the robustness of our observation.

301 The second is that I wasn't necessarily convinced that the authors could con-  
302 clude with certainty that the discrepancies during the Omicron period were driven  
303 by superspreading events because the way that this is created in the model is  
304 by seeding new infections, not by adjusting contact structure per se. Some  
305 more thoughts here: (1) I think the vaccine effectiveness estimates stated for  
306 BA.1/BA.2 were overly optimistic, so that would be something to explore fur-  
307 ther; and (2) the model (in theory) should allow for some superspreading as a  
308 consequence of the overdispersion parameter used for the negative binomial dis-  
309 tribution of infectious contacts. I'd be curious to see if the model can replicate  
310 that change more mechanistically by adjusting the mean and overdispersion of  
311 the binomial distribution.

312 We recognize that our writing was unclear before. But we know from the  
313 observation that the large outbreak during the Omicron period was driven by  
314 superspreading event related to a campus event. We could not describe the  
315 event in detail due to privacy reasons, which led to unclear writing. We have

316 tried to make the causality clearer throughout (see response to Reviewer 1's  
317 comment 9). We also account for the possibility of shorter infectious periods  
318 and lower vaccine effectiveness. See below for detailed responses for each  
319 point.

320 Main comments:

321 Fig. 1- you might consider converting case counts for Princeton and Mercer  
322 County into cases per 100,000 per day (or some other denominator quantity).  
323 These plots are comparing cases for populations of 15,000 for Princeton and  
324 360,000 for Mercer County, which makes Fig. 1 panels I-L a bit difficult to parse  
325 at first—by controlling the denominator you could more easily compare to a 1:1  
326 slope for panels E-J and a 1:1 ratio for panels I-L. This approach would also  
327 account for the changing student body size at Princeton across semesters with  
328 reopening, etc.

329 Thank you for the suggestion. We have changed the main figure and cor-  
330 responding supplementary figures for New York and Philadelphia to show  
331 weekly cases per 100,000. We have revised the writing accordingly and added  
332 a new Supplementary Figure comparing cases per 1000 for each population  
333 group on Princeton campus (Figure S1). We decided to keep the raw cases  
334 for comparisons with model projections since we are no longer comparing  
335 populations of two different sizes.

336 Lines 165-169- a key piece of this story seems to be variant predominance—you  
337 could consider adding a new figure with variant proportions through time or  
338 dashed vertical lines to Fig. 1 time courses indicating when a new variant reached  
339 dominance.

340 We have variant data but we feel analyzing variant data is beyond the scope  
341 of this paper. We decided not to add the variant data in this paper also  
342 because it involves a different group of individuals analysing the data. And  
343 so we prefer to keep that analysis as a separate paper.

344 Lines 214-226: the assumption that testing patterns remain the same on campus  
345 and off campus throughout the entire investigation period doesn't seem realistic.  
346 In particular for the beginning of the Omicron period, the Princeton university  
347 campus continued with surveillance screening, while the general population likely

348 experienced significantly decreased ascertainment rates in part due to the in-  
349 creased availability and use of at home tests which are not mandated reporting  
350 (e.g., one estimate of a change of ascertainment from 50% pre-Omicron to 20-  
351 29% during BA.1; [https://www.medrxiv.org/content/10.1101/2022.04.](https://www.medrxiv.org/content/10.1101/2022.04.22.22274198v3.full.pdf)  
352 [22.22274198v3.full.pdf](https://www.medrxiv.org/content/10.1101/2022.04.22.22274198v3.full.pdf)). Therefore, I would hesitate to conclude that the  
353 lack of correlation was indicative of a lack of community transmission—changes  
354 in testing patterns could really be driving that pattern for the final semester.

355 Thank you for pointing this out. We meant that the testing patterns re-  
356 mained constant for each semester, rather than the entire investigation pe-  
357 riod. We also meant that the case ratio would stay the same if testing  
358 patterns and transmission conditions were to remain constant, but we are  
359 not necessarily assuming that the testing patterns remained constant. We  
360 have tried to make the writing of this paragraph clearer while acknowledging  
361 changes in testing patterns over the investigation period.

362 Also, we know that the outbreak during the final semester was associated  
363 with a specific campus event among students on one specific day, which  
364 we unfortunately cannot described in detail due to privacy reasons. So we  
365 know that the lack of correlation was likely driven by a lack of community  
366 transmission in this case. We have tried to clarified this point.

367 Lines 243-245- Infectious periods changed with variants, e.g., Omicron « Delta.  
368 Was that component explored at all?

369 We now provide simulations that assume shorter infectious and latent period  
370 during the Omicron outbreak:

371 “We considered the possibility that the Omicron variant can have shorter  
372 latent and infectious periods by decreasing the mean duration of latent, pre-  
373 symptomatic, and (a)symptomatic stages of infection by 0.5 days (therefore  
374 a total of 1.5 reduction in the duration of infection). In this case, a shorter  
375 generation interval can lead to faster growth rate given the same values of  
376  $\mathcal{R}_{\text{contact}}$  (Wallinga and Lipsitch, 2007). However, we find that the effects of  
377 shorter infection has small effects on the overall dynamics (Supplementary  
378 Figure S13).”

379 Lines 296-305- While reading through this the first time, I was wondering about

380 differences in vaccine effectiveness during Omicron emergence however—maybe  
381 hint to the reader here that you address that later or separately?

382 We have added the following sentence:

383 “We note that these assumptions are specific to the Delta variant—we discuss  
384 vaccine effectiveness against the Omicron variant later on.”

385 Lines 453-454- I think your vaccine effectiveness estimates against Omicron are  
386 still quite optimistic, which could explain why ramping up your R-value didn't  
387 really do much. In practice, we've seen closer to 30-50% effectiveness against  
388 infection for example vs. 70% pre-waning (<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC9398552/>)  
389

390 We now provide simulations that assume 30% vaccine effectiveness as a sup-  
391plementary figure with the following text in the main manuscript:

392 “We also considered the possibility that the vaccine effectiveness against the  
393 Omicron variant might be lower by repeating the same analysis with 30%  
394 effectiveness against infection (Tan et al., 2023). When the baseline  $\mathcal{R}_{\text{contact}}$   
395 is low ( $\mathcal{R}_{\text{contact}} = 2$ ), increasing  $\mathcal{R}_{\text{contact}}$  still does not increase the number of  
396 cases sufficiently. When we assume an intermediate value of  $\mathcal{R}_{\text{contact}} = 4$ ,  
397 the model does a better job at capturing the dynamics but it does so by  
398 overestimating the trough before the policy change and underestimating the  
399 peak after the policy change. When we assume a high value of  $\mathcal{R}_{\text{contact}} = 6$ ,  
400 the model overestimates both the trough and the peak.”

401 Fig. 3-4- Apologies if you've stated this elsewhere and I've missed it, but have  
402 you taken a look at your testing volume through time? I know that the PU  
403 policy was  $\propto \#$  of tests per week based on vaccination status, but how was that  
404 enforced? I'm wondering if there is as much variation in the y-axis if you look at  
405 test positivity vs. raw number of cases? Another thing to consider, since I'm not  
406 sure about testing cadence and frequency would be to do some rolling averages  
407 to help smooth out differences across days/weeks.

408 We now show testing volume through time in Supplementary Figure S1. Ev-  
409eryone was required to be tested in order to be present on campus. And as we  
410 see in Supplementary Figure S1, there was very good compliance and stable

411 testing volumes within each semester, except during the end of fall semester  
412 of the 2021–2022 academic year (reflecting Omicron circulations) and the  
413 beginning of spring semester of the 2021–2022 academic year (reflecting stu-  
414 dents returning to school). Even then, these changes do not correlate with  
415 increase in cases—for example, the increase in testing volume at the end of  
416 fall semester of the 2021–2022 academic year is very sudden (doubling in a  
417 week), but the changes in cases at the same period is much smaller.

418 We agree there is variation in cases within a week but testing patterns were  
419 fairly stable across weeks, which is why we chose to look at weekly data,  
420 instead of daily data. We feel that showing raw variation in weekly cases  
421 (rather than rolling averages) would be more accurate because we are also  
422 able to identify the sources of most variations (e.g., school holidays and  
423 classes ending).

424 Lines 456-457- instead of artificially introducing new infections, what happens if  
425 you decrease  $k$  your overdispersion parameter to increase the potential variance  
426 (i.e., allow for bigger gatherings?)

427 Artificially introducing new infection is meant to emulate the increase in  
428 heterogeneity. Decreasing  $k$  alone would certainly increase variance but we  
429 have no control over when large outbreaks will happen, meaning that most  
430 of the simulations will not be able to match the data.

431 Minor comments:

432 Line 40- double check consistency of variant name capitalization throughout  
433 (e.g., “omicron” vs. “Omicron”)

434 Fixed.

435 Lines 78-81- infrastructure including ventilation would also play a key role here

436 We have added ventilation infrastructure to this sentence.

437 Line 192- “counties” instead of “countries”?

438 Fixed.

439 Lines 270-271- in reality, those contacts are likely much more structured leading  
440 to a more modular network (e.g. dormmates would have repeated contacts,  
441 students would be more likely to have classes with others in that same major);  
442 how would you see less mixing affecting your model results and interpretation?

443 We have added the following paragraph:

444 “In reality, the contact structure among the campus population is likely more  
445 structured, exhibiting strong assortativity. For example, undergraduate stu-  
446 dents are more likely to mix with other undergraduate students, rather than  
447 graduate students or faculty and staff members. Even among undergraduate  
448 students, students are more likely to mix with their close friend group than  
449 with other students. On one hand, assortative mixing may lead to faster epi-  
450 demic growth within certain population groups; on the other hand, it can also  
451 make the disease more difficult to spread among other groups that have lower  
452 contact rates. Therefore, predicting the impact of structured contact network  
453 requires more detailed information about whether the majority of cases were  
454 infected at random or from certain groups of the campus population. For  
455 simplicity, we assume random mixing throughout the paper—nonetheless,  
456 allowing for overdispersion in transmission is expected to emulate variability  
457 in epidemic growth rates driven by complex contact structures (Lloyd-Smith  
458 et al., 2005).”

459 Line 278- it would be helpful to label  $\theta$  as your “community transmission” pa-  
460 rameter on first use, since you refer to it that way later, but not stated explicitly  
461 here.

462 Based on suggestions by Reviewer 1, we now normalize  $\theta$  by population size  
463  $N$  and provide a clear definition the first time it is used;

464 Line 387- “cannot” instead of “can”?

465 “can” is correct. We have revised this sentence to make the point clearer:

466 “These simulations suggest that an increase in the number of cases in Novem-  
467 ber can be explained by a combination of waning immunity alone without  
468 requiring additional changes in transmission dynamics (note we do not allow  
469  $\theta$  or  $\mathcal{R}_{\text{contact}}$  to vary over time)—we see that extending the simulation beyond

470 November 26th still captures the increase in cases.”

471 Lines 162-164- state date of policy implementation to make link clearer for Figs.

472 3 & 4

473 Done.



474  
475 Relative role of community transmission and campus  
476 contagion in driving the spread of SARS-CoV-2: lessons  
477 from Princeton University

478  
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494 **Abstract**

495 Mathematical models have played a crucial role in [exploring and](#) guiding pan-  
496 demic responses. University campuses present a particularly well-documented  
497 case for institutional outbreaks, thereby providing a unique opportunity to  
498 understand detailed patterns of pathogen spread. Here, we present descrip-  
499 tive and modeling analyses of SARS-CoV-2 transmission on the Princeton  
500 University campus—this model was used throughout the pandemic to in-  
501 form policy decisions and operational guidelines for the university campus.  
502 We demonstrate strong spatiotemporal correlations in epidemic patterns be-  
503 tween the university campus and surrounding communities. These findings  
504 are corroborated by our model predictions, which indicate that the amount

505 of on-campus transmission was likely limited during much of the wider pan-  
506 demic until the end of 2021. Finally, we find that a super-spreading event  
507 likely played a major role in driving the ~~recent~~-Omicron variant outbreak on  
508 the Princeton University campus during the spring semester of the 2021–2022  
509 academic year. Despite large numbers of cases on campus in this period, case  
510 levels in surrounding communities remained low, suggesting that there was  
511 little spillover transmission from campus to the local community.

## 512 Significance

513 University campuses present challenges to preventing SARS-CoV-2 transmis-  
514 sion, due to a high proportion of asymptomatic infections and high contact  
515 rates. SARS-CoV-2 outbreaks on the Princeton University campus offer an  
516 unusually well-documented perspective, rooted in mass asymptomatic test-  
517 ing, further informed by mathematical modeling aimed at guiding policy  
518 decisions. Here, we show that this model can parsimoniously capture ob-  
519 served outbreak patterns on campus during different eras of control. Our  
520 analysis reveals that strong coupling between epidemic dynamics on campus  
521 and in local communities drives the early epidemic. Subsequently, especially  
522 in the ~~omieron~~-Omicron era, superspreading events came to dominate trans-  
523 mission on campus, thereby weakening the dynamical coupling of campus  
524 and community outbreaks.

## 525 Introduction

526 Predicting and controlling the spread of SARS-CoV-2 has remained a critical  
527 public health and scientific question throughout the ongoing SARS-CoV-2  
528 pandemic (Baker et al., 2021). Rapid, asymptomatic transmission of SARS-  
529 CoV-2 has hindered intervention efforts, such as contact tracing (Hellewell  
530 et al., 2020). Social distancing measures have played major roles in preventing  
531 transmission, but can be difficult to maintain for a prolonged period  
532 (Galanti et al., 2021). The development of vaccines has provided a safe means  
533 of reopening society, but uncertainty remains on their long-term effectiveness  
534 in preventing infection and transmission, especially in the face of new viral  
535 variants.

536 Mathematical models have played a significant role in guiding these pandemic  
537 responses and ~~devising~~exploring control strategies (Cobey, 2020; Holmdahl  
538 and Buckee, 2020; Metcalf et al., 2020; Koelle et al., 2022). Models can help  
539 monitor key parameters that govern epidemic dynamics (Kraemer et al.,  
540 2021) and retrospectively estimate the impact of intervention measures in  
541 reducing transmission (Flaxman et al., 2020). These estimates can further  
542 inform projections of future scenarios and allow us to explore the endemicity  
543 of SARS-CoV-2 (Kissler et al., 2020; Saad-Roy et al., 2020; Lavine et al.,  
544 2021; Saad-Roy et al., 2021).

545 Mathematical models have also been widely deployed in planning campus  
546 reopenings. Researchers from various institutions in the US—including Cornell  
547 (Frazier et al., 2022), Emory (Lopman et al., 2020), Georgia Institute  
548 of Technology (Gibson et al., 2021), and UC Berkeley (Brook et al., 2021)—  
549 modeled the feasibility of controlling the epidemic on their campuses and considered  
550 mass asymptomatic testing as their main intervention. Mathematical models also played crucial roles in helping to make decisions about reopening, ensuring that infrastructure (e.g., isolation methods and spaces, supporting isolation and quarantine with food, etc.) and levels of mitigation (masks, ventilation, testing frequency, etc.) were adequate and appropriate. These  
551 modeling efforts helped identify key parameters for control, such as the testing  
552 turnaround time, and provided support for implementing similar measures  
553 at other institutions. Coupling modeling efforts with real-life implementations  
554 in university campuses further provided unique opportunities  
555  
556  
557  
558

559 to directly test model-based predictions of intervention effects in prevent-  
560 ing the transmission of SARS-CoV-2 (Frazier et al., 2022)— each univer-  
561 sity campus offers a relatively well-controlled epidemic setting with a rel-  
562 atively homogeneously behaving population (especially among undergrad-  
563 uate students). Campuses can also offer strong opportunities for control  
564 by non-pharmaceutical interventions, such as isolation and mask-wearing;  
565 mass asymptomatic testing further provides robust ascertainment for epi-  
566 demic sizes, allowing for accurate understanding of epidemic patterns.

567 On the other hand, university campuses also present unique challenges to  
568 controlling an outbreak. A large fraction of asymptomatic infections (due to  
569 the young age of university students) and high-density interactions—such as  
570 eating in large dining halls and various social activities—can readily permit  
571 rapid transmission. These kinds of contacts are inherently difficult to keep  
572 track of, making contact tracing less effective. The impact of intervention  
573 measures is expected to vary across different university campuses, reflecting  
574 heterogeneity in campus settings such as compliance, resources, community  
575 prevalence, [ventilation infrastructure](#), as well as effects of other interventions  
576 present on campuses. For example, Duke and Harvard Universities experi-  
577 enced moderate outbreaks at the beginning of the fall semester in 2021 when  
578 in-person classes were allowed, despite high vaccination rates and weekly  
579 asymptomatic testing protocols (Duke University, 2021; Harvard University,  
580 2021), whereas the number of cases remained low in Princeton University  
581 (PU) during the same time period with similar levels of testing and vaccina-  
582 tion. Here, we focused on the dynamics of SARS-CoV-2 on the PU campus  
583 alone to eliminate heterogeneities inherent to such comparisons; we return  
584 to comparisons with other campuses later in the discussion.

585 We begin with a descriptive analysis of the PU outbreak (Fig. 1), ~~and~~ [then](#)  
586 present modeling analyses of the individual epidemics during 2020–2022. PU  
587 is located in Mercer County, New Jersey, USA; the population comprises  
588 ~~of~~ 5267 undergraduate students, 2946 graduate students, and around 7000  
589 faculty and staff members. For simplicity, we divided the epidemic into four  
590 time periods representing four semesters across two academic years: Fall  
591 2020–2021 (August 24, 2020–January 1, 2021; Fig. 1A), Spring 2020–2021  
592 (January 16, 2021–May 14, 2021; Fig. 1B), Fall 2021–2022 (August 14, 2021–  
593 December 31, 2021; Fig. 1C), and Spring 2021–2022 (January 1, 2022–March  
594 18, 2022; Fig. 1D). Throughout the majority of the study period, all students,

595 faculty and staff members who were physically present for more than 8 hours  
 596 on campus per week were required to participate in asymptomatic testing  
 597 with varying frequencies. Asymptomatic individuals submitted self-collected  
 598 saliva samples, from which the presence of SARS-CoV-2 was tested using  
 599 Reverse Transcription Polymerase Chain Reaction (RT-PCR). Those who  
 600 tested positive were required to isolate for at least 10 days after symptom  
 601 onset or test date (whichever was longer) and were released when they had  
 602 been at least 48 hours with improving or resolving symptoms as per New Jer-  
 603 sey Department of Health guidance; the isolation duration switched to 5 days  
 604 on January 14, 2022, for all vaccinated people. PCR positives were exempt  
 605 from asymptomatic testing for 90 days. Since March 7, 2022, asymptomatic  
 606 testing frequencies decreased to once a month from once a week for indi-  
 607 viduals whose vaccine status is up-to-date. This in turn likely reduced the  
 608 accuracy of surveillance; therefore, we chose to focus on epidemic patterns be-  
 609 fore this change was implemented. In Supplementary Figure S1, we show the  
 610 testing volumes over time—the testing volumes remained roughly constant  
 611 within each semester, except when testing frequency changed, which we note  
 612 in the paper. However, changes in testing frequency were not associated  
 613 with sudden changes in cases, meaning that the patterns in case trajectories  
 614 likely reflect patterns of spread, rather than testing behavior. Throughout  
 615 the study period, contact tracing was also performed for positive cases to  
 616 alert their close contacts to ~~either quarantine or~~ test more frequently and  
 617 quarantine as applicable, according to the close contacts' vaccination sta-  
 618 tus, and to gather data that could help uncover clusters of transmission or  
 619 superspreader events. Changes in testing frequency and other intervention  
 620 measures throughout the study period reflected various factors, including  
 621 the impact of COVID-19 cases on continuity of operations or continuity of  
 622 teaching; on severity of disease on campus; the capacity of testing and the  
 623 healthcare system; and hospitalization rates on campus and in the area. All  
 624 data used in this analysis are publicly available on the PU COVID-19 Dash-  
 625 board website: <https://covid.princeton.edu/dashboard>.

## 626 Descriptive analysis

627 During the **fall semester of the 2020–2021 academic year**, roughly 1000  
628 grad students and 2000 faculty and staff members were present on campus  
629 and participated in asymptomatic testing. All classes were held virtually,  
630 and so only a few undergraduate students remained on campus ( $< 300$ ).  
631 Both undergraduate and graduate students were required to get tested twice  
632 a week, whereas faculty and staff members were required to get tested once a  
633 week. The number of cases remained relatively low throughout the semester  
634 with a peak occurring in early December, coinciding with the epidemic tra-  
635 jectory in Mercer County (Fig. 1A). A sudden decrease in the number of  
636 cases around ~~Thanksgiving partly~~ Thanksgiving—a national holiday in the  
637 US during which many students travel off campus—partly reflects the re-  
638 duced number of tests (3852 and 2972 asymptomatic tests performed on the  
639 week ending November 20th and 27th, respectively). The highest number of  
640 cases was reported among faculty and staff members ( $= 169$ ), followed by  
641 graduate students ( $= 41$ ), and undergraduate students ( $= 4$ ). Even when we  
642 control for the differing population sizes among these groups, we find that a  
643 considerably larger amount of cases were reported among faculty and staff  
644 members (84.5 cases per 1000) than from graduate students (41 cases per  
645 1000) (Supplementary Figure S2A)—we exclude the undergraduate student  
646 population from this comparison due to a very low number of undergraduate  
647 students present on campus during this period.

648 In the beginning of the **spring semester of the 2020–2021 academic**  
649 **year**, the number of cases suddenly increased before classes started (Fig. 1B),  
650 reflecting  $\approx 3000$  undergraduate students returning to campus. Returning  
651 students were required to be tested upon arrival and quarantine for 7 days  
652 regardless of their returning location. Most classes remained virtual, and  
653 the testing protocol did not change (twice a week for undergraduate and  
654 graduate students, and once a week for faculty and staff members). Some  
655 smaller classes were held in-person, but required social distancing (thereby  
656 limiting the size of the class) and mask-wearing at all times. The number of  
657 cases persisted at similar levels to the fall semester and eventually decreased  
658 as classes ended and students went home—the decrease in the number of  
659 cases in PU also coincided with the decrease in the number of cases in Mercer  
660 County. The highest number of cases was reported among faculty and staff

661 members (= 111), followed by undergraduate students (= 101), and graduate  
662 students (= 29). This ordering is robust to differences in population sizes: 37  
663 cases per 1000 among faculty and staff members, 34.3 cases per 1000 among  
664 undergraduate students, and 14.5 cases per 1000 among graduate students  
665 (Supplementary Figure S2B).

666 For the **fall semester of the 2021–2022 academic year**, all students and  
667 faculty and staff members were required to be vaccinated with the COVID  
668 vaccine primary series, with very few medical and religious exemptions. By  
669 the beginning of the semesters, 97% of undergraduate students, 96% of grad-  
670 uate students, and 94% of faculty and staff members were vaccinated. Vacci-  
671 nees were required to be tested once a week, while unvaccinated and partially  
672 vaccinated individuals were required to be tested twice a week. In-person  
673 classes and social events fully resumed on campus, though all individuals were  
674 required to wear masks indoors with a few exceptions (e.g., when eating or  
675 drinking, or when teaching ~~a small class~~ any size of class if social distancing  
676 can be maintained at all times). The number of cases remained similar to  
677 previous semesters until November when cases began to increase, primarily  
678 among undergraduate students around Thanksgiving (Fig. 1C). In order to  
679 prevent transmission, testing frequency was increased to twice a week for  
680 undergraduate students on November 27th, 2021; the size of non-academic  
681 gatherings were also limited to 20 people. The number of cases decreased  
682 slightly as classes ended but soon increased again as the Omicron (BA.1)  
683 variant began to spread on campus and in Mercer county. The total number  
684 of reported cases per 1000 remained high for all three population groups: 74  
685 cases per 1000 among faculty and staff members, 63.5 cases per 1000 among  
686 graduate students, and 52.4 cases per 1000 among undergraduate students  
687 (Supplementary Figure S2C).

688 For the **spring semester of the 2021–2022 academic year**, all eligible  
689 students and faculty and staff members were required to ~~be boosted~~ have  
690 obtained booster vaccination. By the beginning of the semesters, 65% of  
691 undergraduate students, 71% of graduate students, and 82% of faculty and  
692 staff members were boosted. Undergraduate students were still required to  
693 be tested twice a week to prevent the additional spread of the Omicron vari-  
694 ant. The number of cases remained high before classes began but decreased  
695 over time, following epidemic patterns in Mercer County (Fig. 1D). Coincid-  
696 ing with the decrease in the campus and local cases numbers, the gathering

697 policy was updated on February 8, 2022 to allow food in events and event  
698 sizes were no longer limited to 20 people; in addition, the testing frequency  
699 was reduced to once a week (but not for unvaccinated individuals). Following  
700 the policy change, a large ~~outbreak occurred on campus~~gathering event was  
701 held on campus, which resulted in an outbreak with high case numbers per-  
702 sisting until Spring Break (March 5th, 2022). The timing of this outbreak  
703 also coincided with a rapid ~~turnover of increases in~~the Omicron subvari-  
704 ant BA.2 ~~—the cases—the~~ proportion of BA.2 subvariant reached 93.5%  
705 (372/398) compared to 26.9% (14/52) from the previous week. On March 7,  
706 2022, mask mandates were lifted and testing frequency was reduced to once  
707 a month. Cases were largely concentrated among undergraduate students  
708 during this semester: 309 cases per 1000 among undergraduate students, 106  
709 cases per 1000 among faculty and staff members, and 65.3 cases per 1000  
710 among graduate students.

## 711 Comparisons of campus and community transmission

712 Across the first three semesters, we find strong and significant correlations  
713 between the weekly ~~logged~~ numbers of cases from PU and those from Mer-  
714 cer county: fall 2020–2021 ( ~~$\rho = 0.81$~~  $\rho = 0.79$  (95% CI: ~~0.55~~0.52–~~0.92~~0.91;  
715  $p < 0.001$ ), Fig. 1E); spring 2020–2021 ( ~~$\rho = 0.80$~~  $\rho = 0.84$  (95% CI: ~~0.51~~0.60–  
716 ~~0.92~~0.94;  $p < 0.001$ ), Fig. 1F); and fall 2021–2022 ( ~~$\rho = 0.86$~~  $\rho = 0.93$  (95% CI:  
717 ~~0.67~~0.84–~~0.94~~0.97;  $p < 0.001$ ), Fig. 1G). These correlations are robust even  
718 when we stratify cases by the population, except for undergraduate students  
719 during Fall 2020, when most were not physically present on campus (Sup-  
720 plementary Figure ~~S1~~S3). However, the case patterns in PU were decoupled  
721 from those in Mercer county for the spring semester of the 2021–2022 aca-  
722 demic year with unclear correlations (Fig. 1H):  ~~$\rho = 0.32$~~  $\rho = 0.47$  (95% CI:  
723  ~~$-0.34$~~  $-0.18$ –~~0.77~~ $p = 0.33$ 0.83;  $p = 0.15$ ). Stratifying cases by subpopulation  
724 shows that case patterns in graduate students and faculty and staff members  
725 were still strongly correlated with case patterns in Mercer county, meaning  
726 that the campus transmission was limited to undergraduate students (Supple-  
727 mentary Figure ~~S1~~S3). We also find strong correlations between the weekly  
728 logged numbers of cases from PU and those from other counties in New Jer-  
729 sey (Supplementary Figure ~~S2~~S4)—these correlations significantly decreased  
730 with distance from Mercer county in both spring 2020–2021 ( $\rho = -0.48$  (95%



CI:  $-0.75$ – $-0.06$ ;  $p = 0.03$ ) for all cases and  $\rho = -0.51$  (95% CI:  $-0.77$ – $-0.10$ ;  $p = 0.02$ ) for faculty and staff cases) and fall 2021–2022 ( $\rho = -0.68$  (95% CI:  $-0.86$ – $-0.35$ ,  $p < 0.001$ ) for all cases and  $\rho = -0.74$  (95% CI:  $-0.89$ – $-0.46$ ,  $p < 0.001$ ) for faculty and staff cases). Across the first three semesters, both the total cases and faculty and staff cases showed similar levels of correlations with local cases. For the spring semester of the 2021–2022 academic year, we still find high correlations between faculty and staff cases and local cases throughout other ~~countries~~ counties ( $\rho > 0.8$  across all counties in New Jersey); however, the total cases exhibit considerably weaker correlations due to student-to-student transmission on campus (Supplementary Figure ~~S2S4~~).

These correlations likely reflect commuting and contact patterns, and therefore we expect SARS-CoV-2 dynamics on campus to be correlated with those from nearby large cities as well. We find similarly strong correlations with New York City for the first three semesters: fall 2020–2021 ( ~~$\rho = -0.74$~~   $\rho = 0.64$  (95% CI:  ~~$0.44$ – $0.26$~~   $0.90$ ;  ~~$p < 0.001$~~   $p = 0.003$ ), Supplementary Figure ~~S3AS5A~~); spring 2020–2021 ( ~~$\rho = -0.84$~~   $\rho = 0.80$  (95% CI:  ~~$0.61$ – $0.53$~~   $0.94$ ,  $0.93$ ;  $p < 0.001$ ), Supplementary Figure ~~S3BS5B~~); fall 2021–2022 ( ~~$\rho = -0.78$~~   $\rho = 0.89$  (95% CI:  ~~$0.51$ – $0.73$~~   $0.91$ ,  $0.96$ ;  $p < 0.001$ ), Supplementary Figure ~~S3CS5C~~); and spring 2021–2022 ( ~~$\rho = -0.33$~~   $\rho = 0.50$  (95% CI:  ~~$-0.34$ – $-0.14$~~   $-0.78$ ;  ~~$p = -0.3$~~   $p = 0.85$ ;  $p = 0.1$ ), Supplementary ~~S3DS5D~~).

~~The same~~ A similar picture emerges for Philadelphia except for spring 2020: fall 2020–2021 ( ~~$\rho = -0.83$~~   $\rho = 0.87$  (95% CI:  ~~$0.59$ – $0.68$~~   $0.93$ ,  $0.95$ ;  $p < 0.001$ ), Supplementary Figure ~~S4AS6A~~); spring 2020–2021 ( ~~$\rho = -0.29$~~   $\rho = 0.27$  (95% CI:  ~~$-0.22$ – $-0.24$~~   $-0.68$ ;  ~~$p = -0.25$~~   $p = 0.30$ ), Supplementary Figure ~~S4BS6B~~); fall 2021–2022 ( ~~$\rho = -0.78$~~   $\rho = 0.89$  (95% CI:  ~~$0.51$ – $0.74$~~   $0.91$ ,  $0.96$ ;  $p < 0.001$ ), Supplementary Figure ~~S4CS6C~~); and spring 2021–2022 ( ~~$\rho = -0.37$~~   $\rho = 0.46$  (95% CI:  ~~$-0.30$ – $-0.19$~~   $-0.80$ ;  ~~$p = -0.27$~~   $p = 0.15$ ), Supplementary Figure ~~S4DS6D~~). Including counties from New York and Pennsylvania states into the spatial correlation analysis yields additional insights (Supplementary Figure ~~S5S7~~): epidemic dynamics were highly synchronized across all counties in fall 2020–2021 and became less synchronized over time. These correlations significantly decreased with distance in spring 2020–2021 ( $\rho = -0.36$  (95% CI:  $-0.49$ – $-0.21$ ;  $p < 0.001$ )) and fall 2021–2022 ( $\rho = -0.58$  (95% CI:  $-0.68$ – $-0.46$ ;  $p < 0.001$ )). These variations likely reflect differences in vaccination levels and the timing of the introduction of the Omicron variant.

767 Finally, mass testing allows us to infer the ratio between the weekly numbers  
 768 of cases per 1000 from Princeton and those from Mercer county— we expect  
 769 this ratio to remain constant ~~over time if the~~ around 1 over time when (1)  
 770 there is random, homogeneous mixing between the campus and community  
 771 and (2) testing patterns remains constant in both places within each semester.  
 772 In this case, the majority of infections on ~~campus is~~ PU campus would be  
 773 caused by community transmission ~~, provided that testing patterns remain~~  
 774 ~~roughly constant in both places. We~~ owing to its small population size.  
 775 Instead, we find that the ratio ~~between the weekly numbers of cases from~~  
 776 ~~Princeton and those from Mercer county stayed between~~ generally hovers  
 777 above 1 ~~:100 and 1:25 until the end of the~~ during the fall semester of the  
 778 2020–2021 academic year even though there was little to no documented  
 779 transmission on campus (Fig. 1I). This pattern likely reflects a higher testing  
 780 rate on campus, thereby resulting in a higher case ascertainment rate. For  
 781 the most part of the spring semester of the 2020–2021 academic year and  
 782 the fall semester of the 2021–2022 academic year ~~(Fig. 1I–K).~~, the case  
 783 ratios hover around 1 (Fig. 1J–K). Deviations from the one-to-one ratio were  
 784 often associated with large campus events, such as school holidays and the  
 785 beginning and end of semesters. An increase in this ratio at the end of  
 786 November 2021 was associated with the campus outbreak before Thanksgiv-  
 787 ing followed by an introduction of the Omicron variant in December—this  
 788 deviation indicates an increase in the amount of transmission on campus.  
 789 During the spring semester of the 2021–2022 academic year, the ratio be-  
 790 tween PU cases and Mercer county cases increased above one ~~, meaning that~~  
 791 ~~more cases were reported in PU than elsewhere in Mercer county due to a~~  
 792 large outbreak on campus (Fig. 1L); notably, we did not see an increase in  
 793 Mercer county cases (Fig. 1D), meaning that there was little-to-no transmis-  
 794 sion from campus to local community.

## 795 Mathematical modeling of past outbreaks

796 We use a discrete-time, individual-based model to simulate the spread of  
 797 SARS-CoV-2 on the PU campus. This model was initially developed and  
 798 used throughout the pandemic to inform policy decisions in PU, including  
 799 the frequency of asymptomatic tests and the number of isolation beds re-

800 quired. We continuously updated the model to reflect changes in school  
801 settings (e.g., students returning back to campus after a virtual semester)  
802 as well as intervention measures (e.g., vaccination in fall 2021 and booster  
803 shots with the emergence of the Omicron variant). Here, we present a generic  
804 and parsimonious version that encompasses sufficient details to characterize  
805 the overall spread of SARS-CoV-2 in PU without an over-proliferation of  
806 parameters. The model consists of four main components simulated on a  
807 daily time scale: (1) infection and transmission dynamics, (2) sampling and  
808 testing protocols, (3) isolation protocols, and (4) vaccination dynamics, in-  
809 cluding waning immunity and booster shots. Previous versions of the model  
810 included contact tracing, but we exclude it in this model for simplicity.

811 Infection processes are modeled based on standard compartmental structures  
812 (Supplementary Figure S6S8). Once infected, susceptible individuals remain  
813 in the exposed stage for  $D_e = 2$  days on average, during which they can-  
814 not transmit or test positive. Exposed individuals then enter the presymp-  
815 tomatic stage, during which they can test positive and transmit infections  
816 for  $D_p = 3$  days on average. Presymptomatic individuals can then either re-  
817 main asymptomatic with probability  $p_a = 0.4$  or develop symptoms with the  
818 remaining probability of  $1 - p_a = 0.6$ ; both asymptomatic and symptomatic  
819 individuals are assumed to have the same duration of infectiousness ( $D_s = 3$ )  
820 and equal transmission rates. Recovered individuals are assumed to be im-  
821 mune to reinfections throughout a semester. Presymptomatic, symptomatic,  
822 and asymptomatic infection stages are further divided into two subcompart-  
823 ~~ments to allow for more realistic and narrower.~~ Dividing each infection stage  
824 into subcompartments allows for the duration of infection to have narrower  
825 (and more realistic) distributions than the exponential distribution (Brett  
826 and Rohani, 2020). Transitions between each (sub)compartments are mod-  
827 eled using a Bernoulli process with probabilities that match the assumed  
828 means (He et al., 2010): more specifically, transition probabilities are equal  
829 to  $1 - \exp(-\delta_x)$ , where  $\delta_x = -\log(1 - n/D_x)$  represent the transition rate  
830 from stage  $X$  and  $n$  represents the number of subcompartments. Assumed  
831 parameters are broadly consistent with other models of SARS-CoV-2 (Brett  
832 and Rohani, 2020; Lavezzo et al., 2020).

833 Transmission processes are modeled by first setting the contact reproduction  
834 number  $\mathcal{R}_{\text{contact}}$ , which we define as the average number of infectious con-  
835 tacts an infected individual would make throughout the course of their infec-

tion; here, infectious contacts refer to contacts that would result in infection when the contacted individual is susceptible to infection. ~~The~~ We note that the definition of the contact reproduction number  $\mathcal{R}_{\text{contact}}$  is similar to the standard definition of basic reproduction number  $\mathcal{R}_0$ . The main difference is that the contact reproduction number models the number of total contacts, rather than infections. Since infected individuals make their contacts at random with replacement, the same susceptible person could be contacted multiple times by the same or different infected individual during a time step—all these overlapping contacts will result in one infection. Therefore, the number of actual infections may be smaller than the number of contacts, especially since contacts can also land on non-susceptible individuals. We also note that the contact reproduction number implicitly accounts for all intervention measures that we do not model explicitly, such as social distancing and contact tracing—in other words, this tracing—therefore,  $\mathcal{R}_{\text{contact}}$  is similar to the effective reproduction number, which typically accounts for intervention efforts. However, our contact reproduction number does not account for the effects of asymptomatic testing or vaccination, which are modeled separately. We further decompose  $\mathcal{R}_{\text{contact}}$  into pre-symptomatic  $\mathcal{R}_p = \beta_p D_p$  and (a)symptomatic  $\mathcal{R}_s = \beta_s D_s$  reproduction numbers, where  $\beta_p$  and  $\beta_s$  represent the corresponding infectious contact rates during pre-symptomatic and (a)symptomatic stages, respectively. Pre-symptomatic and (a)symptomatic reproduction numbers are calculated based on the assumed value of the proportion of presymptomatic transmission  $p_p = 0.5$ :  $\mathcal{R}_p/\mathcal{R}_s = p_p/(1 - p_p)$ . On each day, all infected individuals who have not yet been isolated then make infectious contacts at random to anyone on campus; the number of infectious contacts are drawn from a negative binomial distribution with a mean of either  $\beta_p$  or  $\beta_s$  and an overdispersion parameter of  $k = 0.1$  to account for the possibility of super-spreading events (Endo et al., 2020).

In reality, the contact structure among the campus population is likely more structured, exhibiting strong assortativity. For example, undergraduate students are more likely to mix with other undergraduate students, rather than graduate students or faculty and staff members. Even among undergraduate students, students are more likely to mix with their close friend group than with other students. On one hand, assortative mixing may lead to faster epidemic growth within certain population groups. On the other hand, it can also make the disease more difficult to spread among other groups that have lower contact rates. Therefore, predicting the impact of structured contact network

873 requires more detailed information about whether the majority of cases were  
 874 infected at random or from certain groups of the campus population. For  
 875 simplicity, we assume random mixing throughout the paper—nonetheless,  
 876 allowing for overdispersion in transmission is expected to emulate variability  
 877 in epidemic growth rates driven by complex contact structures (Lloyd-Smith et al., 2005)  
 878 .

879 We also rely on cases from Mercer County to crudely capture community  
 880 dynamics. In particular, we assume that infectious contacts from local or  
 881 regional community can be made at random to anyone on campus; ~~these~~.  
 882 These contacts are modeled using a Poisson distribution with a time-varying  
 883 mean, which is calculated by ~~sealing~~ multiplying the daily number of cases by  
 884 the community contact rate  $\theta$  and ~~shifting it the population size on campus~~  
 885  $N$ . More precisely,  $\theta$  is the probability that an infected individual from the  
 886 community makes an infectious contact with an individual on campus per  
 887 capita campus population. By further multiplying this probability with the  
 888 population size  $N$ , we are essentially assuming a density dependent contact,  
 889 where a higher population size on campus leads to more infections from the  
 890 community. We further shift community contacts by 1 week to account for  
 891 reporting delays. Infectious contacts, whether made by individuals on cam-  
 892 pus or from outside, result in infection only when the contacted individuals  
 893 are susceptible; when the contacted individuals are vaccinated, and therefore  
 894 partially susceptible to infection, they have a reduced probability of infection  
 895 corresponding to their susceptibility (discussed later).

896 All individuals on campus are assumed to follow a pre-determined asymp-  
 897 tomatic testing plan at a fixed frequency—for example, under weekly testing,  
 898 one individual can get sampled on days 1, 8, 15, and so forth, while another  
 899 individual get sampled on days 2, 9, 16, and so forth. We assume that test  
 900 results come back after one day. Symptomatic individuals can choose to  
 901 take rapid PCR tests (with results returning on the same day) with a given  
 902 probability on each day until their symptoms resolve—this probability is set  
 903 to 1 for simulations presented in the main text. We further assume that  
 904 symptomatic individuals are isolated immediately when they submit their  
 905 samples until they receive negative results. All individuals who test posi-  
 906 tive are required to isolate (following the same isolation rule as described  
 907 earlier) and are exempt from asymptomatic testing for 90 ~~days~~ days—while  
 908 this assumption reflects the isolation policy in Princeton University during

909 the investigation period, it may be inapplicable in studying institutional  
910 outbreaks in general. Isolated individuals are assumed to no longer transmit  
911 infections. We assume that PCR tests can detect infections from individuals  
912 who are in pre-symptomatic, symptomatic, and asymptomatic stages with  
913 95% sensitivity and 100% specificity.

914 The 95% sensitivity assumption may seem too high. For example, Hellewell et al. (2021)  
915 estimated that the probability of detecting an infection from a PCR peaks  
916 at 77% (54–88%) 4 days after infection, and decreases to 50% (38–65%)  
917 by 10 days after infection. These estimates are considerably lower than  
918 our assumption because their estimates implicitly account for the latent  
919 period. At the individual level, we assume that an infected individual has  
920 no detectable infection (0% sensitivity) during their latent period and 95%  
921 sensitivity during their various stages of infectious periods. At the population  
922 level, this assumption translates to a peak sensitivity of 92% by 4 days after  
923 infection, decreasing to 20% sensitivity by 10 days after infection. Our  
924 assumption leads to a much lower PCR sensitivity 10 days after infection  
925 because we only model the PCR sensitivity during the infectious period. In  
926 reality, PCR can also detect viral nucleic acids even after a person stops  
927 shedding infectious virus, but since these nucleic acids cannot contribute  
928 to person-to-person transmission or affect the effectiveness of the isolation  
929 strategy, we did not include this component in our model.

930 As most students, as well as faculty and staff members, had received two  
931 doses of vaccination in the beginning of fall 2021, we do not distinguish  
932 the first and second doses. Instead, we assume that all vaccinated individ-  
933 uals have 90% reduced susceptibility and 20% reduced transmissibility at  
934 the beginning of the semester—these assumptions are consistent with recent  
935 estimates by Prunas et al. (2022) that vaccination with BNT162b2 reduces  
936 susceptibility by 89.4% (95% CI: 88.7%–90.0%) and infectiousness by 23.0%  
937 (95% CI: –11.3%–46.7%) against the Delta strain variant. Based on Tartof  
938 et al. (2021), vaccine efficacy against susceptibility is allowed to exponen-  
939 tially wane from 90% to 50% in 20 weeks (and continues to wane at the same  
940 rate) for each vaccinated individual; vaccine efficacy against transmissibility  
941 is also allowed to wane at the same rate (i.e., from 20% to 11% in 20 weeks).  
942 We note that these assumptions are specific to the Delta variant—we discuss  
943 vaccine effectiveness against the Omicron variant later on.

944 In this study, we use this model to retrospectively analyze past outbreaks.  
 945 First, we try to match our model to epidemic patterns seen on campus for the  
 946 first three semesters, during which there was limited campus transmission,  
 947 by varying the contact reproduction number  $\mathcal{R}_{\text{contact}}$  and the amount of com-  
 948 munity ~~transmission~~-contact  $\theta$  and holding all other parameters constant.  
 949 For each parameter combination, we simulate 100 epidemic trajectories and  
 950 calculate the sum of squared differences between the weekly numbers of the  
 951 observed and predicted positive cases. The population size and testing fre-  
 952 quencies (with twice weekly testing modeled as testing every 3 days) are set  
 953 to reflect realistic campus settings. Although we account for heterogene-  
 954 ity in the number of individuals in each population group on campus (i.e.,  
 955 undergraduate students, graduate students, and faculty and staff members)  
 956 and their respective testing patterns (e.g., twice a week for undergraduate  
 957 and graduate students and once a week for faculty and staff members during  
 958 fall and spring, 2020), we assume, for simplicity, that all other parameters  
 959 are equal across different groups. We further assume that the population  
 960 mixes homogeneously. While these assumptions are most parsimonious, epi-  
 961 demiological parameters and mixing patterns likely differ across groups (e.g.,  
 962 undergraduate students are more likely to infect undergraduate students and  
 963 also remain asymptomatic). Therefore, our model parameters describe aver-  
 964 age dynamics across different groups and must be interpreted with care.

965 For **fall 2020–2021**, we simulate the model assuming 3000 individuals (1000  
 966 graduate students and 2000 faculty and staff members) on campus with 1000  
 967 of them participating in asymptomatic testing twice a week. We find that  
 968 a low level of contacts  ~~$\mathcal{R}_{\text{contact}} = 0.5$~~ - $\mathcal{R}_{\text{contact}} = 0.25$  and a small amount of  
 969 community ~~transmission~~-contact  $\theta = 0.015$ - $\theta = 7.5 \times 10^{-6}$  is most consistent  
 970 with the observed epidemic dynamics in fall 2020 (Fig. 2A). With these pa-  
 971 rameters, the model is able to capture the rise and fall in the number of  
 972 cases with the exception of a sudden decrease in the number of cases around  
 973 Thanksgiving, which we do not model explicitly (Fig. 2B). The median pre-  
 974 dictions are positively correlated with the observed dynamics ( $\rho = 0.83$ ;  
 975 95% CI: 0.61–0.93; Fig. 2C). Although a wide range of assumptions about  
 976 the levels of community ~~transmission~~-contact  $\theta$  are consistent with the ob-  
 977 served dynamics, our simulations preclude high levels of contact,  $\mathcal{R}_{\text{contact}} > 2$   
 978 (Supplementary Figure ~~S7~~S9). Distancing measures on campus and contact  
 979 tracing efforts likely contributed to lowering contact levels  $\mathcal{R}_{\text{contact}}$ .



For **spring 2020–2021**, we simulate the model assuming 8000 individuals (3000 undergraduate students, 2000 graduate students, and 3000 faculty and staff members) on campus with 5000 of them participating in asymptomatic testing twice a week. We further assume that 4000 individuals (3000 undergraduate students and 1000 graduate students) returned to campus over 14 days (January 16, 2021–January 29, 2021); ~~all returning individuals are assumed to be quarantined~~. In the beginning of the semester, all returning students were required to quarantine in their rooms for 14 days and tested upon returning by the university—in our model, this was implemented by preventing returning students from getting infected or infecting other individuals. Finally, to match the initial influx of cases, we assume that 1% of both returning and on-campus populations are infected at the beginning of simulation (January 16, 2021).

A similar set of parameters can capture the observed dynamics in spring 2020–2021. The best matching parameter predicts ~~a slightly higher~~ considerably lower levels of community ~~transmission  $\theta = 0.02$~~  contact  $\theta = 3 \times 10^{-6}$  (Fig. 2D), but a wide range of parameters are consistent with the observed dynamics as before (Supplementary Figure ~~S8~~S10). Simulations also preclude high  $\mathcal{R}_{\text{contact}} > 2$  again, suggesting that transmission between students were likely limited even though they had returned to campus—the absence of in-person teaching is likely to have contributed to lowering  $\mathcal{R}_{\text{contact}}$ . We also find that initial infections (e.g., from returning students) are required to match relatively high levels of cases in the beginning of semester (Fig. 2E). Once again, the predicted and the observed numbers of cases are positively correlated ( $\rho = 0.62$ ; 95% CI: 0.20–0.85; Fig. 2F).

For **fall 2021–2022**, we assume 13000 individuals are present on campus (5000 undergraduate students, 2000 graduate students, and 6000 staff and faculty members) with 98% of them vaccinated—here, vaccine-derived immunity is allowed to wane over time to ask whether the increase in the number of cases around November is consistent with the dynamics predicted by immunity waning. Vaccinated individuals are tested every week, whereas unvaccinated individuals are tested every 3 days. We further assume 5000 undergraduate students returned to campus over 16 days (August 14, 2021–August 29, 2021). All students were required to test upon return and quarantine until they received a negative test result; for simplicity, we only model the testing process in our simulation (without quarantine) given a short test-



ing delay. Finally, we assume that 0.5% of both returning and on-campus populations are infected at the beginning of simulation (August 14, 2021). We limit our model comparison to November 26th before the Omicron variant was introduced on campus.

~~Even though the~~ The per capita numbers of cases during fall 2021 (before a large outbreak) were ~~similar~~ considerably lower than to those during previous semesters. Nonetheless, we find that ~~considerably~~ higher levels of community contact  ~~$\theta$  ( $\approx 10$  fold higher)~~  $\theta = 1 \times 10^{-5}$  are required to explain the observed dynamics due to a decreased susceptibility ~~derived~~ from vaccination (Fig. 2G). We note that the parameter  $\theta$  necessarily depends on our assumed vaccine efficacy against susceptibility, and  $\theta$  would decrease if we assume a lower vaccine efficacy. Nonetheless, the amount of community contact would still need to be higher than previous semesters as long as the vaccine provides some protection against infection and onward transmission.

While  ~~$\theta = 0.15$  and  $\mathcal{R}_{\text{contact}} = 0.5$~~   $\theta = 1 \times 10^{-5}$  and  $\mathcal{R}_{\text{contact}} = 0.25$  gives the best matching parameter set with a median logged sum of squared errors of ~~8.88~~ 8.61 (95% quantile: ~~6.55~~ 125.80–~~11.6~~), other parameter sets also give nearly identical fits (Fig. 2H; Supplementary Figure S9): ~~for example,~~  $\theta = 0.1$  and  $\mathcal{R}_{\text{contact}} = 1$  gives a median logged sum of squared errors of 8.9 ~~(95% quantile: 5.79–13.6)~~ S11. Comparing simulations across a wide range of  $\mathcal{R}_{\text{contact}}$  (~~0.5~~ 0.25–8) with  ~~$\theta = 0.1$~~   $\theta = 1 \times 10^{-5}$  further illustrates that the predicted dynamics are largely insensitive to  $\mathcal{R}_{\text{contact}}$  until November 26th (Fig. 2H). All simulations shown in Fig. 2H, except for the  $\mathcal{R}_{\text{contact}} = 8$  scenario, are similarly correlated with the observed numbers of cases (Fig. 2G). While the logged sum of squared errors increases with  $\mathcal{R}_{\text{contact}}$  (Fig. 2G), these patterns are likely driven by the discrepancy around fall break (week ending October 26th) when the number of cases decreased suddenly, rather than a lack of fit—we did not explicitly model holiday effects for simplicity. Extremely high vaccination rates and frequent testing likely limited transmission on campus, making epidemic dynamics largely insensitive to  $\mathcal{R}_{\text{contact}}$  even at a reasonably high value of  $\mathcal{R}_{\text{contact}} = 4$ .

These simulations suggest that an increase in the number of cases in November can be explained by a combination of waning immunity alone without requiring additional changes in transmission dynamics (note we do not allow  $\theta$  or  $\mathcal{R}_{\text{contact}}$  to vary over time)—we see that extending the simulation

beyond November 26th still captures the increase in cases. When we exclude immune waning from the model, predicted epidemic dynamics exhibit slower growth and require even higher values of campus and community contact rates ( $\mathcal{R}_{\text{contact}}$  and  $\theta$ ) to qualitatively match the observed dynamics (Supplementary Figure ~~S10~~S12)—even so, the logged sum of squared differences are generally higher (with median logged sum of squared differences ranging from ~~6.9 to 41.3~~7.2 to 33.9 for the same parameter regime). Thus, combining some amount of immune waning and high campus and community contact rates likely best explains the epidemic growth near the end of the semester. We note that other factors, such as changes in behavior, could have also contributed to the increase in the numbers of cases.

Projecting the model beyond November 26th implies that we would have seen a similar growth in the number of cases if conditions remained constant even without the introduction of the Omicron variant. In other words, the Delta strain would have continued to spread on campus at a similar rate if the semester were to (hypothetically) continue until January without additional interventions due to immune waning and growing cases in the community (Fig. 2H). In reality, the situation was more complex: testing frequencies increased and social gatherings were limited in response to an increase in the number of cases. These interventions—as well as students returning back home as classes ended—likely would have reduced contact rates (and therefore transmission of the Delta variant). This reduction in transmission was likely counterbalanced by the introduction of the Omicron variant and its high transmissibility and immune evasion, leading to similar and persistent growth in the number of cases.

## The spread of the Omicron variant on campus

Epidemiological conditions and intervention measures changed throughout the spring semester of the 2021–2022 academic year. We therefore extend ~~to~~the model to account for these alterations and focus on the outbreak patterns among undergraduate students. First, based on (~~Ferguson et al., 2021~~)Ferguson et al. (2021), we assume that two and three doses of vaccines reduce susceptibility against the early Omicron variant by 10% and 70%, re-

spectively. We also assume that the transmissibility of Omicron is reduced proportionally following the previously assumed 90-to-20 ratio for the Delta variant; in other words, two and three doses of vaccines reduce transmissibility by 2.2% and 15.6%, respectively. The immunity from the third dose is assumed to take 7 days to develop in our model (Moreira Jr et al., 2022) and wane at the same rate as before (in this case, 70% to 39% in 20 weeks). Finally, the isolation period ~~is was~~ reduced to 5 ~~days~~days—the actual change was implemented on January 14, 2022 but we keep the 5 day isolation period throughout our simulations, which begins on January 7, 2022, for simplicity.

Here, we use the extended model to try to understand the drivers of a large campus outbreak that happened on the week ending February 18, 2022 (Fig. 1D). First, we ask whether changes in testing frequency from biweekly to weekly and an increased reproduction number can explain the outbreak. The increase in the reproduction number can reflect increased contact rates following changes in distancing policy as well as increased transmissibility of the BA.2 subvariant—we do not explicitly distinguish the cause of the increase in the reproduction number. We do so by simulating the model forward across a range of contact reproduction numbers that are consistent with previous estimates ( $\mathcal{R}_{\text{contact}}=2-6$ ) and introducing a 20%–100% increase in the contact reproduction number on February 8, 2022, with changes in the testing frequency. To match the realistic campus setting, we assume that 700 students are present on campus as of January 1, 2022, and the remaining 4300 students come back to campus across 28 days. ~~We~~ Based on known vaccination statuses, we assume that 99% of students are vaccinated with 60% of them being ~~boosted—we further allow~~ boosted as of January 1, 2022. Since all students were required to receive booster shots before returning to campus, we assumed that 70 booster shots ~~on each day such that most students will be boosted by the time everyone is back on campus~~ were given on each day—this assumption allows all students to be boosted in 28 days. To match the high numbers of cases on the week ending January 7, 2022, we assume 14% of the students present on campus are infected as of January 7, 2022 (roughly 100/700). To account for students who were infected with the Omicron variant during the fall semester, we assume that 100 students are already immune to Omicron infection at the beginning of the spring semester—this roughly corresponds to the number of PU cases that were reported in December. ~~Finally, we take the best matching  $\theta$  value for the previous semester and scale it by the number of undergraduate students relative to the entire~~

1120 ~~population (therefore using  $\theta = 0.15 \times 5000/13000$  throughout).—~~

1121 In the absence of changes in testing frequency or an increased reproduction  
1122 number, the model predicts the number of cases among undergraduate stu-  
1123 dents to continue to decrease over time (Fig. 3). Changes in testing frequency  
1124 alone have negligible impact on the overall dynamics; when the baseline con-  
1125 tact reproduction number  $\mathcal{R}_{\text{contact}}$  is sufficiently high ( $\mathcal{R}_{\text{contact}} = 6$ ), changing  
1126 testing frequency from biweekly to weekly causes the weekly case numbers  
1127 to stay at a constant level (instead of decreasing). Additional increases in  
1128 the reproduction number (alongside the changes in testing frequency) can  
1129 cause the case numbers to further increase, but we are unable to match the  
1130 observed dynamics even with a 100% increase in the reproduction number.  
1131 Indeed, a  $> 10$ -fold increase in the numbers of cases between the weeks end-  
1132 ing February 11 and 18, 2022, would require an unrealistically high increase  
1133 in the contact reproduction number to explain. These simulations indicate  
1134 that changes in distancing and testing policies and the increased transmissi-  
1135 bility of the BA.2 subvariant alone are unlikely to be the direct causes of the  
1136 outbreak.

1137 We considered the possibility that the Omicron variant can have shorter  
1138 latent and infectious periods by decreasing the mean duration of latent,  
1139 pre-symptomatic, and (a)symptomatic stages of infection by 0.5 days (therefore  
1140 a total of 1.5 reduction in the duration of infection). In this case, a shorter  
1141 generation interval can lead to faster growth rate given the same values of  
1142  $\mathcal{R}_{\text{contact}}$  (Wallinga and Lipsitch, 2007). However, we find that the effects of  
1143 shorter infection has small effects on the overall dynamics (Supplementary  
1144 Figure S13).

1145 We also considered the possibility that the vaccine effectiveness against the  
1146 Omicron variant might be lower by repeating the same analysis with 30%  
1147 effectiveness against infection (Tan et al., 2023). When the baseline  $\mathcal{R}_{\text{contact}}$   
1148 is low ( $\mathcal{R}_{\text{contact}} = 2$ ), increasing  $\mathcal{R}_{\text{contact}}$  still does not increase the number of  
1149 cases sufficiently. When we assume an intermediate value of  $\mathcal{R}_{\text{contact}} = 4$ ,  
1150 the model does a better job at capturing the dynamics but it does so by  
1151 overestimating the trough before the policy change and underestimating the  
1152 peak after the policy change. When we assume a high value of  $\mathcal{R}_{\text{contact}} = 6$ , the  
1153 model overestimates both the trough and the peak (Supplementary Figure  
1154 S14).

Instead, we consider the role of super-spreading events in driving a large Omicron outbreak by simulating 100–300 infections happening on the same day (February 12, 2022, the weekend following the policy change). We still include changes in testing to reflect realistic settings on campus but do not model the increase in the reproduction number to test the sole effects of super-spreading events. In contrast to previous simulations (Fig. 3), which showed persistent growth in cases following the increase in the reproduction number, an epidemic driven by a super-spreading event plateaus and decays quickly (Fig. 4). In this case, moderate values of baseline reproduction numbers permit a small amount of onward transmission, which can sustain the epidemic for a few weeks, but the reproduction number is not high enough to cause the epidemic to keep growing. Overall, the observed patterns in cases are more consistent with the epidemic dynamics driven by super-spreading events. This is also consistent with the observation that this outbreak was associated with a large gathering event on campus.

## Discussion

Here, we analyze SARS-CoV-2 outbreaks on the PU campus between fall 2020 and early 2022. We demonstrate strong spatiotemporal correlations between the patterns of spread of SARS-CoV-2 on campus and those from surrounding communities. These correlations decreased with distance from Mercer County in fall 2021–2022, likely reflecting contact and commuting patterns as the university campus reopened. Mathematical modeling further suggests limited transmission between the university population during fall and spring semesters of the 2020–2021 academic year and an increased frequency of infective community contacts during the fall semester of the 2021–2022 academic year, compared to previous semesters. An increase in the number of cases by the end of November 2021 is consistent with the increase in the levels of community cases and waning immunity. Finally, our analysis highlights the potential role of super-spreading events in driving the spread of the Omicron variant on the PU campus.

Although previous outbreak reports from other universities primarily focused on within-campus transmission (Wilson et al., 2020; Currie et al., 2021), a

few studies identified off-campus infections as an important source of transmission (Fox et al., 2021; Hamer et al., 2021). For example, extensive modeling efforts from Cornell University demonstrated an increase in the amount of transmission from outside the university campus during fall 2021 and found that community transmissions are the biggest risk for faculty and staff members (Frazier et al., 2022). Our study further extends these findings in demonstrating a strong spatiotemporal correlation in the spread of SARS-CoV-2 between university campuses and surrounding communities; however, when campus transmission is sustained, community coupling becomes less important. The degree to which community coupling affects campus transmission also depends on the campus. Although Princeton University is located in a small ~~town~~-county (Mercer County) with a population of 390,000 ([www.census.gov](http://www.census.gov)), it is located near large cities, such as New York City and Philadelphia, which can drive infections in smaller cities nearby (Grenfell and Harwood, 1997). For example, contact tracing efforts from Boston University, which is located in a large metropolitan area, found that more than 50% of infections among Boston University affiliates with known exposures could be attributed to sources outside of the university campus (Hamer et al., 2021). In contrast, other university campuses that are far from urban areas may experience weaker community coupling. The degree of coupling will also depend on intervention measures in surrounding communities and on campus. Understanding these heterogeneities is critical for preventing future campus outbreaks.

Our analysis also suggests that comparing the ratios between the cases on university campuses and neighboring communities can also provide a useful measure for how well a university campus is controlling the epidemic; however, that this ratio needs to be interpreted with caution, as it is sensitive to changes in testing patterns as well as the numbers of students on campus. For example, the ratios of cases can suddenly change during holidays when students are away from campus. Future studies could combine viral phylogenetic data to better understand spatial patterns of SARS-CoV-2 on campus.

There are several limitations to our analysis. While we demonstrate strong spatiotemporal correlation in the spread of SARS-CoV-2, we are not able to infer the direction of causality—that is, our analysis does not rule out the possibility that transmission on campus drove infections in nearby commu-

nities (as opposed to community transmission driving on-campus infections). However, seeding from campus is unlikely: intervention measures on campus (e.g., frequent asymptomatic testing, contact tracing, and virtual classes during fall and spring semesters of 2020) likely limited onward transmission on campus. In addition, even during periods of large Omicron outbreaks on campus in early 2022, the number of COVID-19 cases in Mercer County remained low, implying limited transmission from campus to community. Decreasing patterns in epidemic correlations with distance further highlight the role of spatial spread in driving dynamics of SARS-CoV-2—such patterns are consistent with spatial spread of many other respiratory pathogens (Grenfell et al., 2001; Viboud et al., 2006; Baker et al., 2019).

Our mathematical model relies on simplifying assumptions. For example, we assume conservatively that the entire university populations mix homogeneously and have identical campus and community contact rates (captured by  $\mathcal{R}_{\text{contact}}$  and  $\theta$ , respectively). This assumption can lead to the fastest epidemic growth rates because transmission is not limited by the size of the contact network—in other words, our estimates of the reproduction will be necessarily low, making the epidemic easier to control. In reality, increases in cases were often associated with specific transmission clusters, suggesting heterogeneity in transmission patterns. Contact levels also likely differ between different groups: for example, faculty and staff members are more likely to interact with community members than undergraduate students and would be at a higher risk for community infections (Frazier et al., 2022); ~~therefore, our homogeneous mixing assumption is conservatively pessimistic.~~ We also do not account for explicit changes in behavior ~~; instead, we assume constant values for  $\theta$  and on campus and assume constant  $\mathcal{R}_{\text{contact}}$  throughout a semester—each semester.~~ Instead, we implicitly account for behavioral changes in the community by modeling community transmission to campus as a function of community case numbers. While we cannot rule out the possibility that ~~changes in behavior (and therefore transmission rates)~~ behavioral changes on campus could have contributed to various epidemics (e.g., the Omicron wave beginning in the fall semester of the 2021–2022 academic year), we were able to capture the majority of epidemic patterns without modeling ~~them—them—when the majority of transmission is caused by imported cases from the community, we expect behavioral changes on campus to have relatively weaker effects on overall transmission dynamics.~~ We also do not explore parameter uncertainty, which can lead to underestimation of overall



uncertainty (Elder et al., 2006). We also note that intervention measures that were introduced to PU may not necessarily be applicable in other institutions.

Despite the simplicity of the analysis, our study provides important lessons for controlling SARS-CoV-2 and similar outbreaks on university campuses in general. First, our analysis highlights the power of mass asymptomatic testing for epidemic measurement and ~~planning~~planning—even if PCR testing may have lower sensitivity than what we assumed here (Hellewell et al., 2021), mass asymptomatic testing can still help track ongoing epidemic dynamics in real time. Combining other interventions measures, such as social distancing, mask wearing, and vaccination, can help provide ~~a safe means of reopening university~~some measures to consider for restoring operations on campuses—but the extent to which these interventions are implemented will necessarily depend on resource availability. Second, we expect ~~immune~~immunity waning and superspreading to continue to play important roles in driving campus transmission—keeping vaccine statuses up-to-date within the campus community will be critical moving forward. ~~In addition, preventing large gatherings can help prevent large superspreading events in the midst of a rising epidemic.~~ Third, the safe reopening of a university campus must consider the spread of SARS-CoV-2 within the surrounding community as they can both potentially drive transmission in each other—however, the degree to which infections spread from campus to community remains uncertain. Finally, intervention measures placed on campuses must continue to adapt and change to reflect changes in epidemiological conditions. We note that the generality of our conclusions will necessarily depend on specific campus settings.

The emergence of new variants—in particular, their ability to evade prior immunity and transmit better—continues to add uncertainty to the future controllability of the ongoing SARS-CoV-2 pandemic. Nonetheless, as population-level immunity increases (either due to infection or vaccination), we are ~~(hopefully)~~ transitioning to an endemic phase, during which COVID-19 is expected to become less severe (Lavine et al., 2021). ~~Many institutions have so far sought to minimize infections on their campuses early in the pandemic, thereby implementing as many interventions as possible—but such measures can be difficult to maintain for prolonged periods both economically and societally. As the Omicron variant began to spread, many campuses—including~~



1296 the PU campus—opted in for less intense interventions, reflecting difficulties  
1297 in controlling the spread and a lack of severe cases among the majority  
1298 of vaccinated students. As we continue to transition to future phases of  
1299 the pandemic, the expectations for reopening campuses (e.g., whether to  
1300 minimize infections on campuses) need to be re-evaluated, accounting not only  
1301 for changes in epidemic dynamics but also for our perception of the pathogen.  
1302 The answers to these questions ultimately depend on the landscape of Understanding  
1303 the the landscape of SARS-CoV-2 immunity and its future evolutionary  
1304 dynamics (Saad-Roy et al., 2020; Baker et al., 2021). immunity and its impact  
1305 on evolutionary dynamics will be critical to predicting future outbreak dynamics  
1306 (Saad-Roy et al., 2020; Baker et al., 2021).

## 1307 Data availability

1308 All data and ~~code are stored in a publicly available GitHub repository (-).~~  
1309 code are stored in a publicly available GitHub repository ([https://github.](https://github.com/parksw3/university-covid)  
1310 [com/parksw3/university-covid](https://github.com/parksw3/university-covid)).

## 1311 Author contribution

1312 All authors contributed equally to the research design, data collection, formal  
1313 analysis, interpretation of the results, and writing of the paper.

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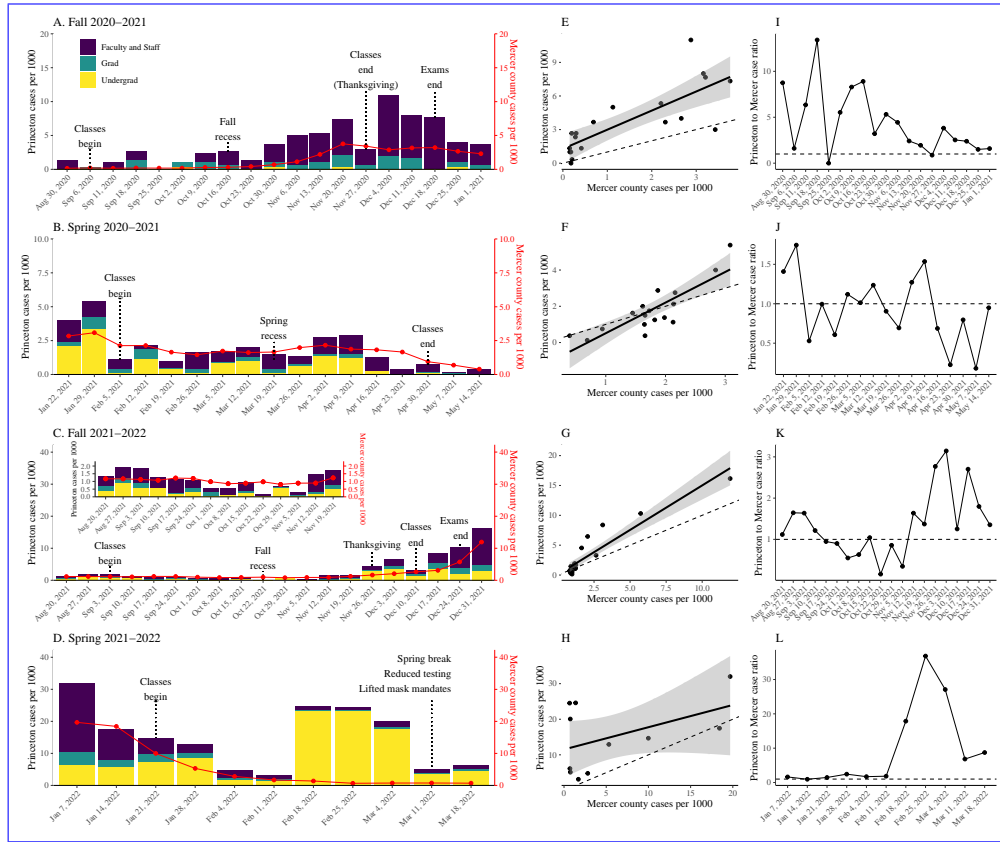


Figure 1: **Dynamics of SARS-CoV-2 outbreaks in Princeton University (PU).** (A–D) Epidemic trajectories across four semesters: Fall 2020–2021 (A), Spring 2020–2021 (B), Fall 2021–2022 (C), and Spring 2021–2022 (D). Colored bar plots represent the weekly number of cases per 1000 from both asymptomatic and symptomatic testing in PU. Red lines represent the weekly number of cases per 1000 in Mercer County. Number of cases in Mercer County is obtained from <https://github.com/nytimes/covid-19-data>. The weekly number of cases per 1000 in Princeton is normalized by the approximate total size of the PU population present on campus for each semester: 3000 for Fall 2020–2021, 8000 for Spring 2020–2021, 13000 for Fall 2021–2022, and 15000 for Spring 2021–2022. The weekly number of cases per 1000 in Princeton in Mercer County is calculated based on the total population size as of 2020: 387340 ([www.census.gov](http://www.census.gov)). (E–H) Correlations between the weekly number of cases per 1000 in PU and in Mercer County. Solid lines and shaded areas represent the estimated linear regression lines and the associated 95% CIs. (I–L) Ratios between weekly numbers number of cases reported from per 1000 in PU and Mercer County. ~~The shaded orange area covers dashed lines~~ represent the region between 1:100 and 1:25 ratiosratio.

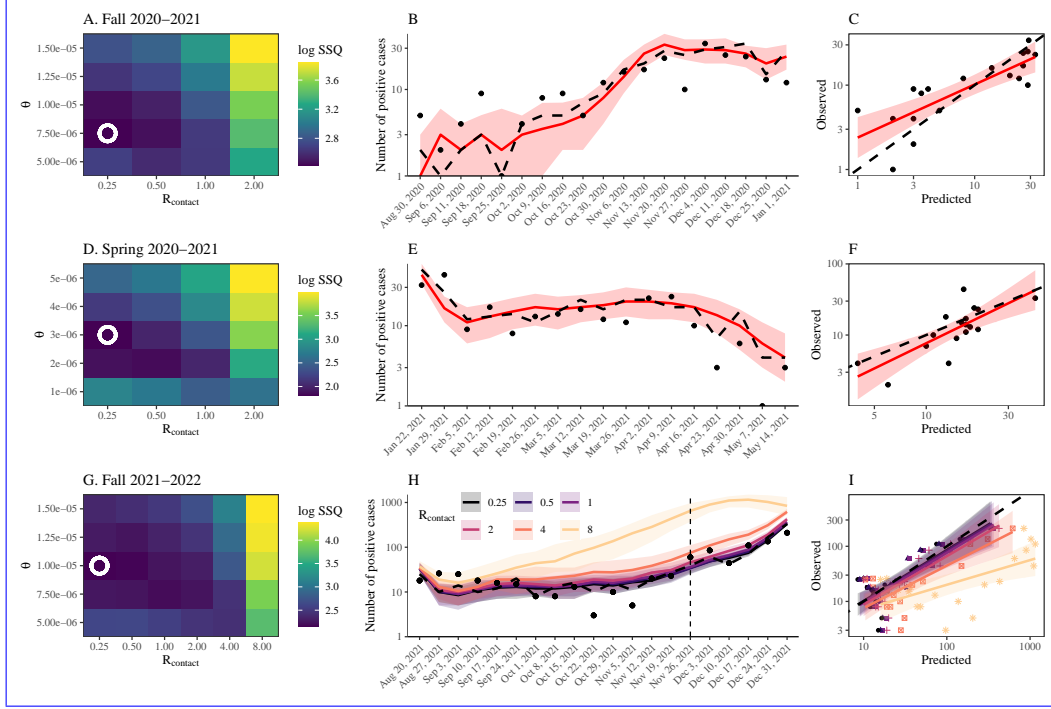


Figure 2: **Retrospective analysis of past SARS-CoV-2 outbreaks on Princeton University (PU) campus.** (A, D, G) Time series comparisons of model predictions with observed data across ranges of contact reproduction number  $\mathcal{R}_{\text{contact}}$  and scaling parameter for community ~~transmission~~-contact  $\theta$ . For each parameter combination, we simulate the model 100 times and calculate the sum of squared differences (SSQ) between the reported number of positive cases and the model-predicted number of positive cases. Heat maps represent medians of the logged sum of squared differences. Circles represent the best fitting parameter set. (B, E, H) Model predictions. Solid lines represent median predictions. Dashed lines represent a realization with the least sum of squared errors. Shaded areas represent 90% quantiles for the best matching parameter set. Points represent the observed data. (C, F, I) Correlations between model predictions with observed data. Colored solid lines and shaded areas represent the estimated linear regression lines and the associated 95% CIs. Dashed lines represent the one-to-one line.



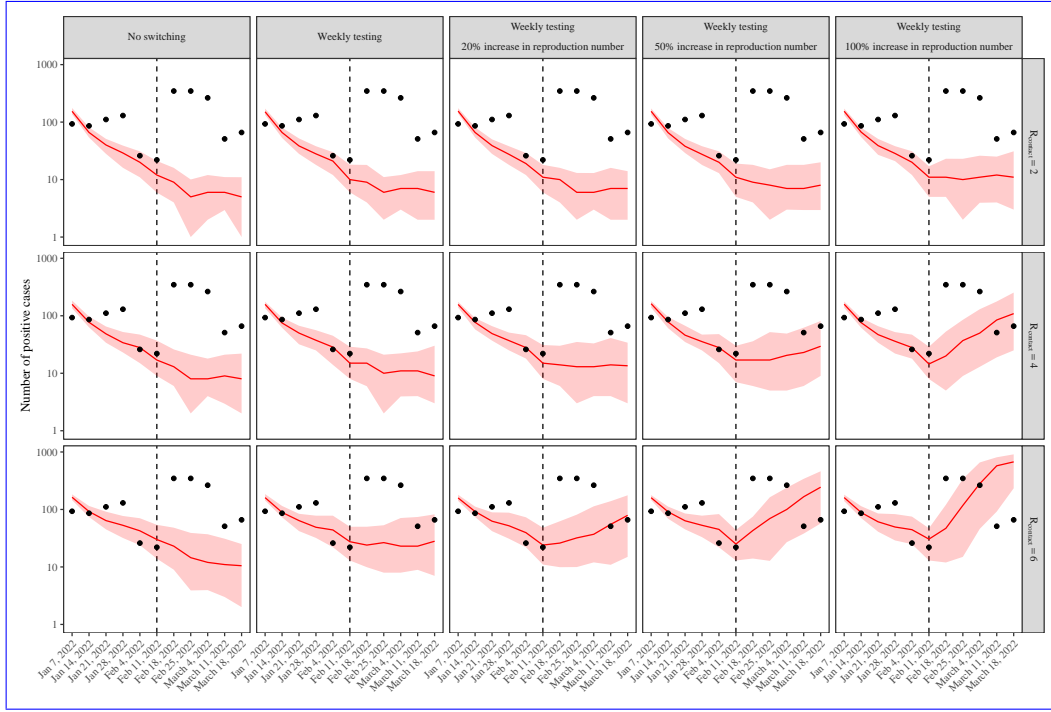


Figure 3: **The impact of changes in testing frequency and an increased reproduction number on the spread of the Omicron variant.** Solid lines represent median predictions. Shaded areas represent 90% quantiles across 100 simulations. Points represent the observed data. Vertical dashed lines represent the week including February 8, 2022, when distancing and testing policies were updated on PU campus. For each row, we assume a fixed value of baseline contact reproduction number  $\mathcal{R}_{\text{contact}}$  ranging from 2 to 6 across rows. Then, we simulate increase in  $\mathcal{R}_{\text{contact}}$  at the time of policy change (indicated by column labels).

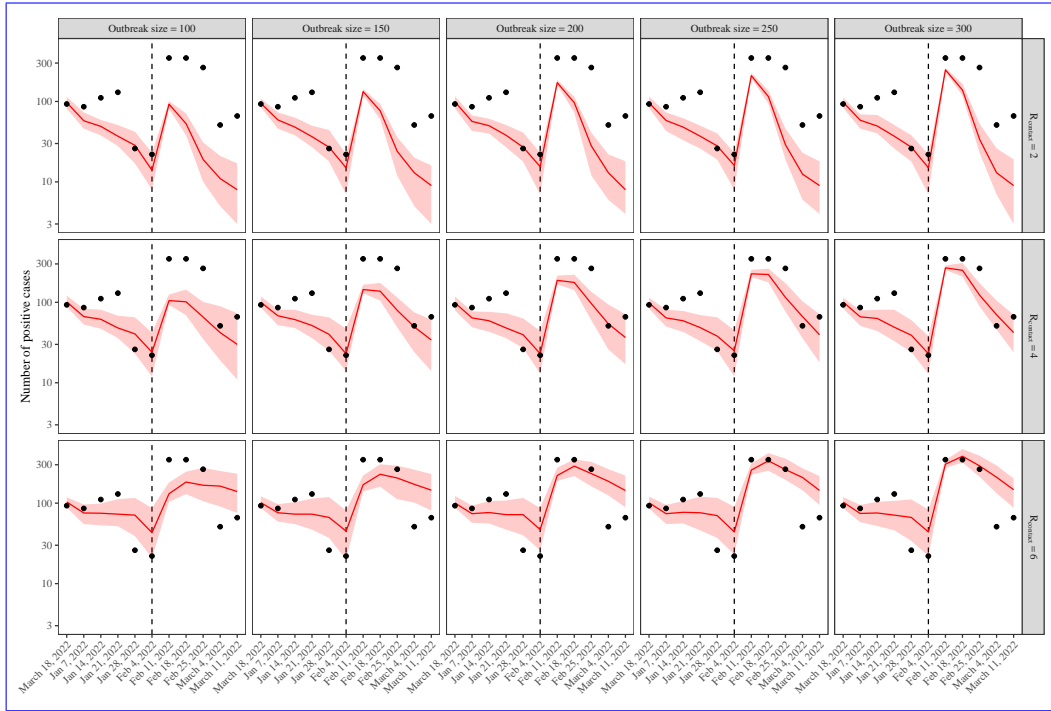


Figure 4: **The impact of large super spreading events on the spread of the Omicron variant.** Solid lines represent median predictions. Shaded areas represent 90% quantiles across 100 simulations. Points represent the observed data. Vertical dashed lines represent the week including February 8, 2022, when distancing and testing policies were updated on PU campus.