

# Lecture 17: Undecidability II

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## 1 Recap: A High-Level View

What models have we seen so far?

- The finite-state machines (FSMs): they have finite states; no memory otherwise. one pass over input.
- The Turing machines (TMs): finite states; infinite mem (no access restriction).

In terms of computability power (i.e., what can be computed/solved using them), it has been shown that:  $\text{FSM} < \text{TM}$  (which includes many other models, including  $\lambda$ -calculus). This means, there are things a TM can do but an FSM cannot.

### 1.1 Decidability

Our notion of computability is centered upon Turing computability. More precisely, we say that

a language  $L$  on  $\Sigma^*$  is *decidable*  $\iff$  there is a Turing machine  $M$  such that

- $M$  halts on every input from  $\Sigma^*$ ; and
- $M$  accepts every  $x \in L$  and rejects everything else.

Based on this idea, we say that a language  $L$  is undecidable (i.e., not decidable)  $\iff$  there exists no Turing machine  $M$  such that

- $M$  halts on every input from  $\Sigma^*$ ; and
- $M$  accepts every  $x \in L$  and rejects everything else.

### 1.2 ACCEPT is undecidable

Consider the following language

$$\text{ACCEPT} = \{\langle M, x \rangle \mid M \text{ is a TM which accepts } x\}.$$

Remember that  $\langle M, x \rangle$  is an encoding of a pair consisting of the machine  $M$  and a string  $x$ . We'll prove that ACCEPT is undecidable. Let's prove this directly using the method we used to prove that HALT is undecidable.

*Proof:* Suppose for a contradiction that ACCEPT is decidable, so there is a TM  $M_A$  that correctly decides ACCEPT. Consider the following Turing machine  $D$ :

**Input:**  $\langle M \rangle$

The machine  $D$  proceeds as follows:

1. Run the Turing machine  $M_A$  with input  $\langle M, \langle M \rangle \rangle$
2. If  $M_A$  says ACCEPT, then  $D$  rejects.  
If  $M_A$  says REJECT, then  $D$  accepts. (Why can't  $M_A$  loop forever?)

*How do we get a contradiction?* Before we proceed, let's try to remind ourselves of a few things:

- As a decider for ACCEPT,  $M_A(\langle M, x \rangle)$  offers a prediction whether the Turing machine  $M$  will accept on input  $x$ .
- We say that  $M_A$  is correct if the prediction is correct on all possible inputs. That is,  $M_A$  is wrong if it predicts incorrectly *even* on one of the input.

To derive a contradiction, we'll consider what happens to  $M_A$  when fed the input  $\langle D, \langle D \rangle \rangle$ . Specifically, we'll look at what  $M_A$  predicts and what the machine actually does. Consider the outcome of  $M_A(\langle D, \langle D \rangle \rangle)$ .

- $M_A(\langle D, \langle D \rangle \rangle)$  says ACCEPT: that is  $M_A$  predicts that  $D$  will accept  $\langle D \rangle$ . If we examine  $D$ , we'll see that  $D$  will do the exact opposite—it will reject.
- $M_A(\langle D, \langle D \rangle \rangle)$  says REJECT: that is  $M_A$  predicts that  $D$  will reject  $\langle D \rangle$ . If we examine  $D$ , we'll see that  $D$  will, again, do the exact opposite—it will accept.

Hence, either way, we have that  $M_A$  mispredicts at least *one* TM, precisely the TM  $D$ , leading to a contradiction, so  $M_A$  cannot exist. ■

### 1.3 Reductions: Solve A Using B

Say you have two problems (or languages)  $A$  and  $B$ . In order to solve  $A$ , you will come up with a Turing machine (or an algorithm) for solving  $A$ . Let's call that  $M_A$ . If  $M_A$  in the process of solving  $A$  uses  $M_B$ —the solution for  $B$ —as a subroutine, then we say that  $A$  reduces to  $B$ . Notationally, we write  $A \leq_T B$ .

This literally means  $A$  is **no harder than**  $B$ . This is true because you can use  $B$  to solve  $A$ .

This also means:

Suppose  $A \leq_T B$ . If  $B$  is decidable, then so is  $A$ .

The contrapositive of the following statement is:

Suppose  $A \leq_T B$ . If  $A$  is undecidable, then so is  $B$ .

## 2 Many Things Are Equally Hard

As a reminder, we've proved (and you still remember) that HALT is undecidable.

**Theorem 2.1** *HALT is undecidable.*

There is apparently an easier(?) way to prove that ACCEPT is undecidable: You probably believe that ACCEPT is harder than HALT. Here is a new proof strategy: Try to show that *ACCEPT is at least as hard as HALT*. That is, it suffices to show that  $\text{HALT} \leq_T \text{ACCEPT}$ .

**Theorem 2.2** *ACCEPT is undecidable.*

*Proof:* Suppose for a contradiction that ACCEPT is decidable, so there is a TM  $M_{\text{ACCEPT}}$  that decides it. We'll show a Turing machine that decides HALT using  $M_{\text{ACCEPT}}$ . Given  $\langle M, x \rangle$  as input:

1. Run  $M_{\text{ACCEPT}}(\langle M, x \rangle)$ . If it accepts, we'll also accept.
2. Change  $M$  by reversing the role of accept/reject, call this  $M'$ .
3. Run  $M_{\text{ACCEPT}}(\langle M', x \rangle)$ . If it accepts, we'll accept.
4. Otherwise, we reject

This means, for a given  $\langle M, x \rangle$ , if  $M$  accepts  $x$ , we'll accept; if  $M$  rejects  $x$ , we'll accept. The only case that we'll reject is when  $M$  neither accept nor reject  $x$ —that is,  $M$  loops on  $x$ .

This means our TM can correctly decide HALT provided that there is a TM  $M_{\text{ACCEPT}}$ . But this is absurd—no one can decide HALT! So we know that  $M_{\text{ACCEPT}}$  cannot possibly exist, a contradiction! ■

**Aside:** By showing a TM that decides HALT using a TM for ACCEPT, we show that  $\text{HALT} \leq_T \text{ACCEPT}$ . This means, if HALT is “hard”, ACCEPT is at least as hard—because one can use it as a subroutine to solve HALT. This lets us conclude that because HALT is undecidable, ACCEPT is also undecidable.

**Python?** If we were to describe this reduction using real programs, here's roughly what it means to reduce HALT to ACCEPT:

First, we suppose that there is a solution for ACCEPT. This means, there is a function (i.e., program) `decide_accept(M, x)` where

`decide_accept(M, x)` will say “yes” if running  $M(x)$  will result in accept; and it will say “no” otherwise.

Our goal is to implement `decide_halt`—the specification is the same as above. The thing to keep in mind is we have `decide_accept` and we could call it. Here's how we can implement `decide_halt`

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```
from magicbox import decide_accept

def decide_halt(M, x):
    if decide_accept(M, x):
        # M is known to accept x so it surely halts on x
        return True
    # this function returns the negation of M(x)
    def M_prime(M, x):
        return not M_prime(M, x)
    return decide_accept(M_prime, x)
```

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This means that if `decide_accept` existed and followed the claimed specifications, then we would readily have `decide_halt`, which has been ruled out as impossible earlier. This is why `decide_accept` can't possibly exist.

## 2.1 What Else Is Hard?

**Theorem 2.3**  $ALL = \{\langle M \rangle \mid M \text{ accepts all strings}\}$  is undecidable.

*Proof:* (by reduction from ACCEPT, i.e.,  $ACCEPT \leq_T ALL$ ) Suppose for a contradiction that ALL is decidable, so there is a TM  $M_{ALL}$  that decides it. Now we're going to show how to decide ACCEPT using  $M_{ALL}$ . Given  $\langle M, x \rangle$ :

1. Write down the description  $\langle M' \rangle$ , where  $M'$  is a TM that does the following: Overwrite the input with  $x$  and then run  $M$  (Remember that  $M'$  has the description of  $x$  baked into its description.)
2. Run  $M_{ALL}$  with  $\langle M' \rangle$  as input.
3. If it accepts, we accept; if it rejects, we reject.

Notice that this mechanism accepts if and only if  $M(x)$  accepts (after all, it discards the input provided to  $M'$ ). ■

**Exercise:** Show that  $EMPTY = \{\langle M \rangle \mid M \text{ accepts nothing}\}$  is undecidable. (*Hint:* show that  $ACCEPT \leq_T EMPTY$ .)